

Lecture notes for FYS5190/FYS9190 – Supersymmetry

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Chapter 1

Groups and algebras

The goal of these lectures is to introduce the basics of low-energy models of supersymmetry (SUSY) using the Minimal Supersymmetric Standard Model (MSSM) as a main example. Rather than starting with the problems of the SM, we will focus on the algebraic origin of SUSY in the sense of an extension of the symmetries of Einstein's Special Relativity (SR), which was the original motivation for SUSY.

1.1 What is a group?

Definition: The set $G = \{g_i\}$ and operation \bullet form a **group** if and only if for $\forall g_i \in G$

- i) $g_i \bullet g_j \in G$ (closure)
- ii) $(g_i \bullet g_j) \bullet g_k = g_i \bullet (g_j \bullet g_k)$ (associativity)
- iii) $\exists e \in G$ such that $g_i \bullet e = e \bullet g_i = g_i$ (identity element)
- iv) $\exists g_i^{-1} \in G$ such that $g_i \bullet g_i^{-1} = g_i^{-1} \bullet g_i = e$ (inverse)

A simple example of a group is $G = \mathbb{Z}$ with usual addition as the operation, $e = 0$ and $g^{-1} = -g$. Alternatively we can restrict the group to \mathbb{Z}_n , where the operation is addition with modulo n . In this group, $g_i^{-1} = n - g_i$ and the unit element is $e = 0$. Note that \mathbb{Z} is an *infinite* group, while \mathbb{Z}_n is finite, with *order* n (meaning n members). Both are *abelian* groups, meaning that $g_i \bullet g_j = g_j \bullet g_i$.

All of this is "only" mathematics. Physicists are often more interested in groups where the elements of G *act* on some elements of a set $s \in S$, $g(s) = s' \in S$.¹ S here can for example be the state of a system, say a wave-function in quantum mechanics. We will return to this in a moment, let us just mention that the operation $g_i \bullet g_j$ acts as $(g_i \bullet g_j)(s) = g_i \bullet (g_j(s))$ and the identity acts as $e(s) = s$.²

¹As a result mathematics courses in group theory are not always so relevant to a physicist.

²We can prove this from iii) in the definition. Note that we use e as the identity in an abstract group, while

A more sophisticated example of a group can be found in a use for the Taylor expansion³

$$\begin{aligned} f(x+a) &= f(x) + af'(x) + \frac{1}{2}a^2 f''(x) + \dots \\ &= \sum_{n=0}^{\infty} \frac{a^n}{n!} \frac{d^n}{dx^n} f(x) \\ &= e^{a \frac{d}{dx}} f(x) \end{aligned}$$

The operator $T_a = e^{a \frac{d}{dx}}$ is called the **translation operator** (in this case in one dimension). Together with the operation $T_a \bullet T_b = T_{a+b}$ it forms the **translational group** $T(1)$, where $T_a^{-1} = T_{-a}$. In N dimensions the group $T(N)$ has the elements $T_{\vec{a}} = e^{\vec{a} \cdot \vec{\nabla}}$.

Definition: A subset $H \subset G$, is a **subgroup** if and only if:^a

- i) $h_i \bullet h_j \in H$ for $\forall h_i, h_j \in H$
- ii) $h_i^{-1} \in H$ for $\forall h_i \in H$

^aAn alternative, more compact, way of writing these two requirements is $h_i \bullet h_j^{-1} \in H$ for $\forall h_i, h_j \in G$. This is often utilised in proofs.

Definition: H is a **proper** subgroup if and only if $H \neq G$ and $H \neq \{e\}$. A subgroup H is a **normal** (invariant) subgroup, if and only if for $\forall g \in G$,

$$ghg^{-1} \in H \text{ for } \forall h \in H$$

A **simple** group G has no proper normal subgroup. A **semi-simple** group G has no abelian normal subgroup.

The **unitary group** $U(n)$ is defined by the set of complex unitary $n \times n$ matrices U , i.e. matrices such that $U^\dagger U = 1$ or $U^{-1} = U^\dagger$. This has the neat property that for $\forall \vec{x}, \vec{y} \in \mathbb{C}^n$ multiplication by a unitary matrix leaves scalar products unchanged:

$$\begin{aligned} \vec{x}' \cdot \vec{y}' &\equiv \vec{x}'^\dagger \vec{y}' = (U\vec{x})^\dagger U\vec{y} \\ &= \vec{x}^\dagger U^\dagger U \vec{y} = \vec{x}^\dagger \vec{y} = \vec{x} \cdot \vec{y} \end{aligned}$$

If we additionally require that $\det(U) = 1$ the matrices form the **special unitary group** $SU(n)$. Let $U_i, U_j \in SU(n)$, then

$$\det(U_i U_j^{-1}) = \det(U_i) \det(U_j^{-1}) = 1.$$

1 is used as the identity matrix in matrix representations.

³This is the first of many points where any real mathematician would start to cry loudly and leave the room.

This means that $U_i U_j^{-1} \in SU(N)$. In other words, $SU(n)$ is a **proper subgroup** of $U(n)$. Let $V \in U(n)$ and $U \in SU(n)$, then $VUV^{-1} \in SU(n)$ because:

$$\det(VUV^{-1}) = \det(V) \det(U) \det(V^{-1}) = \frac{\det(V)}{\det(V)} \det(U) = 1.$$

In other words, $SU(n)$ is also a **normal subgroup** of $U(n)$.

Definition: A **(left) coset** of a subgroup $H \subset G$ is a set $\{gh : h \in H\}$ where $g \in G$ and a **(right) coset** of a subgroup $H \subset G$ is a set $\{hg : h \in H\}$ where $g \in G$. For normal subgroups H the left and right cosets coincide and form the **coset group** G/H which has the members $\{gh : h \in H\}$ for $\forall g \in G$ and the binary operation $*$ with $gh * g'h' \in \{(g \bullet g')h : h \in H\}$.

Definition: The **direct product** of groups G and H , $G \times H$, is defined as the *ordered pairs* (g, h) where $g \in G$ and $h \in H$, with component-wise operation $(g_i, h_i) \bullet (g_j, h_j) = (g_i \bullet g_j, h_i \bullet h_j)$. $G \times H$ is then a group and G and H are normal subgroups of $G \times H$.

Definition: The **semi-direct product** $G \rtimes H$, where G is a mapping $G : H \rightarrow H$, is defined by the ordered pairs (g, h) where $g \in G$ and $h \in H$, with component-wise operation $(g_i, h_i) \bullet (g_j, h_j) = (g_i \bullet g_j, h_i \bullet g_i(h_j))$. Here H is not a normal subgroup of $G \rtimes H$.

The SM gauge group $SU(3)_c \times SU(2)_L \times U(1)_Y$ is an example of a direct product. Direct products are "trivial" structures because there is no "interaction" between the subgroups. Can we imagine a group $G \supset SU(3)_c \times SU(2)_L \times U(1)_Y$ that can be broken down to the SM group but has a non-trivial unified gauge structure? There is, $SU(5)$ being one example.

1.2 Representations

Definition: A **representation** of a group G on a vector space V is a map $\rho : G \rightarrow GL(V)$, where $GL(V)$ is the **general linear group** on V , i.e. invertible matrices of the field of V , such that for $\forall g_i, g_j \in G$, $\rho(g_i g_j) = \rho(g_i) \rho(g_j)$ (homeomorphism).

For $U(1)$ the transformation $e^{i\chi\alpha}$ is the **fundamental or defining representation** which can be used on wavefunctions $\psi(x)$ —these form a one dimensional vector space over the complex numbers. For $SU(2)$ the transformation $e^{i\alpha_i \sigma_i}$, with σ being the Pauli matrices, is the **fundamental representation**, which can be applied to *e.g.* weak doublets $\psi = (\nu_l, l)$.⁴

⁴This is a bit daft, since both $U(1)$ and $SU(2)$ are defined in terms of matrices. However, we will also have use for other representations, *e.g.* the **adjoint representation**, which is not the fundamental or defining representation.

Definition: Two representations ρ and ρ' of G on V and V' are **equivalent** if and only if $\exists A : V \rightarrow V'$, that is one-to-one, such that for $\forall g \in G$, $A\rho(g)A^{-1} = \rho'(g)$.

Definition: An **irreducible representation** ρ is a representation where there is *no* proper subspace $W \subset V$ that is closed under the group, i.e. there is no $W \subset V$ such that for $\forall w \in W$, $\forall g \in G$ we have $\rho(g)w \in W$.^a

^aIn other words, we can not split the matrix representation of G in two parts that do not "mix".

Let $\rho(g)$ for $g \in G$ act on a vector space V as a matrix. If $\rho(g)$ can be decomposed into $\rho_1(g)$ and $\rho_2(g)$ such that

$$\rho(g)v = \begin{bmatrix} \rho_1(g) & 0 \\ 0 & \rho_2(g) \end{bmatrix} v$$

for $\forall v \in V$, then ρ is **reducible**.

Definition: $T(R)$ is the **Dynkin index** of the representation R in terms of matrices T_a , given by $\text{Tr}[T_a, T_b] = T(R)\delta_{ab}$. $C(R)$ is the **Casimir invariant** given by $C(R)\delta_{ij} = (T^a T^a)_{ij}$

1.3 Lie groups

We begin by defining what we mean by Lie groups

Definition: A **Lie group** G is a finite-dimensional, n , **smooth manifold** C^∞ , i.e. for $\forall g \in G$, g can locally be mapped onto (parametrised by) \mathbb{R}^n or \mathbb{C}^n , and group multiplication and inversion are smooth functions, meaning that given $g(\vec{a}), g'(\vec{a}) \in G$, $g(\vec{a}') \bullet g'(\vec{a}') = g''(\vec{b})$ where $\vec{b}(\vec{a}, \vec{a}')$ is analytic, and $g^{-1}(\vec{a}) = g'(\vec{a}')$ where $\vec{a}'(\vec{a})$ is analytic.

In terms of a Lie group G acting on a vector space V , $\dim(V) = m$ (or more generally an m -dimensional manifold), this means we can write the map $G \times V \rightarrow V$ for $\vec{x} \in V$ as $x_i \rightarrow x'_i = f_i(x_i, a_j)$ where f_i is analytic in x_i and a_j . Additionally f_i should have an inverse.

The translation group $T(1)$ with $g(a) = e^{a \frac{d}{dx}}$ is a Lie group since $g(a) \cdot g(a') = g(a + a')$ and $a + a'$ is analytic. Here we can write $f(x, a) = x + a$. $SU(n)$ are Lie groups as they have a fundamental representation $e^{i\vec{a}\vec{\lambda}}$ where λ is a set of $n \times n$ -matrices, and $f_i(\vec{x}, \vec{a}) = [e^{i\vec{a}\vec{\lambda}}\vec{x}]_i$.

By the analyticity we can always construct the parametrization so that $g(0) = e$ or $x_i =$

$f_i(x_i, 0)$. By an infinitesimal transformation da_i we then get the following Taylor expansion⁵

$$\begin{aligned} x'_i &= x_i + dx_i = f_i(x_i, da_i) \\ &= f_i(x_i, 0) + \frac{\partial f_i}{\partial a_j} da_j + \dots \\ &= x_i + \frac{\partial f_i}{\partial a_j} da_j \end{aligned}$$

This is the transformation by the member of the group that in the parameterisation sits da_j from the identity. If we now let F be a function from the vector space V to either the real \mathbb{R} or complex numbers \mathbb{C} , then the group transformation defined by da_i changes F by

$$\begin{aligned} dF &= \frac{\partial F}{\partial x_i} dx_i \\ &= \frac{\partial F}{\partial x_i} \frac{\partial f_i}{\partial a_j} da_j \\ &\equiv da_j X_j F \end{aligned}$$

where the operators defined by

$$X_j \equiv \frac{\partial f_i}{\partial a_j} \frac{\partial}{\partial x_i}$$

are called the n **generators** of the Lie group. It is these generators X that define the action of the Lie group in a given representation as the a 's are mere parameters.

As an example of the above we can now go in the opposite direction and look at the two-parameter transformation *defined* by

$$x' = f(x) = a_1 x + a_2,$$

which gives

$$X_1 = \frac{\partial f}{\partial a_1} \frac{\partial}{\partial x} = x \frac{\partial}{\partial x},$$

which is the generator for **dilation** (scale change), and

$$X_2 = \frac{\partial}{\partial x},$$

which is the generator for $T(1)$. Note that $[X_1, X_2] = -X_2$.

Exercise: Find the generators of $SU(2)$ and their commutation relationships.
Hint: One answer uses the Pauli matrices, but try to derive this from an infinitesimal parametrization.

Next we lists three central results on Lie groups derived by Sophus Lie [6]:

⁵The fact that f_i is analytic means that this Taylor expansion must converge in some radius around $f_i(x_i, 0)$.

Theorem: (Lie's theorems)

- i) For a Lie group $\frac{\partial f_i}{\partial a_j}$ is analytic.
- ii) The generators X_i satisfy $[X_i, X_j] = C_{ij}^k X_k$, where C_{ij}^k are **structure constants**.
- iii) $C_{ij}^k = -C_{ji}^k$ and $C_{ij}^k C_{kl}^m + C_{jl}^k C_{ki}^m + C_{li}^k C_{kj}^m = 0$.^a

^aThe second identity follows from the Jacobi identity $[X_i, [X_j, X_k]] + [X_j, [X_k, X_i]] + [X_k, [X_i, X_j]] = 0$

Exercise: What are the structure constants of $SU(2)$?

1.4 Lie algebras

Definition: An **algebra** A on a field (say \mathbb{R} or \mathbb{C}) is a linear vector space with a binary operation $\circ : A \times A \rightarrow A$.

The vector space \mathbb{R}^3 together with the cross-product constitutes an algebra.

Definition: A **Lie algebra** L is an algebra where the binary operator $[\ , \]$, called Lie bracket, has the properties that for $x, y, z \in L$ and $a, b \in \mathbb{R}$ (or \mathbb{C}):

- i) (associativity)

$$[ax + by, z] = a[x, z] + b[y, z]$$

$$[z, ax + by] = a[z, x] + b[z, y]$$

- ii) (anti-commutation)

$$[x, y] = -[y, x]$$

- iii) (Jacobi identity)

$$[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$$

We usually restrict ourselves to algebras of linear operators with $[x, y] = xy - yx$, where property iii) is automatic. From Lie's theorems the generators of an n -dimensional Lie group

form an n -dimensional Lie algebra.

We mentioned the fundamental representation of a matrix based group earlier. These representations have the lowest possible dimension. Another important representation is the **adjoint**. This consists of the matrices:

$$(M_i)_j^k = -C_{ij}^k$$

where C_{ij}^k are the structure constants. From the Jacobi identity we have $[M_i, M_j] = C_{ij}^k M_k$, meaning that the adjoint representation fulfills the same algebra as the fundamental (generators). Note that the dimension of the fundamental representation n for $SO(n)$ and $SU(n)$ is always smaller than the adjoint, which is equal to the degrees of freedom, $\frac{1}{2}n(n-1)$ and $n^2 - 1$ respectively.

Exercise: Find the dimensions of the fundamental and adjoint representations of $SU(n)$.

Exercise: Find the fundamental representation for $SO(3)$ and the adjoint representation for $SU(2)$. What does this say about the groups and their algebras?

Chapter 2

The Poincaré algebra and its extensions

We now take a look at the groups behind Special Relativity (SR), the Lorentz and Poincaré groups, and look for ways to extend them to internal symmetries, *i.e.* gauge groups.

2.1 The Lorentz Group

A point in the Minkowski space-time manifold \mathbb{M}_4 is given by $x^\mu = (t, x, y, z)$ and Einstein's requirement was that physics should be invariant under the Lorentz group.

Definition: The **Lorentz group** L is the group of linear transformations $x^\mu \rightarrow x'^\mu = \Lambda^\mu_\nu x^\nu$ such that $x^2 = x_\mu x^\mu = x'_\mu x'^\mu$ is invariant. The **proper orthochronous Lorentz group** L_+^\uparrow is a subgroup of L where $\det \Lambda = 1$ and $\Lambda^0_0 \geq 1$.

^aThis guarantees that time moves forward, and makes space and time reflections impossible, with the group describing only boosts and rotations.

From the discussion in the previous section one can show that any $\Lambda \in L_+^\uparrow$ can be written as

$$\Lambda^\mu_\nu = \left[\exp \left(-\frac{i}{2} \omega^{\rho\sigma} M_{\rho\sigma} \right) \right]^\mu_\nu, \quad (2.1)$$

where $\omega_{\rho\sigma} = -\omega_{\sigma\rho}$ are the parameters of the transformation and $M_{\rho\sigma}$ are the generators of L , and the basis of the Lie algebra for L , and are given by:

$$M = \begin{bmatrix} 0 & -K_1 & -K_2 & -K_3 \\ K_1 & 0 & J_3 & -J_2 \\ K_2 & -J_3 & 0 & J_1 \\ K_3 & J_2 & -J_1 & 0 \end{bmatrix},$$

where K_i and J_i are generators of boost and rotation respectively. These fulfil the following algebra:¹

$$[J_i, J_j] = -i\epsilon_{ijk}J_k, \quad (2.2)$$

$$[J_i, K_j] = i\epsilon_{ijk}K_k, \quad (2.3)$$

$$[K_i, K_j] = -i\epsilon_{ijk}J_k. \quad (2.4)$$

The generators M of L obey the commutation relation:

$$[M_{\mu\nu}, M_{\rho\sigma}] = -i(g_{\mu\rho}M_{\nu\sigma} - g_{\mu\sigma}M_{\nu\rho} - g_{\nu\rho}M_{\mu\sigma} + g_{\nu\sigma}M_{\mu\rho}). \quad (2.5)$$

2.2 The Poincaré group

We extend L by translation to get the Poincaré group, where translation : $x^\mu \rightarrow x'^\mu = x^\mu + a^\mu$. This leaves lengths $(x - y)^2$ invariant in \mathbb{M}_4 .

Definition: The **Poincaré group** P is the group of all transformations of the form

$$x^\mu \rightarrow x'^\mu = \Lambda^\mu{}_\nu x^\nu + a^\mu.$$

We can also construct the **restricted Poincaré group** P_+^\uparrow , by restricting the matrices Λ in the same way as in L_+^\uparrow .

We see that the composition of two elements in the group is:

$$(\Lambda_1, a_1) \bullet (\Lambda_2, a_2) = (\Lambda_1\Lambda_2, \Lambda_1 a_2 + a_1).$$

This tells us that the Poincaré group is **not** a direct product of the Lorentz group and the translation group, but a **semi-direct product** of L and the translation group $T(1, 3)$, $P = L \rtimes T(1, 3)$. The translation generators P_μ have a trivial commutation relationship:²

$$[P_\mu, P_\nu] = 0 \quad (2.6)$$

One can show that:³

$$[M_{\mu\nu}, P_\rho] = -i(g_{\mu\rho}P_\nu - g_{\nu\rho}P_\mu) \quad (2.7)$$

Equations (2.5)–(2.7) form the **Poincaré algebra**, a Lie algebra.

2.3 The Casimir operators of the Poincaré group

Definition: The **Casimir operators** of a Lie algebra are the operators that commute with all elements of the algebra ^a

^aTechnically we say they are members of the centre of the universal enveloping algebra of the Lie algebra. Whatever that means.

¹Notice that (2.2) and (2.4) are the $SU(2)$ algebra.

²This means that the translation group in Minkowski space is abelian. This is obvious, since $x^\mu + y^\mu = y^\mu + x^\mu$. One can show that the differential representation is the expected $P_\mu = -i\partial_\mu$.

³For a rigorous derivation of this see Chapter 1.2 of [8]

A central theorem in representation theory for groups and algebras is **Schur's lemma**:

Theorem: (Schur's Lemma)

In any irreducible representation of a Lie algebra, the Casimir operators are proportional to the identity.

This has the wonderful consequence that the constants of proportionality can be used to classify the (irreducible) representations of the Lie algebra (and group). Let us take a concrete example to illustrate: $P^2 = P_\mu P^\mu$ is a Casimir operator of the Poincaré algebra because the following holds:⁴

$$[P_\mu, P^2] = 0, \quad (2.11)$$

$$[M_{\mu\nu}, P^2] = 0. \quad (2.12)$$

This allows us to label the irreducible representation of the Poincaré group with a quantum number m^2 , writing a corresponding state as $|m\rangle$, such that:⁵

$$P^2|m\rangle = m^2|m\rangle.$$

The number of Casimir operators is the **rank** of the algebra, *e.g.* $\text{rank } SU(n) = n - 1$. It turns out that P_+^\dagger has rank 2, and thus two Casimir operators. To demonstrate this is rather involved, and we won't make an attempt here, but note that it can be shown that⁶ $L_+^\dagger \cong SU(2) \times SU(2)$ because of the structure of the boost and rotation generators, where $SU(2)$ can be shown to have rank 1. Furthermore, $L_+^\dagger \cong SL(2, \mathbb{C})$. We will return to this relationship between L_+^\dagger and $SL(2, \mathbb{C})$ in Section 2.5, where we use it to reformulate the algebras we work with in supersymmetry.

So, what is the second Casimir of the Poincaré algebra?

Definition: The **Pauli-Ljubanski polarisation vector** is given by:

$$W_\mu \equiv \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} P^\nu M^{\rho\sigma}. \quad (2.13)$$

⁴The first relation follows trivially from the commutation of P_μ with P_ν . To show the second we first use that

$$[M_{\mu\nu}, P_\rho P^\rho] = [M_{\mu\nu}, P_\rho] P^\rho + P_\rho [M_{\mu\nu}, P^\rho], \quad (2.8)$$

and Eq. (2.7) to get:

$$[M_{\mu\nu}, P_\rho P^\rho] = -i(g_{\mu\rho} P_\nu - g_{\nu\rho} P_\mu) P^\rho - iP_\rho (g_{\mu}{}^\rho P_\nu - g_{\nu}{}^\rho P_\mu), \quad (2.9)$$

thus

$$[M_{\mu\nu}, P_\rho P^\rho] = -2i[P_\mu, P_\nu] = 0. \quad (2.10)$$

⁵This quantum number looks astonishingly like mass and P^2 like the square of the 4-momentum operator. However, we note that in general m^2 is not restricted to be larger than zero.

⁶Here \cong means homomorphic, that is structure preserving.

Then $W^2 = W_\mu W^\mu$ is a Casimir operator of P_+^\dagger , *i.e.*:

$$[M_{\mu\nu}, W^2] = 0 \quad (2.14)$$

$$[P_\mu, W^2] = 0 \quad (2.15)$$

To show this we can re-write the operator as:⁷

$$W^2 = -\frac{1}{2}M_{\mu\nu}M^{\mu\nu}P^2 + M^{\rho\sigma}M_{\nu\sigma}P_\rho P^\nu.$$

From the above it is easy to show that W^2 is indeed a Casimir

Again, because W^2 is a Casimir operator, we can label all states in an irreducible representation (read particles) with quantum numbers m, s , such that:

$$W^2|m, s\rangle = -m^2s(s+1)|m, s\rangle$$

The m^2 appears because there are two P_μ operators in each term. However, what is the significance of the s , and why do we choose to write the quantum number in that (familiar?) way? One can easily show using ladder operators that $s = 0, \frac{1}{2}, 1, \dots$, *i.e.* can only take integer and half integer values. In the rest frame (RF) of the particle we have:⁸

$$P_\mu = (m, \vec{0})$$

Using that $WP = 0$ this gives us $W_0 = 0$ in the RF, and furthermore:

$$W_i = \frac{1}{2}\epsilon_{i0jk}mM^{jk} = mS_i,$$

where $S_i = \frac{1}{2}\epsilon_{ijk}M^{jk}$ is the **spin operator**. This gives $W^2 = -\vec{W}^2 = -m^2\vec{S}^2$, meaning that s is indeed the spin quantum number.⁹

The conclusion of this subsection is that anything transforming under the Poincaré group, meaning the objects considered by SR, can be classified by two quantum numbers: mass and spin.

2.4 The no-go theorem and graded Lie algebras

Since we now know the Poincaré group and its representations well, we can ask: Can the external space-time symmetries be extended, perhaps also to include the internal gauge symmetries? Unfortunately no. In 1967 Coleman and Mandula [2] showed that any extension of the Poincaré group to include gauge symmetries is isomorphic to $G_{SM} \times P_+^\dagger$, *i.e.* the generators B_i of standard model gauge groups all have

$$[P_\mu, B_i] = [M_{\mu\nu}, B_i] = 0.$$

Not to be defeated by a simple mathematical proof this was countered by Haag, Łopuszański and Sohnius (HLS) in 1975 in [5] where they introduced the concept of graded Lie algebras

⁷This is non-trivial to demonstrate, see Chapter 1.2 of [8].

⁸This does not lose generality since physics should be independent of frame.

⁹Observe that this discussion is problematic for massless particles. However, it is possible to find a similar relation for massless particles, when we chose a frame where the velocity of the particle is mono-directional.

to get around the no-go theorem.

Definition: A (\mathbb{Z}_2) **graded Lie algebra** or **superalgebra** is a vector space L that is a direct sum of two vector spaces L_0 and L_1 , $L = L_0 \oplus L_1$ with a binary operation $\bullet : L \times L \rightarrow L$ such that for $\forall x_i \in L_i$

- i) $x_i \bullet x_j \in L_{i+j \pmod 2}$ (grading)^a
- ii) $x_i \bullet x_j = -(-1)^{ij} x_j \bullet x_i$ (supersymmetrization)
- iii) $x_i \bullet (x_j \bullet x_k)(-1)^{ik} + x_j \bullet (x_k \bullet x_i)(-1)^{ji} + x_k \bullet (x_i \bullet x_j)(-1)^{kj} = 0$ (generalised Jacobi identity)

This definition can be generalised to \mathbb{Z}_n by a direct sum over n vector spaces L_i , $L = \bigoplus_{i=0}^{n-1} L_i$, such that $x_i \bullet x_j \in L_{i+j \pmod n}$ with the same requirements for supersymmetrization and Jacobi identity as for the \mathbb{Z}_2 graded algebra.

^aThis means that $x_0 \bullet x_0 \in L_0$, $x_1 \bullet x_1 \in L_0$ and $x_0 \bullet x_1 \in L_1$.

We can start, as HLS, with a Lie algebra ($L_0 = P_+^\dagger$) and add a new vector space L_1 spanned by four operators, the Majorana spinor charges Q_a . It can be shown that the superalgebra requirements are fulfilled by:

$$[Q_a, P_\mu] = 0 \quad (2.16)$$

$$[Q_a, M_{\mu\nu}] = (\sigma_{\mu\nu} Q)_a \quad (2.17)$$

$$\{Q_a, \bar{Q}_b\} = 2\mathcal{P}_{ab} \quad (2.18)$$

where $\sigma_{\mu\nu} = \frac{i}{4}[\gamma_\mu, \gamma_\nu]$ and as usual $\bar{Q}_a = (Q^\dagger \gamma_0)_a$.¹⁰

Unfortunately, the internal gauge groups are nowhere to be seen. They can appear if we extend the algebra with Q_a^α , where $\alpha = 1, \dots, N$, which gives rise to so-called $N > 1$ supersymmetries. This introduces extra particles and does not seem to be realised in nature due to an extensive number of extra particles.¹¹ This extension, including $N > 1$, can be proven, under some reasonable assumptions, to be the **largest possible** extension of SR.

2.5 Weyl spinors

Previously we claimed that there is a homomorphism between L_+^\dagger and $SL(2, \mathbb{C})$. This homomorphism, with $\Lambda^\mu{}_\nu \in L_+^\dagger$ and $M \in SL(2, \mathbb{C})$, can be explicitly given by:¹²

$$\Lambda^\mu{}_\nu(M) = \frac{1}{2} \text{Tr}[\bar{\sigma}^\mu M \sigma_\nu M^\dagger], \quad (2.19)$$

$$M(\Lambda^\mu{}_\nu) = \pm \frac{1}{\sqrt{\det(\Lambda^\mu{}_\nu \sigma_\mu \bar{\sigma}^\nu)}} \Lambda^\mu{}_\nu \sigma_\mu \bar{\sigma}^\nu, \quad (2.20)$$

where $\bar{\sigma}^\mu = (1, -\vec{\sigma})$ and $\sigma^\mu = (1, \vec{\sigma})$.

¹⁰Alternatively, (2.18) can be written as $\{Q_a, Q_b\} = -2(\gamma^\mu C)_{ab} P_\mu$.

¹¹Note that $N > 8$ would include particles with spin greater than 2.

¹²The sign in Eq. (2.20) is the reason that this is a homomorphism, instead of an isomorphism. Each element in $SL(2, \mathbb{C})$ can be assigned to two in L_+^\dagger .

Since we have this homomorphism we can look at the representations of $SL(2, \mathbb{C})$ instead of the Poincaré group (with its usual Dirac spinors) when we describe particles, but what are those representations? It turns out that there exist two inequivalent fundamental representations of $SL(2, \mathbb{C})$:

- i) The self-representation $\rho(M) = M$ working on an element ψ of a representation space F :

$$\psi'_A = M_A{}^B \psi_B \quad A, B = 1, 2$$

- ii) The complex conjugate self-representation $\rho(M) = M^*$ working on $\bar{\psi}$ in a space \dot{F} :¹³

$$\bar{\psi}'_{\dot{A}} = (M^*)_{\dot{A}}{}^{\dot{B}} \bar{\psi}_{\dot{B}} \quad \dot{A}, \dot{B} = 1, 2$$

Definition: ψ and $\bar{\psi}$ are called **left- and right-handed Weyl spinors**.

Indices can be lowered and raised with:

$$\epsilon_{AB} = \epsilon_{\dot{A}\dot{B}} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

$$\epsilon^{AB} = \epsilon^{\dot{A}\dot{B}} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

The relationship between ψ and $\bar{\psi}$ can be expressed with:¹⁴

$$\sigma^{\dot{0}\dot{A}A} (\psi_A)^* = \bar{\psi}^{\dot{A}}$$

Note that from the above:

$$(\psi_A)^\dagger = \bar{\psi}_{\dot{A}}$$

$$(\bar{\psi}_{\dot{A}})^\dagger = \psi_A$$

We define contractions of Weyl spinors as follows:

Definition: $\psi\chi \equiv \psi^A \chi_A$ and $\bar{\psi}\bar{\chi} \equiv \bar{\psi}_{\dot{A}} \bar{\chi}^{\dot{A}}$.

These quantities are invariant under $SL(2, \mathbb{C})$. With this in hand we see that

$$\psi^2 \equiv \psi\psi = \psi^A \psi_A = \epsilon^{AB} \psi_B \psi_A = \epsilon^{12} \psi_2 \psi_1 + \epsilon^{21} \psi_1 \psi_2 = \psi_2 \psi_1 - \psi_1 \psi_2.$$

This quantity is zero if the Weyl spinors commute. In order to avoid this we make the following assumption which is consistent with how we treat fermions (and Dirac spinors):

Postulate: All Weyl spinors anticommute:^a $\{\psi_A, \psi_B\} = \{\bar{\psi}_{\dot{A}}, \bar{\psi}_{\dot{B}}\} = \{\psi_A, \bar{\psi}_{\dot{B}}\} = \{\bar{\psi}_{\dot{A}}, \psi_B\} = 0$.

^aThis means that Weyl spinors are so-called **Grassmann numbers**.

¹³The dot on the indices is just there to help us remember which sum is which and does not carry any additional importance.

¹⁴This is a bit daft, as $\sigma^{\dot{0}\dot{A}A} = \delta_{\dot{A}A}$, and we will in the following omit the matrix and write $(\psi_A)^* = \bar{\psi}^{\dot{A}}$.

This means that

$$\psi^2 \equiv \psi\psi = \psi^A\psi_A = -2\psi_1\psi_2.$$

Weyl spinors can be related to Dirac spinors ψ_a as well:¹⁵

$$\psi_a = \begin{pmatrix} \psi^A \\ \bar{\chi}^{\dot{A}} \end{pmatrix}.$$

We see that in order to describe a Dirac spinor we need both handedness of Weyl spinor. For Majorana spinors we have:

$$\psi_a = \begin{pmatrix} \psi^A \\ \bar{\psi}^{\dot{A}} \end{pmatrix}.$$

We can now write the super-Poincaré algebra (superalgebra) in terms of Weyl spinors. With

$$Q_a = \begin{pmatrix} Q^A \\ \bar{Q}^{\dot{A}} \end{pmatrix}, \quad (2.21)$$

for the Majorana spinor charges, we have

$$\{Q_A, Q_B\} = \{\bar{Q}_{\dot{A}}, \bar{Q}_{\dot{B}}\} = 0 \quad (2.22)$$

$$\{Q_A, \bar{Q}_{\dot{B}}\} = 2\sigma_{A\dot{B}}^\mu P_\mu \quad (2.23)$$

$$[Q_A, P_\mu] = [\bar{Q}_{\dot{A}}, P_\mu] = 0 \quad (2.24)$$

$$[Q_A, M^{\mu\nu}] = \sigma_A^{\mu\nu B} Q_B \quad (2.25)$$

where $\sigma^{\mu\nu} = \frac{i}{4}(\sigma^\mu\bar{\sigma}^\nu - \sigma^\nu\bar{\sigma}^\mu)$.

Exercise: Show that L_+^\uparrow and $SL(2, \mathbb{C})$ are indeed **homomorphic**, *i.e.* that the mapping defined by (2.19) or (2.20) has the property that $\Lambda(M_1M_2) = \Lambda(M_1)\Lambda(M_2)$ or $M(\Lambda_1\Lambda_2) = M(\Lambda_1)M(\Lambda_2)$.

2.6 The Casimir operators of the super-Poincaré algebra

When Q_a is four-dimensional it is easy to see that P^2 is still a Casimir operator of the superalgebra. From Eq. (2.24) P_μ commutes with the Q s, so in turn P^2 must commute. However, W^2 is not a Casimir because of the following result:

$$[W^2, Q_a] = W_\mu(\not{P}\gamma_\mu\gamma^5 Q)_a + \frac{3}{4}P^2 Q_a.$$

We want to find an extension of W that commutes with the Q s while retaining the commutators we already have. The construction

$$C_{\mu\nu} \equiv B_\mu P_\nu - B_\nu P_\mu,$$

¹⁵Note that in general $(\psi_A)^* \neq \bar{\chi}^{\dot{A}}$.

where

$$B_\mu \equiv W_\mu + \frac{1}{4}X_\mu,$$

with

$$X_\mu \equiv \frac{1}{2}\bar{Q}\gamma_\mu\gamma^5Q,$$

has the required relation:

$$[C_{\mu\nu}, Q_a] = 0.$$

By excessive algebra we can show that:

$$\begin{aligned} [C^2, Q_a] &= 0 \quad (\text{trivial}) \\ [C^2, P_\mu] &= 0 \quad (\text{algebra}) \\ [C^2, M_{\mu\nu}] &= 0 \quad (\text{because } C^2 \text{ is a Lorentz scalar}) \end{aligned}$$

Thus C^2 is a Casimir operator for the superalgebra.

2.7 Representations of the superalgebra

What sort of particles are described by the superalgebra? Let us again assume without loss of generality that we are in the rest frame, *i.e.* $P_\mu = (m, \vec{0})$. As for the original Poincaré group, states are labeled by m , where m^2 is the eigenvalue of P^2 . For C^2 we have to do a bit of calculation:

$$\begin{aligned} C^2 &= 2B_\mu P_\nu B^\mu P^\nu - 2B_\mu P_\nu B^\nu P^\mu \\ &\stackrel{RF}{=} 2m^2 B_\mu B^\mu - 2m^2 B_0^2 \\ &= 2m^2 B_k B^k, \end{aligned}$$

and from the definition of B_μ we get:

$$\begin{aligned} B_k &= W_k + \frac{1}{4}X_k \\ &= mS_k + \frac{1}{8}\bar{Q}\gamma_\mu\gamma^5Q \equiv mJ_k. \end{aligned}$$

The operator we just defined, $J_k \equiv \frac{1}{m}B_k$, is an abstraction of the ordinary spin operator, and fulfills the angular momentum algebra (just like the spin operator):

$$[J_i, J_j] = i\epsilon_{ijk}J_k.$$

and has $[J_k, Q_a] = 0$.¹⁶ This gives us

$$C^2 = 2m^4 J_k J^k,$$

such that:

$$C^2|m, j, j_3\rangle = -m^4 j(j+1)|m, j, j_3\rangle,$$

¹⁶Again the proof is algebraically extensive, and again I suggest the interested reader to pursue [8].

where it can be shown that $j = 0, \frac{1}{2}, 1 \dots$ and $j_3 = -j, -j + 1, \dots, j$ because J_k fulfils the angular momentum algebra. So, the irreducible representations of the superalgebra can be labeled by m, j , and any given set m, j will give us $2j + 1$ states with different j_3 .¹⁷

In the following we will construct all the states for a given representation with the set m, j . To do this it is very usefull to write the generators Q in terms of two-component Weyl spinors instead of four-component Dirac spinors, making explicit use of their Majorana nature, as we did in Section 2.5. We note that from the above discussion

$$[J_k, Q_A] = [J_k, \bar{Q}_{\dot{B}}] = 0.$$

We begin by claiming that for any given j_3 there must then exist a state $|\Omega\rangle$ that has the same value of j_3 and for which

$$Q_A|\Omega\rangle = 0. \quad (2.26)$$

This is called the **Clifford vacuum**.¹⁸ To show this, start with $|\beta\rangle$, a state with j_3 . Then the construction

$$|\Omega\rangle = Q_1 Q_2 |\beta\rangle$$

has these properties. First we show that (2.26) holds:

$$Q_1 Q_1 Q_2 |\beta\rangle = -Q_1 Q_1 Q_2 |\beta\rangle = 0$$

and

$$Q_2 Q_1 Q_2 |\beta\rangle = -Q_1 Q_2 Q_2 |\beta\rangle = Q_1 Q_2 Q_2 |\beta\rangle = -Q_2 Q_1 Q_2 |\beta\rangle = 0.$$

For this Clifford vacuum state we then have:

$$\begin{aligned} J_3 |\Omega\rangle &= J_3 Q_1 Q_2 |\beta\rangle \\ &= Q_1 Q_2 J_3 |\beta\rangle = j_3 |\Omega\rangle, \end{aligned}$$

in other words, $|\Omega\rangle$ has the same value for j_3 as the $|\beta\rangle$ it was constructed from. We can now use the explicit expression for J_k

$$J_k = S_k - \frac{1}{4m} \bar{Q}_{\dot{B}} \bar{\sigma}_k^{\dot{B}A} Q_A,$$

in order to find the spin for this state:

$$J_k |\Omega\rangle = S_k |\Omega\rangle = j_k |\Omega\rangle,$$

meaning that $s_3 = j_3$ and $s = j$ are the eigenvalues of S_3 and S^2 for the Clifford vacuum $|\Omega\rangle$.

We can construct three more states from the Clifford vacuum:¹⁹

$$\bar{Q}^{\dot{1}} |\Omega\rangle, \quad \bar{Q}^{\dot{2}} |\Omega\rangle, \quad \bar{Q}^{\dot{1}} \bar{Q}^{\dot{2}} |\Omega\rangle.$$

This means that there are four possible states that can be constructed out of any state with the quantum numbers m, j, j_3 . Taking a look at:

$$J_k \bar{Q}^{\dot{A}} |\Omega\rangle = \bar{Q}^{\dot{A}} J_k |\Omega\rangle = j_k \bar{Q}^{\dot{A}} |\Omega\rangle,$$

¹⁷Note that j is NOT the spin, but a generalization of spin.

¹⁸It is called the Clifford vacuum because the operators satisfy a Clifford algebra $\{Q_A, \bar{Q}_{\dot{B}}\} = 2m\sigma_{A\dot{B}}^0$. Do not confuse this with a vacuum state, it is only a name.

¹⁹All other possible combinations of Q s and $|\Omega\rangle$ give either one of the other four states, or the zero state which is trivial and of no interest.

this means that all these states have the same j_3 (and j) quantum numbers.²⁰ From the superalgebra (2.25) we have:

$$[M^{ij}, \bar{Q}^{\dot{A}}] = -(\sigma^{ij})^{\dot{A}}_{\dot{B}} \bar{Q}^{\dot{B}},$$

so that:

$$S_3 \bar{Q}^{\dot{A}} |\Omega\rangle = \bar{Q}^{\dot{A}} S_3 |\Omega\rangle - \frac{1}{2} (\bar{\sigma}_3 \sigma^0)^{\dot{A}}_{\dot{B}} \bar{Q}^{\dot{B}} |\Omega\rangle = \left(j_3 \mp \frac{1}{2} \right) \bar{Q}^{\dot{A}} |\Omega\rangle,$$

where $-$ is for $\dot{A} = \dot{1}$ and $+$ is for $\dot{A} = \dot{2}$. We can similarly show that

$$S_3 \bar{Q}^{\dot{1}} \bar{Q}^{\dot{2}} |\Omega\rangle = j_3 \bar{Q}^{\dot{1}} \bar{Q}^{\dot{2}} |\Omega\rangle.$$

This means that each set of quantum numbers m, j, j_3 gives 2 states with $s_3 = j_3$, and two with $s_3 = j_3 \pm \frac{1}{2}$, giving two bosonic and two fermionic states, with the same mass.

The above explains the much repeated statement that any supersymmetry theory has an equal number of bosons and fermions, which, incidentally, is not true.

Theorem: For any representation of the superalgebra where P_μ is a one-to-one operator there is an equal number of boson and fermion states.

To show this, divide the representation into two sets of states, one with bosons and one with fermions. Let $\{Q_A, \bar{Q}_{\dot{B}}\}$ act on the members of the set of bosons. $\bar{Q}_{\dot{B}}$ transforms bosons to fermions and Q_A does the reverse mapping. If P_μ is one-to-one, then so is $\{Q_A, \bar{Q}_{\dot{B}}\} = 2\sigma^\mu_{\dot{A}\dot{B}} P_\mu$. Thus there must be an equal number in both sets.²¹

Let us expand on the two simplest examples. For $j = 0$ the Clifford vacuum $|\Omega\rangle$ has $s = 0$ and is a bosonic state. There are two states $\bar{Q}^{\dot{A}} |\Omega\rangle$ with $s = \frac{1}{2}$ and $s_3 = \mp \frac{1}{2}$ and one state $\bar{Q}^{\dot{1}} \bar{Q}^{\dot{2}} |\Omega\rangle$ with $s = 0$ and $s_3 = 0$. In total there are two scalar particles and two spin- $\frac{1}{2}$ fermions. Note that all these particles have the same mass. We will later refer to this set of states as the **scalar superfield**.

For $j = \frac{1}{2}$ we have two Clifford vacua $|\Omega\rangle$ with $j_3 = \pm \frac{1}{2}$, and with $s = \frac{1}{2}$ and $s_3 = \pm \frac{1}{2}$ (thus they are fermionic states). For the moment we label them as $|\Omega; \frac{1}{2}\rangle$ and $|\Omega; -\frac{1}{2}\rangle$. From each of these we can construct two further fermion states $\bar{Q}^{\dot{1}} \bar{Q}^{\dot{2}} |\Omega; \pm \frac{1}{2}\rangle$ with $s_3 = \mp \frac{1}{2}$. In addition to this we have the states $\bar{Q}^{\dot{1}} |\Omega; \frac{1}{2}\rangle$ and $\bar{Q}^{\dot{2}} |\Omega; -\frac{1}{2}\rangle$ with $s_3 = 0$, the state $\bar{Q}^{\dot{2}} |\Omega; \frac{1}{2}\rangle$ with $s_3 = 1$, and the state $\bar{Q}^{\dot{1}} |\Omega; -\frac{1}{2}\rangle$ has $s_3 = -1$. Together these states can form two fermions with $s = \frac{1}{2}$ and $s_3 = \pm \frac{1}{2}$, one massive vector particle with $s = 1$, and $s_3 = 1, 0, -1$, and one scalar with $s = 0$.²² We will later refer to this set of states as the **vector superfield**.

Exercise: What are the states for $j = 1$?

We should use the term particle here very lightly since the states we have found are spinor states. A real Dirac fermion can only be described by a $j = 0$ representation and a

²⁰The same can easily be shown for $\bar{Q}^{\dot{1}} \bar{Q}^{\dot{2}} |\Omega\rangle$.

²¹Observe that this tells us that there must be an equal number of states in both sets, not particles.

²²For massless particles, $m = 0$, we can form a vector particle with $s_3 = \pm 1$ and one extra scalar.

complex conjugate representation, thus having four degrees of freedom (d.o.f.). In field theory calculations, when the fermion is on-shell, two of these are eliminated in the Dirac equation, thus we get the expected two d.o.f. for a fermion.

Chapter 3

Superspace

In this chapter we will introduce a very handy notation for considering supersymmetry transformations effected by the superalgebra, or, more correctly, the elements of the super-Poincaré group. This is called superspace, and allows us to define so-called superfields. In order to do this we first need to know a little about the properties of Grassman numbers.

3.1 Superspace calculus

Grassman numbers θ are numbers that anti-commute with each others but not with ordinary numbers. We will here use four such numbers, and in addition we want to place them in Weyl spinors:¹

$$\{\theta^A, \theta^B\} = \{\theta^A, \bar{\theta}^{\dot{B}}\} = \{\bar{\theta}^{\dot{A}}, \theta^B\} = \{\bar{\theta}^{\dot{A}}, \bar{\theta}^{\dot{B}}\} = 0.$$

From this we get the relationships:²

$$\theta_A^2 = \theta_A \theta_A = -\theta_A \theta_A = 0, \tag{3.1}$$

$$\theta^2 \equiv \theta\theta \equiv \theta^A \theta_A = -2\theta_1 \theta_2, \tag{3.2}$$

$$\bar{\theta}^2 \equiv \bar{\theta}\bar{\theta} \equiv \bar{\theta}_{\dot{A}} \bar{\theta}^{\dot{A}} = 2\bar{\theta}^{\dot{1}} \bar{\theta}^{\dot{2}}. \tag{3.3}$$

Notice that if we have a function f of a Grassman number, say θ_A , then the all-order expansion of that function in terms of θ_A , is

$$f(\theta_A) = a_0 + a_1 \theta_A, \tag{3.4}$$

there simply are no more terms because of (3.1).

We now need to define differentiation and integration on these numbers in order to create a calculus for them.

¹We can already see how this can be handy: if we consistently use $\theta^A Q_A$ and $\bar{\theta}_{\dot{A}} \bar{Q}^{\dot{A}}$ instead of only Q_A and $\bar{Q}^{\dot{A}}$ in Eqs. (2.22)–(2.25) we can actually rewrite the superalgebra as an ordinary Lie algebra because of these commutation properties.

²There is no summation implied in the first line.

Definition: We define differentiation by:^a

$$\partial_A \theta^B \equiv \frac{\partial}{\partial \theta^A} \theta^B \equiv \delta_A^B,$$

with a product rule

$$\begin{aligned} \partial_A (\theta^{B_1} \theta^{B_2} \theta^{B_3} \dots \theta^{B_n}) &\equiv (\partial_A \theta^{B_1}) \theta^{B_2} \theta^{B_3} \dots \theta^{B_n} \\ &\quad - \theta^{B_1} (\partial_A \theta^{B_2}) \theta^{B_3} \dots \theta^{B_n} \\ &\quad + \dots + (-1)^{n-1} \theta^{B_1} \theta^{B_2} \dots (\partial_A \theta^{B_n}). \end{aligned} \quad (3.5)$$

^aNote that this has no infinitesimal interpretation.

Definition: We define integration by $\int d\theta_A \equiv 0$ and $\int d\theta_A \theta_A \equiv 1$ and we demand linearity:

$$\int d\theta_A [af(\theta_A) + bg(\theta_A)] \equiv a \int d\theta_A f(\theta_A) + b \int d\theta_A g(\theta_A).$$

This has one surprising property. If we take the integral of (3.4) we get:

$$\int d\theta_A f(\theta_A) = a_1 = \partial^A f(\theta_A),$$

meaning that differentiation and integration has the same effect on Grassman numbers.

To integrate over multiple Grassman numbers we define volume elements for the Weyl spinors

Definition:

$$\begin{aligned} d^2\theta &\equiv -\frac{1}{4} d\theta^A d\theta_A, \\ d^2\bar{\theta} &\equiv -\frac{1}{4} d\bar{\theta}_{\dot{A}} d\bar{\theta}^{\dot{A}}, \\ d^4\theta &\equiv d^2\theta d^2\bar{\theta}. \end{aligned}$$

This means that

$$\begin{aligned} \int d^2\theta \theta\theta &= 1 \\ \int d^2\bar{\theta} \bar{\theta}\bar{\theta} &= 1 \\ \int d^4\theta (\theta\theta)(\bar{\theta}\bar{\theta}) &= 1 \end{aligned}$$

Delta functions of Grassmann variables are given by:

$$\delta(\theta_A) = \theta_A$$

$$\delta^2(\theta_A) = \theta\theta$$

$$\delta^2(\bar{\theta}^{\dot{A}}) = \bar{\theta}\bar{\theta}$$

and these functions satisfy (just as the usual definition of delta functions):

$$\int d\theta_A f(\theta_A) \delta(\theta_A) = f(0).$$

3.2 Superspace definition (Salam & Strathdee [10])

Superspace is a coordinate system where supersymmetry transformations are manifest, in other words, the action of elements in the super-Poincaré group (SP) based on the superalgebra are treated like Lorentz-transformations are in Minkowski space.

Definition: Superspace is an eight-dimension manifold that can be constructed from the **coset space** of the super-Poincaré group (SP) and the Lorentz group (L), SP/L , by giving coordinates $z^\pi = (x^\mu, \theta^A, \bar{\theta}^{\dot{A}})$, where x^μ are the ordinary Minkowski coordinates, and where θ_A and $\bar{\theta}^{\dot{A}}$ are four Grassman (anti-commuting) numbers, being the parameters of the Q -operators in the algebra.

To see this we begin by writing a general element of SP , $g \in SP$, as³

$$g = \exp[-ix^\mu P_\mu + i\theta^A Q_A + i\bar{\theta}_{\dot{A}} \bar{Q}^{\dot{A}} - \frac{i}{2} \omega_{\rho\nu} M^{\rho\nu}],$$

where x^μ , θ^A , $\bar{\theta}_{\dot{A}}$ and $\omega_{\rho\nu}$ constitute the parametrization of the group, and P_μ , Q_A , $\bar{Q}^{\dot{A}}$ and $M_{\rho\nu}$ are the generators. We can now parametrise SP/L simply by setting $\omega_{\mu\nu} = 0$.⁴ The remaining parameters of SP/L then span superspace.

As we are physicists we also want to know the dimensions of our new parameters. To do this we first look at Eq. (2.23):

$$\{Q_A, \bar{Q}_{\dot{B}}\} = 2\sigma^\mu_{A\dot{B}} P_\mu$$

we know that P_μ has mass dimension $[P_\mu] = M$. This means that $[Q^2] = M$ and $[Q] = M^{\frac{1}{2}}$. In the exponential, all terms must have mass dimension zero to make sense. This means that $[\theta Q] = 0$, and therefore $[\theta] = M^{-\frac{1}{2}}$.

In order to show the effect of supersymmetry transformations, we begin by noting that any SP transformation can effectively be written in the following way:

$$L(a, \alpha) = \exp[-ia^\mu P_\mu + i\alpha^A Q_A + i\bar{\alpha}^{\dot{A}} \bar{Q}_{\dot{A}}],$$

³We have already used this property, but this is what is formally called an **exponential map** of the Lie algebra to the Lie group. For matrix Lie groups this is simply the matrix exponential shown here. Technically this provides a **local cover** of the group around small values for the parameters.

⁴ SP/L is not a coset group as defined previously, because L is not a normal subgroup of SP , but its parametrisation still forms a vector space which we call superspace.

because one can show that⁵

$$\exp\left[-\frac{i}{2}\omega_{\rho\nu}M^{\rho\nu}\right]L(a, \alpha) = L(\Lambda a, S(\Lambda)\alpha) \exp\left[-\frac{i}{2}\omega_{\rho\nu}M^{\rho\nu}\right], \quad (3.6)$$

i.e. all that a Lorentz boost does is to transform spacetime coordinates by $\Lambda(M)$ and Weyl spinors by $S(\Lambda(M))$, which is a spinor representation of $\Lambda(M)$. Thus, we can pick frames, do our thing with the transformation, and boost back to any frame we wanted. In addition, since P_μ commutes with all the Q s, when we speak of the supersymmetry transformation we usually mean just the transformation

$$\delta_S = \alpha^A Q_A + \bar{\alpha}_{\dot{A}} \bar{Q}^{\dot{A}}. \quad (3.7)$$

We can now find the transformation of superspace coordinates under a supersymmetry transformation, just as we have all seen the transformation of Minkowski coordinates under Lorentz transformations. The effect of $g_0 = L(a, \alpha)$ on a superspace coordinate $z^\pi = (x^\mu, \theta^A, \bar{\theta}_{\dot{A}})$ is defined by the mapping $z^\pi \rightarrow z'^\pi$ given by $g_0 e^{iz^\pi K_\pi} = e^{iz'^\pi K_\pi}$ where $K_\pi = (P_\mu, Q_A, \bar{Q}^{\dot{A}})$. We have⁶

$$\begin{aligned} g_0 e^{iz^\pi K_\pi} &= \exp(-ia^\nu P_\nu + i\alpha^B Q_B + i\bar{\alpha}_{\dot{B}} \bar{Q}^{\dot{B}}) \exp(iz^\pi K_\pi) \\ &= \exp(-ia^\nu P_\nu + i\alpha^B Q_B + i\bar{\alpha}_{\dot{B}} \bar{Q}^{\dot{B}} + iz^\pi K_\pi \\ &\quad - \frac{1}{2}[-ia^\nu P_\nu + i\alpha^B Q_B + i\bar{\alpha}_{\dot{B}} \bar{Q}^{\dot{B}}, iz^\pi K_\pi] + \dots) \end{aligned}$$

Here we take a closer look at the commutator:⁷

$$\begin{aligned} [,] &= [\alpha^B Q_B, \bar{\theta}_{\dot{A}} \bar{Q}^{\dot{A}}] + [\bar{\alpha}_{\dot{B}} \bar{Q}^{\dot{B}}, \theta^A Q_A] \\ &= -\alpha^B \bar{\theta}_{\dot{A}} \epsilon^{\dot{A}\dot{C}} \{Q_B, \bar{Q}_{\dot{C}}\} - \bar{\alpha}_{\dot{B}} \theta^A \epsilon^{\dot{B}\dot{C}} \{\bar{Q}_{\dot{C}}, Q_A\} \\ &= -2\alpha^B \bar{\theta}_{\dot{A}} \epsilon^{\dot{A}\dot{C}} \sigma^\mu_{B\dot{C}} P_\mu - \bar{\alpha}_{\dot{B}} \theta^A \epsilon^{\dot{B}\dot{C}} \sigma^\mu_{A\dot{C}} P_\mu \\ &= (-2\alpha^B \bar{\theta}^{\dot{C}} \sigma^\mu_{B\dot{C}} - 2\bar{\alpha}^{\dot{C}} \theta^A \sigma^\mu_{A\dot{C}}) P_\mu \end{aligned}$$

We can relabel $B = A$ and $\dot{C} = \dot{A}$ which leads to

$$-\frac{1}{2}[,] = (\alpha^A \sigma^\mu_{A\dot{A}} \bar{\theta}^{\dot{A}} - \theta^A \sigma^\mu_{A\dot{A}} \bar{\alpha}^{\dot{A}}) P_\mu.$$

The commutator is proportional with P_μ , and will therefore commute with all operators, in particular the higher terms in the Campbell-Baker-Hausdorff expansion, meaning that the series reduces to

$$\begin{aligned} g_0 e^{iZ^\pi K_\pi} \\ = \exp[i(-x^\mu - a^\mu + i\alpha^A \sigma^\mu_{A\dot{A}} \bar{\theta}^{\dot{A}} - i\theta^A \sigma^\mu_{A\dot{A}} \bar{\alpha}^{\dot{A}}) P_\mu + i(\theta^A + \alpha^A) Q_A + i(\bar{\theta}_{\dot{A}} + \bar{\alpha}_{\dot{A}}) \bar{Q}^{\dot{A}}]. \end{aligned}$$

⁵Fortunately we are not going to do this because it is messy, but it can be done using the algebra of the group and the series expansion of the exponential function. Note, however, that the proof rests on the P s and Q s forming a closed set, which we saw in the algebra Eqs. (2.22)–(2.25).

⁶Here we use Campbell-Baker-Hausdorff expansion $e^{\hat{A}} e^{\hat{B}} = e^{\hat{A} + \hat{B} - \frac{1}{2}[\hat{A}, \hat{B}] + \dots}$ where the next term contains commutators of the first commutator and the operators \hat{A} and \hat{B} .

⁷Using that P_μ commutes with all elements in the algebra, as well as $[\theta^A Q_A, \xi^B Q_B] = \theta^A \xi^B \{Q_A, Q_B\} = 0$, and the same for $\bar{Q}^{\dot{B}}$.

So superspace coordinates transform under supersymmetry transformations as:

$$(x^\mu, \theta^A, \bar{\theta}_{\dot{A}}) \rightarrow f(a^\mu, \alpha^A, \bar{\alpha}_{\dot{A}}) = (x^\mu + a^\mu - i\alpha^A \sigma^\mu_{A\dot{A}} \bar{\theta}^{\dot{A}} + i\theta^A \sigma^\mu_{A\dot{A}} \bar{\alpha}^{\dot{A}}, \theta^A + \alpha^A, \bar{\theta}_{\dot{A}} + \bar{\alpha}_{\dot{A}}). \quad (3.8)$$

As a by-product we can now write down a differential representation for the supersymmetry generators by applying the standard expression for the generators X_i of a Lie algebra, given the functions f_π for the transformation of the parameters:

$$X_j = \frac{\partial f_\pi}{\partial a_j} \frac{\partial}{\partial z_\pi}$$

which gives us:⁸

$$P_\mu = i\partial_\mu \quad (3.9)$$

$$iQ_A = -i(\sigma^\mu \bar{\theta})_A \partial_\mu + \partial_A \quad (3.10)$$

$$i\bar{Q}^{\dot{A}} = -i(\bar{\sigma}^\mu \theta)^{\dot{A}} \partial_\mu + \partial^{\dot{A}} \quad (3.11)$$

Exercise: Check that Eqs. (3.9)–(3.11) fulfil the superalgebra in Eqs. (2.22)–(2.24).

3.3 Covariant derivatives

Similar to the properties of covariant derivatives for gauge transformations in gauge theories, it would be nice to have a derivative that is invariant under supersymmetry transformations, *i.e.* commutes with supersymmetry operators. Obviously $P_\mu = i\partial_\mu$ does this, but more general covariant derivatives can be made.

Definition: The following covariant derivatives commute with supersymmetry transformations:

$$D_A \equiv \partial_A + i(\sigma^\mu \bar{\theta})_A \partial_\mu, \quad (3.12)$$

$$\bar{D}_{\dot{A}} \equiv -\partial_{\dot{A}} - i(\theta \sigma^\mu)^{\dot{A}} \partial_\mu. \quad (3.13)$$

These can be shown to satisfy relations that are useful in calculations:

$$\{D_A, D_B\} = \{\bar{D}_{\dot{A}}, \bar{D}_{\dot{B}}\} = 0 \quad (3.14)$$

$$\{D_A, \bar{D}_{\dot{B}}\} = -2\sigma^\mu_{A\dot{B}} P_\mu \quad (3.15)$$

$$D^3 = \bar{D}^3 = 0 \quad (3.16)$$

$$D^A \bar{D}^2 D_A = \bar{D}_{\dot{A}} D^2 \bar{D}^{\dot{A}} \quad (3.17)$$

From the covariant derivatives we can construct projection operators.

⁸We define the generators X_i as $-iP_\mu$, iQ_A and iQ_B respectively.

Definition: The operators

$$\pi_+ \equiv -\frac{1}{16\Box}\bar{D}^2D^2, \quad (3.18)$$

$$\pi_- \equiv -\frac{1}{16\Box}D^2\bar{D}^2, \quad (3.19)$$

$$\pi_T \equiv \frac{1}{8\Box}\bar{D}_{\dot{A}}D^2\bar{D}^{\dot{A}}, \quad (3.20)$$

with $\Box \equiv \partial_\mu\partial^\mu$, are projection operators, *i.e.* they fulfill:

$$\pi_{\pm,T}^2 = \pi_{\pm,T} \quad (3.21)$$

$$\pi_+\pi_- = \pi_+\pi_T = \pi_-\pi_T = 0 \quad (3.22)$$

$$1 = \pi_+ + \pi_- + \pi_T \quad (3.23)$$

3.4 Superfields

Definition: A **superfield** Φ is an operator valued function on superspace $\Phi(x, \theta, \bar{\theta})$.

We can expand any Φ in a power series in θ and $\bar{\theta}$. In general:⁹

$$\begin{aligned} \Phi(x, \theta, \bar{\theta}) = & f(x) + \theta^A\varphi_A(x) + \bar{\theta}_{\dot{A}}\bar{\chi}^{\dot{A}}(x) + \theta\theta m(x) + \bar{\theta}\bar{\theta}n(x) \\ & + \theta\sigma^\mu\bar{\theta}V_\mu(x) + \theta\theta\bar{\theta}_{\dot{A}}\bar{\lambda}^{\dot{A}}(x) + \bar{\theta}\bar{\theta}\theta^A\psi_A(x) + \theta\theta\bar{\theta}\bar{\theta}d(x). \end{aligned} \quad (3.24)$$

The properties of the component fields of a superfield can be deduced from Φ being a Lorentz scalar. This is shown in Table 3.1

Component field	Type	d.o.f.
$f(x), m(x), n(x)$	Complex(pseudo) scalar	2
$\psi_A(x), \varphi_A(x)$	Left-handed Weyl spinors	4
$\bar{\chi}^{\dot{A}}(x), \bar{\lambda}^{\dot{A}}(x)$	Right-handed Weyl spinors	4
$V_\mu(x)$	Lorentz 4-vector	8
$d(x)$	Complex scalar	2

Table 3.1: Fields contained in a general superfield.

One can show (tedious) that under supersymmetry transformations these component fields transform linearly into each other, thus superfields are **representations** of the supersymmetry (super-Poincaré) algebra, albeit *highly reducible* representations!¹⁰ We can recover the

⁹Note that any superfield commutes with any other superfield, because all Grassmann numbers appear in pairs. Equation (3.24) can be shown to be closed under supersymmetry transformations, meaning that a superfield transforms into another superfield under the transformations of the previous section.

¹⁰Indeed they are linear representations since a sum of superfields is a superfield, and the differential supersymmetry operators act linearly.

known irreducible representations, see Section 2.7, by some rather *ad hoc* restrictions on the fields:¹¹

$$\bar{D}_{\dot{A}}\Phi(x, \theta, \bar{\theta}) = 0 \quad (\text{left-handed scalar superfield}) \quad (3.25)$$

$$D_A\Phi^\dagger(x, \theta, \bar{\theta}) = 0 \quad (\text{right-handed scalar superfield}) \quad (3.26)$$

$$\Phi^\dagger(x, \theta, \bar{\theta}) = \Phi(x, \theta, \bar{\theta}) \quad (\text{vector superfield}) \quad (3.27)$$

Products of same-handed superfields are also superfields with the same handedness:

$$\bar{D}_{\dot{A}}(\Phi_i\Phi_j) = (\bar{D}_{\dot{A}}\Phi_i)\Phi_j + \Phi_i(\bar{D}_{\dot{A}}\Phi_j) = 0$$

This is important when creating a **superpotential**, the supersymmetric precursor to a full Lagrangian.¹²

Note that the projection operators that we defined in Section 3.3, π_\pm , project out left-/right-handed superfields, respectively, because:

$$\bar{D}_{\dot{A}}\pi_+\Phi = D_A\pi_-\Phi^\dagger = 0.$$

This is analogous to the familiar properties of $P_{L/R} = \frac{1}{2}(1 \mp \gamma_5)$ in field theory.

3.4.1 Scalar superfields

What is the connection of the scalar superfields to the $j = 0$ irreducible representation? We use a cute¹³ trick: Change to the variable $y^\mu \equiv x^\mu + i\theta\sigma^\mu\bar{\theta}$. Then:

$$D_A = \partial_A + 2i\sigma_{A\dot{A}}^\mu \bar{\theta}^{\dot{A}} \frac{\partial}{\partial y^\mu}, \quad (3.28)$$

$$\bar{D}_{\dot{A}} = -\partial_{\dot{A}}. \quad (3.29)$$

This means that a field fulfilling $\bar{D}_{\dot{A}}\Phi = 0$ in the new set of coordinates must be independent of $\bar{\theta}$. Thus we can write:

$$\Phi(y, \theta) = A(y) + \sqrt{2}\theta\psi(y) + \theta\theta F(y),$$

and looking at the field content we get the result in Table 3.2.

Component field	Type	d.o.f.
$A(x), F(x)$	Complex scalar	2
$\psi(x)$	Left-handed Weyl spinors	4

Table 3.2: Fields contained in a left-handed scalar superfield.

We can undo the coordinate change and get:¹⁴

$$\Phi(x, \theta, \bar{\theta}) = A(x) + i(\theta\sigma^\mu\bar{\theta})\partial_\mu A(x) - \frac{1}{4}\theta\theta\bar{\theta}\bar{\theta}\square A(x) + \sqrt{2}\theta\psi(x) - \frac{i}{\sqrt{2}}\theta\theta\partial_\mu\psi(x)\sigma^\mu\bar{\theta} + \theta\theta F(x).$$

¹¹Note that the dagger here is part of the name of the field.

¹²Supersymmetry transformations can be shown to transform left-handed superfields into left-handed superfields and right-handed superfields into right-handed superfields.

¹³Here cute is used in the widest sense.

¹⁴Just by expanding the above in powers of θ and $\bar{\theta}$.

By doing the transformation $y^\mu \equiv x^\mu - i\theta\sigma^\mu\bar{\theta}$ we can show a similar field content for the right handed scalar superfield. The general form of a right handed scalar superfield is then:

$$\Phi^\dagger(x, \theta, \bar{\theta}) = A^*(x) - i(\theta\sigma^\mu\bar{\theta})\partial_\mu A^*(x) - \frac{1}{4}\theta\theta\bar{\theta}\bar{\theta}\square A^*(x) + \sqrt{2}\bar{\theta}\bar{\Psi}(x) + \frac{i}{\sqrt{2}}\bar{\theta}\bar{\theta}\theta\sigma^\mu\partial_\mu\bar{\Psi}(x) + \bar{\theta}\bar{\theta}F^*(x).$$

These fields will not correspond directly to particle states. After applying the equations of motions (e.o.m.) the (auxillary) field $F(x)$ can be eliminated as it does not have any derivatives. The e.o.m. also eliminates two of the fermion d.o.f. and a Weyl spinor on its own cannot describe a Dirac fermion. When we construct particle representations we will take one left-handed scalar superfield and one different right-handed scalar superfield. These will form a fermion and two scalars (and their anti-particles). We see from (3.25) and (3.26) that if Φ is left handed, then Φ^\dagger is right handed and vice versa, the dagger now signifying hermitian conjugation.

3.4.2 Vector superfields

We take the general superfield and compare Φ and Φ^\dagger . We see that the following is the structure of a general vector superfield:

$$\begin{aligned} \Phi(x, \theta, \bar{\theta}) = & f(x) + \theta\varphi(x) + \bar{\theta}\bar{\varphi}(x) + \theta\theta m(x) + \bar{\theta}\bar{\theta}m^*(x) \\ & + \theta\sigma^\mu\bar{\theta}V_\mu(x) + \theta\theta\bar{\theta}\bar{\lambda}(x) + \bar{\theta}\bar{\theta}\theta\lambda(x) + \theta\theta\bar{\theta}\bar{\theta}d(x). \end{aligned}$$

and looking at the component fields we find the results in Table 3.3.

Component field	Type	d.o.f.
$f(x), d(x)$	Real scalar field	1
$\varphi(x), \lambda(x)$	Weyl spinors	4
$m(x)$	Complex scalar field	2
$V_\mu(x)$	Real Lorentz 4-vector	4

Table 3.3: Fields contained in a general vector superfield.

One example of a vector superfield is the product $V = \Phi^\dagger\Phi$ where we easily see that $V^\dagger = (\Phi^\dagger\Phi)^\dagger = \Phi^\dagger(\Phi^\dagger)^\dagger = \Phi^\dagger\Phi$. Note that sums and products of vector superfields are also vector superfields:

$$(V_i + V_j)^\dagger = V_i^\dagger + V_j^\dagger = V_i + V_j,$$

and

$$(V_i V_j)^\dagger = V_j^\dagger V_i^\dagger = V_i V_j.$$

You may now be a little suspicious that this vector superfield does not correspond to the promised degrees of freedom in the $j = \frac{1}{2}$ representation of the superalgebra. Gauge-freedom comes to the rescue.

3.5 Supergauge

We begin with the definition of a (super) gauge transformation on a vector superfield¹⁵

¹⁵And promise we will get back to the corresponding definition for a scalar superfield.

Definition: Given a vector superfield $V(x, \theta, \bar{\theta})$, we define the **abelian supergauge-transformation** as

$$\begin{aligned} V(x, \theta, \bar{\theta}) \rightarrow V'(x, \theta, \bar{\theta}) &= V(x, \theta, \bar{\theta}) + \Phi(x, \theta, \bar{\theta}) + \Phi^\dagger(x, \theta, \bar{\theta}) \\ &\equiv V(x, \theta, \bar{\theta}) + i(\Lambda(x, \theta, \bar{\theta}) - \Lambda^\dagger(x, \theta, \bar{\theta})) \end{aligned}$$

where the parameter of the transformation Φ (or Λ) is a scalar superfield.

One can show that under supergauge transformations the vector superfield components transform as:

$$f(x) \rightarrow f'(x) = f(x) + A(x) + A^*(x) \quad (3.30)$$

$$\varphi(x) \rightarrow \varphi'(x) = \varphi(x) + \sqrt{2}\psi(x) \quad (3.31)$$

$$m(x) \rightarrow m'(x) = m(x) + F(x) \quad (3.32)$$

$$V_\mu(x) \rightarrow V'_\mu(x) = V_\mu(x) + i\partial_\mu(A(x) - A^*(x)) \quad (3.33)$$

$$\lambda(x) \rightarrow \lambda'(x) = \lambda(x) \quad (3.34)$$

$$d(x) \rightarrow d'(x) = d(x) \quad (3.35)$$

Exercise: Show the vector superfield component field transformation properties, using the redefinitions:

$$\lambda(x) \rightarrow \lambda(x) + \frac{i}{2}\sigma^\mu\partial_\mu\bar{\varphi}(x)$$

$$d(x) \rightarrow d(x) - \frac{1}{4}\square f(x)$$

Notice that from the above the standard field strength for a vector field, $F_{\mu\nu} = \partial_\mu V_\nu - \partial_\nu V_\mu$, is supergauge invariant. With the newfound freedom of gauge invariance we can choose component fields of Φ to eliminate some remaining reducibility.

Definition: The **Wess-Zumino (WZ) gauge** is a supergauge transformation of a vector superfield by a scalar superfield with

$$\psi(x) = -\frac{1}{\sqrt{2}}\varphi(x), \quad (3.36)$$

$$F(x) = -m(x), \quad (3.37)$$

$$A(x) + A^*(x) = -f(x). \quad (3.38)$$

A vector superfield in the WZ gauge can be written:

$$V_{WZ}(x, \theta, \bar{\theta}) = (\theta\sigma^\mu\bar{\theta})[V_\mu(x) + i\partial_\mu(A(x) - A^*(x))] + \theta\theta\bar{\theta}\bar{\lambda}(x) + \bar{\theta}\bar{\theta}\theta\lambda(x) + \theta\theta\bar{\theta}\bar{\theta}d(x),$$

which contains one real scalar field d.o.f., three gauge field d.o.f. and four fermion d.o.f., cor-

responding to the representation $j = \frac{1}{2}$.¹⁶ The WZ gauge is particularly convenient because:

$$V_{WZ}^2 = \frac{1}{2} \theta \theta \bar{\theta} \bar{\theta} [V_\mu(x) + i\partial_\mu(A(x) - A^*(x))] [V^\mu(x) + i\partial^\mu(A(x) - A^*(x))]$$

and

$$V_{WZ}^3 = 0,$$

so that

$$e^{V_{WZ}} = 1 + V_{WZ} + \frac{1}{2} V_{WZ}^2.$$

¹⁶Note that supersymmetry transformations break this gauge.

Chapter 4

Construction of a low-energy supersymmetric Lagrangian

We would now like to construct a model that is invariant under supersymmetry transformation, much in the same way that the Standard Model is invariant under Poincaré transformations.

4.1 Supersymmetry invariant Lagrangians and actions

As should be well known the **action**

$$S \equiv \int_R d^4x \mathcal{L}, \quad (4.1)$$

is invariant under supersymmetry transformations if this transforms the Lagrangian by a total derivative term $\mathcal{L} \rightarrow \mathcal{L}' = \mathcal{L} + \partial^\mu f(x)$, where $f(x) \rightarrow 0$ on $S(R)$ (the surface of the integration region R). The question then becomes: how can we construct a Lagrangian from superfields with this property?

We can show that the highest order component fields in θ and $\bar{\theta}$ of a superfield always transform in this way, *e.g.* for the general superfield the highest order component field $d(x)$ transforms under the supersymmetry transformation

$$\delta_s d(x) = d'(x) - d(x),$$

as

$$\delta_s d(x) = \frac{i}{2} (\partial_\mu \psi(x) \sigma^\mu \bar{\alpha} - \partial_\mu \bar{\lambda}(x) \sigma^\mu \alpha),$$

where the constant α is the supersymmetry transformation parameter.¹ These highest power component can be isolated by using the projection property of integration in Grassman calculus so that

$$S = \int_R d^4x \int d^4\theta \mathcal{L},$$

where \mathcal{L} is a function of superfields, is guaranteed to be supersymmetry invariant. Note that this constitutes a redefinition of what we mean by \mathcal{L} , and one should be careful when counting

¹Note that this is a **global** SUSY transformation. Replacing $\alpha \rightarrow \alpha(x)$ gives a **local** SUSY transformation, which, it turns out, leads to supergravity.

the dimension of terms.² We now have a generic form for the supersymmetry Lagrangian, where the indices indicate the highest power of θ in the term:

$$\mathcal{L} = \mathcal{L}_{\theta\theta\bar{\theta}\bar{\theta}} + \theta\theta\mathcal{L}_{\bar{\theta}\bar{\theta}} + \bar{\theta}\bar{\theta}\mathcal{L}_{\theta\theta}.$$

The requirement of renormalizability puts further restrictions on the fields in \mathcal{L} . We can at most have three powers of scalar superfields, for details see *e.g.* Wess & Bagger [1]. Since the action must be real, the (almost) most general supersymmetry Lagrangian that can be written in terms of scalar superfields is:

$$\mathcal{L} = \Phi_i^\dagger\Phi_i + \bar{\theta}\bar{\theta}W[\theta] + \theta\theta W[\Phi^\dagger].$$

Here the first term is called the **kinetic term**³, and W is the **superpotential**

$$W[\Phi] = g_i\Phi_i + m_{ij}\Phi_i\Phi_j + \lambda_{ijk}\Phi_i\Phi_j\Phi_k. \quad (4.2)$$

This means that to specify a supersymmetric Lagrangian we only need to specify the superpotential. Dimension counting for the couplings give $[g_i] = M^2$, $[m_{ij}] = M$ and $[\lambda_{ijk}] = 1$. Notice also that m_{ij} and λ_{ijk} are symmetric.

4.2 Abelian gauge theories

We would ultimately like to have a gauge theory like that of the SM, so we start with an abelian warm-up, by finally defining what we mean by an (abelian) supergauge transformation on a scalar superfield.

Definition: The $U(1)$ (**super**)gauge transformation (local or global) on left handed scalar superfields is defined as:

$$\Phi_i \rightarrow \Phi'_i = e^{-i\Lambda q_i} \Phi_i$$

where q_i is the $U(1)$ charge of Φ_i and Λ , or $\Lambda(x)$, is the parameter of the gauge transformation.

For the definition to make sense Φ'_i must be a left-handed scalar superfield, thus

$$\bar{D}_{\dot{A}}\Phi'_i = 0,$$

and this requires:

$$\begin{aligned} \bar{D}_{\dot{A}}\Phi'_i &= \bar{D}_{\dot{A}}e^{-i\Lambda q_i}\Phi_i = e^{-i\Lambda q_i}\bar{D}_{\dot{A}}\Phi_i - iq_i(\bar{D}_{\dot{A}}\Lambda)e^{-i\Lambda q_i}\Phi_i \\ &= -iq_i(\bar{D}_{\dot{A}}\Lambda)\Phi'_i = 0. \end{aligned}$$

Thus we must have $\bar{D}_{\dot{A}}\Lambda = 0$, which by definition means that Λ itself is a left-handed superfield. This is of course completely equivalent for right-handed scalar fields.

²Looking at the mass dimensions we have, since $\int d\theta = 1$ from superspace calculus (see Section 3.1), $[\theta] = M^{-1/2}$ which leads to $[\int d\theta] = M^{1/2}$. We then have $[\int d^4\theta] = M^2$. Since we must have $[\int d^4\theta \mathcal{L}] = M^4$ for the action to be dimensionless, we need $[\mathcal{L}] = M^2$.

³The constant in front can always be chosen to be one because we can rescale the whole Lagrangian. Notice that the kinetic terms are vector superfields.

We will of course now require not only a supersymmetry invariant Lagrangian, but also a gauge invariant Lagrangian. Let us first look at the transformation of the superpotential W under the gauge transformation:

$$W[\Phi] \rightarrow W[\Phi'] = g_i e^{-i\Lambda q_i} \Phi_i + m_{ij} e^{-i\Lambda(q_i+q_j)} \Phi_i \Phi_j + \lambda_{ijk} e^{-i\Lambda(q_i+q_j+q_k)} \Phi_i \Phi_j \Phi_k$$

For $W[\Phi] = W[\Phi']$ we must have:

$$g_i = 0 \quad \text{if } q_i \neq 0 \quad (4.3)$$

$$m_{ij} = 0 \quad \text{if } q_i + q_j \neq 0 \quad (4.4)$$

$$\lambda_{ijk} = 0 \quad \text{if } q_i + q_j + q_k \neq 0 \quad (4.5)$$

This puts great restrictions on the form of the superpotential and the charge assignments of the superfields (as in ordinary gauge theories). What then about the kinetic term?

$$\Phi_i^\dagger \Phi_i \rightarrow \Phi_i^\dagger e^{i\Lambda^\dagger q_i} e^{-i\Lambda q_i} \Phi_i = e^{i(\Lambda^\dagger - \Lambda)q_i} \Phi_i^\dagger \Phi_i.$$

As in ordinary gauge theories we can introduce a **gauge compensating vector (super)field** V with the appropriate gauge transformation to make the kinetic term invariant under supersymmetry transformations. We can write the kinetic term as $\Phi_i^\dagger e^{q_i V} \Phi_i$, which gives us:

$$\Phi_i^\dagger e^{q_i V} \Phi_i \rightarrow \Phi_i^\dagger e^{i\Lambda^\dagger q_i} e^{q_i(V+i\Lambda-i\Lambda^\dagger)} e^{-i\Lambda q_i} \Phi_i = \Phi_i^\dagger e^{q_i V} \Phi_i$$

This definition of gauge transformation can be shown to recover the SM **minimal coupling** for the component fields through the covariant derivative

$$D_\mu^i = \partial_\mu - \frac{i}{2} q_i V_\mu,$$

where V_μ is the vector component field of the vector superfield.

In case you were worried: we can use the WZ gauge to show that the new kinetic term $\Phi_i^\dagger e^{q_i V} \Phi_i$ has no term with dimension higher than four, and is thus renormalizable.

4.3 Non-Abelian gauge theories

How do we extend the above to deal with much more complicated non-abelian gauge theories? Let us take a group G with the Lie algebra of group generators t_a that fulfill

$$[t_a, t_b] = i f_{ab}^c t_c, \quad (4.6)$$

where f_{ab}^c are the structure constants. For an element g in the group G we want to write down a unitary representation $U(g)$ that transforms a scalar superfield Ψ by $\Psi \rightarrow \Psi' = U(g)\Psi$. With an exponential map we can write the representation as $U(g) = e^{i\lambda^a t_a}$, as you may perhaps have expected. Thus, we simply copy the abelian structure (as in ordinary gauge theories), and transform superfields as

$$\Psi \rightarrow \Psi' = e^{-iq\Lambda^a t_a} \Psi,$$

where q is the charge of Ψ under G .⁴ Again we can easily show that we must require that the Λ^a are left-handed scalar superfields for Ψ to transform to a left-handed scalar superfield.

⁴At this point can well choose a representation different from the fundamental, reflected in a different choice for t_a .

For the superpotential to be invariant we must now have:

$$g_i = 0 \quad \text{if} \quad g_i U_{ir} \neq g_r \quad (4.7)$$

$$m_{ij} = 0 \quad \text{if} \quad m_{ij} U_{ir} U_{js} \neq m_{rs} \quad (4.8)$$

$$\lambda_{ijk} = 0 \quad \text{if} \quad \lambda_{ijk} U_{ir} U_{js} U_{kt} \neq \lambda_{rst} \quad (4.9)$$

We also want a similar construction for the kinetic terms as for abelian gauge theories, $\Psi^\dagger e^{qV^a T_a} \Psi$, to be invariant under non-abelian gauge transformations.⁵ Now

$$\Psi^\dagger e^{qV^a T_a} \Psi \rightarrow \Psi'^\dagger e^{qV'^a T_a} \Psi' = \Psi^\dagger e^{iq\Lambda^{a\dagger} T_a} e^{qV^a T_a} e^{-iq\Lambda^a T_a} \Psi,$$

so we have to require that the vector superfield V transforms as:

$$e^{qV'^a T_a} = e^{-iq\Lambda^{a\dagger} T_a} e^{qV^a T_a} e^{iq\Lambda^a T_a}. \quad (4.10)$$

When we look at this as an infinitesimal transformation in Λ we can show that

$$V'^a = V^a + i(\Lambda^a - \Lambda^{a\dagger}) - \frac{1}{2} q f_{bc}{}^a V^b (\Lambda^{c\dagger} + \Lambda^c) + \mathcal{O}(\Lambda^2),$$

which reduces to the abelian definition for abelian groups. If we look at the component vector fields, V_μ^a , these transform as for the standard gauge theory non-abelian

$$V_\mu^a \rightarrow V_\mu'^a = V_\mu^a + i\partial_\mu(\Lambda^a - \Lambda^{a*}) - q f_{bc}{}^a V_\mu^b (\Lambda^c + \Lambda^{c*}),$$

in the adjoint representation of the gauge group.⁶

The supergauge transformations of vector superfields can be written more efficiently in a representation independent way as

$$e^{V'} = e^{-i\Lambda^\dagger} e^V e^{i\Lambda},$$

and the inverse transformation

$$e^{-V'} = e^{-i\Lambda} e^{-V} e^{i\Lambda^\dagger},$$

where $\Lambda \equiv q\Lambda^a T_a$ and $V \equiv qV^a T_a$, such that $e^V e^{-V} = e^{V'} e^{-V'} = 1$.

4.4 Supersymmetric field strength

There is one missing type of term for the supersymmetric Lagrangian, namely field strength terms, *e.g.* terms to describe the electromagnetic field strength.

Definition: Supersymmetric field strength is defined by the spinor (matrix) scalar superfields given by

$$W_A \equiv -\frac{1}{4} \bar{D}\bar{D}e^{-V} D_A e^V,$$

and

$$\bar{W}_{\dot{A}} \equiv -\frac{1}{4} D\bar{D}e^{-V} \bar{D}_{\dot{A}} e^V.$$

⁵Notice that we have chosen to use the generators T^a of the *adjoint* representation here.

⁶Given that we did choose the adjoint representation for the transformation of the vector field.

We can show that W_A is a left-handed superfield and that $\text{Tr}[W^A W_A]$ (and $\text{Tr}[\bar{W}_{\dot{A}} \bar{W}^{\dot{A}}]$) is supergauge invariant and potential terms in the supersymmetry Lagrangian. Firstly

$$\bar{D}_{\dot{A}} W_A = -\frac{1}{4} \bar{D}_{\dot{A}} \bar{D} \bar{D} e^{-V} D_A e^V = 0,$$

because from Eq. (3.16) $\bar{D}^3 = 0$. Under a supergauge transformation we have:

$$\begin{aligned} W_A \rightarrow W'_A &= -\frac{1}{4} \bar{D} \bar{D} e^{-i\Lambda} e^{-V} e^{i\Lambda^\dagger} D_A e^{-i\Lambda^\dagger} e^V e^{i\Lambda} \\ (\bar{D}_{\dot{A}} \Lambda = 0) &= -\frac{1}{4} e^{-i\Lambda} \bar{D} \bar{D} e^{-V} e^{i\Lambda^\dagger} D_A e^{-i\Lambda^\dagger} e^V e^{i\Lambda} \\ (D_A \Lambda^\dagger = 0) &= -\frac{1}{4} e^{-i\Lambda} \bar{D} \bar{D} e^{-V} D_A e^V e^{i\Lambda} \\ &= -\frac{1}{4} e^{-i\Lambda} \bar{D} \bar{D} e^{-V} [(D_A e^V) e^{i\Lambda} + e^V (D_A e^{i\Lambda})] \\ &= e^{-i\Lambda} W_A e^{i\Lambda} - \frac{1}{4} e^{-i\Lambda} \bar{D} \bar{D} D_A e^{i\Lambda}. \end{aligned} \quad (4.11)$$

We are free to add zero to (4.11) in the form of $-\frac{1}{4} e^{-i\Lambda} \bar{D} \bar{D} D_A \bar{D} e^{i\Lambda} = 0$,⁷ giving

$$\begin{aligned} W'_A &= e^{-i\Lambda} W_A e^{i\Lambda} - \frac{1}{4} e^{-i\Lambda} \bar{D} \{ \bar{D}, D_A \} e^{i\Lambda} \\ &= e^{-i\Lambda} W_A e^{i\Lambda} + \frac{1}{2} e^{-i\Lambda} \bar{D}_{\dot{A}} \sigma^\mu_{\dot{A}\dot{B}} \epsilon^{\dot{A}\dot{B}} P_\mu e^{i\Lambda} \\ &= e^{-i\Lambda} W_A e^{i\Lambda}, \end{aligned}$$

where we have used Eq. (3.15) to replace the anti-commutator. This means that the trace is gauge invariant:

$$\begin{aligned} \text{Tr}[W'^A W'_A] &= \text{Tr}[e^{-i\Lambda} W^A e^{i\Lambda} e^{-i\Lambda} W_A e^{i\Lambda}] \\ &= \text{Tr}[e^{i\Lambda} e^{-i\Lambda} W^A W_A] = \text{Tr}[W^A W_A]. \end{aligned}$$

If we expand W_A in the component fields we find, as we might have hoped, that it contains the ordinary field strength tensor:

$$F_{\mu\nu}^a = \partial_\mu V_\nu^a - \partial_\nu V_\mu^a + q f_{bc}{}^a V_\mu^b V_\nu^c$$

and that the trace indeed contains terms with $F_{\mu\nu}^a F^{\mu\nu a}$.

4.5 The (almost) complete supersymmetric Lagrangian

We can now write down the Lagrangian for a supersymmetric theory with (possibly) non-abelian gauge groups:⁸

$$\mathcal{L} = \Phi^\dagger e^V \Phi + \delta^2(\bar{\theta}) W[\Phi] + \delta^2(\theta) W[\Phi^\dagger] + \frac{1}{2T(R)} \delta(\bar{\theta}) \text{Tr}[W^A W_A], \quad (4.12)$$

⁷Which is zero because Λ is a left-handed scalar superfield, $\bar{D}_{\dot{A}} \Lambda = 0$.

⁸Note that there is no hermitian conjugate of the trace term, and an odd normalisation. This is because the term can be proven to be real, although this is sometimes overlooked in the literature.

where $T(R)$ is the Dynkin index that appears to correctly normalize the energy density for the chosen representation R of the gauge group. Note that since W_A is spanned by T_a for a given representation, we can write $W_A = W_A^a T_a$. Then

$$\text{Tr}[W^A W_A] = W^{aA} W_A^b \text{Tr}[T_a T_b] = W^{Aa} W_A^b \delta_{ab} T(R) = T(R) W^{aA} W_A^a. \quad (4.13)$$

Exercise: Write down the action of a supersymmetric field theory (without gauge transformations) in terms of component fields and show that it contains no kinetic terms for the $F_i(x)$ fields. Then show how they can be eliminated by the equations of motion. Challenge: Repeat for a gauge theory (Here $d(x)$ can be eliminated).

4.6 Spontaneous supersymmetry breaking

As we have seen above, supersymmetry predicts scalar partner particles with the same mass as the known fermions (and new fermions for the known vectors). These, somewhat unfortunately, contradict experiment by not existing. Would it not be great if we could have *spontaneous symmetry breaking* in the *scalar potential*, just as with the Higgs mechanism in the SM, in order to boost their masses beyond current limits?

If we write down the Lagrangian of (4.12) in terms of component field we will notice that it contains no kinetic terms for the $F(x)$ scalar fields. These are **auxiliary fields** and can thus be eliminated by the e.o.m.

$$\frac{\partial \mathcal{L}}{\partial F_i^*(x)} = F_i(x) + W_i^* = 0$$

where

$$W_i \equiv \frac{\partial W[A_1, \dots, A_n]}{\partial A_i}. \quad (4.14)$$

This gives the action (ignoring gauge interactions):

$$S = \int d^4x \{ i \partial_\mu \bar{\psi}_i \sigma^\mu \psi_i - A_i^* \square A_i - \frac{1}{2} W_{ij} \psi_i \psi_j - \frac{1}{2} W_{ij}^* \bar{\psi}_i \bar{\psi}_j - |W_i|^2 \}$$

with⁹.

$$W_{ij} \equiv \frac{\partial^2 W[A_1, \dots, A_n]}{\partial A_i \partial A_j} \quad (4.15)$$

Thus the scalar potential is

$$V(A_i, A_i^*) = \sum_{i=1}^n \left| \frac{\partial W[A_1, \dots, A_n]}{\partial A_i} \right|^2$$

In the SM figuring out a scalar potential that breaks $SU(2)_L \times U(1)_y$ is a little messy. In SUSY the argument goes like this: First, notice that we can write the SUSY Hamiltonian as

$$H = \frac{1}{4} (Q_1 \bar{Q}_1 + \bar{Q}_1 Q_1 + Q_2 \bar{Q}_2 + \bar{Q}_2 Q_2)$$

⁹This is the fermionic mass matrix.

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