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INF5410 Array signal processing. Ch. 3: Apertures and Arrays

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Finite Continuous Apetrures

Apertures and Arrays Aperture function Classical resolution Geometrical optics Ambiguities & Aberrations

Spatial sampling

Sampling in one dimension

Arrays of discrete sensors

Regular arrays Grating lobes Element response Irregular arrays

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Apertures and Arrays

- Aperture: a spatial region that transmits or receives propagating waves.
- Array: Group of sensors combined in a discrete space domain to produce a single output.
- ► To observe a wavefield at *m*'th sensor position, \vec{x}_m , we distingush:
 - Fields value: $f(\vec{x}_m, t)$.
 - Sensors output: $y_m(t)$.

e.g If sensor is *perfect* (i.e. linear transf., infinite bandwidth, omni-directional):

$$y_m(t) = \kappa \cdot f(\vec{x}_m, t), \ \kappa \in \Re \text{ (or } \mathcal{C}).$$

Aperture function

- Our sensors gather a space-time wavefield only over a finite area.
- Omni-directional sensors: have no directional preference.
 E.g Sensors in a seismic exploration study.
- Directional sensors: have significant spatial extent.
 - They spatially integrate energy over the aperture, i.e. they focus in a particular propagation direction. E.g Parabolic dish.
 - They are described by the aperture function, $w(\vec{x})$, which describes:
 - Spatial extent reflects size and shape
 - Aperture weighting: relative weighting of the field within the aperture (also known as shading, tapering, apodization).

Aperture smoothing function (\neq Sec. 3.1.1)

- Aperture function at $\vec{\xi}$: $w(\vec{\xi})$
- Field recorded at $\vec{\xi}$: $f(\vec{x} \vec{\xi}, t)$
- contribution from the area δξ at ξ for a monochromatic wave with angular frequency ω is: w(ξ)f(x − ξ, ω)dξ
- ► contribution of the full sensor $z(\vec{x},\omega) = \int_{aperture} w(\vec{\xi}) f(\vec{x} - \vec{\xi},\omega) d\xi.$ $z(\vec{x},\omega) = w(\vec{x}) * f(\vec{x},\omega).$ $Z(\vec{k},\omega) = W(\vec{k})F(\vec{k},\omega).$



- Aperture smoothing function: $W(\vec{k}) = \int_{-\infty}^{\infty} w(\vec{x}) \exp(j\vec{k}.\vec{x})d\vec{x}$
- ► The wavenumber-frequency spectrum of the field: $F(\vec{k},\omega) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\vec{x},t) \exp(j\vec{k}.\vec{x}-\omega t) d\vec{x} dt$
- ► Assume a single plane wave, propagating in direction $\vec{\zeta}^0, \vec{\zeta}^0 = \vec{k}^0/k$ $\Rightarrow f(\vec{x}, t) = s(t - \vec{\alpha}^0 \cdot \vec{x}), \ \vec{\alpha}^0 = \vec{\zeta}^0/c$ $\Rightarrow F(\vec{k}, \omega) = S(\omega)\delta(\vec{k} - \omega\vec{\alpha}^0)$ (Sec. 2.5.1)

This prop. wave contains energy only along the line $\vec{k} = \omega \vec{\alpha}^0$ in wavenumber-frequency space.



• Subst. of
$$F(\vec{k},\omega) = S(\omega)\delta(\vec{k}-\omega\vec{\alpha}^0)$$
 into
 $Z(\vec{k},\omega) = W(\vec{k})F(\vec{k},\omega)$ gives
 $Z(\vec{k},\omega) = W(\vec{k})S(\omega)\delta(\vec{k}-\omega\vec{\alpha}^0)$
 $Z(\vec{k},\omega) = W(\vec{k}-\omega\vec{\alpha}^0)S(\omega)$

For
$$\vec{k} = \omega \vec{\alpha}^0 \Rightarrow Z(\omega \vec{\alpha}^0, \omega) = W(0)S(\omega)$$
: The information from the signal $s(t)$ is preserved.

For $\vec{k} \neq \omega \vec{\alpha}^0$: The information from the signal s(t) gets filtered.

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► Linear aperture: $b(x) = 1, |x| \le D/2$ $\Rightarrow W(\vec{k}) = \frac{\sin k_x D/2}{k_x/2}$ \Rightarrow Sidelobe at $k_{x_0} \approx 2.86\pi/D$ $|W(k_{x_0}| \approx 0.2172D \Rightarrow$ $\frac{ML}{SL} \approx \frac{D}{0.2172} = 4.603 \propto$ 13.3dB



 Circular aperture: *o*(*x*, *y*) = 1, √*x*² + *y*² ≤ *R* ⇒ *O*(*k*_{xy}) = ^{2πR}/_{*k*_{xy}} *J*₁(*k*_{xy}*R*)

 ⇒ SL at *k*_{xy0} ≈ 5.14/*R* <u>ML</u> SI ≈ 7.56 ∝ -17.57dB.



UIO Classical resolution

- Spatial extent of $w(\vec{x})$ determines the resolution with which two plane waves can be separated.
- Ideally, $W(\vec{k}) = \delta(\vec{k})$, i.e. infinite spatial extent!

Rayleigh criterion: Two incoherent plane waves, propagating in two slightly different directions, are resolved if the mainlobe peak of one aperture smoothing function replica falls on the first zero of the other aperture smoothing function replica, i.e. half the mainlobe width.



Classical resolution ...

Linear aperture of size D

$$W(k_x) = rac{\sin(k_x D/2)}{k_x/2} (= D \mathrm{sinc}(k_x D/2)) = rac{\sin(\pi \sin \theta D/\lambda)}{\pi \sin \theta/\lambda}$$

- -3 dB width: $\theta_{-3dB} \approx 0.89 \lambda/D$
- -6 dB width: $\theta_{-6dB} \approx 1.21 \lambda/D$
- Zero-to-zero distance: $\theta_{0-0} = 2\lambda/D$

Circular aperture of diameter D

$$W(k_{xy}) = \frac{2\pi D/2}{k_{xy}} J_1(k_{xy}D/2)$$

- -3 dB width: $\theta_{-3dB} \approx 1.02 \lambda/D$
- -6 dB width: $\theta_{-6dB} \approx 1.41 \lambda/D$
- Zero-to-zero distance: $\theta_{0-0} \approx 2.44 \lambda/D$
- Rule-of-thumb; Angular resolution: $\theta = \lambda/D$

Geometrical optics

- Validity: down to about a wavelength
- Near field-far field transition

• $d_R = D^2/\lambda$ for a maximum phase error of $\lambda/8$ over aperture

f-number

• Ratio of range and aperture: $f_{\#} = R/D$

- Resolution
 - Angular resolution: $\theta = \lambda/D$
 - Azimuth resolution: $u = R\theta = f_{\#}\lambda$
- Depth of focus
 - Aperture is focused at range R. Phase error of $\lambda/8$ yields $r = \pm f_{\#}^2 \lambda$ or DOF= $2f_{\#}^2 \lambda$ (proportional to phase error)

Geom.Opt: Near field/Far field crossover



(From Wright: Image Formation ...)

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Geom.Opt: Near field/Far field crossover



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Geom.Opt: Near field/Far field crossover



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Ultrasound imaging

▶ Near field/far field transition, D=28mm, f=3.5MHz \Rightarrow

- $\lambda = 1540/3.5 \cdot 10^6 = 0.44$ mm and $d_R = D^2/R = 1782$ mm
- All diagnostic ultrasound imaging occurs in the extreme near field!
- ► Azimuth resolution, D=28mm, f=7MHz ⇒
 - $\lambda = 0.22$ mm and $\theta = \lambda/D = 0.45^{\circ}$,
 - i.e. about 200 lines are required to scan ±45°
- Depth of focus, $f_{\#} = 2$, f=5MHz \Rightarrow
 - $\lambda = 0.308$ mm and DOF = $2f_{\#}^2 \lambda \approx 2.5$ mm.
 - ► Ultrasound requires $T = 2 \cdot 2.5 \cdot 10^{-3}/1540 = 3.2\mu s$ to travel the DOF. This is the minimum update rate for the delays in a dynamically focused system.

Ambiguities & Aberrations

- Aperture ambiguities
 - Due to symmetries
- Aberrations
 - Deviation in the waveform from its intended form.
 - In optics; due to deviation of a lens from its ideal shape.
 - More generally; Turbulence in the medium, inhomogeneous medium or position errors in the aperture.
 - Ok if small comp. to λ_0 .



$$\phi \iff ' \sin \phi'$$

• \vec{k} represents two kind of information

- 1. $|\vec{k}| = 2\pi/\lambda$: No. waves per meter
- 2. $\vec{k}/|\vec{k}|$: the wave's direction of prop.
- ► If signal have only a narrow band of spectral components, (i.e. all $\approx w$), we can replace |k| with $w_0/c = 2\pi/\lambda_0$.

• Example: Linear array along x-axis: $W(-k\sin\phi) = \frac{\sin\frac{k_{x}D\sin\phi}{2}}{\frac{k_{x}\sin\phi}{2}}$ (1) $W(-2\pi\sin\phi/\lambda_{0}) = W''(\phi) = \lambda_{0}\frac{\sin D'\pi\sin\phi}{\pi\sin\phi}, \quad D' = D/\lambda_{0}$

- $W''(\phi) = W''(\phi + \pi)$, i.e. periodic!! W(k) is not!
- Often W(u, v), $u = \sin \phi \cos \theta$, $v = \sin \phi \sin \theta$

Co-array for continuous apertures

- ► $c(\vec{\chi}) \equiv \int w(\vec{x})w(\vec{x}+\vec{\chi})d\vec{x}, \ \vec{\chi}$ called lag and its domain *lag* space.
- Important when array processing algorithms employ the wave's spatiotemporal correlation function to characterize the wave's energy.
- Fourier transform of c(x)(= |W(k)|²) gives a smoothed estimate of the power spectrum S_f(k, w).



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Periodic spatial sampling in one dimension

Array:

- Consists of individual sensors that sample the environment spatially
- Each sensor could be an aperture or omni-directional transducer
- Spatial sampling introduces some complications (Nyquist sampling, folding, ...)
- Question to be asked/answered: When can f(x, t₀) be reconstructed by {y_m(t_o)}?
 - f(x, t) is the continuous signal and
 - ► {y_m(t)} is a sequence of temporal signals where y_m(t) = f(md, t), d being the spatial sampling interval.

Periodic spatial sampling in one dimension ...

Sampling theorem (Nyquist): If a continuous-variable signal is band-limited to frequencies below k₀, then it can be periodically sampled without loss of information so long as the sampling period d ≤ π/k₀ = λ₀/2.



UIO University of Oslo Periodic spatial sampling in one dimension ...

- Periodic sampling of one-dimensional signals can be straightforwardly extended to multidimensional signals.
- "Rectangular / regular" sampling not necessary for multidimensional signals.







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Regular arrays

- Assume point sources $(W_{tot} = W_{array} \cdot W_{el}))$.
- Easy to analyze and fast algorithms available (FFT).



each arm (see Prob. 3.16).

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Regular arrays; linear array

- Consider linear array; M equally spaced ideal sensor with inter-element spacing d along the x direction.
 - ▶ The discrete aperture function, *w*_m.
 - The discrete aperture smoothing function, W(k):

$$W(k) \equiv \sum_{m} w_{m} e^{jkmd}$$

Spatial aliasing given by *d* relative to λ .



Grating lobes

▶ Given an linear array of *M* sensors with element spacing *d*.

•
$$W(k) = \frac{\sin kMd/2}{\sin kd/2}$$
.

- Mainlobe given by D = Md.
- ► Gratinglobes (if any) given by *d*.
- Maximal response for φ = 0. Does it exist other φ_g with the same maximal response?

$$k_x = 2\frac{\pi}{\lambda}\sin\phi_g \pm 2\frac{\pi}{d}n \Rightarrow \sin\phi_g = \pm \frac{\lambda}{d}n.$$

• n = 1: No gratinglobes for $\lambda/d > 1$, i.e. $d < \lambda$.

•
$$d = 4\lambda$$
:

 $\sin\phi_g\pm n\cdot 1/4 \Rightarrow \phi_g=\pm 14.5^\circ, \pm 30^\circ, \pm 48.6^\circ, \pm 90^\circ.$

Element response

If the elements have finite size:

$$W_{e}(\vec{k}) = \int_{-\infty}^{\infty} w(\vec{k}) e^{j\vec{k}\cdot\vec{x}} d\vec{x}$$

 If linear array: Continuous aperture "devided into" *M* parts of size *d* Each single element: sin(kd/2)/(k/2) → first zero at k = 2π/d

► Total response: W_{total}(k) = W_e(k) · W_a(k), where W_a(k) is the array response when point sources are assumed.

Irregular arrays

Discrete co-array function:

- ► $c(\vec{\chi}) = \sum_{(m_1, m_2) \in \vartheta(\vec{\chi})} w_{m_1} w_{m_2}^*$, where $\vartheta(\vec{\chi})$ denotes the set of indices (m_1, m_2) for which $\vec{x}_{m_2} \vec{x}_{m_1} = \vec{\chi}$.
- ► $0 \leq c(\vec{\chi}) \leq M = c(\vec{0}).$
- ► Equals the inverse Fourier Transform of |W(k)|² ⇒ sample spacing in the lag-domain must be small enough to avoid aliasing in the spatial power spectrum.
- Redundant lag: The number of distinct baselines of a given length is grater than one.

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Examples



Figure 3.22 The Haubrich array shown on the left has the co-array on the right. Because there are no redundant baselines in the array, the co-array values are all equal to one except at the origin (zero lag), where the co-array value is M.

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Examples ...



Figure 3.23 The sensor locations for two circular arrays and a square array are shown in the left column. The first of the circular arrays contains eight sensor, the square array and the remaining circular array each contain nine sensors. Their corresponding co-arrays are shown in the right column. The area of the circles denoting co-array locations is proportional to the redundancy at that lag. The redundancy at the origin of a co-array salways equals M. Note how these regular array geometries lead to co-array salways equals M. Note how these regular array geometries lead to co-array salways equals M. Note how these regular array geometries lead to co-array salways equals M. Note how these regular



Figure 3.25 The panels depict the Fourier Transforms of the co-arrays for the circular arrays depicted in Fig. 3.23. The computations for the eight-sensor array are shown on the left, the nine-sensor on the right. The spectra are plotted only over the first quadrant of wavenumber space. Peak height can be judged by the number of contours encircling the peak.

Irregular arrays

Sparse arrays

- Underlying regular grid, all position not filled.
- Position fills to acquire a given co-array
 - Non-redundant arrays with minimum number of gaps

- Maximal length redundant arrays with no gaps.
- Sparse array optimization
 - Irregular arrays can give regular co-arrays ...

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- Non-redundant arrays == Minimum hole arrays == Golumb arrays 1101, 1100101, 110010000101
- Redundant arrays == Minimum redundancy arrays 1101, 1100101, 1100100101





Random arrays

•
$$W(\vec{k}) = \sum_{m=0}^{M-1} e^{j\vec{k}\cdot\vec{x}_m}$$
 (assumes unity weights)

$$E[W(\vec{k})] = \sum_{m=0}^{M-1} E[e^{j\vec{k}\cdot\vec{x}_m}] = M \int p_x(\vec{x}_m) e^{j\vec{k}\cdot\vec{x}_m} d\vec{x} = M \cdot \Phi_x(\vec{k})$$

i.e. Equals the array pattern of a continuous aperture where the probability density function plays the same role as the weighting function.

•
$$var[W(\vec{k})] = E[|W(\vec{k})|^2] - (E[W(\vec{k})])^2$$

►
$$E[|W(\vec{k})|^2] = E[\sum_{m_1=0}^{M-1} e^{j\vec{k}\cdot\vec{x}_{m_1}} \cdot \sum_{m_2=0}^{M-1} e^{-j\vec{k}\cdot\vec{x}_{m_2}}]$$

 $= E[M \cdot 1 + \sum_{m_1,m_1 \neq m_2} e^{j\vec{k}\cdot\vec{x}_{m_1}} \cdot \sum_{m_2} e^{-j\vec{k}\cdot\vec{x}_{m_2}}]$
Assumes uncorrelated $x_m (E[x \cdot y] = E[x] \cdot E[y])$
 $\Rightarrow E[|W(\vec{k})|^2] = M + (M^2 - M)|\Phi_x(\vec{k})|^2$
 $\Rightarrow var[W(\vec{k})] = M - M|\Phi_x(\vec{k})|^2$