



UNIVERSITETET  
I OSLO

# MPEG-1 lag 1, 2 og lag 3

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Basert på presentasjon laget av  
Torbjörn Ekman, 2005 (nå på NTNU)



# MPEG audiokoding

- Motivasjon for de fleste kapitlene i Ambardar, Digital signal processing: A Modern Introduction, Thomson, 2007.

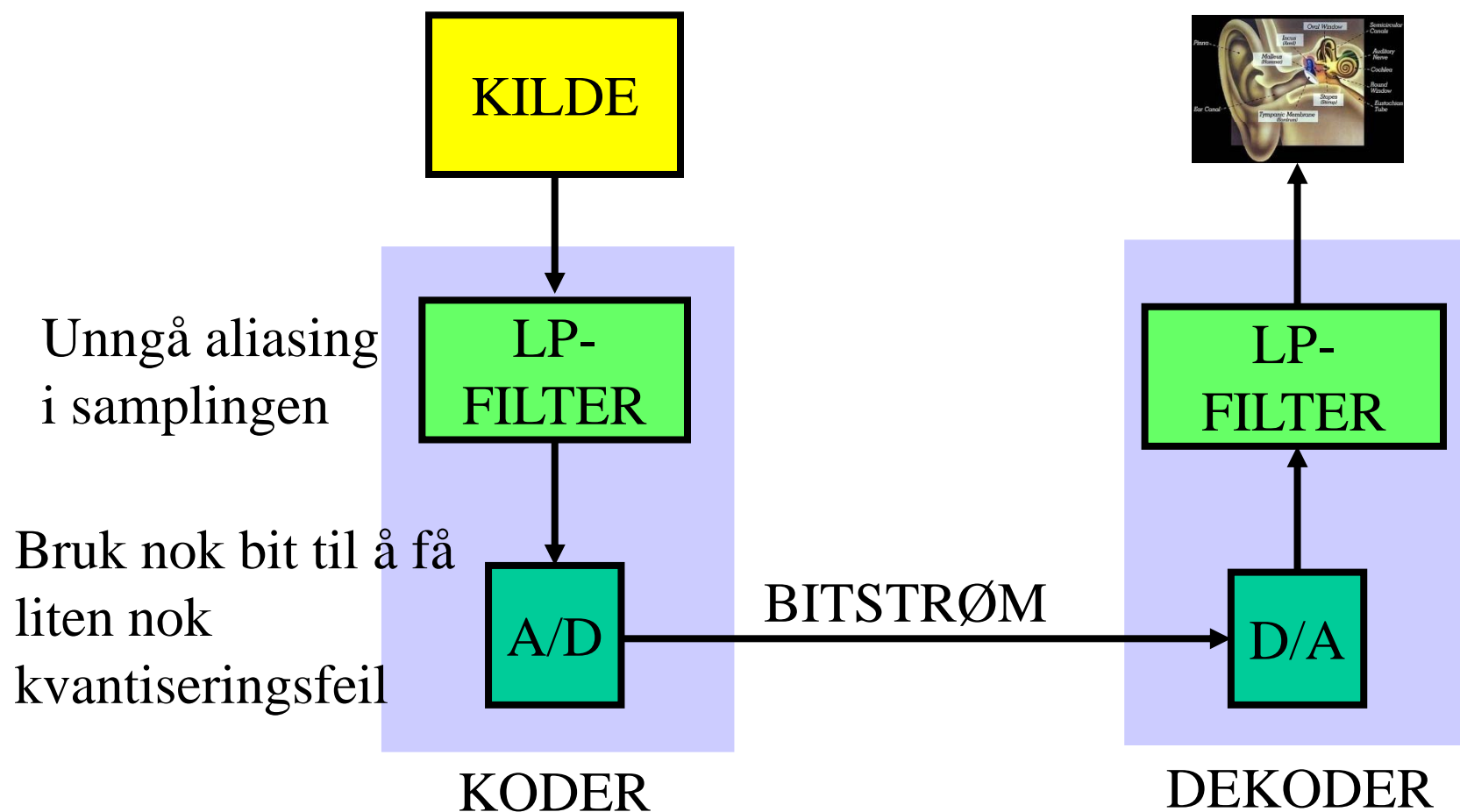


## Bitrater

- CD:  $44.1 * 2 * 16 = 1.411$  Mbit/s
  - 4 bit: 25%  $\Rightarrow$  350 kbit/s låter forferdelig
- MP3, AAC etc: 128 kbit/s  $\sim$  CD/12
- Hva er det lure trikset?



# Direktesampling (PCM)

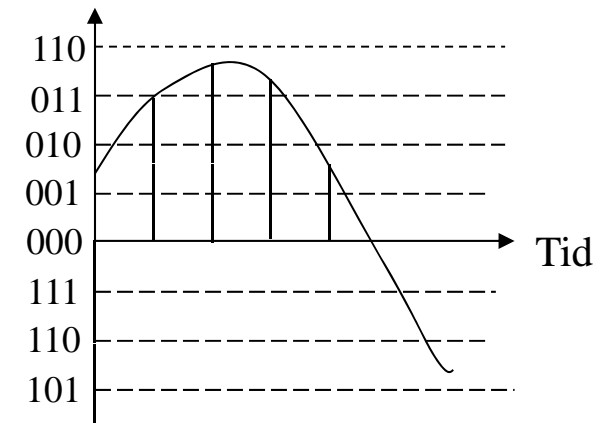
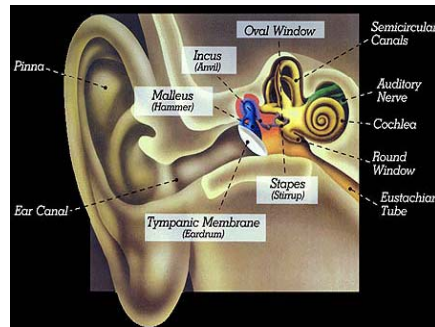




# MPEG-1 Audio

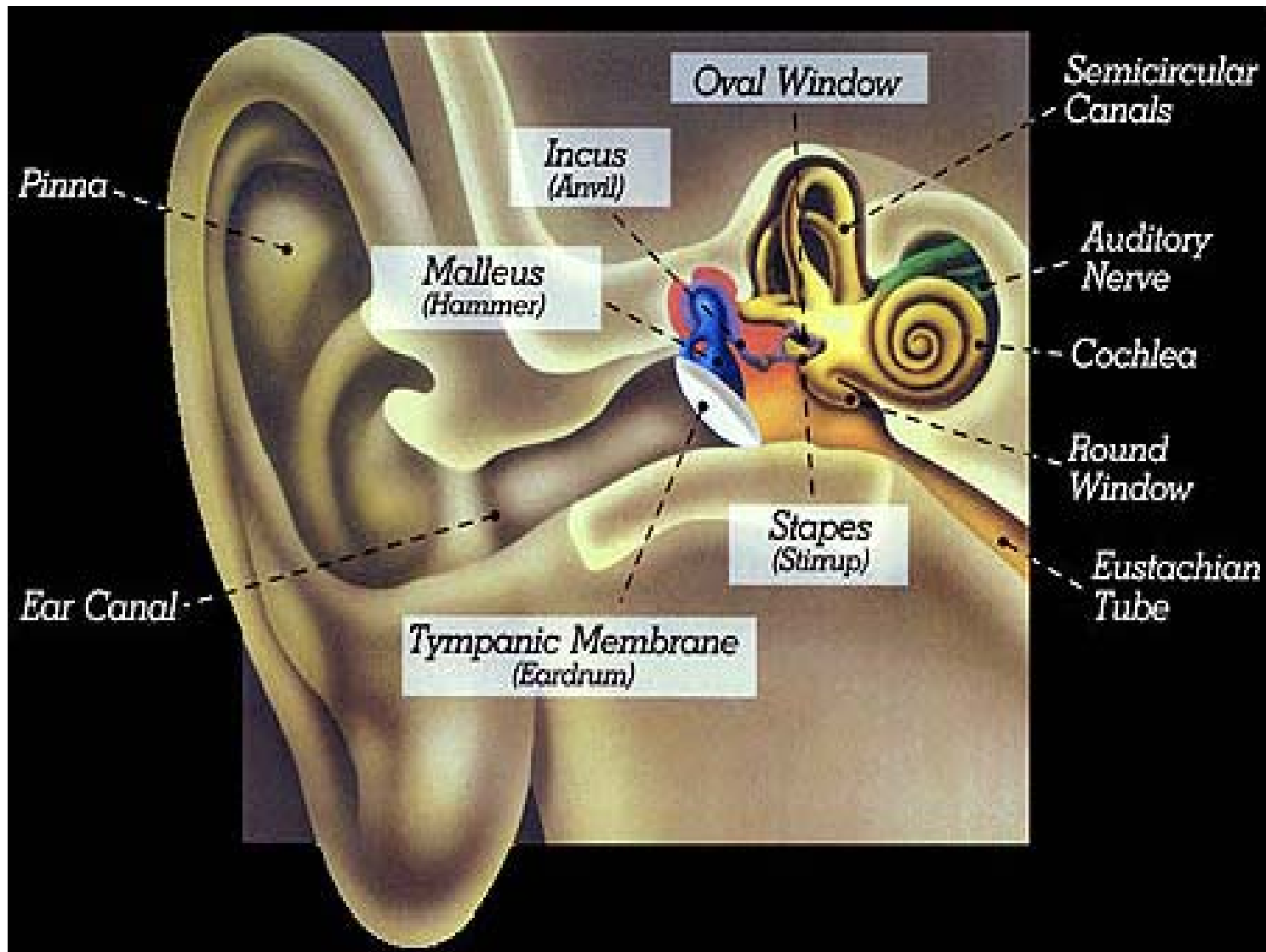
## Psychoacoustics in sound compression

- How do we hear?
- Digital representation of sounds
- Sound compression
- Psychoacoustics
  - Masking
  - Adaptive quantization
  - Bit allocation
- Filterbanks





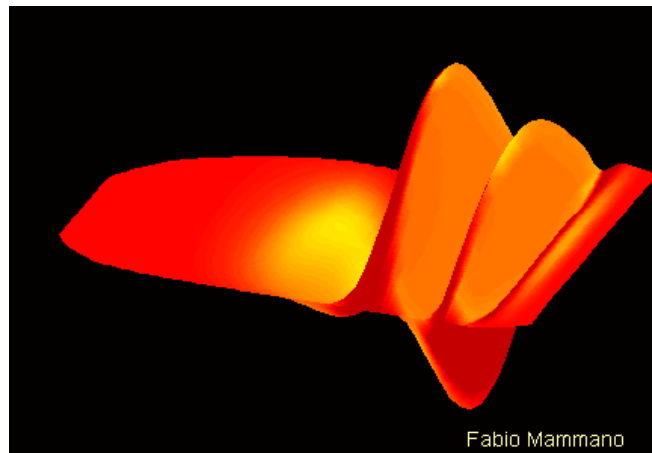
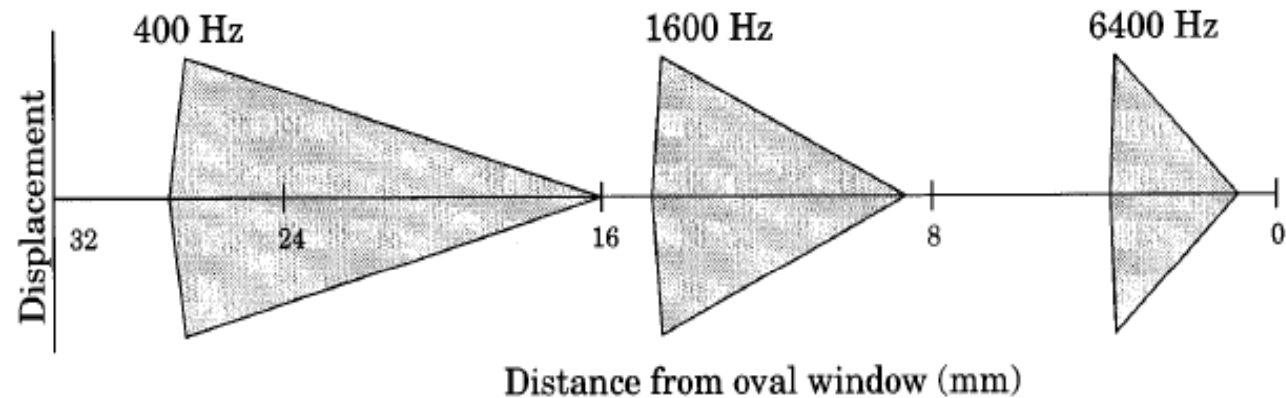
# The Ear





# The frequency filters of the ear: Mapping frequency to a location

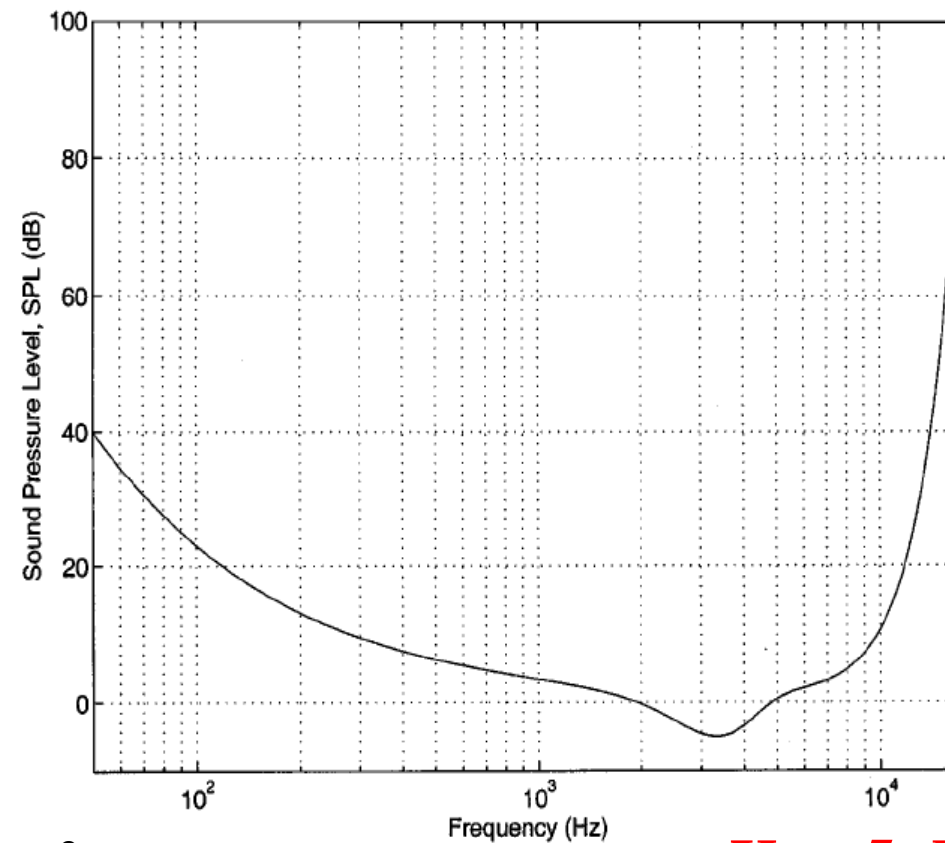
Unwound  
cochlea



**Kap 5: Frekvensanalyse**



# Threshold for audible sounds



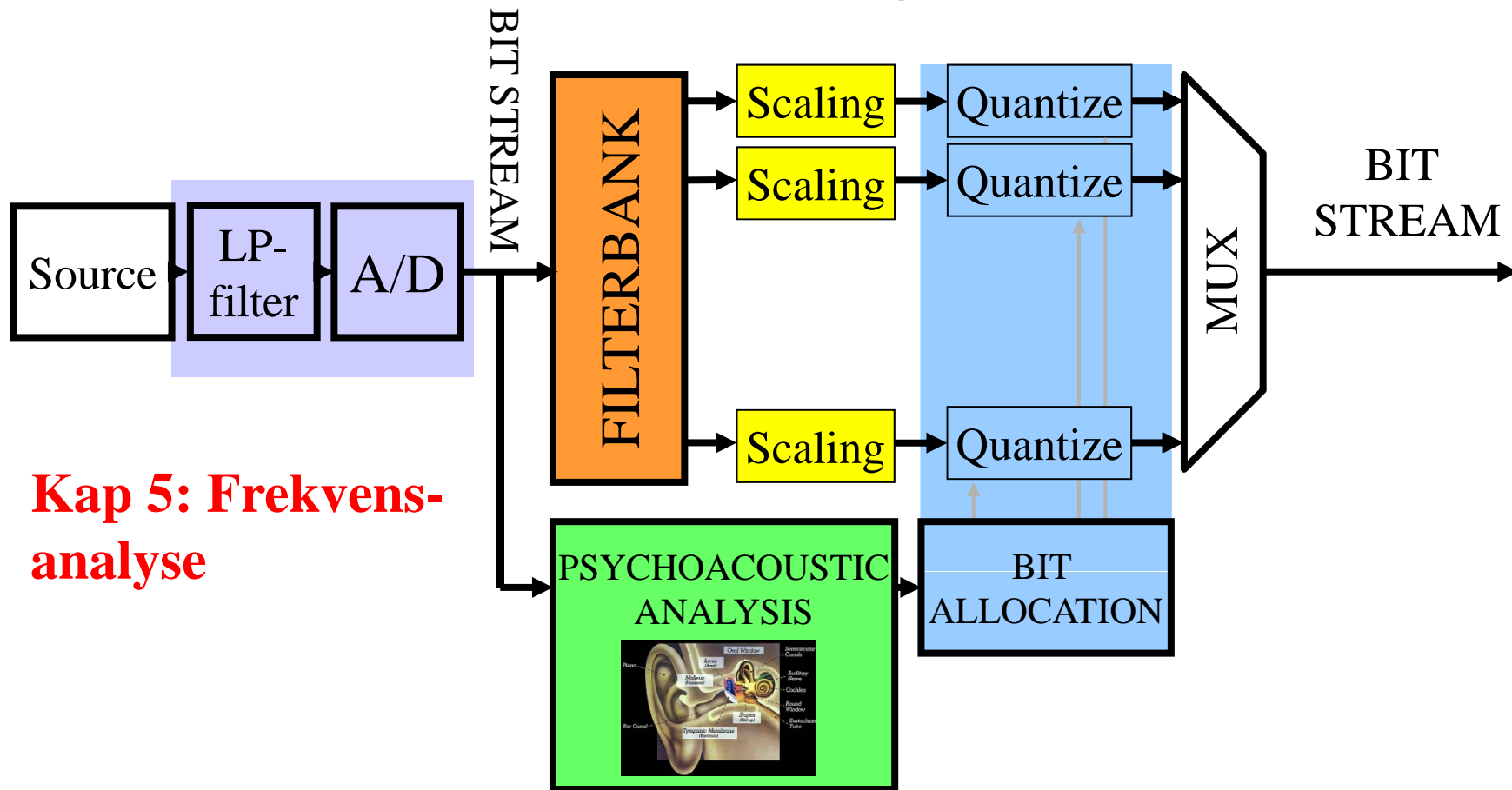
Reference 0 dB:  
 $20 \mu\text{Pa} = 2 \cdot 10^{-5} \text{ N/m}^2$

**Kap 5: Frekvensanalyse**





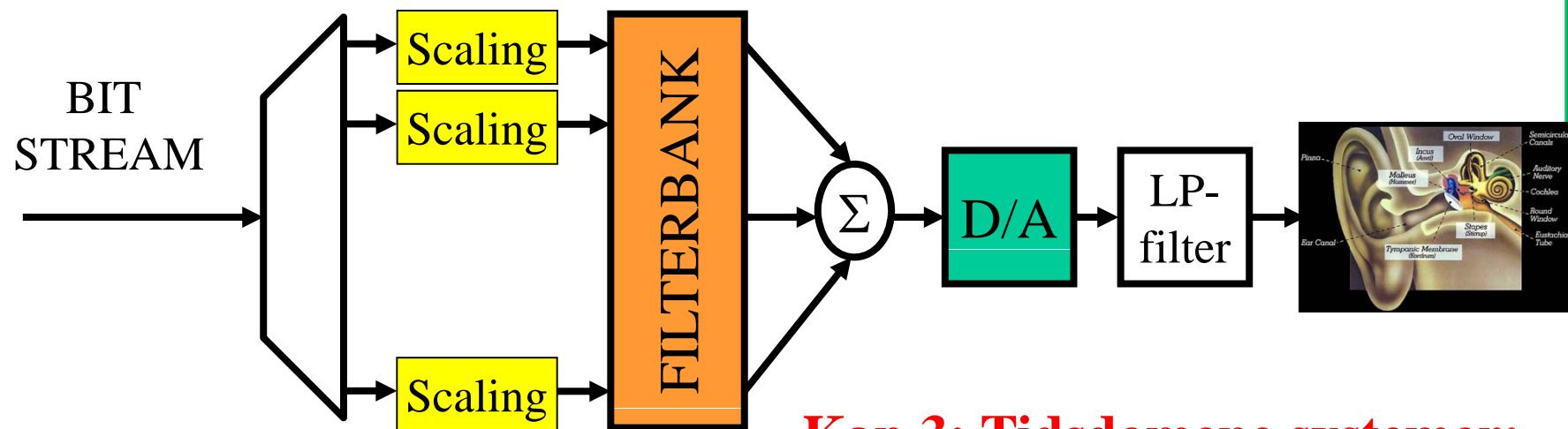
# Filterbank Approach Encoding



**Kap 5: Frekvens-  
analyse**



# Decoding is much simpler

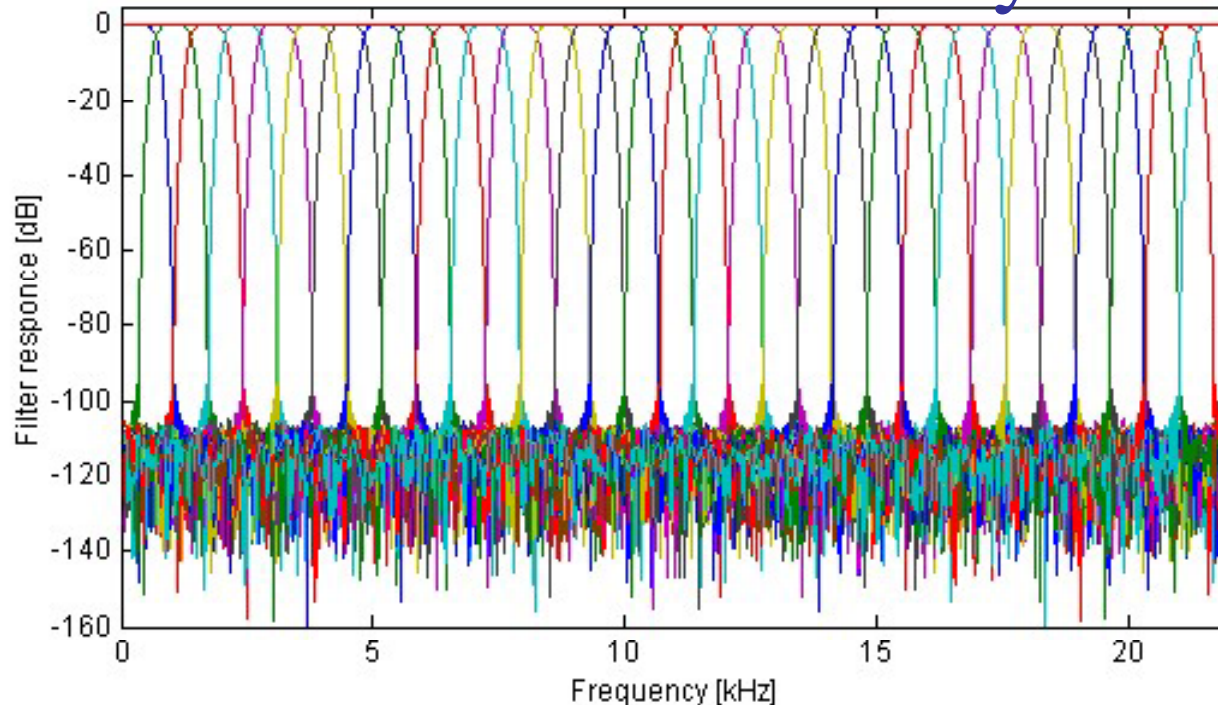


**Kap 3: Tidsdomene systemer:  
linearitet**

**Kap 3: Inverse systemer**



# Filterbanks in MPEG-1 audio layer 1-3



**Kap 5: Frekvens-  
analyse av systemer**

**Kap 6: Digitale filtre**

**Kap 10: FIR  
Filterdesign**

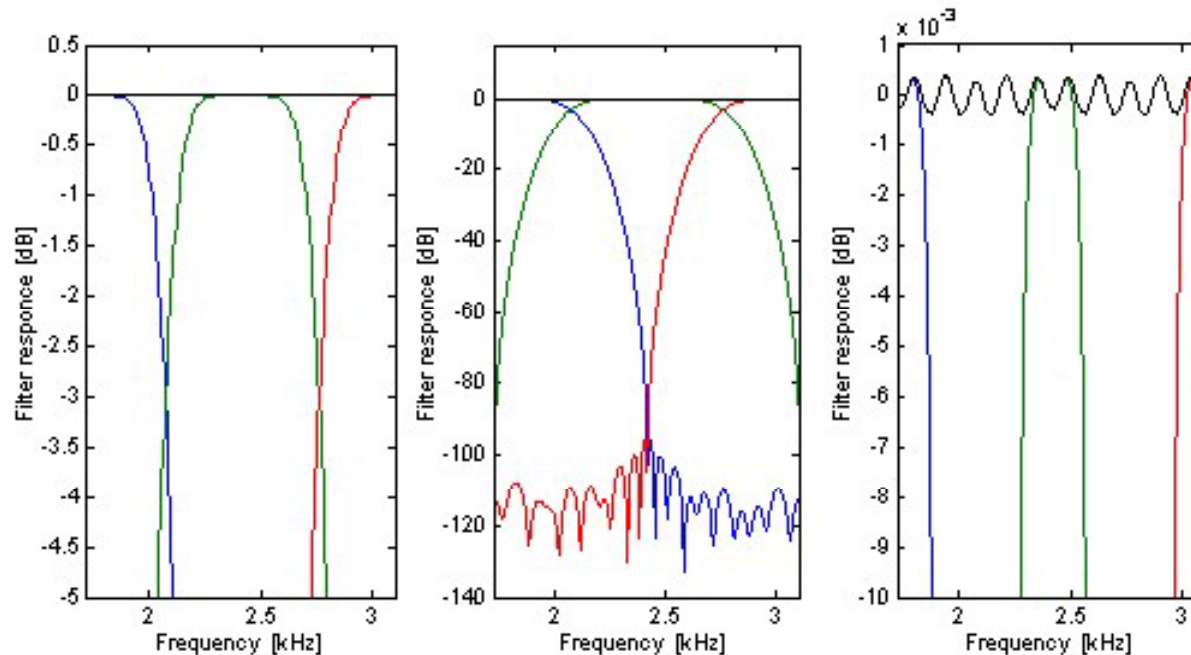
- Polyphase filterbank
- 32 subbands, e.g. bw  $44100/2/32 = 689$  Hz
- 512 tap FIR-filters
- 80 adds and mults per output

- Equal width
- Not perfect reconstruction
- Frequency overlap

**Kap 4: z-transform**





# A closer look



- The subbands overlap at 3 dB point with the adjacent bands.
- The leakage to the other bands is small.
- The total response almost adds up to one (0 dB).



## White noise

- The white noise run through the filterbank.
- The samples from each band are played in the order of the subbands. 
- The reconstructed sequence 
  - The reconstruction error is  $-84$  dB.

**Kap 7: Digital behandling av analoge signaler;  
multirate signalbehandling**



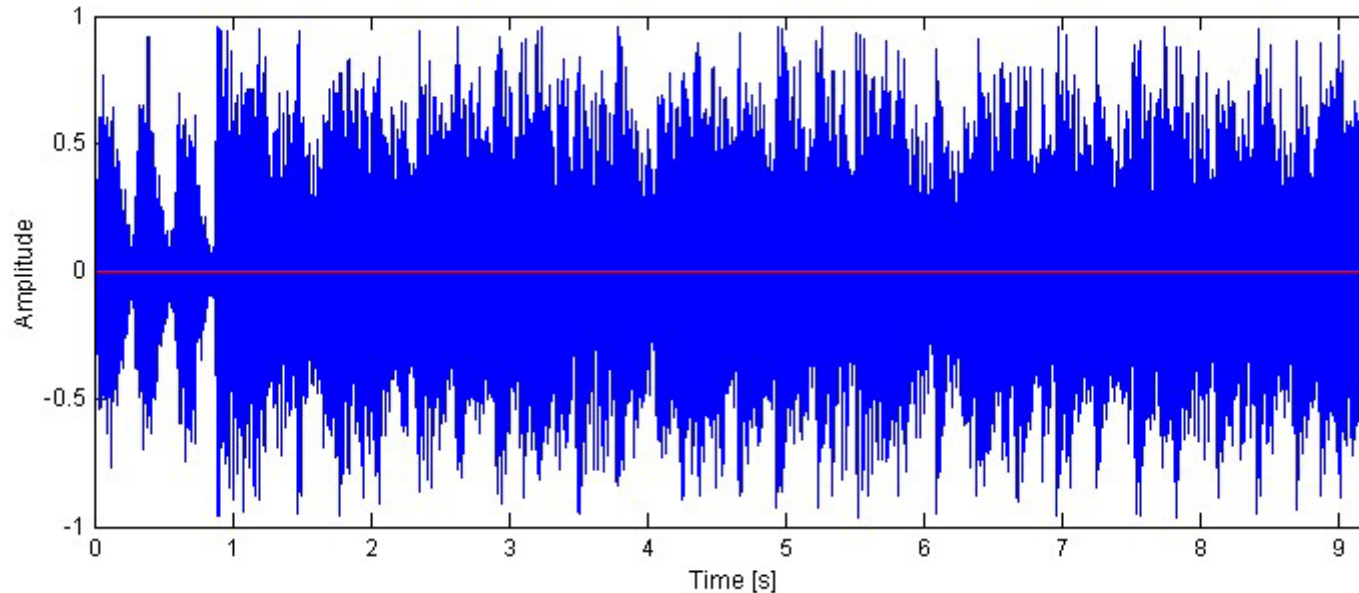
# Reconstruction Using Nonideal Filterbanks


$$Y(e^{j\omega}) = X(e^{j\omega}) \underbrace{\frac{1}{M} \sum_{k=0}^{M-1} H_k^R(e^{j\omega}) H_k^A(e^{j\omega})}_{\approx 1} + \underbrace{\sum_{n=1}^{M-1} X(e^{j(\omega - \frac{2\pi n}{M})}) \frac{1}{M} \sum_{k=0}^{M-1} H_k^R(e^{j\omega}) H_k^A(e^{j(\omega - \frac{2\pi n}{M})})}_{\approx 0}$$

- In a perfect filterbank the first part is the only part.
- The second part consists of the aliasing terms.
- The filterbank is designed so that the aliasing is small.



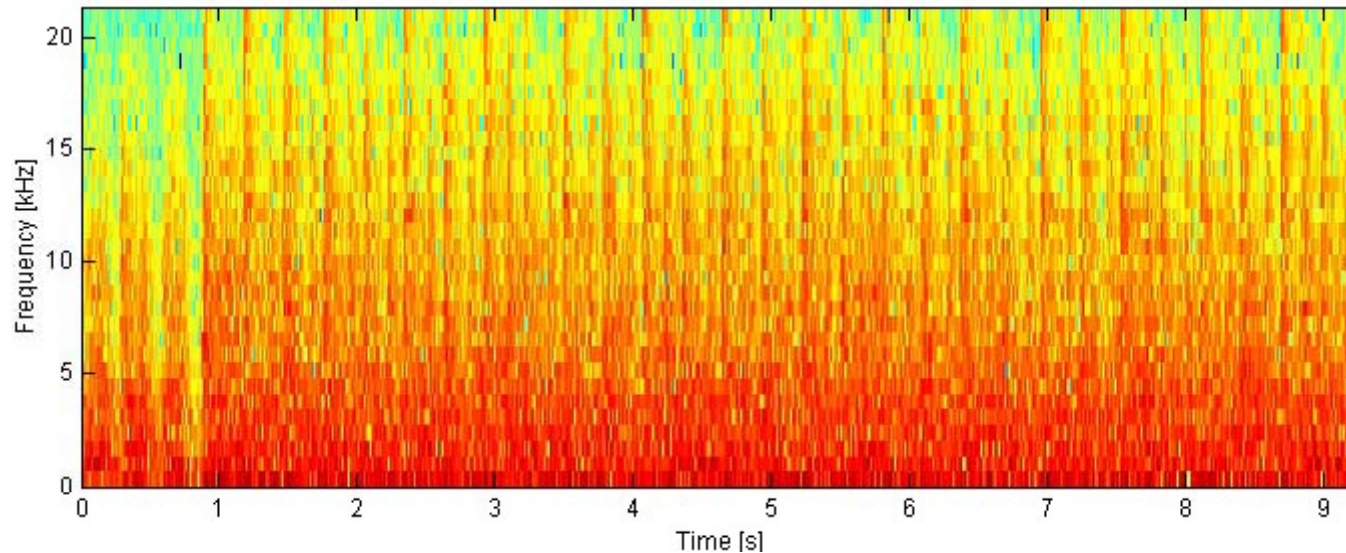
# Tubthumper, a time domain view















The red line is the reconstruction error after splitting the signal in subbands, down sampling and applying the synthesis filterbank. The reconstruction error is  $-84$  dB and sounds like 



# Tubthumper, frequency view

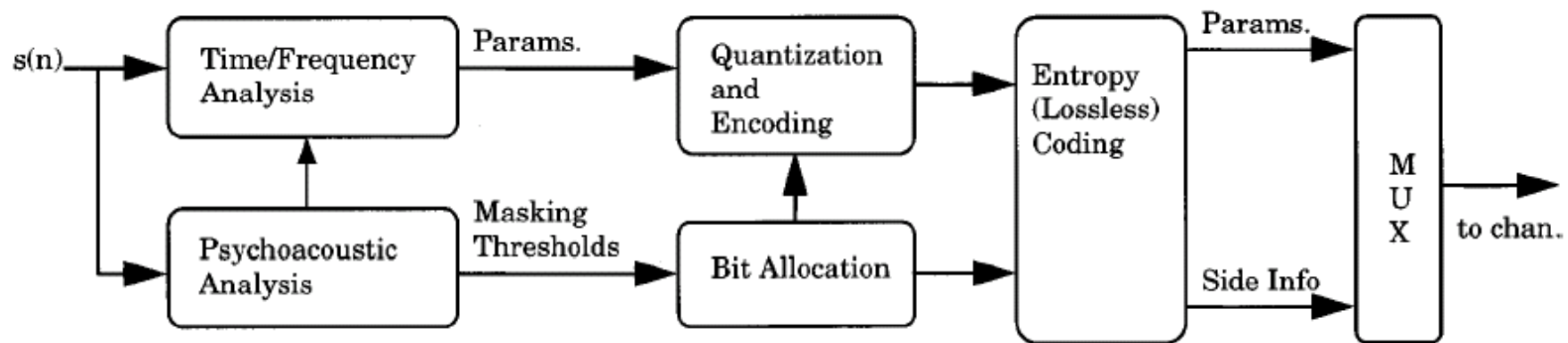


|                        |                                                                                      |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
|------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Subband                | 1                                                                                    | 2                                                                                     | 4                                                                                     | 8                                                                                     | 16                                                                                    | 32                                                                                    |
| Center frequency [kHz] | 0.3                                                                                  | 1.0                                                                                   | 2.4                                                                                   | 5.2                                                                                   | 10.7                                                                                  | 21.7                                                                                  |
| No subsampling         |  |  |  |  |  |  |
| Subsampled 32 times    |  |  |  |  |  |  |





# What is this Psychoacoustics that is used in the Encoder ?



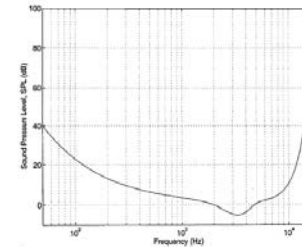
## Kap 8: Diskret Fourier Transform; Estimering av effektspektrum



# Masking

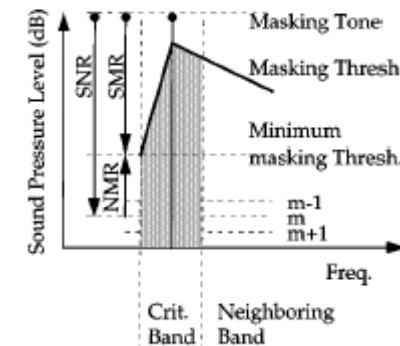
We do not hear all sounds.

1. Absolute threshold of hearing: →
2. Masking: One sound is inaudible in the presence of another sound.



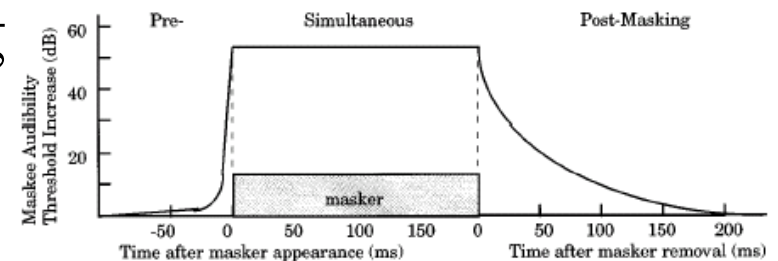
## 1. Simultaneous masking

- Noise Masking Tone
- Tone Masking Noise
- Noise Masking Noise








## 2. Nonsimultaneous masking

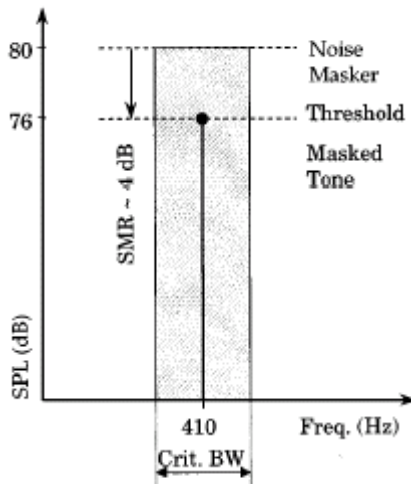
- Pre masking (2 ms)
- Post masking (100 ms)





# Noise Masking Tone

|                                                                                   |                                                                                   |                                                                                     |                                                                                                   |                                                                                               |
|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Filtered Noise<br>Center 410 Hz<br>Width 111 Hz                                   | Tone 1, 820 Hz<br>5 dB below noise                                                | Tone 2, 410 Hz<br>5 dB below noise                                                  | Noise<br>+<br>Tone 1                                                                              | Noise<br>+<br>Tone 2                                                                          |
|  |  |  | Not masked<br> | Masked<br> |








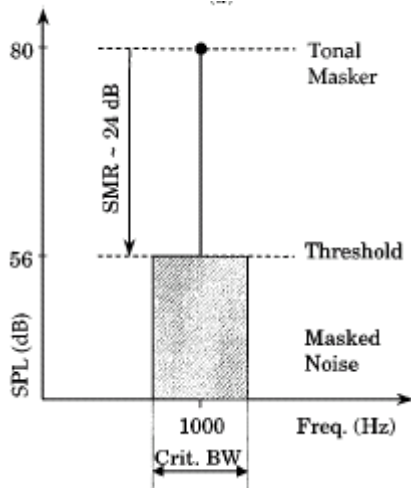
You can not hear a sinusoid that lies in the same critical band as a filtered noise if the sound pressure level is below a certain threshold.

This effect also stretches out beyond the critical band.



# Tone Masking Noise

|                                                                                   |                                                                                   |                                                                                     |                                                                                                   |                                                                                               |
|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Filtered Noise<br>Center 1 kHz<br>Width 162 Hz<br>15 dB below                     | Tone 1, 2 kHz                                                                     | Tone 2, 1 kHz                                                                       | Noise<br>+<br>Tone 1                                                                              | Noise<br>+<br>Tone 2                                                                          |
|  |  |  | Not masked<br> | Masked<br> |



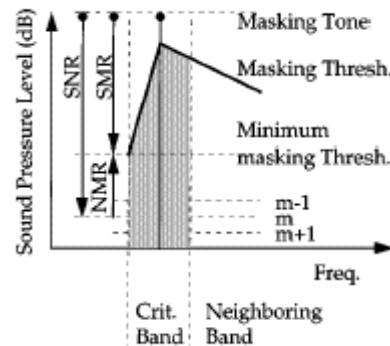
You can not hear a filtered noise that lies in the same critical band as a sinusoid if the sound pressure level is below a certain threshold.

This effect also stretches out beyond the critical band.



# Exploit Masking

- If a sound is masked we can't hear it.

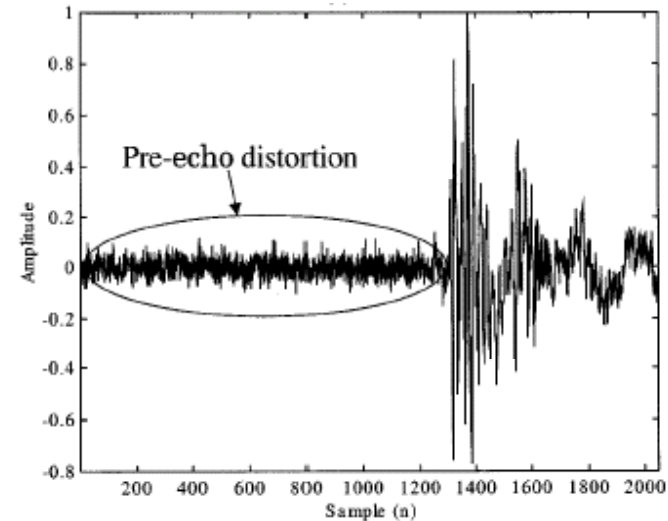
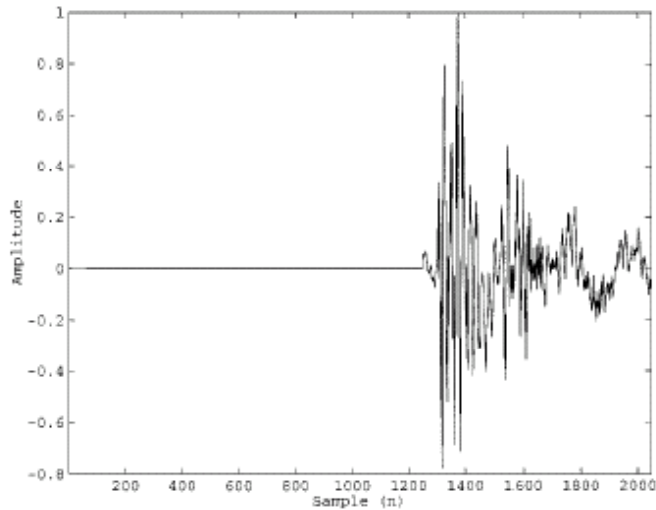


- Make a frequency analysis of the signal and find the masking threshold.
- Put the quantization noise under the masking threshold and we won't hear the quantization.

**Kap 8: DFT, Fast Fourier transform,  
Estimering av effektspektrum**



# Pre echo distortion



- The original sound of a castanet.
- The abruptness in time domain results in all frequencies being involved.
- The data is split in two windows of finite length.
- The quantization noise is spread over a whole window.
- This makes the castanets sound less distinct.
- Audible effects can be avoided with shorter windows, exploiting premasking.



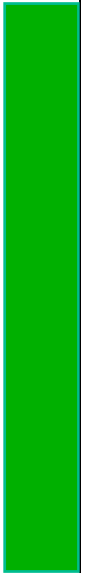
## Vindus-svitsjing: 1.1 og 1.3 (= MP3)

- Blokkstørrelse i transform og delbåndskodere:
  - Små blokker: god transientgjengivelse, dårlig koding pga mye overhead
  - Store blokker: god kodingsgevinst; gir pre-ekko
- Vindus-svitsjing mellom  $N=64$  og 1024 blokkstørrelse
  - Små blokker ved ikke-stasjonæritet
  - Ellers store blokker



# Scale factors and Quantization

- When the dynamics change over time, only a small subset of the quantization steps are used in regions with low magnitudes.
- Use scale factors instead:
  - Take a window of data.
  - Find the max magnitude in this window.
    - Use the next larger scale factor from a table.
  - Normalize with the scale factor.
  - Quantize.
    - Now the whole dynamic range of the quantizer is used.
  - Send scale factor and quantized samples.







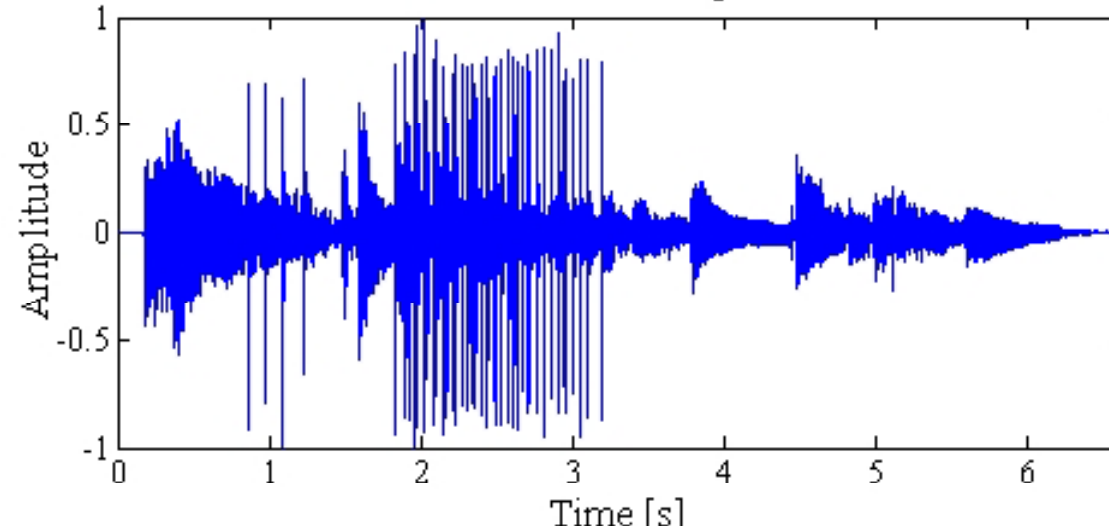
## Bit Allocation and Masking

- The masking threshold in each subband gives the Just Noticeable Distortion (JND) limit for that band.
- Bits are assigned to subbands so that the quantization noise falls below or as little over the JND as possible.
- Then the Signal to Quantization noise Ratio (SQR) falls below JND

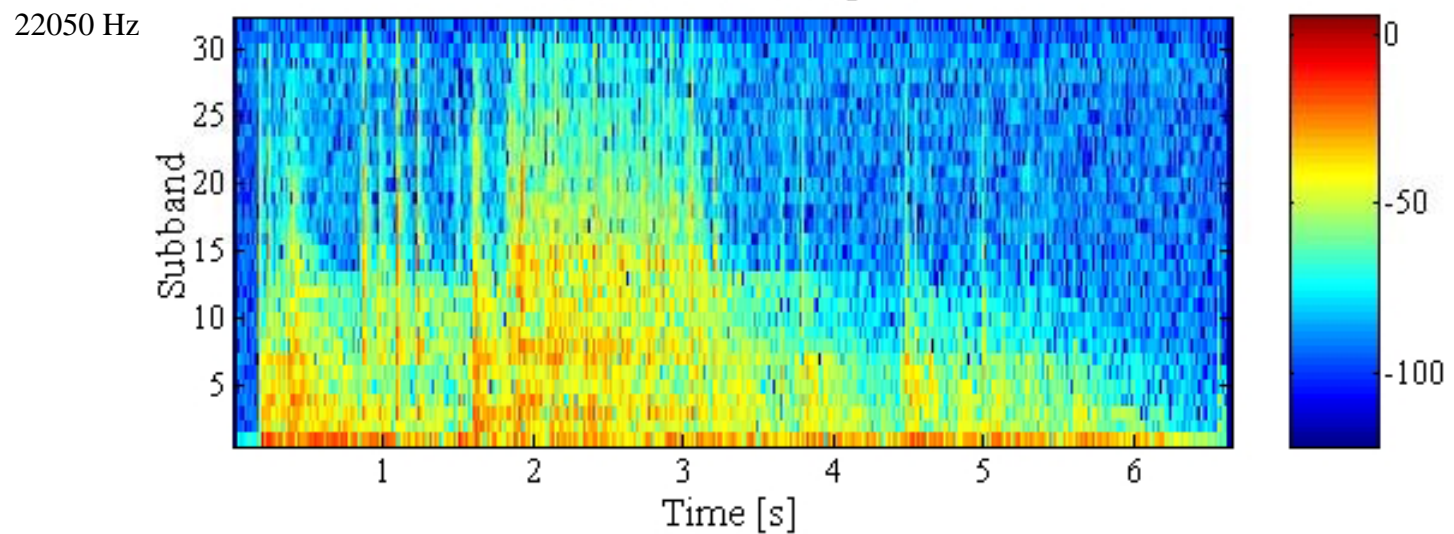


# Castanets and Guitar

Time domain signal

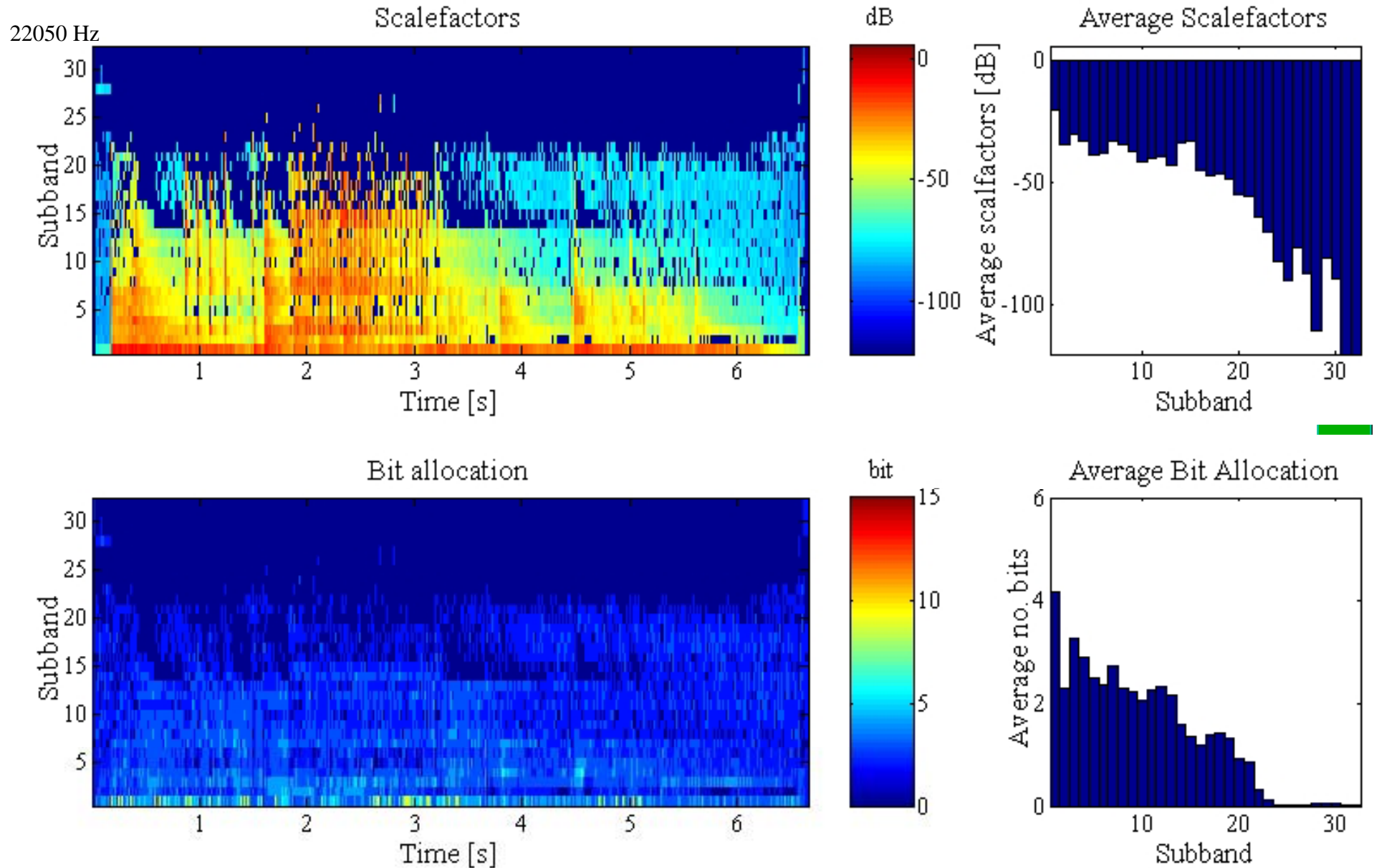


Subbandfiltered signal



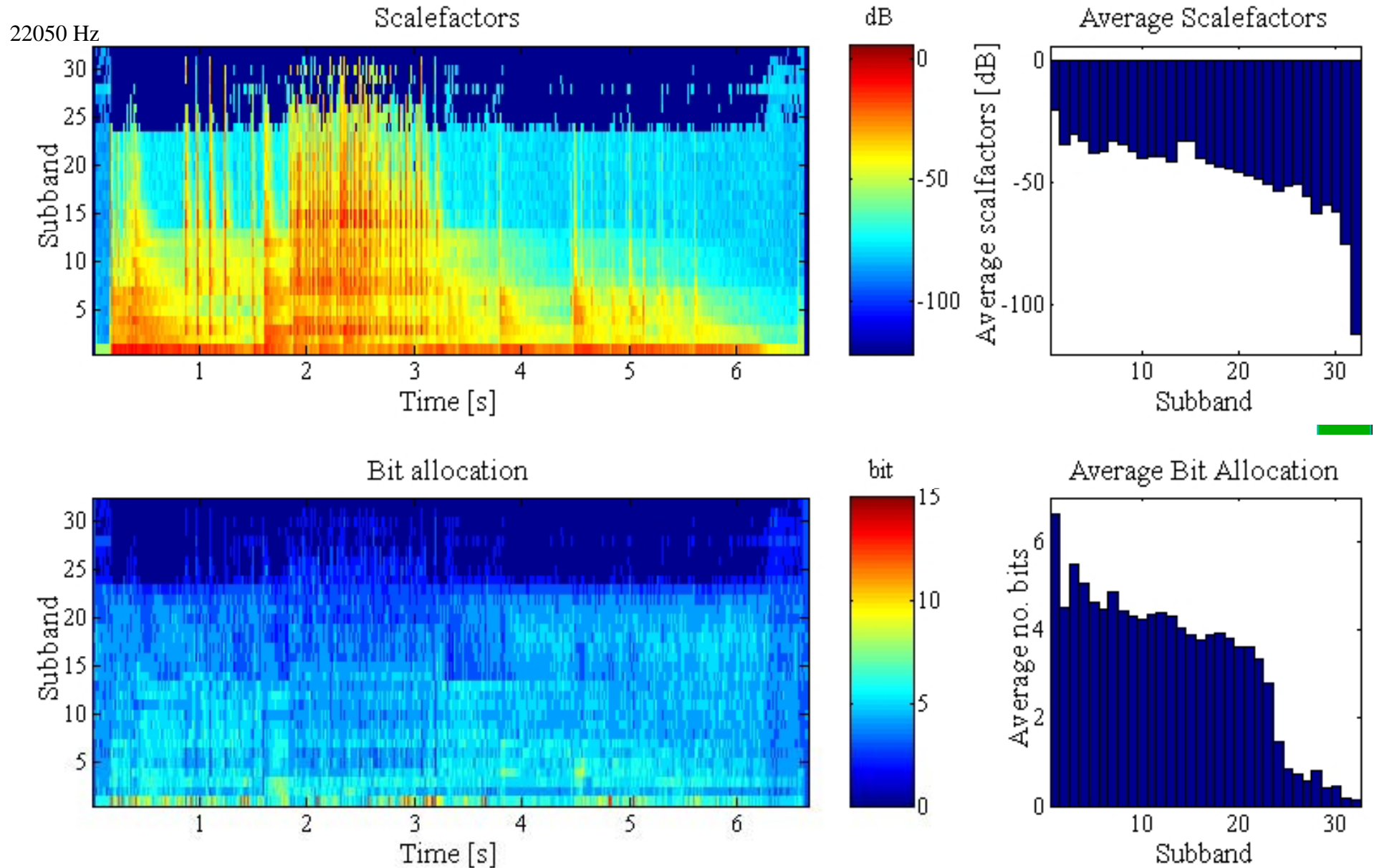


# Bit allocation with 2 bits per sample



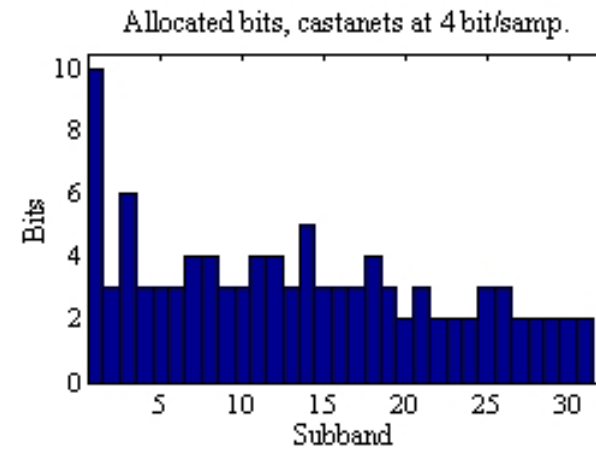
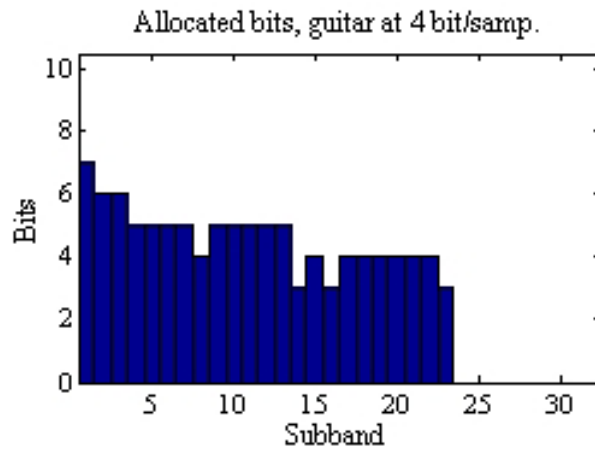
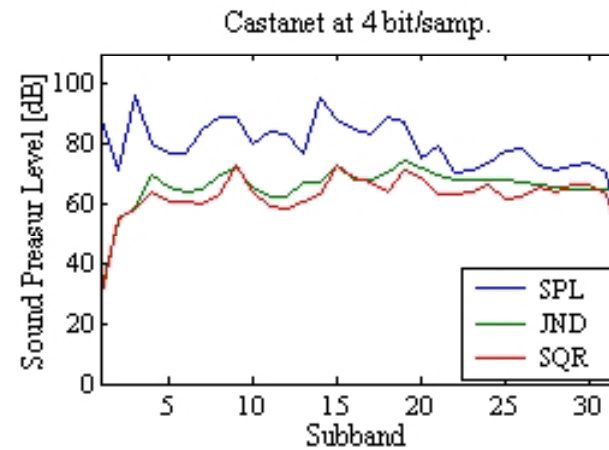
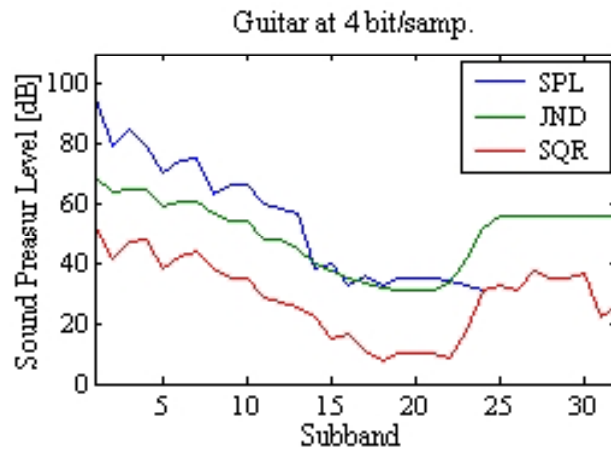


# Bit allocation with 4 bits per sample





# Signal to Quantization Noise Ratio and the Just Noticeable Distortion
















Frame at  $t=0.6$  s

Frame at  $t=1.1$  s



# Examples on compression

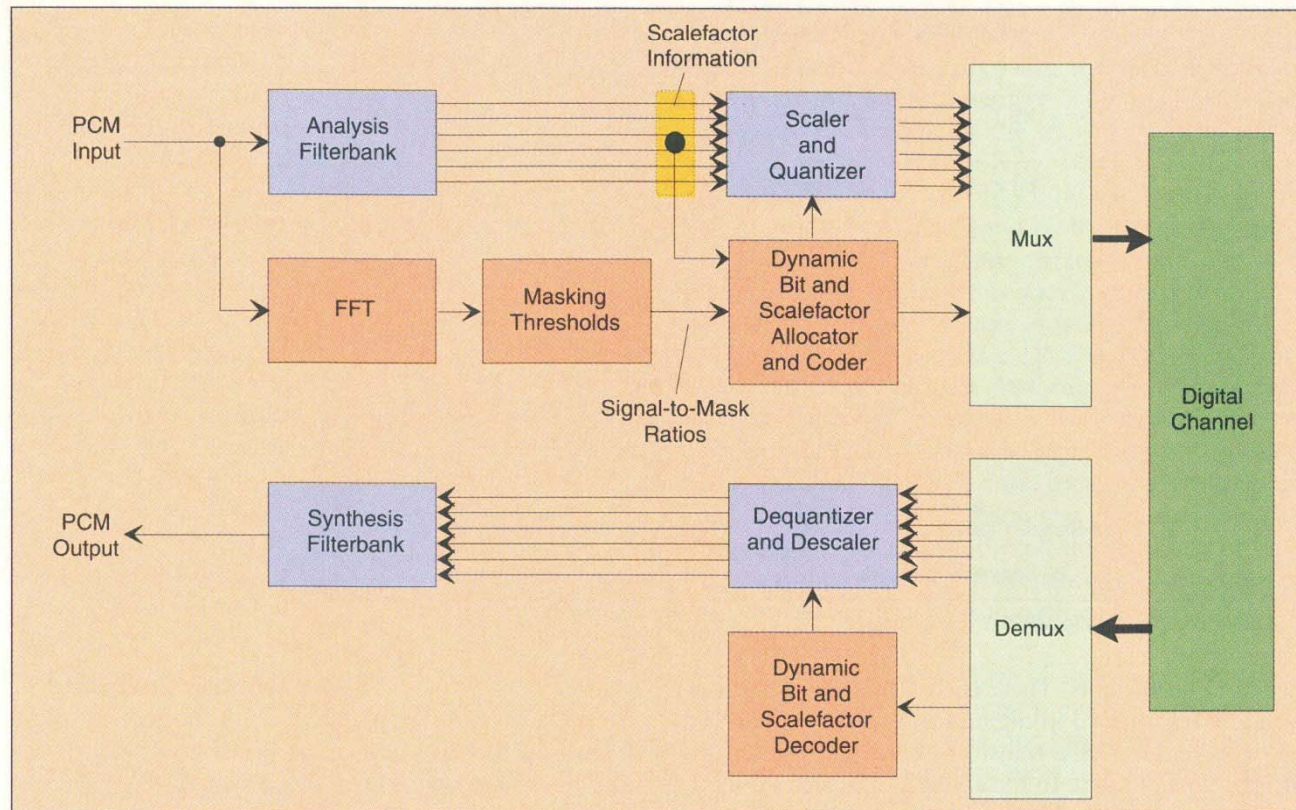


| Compression                                       | 2                                                                                            | 4                                                                                           | 8                                                                                           |
|---------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| MP1                                               |                                                                                              | 4 bit    | 2 bit    |
| MP1 error (SQR)                                   |                                                                                              | 22 dB    | 11 dB    |
| Direct Quantization                               | 8 bit     | 4bit     | 2 bit    |
| Direct Quantization Error (SQR)                   | 31 dB    | 7.8 dB  | 1.1 dB  |
| Downsampling to 22 kHz bandwidth and quantization | 16 bit  | 8 bit  | 4 bit  |





# MPEG-1 layers I and II

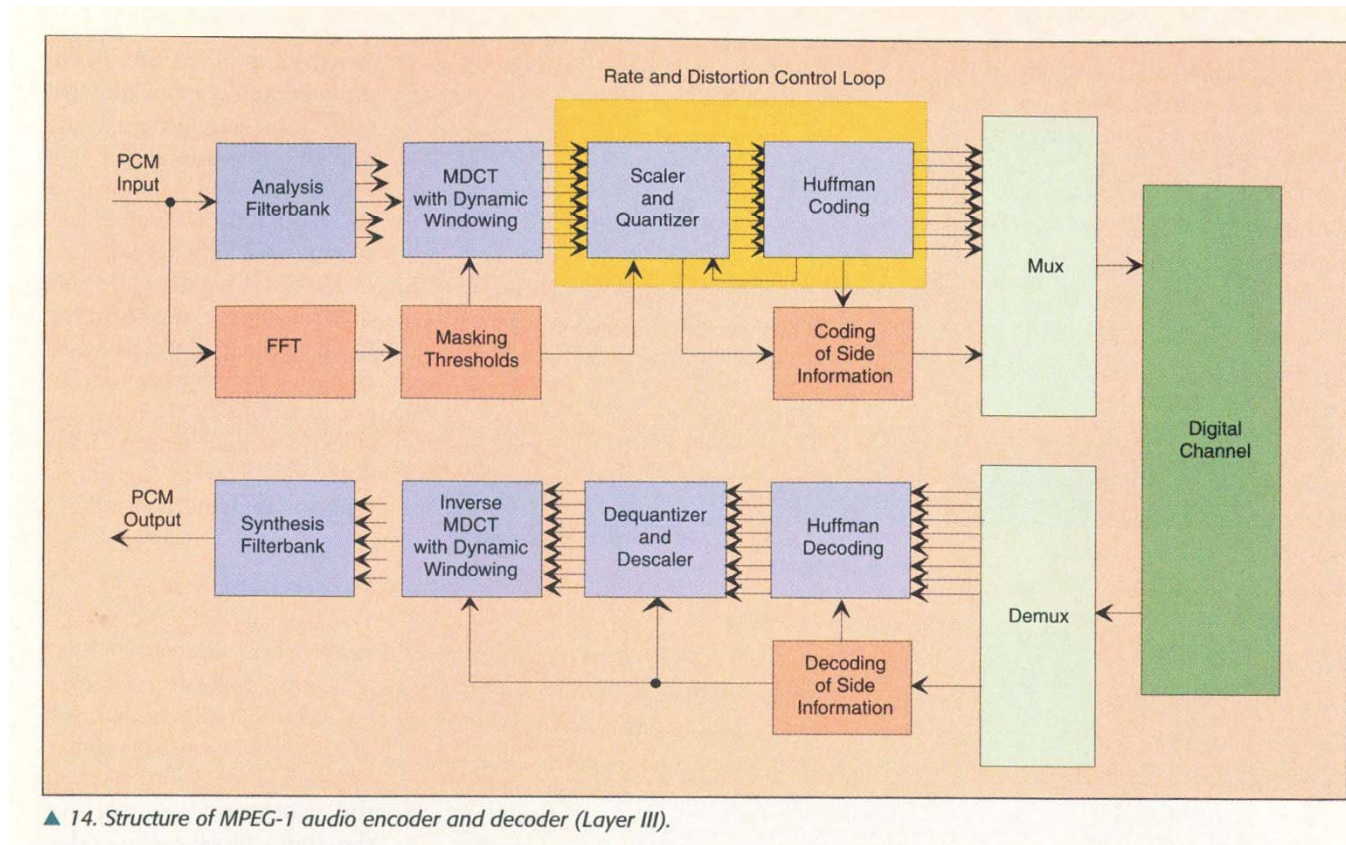


▲ 8. Structure of MPEG-1 audio encoder and decoder (Layers I and II).

P. Noll, MPEG digital audio coding, IEEE Sign. Proc. Mag., Sep 1997



# MPEG-1 layer III = MP3



▲ 14. Structure of MPEG-1 audio encoder and decoder (Layer III).

P. Noll, MPEG digital audio coding, IEEE Sign. Proc. Mag., Sep 1997





# MPEG-1 audio (ca 1990)

- Lag I: Delbåndskoding i 32 like frekvensbånd, 512 koeffisienters polyfase kvadratur speilfiltre og psykoakustisk modell som bestemmer adaptiv bit-tilordning, rammelengde 8 ms
  - ~192 kbit/s pr kanal for CD-kvalitet, ~384 kbit/s for stereo
- Lag II: Rammelengde 24 ms
  - 92 kbit/s pr kanal, 192 kbit/s for stereo
- Lag III: kaskadeppler en 6 eller 18 punkts (dynamisk vindus-svitsjing) MDCT med lag I's filterbank
  - =>  $32 * 18 = 576$  frekvensbånd =>
    - 64 kbit/s pr kanal (variabel) (128 kbit/s for stereo)
- MPEG-1, layer III = MP3



|    |                                                      |                                                                                                                                                                                                                                                   |
|----|------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1  | Overview                                             | <ul style="list-style-type: none"><li>• Praktiske eksempler som mp1/mp3</li></ul>                                                                                                                                                                 |
| 2  | Discrete Signals                                     | <ul style="list-style-type: none"><li>• Musikk og talesignal</li></ul>                                                                                                                                                                            |
| 3  | Time-Domain Analysis                                 | <ul style="list-style-type: none"><li>• Linearitet: kan dele i frekvensbånd, behandle for seg og så sette sammen igjen</li><li>• Tidsinvarians gjelder bare over kort tid for musikk og tale.</li><li>• Differanseligninger: FIR filtre</li></ul> |
| 4  | z-Transform Analysis                                 | <ul style="list-style-type: none"><li>• Analyse av filter i filterbanken: nøkkel til å få til filterdesign</li></ul>                                                                                                                              |
| 5  | Frequency Domain Analysis                            | <ul style="list-style-type: none"><li>• Frekvensdomene er sentralt i modell av hørsel</li><li>• Frekvensselektive filtre: båndpassfiltre</li><li>• Inverse systemer: kan dele i bånd i koder og addere sammen igjen i dekker</li></ul>            |
| 6  | Filter Concepts                                      | <ul style="list-style-type: none"><li>• Filterstrukturer, hvordan implementere filterbank i koder og dekker</li></ul>                                                                                                                             |
| 7  | Digital Processing of Analog Signals                 | <ul style="list-style-type: none"><li>• A/D-analyse: kvantiseringsstøy ved direkte sampling</li><li>• Multirate system: Hvert delfilter kan nedsamples pga bare 1/32 av total båndbredde =&gt; trenger bare 1/32 samplerate per filter</li></ul>  |
| 8  | The Discrete Fourier Transform and Its Applications. | <ul style="list-style-type: none"><li>• Frekvensanalyse av signaler</li><li>• FFT brukes i estimering av spektrum i koder.</li><li>• Må estimere korttidsspektrum for å gjøre adaptiv bittildeling</li></ul>                                      |
| 9  | Design of IIR Filters.                               |                                                                                                                                                                                                                                                   |
| 10 | Design of FIR Filters.                               | <ul style="list-style-type: none"><li>• Hvordan finne koeffisienter til bp-filtrene i filterbanken?</li></ul>                                                                                                                                     |
| 11 | MATLAB Examples                                      |                                                                                                                                                                                                                                                   |
| A  | Useful Concepts from Analog Theory                   |                                                                                                                                                                                                                                                   |