

# Exercises FYS-KJM4480, Fall semester 2009

## Exercises week 35, August 24-28 2009

### Exercise 1

Consider the Slater determinant

$$\Phi_{\lambda}^{AS}(x_1 x_2 \dots x_N; \alpha_1 \alpha_2 \dots \alpha_N) = \frac{1}{\sqrt{N!}} \sum_p (-)^p P \prod_{i=1}^N \psi_{\alpha_i}(x_i).$$

where  $P$  is an operator which permutes the coordinates of two particles. We have assumed here that the number of particles is the same as the number of available single-particle states, represented by the greek letters  $\alpha_1 \alpha_2 \dots \alpha_N$ .

a) Write out  $\Phi^{AS}$  for  $N = 3$ .

b) Show that

$$\int dx_1 dx_2 \dots dx_N |\Phi_{\lambda}^{AS}(x_1 x_2 \dots x_N; \alpha_1 \alpha_2 \dots \alpha_N)|^2 = 1.$$

c) Define a general onebody operator  $\hat{F} = \sum_i^N \hat{f}(x_i)$  and a general twobody operator  $\hat{G} = \sum_{i>j}^N \hat{g}(x_i, x_j)$  with  $g$  being invariant under the interchange of the coordinates of particles  $i$  and  $j$ . Calculate the matrix elements for a two-particle Slater determinant

$$\langle \Phi_{\alpha_1 \alpha_2}^{AS} | \hat{F} | \Phi_{\alpha_1 \alpha_2}^{AS} \rangle,$$

and

$$\langle \Phi_{\alpha_1 \alpha_2}^{AS} | \hat{G} | \Phi_{\alpha_1 \alpha_2}^{AS} \rangle.$$

Explain the short-hand notation for the Slater determinant. Which properties do you expect these operators to have in addition to an eventual permutation symmetry?

d) Compute the corresponding matrix elements for  $N$  particles which can occupy  $N$  single particle states.

### Exercise 2

We will now consider a simple three-level problem, depicted in the figure below. The single-particle states are labelled by the quantum number  $p$  and can accommodate up to two single particles, viz., every single-particle state is doubly degenerate (you could think of this as one state having spin up and the other spin down). We let the spacing between the doubly degenerate single-particle states be constant, with value  $d$ . The first state has energy  $d$ . There are only three available single-particle states,  $p = 1$ ,  $p = 2$  and  $p = 3$ , as illustrated in the figure.

a) How many two-particle Slater determinants can we construct in this space?

b) We limit ourselves to a system with only the two lowest single-particle orbits and two particles,  $p = 1$  and  $p = 2$ . We assume that we can write the Hamiltonian as

$$\hat{H} = \hat{H}_0 + \hat{H}_I,$$

and that the onebody part of the Hamiltonian with single-particle operator  $\hat{h}_0$  has the property

$$\hat{h}_0 \psi_{p\sigma} = p \times d \psi_{p\sigma},$$

where we have added a spin quantum number  $\sigma$ . We assume also that the only two-particle states that can exist are those where two particles are in the same state  $p$ , as shown by the two possibilities to the left in the figure. The two-particle matrix elements of  $\hat{H}_I$  have all a constant value,  $-g$ . Show then that the Hamiltonian matrix can be written as

$$\begin{pmatrix} 2d - g & -g \\ -g & 4d - g \end{pmatrix},$$

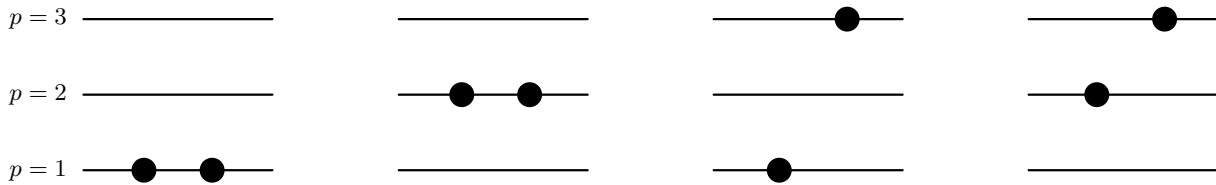


FIG. 1: Schematic plot of the possible single-particle levels with double degeneracy. The filled circles indicate occupied particle states. The spacing between each level  $p$  is constant in this picture. We show some possible two-particle states.

and find the eigenvalues and eigenvectors. What is mixing of the state with two particles in  $p = 2$  to the wave function with two-particles in  $p = 1$ ? Discuss your results in terms of a linear combination of Slater determinants.

c) Add the possibility that the two particles can be in the state with  $p = 3$  as well and find the Hamiltonian matrix, the eigenvalues and the eigenvectors. We still insist that we only have two-particle states composed of two particles being in the same level  $p$ . You can diagonalize numerically your  $3 \times 3$  matrix.

This simple model catches several birds with a stone. It demonstrates how we can build linear combinations of Slater determinants and interpret these as different admixtures to a given state. It represents also the way we are going to interpret these contributions. The two-particle states above  $p = 1$  will be interpreted as excitations from the ground state configuration,  $p = 1$  here. The reliability of this ansatz for the ground state, with two particles in  $p = 1$ , depends on the strength of the interaction  $g$  and the single-particle spacing  $d$ . Finally, this model is a simple schematic ansatz for studies of pairing correlations and thereby superfluidity/superconductivity in fermionic systems.

### Exercises week 36, August 31- September 4 2009

#### Exercise 3

Calculate the matrix elements

$$\langle \alpha_1 \alpha_2 | \hat{F} | \alpha_1 \alpha_2 \rangle$$

and

$$\langle \alpha_1 \alpha_2 | \hat{G} | \alpha_1 \alpha_2 \rangle$$

with

$$|\alpha_1 \alpha_2\rangle = a_{\alpha_1}^\dagger a_{\alpha_2}^\dagger |0\rangle,$$

$$\hat{F} = \sum_{\alpha\beta} \langle \alpha | f | \beta \rangle a_\alpha^\dagger a_\beta,$$

$$\langle \alpha | f | \beta \rangle = \int \psi_\alpha^*(x) f(x) \psi_\beta(x) dx,$$

$$\hat{G} = \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | g | \gamma\delta \rangle a_\alpha^\dagger a_\beta^\dagger a_\delta a_\gamma,$$

and

$$\langle \alpha\beta | g | \gamma\delta \rangle = \int \int \psi_\alpha^*(x_1) \psi_\beta^*(x_2) g(x_1, x_2) \psi_\gamma(x_1) \psi_\delta(x_2) dx_1 dx_2$$

Compare these results with those from exercise 1c).

#### Exercise 4

We define the two-particle operator

$$\hat{T} = \sum_{\alpha\beta} \langle \alpha | t | \beta \rangle a_\alpha^\dagger a_\beta,$$

and the two-particle operator

$$\hat{V} = \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | v | \gamma\delta \rangle a_\alpha^\dagger a_\beta^\dagger a_\delta a_\gamma.$$

We have defined a single-particle basis with quantum numbers given by the set of greek letters  $\alpha, \beta, \gamma, \dots$ . Show that the form of these operators remain unchanged under a transformation of the single-particle basis given by

$$|i\rangle = \sum_\lambda |\lambda\rangle \langle \lambda | i \rangle,$$

with  $\lambda \in \{\alpha, \beta, \gamma, \dots\}$ . Show also that  $a_i^\dagger a_i$  is the number operator for the orbital  $|i\rangle$ .

Find also the expressions for the operators  $T$  and  $V$  when  $T$  is diagonal in the representation  $i$ . Show also that the operator

$$\hat{N}_p = \frac{1}{2} \sum_{\alpha \neq \beta} a_\alpha^\dagger a_\beta^\dagger a_\beta a_\alpha,$$

is an operator that represents the number of pairs and find an expression  $\hat{T}$  and  $\hat{V}$  when  $v$  is diagonal in  $\alpha, \beta$ .

#### Exercise 5

Consider the Hamilton operator for a harmonic oscillator ( $c = \hbar = 1$ )

$$\hat{H} = \frac{1}{2m} p^2 + \frac{1}{2} k x^2, \quad k = m\omega^2$$

(a) Define the operators

$$a^\dagger = \frac{1}{\sqrt{2m\omega}} (p + im\omega x), \quad a = \frac{1}{\sqrt{2m\omega}} (p - im\omega x)$$

and find the commutation relations for these operators by using the corresponding relations for  $p$  and  $x$ .

(b) Show that

$$H = \omega \left( a^\dagger a + \frac{1}{2} \right)$$

(c) Show that if for a state  $|0\rangle$  which satisfies  $\hat{H} |0\rangle = \frac{1}{2}\omega |0\rangle$ , then we have

$$\hat{H} |n\rangle = \hat{H} (a^\dagger)^n |0\rangle = \left( n + \frac{1}{2} \right) \omega |n\rangle$$

(d) Show that the state  $|0\rangle$  from c), with the property  $a |0\rangle = 0$ , must exist.

(e) Find the coordinate-space representation of  $|0\rangle$  and explain how you would construct the wave functions for excited states based on this state.

**Exercises week 37, September 7-11 2009**

**Exercise 6**

Write the two-particle operator

$$G = \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | g | \gamma\delta \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma}$$

in the quasi-particle representation for particles and holes

$$b_{\alpha}^{\dagger} = \begin{cases} a_{\alpha}^{\dagger} \\ a_{\alpha} \end{cases} \quad b_{\alpha} = \begin{cases} a_{\alpha} & \alpha > \alpha_F \\ a_{\alpha}^{\dagger} & \alpha \leq \alpha_F \end{cases}$$

You can use Wick's theorem.

**Exercise 7**

Starting with the Slater determinant

$$\Phi_0 = \prod_{i=1}^n a_{\alpha_i}^{\dagger} |0\rangle,$$

use Wick's theorem to compute the normalization integral  $\langle \Phi_0 | \Phi_0 \rangle$ .

**Exercise 8**

Compute the matrix element

$$\langle \alpha_1 \alpha_2 \alpha_3 | G | \alpha'_1 \alpha'_2 \alpha'_3 \rangle$$

using Wick's theorem and express the two-body operator  $G$  (from exercise 1) in the occupation number (second quantization) representation.

**Exercises week 38, September 14-18 2009**

**Exercise 9**

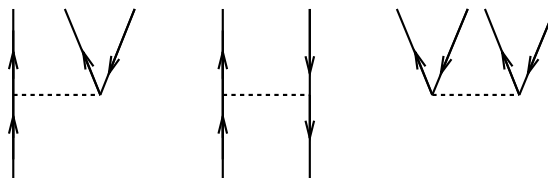
Use the results from exercise 6 and Wick's theorem to calculate

$$\langle \beta_1 \gamma_1^{-1} | G | \beta_2 \gamma_2^{-1} \rangle$$

You need to consider that case that  $\beta_1$  be equal  $\beta_2$  and that  $\gamma_1$  be equal  $\gamma_2$ .

**Exercise 10**

a) Place indices and write the algebraic expressions and discuss the physical meaning of the following diagrams: b)



What is the diagram for  $\langle c | G | c \rangle$ ? The operator  $G$  is the same as the one discussed in the previous exercises. Use the diagrammatic rules to write down the algebraic expression.

**Exercise 11**

Consider a Slater determinant built up of single-particle orbitals  $\psi_\lambda$ , with  $\lambda = 1, 2, \dots, N$ . The unitary transformation

$$\psi_a = \sum_{\lambda} C_{a\lambda} \phi_\lambda,$$

brings us into the new basis. The new basis has quantum numbers  $a = 1, 2, \dots, N$ . Show that the new basis is orthonormal. Show that the new Slater determinant constructed from the new single-particle wave functions can be written as the determinant based on the previous basis and the determinant of the matrix  $C$ . Show that the old and the new Slater determinants are equal up to a complex constant with absolute value unity. (Hint,  $C$  is a unitary matrix).

**Exercise 12**

Consider the Slater determinant

$$\Phi_0 = \frac{1}{\sqrt{n!}} \sum_p (-)^p P \prod_{i=1}^n \psi_{\alpha_i}(x_i).$$

A small variation in this function is given by

$$\delta\Phi_0 = \frac{1}{\sqrt{n!}} \sum_p (-)^p P \psi_{\alpha_1}(x_1) \psi_{\alpha_2}(x_2) \dots \psi_{\alpha_{i-1}}(x_{i-1}) (\delta\psi_{\alpha_i}(x_i)) \psi_{\alpha_{i+1}}(x_{i+1}) \dots \psi_{\alpha_n}(x_n).$$

Show that

$$\begin{aligned} \langle \delta\Phi_0 | \sum_{i=1}^n \{t(x_i) + u(x_i)\} + \frac{1}{2} \sum_{i \neq j=1}^n v(x_i, x_j) | \Phi_0 \rangle = \\ \sum_{i=1}^n \langle \delta\psi_{\alpha_i} | t + u | \phi_{\alpha_i} \rangle + \sum_{i \neq j=1}^n \{ \langle \delta\psi_{\alpha_i} \psi_{\alpha_j} | v | \psi_{\alpha_i} \psi_{\alpha_j} \rangle - \langle \delta\psi_{\alpha_i} \psi_{\alpha_j} | v | \psi_{\alpha_j} \psi_{\alpha_i} \rangle \} \end{aligned}$$

**Exercises week 39, September 21-25 2009**

No exercises this week due to project work.

**Exercises week 39, September 28- October 2 2009****Exercise 13**

What is the diagrammatic representation of the HF equation?

$$-\langle \alpha_k | u^{HF} | \alpha_i \rangle + \sum_{j=1}^n [\langle \alpha_k \alpha_j | v | \alpha_i \alpha_j \rangle - \langle \alpha_k \alpha_j | v | \alpha_j \alpha_i \rangle] = 0 \quad ?$$

(Represent  $(-u^{HF})$  by the symbol  $--X$ .)

### Exercise 14

Consider the ground state  $|\Phi\rangle$  of a bound many-particle system of fermions. Assume that we remove one particle from the single-particle state  $\lambda$  and that our system ends in a new state  $|\Phi_n\rangle$ . Define the energy needed to remove this particle as

$$\mathcal{E}_\lambda = \sum_n |\langle \Phi_n | a_\lambda | \Phi \rangle|^2 (E_0 - E_n),$$

where  $E_0$  and  $E_n$  are the ground state energies of the states  $|\Phi\rangle$  and  $|\Phi_n\rangle$ , respectively.

a) Show that

$$\mathcal{E}_\lambda = \langle \Phi | a_\lambda^\dagger [a_\lambda, H] | \Phi \rangle,$$

where  $H$  is the Hamiltonian of this system.

b) If we assume that  $\Phi$  is the Hartree-Fock result, find the relation between  $\mathcal{E}_\lambda$  and the single-particle energy  $\varepsilon_\lambda$  for states  $\lambda \leq F$  and  $\lambda > F$ , with

$$\varepsilon_\lambda = \langle \lambda | (t + u) | \lambda \rangle$$

and

$$\langle \lambda | u | \lambda \rangle = \sum_{\beta \leq F} \langle \lambda \beta | v | \lambda \beta \rangle.$$

We have assumed an antisymmetrized matrix element here. Discuss the result.

The Hamiltonian operator is defined as

$$H = \sum_{\alpha\beta} \langle \alpha | t | \beta \rangle a_\alpha^\dagger a_\beta + \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | v | \gamma\delta \rangle a_\alpha^\dagger a_\beta^\dagger a_\delta a_\gamma.$$