# GEF 1100 - Klimasystemet 

# Chapter 6: The equations of fluid motion 



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## Who am I?

## Prof. Dr. Kirstin Krüger

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Research interests:

- Stratosphere-ocean interactions


Volcanic excursion

- Dynamics of the stratosphere-troposphere
- Stratospheric ozone and ozone depleting substances
- Influence of volcanic eruptions on climate/ earth system


Modell T63

## Ch. 6 - The equations of fluid motions



1. Motivation
2. Basics
2.1 Lagrange - Euler
2.2* Mathematical add ons, Vorticity
3. Equation of motion for a nonrotating fluid 3.1 Forces
3.2 Equations of motion

3.3 Hydrostatic balance
4. Conversation of mass
5. Thermodynamic equation
6. Equation of motion for a rotating fluid
6.1 Forces
6.2 Equations of motion
7. Take home messages
*Addition, not in book.

## Motivation



Marshall and Plumb (2008)

- Derivation of the general equation of motion
- Different horizontal wind forms
- General circulation of atmosphere/ ocean


## 2. Basics

## Equations of fluid motions

- Hydrodynamics* focuses on moving liquids and gases.
- Hydrodynamics and thermodynamics are fundamental for meteorology and oceanography.
- Equations of fluid motions for the atmosphere and ocean are constituted by macroscopic conservation laws for substances, impulses and internal energy (hydrodynamics and thermodynamics).

The characteristics of very small volume elements are looked at (e.g. overall mass, average speed).

Derivation of hydrodynamics is based on the conservation of momentum, mass and energy.

## State variables for atmosphere and ocean

| $u$ | Wind $u=(u, v, w)$ | in $\mathrm{m} / \mathrm{s}$ |
| :--- | :--- | :--- |
| $T$ | Temperature | in ${ }^{\circ} \mathrm{C}$ or K |
| $p$ | Pressure | in $\mathrm{N} / \mathrm{m}^{2}=1 \mathrm{~Pa}\left(1 \mathrm{hPa}=10^{2} \mathrm{~Pa}=1 \mathrm{mbar}\right)$ |

Specifically for the atmosphere:
$q \quad$ Specific humidity
in kg water vapour/kg moist air

Specifically for the ocean:
$S \quad$ Salinity
in kg salt/kg seawater
(often the salt content is expressed in practical
salinity unit: psu = kg salt/1,000 kg seawater).

## Differentiation following the motion Euler - Lagrange derivatives

Example: wind blows over hill, cloud forms at the ridge of the lee wave; steady state assumption eq. cloud does not change in time.


Eulerian derivative (after Euler; 1707-1783):
$\left(\frac{\partial C}{\partial t}\right)_{\text {fixed point in space }}=0$,


Leonhard Euler (CH)

Lagrangian derivative (after Lagrange; 1736-1813):
$\left(\frac{\partial C}{\partial t}\right)$ fixed particle $\neq 0,\left\{\begin{array}{l}C=C(x, y, z, t): \text { e.g. cloud amount } \\ \frac{\partial}{\partial t} \text { : partial derivatives; other variables } \\ \text { are kept fixed during the differentiation }\end{array}\right.$


Joseph-Louis Lagrange (I)

## Differentiation following the motion Euler and Lagrange perspectives

Euler perspective: The control volume is anchored stationary within the coordinate system, e.g. a cube through which the air or the seawater flows through.

Lagrange perspective: The control volume is an air or seawater parcel that always consists of the same particles and which moves along with the wind or the current.

$$
\begin{aligned}
& \text { Parcel of air/ } \\
& \text { trajectory }
\end{aligned}
$$

Lagrange



## Euler and Lagrangian differentiation

Think about examples in your everyday life for Euler's and Lagrange's differentiation. Discuss in groups of 2 and give one example.

## Differentiation following the motion mathematically

For arbitrary small variations:
$\delta C=\frac{\partial C}{\partial t} \delta t+\frac{\partial C}{\partial x} \delta x+\frac{\partial C}{\partial y} \delta y+\frac{\partial C}{\partial z} \delta z$

$(\delta C)_{\text {fixed particle }}=\left(\frac{\partial C}{\partial t}+u \frac{\partial C}{\partial x}+v \frac{\partial C}{\partial y}+w \frac{\partial C}{\partial z}\right) \delta t$
Insert $\delta x=u \delta t$, $\delta y=v \delta t$,
$\delta z=w \delta t$

Dividing by $\delta t$ and in limit of small variations:
$\left(\frac{\partial C}{\partial t}\right)_{f \text { fixed particle }}=\frac{\partial C}{\partial t}+u \frac{\partial C}{\partial x}+v \frac{\partial C}{\partial y}+w \frac{\partial C}{\partial z}=\frac{D C}{D t}$
$\frac{D}{D t}: \quad \frac{D}{D t}=\frac{\partial}{\partial t}+u \frac{\partial}{\partial x}+v \frac{\partial}{\partial y}+w \frac{\partial}{\partial z} \equiv \frac{\partial}{\partial t}+\boldsymbol{u} \cdot \boldsymbol{\nabla}$
Advection term of a quantity: "u•审 <...>"

## Differentiation following the motion mathematically

Lagrangian (or material, substantial or total) derivative (after Lagrange; 1736-1813):

$$
\begin{align*}
\left(\frac{D}{D t}\right)_{\text {fixed particle }} & \equiv \frac{\partial}{\partial t}+u \frac{\partial}{\partial x}+v \frac{\partial}{\partial y}+w \frac{\partial}{\partial z} \\
& \equiv \frac{\partial}{\partial t}+\boldsymbol{u} \cdot \boldsymbol{\nabla} \quad(6-1)
\end{align*}\left\{\begin{array}{c}
u=(u, v w) \text { velocity vector }  \tag{6-1}\\
\nabla \equiv\left(\frac{\partial}{\partial x}, \frac{\partial}{2 y}, \frac{\partial}{z}\right) \text { gradient operator, } \\
\text { called "nabla" }
\end{array}\right.
$$

"Time rate of change of some characteristic of a particular element of fluid, which in general is changing its position."

Eulerian derivative (after Euler; 1707-1783):
$\left(\frac{\partial}{\partial t}\right)_{\text {fixed point }}$
"Time rate of change of some characteristic at a fixed point in space but with constantly changing fluid element because the fluid is moving."

Differentiation following the motion Lagrangian perspective

Forward trajectories started @850 hPa:

- top: between
26.04.-29.04.1986
- bottom: between
30.04.-05.05.1986


Example: nuclear reactor accident Chernobyl on 26.04.1986, 01:30 am

Trajectories (=flight path) are used for the prediction of air movement.

Kraus (2004)

Where is the missing Malaysian Airline MH370 (8 March 2014)?


# 2.2 Basics - Mathematical add ons 

- Nomenclature
- Vector operations
- Nabla operator


## Nomenclature summary

- $\delta$ : Greek small delta, infinitesimal small size, e.g. $\delta V:=$ small air volume
- $\frac{\partial}{\partial x}$ : partial derivative of a quantity with respect to a coordinate, e.g. $x$
- $\frac{d}{d t}$ : total differential, derivative of a quantity with respect to all dependent coordinates ( $x, z, y$ )
- $\frac{D}{D t}=\frac{\partial}{\partial t}+\boldsymbol{u} \cdot \boldsymbol{\nabla}:$ Lagrangian (or total) derivative


## Vector operations - Multiplication with a scalar a -

$$
a \vec{v}=\vec{v} a=a v_{i}=v_{i} a=a\left(\begin{array}{l}
v_{x} \\
v_{y} \\
v_{z}
\end{array}\right)=\left(\begin{array}{l}
a v_{x} \\
a v_{y} \\
a v_{z}
\end{array}\right)
$$



- With a scalar multiplication the vector stays a vector.
- Each element of a vector is multiplied individually with a scalar a.
- The vector extends (or shortens) itself by the factor a.
- Convention: With the multiplication scalar - vector we don't use a point (like with scalar - scalar).


## Vector operations <br> - Scalar product -

$$
\vec{v} \cdot \vec{f}=\vec{f} \cdot \vec{v}=\left(\begin{array}{l}
v_{x} \\
v_{y} \\
v_{z}
\end{array}\right) \cdot\left(\begin{array}{l}
f_{x} \\
f_{y} \\
f_{z}
\end{array}\right)=v_{x} f_{x}+v_{y} f_{y}+v_{z} f_{z}=f_{i} v_{i} \equiv \sum_{i} f_{i} v_{i}=f_{i} v_{i}
$$

- The scalar product of two vectors is a scalar.
- It is multiplied component-wise, then added.
- Convention: The scalar product is marked by a multiplication sign (•).
- It is at a maximum with parallel vectors and disappears when the vectors are perpendicular to each other.

$$
\vec{v} \cdot \vec{f}=|\vec{v}||\vec{f}| \cos \alpha
$$



## Vector operations - vector product

$$
\begin{aligned}
& \vec{c}=\vec{a} \times \vec{b}=-\vec{b} \times \vec{a}=\left|\begin{array}{ccc}
\vec{i} & \vec{j} & \vec{k} \\
a_{x} & a_{y} & a_{z} \\
b_{x} & b_{y} & b_{z}
\end{array}\right|=\vec{i}\left(a_{y} b_{z}-a_{z} b_{y}\right)+\vec{j}\left(a_{z} b_{x}-a_{x} b_{z}\right)+\vec{k}\left(a_{x} b_{y}-a_{y} b_{x}\right) \\
& =\left(\begin{array}{c}
a_{y} b_{z}-a_{z} b_{y} \\
a_{z} b_{x}-a_{x} b_{z} \\
a_{x} b_{y}-a_{y} b_{x}
\end{array}\right) \\
& |\vec{c}|=|\vec{a} \times \vec{b}|=|\vec{a}| \vec{b} \mid \sin \alpha \quad, \vec{c} \perp \vec{a} \wedge \vec{c} \perp \vec{b}
\end{aligned}
$$

- The vector product of two vectors is again a vector.
- Convention: The vector product or cross product is marked by an "x".
- If $\vec{a}$ is turned to $\vec{b}$ on the fastest route possible, the vector (from the vector product) points in the direction in which a right-hand screw would move (right hand rule).
- With parallel vectors, it disappears, and it reaches its maximum when the two vectors are at right angles to each other!



## Nabla operator - spatial gradient

Nabla - Operator $\vec{\nabla}:$
with $\vec{\nabla}=\nabla=\partial_{i} \equiv\left(\begin{array}{c}\frac{\partial}{\partial x} \\ \frac{\partial}{\partial y} \\ \frac{\partial}{\partial z}\end{array}\right)$

- Nabla is a (vector) operator that works to the right.
- It only results in a value if it stands left of an arithmetic expression.
- If it stands to the right of an arithmetic expression, it keeps its operator function (and "waits" for application).

Graphically:

- Pooling of the spatial gradients towards the spatial coordinate axes $\rightarrow$ vector
- Gradient points towards the largest increase of the quantity.
- Amount is the magnitude of the derivative pointing towards the largest increase.


## Nabla operator

Product with a Scalar, vector

$$
\vec{\nabla} T \equiv \operatorname{grad} T=\left(\begin{array}{l}
\frac{\partial T}{\partial x} \\
\frac{\partial T}{\partial y} \\
\frac{\partial T}{\partial z}
\end{array}\right)=\left(\begin{array}{c}
\frac{\partial}{\partial x} \\
\frac{\partial}{\partial y} \\
\frac{\partial}{\partial z}
\end{array}\right) T
$$

Scalar product """, $\vec{\nabla} \cdot \vec{u} \equiv \operatorname{div} \vec{u} \equiv\left(\begin{array}{c}\frac{\partial}{\partial x} \\ \text { scalar } \\ \frac{\partial}{\partial y} \\ \frac{\partial}{\partial z}\end{array}\right) \cdot\left(\begin{array}{c}u \\ v \\ w\end{array}\right)=\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}+\frac{\partial w}{\partial z}, ~$
Vector product " $\times$ ", $\left.\vec{\nabla} \times \vec{u} \equiv \operatorname{rot} \vec{u} \equiv\left(\begin{array}{c}\frac{\partial}{\partial x} \\ \text { vector } \\ \frac{\partial}{\partial y} \\ \frac{\partial}{\partial z}\end{array}\right) \times\left(\begin{array}{c}u \\ v \\ w\end{array}\right)=\left|\begin{array}{ccc}\vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ u & v & w\end{array}\right|=\left(\begin{array}{l}\frac{\partial w}{\partial y}-\frac{\partial v}{\partial z} \\ \frac{\partial u}{\partial z}-\frac{\partial w}{\partial x} \\ \frac{\partial v}{\partial x}-\frac{\partial u}{\partial y}\end{array}\right) \right\rvert\,$

Unit vectors:
$i: \times$ direction
$j:$ y direction
k: z direction

## Nabla operator - algorithms:

Note: Nabla is a (vector) operator, i.e. the sequence must not be changed here!

$$
\begin{gathered}
\left(\begin{array}{c}
\frac{\partial T}{\partial x} \\
\frac{\partial T}{\partial y} \\
\frac{\partial T}{\partial z}
\end{array}\right)=\left(\begin{array}{c}
\frac{\partial}{\partial x} \\
\frac{\partial}{\partial y} \\
\frac{\partial}{\partial z}
\end{array}\right) T=\vec{\nabla} T \neq T \vec{\nabla}=\left(\begin{array}{c}
T \frac{\partial}{\partial x} \\
T \frac{\partial}{\partial y} \\
T \frac{\partial}{\partial z}
\end{array}\right) \\
\vec{\nabla} \cdot \vec{u} \equiv \operatorname{div} \vec{u} \equiv\left(\begin{array}{c}
\frac{\partial}{\partial x} \\
\frac{\partial}{\partial y} \\
\frac{\partial}{\partial z}
\end{array}\right) \cdot\left(\begin{array}{c}
u \\
v \\
w
\end{array}\right)=\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}+\frac{\partial w}{\partial z} \\
\neq \\
\vec{u} \cdot \vec{\nabla}=\left(\begin{array}{c}
u \\
v \\
w
\end{array}\right) \cdot\left(\begin{array}{c}
\frac{\partial}{\partial x} \\
\frac{\partial}{\partial y} \\
\frac{\partial}{\partial z}
\end{array}\right)=u \frac{\partial}{\partial x}+v \frac{\partial}{\partial y}+w \frac{\partial}{\partial z}
\end{gathered}
$$

## Characteristics of horizontal wind

Divergence
Convergence


$$
\boldsymbol{\nabla} \cdot \boldsymbol{u}_{H}=\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}
$$

## Characteristics of horizontal wind

## Rotation and vorticity*

(*comes from the word "vortex")

Rotation of horizontal flow:

$$
\text { rot } u=\nabla \times u
$$



## Rotation of a vector field

- Vector product of the Nabla operator with a vector -

$$
\vec{\nabla} \times \vec{u} \equiv \operatorname{rot} \vec{u} \equiv\left(\begin{array}{c}
\frac{\partial}{\partial x} \\
\frac{\partial}{\partial y} \\
\frac{\partial}{\partial z}
\end{array}\right) \times\left(\begin{array}{l}
u \\
v \\
w
\end{array}\right)=\left|\begin{array}{ccc}
\vec{i} & \vec{j} & \vec{k} \\
\frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\
u & v & w
\end{array}\right|=\left(\begin{array}{l}
\frac{\partial w}{\partial y}-\frac{\partial v}{\partial z} \\
\frac{\partial u}{\partial z}-\frac{\partial w}{\partial x} \\
\frac{\partial v}{\partial x}-\frac{\partial u}{\partial y}
\end{array}\right)
$$

## Definition vorticity

The rotation of a flow field is constituted by

$$
\operatorname{rot} \boldsymbol{u} \equiv \nabla \times \boldsymbol{u}
$$

The vorticity is a scalar!

The vorticity $\zeta$ is defined as a vertical component of the velocity fields's rotation.
$\zeta$ : Greek „Zeta"

$$
\zeta=\boldsymbol{k} \cdot \nabla \times \boldsymbol{u}=\frac{\partial v}{\partial x}-\frac{\partial u}{\partial y}
$$

## Vorticity

## Relative vorticity $\zeta:$

Rotation relative to the earth.

$$
\zeta=\mathbf{k} \cdot \nabla \times \mathbf{u}=\frac{\partial \mathrm{v}}{\partial x}-\frac{\partial \mathrm{u}}{\partial y}
$$

## Absolute vorticity $\eta$ :

Sum of relative vorticity and planetary vorticity.

$$
\eta=\zeta+f=\left\{\begin{array}{l}
\zeta: \text { Zeta } \\
\eta: \text { Eta } \\
f: \text { Coriolis parameter }
\end{array}\right.
$$

Rotation in an absolute coordinate system oriented towards a fixed star.

## Planetary vorticity $f$ :

Contribution of earth rotation.

$$
f=2 \Omega \sin \varphi
$$

f: Coriolis parameter, $\varphi$ : latitude, $\Omega$ : angular velocity
$\Omega=2 \pi / 24 h$ is the angular velocity of the earth rotation.
Application examples: e.g. vorticity advection in synoptic; strength of a vortex (e.g. stratospheric polar vortex); stratosphere: vorticity correlates with ozone.

## Vorticity (NH)

## Curvature vorticity $\left(\mathrm{VK}_{\mathrm{s}}\right)$

Shear vorticity


Note: In general, the horizontal wind field contains both the curvature as well as the shear forces (varying strengths of winds on the verticals).

## NH stratospheric polar vortex

## Potential vorticity unit (PVU) at ~20 km altitude

Stratospheric polar vortex

Edge of vortek


- High vorticity (PV) in the centre of the polar vortex (low pressure centre).
- Greatest PV gradient at the edge of the polar vortex ( $\rightarrow$ wind maximum).


## Ch. 6 - The equations of fluid motions



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3. Equation of motion for a nonrotating fluid 3.1 Forces
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*Addition, not in book.

## 3. Equations of motion - nonrotating fluid

I. Laws of motion for a fluid parcel in $x-, y-, z-$ directions (applying Newton's 1. and 2. law)
II. Conservation of mass
III. Law of thermodynamics (including motion)
$\rightarrow 5$ equations for the evolution of the fluid (5 unknowns:
( $u, p, T$ )

## Momentum conservation

The conservation of momentum is represented by Newton's first law ("Lex prima"):
(momentum = mass $\cdot$ velocity)
momentum $=$ const . at absence of forces

Momentum sentence ("Lex secunda"): temporal change in momentum = Force (mass $\cdot$ acceleration $=$ Force)

$$
M \frac{d \boldsymbol{u}}{d t}=\boldsymbol{F} \quad \text { t: time; } M: \text { mass; } u \text { : velocity vector F: Force vector }
$$

## fuic orfoer - cune



Figure 6.2: An elementary fluid parcel, conveniently chosen to be a cube of sides $\delta x_{,}, \delta y_{1}, \delta z$, centered on $(x, y, y)$. The parcel is moving with velocity u .

## Forces on a fluid parcel

$$
\begin{aligned}
& \rho \delta x \delta y \delta z \frac{D \boldsymbol{u}}{D t}=\mathbf{F} \\
& \underbrace{\frac{D \boldsymbol{u}}{D t}}=\frac{\partial \boldsymbol{u}}{\partial t}+u \frac{\partial \boldsymbol{u}}{\partial x}+v \frac{\partial \boldsymbol{u}}{\partial y}+w \frac{\partial \boldsymbol{u}}{\partial z} \\
& \quad=\frac{\partial \boldsymbol{u}}{\partial t}+(\boldsymbol{u} \cdot \nabla) \boldsymbol{u}
\end{aligned}
$$

(Eq. 6-2)

[^0]

Figure 6.2: An elementary fluid parcel, conveniently chosen to be a cube of sides $\delta x, \delta y_{1}, \delta z$, centered on $(x, y, y)$. The parcel is moving with velocity $u$.

Marshall and Plumb (2008)

## Gravity

The gravitational force, $g \delta M$, is directed downward:

$$
\mathbf{F}_{\text {gravity }}=-\operatorname{g} \rho \hat{\mathbf{z}} \delta x \delta y \delta z
$$

(Eq. 6-3)


Figure 6.2: An elementary fluid parcel, conveniently chosen to be a cube of sides $\delta x, \delta y_{1}, \delta z$, centered on $(x, y, y)$. The parcel is moving with velocity $u$.

## Pressure gradient force

$x$-component of the pressure force is:
$\mathrm{F}(A)=p \cdot A=p\left(x-\frac{\delta x}{2}, y, z\right) \delta y \delta z$
$\mathrm{F}(B)=p \cdot B=-p\left(x+\frac{\delta x}{2}, y, z\right) \delta y \delta z$
$\mathrm{F}_{x}=\mathrm{F}(A)+\mathrm{F}(B)$
Apply Taylor expansion (A.2.1) at midpoint of parcel and neglect small terms (see book):
$\mathrm{F}_{x}=-\frac{\partial p}{\partial x} \delta x \delta y \delta z$


Figure 6.3: Pressure gradient forces acting on the fluid parcel. The pressure of the surrounding fluid applies a force to the right on face A and to the left on face B.

Marshall and Plumb (2008)

Apply for all sides (y and z).

## Pressure gradient force

$$
\begin{aligned}
\mathbf{F}_{\text {pressure }} & =\left(F_{x}, F_{y}, F_{z}\right) \\
& =-\left(\frac{\partial p}{\partial x}, \frac{\partial p}{\partial y}, \frac{\partial p}{\partial z}\right) \delta x \delta y \delta z \\
& =-\nabla p \delta x \delta y \delta z
\end{aligned}
$$

(Eq. 6-4)


Figure 6.3: Pressure gradient forces acting on the fluid parcel. The pressure of the surrounding fluid applies a force to the right on face A and to the left on face B.

Marshall and Plumb (2008)

## Frictionforce

- Friction force operates on the earth's surface,
- the greater the surface roughness, the higher the friction force,
- the greater the wind velocity, the higher the friction force,
- friction force takes effect in vertical distances of $\sim 100 \mathrm{~m}$ up to $1,000 \mathrm{~m}(\rightarrow$ atmospheric boundary layer Chapter 7).

$$
\mathbf{F}_{\text {friction }}=\rho \mathcal{F} \delta x \delta y \delta z
$$

[^1]
# GEF 1100 - Klimasystemet 

# Chapter 6: The equations of fluid motion 



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*Addition, not in book.

## Equations of motion

- All three forces together in Eq. 6-2 gives:

$$
\rho \delta x \delta y \delta z \frac{D \mathbf{u}}{D t}=\mathbf{F}_{\text {pressure }}+\mathbf{F}_{\text {gravity }}+\mathbf{F}_{\text {friction }}
$$

- Re-arranging leads to equation of motion for fluid parcels:

$$
\frac{D \mathbf{u}}{D t}+\frac{1}{\rho} \nabla p+\mathrm{g} \hat{\mathbf{z}}=\mathcal{F}
$$

(Equation 6-6)

[^2]
## Equations of motion - component form

## (Eq. 6-6) in Cartesian coordinates:

- $\frac{\partial u}{\partial t}+u \frac{\partial u}{\partial x}+v \frac{\partial u}{\partial y}+w \frac{\partial u}{\partial z}+\frac{1}{\rho} \frac{\partial p}{\partial x} \quad=\mathcal{F}_{\mathrm{x}} \quad$ (6-7a)
- $\frac{\partial v}{\partial t}+u \frac{\partial v}{\partial x}+v \frac{\partial v}{\partial y}+w \frac{\partial v}{\partial z}+\frac{1}{\rho} \frac{\partial p}{\partial y} \quad=\mathcal{F}_{y} \quad(6-7 \mathrm{~b})$
- $\frac{\partial w}{\partial t}+u \frac{\partial w}{\partial x}+v \frac{\partial w}{\partial y}+w \frac{\partial w}{\partial z}+\frac{1}{\rho} \frac{\partial p}{\partial z}+\mathrm{g}=\mathcal{F}_{\mathrm{z}} \quad(6-7 \mathrm{c})$

```
u: zonal velocity
v:meridional velocity
w: vertical velocity
\rho: density
\mathcal { F } \text { : frictional force per unit mass}
p: pressure

\section*{Hycrostatic balance}

If friction \(\mathcal{F}_{z}\) and vertical acceleration \(\frac{D w}{D t}\) are negligible, we derive from the vertical eq. of motion (6-7c) the hydrostatic balance (Ch.3, Eq.3-3):
\[
\frac{\partial p}{\partial z}=-\rho \mathrm{g} \quad \text { (Eq. 6-8) }
\]

Balance between vertical pressure gradient and gravitational force! "Pressure decreases with height in proportion to the weight of the overlying atmosphere."

Note: This approximation holds for large-scale atmospheric and oceanic circulation with weak vertical motions.
\[
\frac{D}{D t}: \text { Lagrangian derivative } \frac{D w}{D t}=\frac{\partial w}{\partial t}+u \frac{\partial w}{\partial x}+v \frac{\partial w}{\partial y}+w \frac{\partial w}{\partial z}
\]

\title{
Conservation of mass - continuity equation
}

\section*{Conserved quantity:}

A quantity of which the derivative equals zero.
Conservation of mass for liquids is also called continuity equation.

\section*{Conservation of mass}


Figure 6.4: The mass of fluid contained in the fixed volume, \(\rho \delta x \delta y \delta z\), can be changed by fluxes of mass out of and into the volume, as marked by the arrows.

\section*{Conservation of mass -1}

Mass continuity requires:
\[
\begin{aligned}
\frac{\partial}{\partial t}(\rho \delta x \delta y \delta z) & =\frac{\partial \rho}{\partial t} \delta x \delta y \delta z \\
& =\text { (net mass flux into the volume) }
\end{aligned}
\]
- mass flux in \(x\)-direction per unit time into the volume [ \(\rho u]\left(x-\frac{1}{2} \delta x, y, z\right) \delta y \delta z\)


Figure 6.4: The mass of fluid contained in the fixed volume, \(\rho \delta x \delta y \delta z\), can be changed by fluxes of mass out of and into the volume, as marked by the arrows.
- mass flux in x-direction per unit time out of the volume Marshall and Plumb (2008) \([\rho u]\left(x+\frac{1}{2} \delta x, y, z\right) \delta y \delta z\)

\section*{Conservation of mass -2}
- net mass flux in x-direction into the volume is then (employing Taylor expansion A.2.2):
\[
-\frac{\partial}{\partial x}(\rho u) \delta x \delta y \delta z
\]
- net mass flux in \(y\) - and \(z\) - direction accordingly (see book).
- Net mass flux into the volume:
\[
-\nabla \cdot(\rho \boldsymbol{u}) \delta x \delta y \delta z
\]


Figure 6.4: The mass of fluid contained in the fixed volume, \(\rho \delta x \delta y \delta z\), can be changed by fluxes of mass out of and into the volume, as marked by the arrows.

Marshall and Plumb (2008)
- substituting into mass continuity:
\[
\frac{\partial}{\partial t}(\rho \delta x \delta y \delta z)=-\nabla \cdot(\rho \boldsymbol{u}) \delta x \delta y \delta z
\]

\section*{conservation of madss-3}
- leads to equation of continuity:
\[
\frac{\partial \rho}{\partial t}+\nabla \cdot(\rho \boldsymbol{u})=0
\]
(Eq. 6-9),
which has the form of conservation law:
\(\frac{\partial \text { Concentration }}{\partial t}+\nabla \cdot(f l u x)=0\).


Figure 6.4: The mass of fluid contained in the fixed volume, \(\rho \delta x \delta y \delta z\), can be changed by fluxes of mass out of and into the volume, as marked by the arrows.

Marshall and Plumb (2008)
- Using D/Dt (Eq. 6-1) and \(\nabla \cdot(\rho \boldsymbol{u})=\rho \nabla \cdot \boldsymbol{u}+\boldsymbol{u} \cdot \nabla \rho\) (see A.2.2) we can rewrite:
\[
\begin{equation*}
\frac{D \rho}{D t}+\rho \nabla \cdot \boldsymbol{u}=0 \tag{Eq.6-10}
\end{equation*}
\]
\[
\frac{D}{D t}: \text { Lagrangian derivative } \frac{D}{D t}=\frac{\partial}{\partial t}+\boldsymbol{u} \cdot \boldsymbol{\nabla}
\]

\section*{Approximations for continuity equation:} incompressible - compressible flows
- Incompressible flow (e.g. ocean):
\[
\nabla \cdot \boldsymbol{u}=\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}+\frac{\partial w}{\partial z}=0 \quad \text { (Eq.6-11) }
\]
- Compressible flow (e.g. air, \(\rho\) varies) expressed in pressure coordinate \(p\) applying hydrostatic assumption:
\[
\nabla_{p} \cdot \boldsymbol{u}_{p}=\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}+\frac{\partial w}{\partial p}=0 \quad \text { (Eq.6-12) }
\]

\section*{Thernoronaticicenation}
- Temperature evolution can be derived from first law of thermodynamics (Ch.4, 4-2):
\[
\begin{equation*}
\frac{D Q}{D t}=c_{p} \frac{D T}{D t}-\frac{1}{\rho} \frac{D p}{D t} \tag{Eq.6-13}
\end{equation*}
\]
- If the heating rate is zero ( \(\frac{D Q}{D t}=0, \mathrm{Ch} .4 .3 .1\) ) then:
\[
\begin{aligned}
\frac{D T}{D t}=\frac{1}{\rho c_{p}} \frac{D p}{D t} \quad \begin{array}{l}
\text { The temperature of a parcel will decrease/ increase } \\
\text { in ascent/descent with decreasing/increasing pressure. } \\
\\
\\
\\
\\
\theta=T\left(\frac{p_{0}}{p}\right)^{\kappa}
\end{array}
\end{aligned}
\]
\(Q\) : heat
\(\frac{D Q}{D t}:\) diabatic heating rate per unit mass
\(c_{p}\) : specific heat at constant pressure
T: temperature
\(\rho\) : density
\(p\) : pressure; \(p_{0}=1000 \mathrm{hPa}\)
\(\kappa=R / c_{p}\)
\(R\) : gas constant for dry air

\section*{Thermodynamic equation - potential temperature}
- Eq. 6-13 for potential temperature \(\theta\) (see book for details):
\[
\frac{D \theta}{D t}=\left(\frac{p}{p_{0}}\right)^{-\kappa \frac{D Q}{D t}} \frac{(\text { Eq. 6-14) }}{c_{p}} \quad \text { (E) }
\]

Note: For adiabatic motions \((\delta Q=0) \theta\) is conserved.

\footnotetext{
\(Q\) : heat
\(\frac{D Q}{D t}\) : diabatic heating rate per unit mass
\(c_{p}\) : specific heat at constant pressure
T: temperature
}


February 24, \(199975^{\circ} \mathrm{W}, 40^{\circ} \mathrm{N}\)

\section*{Summary}

\section*{\(\rightarrow 5\) equations for the evolution of the fluid (5 unknowns)}
\[
\begin{array}{ll}
\text { I.) } \frac{\partial u}{\partial t}+u \frac{\partial u}{\partial x}+v \frac{\partial u}{\partial y}+w \frac{\partial u}{\partial z}+\frac{1}{\rho} \frac{\partial p}{\partial x} & =\mathcal{F}_{\mathrm{x}} \\
\text { Ib.) } \frac{\partial v}{\partial t}+u \frac{\partial v}{\partial x}+v \frac{\partial v}{\partial y}+w \frac{\partial v}{\partial z}+\frac{1}{\rho} \frac{\partial p}{\partial y} & =\mathcal{F}_{y} \\
\text { Ic.) } \frac{\partial w}{\partial t}+u \frac{\partial w}{\partial x}+v \frac{\partial w}{\partial y}+w \frac{\partial w}{\partial z}+\frac{1}{\rho} \frac{\partial p}{\partial z}+\mathrm{g}=\mathcal{F}_{\mathrm{z}} & (6-7 \mathrm{c}) \\
\text { II.) } \frac{\partial \rho}{\partial t}+\nabla \cdot(\rho \boldsymbol{u})=0 & (6-9 \text { or } 6-11 / 6-12) \\
\text { III.) } \frac{D Q}{D t}=c_{p} \frac{D T}{D t}-\frac{1}{\rho} \frac{D p}{D t} & (6-13 \text { or } 6-14)
\end{array}
\]

\section*{Restrictions:}
- application to average motion is often incorrect i.e. turbulence,
- fixed coordinate system.

\section*{Take home message I}
- Eulerian - Lagrangian derivations/ perspectives.
- Equations of motion on a non-rotating fluid: Pressure gradient force, gravitational force and friction force act. \(\frac{D \mathrm{u}}{D t}+\frac{1}{\rho} \nabla p+\mathrm{g} \hat{\mathbf{z}}=\mathcal{F}\)
- 5 equations for the evolution of the fluid with 5 unknowns ( \(u, v, w, p, T\) ): equations of motion (3), conservation of mass (1), thermodynamic equation (1)

\section*{Ch. 6 - The equations of fluid motions}

1. Motivation
2. Basics
2.1 Lagrange - Euler
2.2*Mathematical add ons, Vorticity
3. Equation of motion for a nonrotating fluid 3.1 Forces
3.2 Equations of motion

3.3 Hydrostatic balance
4. Conversation of mass
5. Thermodynamic equation
6. Equation of motion for a rotating fluid
6.1 Forces
6.2 Equations of motion
7. Take home messages
*Addition, not in book.

\section*{Forces on rotating sphere}

\section*{Fictitious forces:}
- occur in revolving/accelerating system (e.g. car in curve)
- occur on the earth's surface
(Fixed system on earth's surface forms an accelerated system, because a circular motion is performed once a day. Movement of earth around the sun compared to earth's rotation is insignificant.)
\(\rightarrow\) Coriolis force

\(\rightarrow\) Centrifugal force


\section*{Coordinate systems in meteorology}
- Cartesian coordinates (x,y,z)
- Spherical polar coordinates ( \(\lambda, \varphi, \mathrm{z})\)
- Height coordinates: geometrical altitude (z), pressure ( \(p\) ) and potential temperature ( \(\theta\) )


Figure 6.19: At latitude \(\varphi\) and longitude \(\lambda\), we define a local coordinate system such that the three coordinates in the \((x, y, y)\) directions point (eastward, northward, upward): \(d x=a \cos \varphi d \lambda ; d y=a d \varphi ; d z=d z\), where \(a\) is the radius of the Earth. The velocity is \(\mathbf{u}=\left(u_{1}, v, w\right)\) in the directions \((x, y, z)\). See also Appendix A.2.3.

\section*{Inertial - rotating frames}

\section*{Inertial}


Marshall and Plumb (2008)

Figure 6.9: On the left is the velocity vector of a particle \(\mathbf{u}_{i n}\) in the inertial frame. On the right is the view from the rotating frame. The particle has velocity \(\mathbf{u}_{\text {rot }}\) in the rotating frame. The relation between \(\mathbf{u}_{\text {in }}\) and \(\mathbf{u}_{\text {rot }}\) is \(\mathbf{u}_{\text {in }}=\mathbf{u}_{\text {rot }}+\Omega \times \mathbf{r}\), where \(\Omega \times \mathbf{r}\) is the velocity of a particle fixed (not moving) in the rotating frame at position vector \(r\). The relationship between the rate of change of any vector A in the rotating frame and the change of \(A\) as seen in the inertial frame is given by: \((D A / D t)_{\text {in }}=(D A / D t)_{\text {rot }}+\Omega \times A\).

\section*{Transformation into rotating coordinates}

Consider figure 6.9:

- \(\boldsymbol{u}_{i n}=\boldsymbol{u}_{r o t}+\Omega \times \boldsymbol{r}\)

We set \(A=r\). The transformation rule for \(\mathrm{D} / \mathrm{Dt}\) acting on a vector is given by:
- \(\left(\frac{D A}{D t}\right)_{i n}=\left(\frac{D A}{D t}\right)_{r o t}+\Omega \times A\)

Then we set \(A \rightarrow \boldsymbol{u}_{\text {in }}\) in Eq. 6-26 using 6-24, we derive:
\[
\begin{align*}
\left(\frac{D \boldsymbol{u}_{i n}}{D t}\right)_{i n} & =\left[\left(\frac{D}{D t}\right)_{r o t}+\Omega \times\right]\left(\boldsymbol{u}_{r o t}+\Omega \times \boldsymbol{r}\right) \\
& =\left(\frac{D \boldsymbol{u}_{r o t}}{D t}\right)_{r o t}+2 \Omega \times \boldsymbol{u}_{r o t}+\Omega \times \Omega \times \boldsymbol{r} \tag{Eq.6-27}
\end{align*}
\]
\[
\left(\frac{D r}{D t}\right)_{r o t}=\boldsymbol{u}_{r o t}
\]
\(\Omega\) : rotation vector

\section*{Rotating equations of motion}

Substituting \(\left(\frac{D u_{i n}}{D t}\right)_{i n}\) from Eq. 6-27 into Eq. 6-6 (inertial frame equation of motion) we derive in rotating frame, dropping subscript "rot":
\(\frac{D \mathbf{u}}{D t}+\frac{1}{\rho} \nabla p+\mathrm{g} \hat{\mathbf{z}}=\mathcal{F}\)
\(\frac{D \mathbf{u}}{D t}+\frac{1}{\rho} \nabla p+\mathrm{g} \hat{\mathbf{z}}=-2 \Omega \times \boldsymbol{u}-\Omega \times \Omega \times \boldsymbol{r}+\mathcal{F} \quad\) (Eq. 6-28)
?

\footnotetext{
\(\boldsymbol{u}\) : velocity vector, \(t\) : time, \(p\) : pressure, g : gravity acceleration, \(\hat{z}\) : unit vector in z-direction
\(\Omega\) : rotation vector, \(\mathcal{F}\) : frictional force per unit mass
}

\section*{Corioils acceleration}


Figure 6.10: A fluid parcel moving with
velocity \(u_{\text {rot }}\) in a rotating frame experiences a Coriolis acceleration, \(-2 \Omega \times u_{\text {rot }}\) directed "to the right" of \(u_{\text {rod }}\) if, as here, \(\Omega\) is directed upwards, corresponding to anticlockwise rotation.
\[
\Omega=\left(\begin{array}{l}
0 \\
\Omega_{\mathrm{y}} \\
\Omega_{\mathrm{z}}
\end{array}\right)=\left(\begin{array}{c}
0 \\
\Omega \cos \varphi \\
\Omega \sin \varphi
\end{array}\right): \text { Angular velocity }
\]

NH (viewed from above the Northpole):
\(\Omega>0\) : rotation anticlockwise

SH (viewed from above the Southpole):
\(\Omega<0\) : rotation clockwise

Absence of other forces:
\[
\frac{D \mathbf{u}}{D t}=-2 \Omega \times \boldsymbol{u} \quad \text { Eq. 6-31 }
\]

Marshall and Plumb (2008)

\section*{Coriolis force on the sphere - Coriolis parameter}


Assuming that 1) \(\Omega_{\mathrm{z}}\) is negligible as \(\Omega \mathrm{u} \ll \mathrm{g}\); 2) \(\mathbf{w} \ll \mathrm{u}_{\mathrm{h}}\) leads to:
\[
\begin{align*}
-2 \boldsymbol{\Omega} \times \boldsymbol{u} & \simeq(-2 \Omega \sin \varphi v, 2 \Omega \sin \varphi u, 0)  \tag{Eq.6-41}\\
& =f \hat{\mathbf{z}} \times \boldsymbol{u}
\end{align*}
\]

Coriolis parameter \(f\) :
\[
\begin{equation*}
f=2 \Omega \sin \varphi \tag{Eq.6-42}
\end{equation*}
\]

Note that \(\Omega \sin \varphi\) is the vertical component of the Earths rotation rate; only component that matters.
\begin{tabular}{|c|c|c|}
\hline TABLE 6.1. Values of the Coriolis parameter, \(f=2 \Omega \sin \varphi\) (Eq. 6 42), and its \\
meridional gradient, \(\beta=d f / d y=2 \Omega / a \cos \varphi\) (Eq. 10-10), tabulated as a function of \\
latitude. Here \(\Omega\) is the rotation rate of the Earth and \(a\) is the radius of the Earth. \\
\hline Latitude & \(f\left(\times 10^{-4} \mathrm{~s}^{-1}\right)\) & \(\beta\left(\times 10^{-11} \mathrm{~s}^{-1} \mathrm{~m}^{-1}\right)\) \\
\(90^{\circ}\) & 1.46 & 0 \\
\(60^{\circ}\) & 1.26 & 1.14 \\
\(45^{\circ}\) & 1.03 & 1.61 \\
\(30^{\circ}\) & 0.73 & 1.98 \\
\(10^{\circ}\) & 0.25 & 2.25 \\
\(0^{\circ}\) & 0 & 2.28 \\
\hline
\end{tabular}

Marshall and Plumb (2008)

\section*{Coriolis force}


Schematic picture for Coriolis force

\section*{Rotation: Cyclonic - Anticyclonic}

We call a rotating movement "cyclonic", if it follows the same rotational direction as the earth.

As \(f>0\), in the NH , the rotary movement is:
\(\zeta>0\) : cyclonic, motion in anti-clockwise direction, low pressure

\(\zeta<0\) : anticyclonic, motion in clockwise direction > high pressure


What is the rotary motion like in the \(S H\) ?
In the SH , because of \(f<0\), it is exactly the opposite.
\(f\) : Coriolis parameter

\section*{Centrifugal force - Centripetal force}
- Directed radially outward.
- If no other forces are present the particle would accelerate outwards.
- Fictitious force, balanced by Centripetal force

\[
\boldsymbol{F}_{C}=-M \boldsymbol{\Omega} \times(\boldsymbol{\Omega} \times \boldsymbol{r})
\]
\[
\boldsymbol{F}_{C p}=-\boldsymbol{F}_{C}
\]

\section*{Rotating equations of motion}

Substituting \(\left(\frac{D u_{i n}}{D t}\right)_{i n}\) from Eq. 6-27 into Eq. 6-6 (inertial frame equation of motion ) we derive in rotating frame, dropping subscript "rot":
\(\frac{D \mathbf{u}}{D t}+\frac{1}{\rho} \nabla p+\mathrm{g} \hat{\mathbf{z}}=\mathcal{F}\)


\footnotetext{
\(\boldsymbol{u}\) : velocity vector, \(t\) : time, \(p\) : pressure, g : gravity acceleration, \(\hat{z}\) : unit vector in z-direction
\(\Omega\) : rotation vector, \(\mathcal{F}\) : frictional force per unit mass
}

\section*{Centrifugal acceleration - modified gravitational potential}

Combine the gradient of Centrifugal potential \(-\Omega \times \Omega \times \boldsymbol{r}=\nabla\left(\frac{\Omega^{2} \mathrm{r}^{2}}{2}\right)\) and Gravitational potential \(g \hat{\mathbf{z}}=\nabla(\mathrm{gz})\) in Eq. 6-28:
\[
\begin{aligned}
& \frac{D \mathbf{u}}{D t}+\frac{1}{\rho} \nabla p+\nabla \Phi=-2 \Omega \times \boldsymbol{u}+\mathcal{F} \quad \text { Eq. 6-29 } \\
& \text { Pressure Gravitational Coriolis Friction } \\
& \text { gradient +Centrifugal acceleration acceleration } \\
& \text { accel. accelerations }
\end{aligned}
\]
where: \(\Phi=\mathrm{g} Z-\frac{\Omega^{2} \mathrm{r}^{2}}{2}\)
Eq. 6-30
\(\Phi(\) Greek "Phi") is a modified (by centrifugal accelerations) gravitational potential "measured" in the rotating frame.

\section*{...on the sphere}

\[
\begin{aligned}
& \text { "Shallow atmosphere" approx. }(a+z \simeq a) \text { : } \\
& r=(a+z) \cos \varphi \simeq a \cos \varphi \text {, hence Eq. 6-30 } \\
& \text { becomes: }
\end{aligned}
\]
\[
\Phi=\mathrm{g} z-\frac{\Omega^{2} a^{2} \cos ^{2} \varphi}{2}
\]

Definition of geopotential surfaces:
\[
z^{*}=z+\frac{\Omega^{2} a^{2} \cos ^{2} \varphi}{2 g}(\text { Eq. 6-40) }
\]

Figure 6.18: The centrifugal vector \(\Omega \times \Omega \times r\) has magnitude \(\Omega^{2} r\), directed outward normal to the rotation axis. Gravity, g, points radially inward to the center of the Earth. Over geological time the surface of the Earth adjusts to make itself an equipotential surface-close to a reference ellipsoid-which is always perpendicular the the vector sum of \(\Omega \times \Omega \times r\) and \(g\). This vector sum is "measured" gravity: \(\mathbf{g} *=-g \hat{\mathbf{z}}-\Omega \times \Omega \times r\).
\[
\begin{aligned}
& \Omega= 7.27 \times 10^{-5} \mathrm{~s}^{-1} \\
& a=6.37 \times 10^{6} \mathrm{~m} \\
& \rightarrow \text { At the equator } z^{*} \text { is }\left(\frac{\Omega^{2} a^{2}}{2 \mathrm{~g}} \approx\right) 11 \mathrm{~km}, \\
& \text { higher than at the Pole }\left(z^{*}=z\right) .
\end{aligned}
\]

\section*{Equations of motion}
\[
\begin{equation*}
\frac{D \mathbf{u}}{D t}+\frac{1}{\rho} \nabla p+\nabla \Phi+f \hat{\mathbf{z}} \times \boldsymbol{u}=\mathcal{F} \tag{Eq.6-43}
\end{equation*}
\]
- Fluid in a thin spherical shell on a rotating sphere, applying hydrostatic balance for vertical component and neglecting \(\mathcal{F}_{z}\) compared with gravity:
\[
\begin{align*}
\frac{D u}{D t}+\frac{1}{\rho} \frac{\partial p}{\partial x}-f v & =\mathcal{F}_{x}  \tag{Eq.6-44}\\
\frac{D v}{D t}+\frac{1}{\rho} \frac{\partial p}{\partial y}+f u & =\mathcal{F}_{y} \\
\frac{1}{\rho} \frac{\partial p}{\partial z}+ & \mathrm{g}
\end{align*}=0
\]

\section*{Take home message I+II}
- Eulerian - Lagrangian derivations/ perspectives.
- 5 equations for the evolution of the fluid with 5 unknowns (u,v,w,p,T): equations of motion (3), conservation of mass (1), thermodynamic equation (1)
- Equations of motion on a non-rotating fluid: Pressure gradient force, gravitational force and friction force act. \(\frac{D \mathrm{u}}{D t}+\frac{1}{\rho} \nabla p+\mathrm{g} \hat{\mathbf{z}}=\mathcal{F}\)
- Equations of motion on a rotating fluid: Pressure gradient force, modified gravitational potential (gravitational and centrifugal force), Coriolis force and friction force act. \(\frac{D \mathbf{u}}{D t}+\frac{1}{\rho} \nabla p+\nabla \Phi+f \widehat{\mathbf{z}} \times \boldsymbol{u}=\mathcal{F}\)```


[^0]:    $\rho$ : density
    ( $\delta x, \delta y, \delta z$ ): fluid parcel with infinitesimal dimensions
    $\delta M$ : mass of the parcel; $\delta M=\rho \delta x \delta y \delta z$
    $\boldsymbol{u}$ : parcel velocity
    F: net force

[^1]:    $\mathcal{F}$ : frictional force per unit mass (see book chapters 7.4.2 and 10.1)
    $\rho$ : density

[^2]:    $\rho$ : density
    u: velocity vector
    F: Force vector
    $\mathcal{F}$ : frictional force per unit mass
    p: pressure
    g: gravity acceleration
    $\hat{z}$ : unit vector in z-direction

