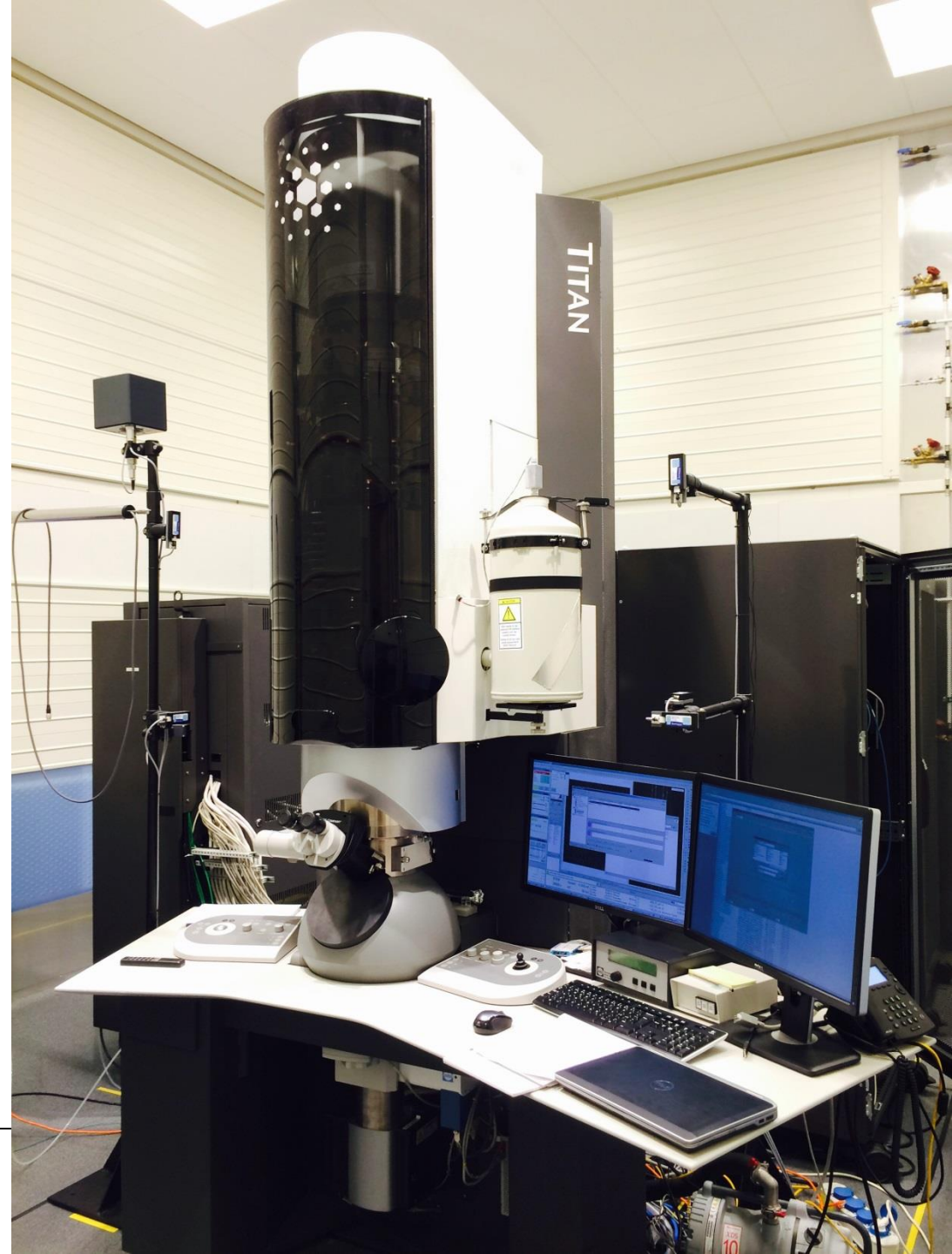


FYS 4340/FYS 9340

Diffraction Methods & Electron Microscopy

Lecture 2

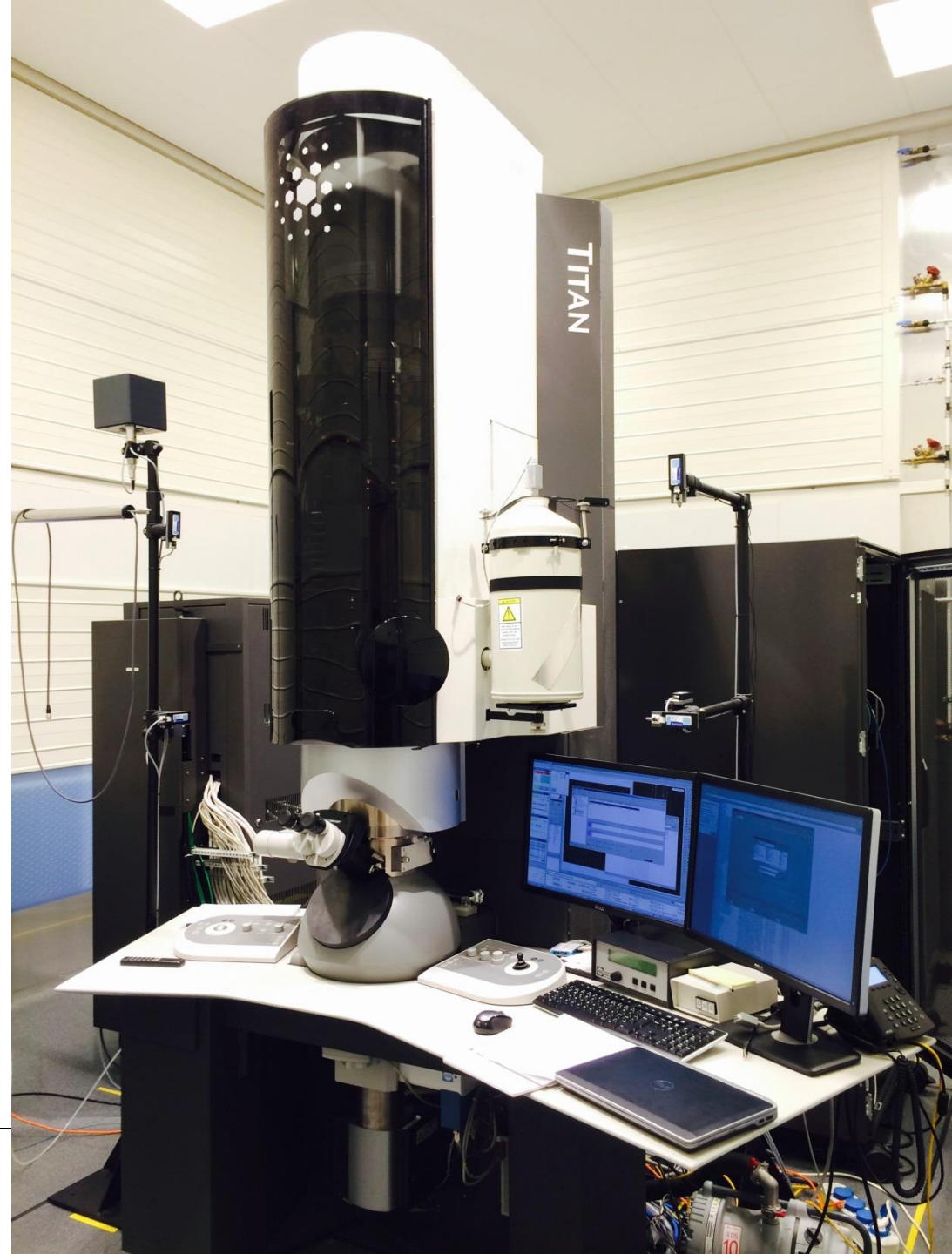
Sandeep Gorantla



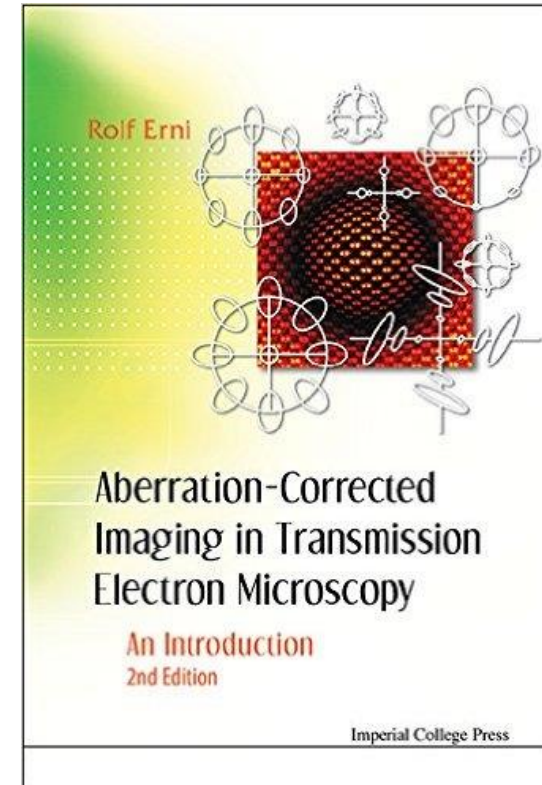
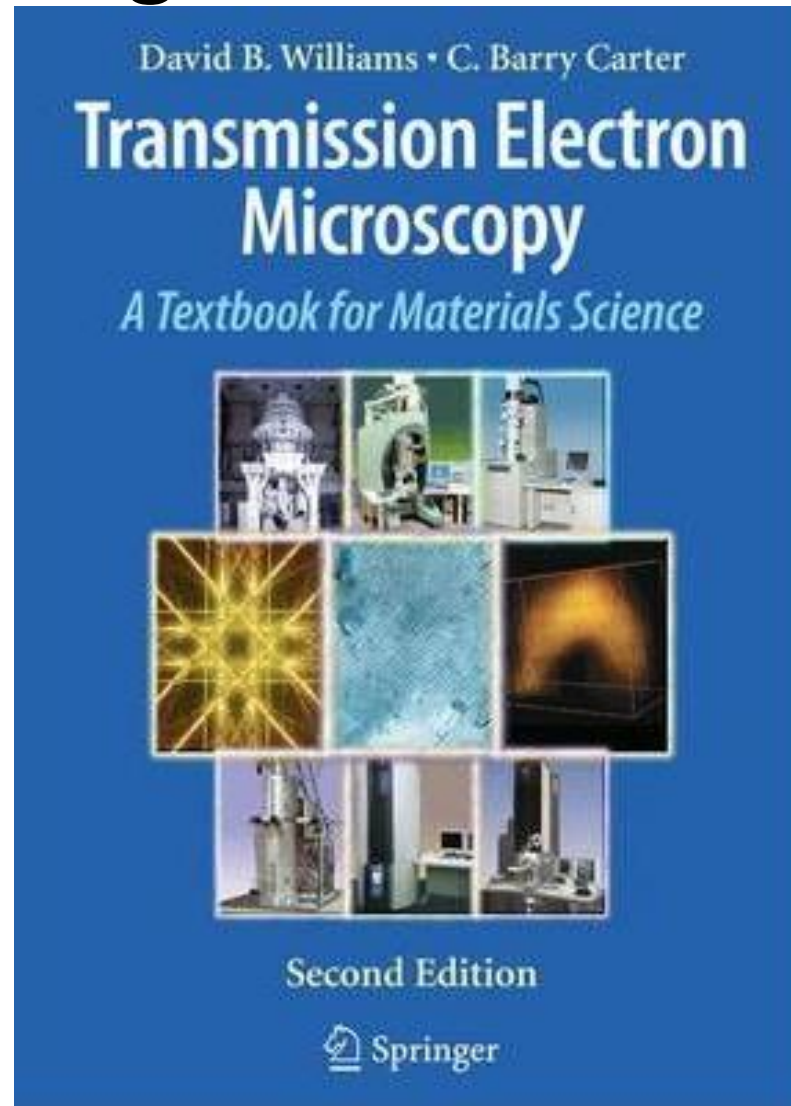
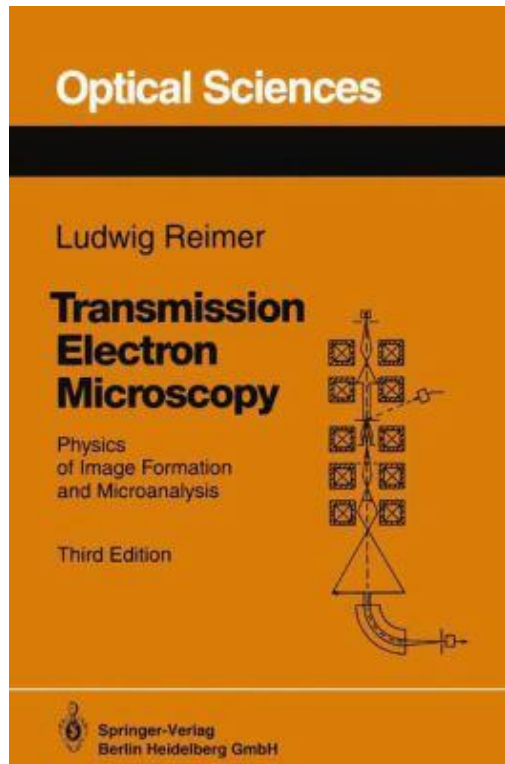
Transmission Electron Microscopy

Introduction and Basics Part- 1

Sandeep Gorantla



Learning more about TEM!



Courtesy: WWW.amazon.com

Learning more about TEM!

TEM Basics

www.matter.org.uk/tem/

matter search amazon.co.uk for TEM

MATTER | TEM | Site Map | Help | Contact us | Glossary | About

[Previous] [Continue]

MATTER TEM Basics

Transmission Electron Microscopy (TEM)

Storyboard:
Peter Goodhew, University of Liverpool
Based on Textbook: **Transmission Electron Microscopy - Basics** by D.B.Williams and C.B.Carter

Design and development:
David Brook
Boban Tanovic
Andrew Green
Ian Jones

This is a set of resources designed to accompany an introductory course on transmission electron microscopy. The level is appropriate for students with an understanding of some elementary physics. A list of preferred topics is to be found here. The student who needs an introduction prior to studying Williams and Carter may like to read *Electron Microscopy and Analysis* by Goodhew, Humphreys and Beanland (3rd Edition 2000).

Prior knowledge for TEM

Topic	Williams and Carter
A. Electron Scattering	Sec. 2.6; p26
B. Electron atom interactions	Sec 2.11; p30
• B1. Introduction	-
• B2. Inelastic scattering	-
• B3. X-ray and Auger	Sec 4.3C; p58
• B4. Simulation	-
C. Electron gun	
• C1. Electron sources	Sec 5.3A; p72
• C2. Gun simulation - introduction	Sec 5.3A; p72
• C3. Gun simulation	Sec 5.3A; p72
D. Diffraction at an aperture	Sec. 2.11; p30
E. Resolution of 2 sources	
	Sec 5.5D; p80
F. Probe size	
G. Lenses	
• G1. Electromagnetic lenses	Sec 6.3A; p91
• G2. Thin lens optics	Sec 6.2D; p90
• G3. Condensing optics	Sec 6.2D; p90
• G4. Double condenser lens	Sec 9.1; p133
• G5. First condenser lens	Sec 9.1; p 134
• G6. Second condenser lens	Sec 9.1; p 134-5
• G7. Beam convergence	Sec 9.1; p 134-5
• G8. Condenser aperture	Sec 9.1; p 134-5
• G9. Simulation of condenser system	Sec 9.1; p 134-5
• G10. Objective lens (i)	Sec 9.1; p 134-5
• G11. Objective Aperture	Sec 9.1; p 134-5
• G12. Objective Aperture (ii)	Sec 9.1; p 134-5

<http://www.matter.org.uk/tem/>

Learning more about TEM!

https://www.youtube.com/watch?v=2wEmsDh_l_A

FEI Transmission electron microscopy

YouTube NO

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TI

ATOMIC IMAGING

CHEMICAL BONDING

PLASMONICS

Video Journey Inside the FEI Titan Transmission Electron Microscope

FEI Company

Subscribe 460

14,716

40 1

Uploaded on Feb 28, 2012

Since its introduction in 2005, the Titan's superior product design and proven ability to deliver ground-breaking results have made it the preferred scanning/transmission electron microscope (S/TEM) of leading researchers around the world. The FEI Titan™ S/TEM family includes the world's most powerful,

Up next

Autoplay

Transmission Electron Microscope TEM demo session

by Magnus Hummelgård

9,836 views

14:00

Avizo | Industrial Inspection | Computed tomography of complete cars

by FEI Company

306 views

2:13

Avizo | Industrial Inspection | 3D digital parts inspection

by FEI Company

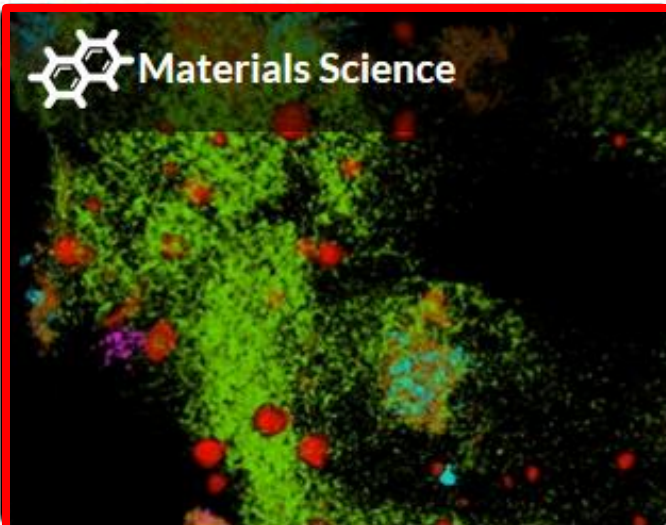

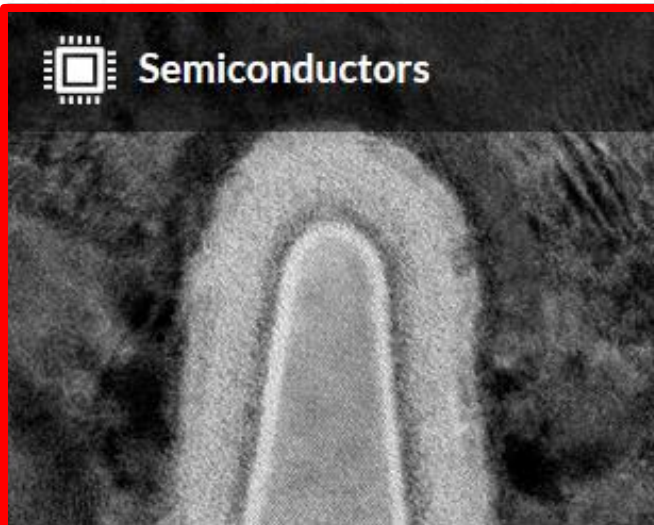
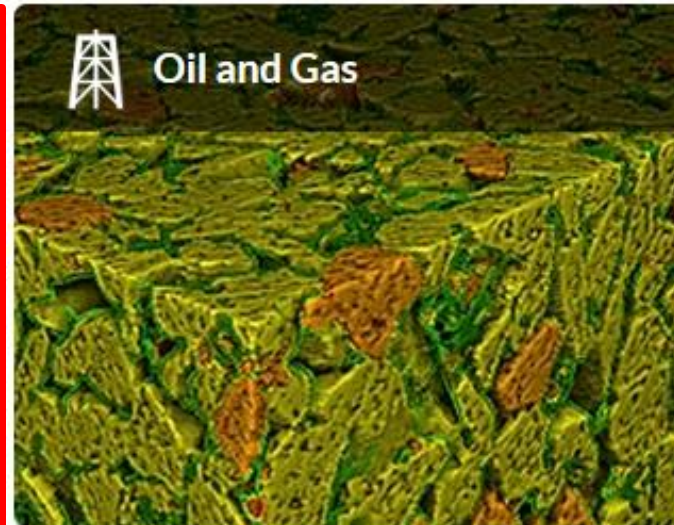
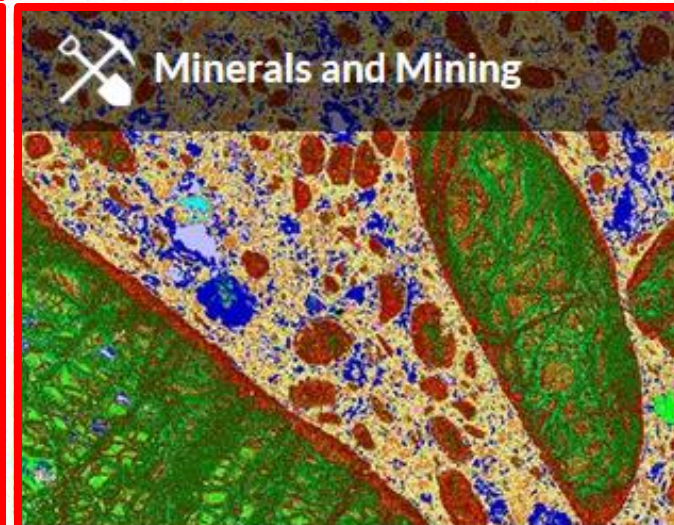
205 views

2:09

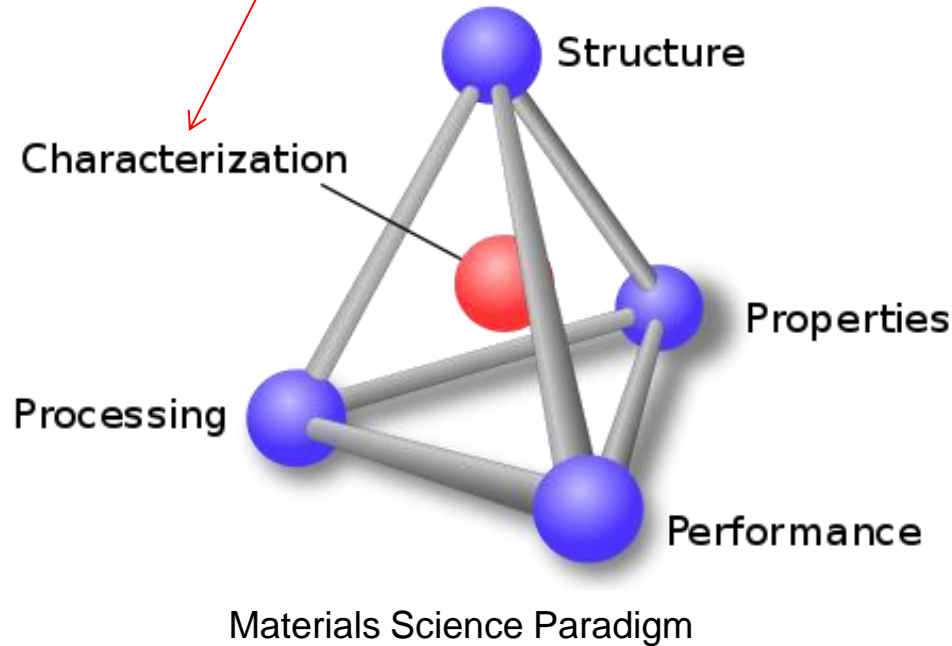


Why learn about Transmission Electron Microscopy (TEM)?



[Materials Science](#)[Semiconductors](#)[Life Sciences](#)[Oil and Gas](#)[Industrial Manufacturing](#)[Minerals and Mining](#) Materials Science Semiconductors Oil and Gas Life Sciences Industrial Manufacturing Minerals and Mining

Role of **TEM** in Materials Science Research and Development

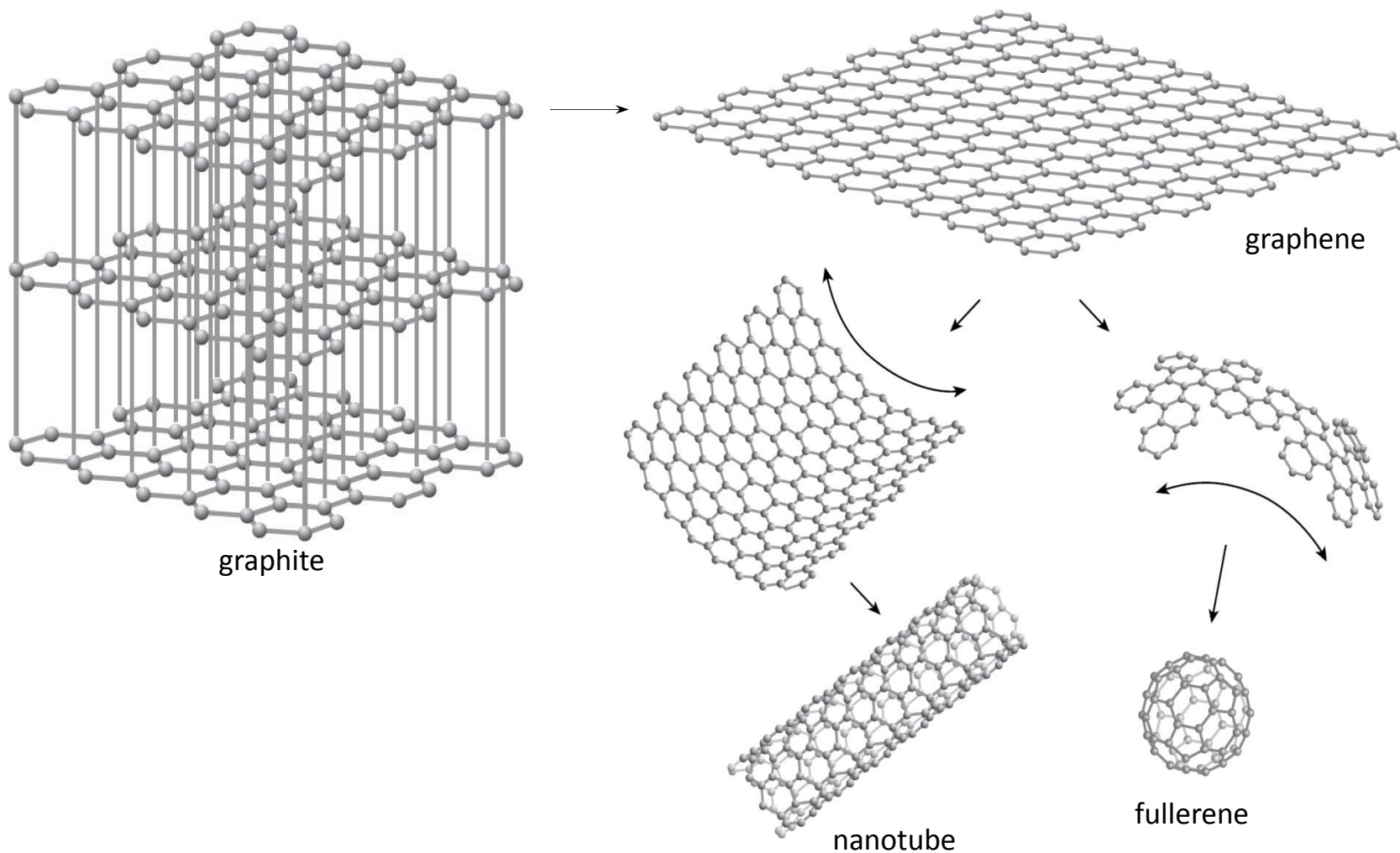


Solving Materials Science problems/mysteries by probing analytically and understanding structure-property relationships at atomic scale level

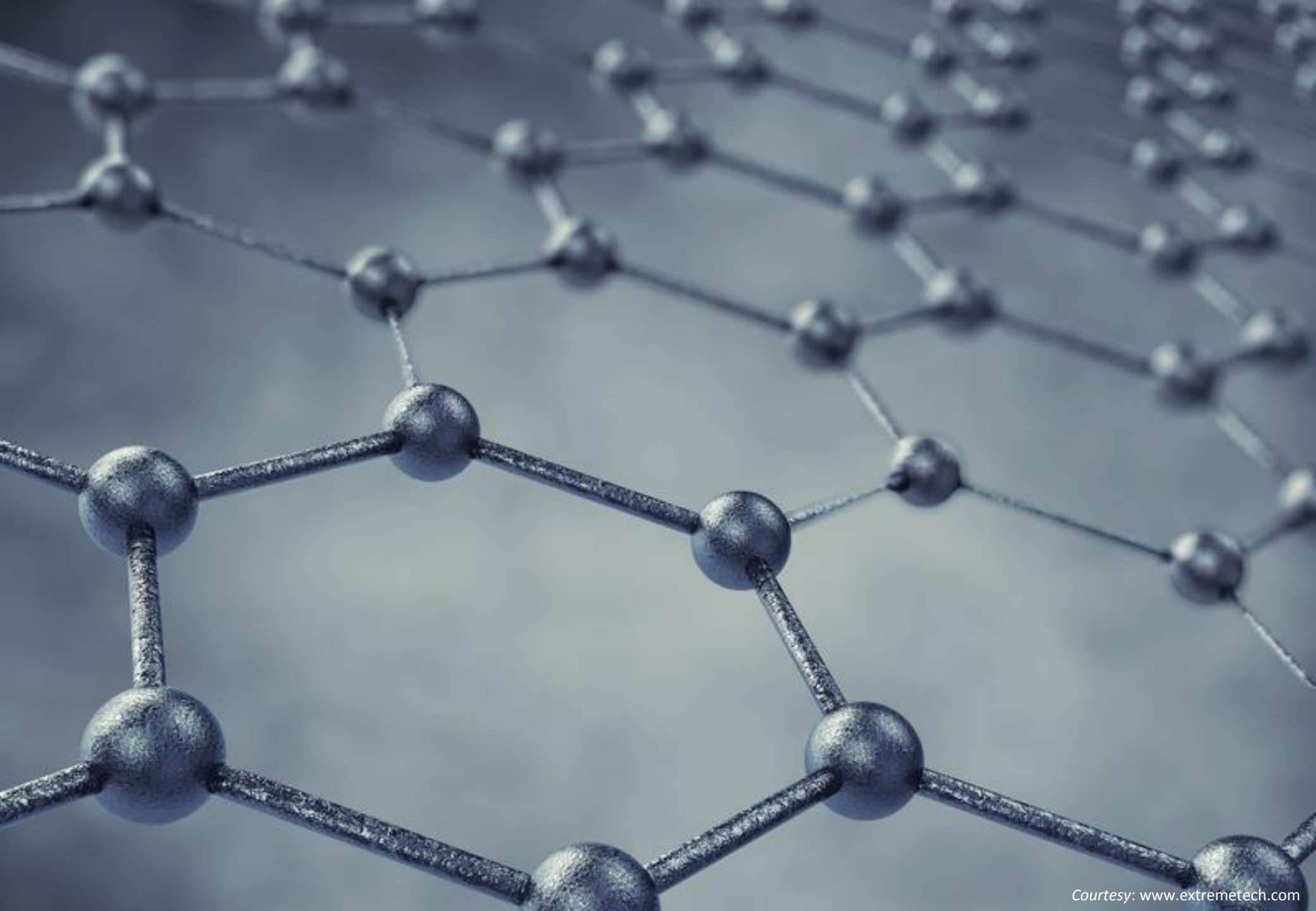
Courtesy: www.wikipedia.com

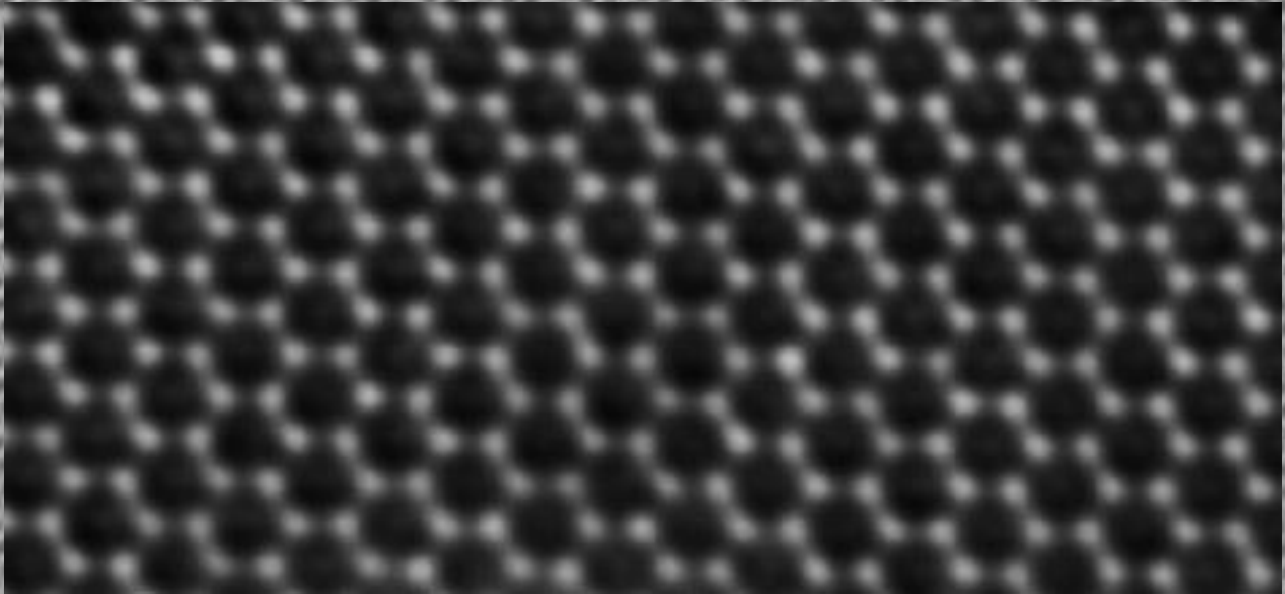


Allotropes of carbon



(Courtesy: The Royal Swedish Academy of Sciences)





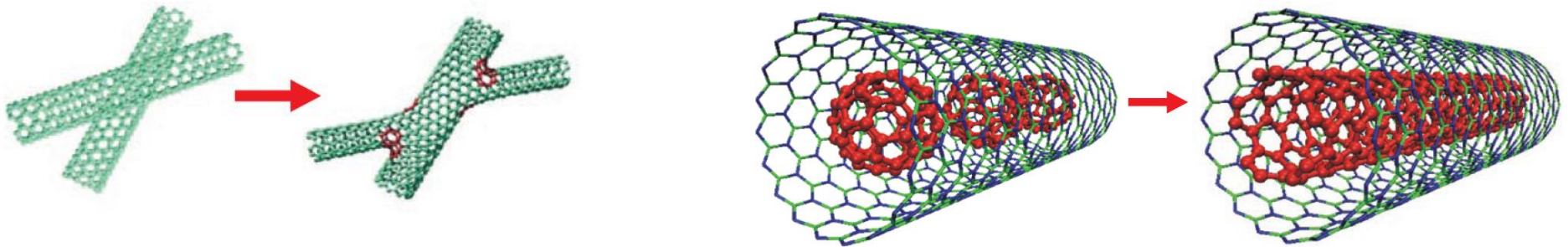
Courtesy: Knut Urban, Nature Materials 10, 165–166 (2011)

1D nanomaterials modification in TEM

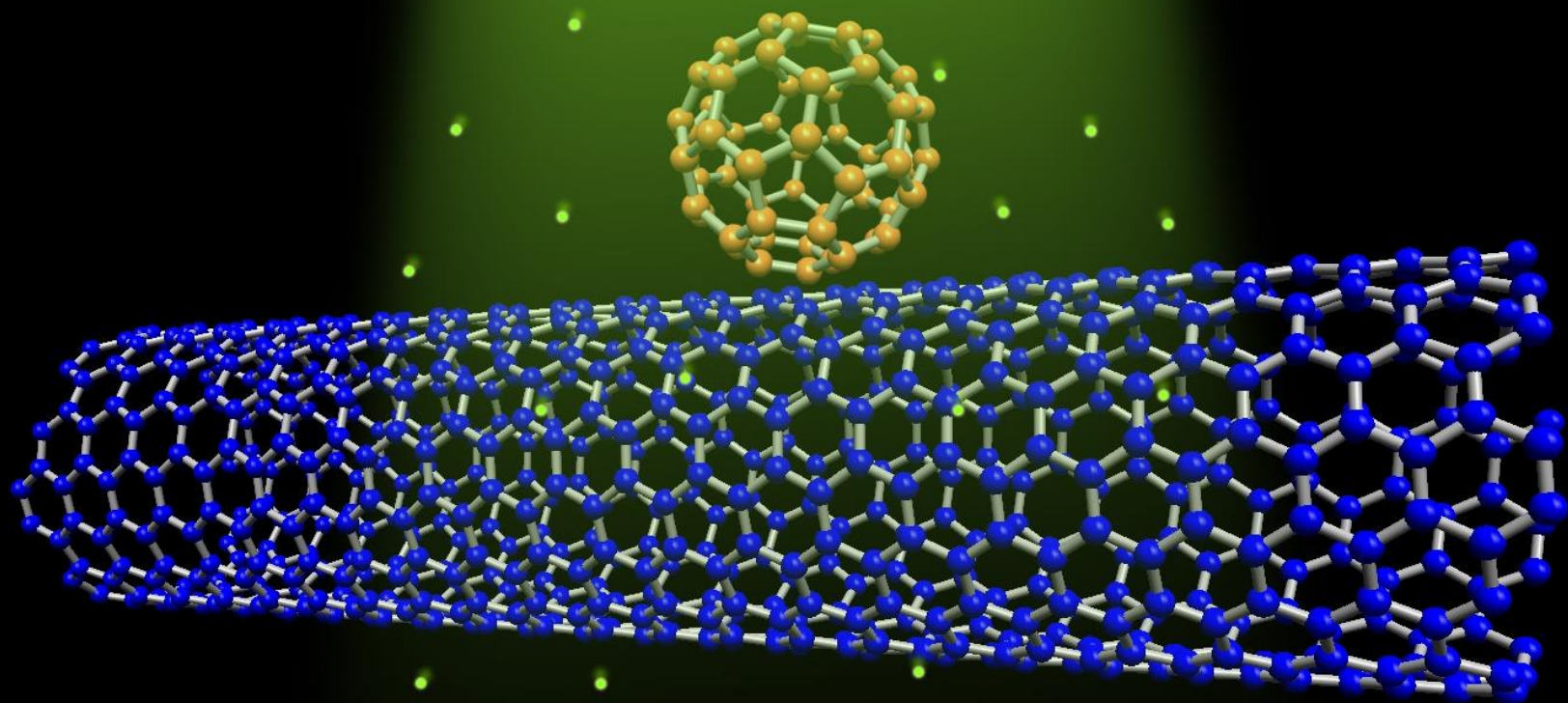
- Irradiation of solids with energetic particles usually leads to damage
- However, in the case of carbon nanostructures, electron irradiation was observed to have some beneficial effects

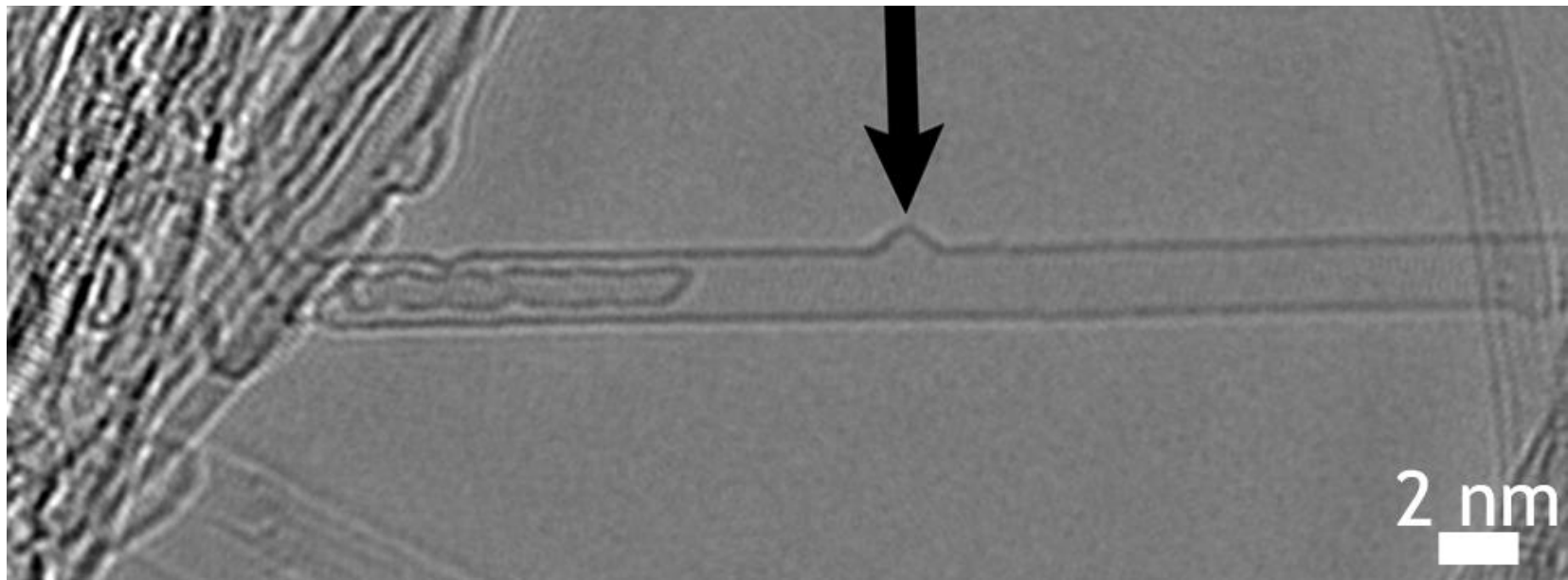
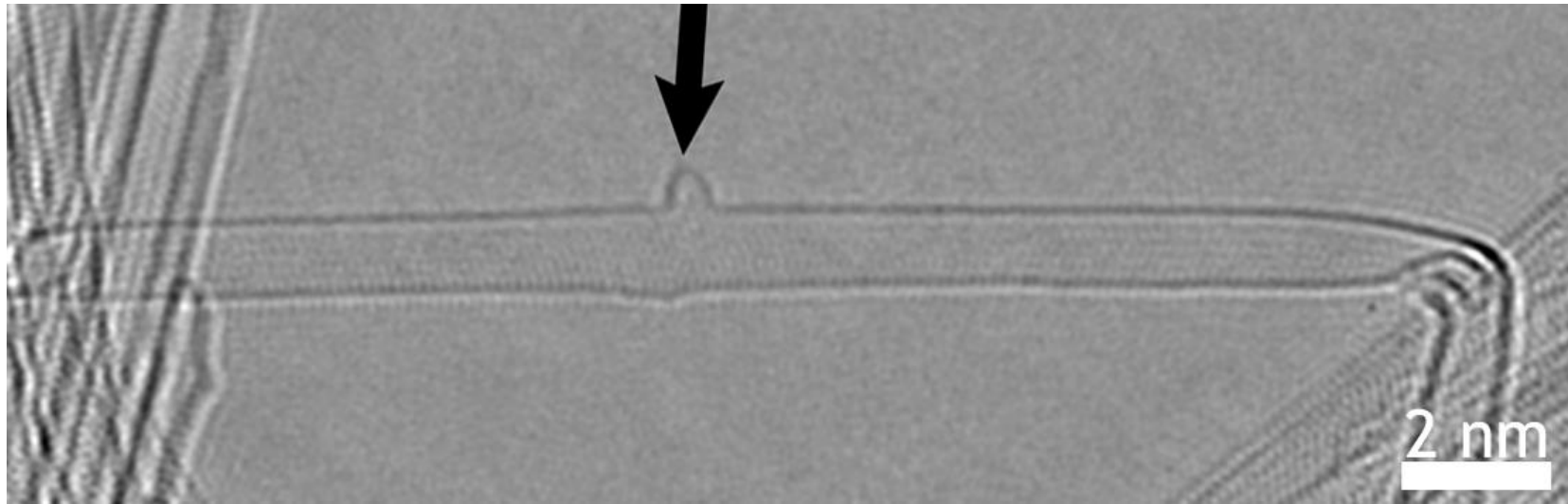
(a) Irradiation – mediated engineering

(b) self-assembly or self-organization

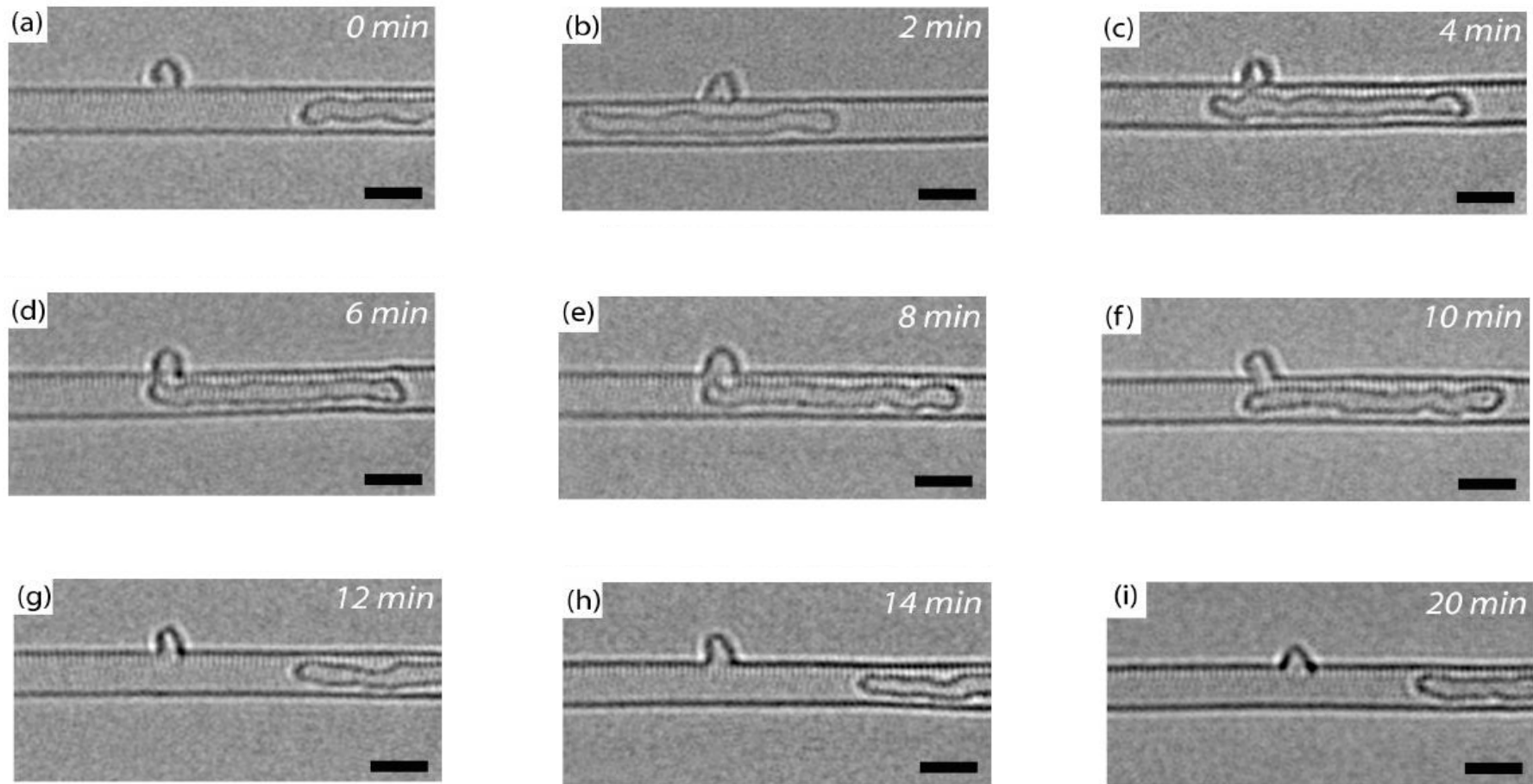


Courtesy: Krasheninnikov, A. V. et al., Nature Mater., 6, 723 (2007)





Interface: defects on outer-wall of a nanotube and fullerene

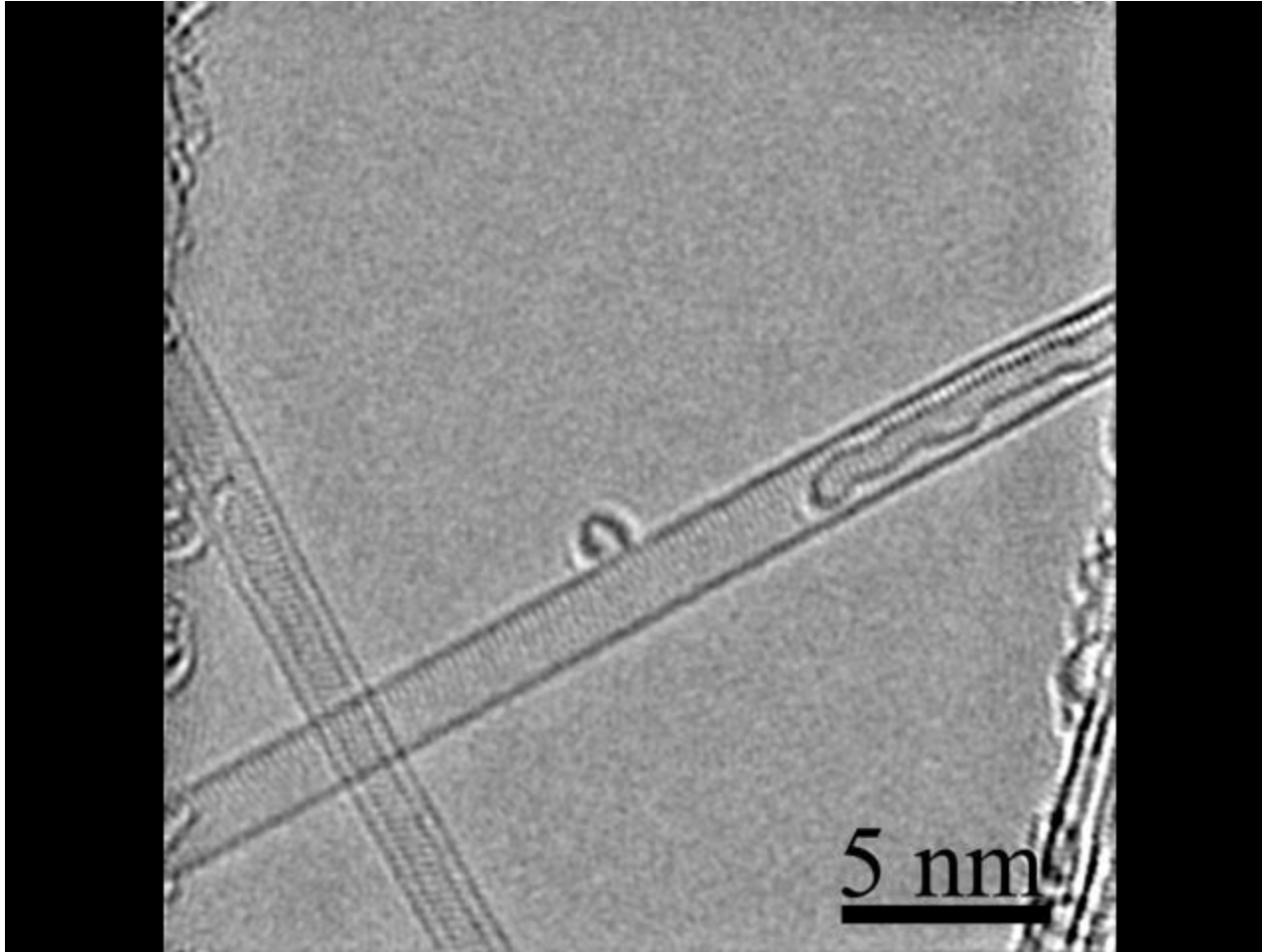


Courtesy: Gorantla, S. et al., *Nanoscale*, 2, 2077 (2010)



Interface: defects on outer-wall of a nanotube and fullerene

Nanohump formation (Covalent interactions of fullerene fusion)



Movie Settings:

- Frame speed: 0.6 s
- Total Frames: 48

Experimental conditions:

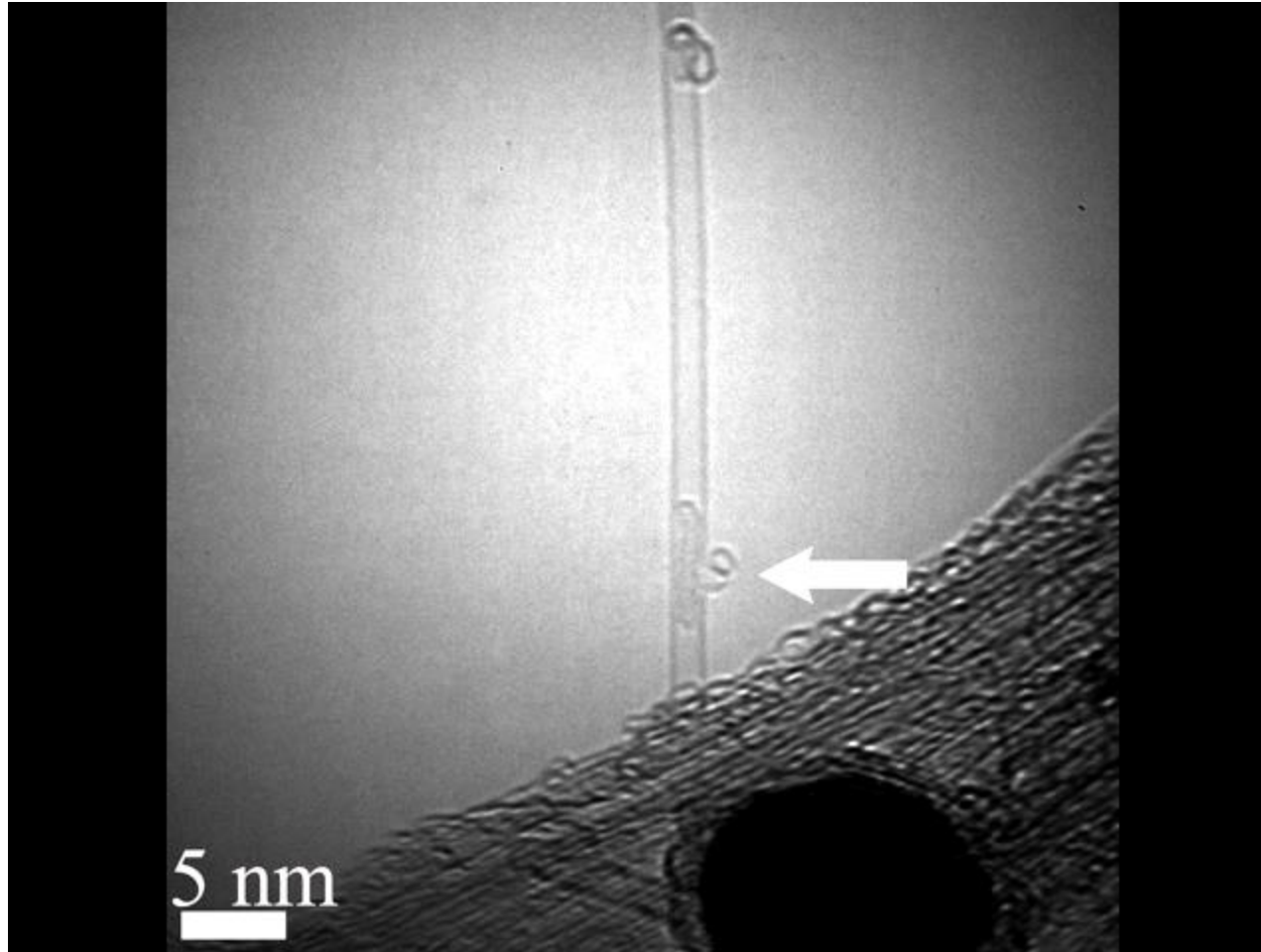
- Acquisition time: 1 s
- Time gap between individual frames: 1s - 30s
- Total time: **14 mins**

Courtesy: Gorantla, S. et al., Nanoscale, 2, 2077 (2010)



Interface: defects on the outer-wall of a SWCNT and fullerene

Fullerene fusion with a nanohump (*Covalent interactions of fullerene fusion*)



Movie Settings:

- Frame speed: 0.6 s
- Total Frames: 48

Experimental conditions:

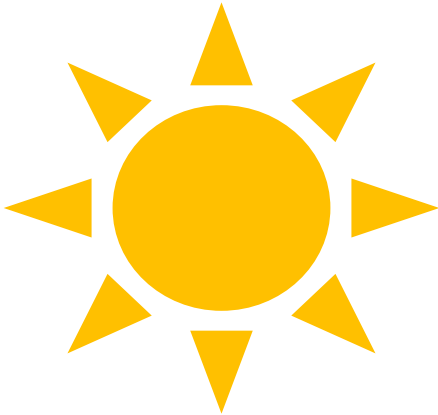
- Acquisition time: 1 s
- Time gap between individual frames: 1 s

Courtesy: Gorantla, S. et al., Nanoscale, 2, 2077 (2010)



HETEROSOLAR PROJECT

The aim of the work



Develop new solar cell devices base on ZnO/Cu₂O heterojunctions coupled with convetional Si based solar cells

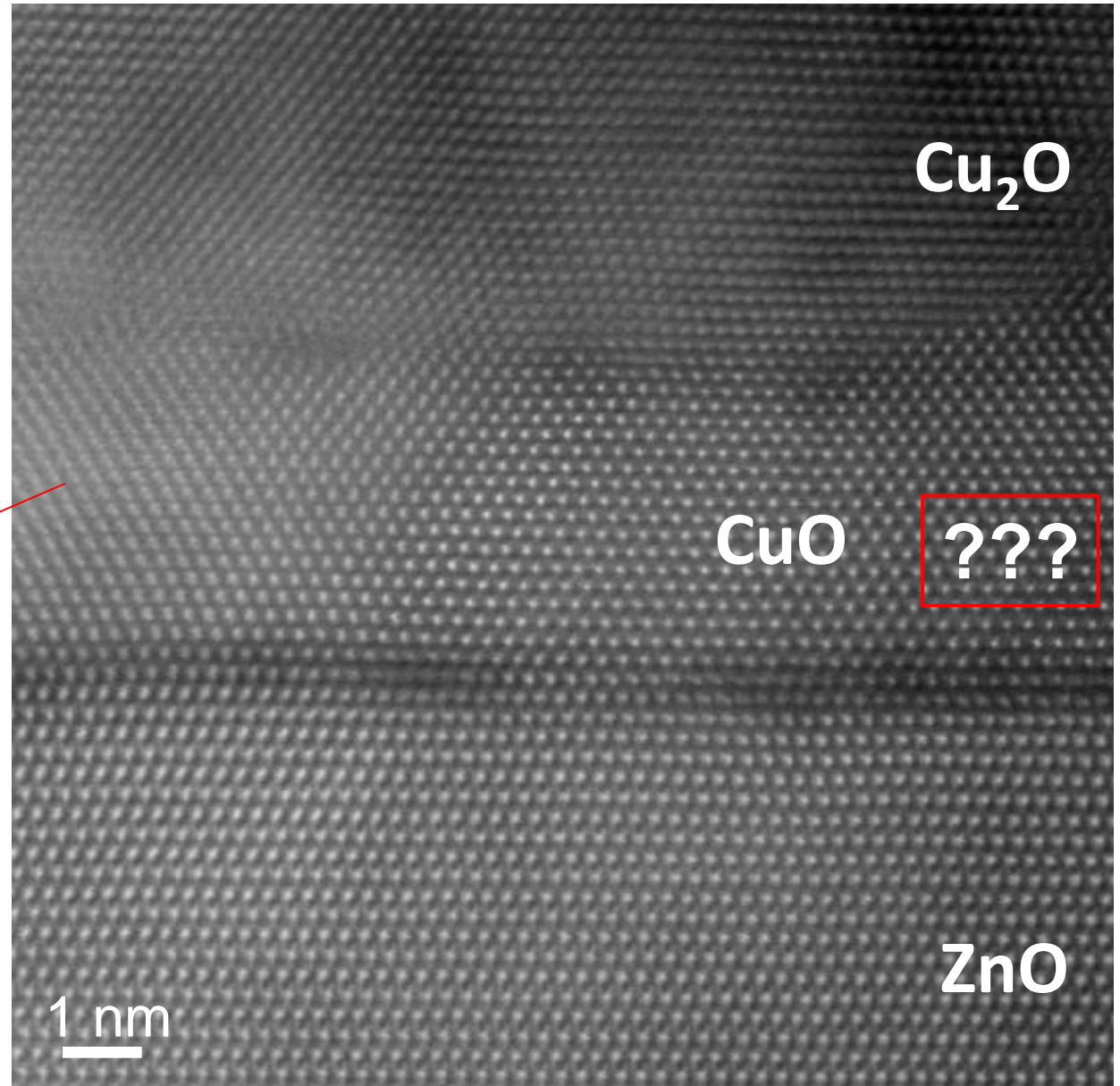
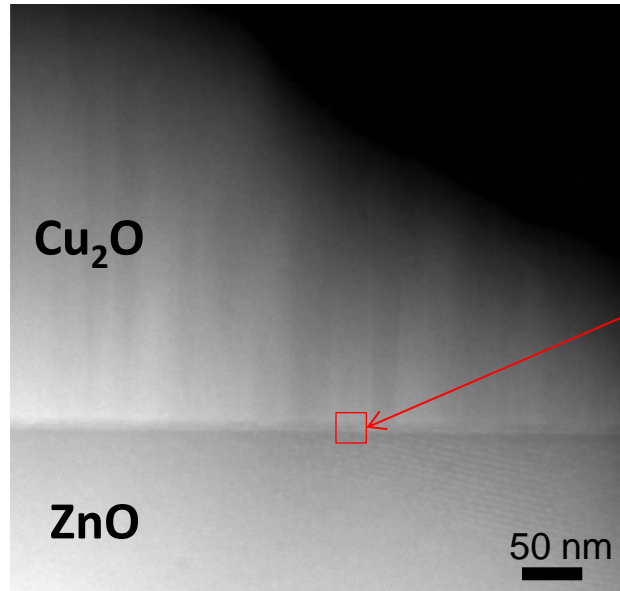
Properties determined by the structures, faults and interfaces.

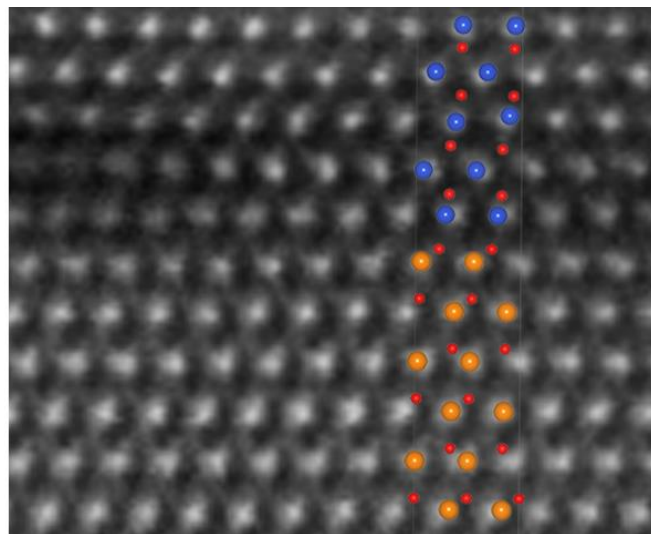
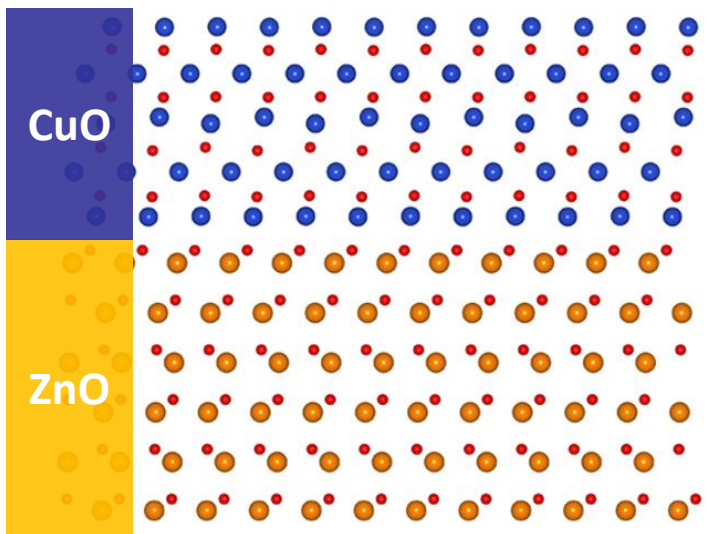


- * Theoretical efficiency ~20 %
- * Highest exp. efficiency 1-4 %

Cu₂O (sputtering, 300nm)

ZnO Single Crystal





Transmission Electron Microscope

Brief History



Brief History: The first electron microscope



Ernst Ruska:
Nobel Prize in physics 1986

- Knoll and Ruska, first TEM in 1931
- Idea and first images published in 1932
- By 1933 they had produced a TEM with two magnetic lenses which gave 12 000 times magnification.



Electron Microscope Deutsches Museum, 1933 model

Brief History: The state-of-art TEM



Electron Microscope Deutsches Museum, 1933 model



FEI Titan 60-300 TEM, NORTEM facility- UiO
Installed: 2014

Brief History: The state-of-art TEM

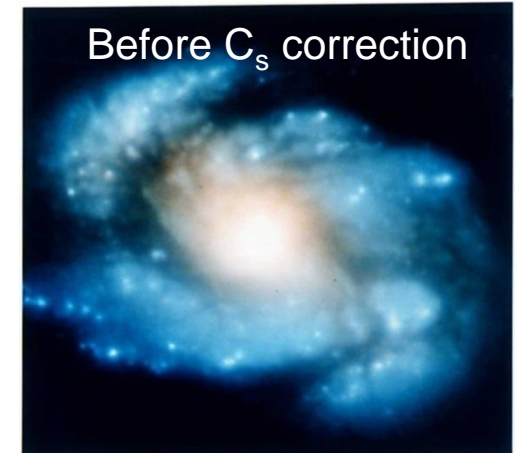
BIG LEAP: Introduction of **Lens Aberration Correctors** allowing atomic resolution at low accelerating voltages.

Resolution limit

Year	Resolution
1940s	~10nm
1950s	~0.5-2nm
1960s	0.3nm (transmission) ~15-20nm (scanning)
1970s	0.2nm (transmission) 7nm (standard scanning)
1980s	0.15nm (transmission) 5nm (scanning at 1kV)
1990s	0.1nm (transmission) 3nm (scanning at 1kV)
2000s	<0.1 nm (Cs correctors)

Typical TEM operating voltages
in Materials Science Research

300 kV
200 kV
80 kV
60 kV



Core of the M100 galaxy seen through Hubble (source: NASA)

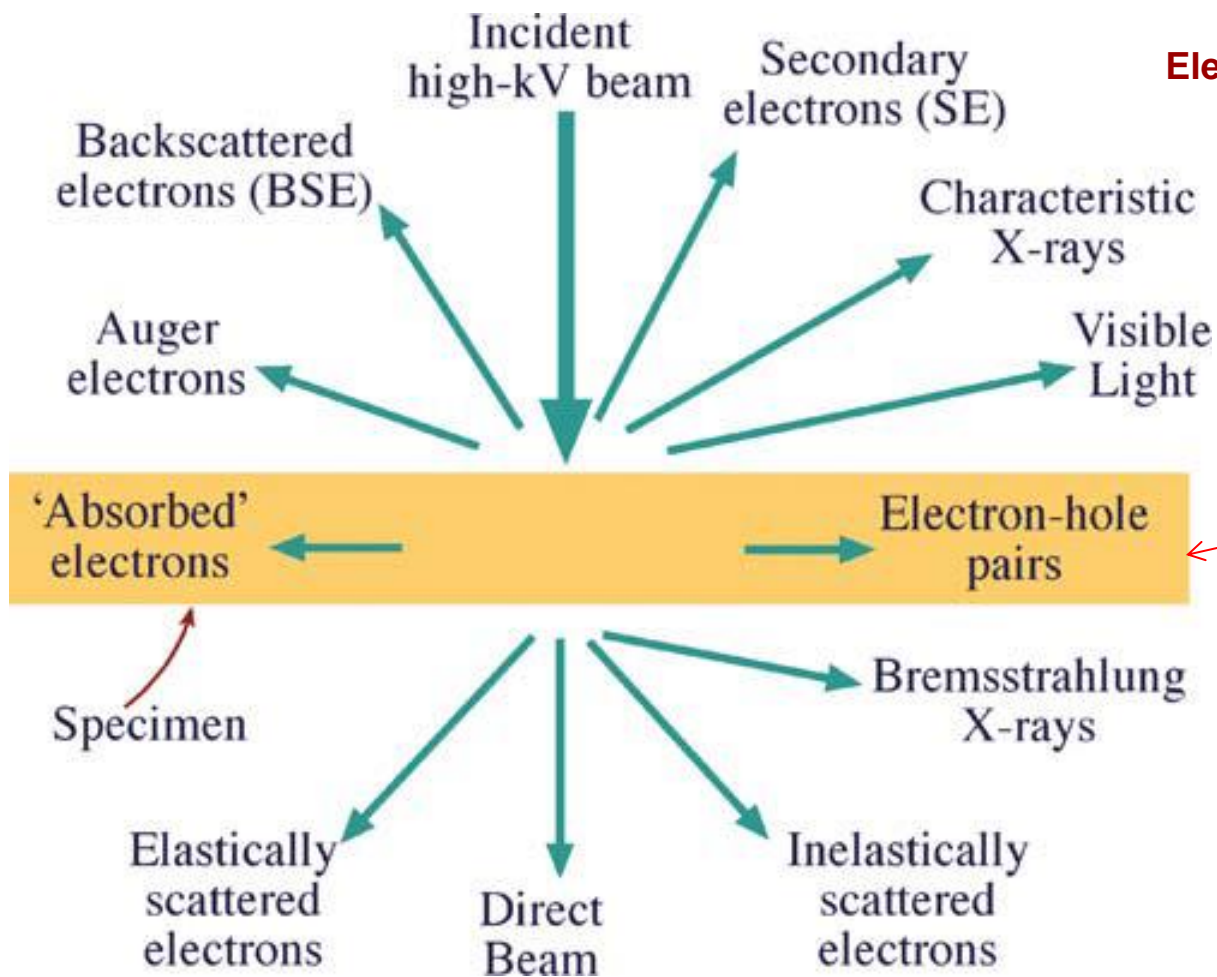
Courtesy: <http://www.sfc.fr/Material/hrst.mit.edu/hrs/materials/public/ElecMicr.htm>



Transmission Electron Microscope Fundamentals



Electrons interaction with the specimen



Electrons have both wave and particle nature

Typical specimen thickness

~ 100 nm or less

Typical TEM operating voltages
in Materials Science Research

300 kV
200 kV
80 kV
60 kV

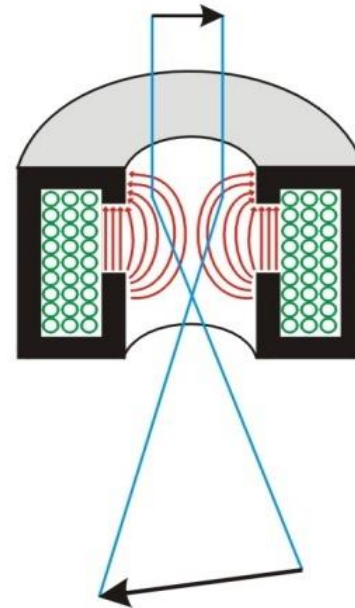
Courtesy: D.B. Williams & C.B. Carter, Transmission electron microscopy



Electron lenses

Any axially symmetrical electric or magnetic field have the properties of an ideal lens for paraxial rays of charged particles.

- **Electrostatic $F = -eE$**
 - Not used as imaging lenses, but are used in modern monochromators
- **ElectroMagnetic $F = -e(\mathbf{v} \times \mathbf{B})$**
 - Can be made more accurately
 - Shorter focal length



Courtesy: http://www.matter.org.uk/tem/lenses/electromagnetic_lenses.htm

TEM Lens Aberrations

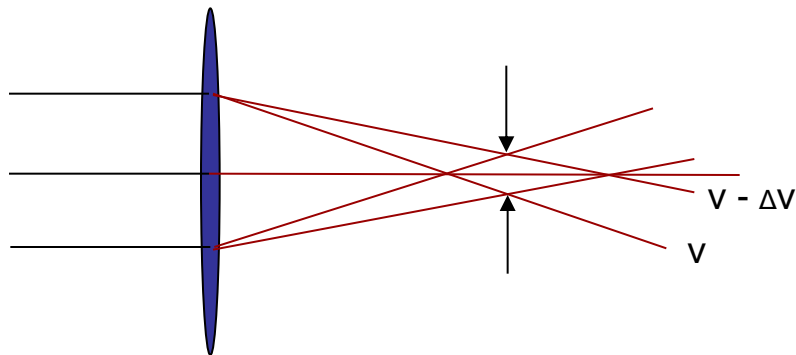
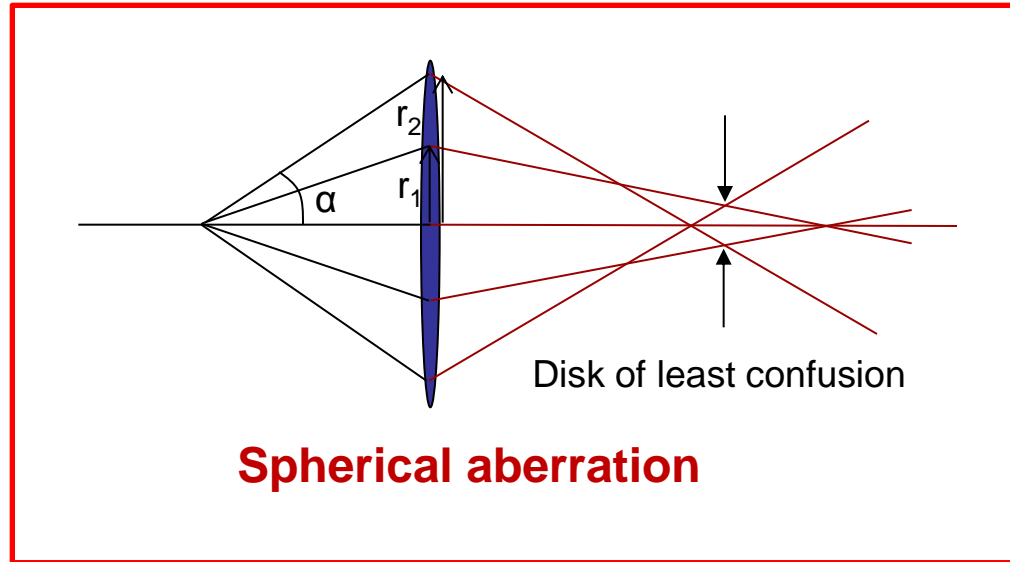
- Spherical aberration coefficient**

$$d_s = 0.5MC_s\alpha^3$$

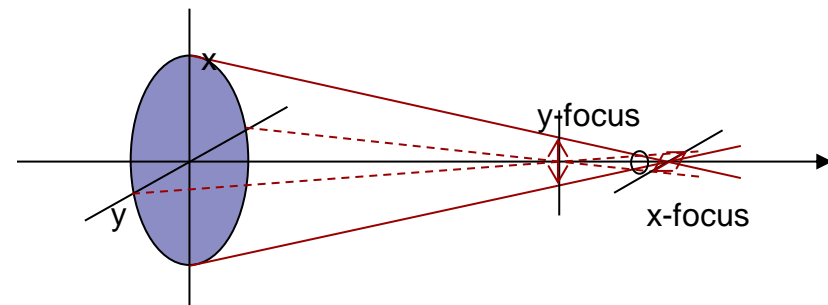
M: magnification

C_s : Spherical aberration coefficient

α : angular aperture/
angular deviation from optical axis



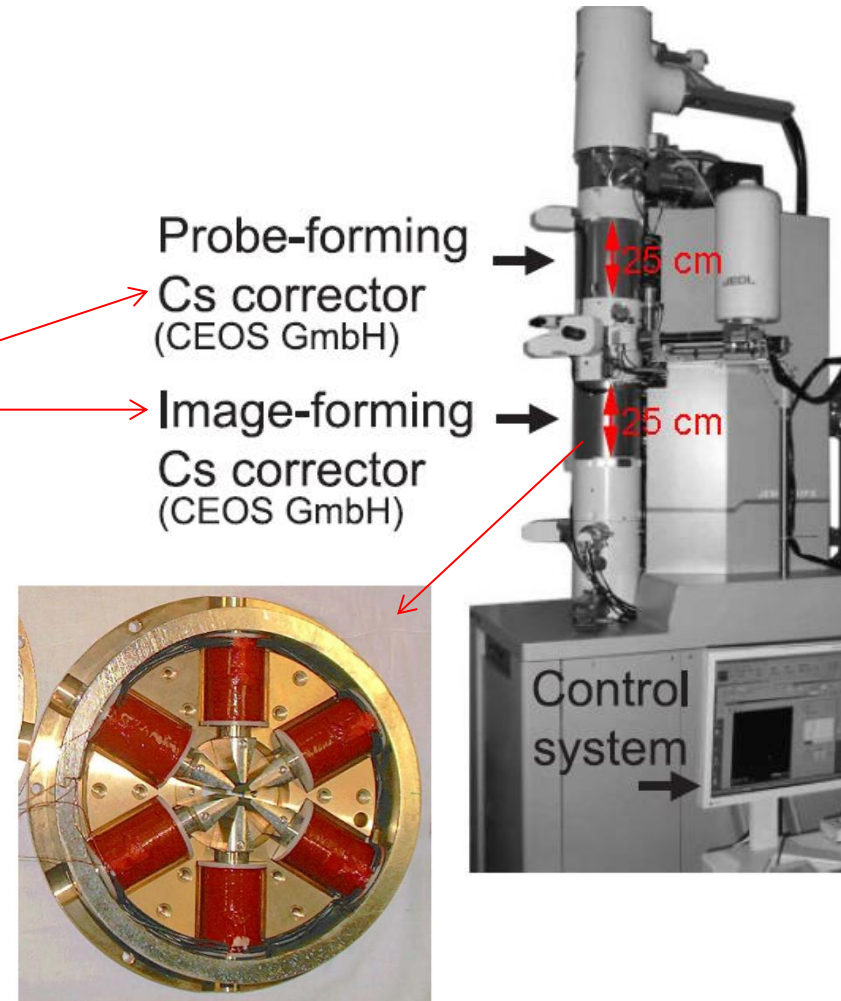
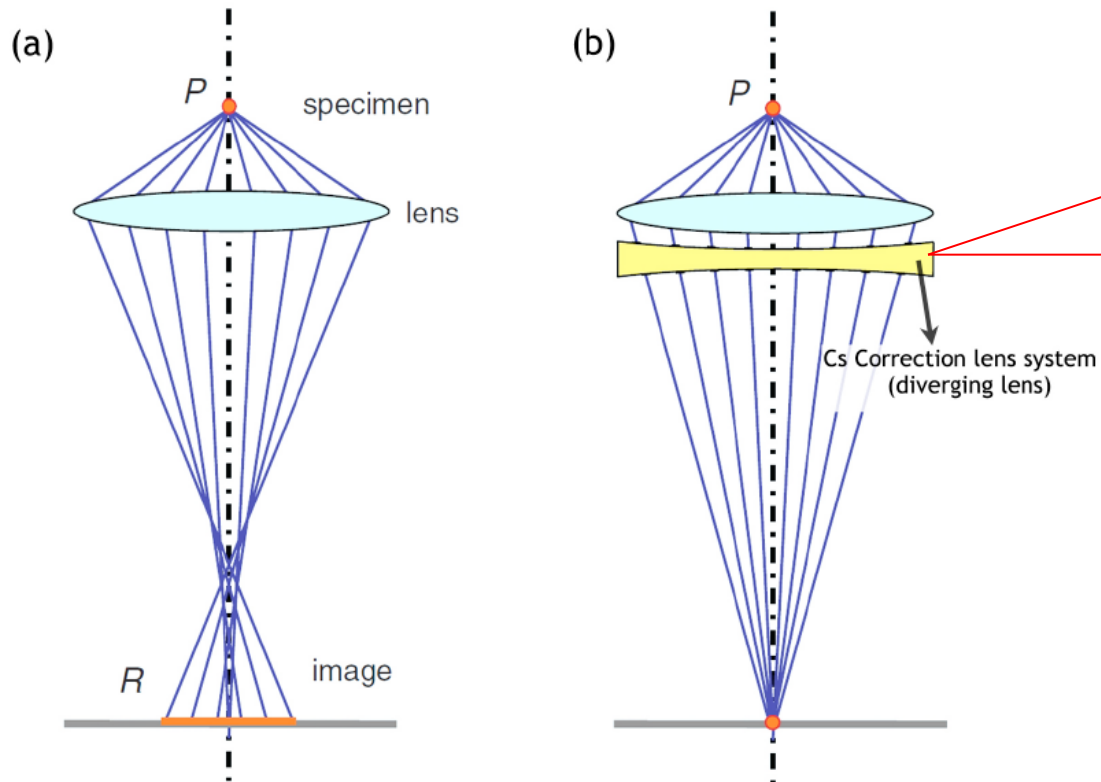
Chromatic aberration



Astigmatism

TEM Lens Aberrations

Schematic of spherical aberration correction



Courtesy: Knut W. Urban, Science 321, 506, 2008; CEOS gmbh, Germany; www.globalsino.com



TEM Lens Aberrations

Why we need an aberration-corrected TEM at 80kV???

-Correcting aberrations improves the TEM resolution at 80 kV

Uncorrected 80 kV \longrightarrow ~ 0.3 nm

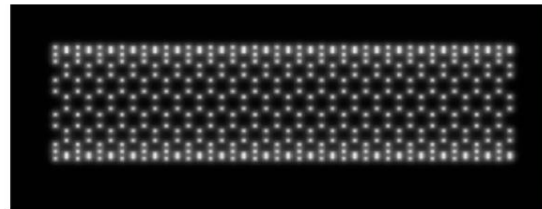
Corrected 80 kV \longrightarrow ~ 0.14 nm

- Improved resolution enables the possibility of imaging carbon nanostructures at atomic level



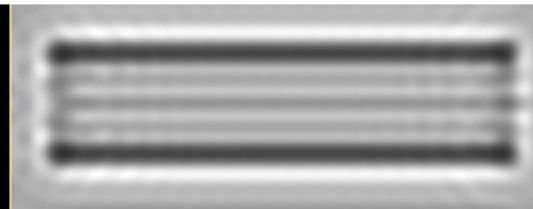
(Courtesy: NASA)

(b)



structure model of [10,10] SWCNT

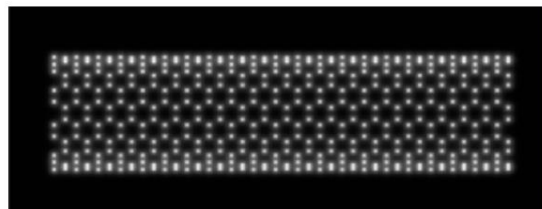
HRTEM simulation



$C_s = 0.5$ mm, Scherzer Defocus

← Uncorrected
80 kV

(c)



structure model of [10,10] SWCNT

HRTEM simulation

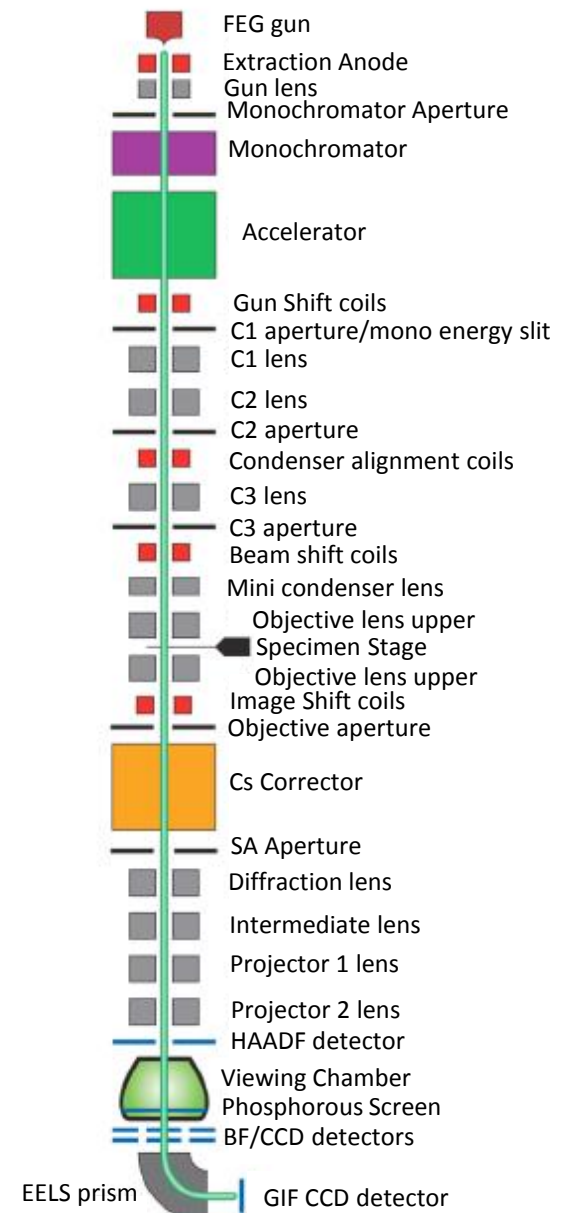


$C_s = 0.001$ mm, Scherzer Defocus

← Aberr. corrected
80 kV

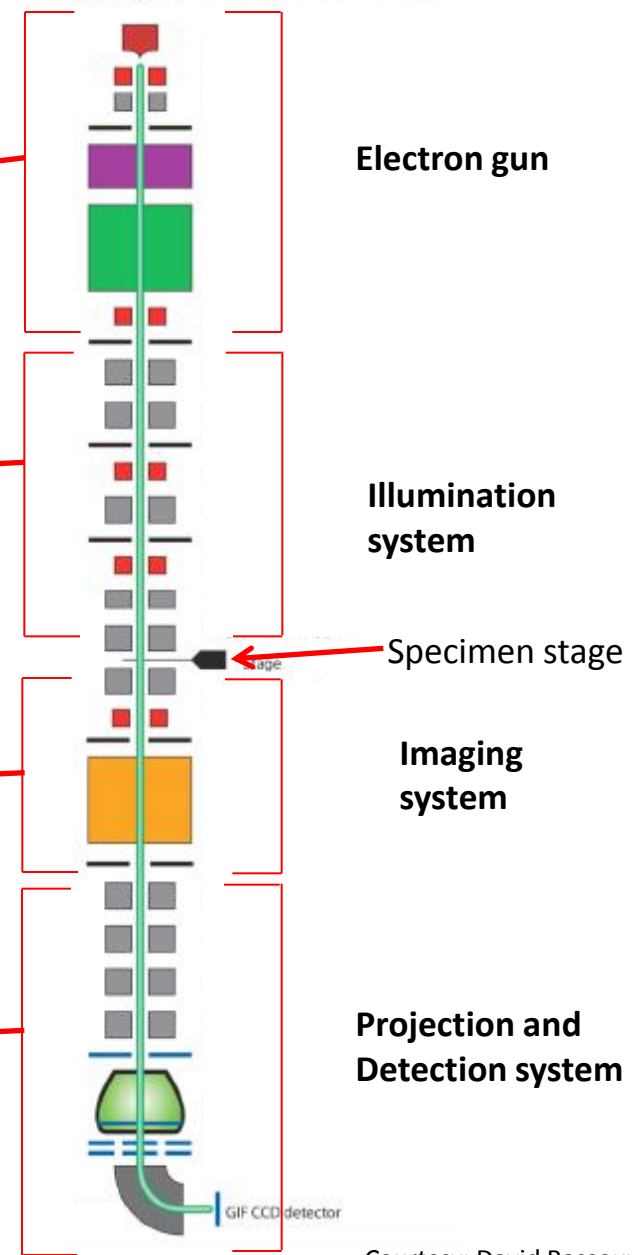
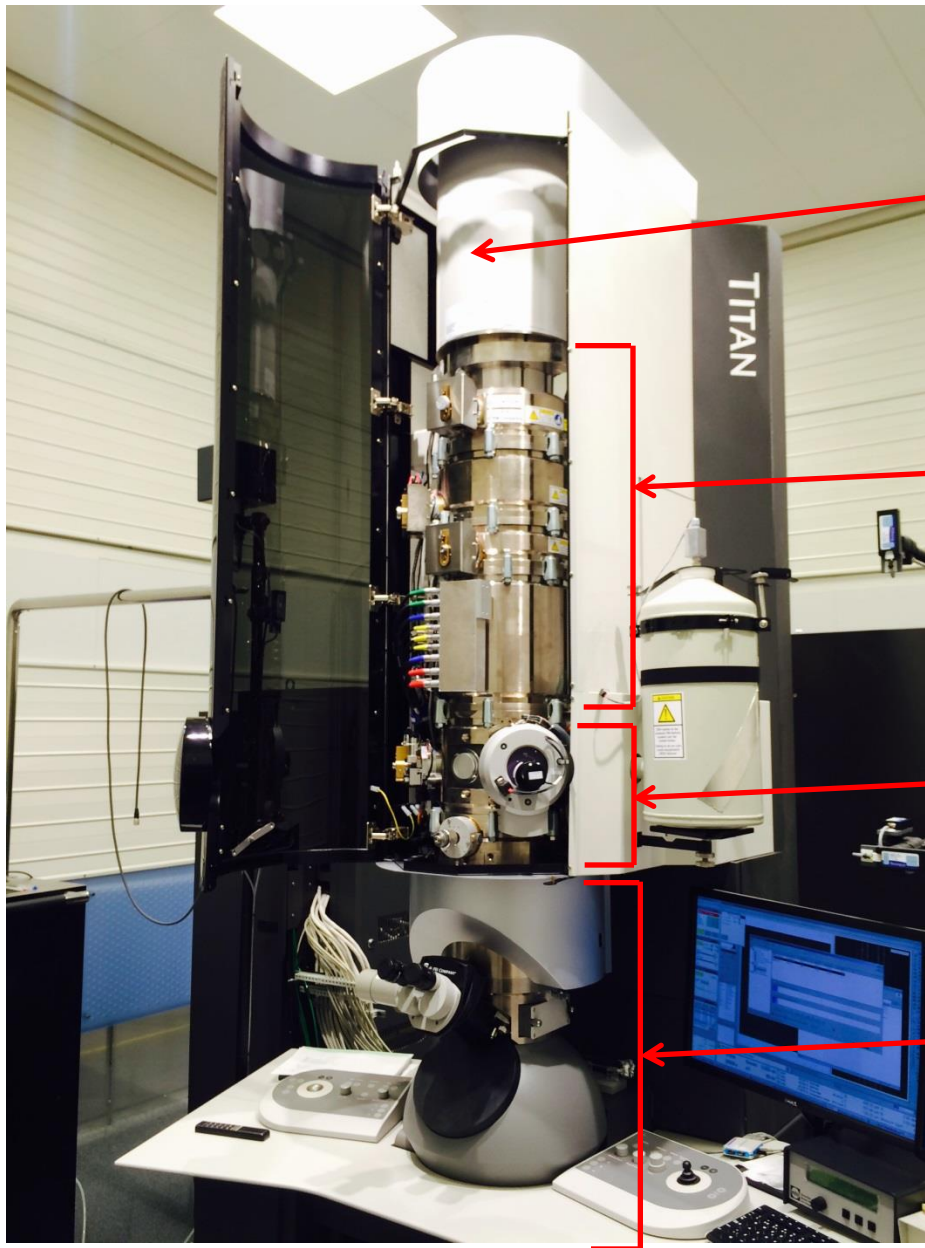
Transmission Electron Microscope Instrumentation – Part 1





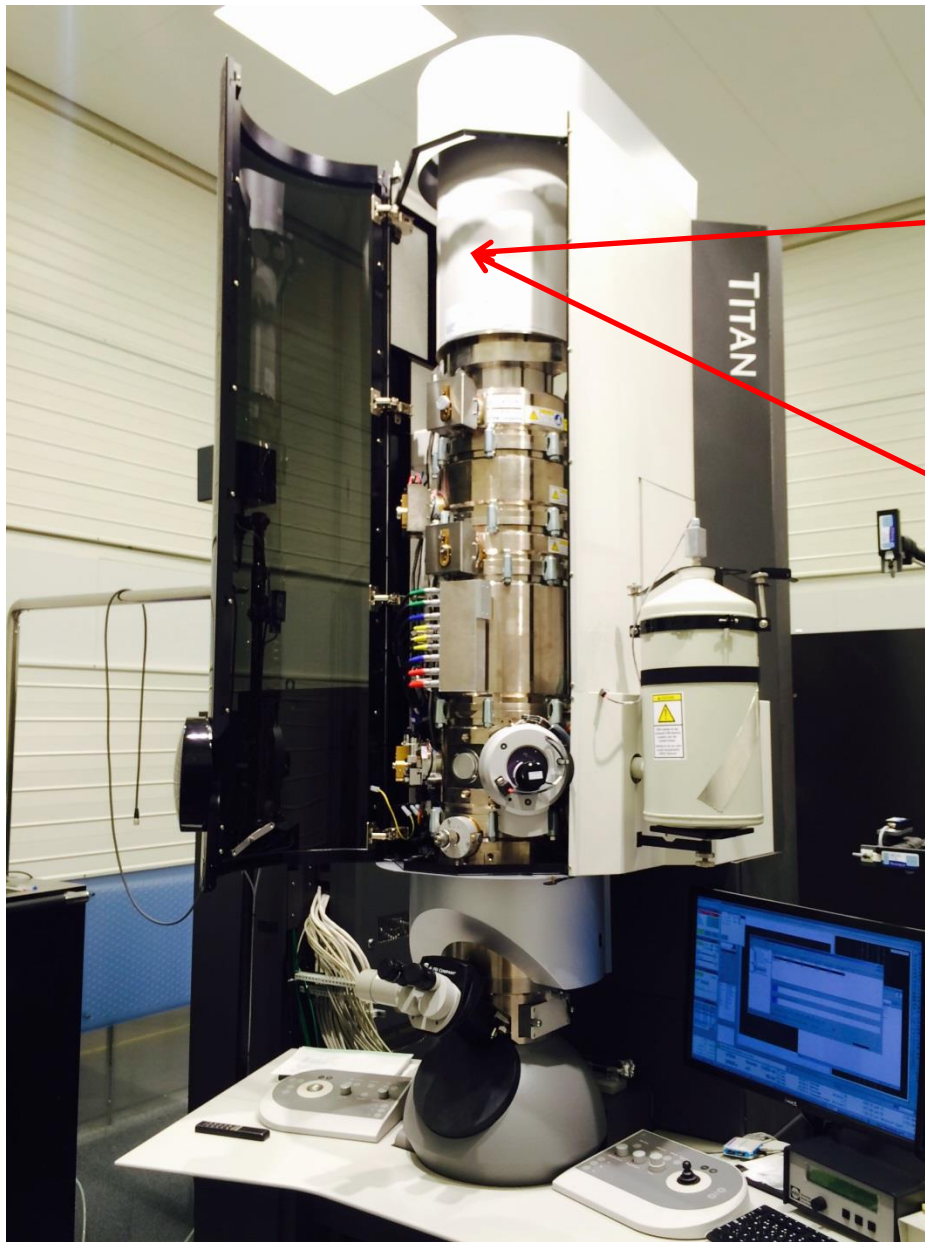
Courtesy: David Rassouw, CCEM, Canada



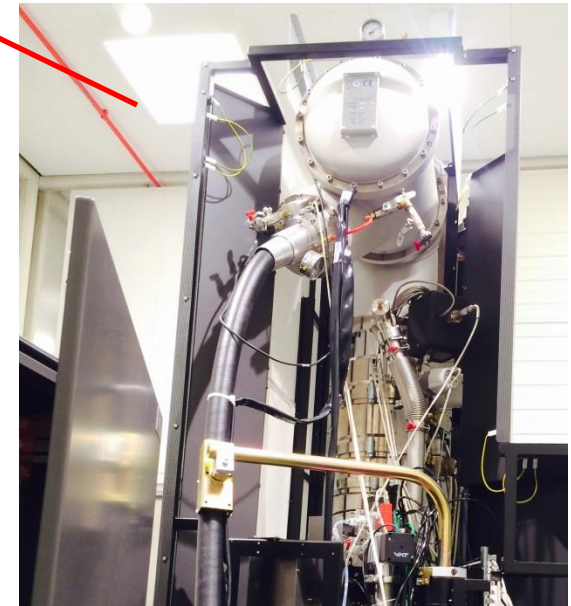


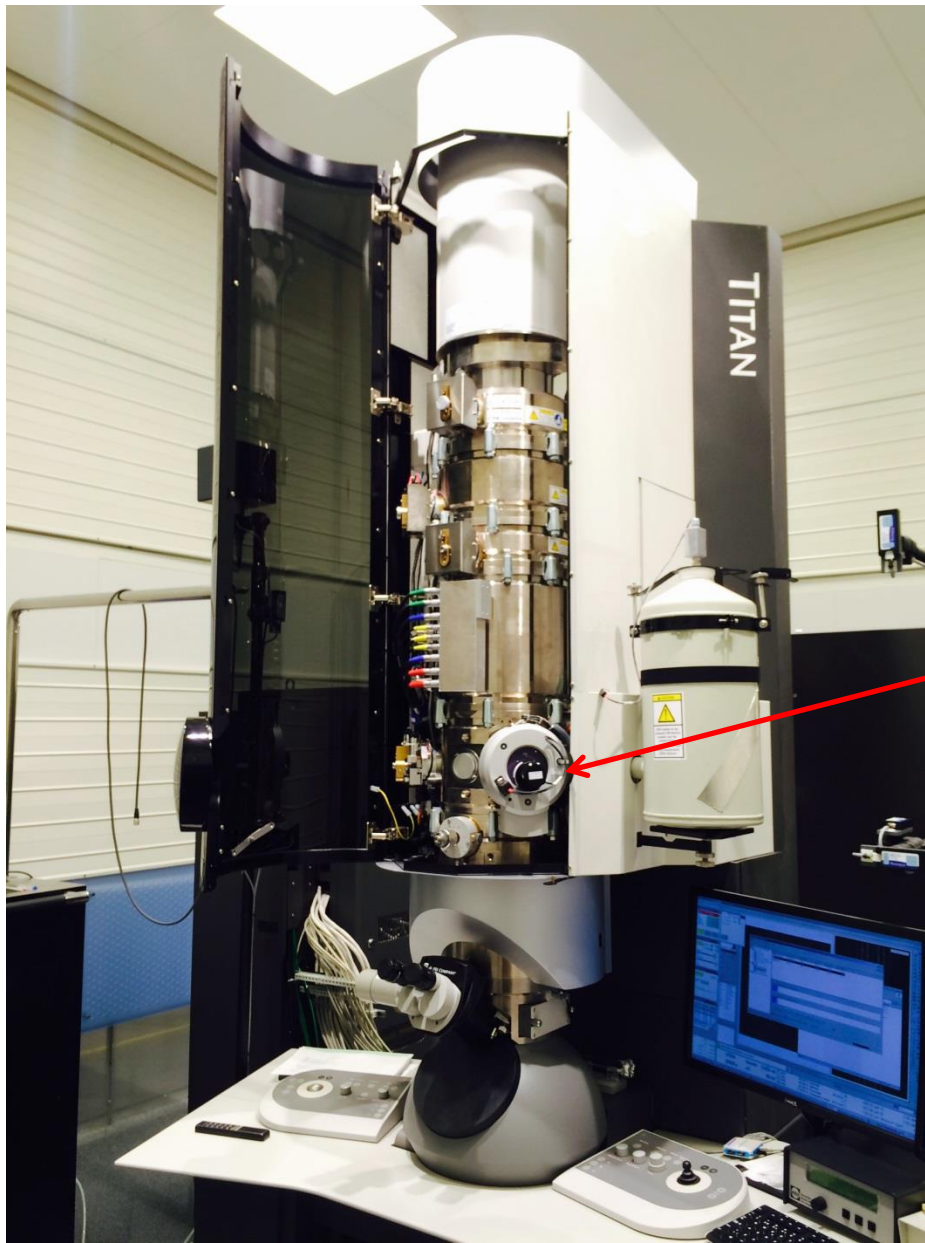
Courtesy: David Rassouw



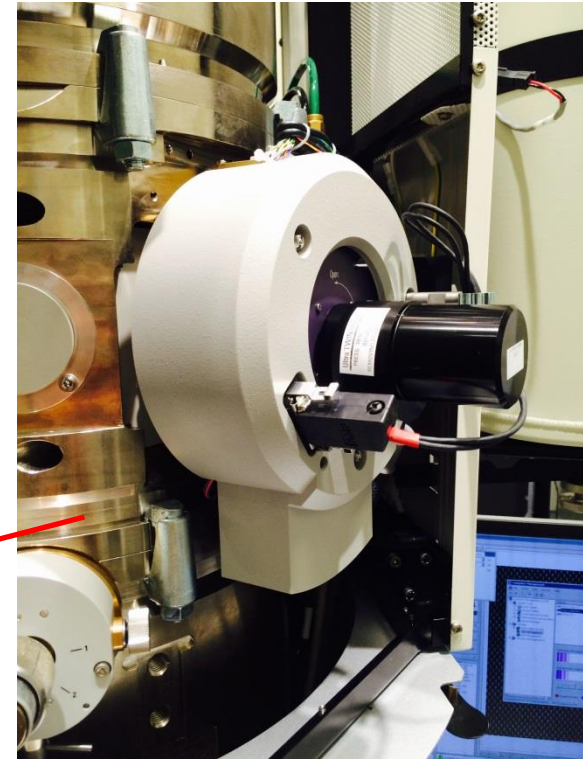


FEG Electron gun source

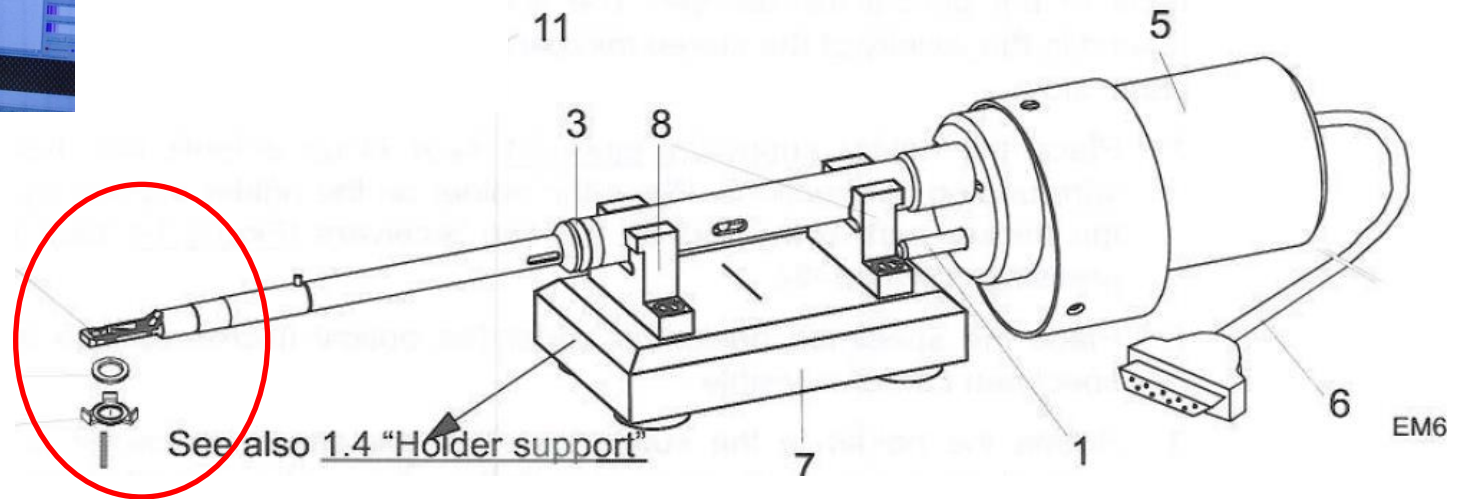
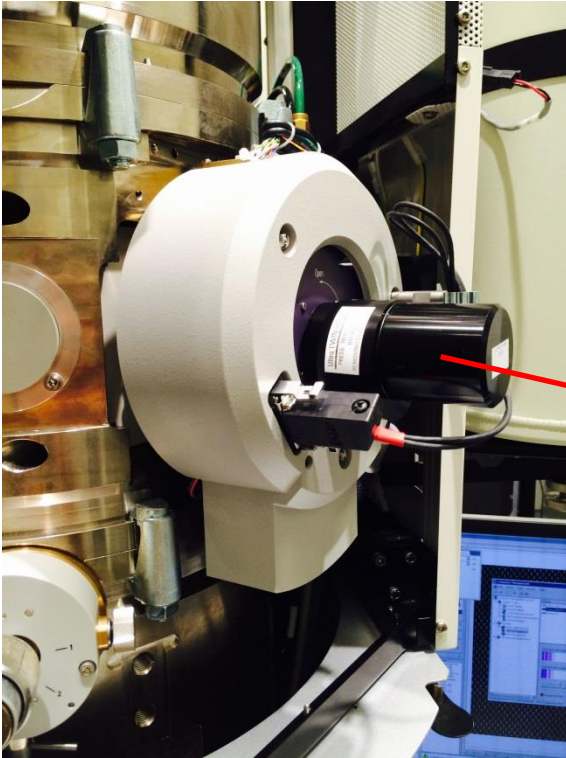




Specimen Stage



TEM Specimen Holder



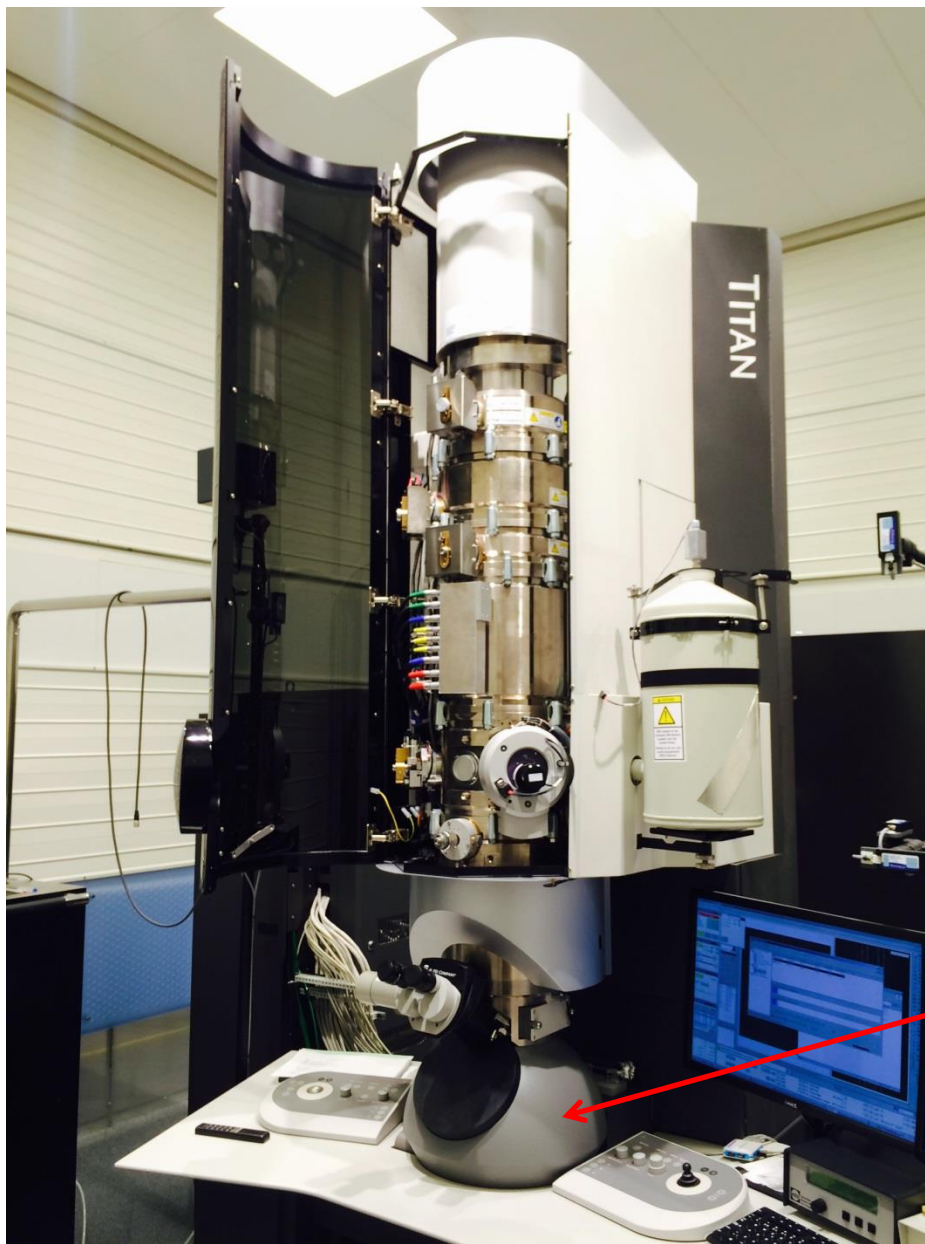
TEM Specimens

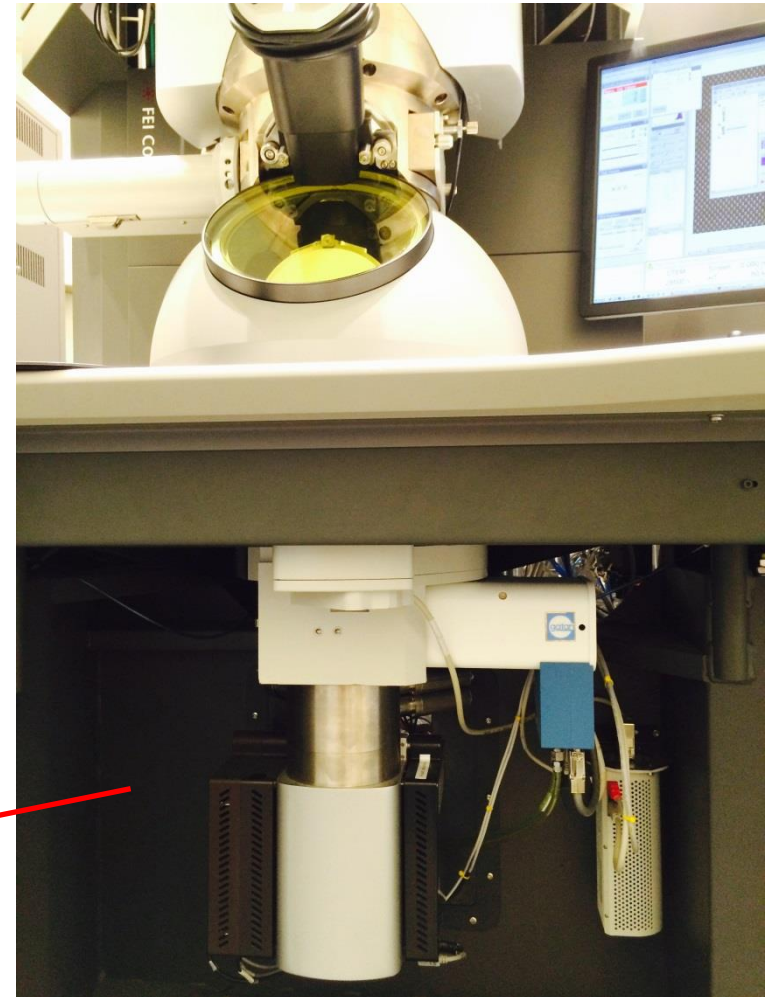
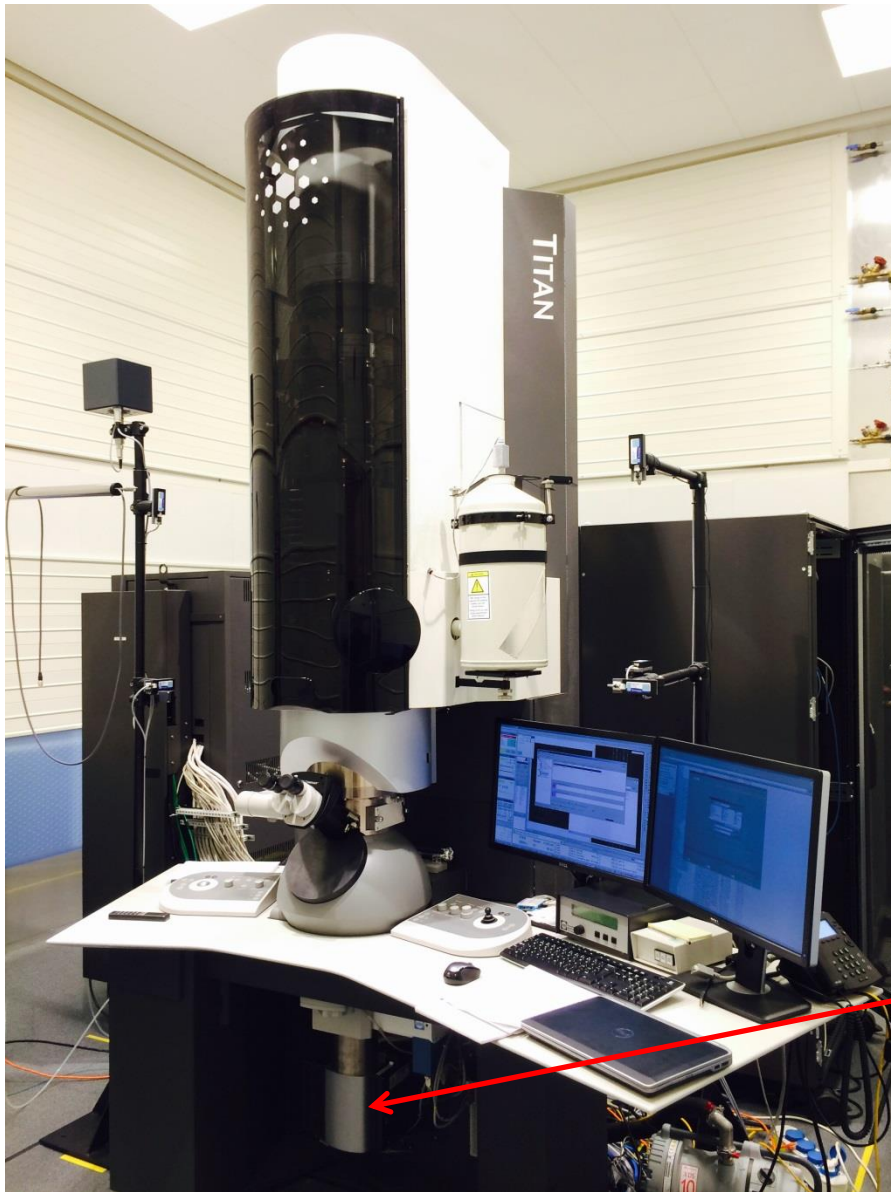


- Typically 3 mm in diameter

Courtesy: <http://asummerinscience.blogspot.no>

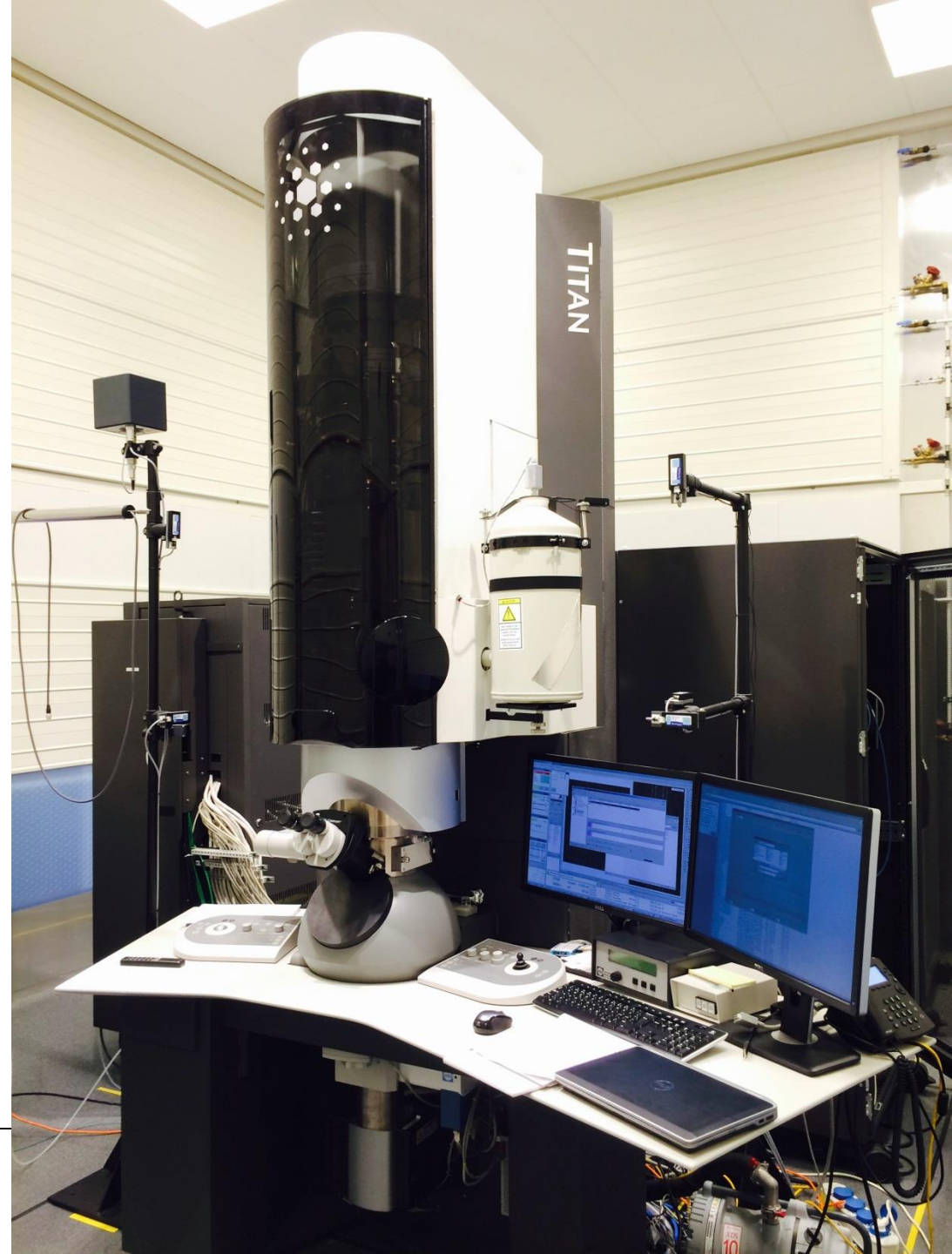
TEM Viewing Chamber – Phosphorous Screen





Transmission Electron Microscopy

Introduction and Basics Part-2



TEM in Materials Science

The interesting objects for TEM is not the average structure or homogenous materials but local structure and inhomogeneities

Defects

Interfaces

Chemical composition

Precipitates

Chemical bonding

Atomic Structure

Electronic Structure



TEM techniques

Main Contrast phenomena in TEM

Imaging

Conventional TEM

Bright/Dark-Field TEM

High Resolution TEM (HRTEM)

Scanning TEM (STEM)

Energy Filtered TEM (EFTEM)

• Mass thickness Contrast

• Diffraction contrast

• Phase Contrast

• Z-contrast

Diffraction

Selected Area Electron Diffraction

Convergent Beam Electron Diffraction

Phase identification, defects, orientation

relationship between different phases, nature of crystal structure (amorphous, polycrystalline, single crystal)

Spectroscopy

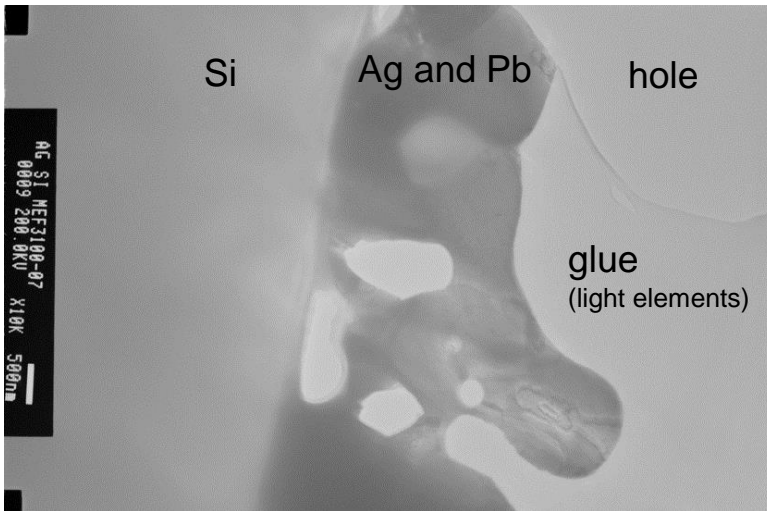
Electron Dispersive X-ray Spectroscopy (EDS)

Electron Energy Loss Spectroscopy (EELS)

Chemical composition, electronic states, nature of chemical bonding (EDS and EELS).

Spatial and energy resolution down to the atomic level and ~ 0.1 eV.

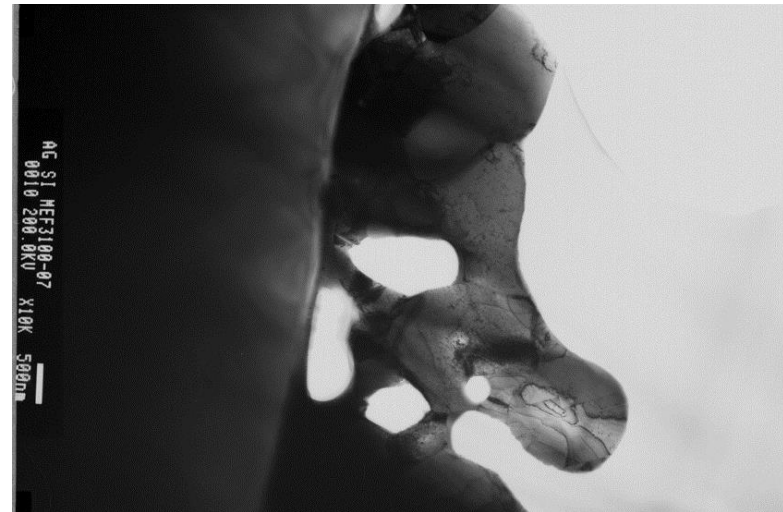
Objective aperture: Contrast enhancement



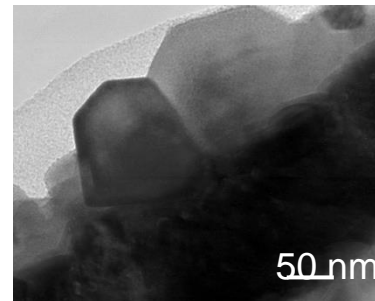
All electrons contribute to the image.

Intensity: Thickness and density dependence

Mass-thickness contrast



A small aperture allows only electrons in the central beam in the back focal plane to contribute to the image.



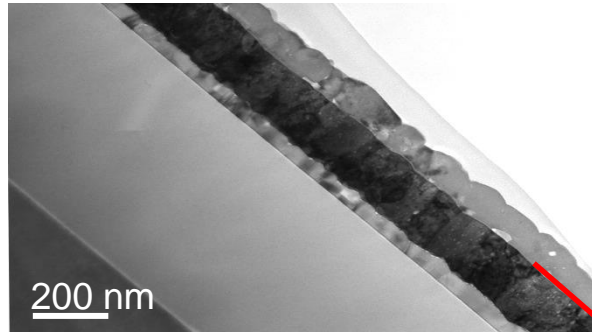
Diffraction contrast
(Amplitude contrast)

One grain seen along a low index zone axis.

TEM techniques

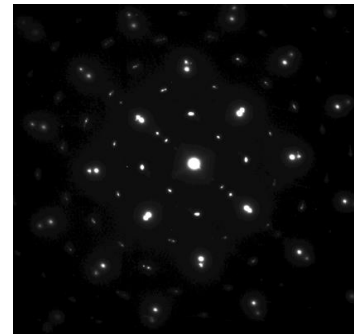
Imaging

Conventional TEM
Bright/Dark-Field TEM
High Resolution TEM (HRTEM)
Scanning TEM (STEM)
Energy Filtered TEM (EFTEM)



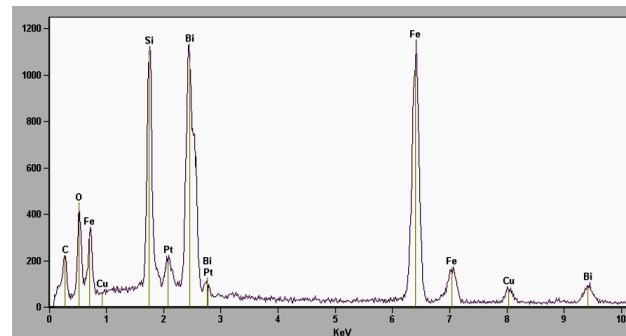
Diffraction

Selected Area Electron Diffraction
Convergent Beam Electron Diffraction

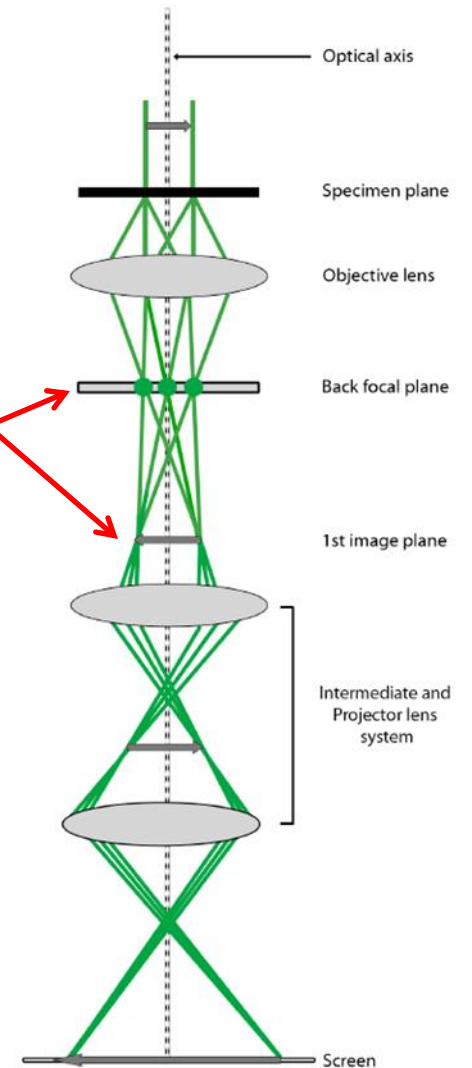


Spectroscopy

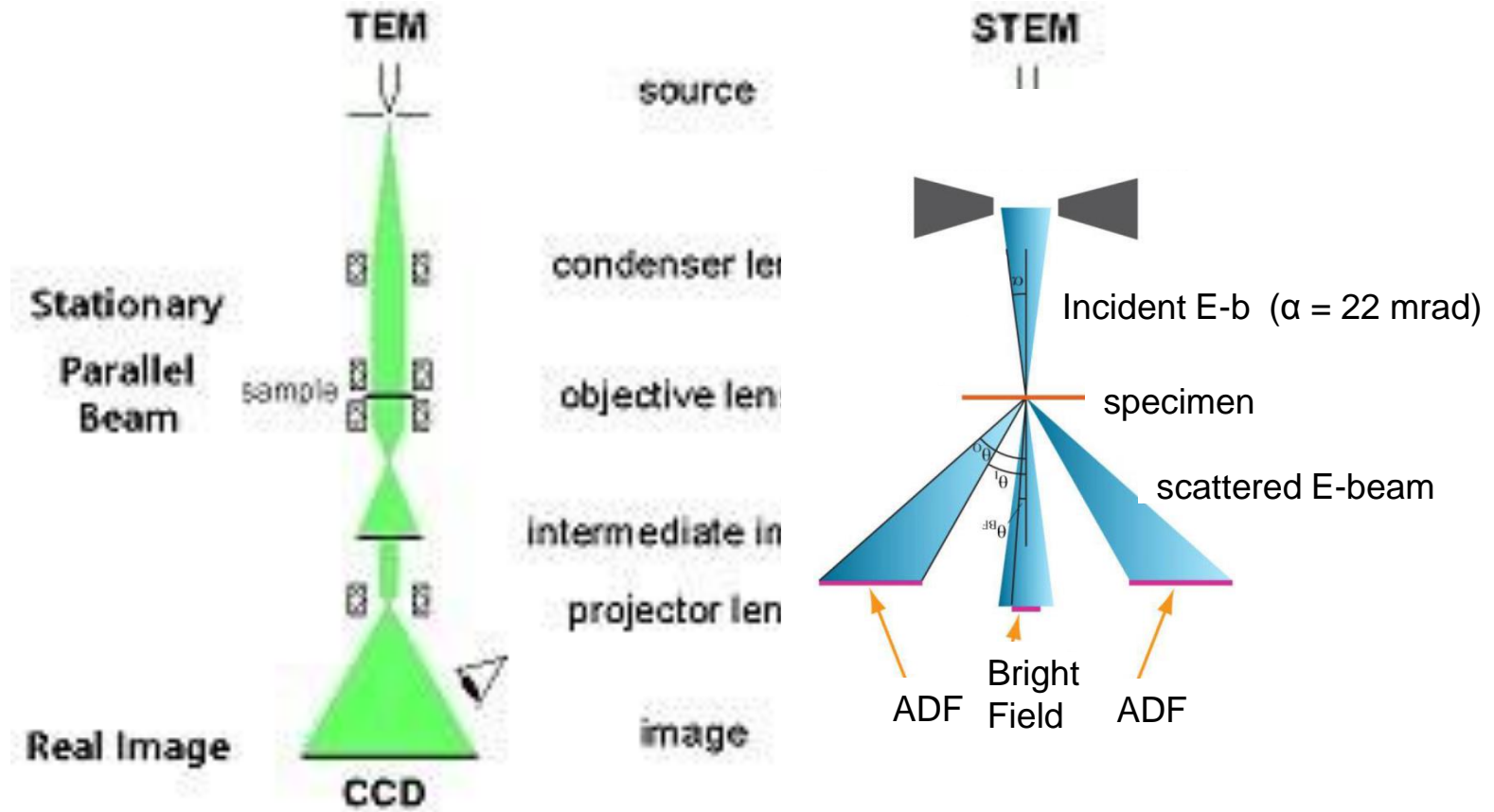
Electron Dispersive Spectroscopy (EDS)
Electron Energy Loss Spectroscopy (EELS)



Simplified ray diagram of conventional TEM



Imaging

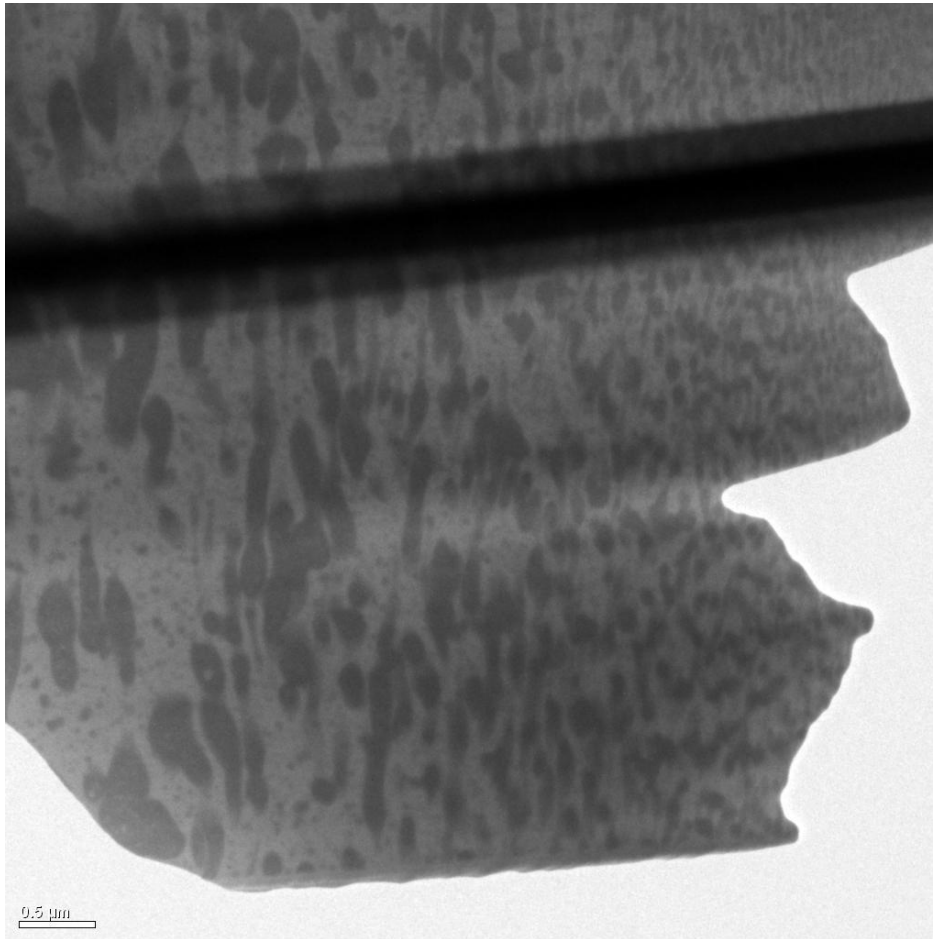


Courtesy: <http://www.ifam.fraunhofer.de>; I. MacLauren et al, International Materials Review, 59, 115 (2004)



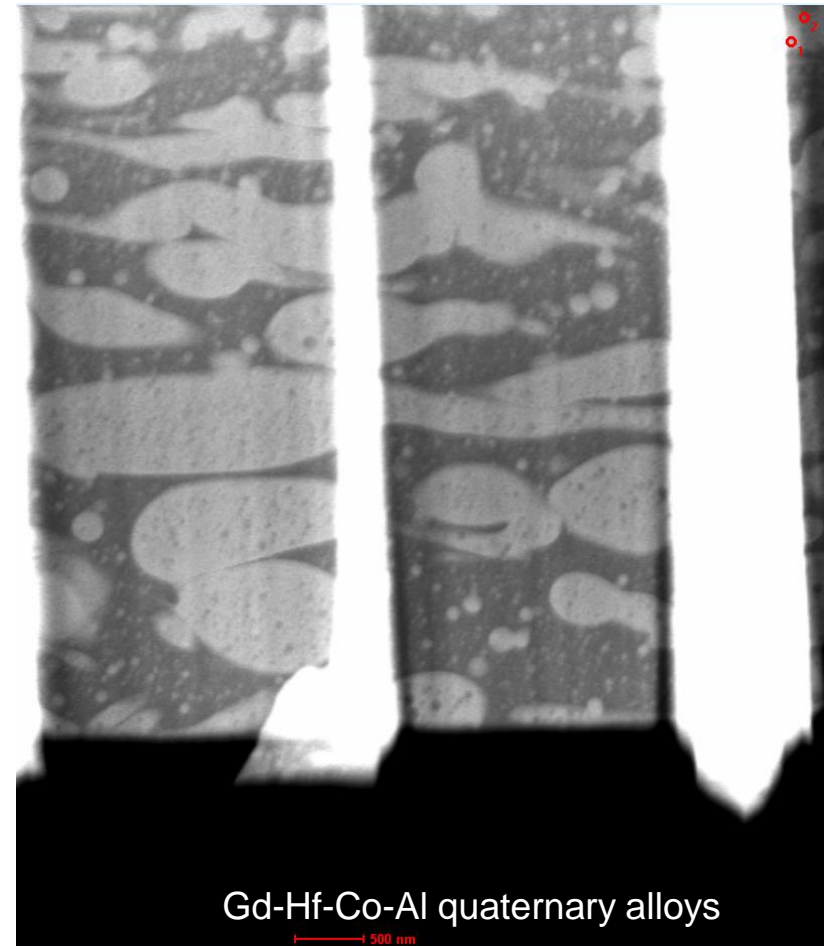
Imaging

TEM



Mass thickness and diffraction contrast

STEM



Mass thickness and Z- contrast

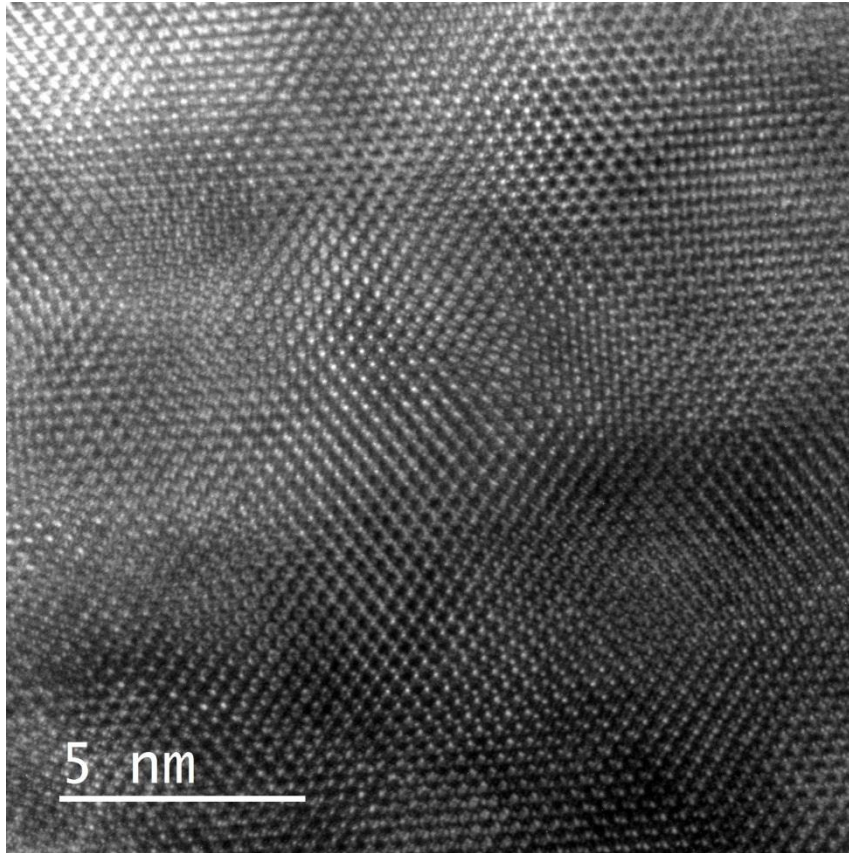
Z
Gd 64
Hf 72
Co 27
Al 13

Gd-Hf-Co-Al quaternary alloys

500 nm

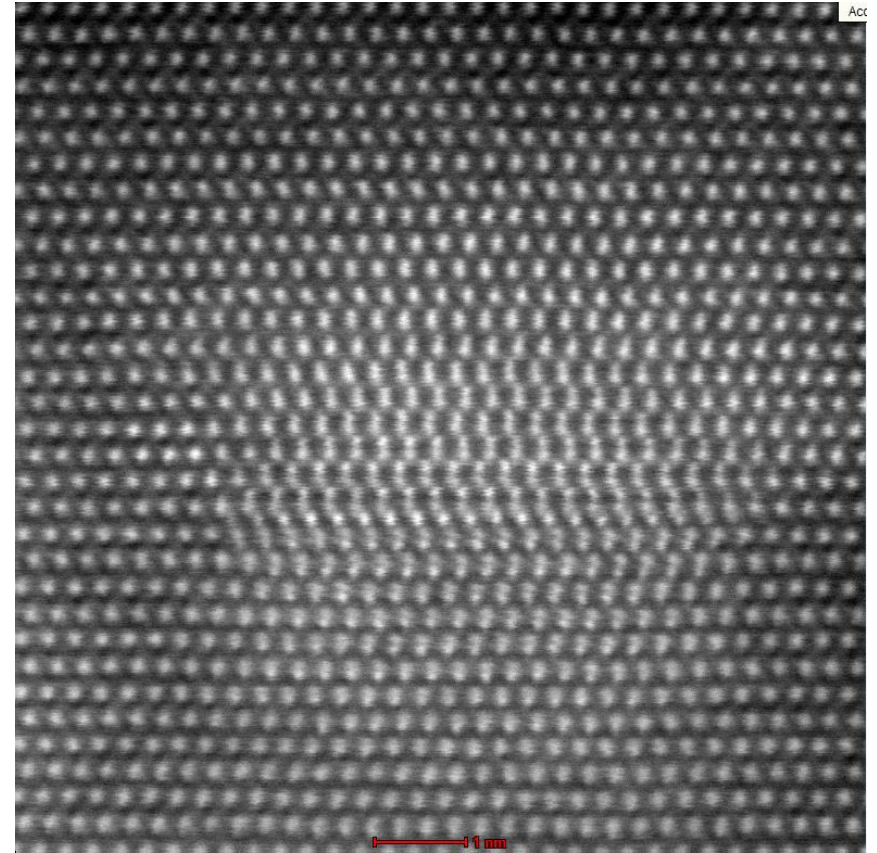
Imaging

HRTEM



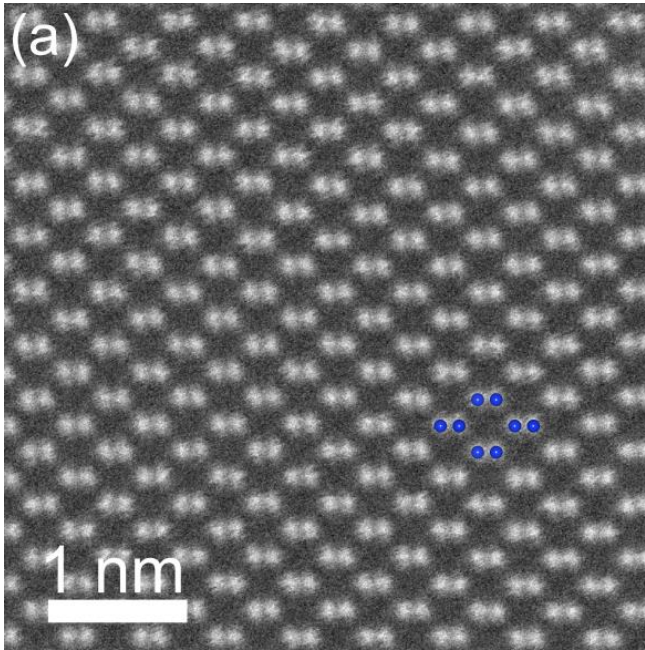
Phase contrast

STEM

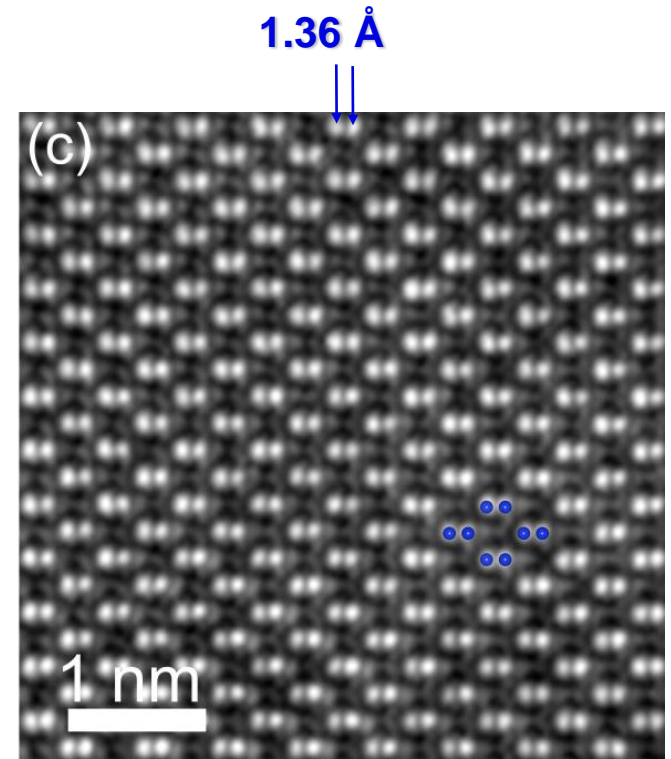


Z- contrast

HAADF-STEM



HRTEM



Raw HAADF-STEM, ABF-STEM and HRTEM image of Si in the [110] zone axis by FEI Titan 60-300 with spatial resolutions of 0.8 Å for STEM and 2.0 Å for TEM.

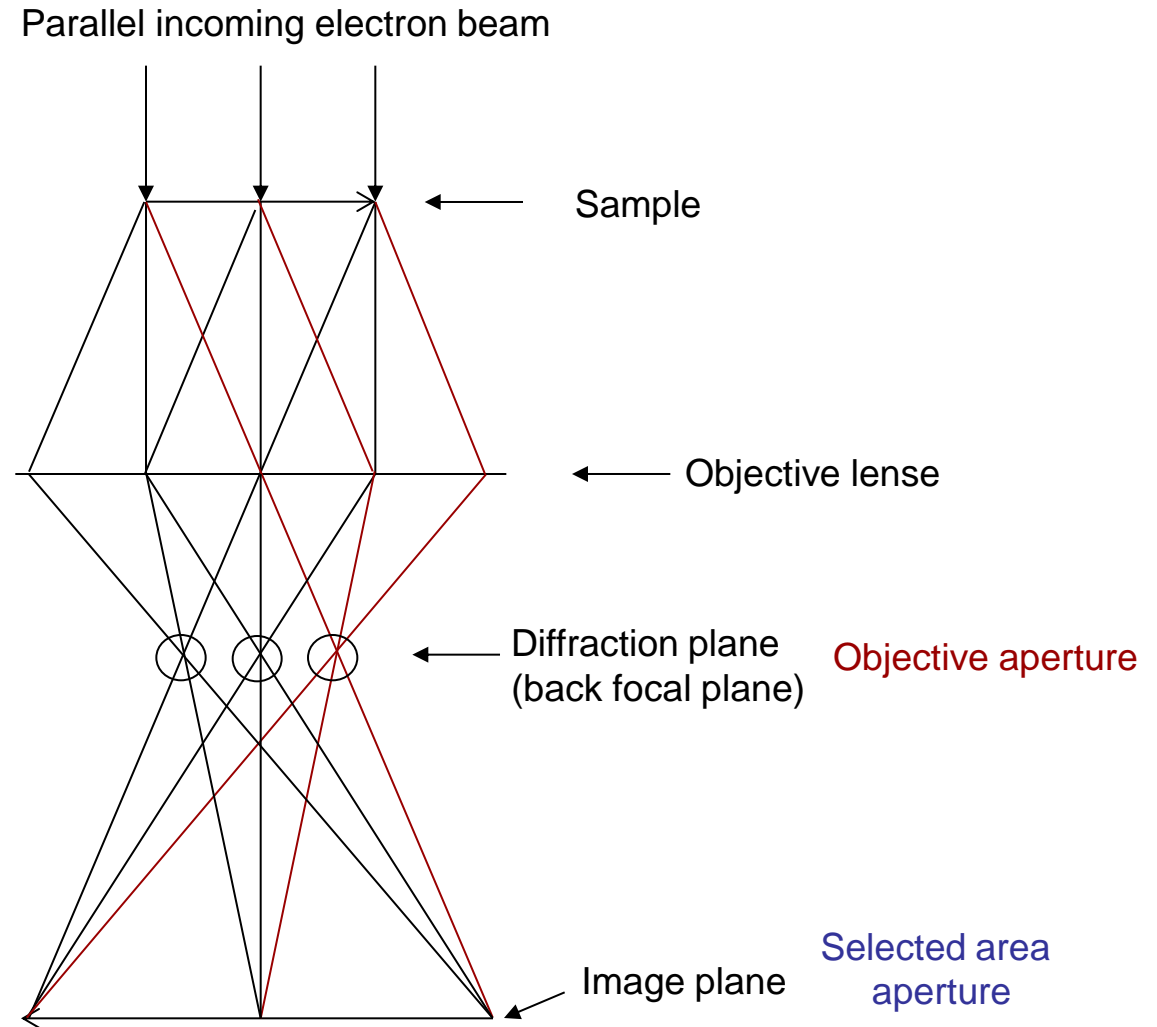
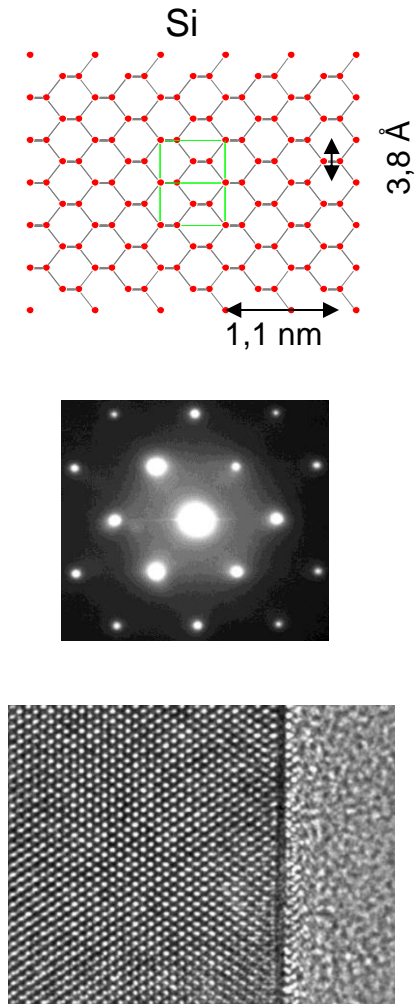
Courtesy: Wei Zhan, Øystein Prytz, et al. (2015), SMN, UiO



Electron Diffraction in TEM



Simplified ray diagram



Electron Diffraction in TEM

Elastic scattered electrons

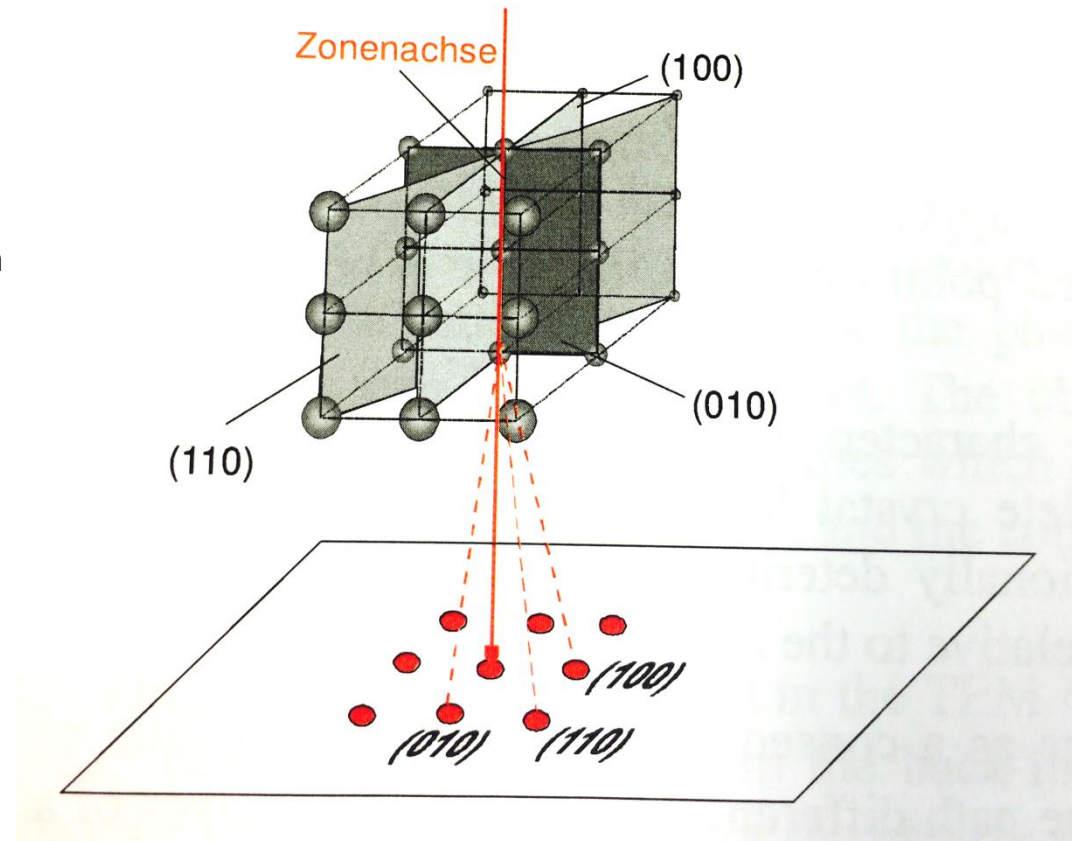
Only the direction of \mathbf{v} is changing.
(Bragg scattering)

Elastic scattering is due to Coulomb interaction between the incident electrons and the electric charge of the electron clouds and the nucleus.
(Rutherford scattering).

The elastic scattering is due to the average position of the atoms in the lattice.

Reflections satisfying Bragg's law:

$$2d\sin\theta = n\lambda$$



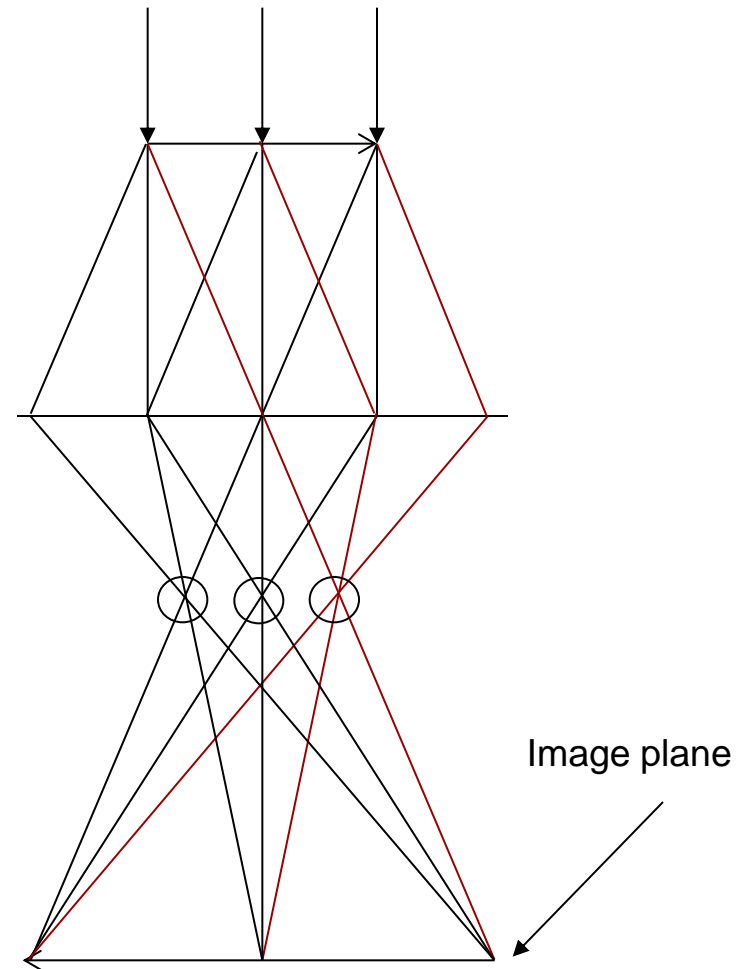
Electrons interact 100-1000 times stronger with matter than X-rays

- more absorption (need thin samples)
- can detect weak reflections not observed with XRD technique

Courtesy: Dr. Jürgen Thomas, IFW-Dresden, Germany

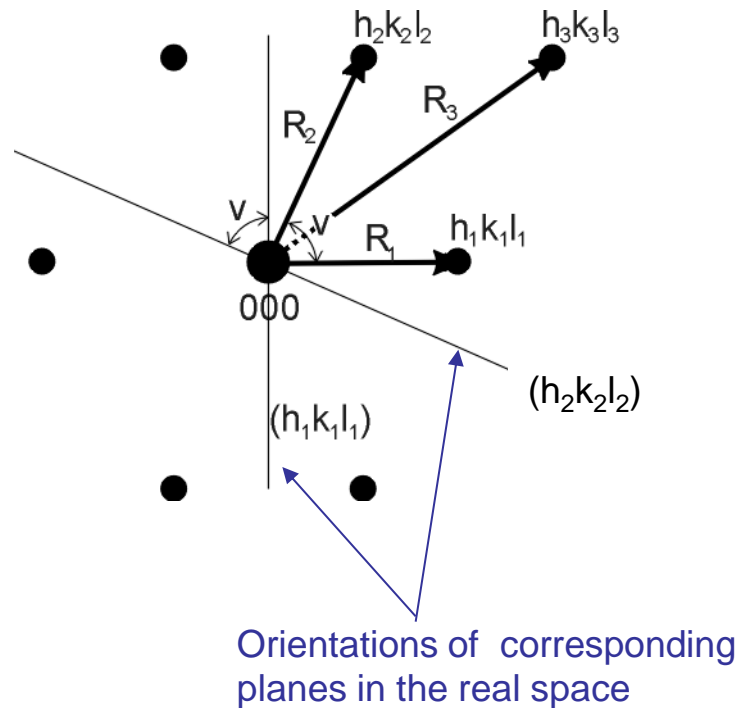
Selected area diffraction(SAD)

- Parallel incoming electron beam and a selection aperture in the image plane.
- Diffraction from a single crystal in a polycrystalline sample if the SAD aperture is small enough/crystal large enough.
- Orientation relationships between grains or different phases can be determined.
- ~2% accuracy of lattice parameters
 - Convergent electron beam better



Indexing diffraction patterns

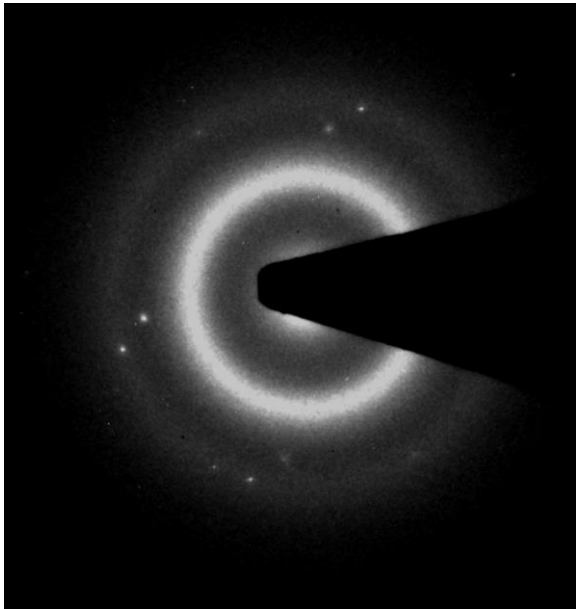
The \mathbf{g} vector to a reflection is normal to the corresponding $(h\ k\ l)$ plane and $|\mathbf{g}|=1/d_{nh\ nk\ nl}$



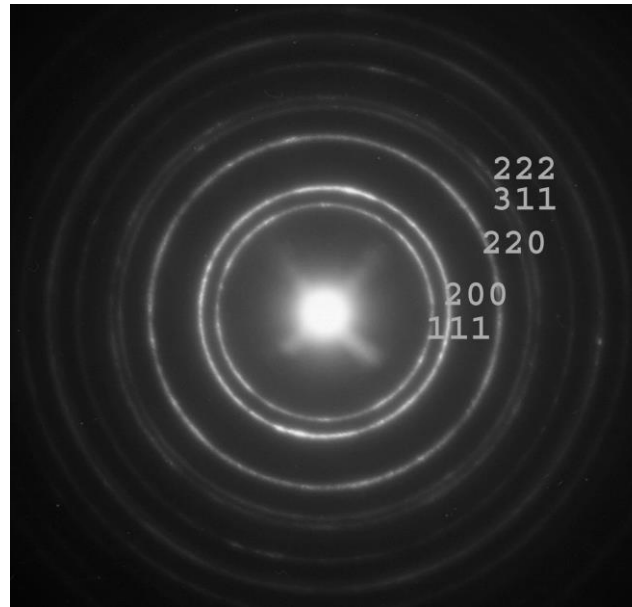
- Measure R_i and the angles between the reflections
- Calculate d_i , $i=1,2,3$ ($=K/R_i$)
- Compare with tabulated/theoretical calculated d -values of possible phases
- Compare R_i/R_j with tabulated values for cubic structure.
- $\mathbf{g}_{1,hkl} + \mathbf{g}_{2,hkl} = \mathbf{g}_{3,hkl}$ (vector sum must be ok)
- Perpendicular vectors: $\mathbf{g}_i \cdot \mathbf{g}_j = 0$
- Zone axis: $\mathbf{g}_i \times \mathbf{g}_j = [\text{HKL}]_z$
- All indexed \mathbf{g} must satisfy: $\mathbf{g} \cdot [\text{HKL}]_z = 0$

Electron Diffraction in TEM

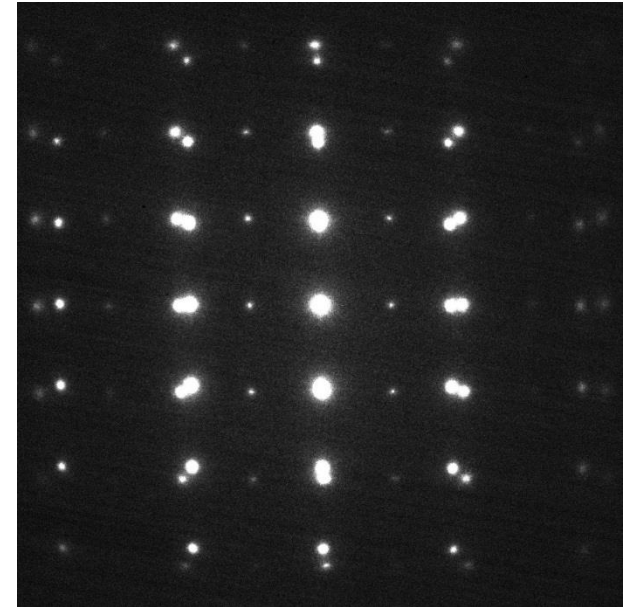
Amorphous phase



Poly crystalline sample

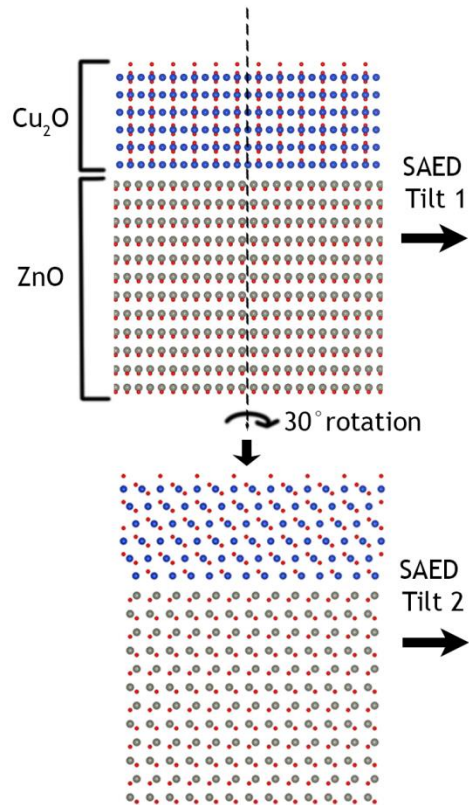


Single Crystals
Interface between two different phases
epitaxially grown

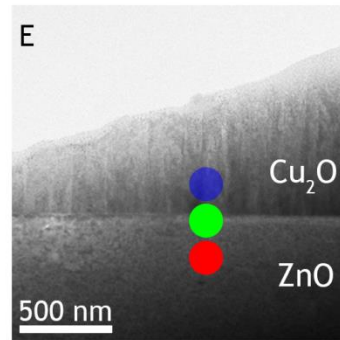
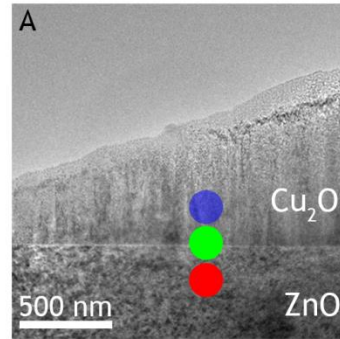


The orientation relationship between the phases can be determined with ED.

Schematic of orientation of $\text{Cu}_2\text{O}/\text{ZnO}$ cross section perpendicular to electron beam direction for SAED

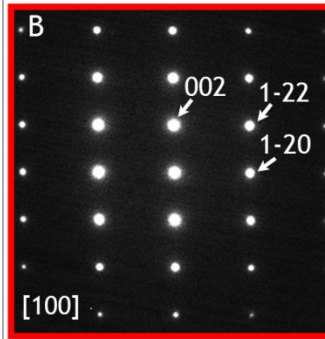


TEM imaging

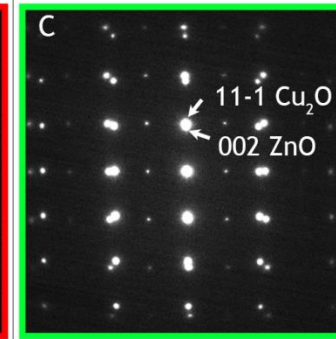


Selected Area Electron Diffraction (SAED)

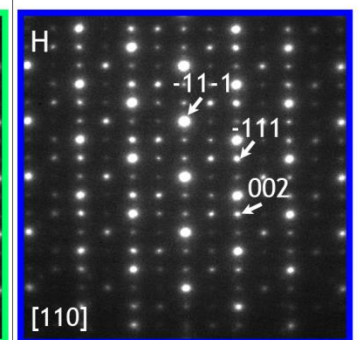
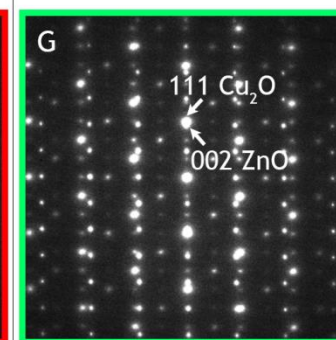
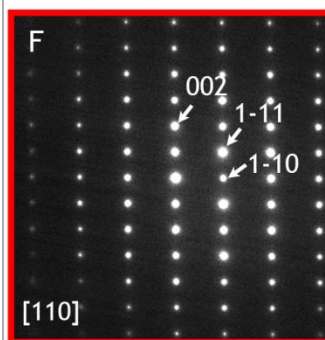
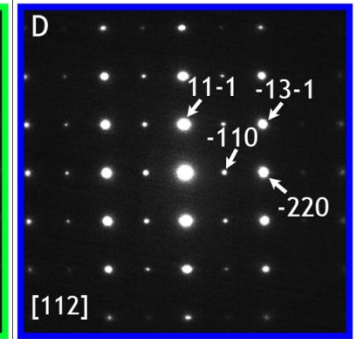
ZnO
(substrate)



$\text{Cu}_2\text{O}/\text{ZnO}$
(interface)



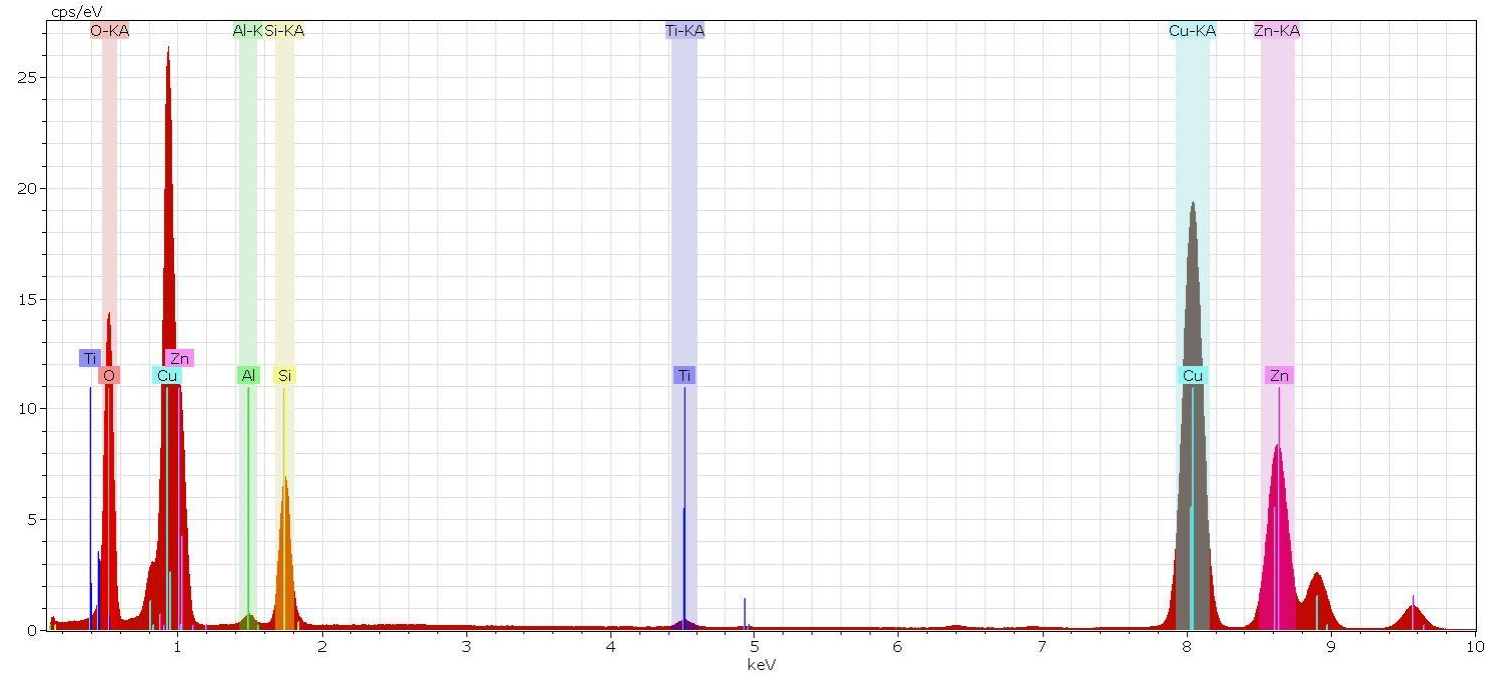
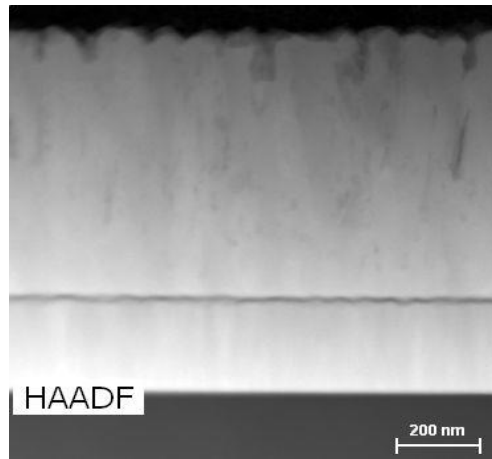
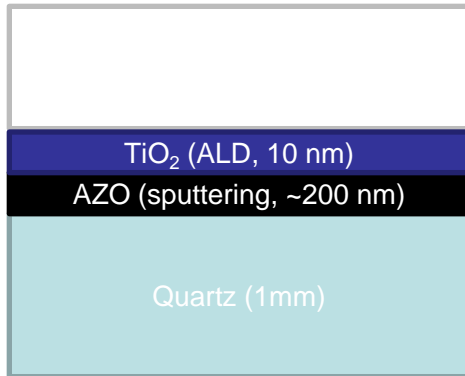
Cu_2O
(film)



Spectroscopy

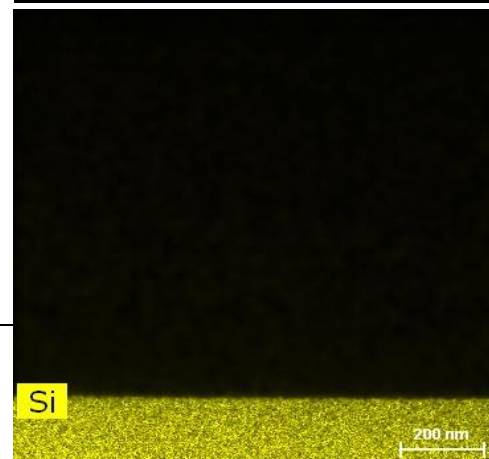
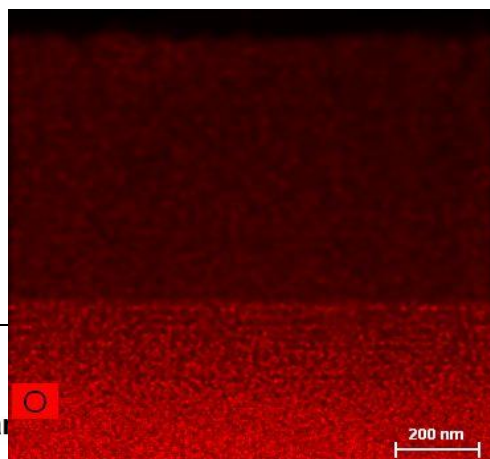
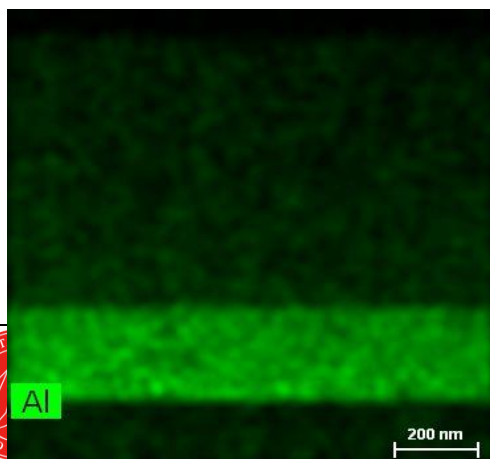
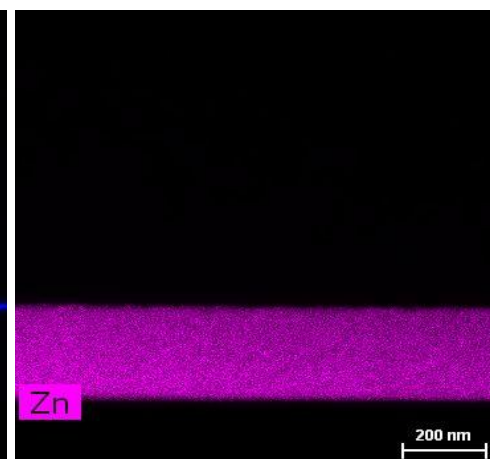
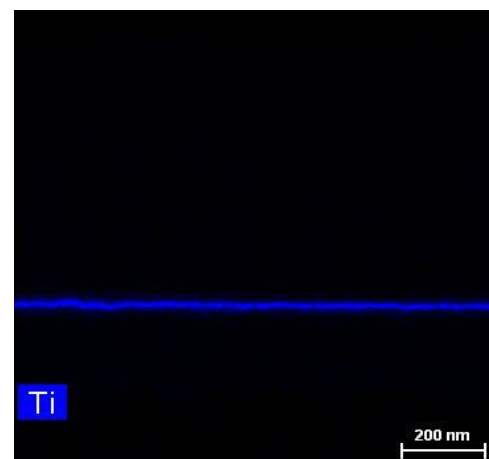
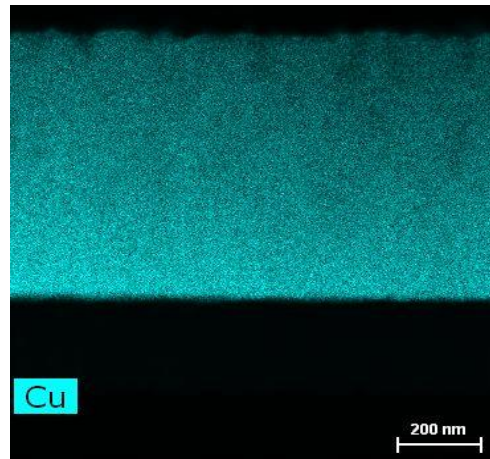
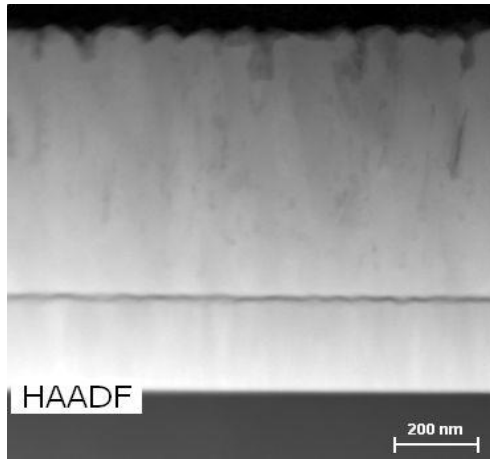


X-ray Energy Dispersive Spectroscopy

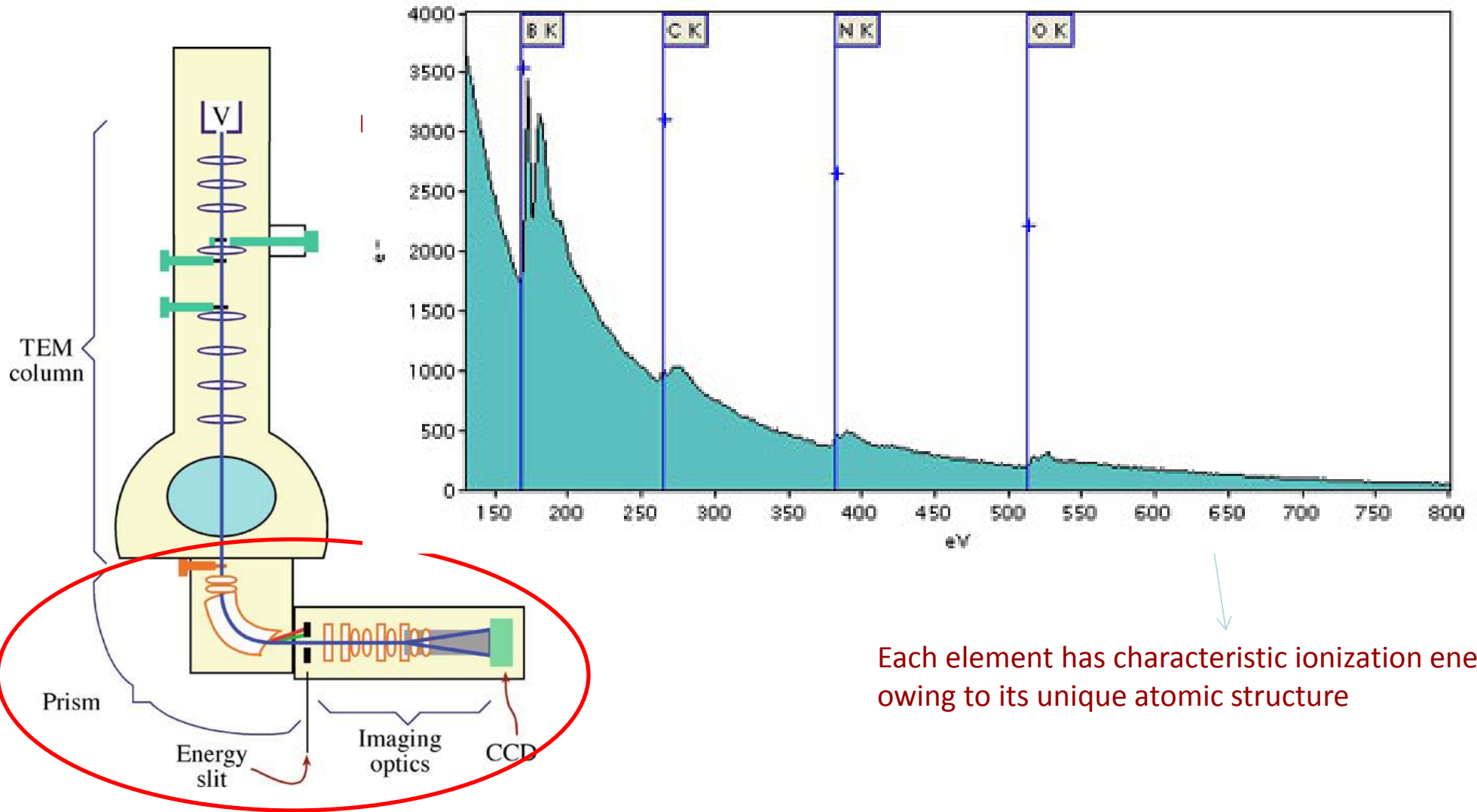


We detect the X-rays generated by the sample on a spectrometer
Each element has a unique atomic structure and hence a characteristic X-ray energy

Energy Dispersive X-ray Spectroscopy



Electron Energy Loss Spectroscopy (EELS)



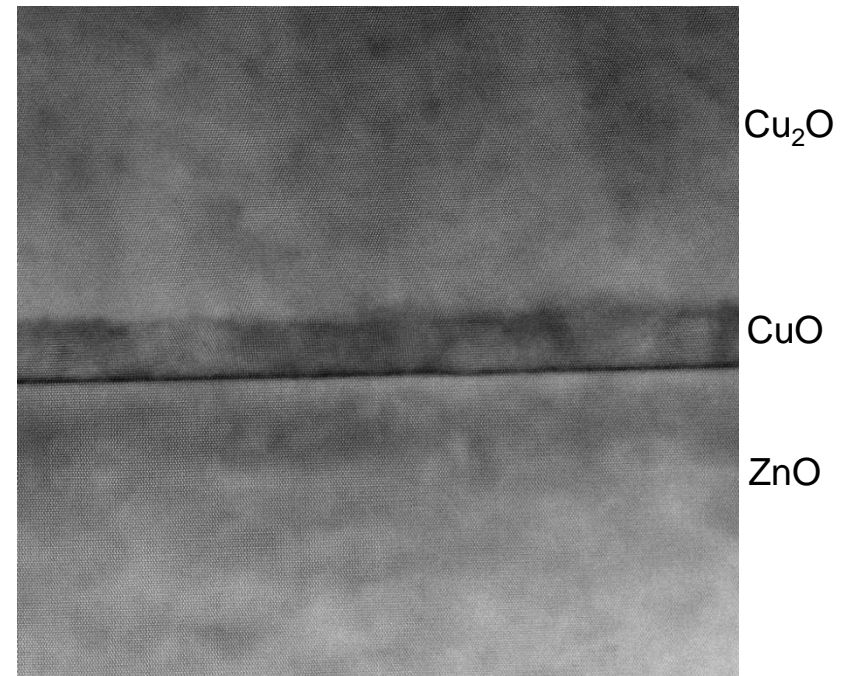
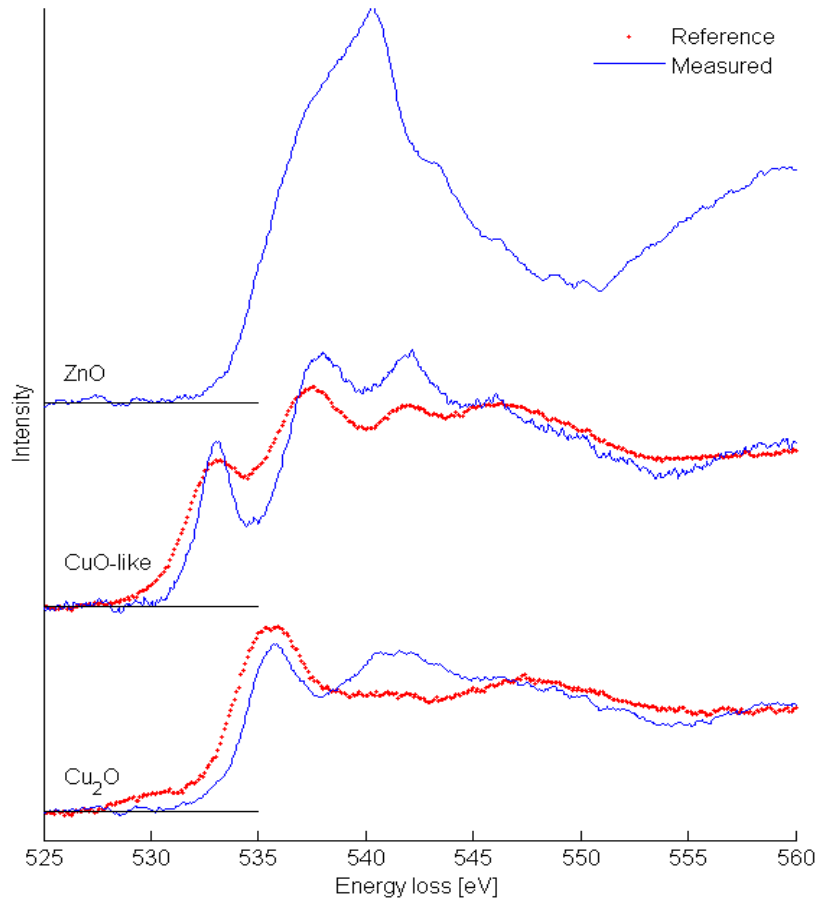
Each element has characteristic ionization energy owing to its unique atomic structure

Courtesy: William & Carter, Transmission Electron Microscopy; EM group, Univ. of Nevada, Reno.

Electron Energy Loss Spectroscopy (EELS)

EELS of the Oxygen K edge

The reference spectra of Cu_2O and CuO are from online EELS database¹. The reference spectra were shifted in energy to match the first O K peak in our experimental, and scaled by the total counts in the energy-loss 560-590 eV.



Courtesy: Cecilie Granerod, SMN, UiO

¹Ngantcha, Gerland, Kihn & Riviere, *Eur. Phys. J. Appl. Phys.* **29**, (2005) 83.



Next Lecture

- TEM Instrumentation – Part 2
(Text book Chapters: 5 – 9)

- TEM Specimen Preparation
(Text book Chapters: 10)

