GEF 2220: Dynamics

J. H. LaCasce

Department for Geosciences
University of Oslo

Course

Part 1: Dynamics: LaCasce

Chapter 7, Wallace and Hobbs + my notes

Part 2: Weather systems: Røsting

Chapter 8, Wallace and Hobbs + extra articles + DIANA

Dynamics

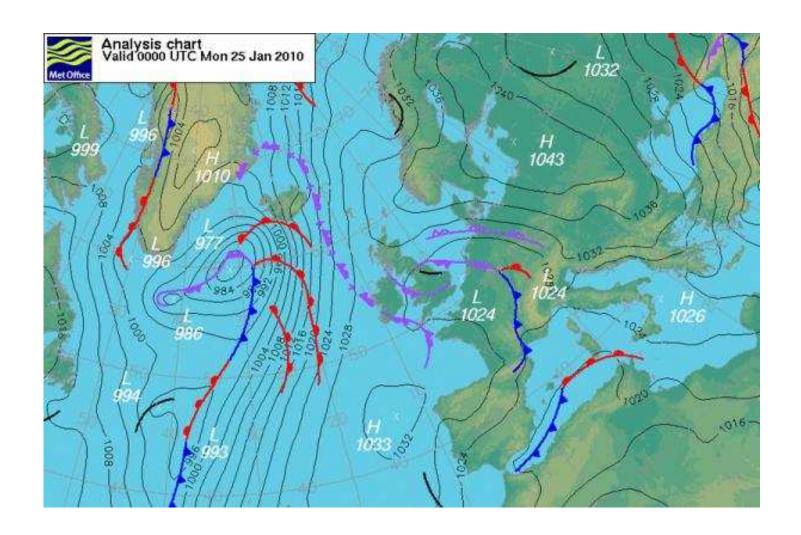
- 1) Derive the equations which describe atmospheric motion
- 2) Derive approximate balances
- 3) Understand pressure systems, temperature gradients
- 4) Introduce the general circulation

Variables

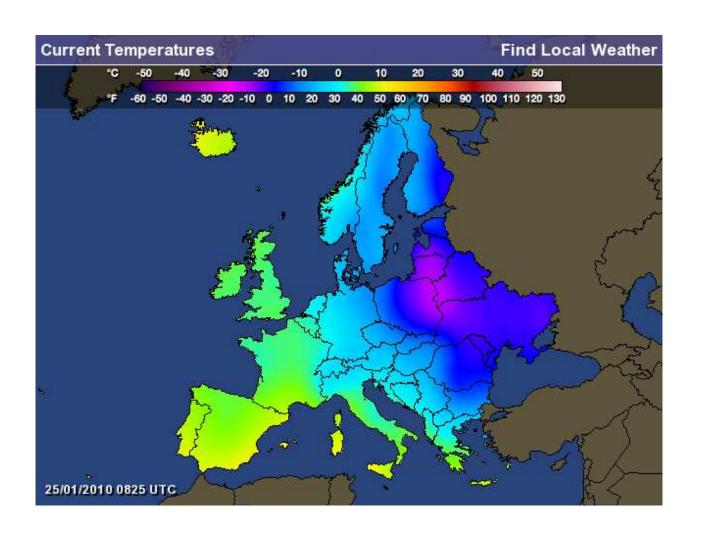
Six unknowns:

- \bullet (u, v, w) Wind velocities
- p ─ Pressure
- **▶** T Temperature
- ho Density

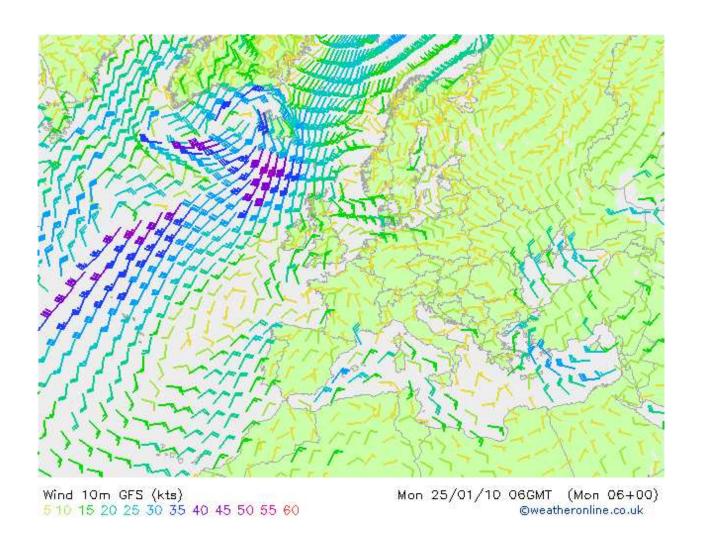
Pressure



Temperature



Winds



Primitive equations

Momentum equations \leftarrow F = ma

Thermodynamic energy equation \leftrightarrow T

Continuity $\leftrightarrow \rho$

Ideal gas law

Primitive equations

Momentum:

$$\frac{\partial}{\partial t}u + \vec{u} \cdot \nabla u + f_y w - f_z v = -\frac{1}{\rho} \frac{\partial}{\partial x} p + \nu \nabla^2 u$$
$$\frac{\partial}{\partial t}v + \vec{u} \cdot \nabla v + f_z u = -\frac{1}{\rho} \frac{\partial}{\partial y} p + \nu \nabla^2 v$$
$$\frac{\partial}{\partial t}w + \vec{u} \cdot \nabla w - f_y u = -\frac{1}{\rho} \frac{\partial}{\partial z} p - g + \nu \nabla^2 w$$

Primitive equations

Continuity:

$$\frac{\partial}{\partial t}\rho + \vec{u} \cdot \nabla \rho + \rho \nabla \cdot \vec{u} = 0$$

Ideal gas:

$$p = \rho RT$$

Thermodynamic energy:

$$c_v \frac{dT}{dt} + p \frac{d\alpha}{dt} = c_p \frac{dT}{dt} - \alpha \frac{dp}{dt} = \frac{dq}{dt}$$

Prediction

Solve the equations numerically with weather models

Issues:

- Numerical resolution
- Vertical coordinate
- Small scale mixing
- Convection
- Clouds

Goal: forecasting

Dynamics

Solve a simplified set of equations

- Identify dominant balances
- Simplify the equations
- Obtain solutions (analytical, numerical)
- Look for similarities with observations

Goal: understanding the atmosphere

Derivatives

Consider an air parcel, with temperature T = T(x, y, z, t)

The change in temperature, from the chain rule:

$$dT = \frac{\partial T}{\partial t} dt + \frac{\partial T}{\partial x} dx + \frac{\partial T}{\partial y} dy + \frac{\partial T}{\partial z} dz$$

So:

$$\frac{dT}{dt} = \frac{\partial T}{\partial t} + u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} + w \frac{\partial T}{\partial z}$$
$$= \frac{\partial T}{\partial t} + \vec{u} \cdot \nabla T$$

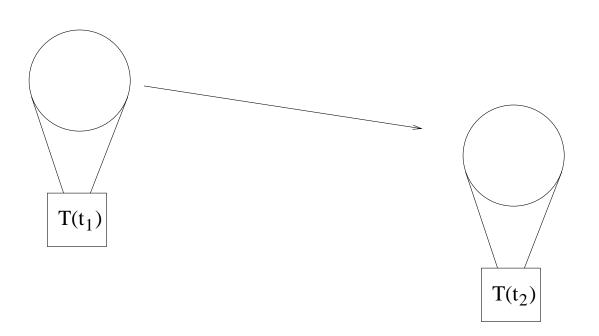
Derivatives

(u,v,w) are the wind velocities in the (x,y,z) directions

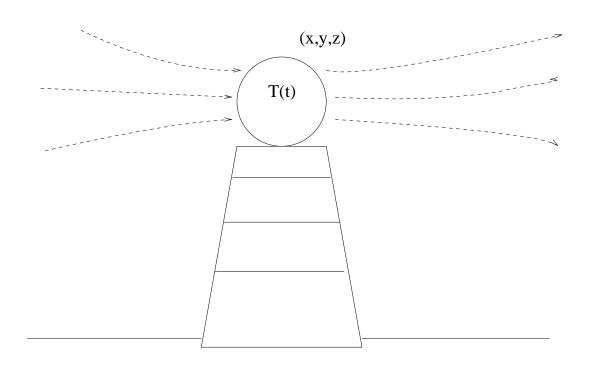
 $\frac{d}{dt}$ is the "Lagrangian" derivative

 $\frac{\partial}{\partial t} + \vec{u} \cdot \nabla$ is the "Eulerian" derivative

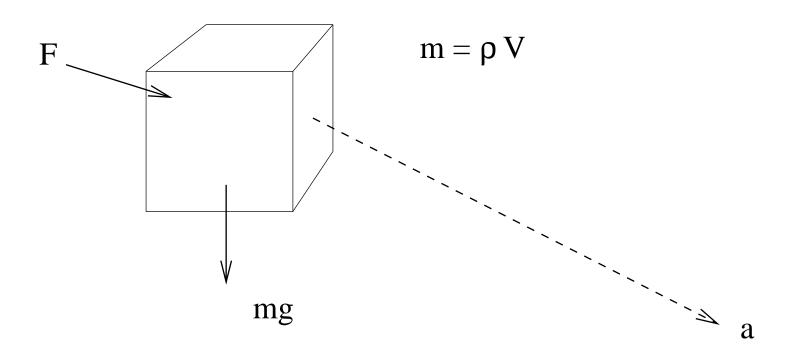
Lagrangian



Eulerian



Momentum equations



Momentum equations

The acceleration in the x-direction is:

$$a_x = \frac{1}{m} \sum_i F_i$$

Two types of force:

- Real
- Apparent

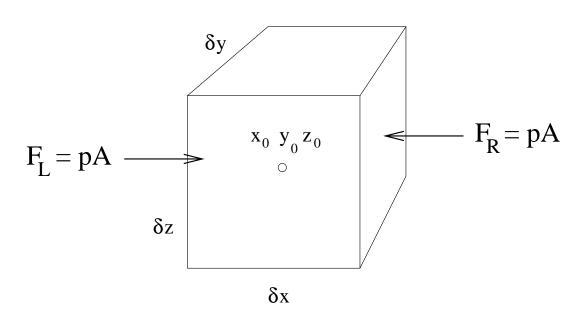
Forces

Real forces

- Pressure gradient
- Gravity
- Friction

Apparent forces

- Coriolis
- Centrifugal



$$\delta V = \delta x \ \delta y \ \delta z$$

Using a *Taylor series*, we can write the pressure on the right side of the box:

$$p_R = p(x_0, y_0, z_0) + \frac{\partial p}{\partial x} \frac{\delta x}{2} + \dots$$

Similarly, the pressure on left side of the box is:

$$p_L = p(x_0, y_0, z_0) - \frac{\partial p}{\partial x} \frac{\delta x}{2} + \dots$$

The force on the right hand side (directed inwards):

$$F_R = -p_R A = \left[p(x_0, y_0, z_0) + \frac{\partial p}{\partial x} \frac{\delta x}{2} \right] \delta y \delta z$$

On left side:

$$F_L = p_L A = \left[p(x_0, y_0, z_0) - \frac{\partial p}{\partial x} \frac{\partial x}{2} \right] \delta y \delta z$$

So the net force is:

$$F_x = F_L + F_R = -\frac{\partial p}{\partial x} \, \delta x \, \delta y \, \delta z$$

The volume weighs:

$$m = \rho \, \delta x \, \delta y \, \delta z$$

So:

$$a_x \equiv \frac{du}{dt} = \frac{F_x}{m} = -\frac{1}{\rho} \frac{\partial p}{\partial x}$$

Same derivation for the y and z directions.

Note this is a Lagrangian derivative

Momentum equations

Momentum with pressure gradients:

$$\frac{du}{dt} = -\frac{1}{\rho} \frac{\partial}{\partial x} p$$

$$\frac{dv}{dt} = -\frac{1}{\rho} \frac{\partial}{\partial y} p$$

$$\frac{dw}{dt} = -\frac{1}{\rho} \frac{\partial}{\partial z} p$$

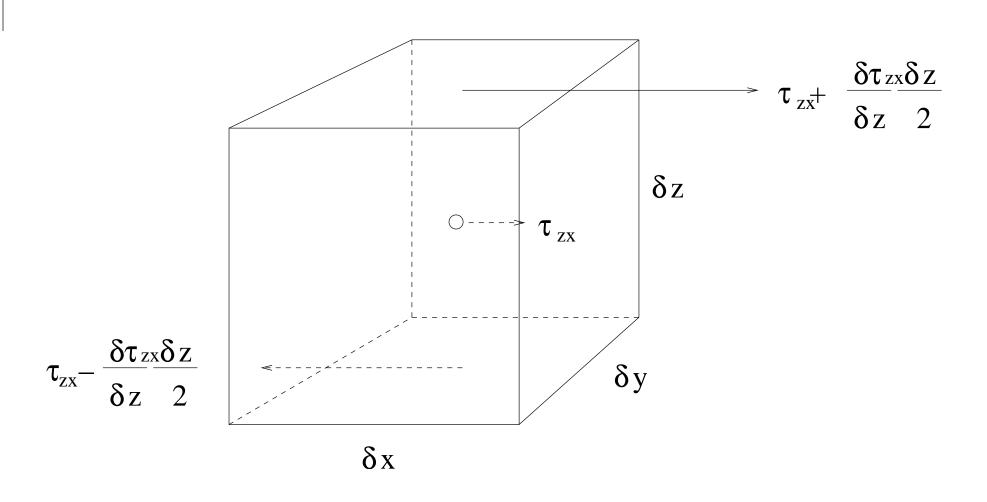
Gravity

Acts downward (toward the center of the earth):

$$a_z = \frac{F_z}{m} = -g$$

$$\frac{dw}{dt} = -\frac{1}{\rho} \frac{\partial}{\partial z} p - g$$

Friction



Friction

The stress causes an accerleration:

$$\frac{du}{dt} = \frac{1}{\rho} \frac{\partial \tau_{zx}}{\partial z}$$

We don't know the stress. So we parameterize it:

$$\frac{1}{\rho} \frac{\partial \tau_{zx}}{\partial z} = \nu \frac{\partial^2}{\partial z^2} u$$

(for example with molecular mixing). In 3 dimensions:

$$\frac{du}{dt} = \nu \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) u = \nu \nabla^2 u$$

Momenutum equations

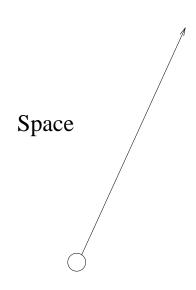
With all the real forces, we have:

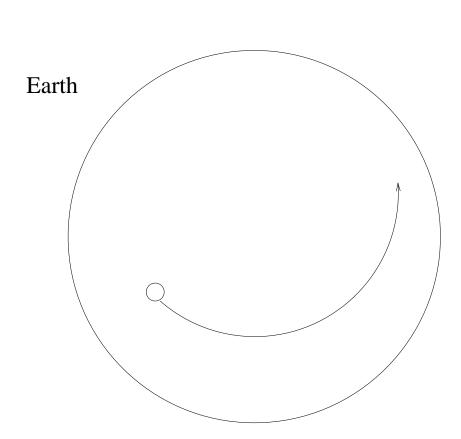
$$\frac{du}{dt} = \frac{\partial}{\partial t}u + \vec{u} \cdot \nabla u = -\frac{1}{\rho} \frac{\partial}{\partial x} p + \nu \nabla^2 u$$

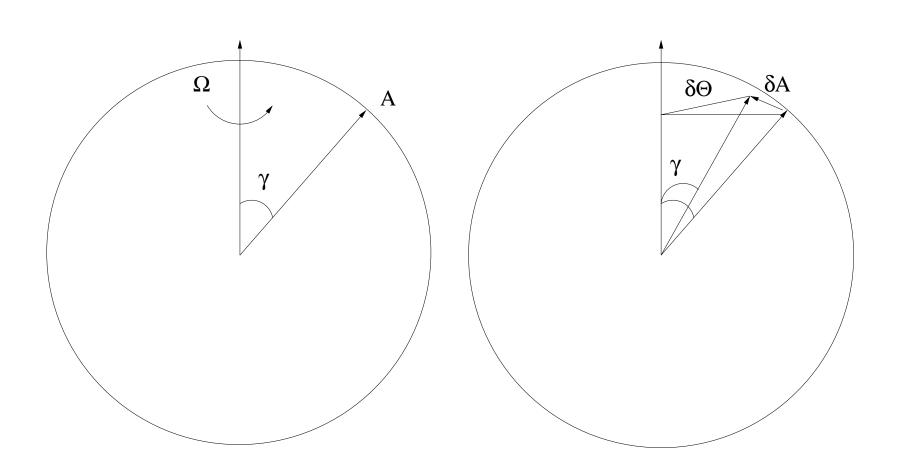
$$\frac{dv}{dt} = \frac{\partial}{\partial t} v + \vec{u} \cdot \nabla v = -\frac{1}{\rho} \frac{\partial}{\partial y} p + \nu \nabla^2 v$$

$$\frac{dw}{dt} = \frac{\partial}{\partial t} w + \vec{u} \cdot \nabla w = -\frac{1}{\rho} \frac{\partial}{\partial z} p - g + \nu \nabla^2 w$$

Apparent forces







$$\delta\Theta = \Omega\delta t$$

Assume $\Omega = const.$ (reasonable for the earth)

Change in A is δA , the arc-length:

$$\delta \vec{A} = |\vec{A}| sin(\gamma) \delta \Theta = \Omega |\vec{A}| sin(\gamma) \delta t = (\vec{\Omega} \times \vec{A}) \delta t$$

So:

$$\frac{d\vec{A}}{dt} = \vec{\Omega} \times \vec{A}$$

This is the motion of a *fixed* vector. For a moving vector:

$$(\frac{d\vec{A}}{dt})_F = (\frac{d\vec{A}}{dt})_R + \vec{\Omega} \times \vec{A}$$

So the velocity in the fixed frame is equal to that in the rotating frame plus the rotational movement

If $\vec{A} = \vec{r}$, the position vector, then:

$$(\frac{d\vec{r}}{dt})_F \equiv \vec{u}_F = \vec{u}_R + \vec{\Omega} \times \vec{r}$$

If $\vec{A} = \vec{r}$, we get the acceleration:

$$(\frac{d\vec{u}_F}{dt})_F = (\frac{d\vec{u}_F}{dt})_R + \vec{\Omega} \times \vec{u}_F = [\frac{d}{dt}(u_R + \vec{\Omega} \times \vec{r})]_R + \vec{\Omega} \times \vec{u}_F$$

$$= (\frac{d\vec{u}_R}{dt})_R + 2\vec{\Omega} \times \vec{u}_R + \vec{\Omega} \times \vec{\Omega} \times \vec{r}$$

Rearranging:

$$(\frac{d\vec{u}_R}{dt})_R = (\frac{d\vec{u}_F}{dt})_F - 2\vec{\Omega} \times \vec{u}_R - \vec{\Omega} \times \vec{\Omega} \times \vec{r}$$

Two additional terms:

- Coriolis acceleration $\rightarrow -2\vec{\Omega} \times \vec{u}_R$
- Centrifugal acceleration \rightarrow $-\vec{\Omega} \times \vec{\Omega} \times \vec{r}$

Centrifugal acceleration

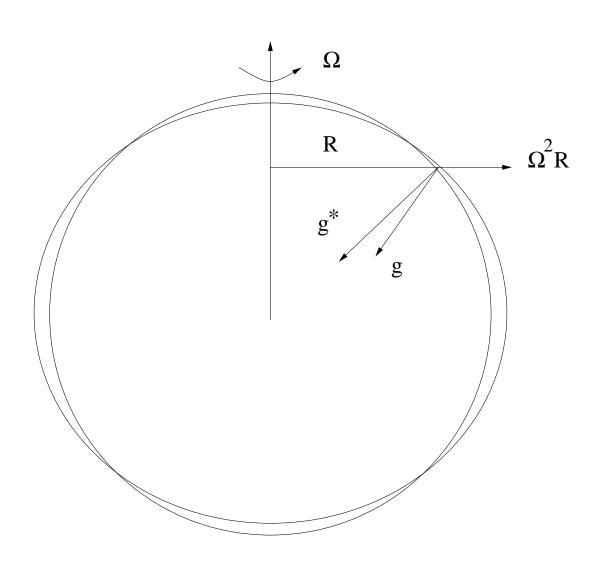
Rotation requires a force towards the center of rotation—the *centripetal acceleration*

From the rotating frame, the sign is opposite—the centrifugal acceleration

Acceleration points out from the earth's radius of rotation

So has components in the radial and N-S directions

Centrifugal



Centrifugal

The earth is not spherical, but has deformed into an *oblate* spheroid

There is a component of gravity which exactly balances the centrifugal force in the N-S direction

Defines surfaces of constant geopotential

The locally vertical centrifugal acceleration can be absorbed into gravity:

$$g' = g - \vec{\Omega} \times \vec{\Omega} \times \vec{r}$$

Centrifugal

Example: What is the centrifugal acceleration for a parcel of air at the Equator?

$$-\vec{\Omega} \times \vec{\Omega} \times \vec{r} = -\Omega \times (\Omega r) = \Omega^2 r$$

with:

$$r_e = 6.378 \times 10^6 \ m$$

and:

$$\Omega = \frac{2\pi}{3600(24)} \ sec^{-1}$$

Centrifugal

So:

$$\Omega^2 r_e = 0.034 \ m/sec^2$$

This is much smaller than $g = 9.8 \ m^2/sec$

ullet Only a minor change to absorb into g'

Cartesian coordinates

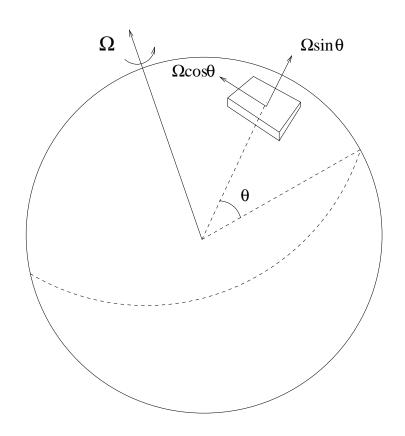
Equatorial radius is only 21 km larger than at poles

So can use spherical coordinates

However, we will use Cartesian coordinates

- Simplifies the math
- Neglected terms are unimportant at weather scales

Cartesian coordinates



Rotation vector projects onto local vertical and meridional directions:

$$2\vec{\Omega} = 2\Omega \cos\theta \,\hat{j} + 2\Omega \sin\theta \,\hat{k} \equiv f_y \,\hat{j} + f_z \,\hat{k}$$

So the Coriolis force is:

$$-2\vec{\Omega} \times \vec{u} = -(0, f_y, f_z) \times (u, v, w)$$

$$= -(f_y w - f_z v, f_z u, -f_y u)$$

Example: What is the Coriolis acceleration on a parcel moving eastward at $10 \ m/sec$ at $45 \ N$?

We have:

$$f_y = 2\Omega\cos(45) = 5.142 \times 10^{-5} \text{ sec}^{-1}$$

$$f_z = 2\Omega\sin(45) = 5.142 \times 10^{-5} \text{ sec}^{-1}$$

$$-2\vec{\Omega} \times \vec{u} = -(0, f_u, f_z) \times (u, 0, 0) = -f_z u \,\hat{j} + f_u u \,\hat{k}$$

 $= (0, -5.142 \times 10^{-4}, 5.142 \times 10^{-4}) \ m/sec^2$

Vertical acceleration is negligible compared to gravity $(g = 9.8 \ m/sec^2)$, so has little effect in z

But unbalanced in the horizontal direction

Note acceleration is to the south

- Coriolis acceleration is most important in the horizontal
- Acts to the right in the Northern Hemisphere

In the Southern hemisphere, $\theta < 0$. Same problem, at 45 S:

$$f_y = 2\Omega cos(-45) = 5.142 \times 10^{-5} \ sec^{-1}$$

$$f_z = 2\Omega sin(-45) = -5.142 \times 10^{-5} \ sec^{-1}$$

$$-2\vec{\Omega} \times \vec{u} = -f_z u \,\hat{j} + f_y u \,\hat{k}$$
$$= (0, +5.142 \times 10^{-4}, 5.142 \times 10^{-4}) \, m/sec^2$$

Acceleration to the north, to the left of the parcel velocity.

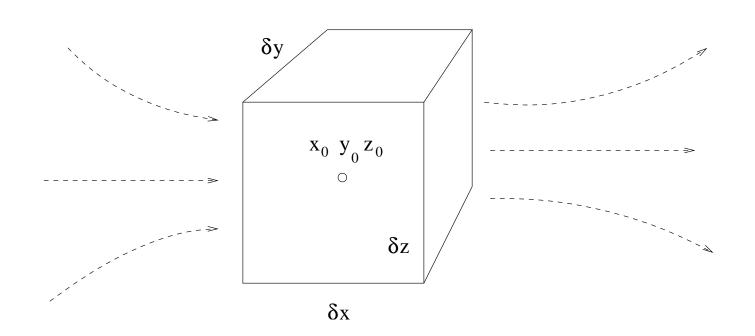
Momentum equations

Move Coriolis terms to the LHS:

$$\frac{\partial}{\partial t}u + \vec{u} \cdot \nabla u + f_y w - f_z v = -\frac{1}{\rho} \frac{\partial}{\partial x} p + \nu \nabla^2 u$$

$$\frac{\partial}{\partial t}v + \vec{u} \cdot \nabla v + f_z u = -\frac{1}{\rho} \frac{\partial}{\partial y} p + \nu \nabla^2 v$$

$$\frac{\partial}{\partial t}w + \vec{u} \cdot \nabla w - f_y u = -\frac{1}{\rho} \frac{\partial}{\partial z} p - g + \nu \nabla^2 w$$



Consider a fixed volume

Density flux through the left side:

$$\left[\rho u - \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\delta y\,\delta z$$

Through the right side:

$$\left[\rho u + \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\delta y\,\delta z$$

So the net rate of change in mass is:

$$\frac{\partial}{\partial t}m = \frac{\partial}{\partial t}(\rho \,\partial x \,\partial y \,\partial z) = \left[\rho u - \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\partial y \,\partial z$$
$$-\left[\rho u + \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\partial y \,\partial z = -\frac{\partial}{\partial x}(\rho u)\partial x \,\partial y \,\partial z$$

The volume δV is constant, so:

$$\frac{\partial}{\partial t}\rho = -\frac{\partial}{\partial x}(\rho u)$$

Taking the other sides of the box:

$$\frac{\partial \rho}{\partial t} = -\frac{\partial}{\partial x}(\rho u) - \frac{\partial}{\partial y}(\rho v) - \frac{\partial}{\partial z}(\rho w) = -\nabla \cdot (\rho \vec{u})$$

Can rewrite:

$$\nabla \cdot (\rho \vec{u}) = \rho \nabla \cdot \vec{u} + \vec{u} \cdot \nabla \rho .$$

So:

$$\frac{\partial \rho}{\partial t} + \vec{u} \cdot \nabla \rho + \rho (\nabla \cdot \vec{u}) = 0$$

Can also derive using a *Lagrangian* box

As the box moves, it conserves it mass. So:

$$\frac{1}{m}\frac{d}{dt}(\partial m) = \frac{1}{\rho\delta V}\frac{d}{dt}(\rho\delta V) = \frac{1}{\rho}\frac{d\rho}{dt} + \frac{1}{\delta V}\frac{d\delta V}{dt} = 0$$

Expand the volume term:

$$\frac{1}{\delta V} \frac{d\delta V}{dt} = \frac{1}{\delta x} \frac{d}{dt} \delta x + \frac{1}{\delta y} \frac{d}{dt} \delta y + \frac{1}{\delta z} \frac{d}{dt} \delta z$$

$$= \frac{1}{\delta x} \delta \frac{dx}{dt} + \frac{1}{\delta y} \delta \frac{dy}{dt} + \frac{1}{\delta z} \delta \frac{dz}{dt} = \frac{\delta u}{\delta x} + \frac{\delta v}{\delta y} + \frac{\delta w}{\delta z}$$

As $\delta \rightarrow 0$:

$$\frac{\delta u}{\delta x} + \frac{\delta v}{\delta y} + \frac{\delta w}{\delta z} \to \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z}$$

So:

$$\frac{1}{\rho} \frac{d\rho}{dt} + \nabla \cdot \vec{u} = 0$$

Change in density proportional to the velocity divergence.

If volume changes, density changes to keep mass constant.

Ideal Gas Law

Five of the equations are *prognostic*: they describe the time evolution of fields.

But we have one diagnostic relation.

This relates the density, pressure and temperature

Ideal Gas Law

For dry air:

$$p = \rho RT$$

where

$$R = 287 \ Jkg^{-1}K^{-1}$$

Moist air

Law moist air, can write (Chp. 3):

$$p = \rho R T_v$$

where the *virtual temperature* is:

$$T_v \equiv \frac{T}{1 - e/p(1 - \epsilon)}$$

$$\epsilon \equiv \frac{R_d}{R_v} = 0.622$$

We will ignore moisture. But remember that we *can* take it into account in this way.

Primitive equations

Continuity:

$$\frac{\partial}{\partial t}\rho + \vec{u} \cdot \nabla \rho + \rho \nabla \cdot \vec{u} = 0$$

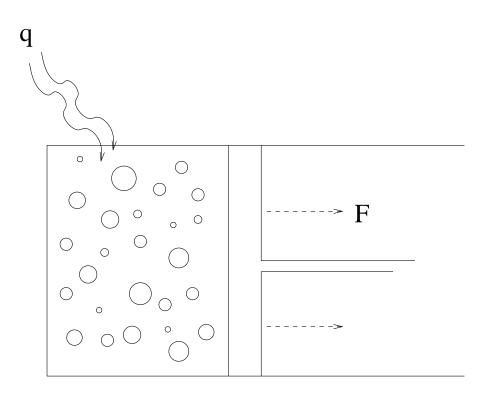
Ideal gas:

$$p = \rho RT$$

Thermodynamic energy:

$$c_v \frac{dT}{dt} + p \frac{d\alpha}{dt} = c_p \frac{dT}{dt} - \alpha \frac{dp}{dt} = \frac{dq}{dt}$$

Thermodynamic equation



Change in internal energy = heat added - work done:

$$de = dq - dw$$

Work is done by expanding against external forces:

$$dw = Fdx = pAdx = pdV$$

If dV > 0, the volume is doing the work

Assume the volume has a unit mass, so that:

$$\rho V = 1$$

Then:

$$dV = d(\frac{1}{\rho}) = d\alpha$$

where α is the *specific volume*. So:

$$de = dq - p d\alpha$$

Add heat to the volume, the temperature rises. The *specific* $heat(c_v)$ determines how much. If the volume is held constant:

$$dq_v = c_v dT$$

With dV = 0, equas the change in internal energy:

$$dq_v = de_v = c_v dT$$

Joule's Law: e only depends on temperature for an ideal gas. So even if V changes:

$$de = c_v dT$$

So:

$$dq = c_v dT + p d\alpha$$

Divide by dt to find the theromodynamic energy equation:

$$\frac{dq}{dt} = c_v \frac{dT}{dt} + p \frac{d\alpha}{dt}$$

Now imagine we keep the pressure constant:

$$dq_p = c_p dT$$

We let the volume expand while keeping p constant. This requires more heat to raise the temperature. Rewrite the work term:

$$p \, d\alpha = d(p\alpha) - \alpha dp$$

So:

$$dq = c_v dT + d(p\alpha) - \alpha dp$$

The ideal gas law is:

$$p = \rho RT = \alpha^{-1}RT$$

So:

$$d(p\alpha) = RdT$$

Thus:

$$dq = (c_v + R)dT - \alpha dp$$

At constant pressure, dp = 0, so:

$$dq_p = (c_v + R)dT = c_p dT$$

So the specific heat at constant pressure is *greater* than at constant volume. For dry air:

$$c_v = 717Jkg^{-1}K^{-1}, \quad c_p = 1004Jkg^{-1}K^{-1}$$

SO:

$$R = 287 \ Jkq^{-1}K^{-1}$$

So we can also write:

$$dq = c_p dT - \alpha dp$$

Dividing by dt, we have:

$$\frac{dq}{dt} = c_v \frac{dT}{dt} + p \frac{d\alpha}{dt} = c_p \frac{dT}{dt} - \alpha \frac{dp}{dt}$$

Basic balances

Not all terms in the momentum equations are equally important for weather systems.

Will simplify the equations by identifying primary balances (throw out as many terms as possible).

Begin with horizontal momentum equations.

General technique: *scale* equations using estimates of the various parameters. Take the x-momentum equation, without friction:

$$\frac{\partial}{\partial t}u + u\frac{\partial}{\partial x}u + v\frac{\partial}{\partial y}u + w\frac{\partial}{\partial z}u + f_yw - f_zv = -\frac{1}{\rho}\frac{\partial}{\partial x}p$$

$$\frac{U}{T} \frac{U^2}{L} \frac{U^2}{L} \frac{UW}{D} f_yW f_zU \frac{\Delta_H P}{\rho L}$$

Now use typical values. Length scales:

$$L \approx 10^6 m$$
, $D \approx 10^4 m$

Horizontal scale is 1000 km, the *synoptic scale* (of weather systems).

Velocities:

$$U \approx V \approx 10 \, m/sec$$
, $W \approx 1 \, cm/sec$

Notice the winds are quasi-horizontal

Pressure term, from measurements:

$$\triangle_H P/\rho \approx 10^3 m^2/sec^2$$

Time scale:

$$T = L/U \approx 10^5 sec$$

Called an "advective time scale" (≈ 1 day).

Coriolis terms:

$$(f_y, f_z) = 2\Omega(\cos\theta, \sin\theta)$$

with

$$\Omega = 2\pi (86400)^{-1} sec^{-1}$$

Assume at mid-latitudes:

$$f_y \approx f_z \approx 10^{-4} sec^{-1}$$

Plug in:

$$\frac{\partial}{\partial t}u + u\frac{\partial}{\partial x}u + v\frac{\partial}{\partial y}u + w\frac{\partial}{\partial z}u + f_yw - f_zv = -\frac{1}{\rho}\frac{\partial}{\partial x}p$$

$$\frac{U}{T} \qquad \frac{U^2}{L} \qquad \frac{U^2}{L} \qquad \frac{UW}{D} \qquad fW \qquad fU \qquad \frac{\triangle_H P}{\rho L}$$

$$10^{-4}$$
 10^{-4} 10^{-4} 10^{-5} 10^{-6} 10^{-3} 10^{-3}

Geostrophy

Keeping only the 10^{-3} terms:

$$f_z v = \frac{1}{\rho} \frac{\partial}{\partial x} p$$

$$f_z u = -\frac{1}{\rho} \frac{\partial}{\partial y} p$$

These are the *geostrophic* relations.

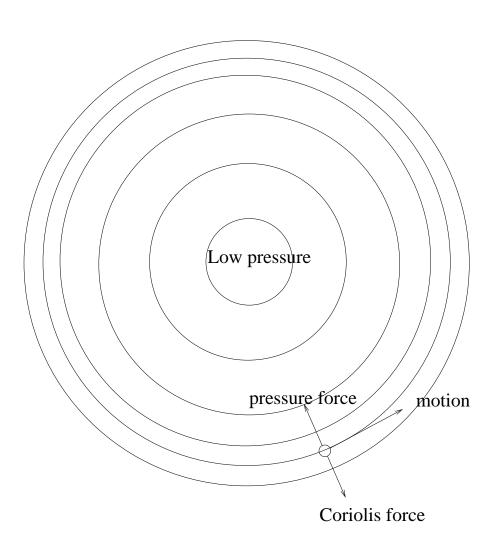
Balance between the pressure gradient and Coriolis force.

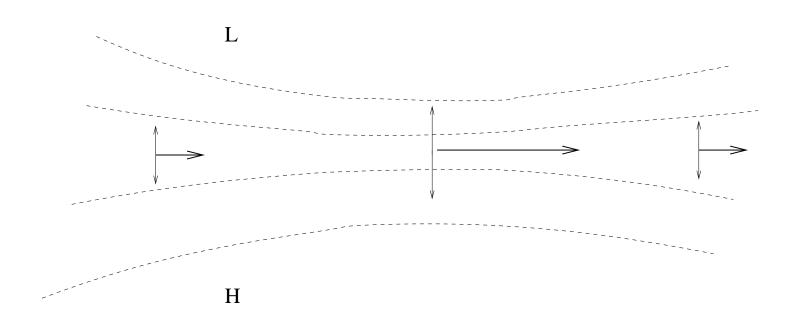
Fundamental momentum balance at synoptic scales

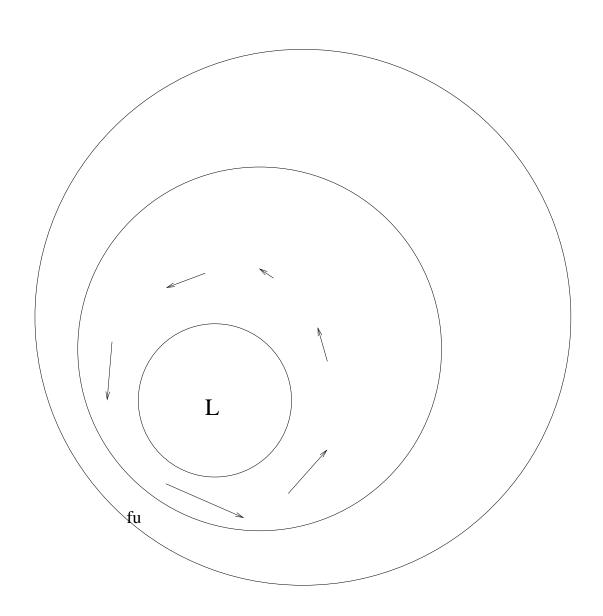
- Low pressure to left of the wind in Northern Hemisphere
- Low pressure to right in Southern Hemisphere

But balance *fails* at equator, because $f_z = 2\Omega sin(0) = 0$

There we must keep other terms







Example: If the pressure difference is 0.37 kPa over 100 km, how strong are the winds? Imagine we're at 45 N.

$$f_z = 2\Omega sin(45) = 1.414*(7.27\times10^{-5}) sec^{-1} = 1.03\times10^{-4} sec^{-1}$$

$$\frac{\partial p}{\partial l} = \frac{0.37 \times 10^3 \, N/m^2}{10^5 \, m} = 3.7 \times 10^{-3} \, N/m^3$$

So:

$$u = \frac{1}{\rho_0 f_z} \frac{\partial p}{\partial l} = \frac{1}{(1.2 \ kg/m^3)(1.03 \times 10^{-4} sec^{-1})} (3.7 \times 10^{-3} \ N/m^3)$$

= 29.9 m/sec (Strong!)

Is a diagnostic relation

Given the pressure, can calculate the horizontal velocities

But geostrophy cannot be used for prediction

Means that we must also retain the 10^{-4} terms in the scaling

Approximate horizontal momentum

So:

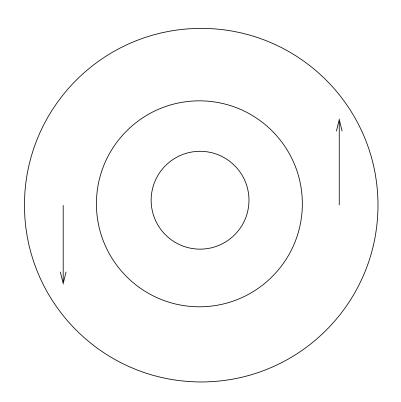
$$\frac{\partial}{\partial t}u + u\frac{\partial}{\partial x}u + v\frac{\partial}{\partial y}u - f_z v = -\frac{1}{\rho}\frac{\partial}{\partial x}p$$

$$\frac{\partial}{\partial t}v + u\frac{\partial}{\partial x}v + v\frac{\partial}{\partial y}v + f_z u = -\frac{1}{\rho}\frac{\partial}{\partial y}p$$

These equations are *quasi-horizontal*: neglect vertical motion

Explains why the horizontal winds are so much larger than in the vertical

Geostrophy most important balance at synoptic scales. But other balances possible. Consider purely circular flow:



Must use cylindrical coordinates. From standard text books, can find that the acceleration in the radial direction is given by:

$$\frac{d}{dt}u_r - \frac{u_\theta^2}{r} - fu_\theta = -\frac{1}{\rho}\frac{\partial}{\partial r}p$$

 u_{θ}^2/r is the *cyclostrophic* term

This is related to centripetal acceleration.

Assume no radial motion: $u_r = 0$. Then:

$$\frac{u_{\theta}^2}{r} + f u_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

Scaling we get:

$$\frac{U^2}{R}$$
 fU $\frac{\triangle_H P}{\rho R}$

Or:

$$\frac{U}{fR}$$
 1 $\frac{\triangle_H P}{\rho f U R}$

The ratio:

$$\frac{U}{fR} \equiv \epsilon$$

is called the *Rossby number*. If $\epsilon \ll 1$, the first term is very small. So we have:

$$fu_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

The geostrophic relation.

If $\epsilon \gg 1$, the first term dominates.



A tornado at mid-latitudes has:

$$U \approx 30m/s, \ f = 10^{-4} sec^{-1}, \ R \approx 300m \to \epsilon \approx 1000$$

Cyclostrophic wind balance

Then we have:

$$\frac{u_{\theta}^2}{r} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

or:

$$u_{\theta} = \pm \left(\frac{r}{\rho} \frac{\partial}{\partial r} p\right)^{1/2}$$

- Rotation does not enter.
- Winds can go either way.

Inertial oscillations

Third possibility: there is no radial pressure gradient:

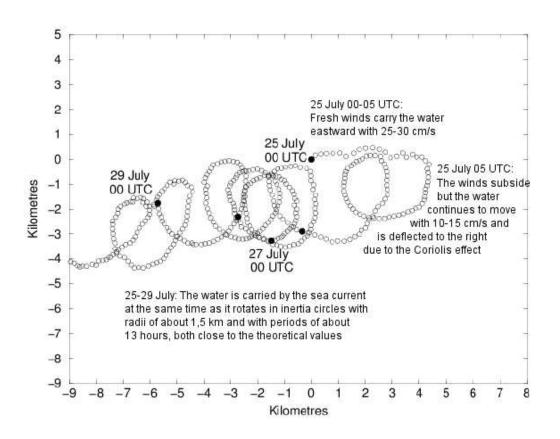
$$\frac{u_{\theta}^2}{r} + fu_{\theta} = 0$$

then:

$$u_{\theta} = -fr$$

Rotation is clockwise (*anticyclonic*) in the Northern Hemisphere.

Inertial oscillations



A drifting buoy in the Baltic Sea, July 1969. Courtesy Persson and Broman.

Inertial oscillations

The time for a fluid parcel to complete a loop is:

$$\frac{2\pi r}{u_{\theta}} = \frac{2\pi}{f} = \frac{0.5 \ day}{|sin\theta|}$$

Called the "inertial period"

Strong effect in the surface ocean

Less frequently observed in the atmosphere

Fourth possibility: all terms are important ($\epsilon \approx 1$)

$$\frac{u_{\theta}^2}{r} + fu_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

Solve using the quadratic formula:

$$u_{\theta} = -\frac{1}{2}fr \pm \frac{1}{2}(f^2r^2 + \frac{4r}{\rho}\frac{\partial}{\partial r}p)^{1/2}$$

$$= -\frac{1}{2}fr \pm \frac{1}{2}(f^2r^2 + 4rfu_g)^{1/2}$$

If $u_g < 0$ (anticyclone), we require:

$$|u_g| < \frac{fr}{4}$$

If $u_g > 0$ (cyclone), there is *no limit*

Wind gradients can be *much stronger* in cyclones than in anticyclones

Alternately can write:

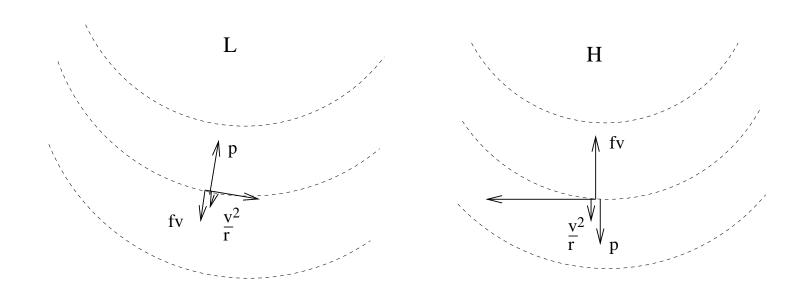
$$\frac{u_{\theta}^2}{r} + fu_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p = fu_g$$

Divide through by fu_{θ} :

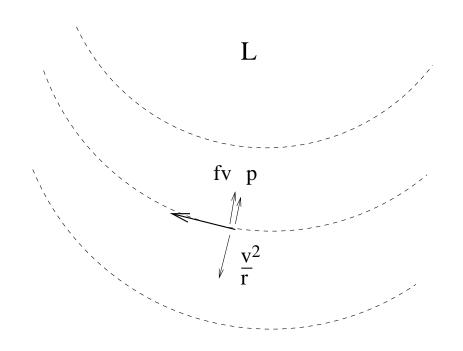
$$\frac{u_{\theta}}{fr} + 1 = \epsilon + 1 = \frac{u_g}{u_{\theta}}$$

So if $\epsilon = 0.1$, the gradient wind estimate differs by 10 %

- At low latitudes, ϵ can be 1-10. Then the gradient wind estimate is more accurate.
- Geostrophy is symmetric to sign changes: no difference between cyclones and anticyclones
- The gradient wind balance is not symmetric to sign change. Cyclones can be stronger.

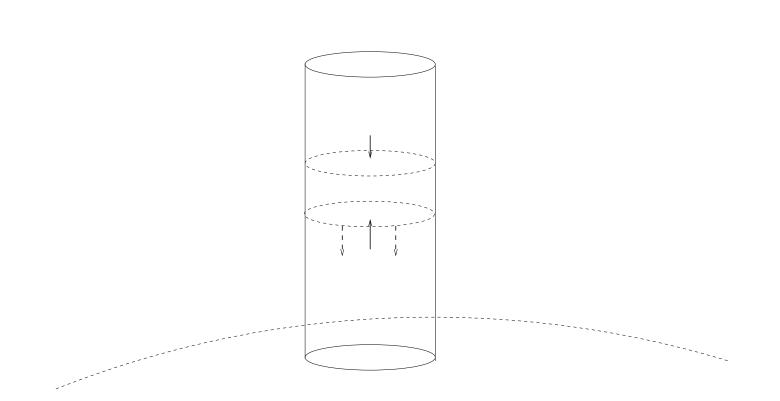


Winds weaker than geostrophic for a low pressure system; they are stronger for a high pressure system.



An anomalous low: low pressure with clockwise flow

Usually only occurs at low latitudes, where Coriolis weak



Now scale the vertical momentum equation

$$\frac{\partial}{\partial t}w + u\frac{\partial}{\partial x}w + v\frac{\partial}{\partial y}w + w\frac{\partial}{\partial z}w - f_yu = -\frac{1}{\rho}\frac{\partial}{\partial z}p - g$$

$$\frac{UW}{L} \qquad \frac{UW}{L} \qquad \frac{UW}{L} \qquad \frac{W^2}{D} \qquad fU \qquad \frac{\triangle_V P}{\rho D} \qquad g$$

We must scale:

$$\frac{1}{\rho} \frac{\partial}{\partial z} p$$

The vertical variation of pressure much greater than the horizontal variation:

$$\triangle_V P/\rho \approx 10^5 m^2/sec^2$$

$$\frac{\partial}{\partial t}w + u\frac{\partial}{\partial x}w + v\frac{\partial}{\partial y}w + w\frac{\partial}{\partial z}w - f_yu = -\frac{1}{\rho}\frac{\partial}{\partial z}p - g$$

$$\frac{UW}{L} \quad \frac{UW}{L} \quad \frac{UW}{L} \quad \frac{W^2}{D} \quad fU \qquad \frac{\triangle_V P}{\rho D} \quad g$$

$$10^{-7} \quad 10^{-7} \quad 10^{-7} \quad 10^{-10} \quad 10^{-3} \qquad 10 \qquad 10$$

Dominant balance is between the vertical pressure gradient and gravity

However, same balance if there no motion at all!

Setting (u, v, w) = 0 in the equations of motion yields:

$$\frac{1}{\rho} \frac{\partial}{\partial x} p = \frac{1}{\rho} \frac{\partial}{\partial y} p = \frac{\partial}{\partial t} \rho = \frac{dT}{dt} = 0$$

Which implies:

$$\rho = \rho(z), \quad p = p(z), \quad T = T(z)$$

Two equations left:

$$\frac{\partial}{\partial z}p = -\rho g$$

the hydrostatic balance and

$$p = \rho RT$$

Equations describe a non-moving atmosphere

Integrate the hydrostatic relation:

$$p(z) = \int_{z}^{\infty} \rho g \, dz \; .$$

The pressure at any point is equal to the weight of air above it. Sea level pressure is:

$$p(0) = 101.325 \ kPa \ (1013.25mb)$$

The average weight per square meter of the entire atmospheric column

Say the T = const. (an *isothermal* atmosphere):

$$\frac{\partial}{\partial z}p = -\frac{pg}{RT}$$

This implies:

$$ln(p) = -\frac{gz}{RT}$$

So that:

$$p = p_0 e^{-z/H}$$

Pressure decays exponentially. The e-folding scale is the "scale height":

$$H \equiv \frac{RT}{g}$$

Static hydrostatic balance not interesting for weather. Separate the pressure and density into static and non-static (moving) components:

$$p(x, y, z, t) = p_0(z) + p'(x, y, z, t)$$

$$\rho(x, y, z, t) = \rho_0(z) + \rho'(x, y, z, t)$$

Assume:

$$|p'| \ll |p_0|, \quad |\rho'| \ll |\rho_0|$$

Then:

$$-\frac{1}{\rho}\frac{\partial}{\partial z}p - g = -\frac{1}{\rho_0 + \rho'}\frac{\partial}{\partial z}(p_0 + p') - g$$

$$\approx -\frac{1}{\rho_0}\left(1 - \frac{\rho'}{\rho_0}\right)\frac{\partial}{\partial z}(p_0 + p') - g$$

$$= -\frac{1}{\rho_0}\frac{\partial}{\partial z}p' + \left(\frac{\rho'}{\rho_0}\right)\frac{\partial}{\partial z}p_0 = -\frac{1}{\rho_0}\frac{\partial}{\partial z}p' - \frac{\rho'}{\rho_0}g$$

 \rightarrow Neglect $(\rho'p')$

Use these terms in the vertical momentum equation

But how to scale?

Vertical variation of the perturbation pressure comparable to the horizontal perturbation:

$$\frac{1}{\rho_0} \frac{\partial}{\partial z} p' \propto \frac{\triangle_H P}{\rho_0 D} \approx 10^{-1} m/sec^2$$

Also:

$$|\rho'| \approx 0.001 |\rho_0|$$

So:

$$\frac{\rho'}{\rho_0}g \approx 10^{-1} m/sec^2$$

$$\frac{\partial}{\partial t}w + u\frac{\partial}{\partial x}w + v\frac{\partial}{\partial y}w + w\frac{\partial}{\partial z}w - f_yu = -\frac{1}{\rho_0}\frac{\partial}{\partial z}p' - \frac{\rho'}{\rho_0}g$$

$$10^{-7} \quad 10^{-7} \quad 10^{-7} \quad 10^{-10} \quad 10^{-3} \quad 10^{-1} \quad 10^{-1}$$

Hydrostatic perturbations

Dominant balance still hydrostatic, but with perturbations:

$$\frac{\partial}{\partial z}p' = -\rho'g$$

thus vertical acceleration unimportant at synoptic scales

But we lost the vertical velocity! Deal with this later.

Coriolis parameter

So all terms with f_y are unimportant

From now on, neglect f_y and write f_z simply as f:

$$f \equiv 2\Omega sin(\theta)$$

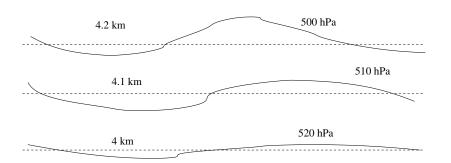
 f_y only important near the equator

Pressure coordinates

The hydrostatic balance implies an equivalence between changes in pressure and \boldsymbol{z}

Can use it to change vertical coordinates

Consider constant pressure surfaces (here in two dimensions):



Pressure coordinates

On a pressure surface:

$$dp = \frac{\partial p}{\partial x} dx + \frac{\partial p}{\partial z} dz = 0$$

Substitute hydrostatic relation:

$$dp = \frac{\partial p}{\partial x} dx - \rho g dz = 0$$

So:

$$\frac{\partial p}{\partial x} = \rho g \frac{dz}{dx} \equiv \rho \frac{\partial \Phi}{\partial x}$$

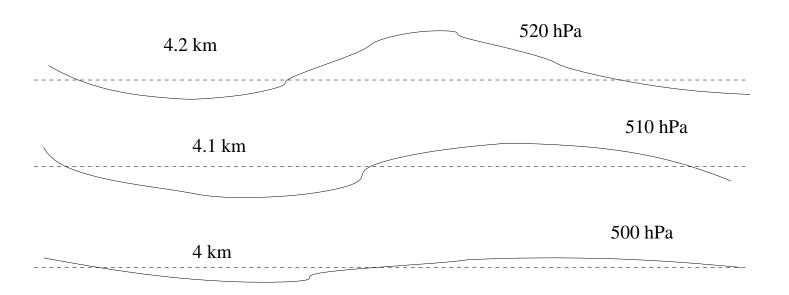
Geopotential

where Φ is the *geopotential*

This is the height of a given pressure surface

→ instead of pressure at a certain height, we think of the height of a pressure surface

Geopotential



Geostrophy

Removes density from the momentum equation!

$$\frac{du}{dt} - fv = -\frac{1}{\rho} \frac{\partial p}{\partial x} = -\frac{\partial \Phi}{\partial x}$$

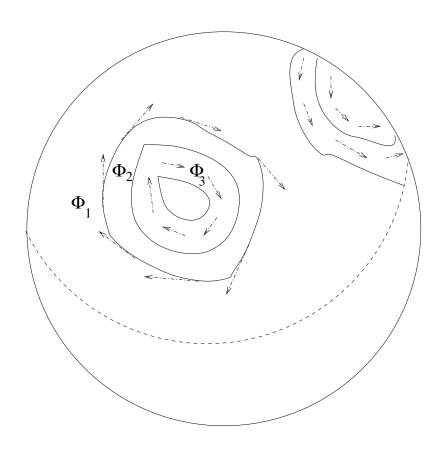
Now the geostrophic balance is:

$$fv = \frac{\partial}{\partial x}\Phi$$

$$fu = -\frac{\partial}{\partial y}\Phi$$

Geostrophy

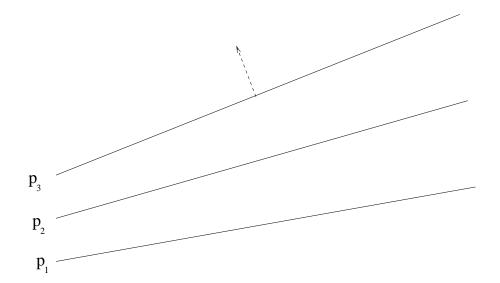
500 hPa



Vertical velocities

Different vertical velocities:

$$w = \frac{dz}{dt} \quad \to \quad \omega = \frac{dp}{dt}$$



Geopotential

Lagrangian derivative is now:

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \frac{dx}{dt} \frac{\partial}{\partial x} + \frac{dy}{dt} \frac{\partial}{\partial y} + \frac{dp}{dt} \frac{\partial}{\partial p}$$

$$= \frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y} + \omega \frac{\partial}{\partial p}$$

Continuity

This changes too in pressure coordinates.

Consider a Lagrangian box:

$$V = \delta x \, \delta y \, \delta z = -\delta x \, \delta y \, \frac{\delta p}{\rho g}$$

with a mass:

$$m = \rho V = -\delta x \, \delta y \, \delta p/g$$

Continuity

Conservation of mass:

$$\frac{1}{m}\frac{d}{dt}m = \frac{g}{\delta x \delta y \delta p}\frac{d}{dt}\left(\frac{\delta x \delta y \delta p}{g}\right) = 0$$

Using the chain rule:

$$\frac{1}{\delta x}\delta(\frac{dx}{dt}) + \frac{1}{\delta y}\delta(\frac{dy}{dt}) + \frac{1}{\delta p}\delta(\frac{dp}{dt}) = 0$$

Continuity

Let $\delta \to 0$:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial \omega}{\partial p} = 0$$

The flow is *incompressible* in pressure coordinates

Much simpler to work with!

Hydrostatic balance

$$\frac{dp}{dz} = -\rho g$$

$$dp = -\rho g dz = -\rho d\Phi$$

So:

$$\frac{d\Phi}{dp} = -\frac{1}{\rho} = -\frac{RT}{p}$$

using the Ideal Gas Law

Summary: Pressure coordinates

Geostrophy:

$$fv = \frac{\partial}{\partial x}\Phi, \qquad fu = -\frac{\partial}{\partial y}\Phi$$

Continuity:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial \omega}{\partial p} = 0$$

Hydrostatic:

$$\frac{d\Phi}{dp} = -\frac{RT}{p}$$

Diagnosing vertical motion

Lost the vertical acceleration. But can find the velocity, ω , by integrating the continuity equation:

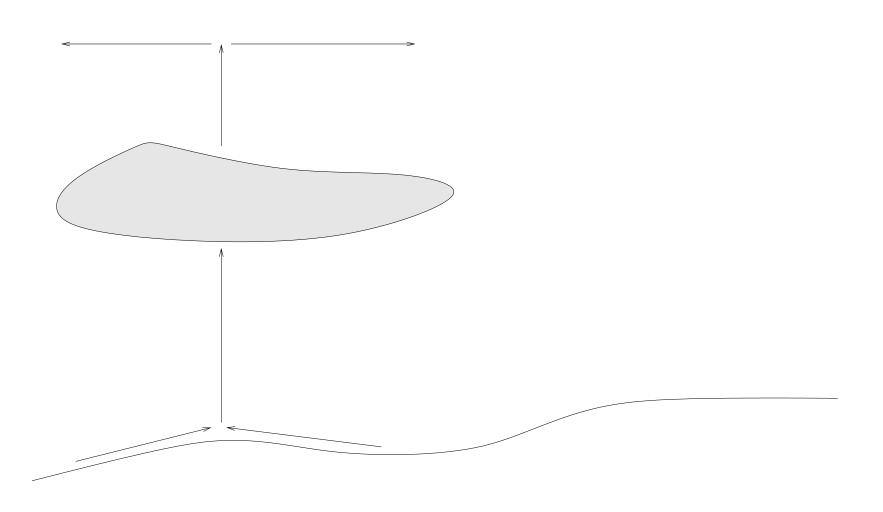
$$\omega = -\int_{p*}^{p} \left(\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v\right)dp$$

If the top of the atmosphere, p* = 0, so:

$$\omega = -\int_0^p \left(\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v\right)dp$$

So vertical motion occurs when there is horizontal divergence.

Divergence



How does ω relate to the actual vertical velocity?

$$\omega = \frac{dp}{dt} = \frac{\partial}{\partial t}p + u\frac{\partial}{\partial x}p + v\frac{\partial}{\partial y}p + w\frac{\partial}{\partial z}p$$

Using the hydrostatic relation:

$$\omega = \frac{dp}{dt} = \frac{\partial}{\partial t}p + u\frac{\partial}{\partial x}p + v\frac{\partial}{\partial y}p - \rho gw$$

For geostrophic motion:

$$u\frac{\partial}{\partial x}p + v\frac{\partial}{\partial y}p = (-\frac{1}{\rho f}\frac{\partial}{\partial y}p)(\frac{\partial}{\partial x}p) + (\frac{1}{\rho f}\frac{\partial}{\partial x}p)(\frac{\partial}{\partial y}p) = 0$$

So

$$\omega \approx \frac{\partial}{\partial t} p - \rho g w$$

Also:

$$\frac{\partial}{\partial t}p \approx 10hPa/day$$

 $\rho gw \approx (1.2kg/m^3) (9.8m/sec^2)(0.01m/sec) \approx 100hPa/day$

So:

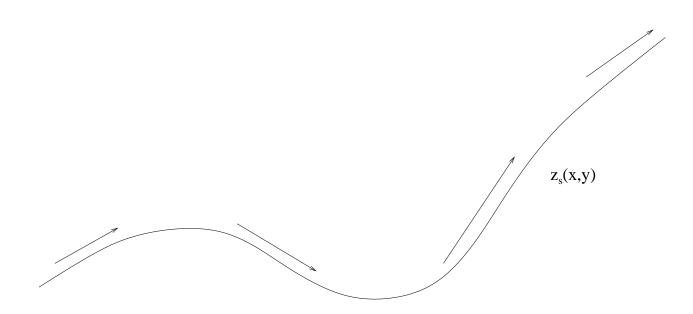
$$\omega \approx -\rho g w$$

This is accurate within 10 % in the mid-troposphere

Less accurate near the ground, due to topography

At the surface:

$$w_s = u \frac{\partial}{\partial x} z_s + v \frac{\partial}{\partial y} z_s$$



Topography most important for ω in the lowest 1-2 km of the troposphere

Geostrophy tells us what the velocities are if we know the geopotential on a pressure surface

What about the velocities on other pressure surfaces?

Say we have information on the 500 hPa surface, but we wish to estimate winds on the 400 hPa surface

Requires knowing the velocity shear

This shear is determined by the thermal wind relation

From the hydrostatic balance:

$$\frac{\partial \Phi}{\partial p} = -\frac{RT}{p}$$

Now take the derivative wrt pressure of the geostrophic relation:

$$\frac{\partial}{\partial p} \left(f v_g = \frac{\partial \Phi}{\partial x} \right)$$

But:

$$\frac{\partial}{\partial p} \frac{\partial \Phi}{\partial x} = \frac{\partial}{\partial x} \frac{\partial \Phi}{\partial p} = -\frac{R}{p} \frac{\partial T}{\partial x}$$

So:

$$p\frac{\partial v_g}{\partial p} = -\frac{R}{f}\frac{\partial T}{\partial x}$$

Or:

$$\frac{\partial v_g}{\partial \ln(p)} = -\frac{R}{f} \frac{\partial T}{\partial x}$$

Shear is proportional to the temperature gradient

If we know the velocity at p_0 , can calculate it at p_1 Integrate between two pressure levels:

$$v_g(p_1) - v_g(p_0) = -\frac{R}{f} \int_{p_0}^{p_1} \frac{\partial T}{\partial x} d \ln(p)$$
$$= -\frac{R}{f} \frac{\partial}{\partial x} \int_{p_0}^{p_1} T d \ln(p)$$

Mean temperature

Define the *mean temperature* in layer between p_0 and p_1 :

$$\overline{T} \equiv \frac{\int_{p_0}^{p_1} T \, d(lnp)}{\int_{p_0}^{p_1} \, d(lnp)} = \frac{\int_{p_0}^{p_1} T \, d(lnp)}{ln(\frac{p_1}{p_0})}$$

Then:

$$v_g(p_1) - v_g(p_0) = \frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial \overline{T}}{\partial x}$$

Similarly:

$$u_g(p_1) - u_g(p_0) = -\frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial \overline{T}}{\partial y}$$

Alternately we can use geostrophy to calculate the shear between p_0 and p_1 :

$$v_g(p_1) - v_g(p_0) = \frac{1}{f} \frac{\partial}{\partial x} (\Phi_1 - \Phi_0) \equiv \frac{g}{f} \frac{\partial}{\partial x} Z_{10}$$

and:

$$u_g(p_1) - u_g(p_0) = -\frac{1}{f} \frac{\partial}{\partial y} (\Phi_1 - \Phi_0) \equiv -\frac{g}{f} \frac{\partial}{\partial y} Z_{10}$$

where:

$$Z_{10} = \frac{1}{g} \left(\Phi_1 - \Phi_0 \right)$$

is the layer *thickness* between p_0 and p_1 .

Shear proportional to gradients of layer thickness

Thus:

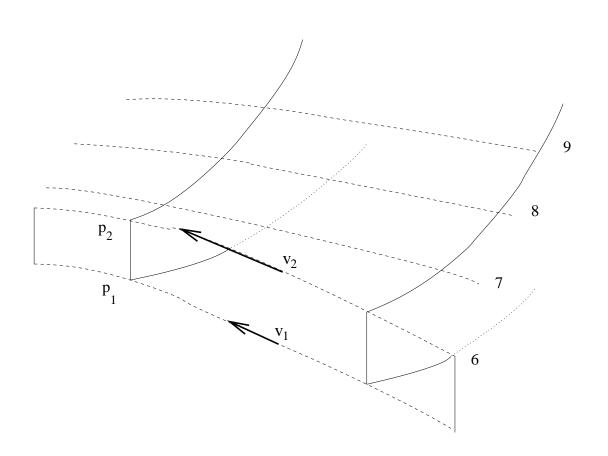
$$v_g(p_1) - v_g(p_0) = \frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial T}{\partial x} = \frac{g}{f} \frac{\partial}{\partial x} Z_{10}$$

So:

$$Z_{10} = \frac{R}{g} \ln(\frac{p_0}{p_1}) \, \overline{T}$$

Layer thickness is proportional to the mean temperature

Layer thickness



Barotropic atmosphere

Example 1: temperature is constant on pressure surfaces

Then $\nabla T = 0 \rightarrow no \ vertical \ shear$

Velocities don't change with height

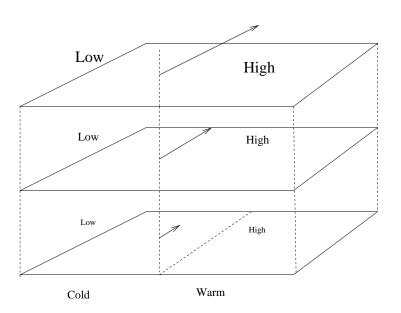
Also: all layers have equal thickness: stacked like pancakes

Equivalent barotropic

Example 2: temperature and geopotential contours parallel:

$$\frac{\partial}{\partial p} \vec{u}_g \parallel \vec{u}_g$$

Wind changes magnitude but not direction with height



Equivalent barotropic

Consider the zonal-average temperature :

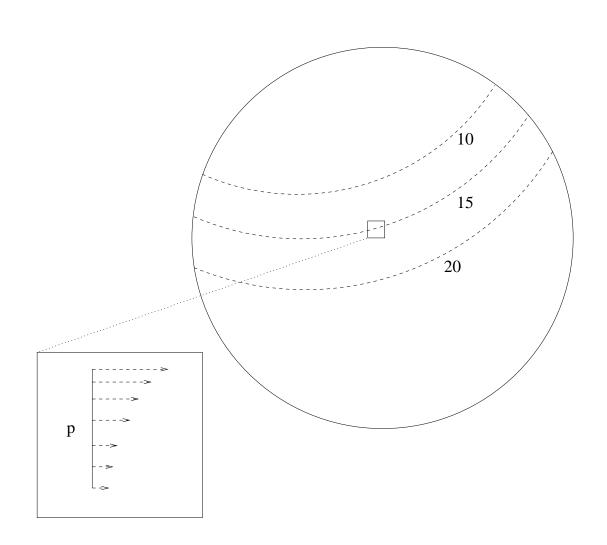
$$\frac{1}{2\pi} \int_0^{2\pi} T \, d\phi$$

Decreases from the equator to the pole

So
$$\frac{\partial}{\partial y}T < 0$$

Thermal wind -- winds increase with height

Jet Stream



Jet Stream

Example: At 30N, the zonally-averaged temperature gradient is $0.75~Kdeg^{-1}$, and the average wind is zero at the earth's surface. What is the mean zonal wind at the level of the jet stream (250~hPa)?

$$u_g(p_1) - u_g(p_0) = u_g(p_1) = -\frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial \overline{T}}{\partial y}$$

$$u_g(250) = -\frac{287}{2\Omega sin(30)} \ln(\frac{1000}{250}) \left(-\frac{0.75}{1.11 \times 10^5 \, m}\right) = 36.8 \; m/sec$$

Baroclinic atmosphere

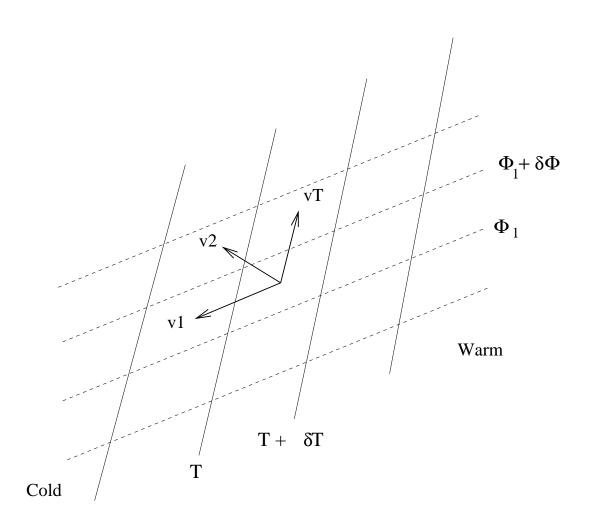
Example 3: Temperature not parallel to geopotential

Geostrophic wind has a component normal to the temperature contours (isotherms)

Produces geostrophic temperature advection

Winds blow from warm to cold or vice versa

Temperature advection



Temperature advection

Warm advection → *veering*

Anticyclonic (clockwise) rotation with height

Cold advection → backing

Cyclonic (counter-clockwise) rotation with height

Summary

Geostrophic wind parallel to geopotential contours

high pressure to the right (North Hemisphere)

Thermal wind parallel to mean *temperature* (thickness) contours

high thickness to the right

Divergence

Continuity equation:

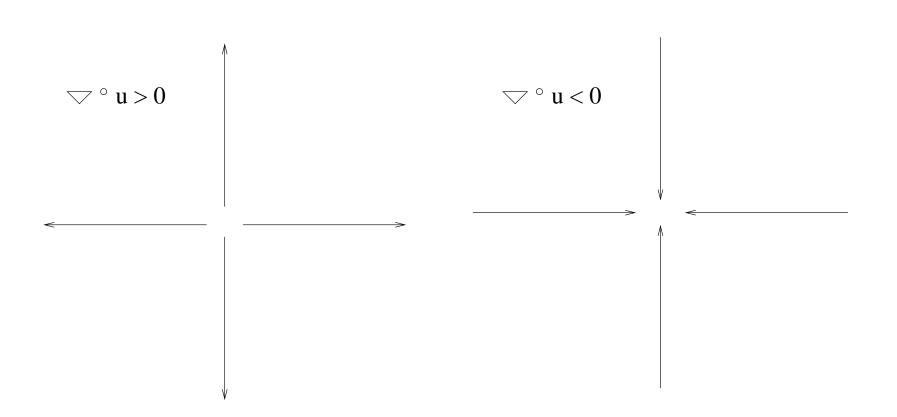
$$\frac{d\rho}{dt} + \rho \, \nabla \cdot \underline{u} = 0$$

or:

$$\frac{1}{\rho}\frac{d\rho}{dt} = -\nabla \cdot \underline{u} = -(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z})$$

Density changes due to divergence

Divergence



Example

The divergence in a region is constant and positive:

$$\nabla \cdot \vec{u} = D > 0$$

What happens to the density of an air parcel?

Example

$$\frac{1}{\rho}\frac{d\rho}{dt} = -\nabla \cdot \underline{u} = -D$$

$$\frac{d\rho}{dt} = -\rho D$$

$$\rho(t) = \rho(0) \ e^{-Dt}$$

Density decreases exponentially in time

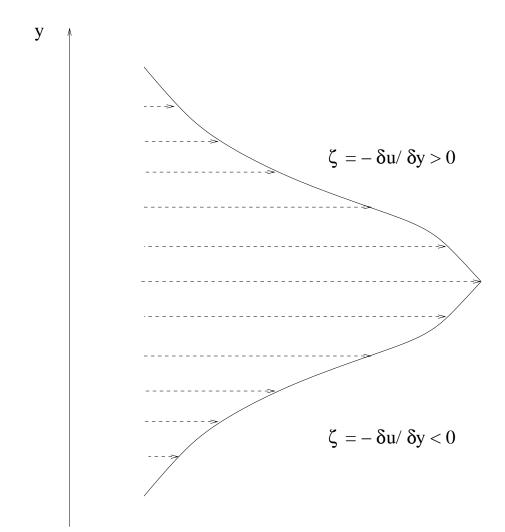
Central quantity in dynamics

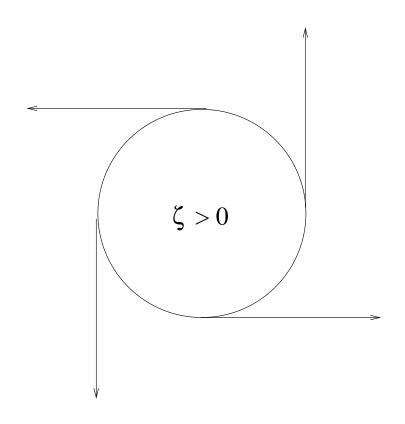
$$\vec{\zeta} \equiv \nabla \times \vec{u}$$

$$\vec{\zeta} = (\frac{\partial w}{\partial y} - \frac{\partial v}{\partial z}, \frac{\partial u}{\partial z} - \frac{\partial w}{\partial x}, \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y})$$

Most important at synoptic scales is *vertical component*.

$$\vec{\zeta} = \zeta \hat{k} = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$$





Example

What is the vorticity of a typical tornado? Assume *solid* body rotation, with a velocity of 100 m/sec, 20 m from the center.

In cylindrical coordinates, the vorticity is:

$$\zeta = \frac{1}{r} \frac{\partial r v_{\theta}}{\partial r} - \frac{1}{r} \frac{\partial v_{r}}{\partial \theta}$$

For solid body rotation, $v_r = 0$ and

$$v_{\theta} = \omega r$$

with $\omega = \text{const.}$

So:

$$\zeta = \frac{1}{r} \frac{\partial r v_{\theta}}{\partial r} = \frac{1}{r} \frac{\partial \omega r^2}{\partial r} = 2\omega$$

We have $v_{\theta} = 100$ m/sec at r = 20 m:

$$\omega = \frac{v_{\theta}}{r} = \frac{100}{20} = 5 \, rad/sec$$

So:

$$\zeta = 10 \, rad/sec$$

Now add rotation. The velocity in the fixed frame is:

$$\vec{u}_F = \vec{u}_R + \vec{\Omega} \times \vec{r}$$

So:

$$\vec{\zeta}_a = \nabla \times (\vec{u} + \vec{\Omega} \times \vec{r}) = \vec{\zeta} + \nabla \times (\vec{\Omega} \times \vec{r})$$

$$= \vec{\zeta} + \nabla \times (z\Omega_y - y\Omega_z, x\Omega_z, -x\Omega_y)$$

$$= \vec{\zeta} + 2\vec{\Omega}$$

Two components:

- $\nabla \times \vec{u}$ the *relative vorticity*
- 2Ω the *planetary vorticity*

Vertical component is the most important:

$$\zeta_a \cdot \hat{k} = \left(\frac{\partial}{\partial x}v - \frac{\partial}{\partial y}u\right) + 2\Omega_z = \zeta + 2\Omega \sin(\theta) = \zeta + f$$

 ζ now refers to the vertical relative vorticity

Scaling:

$$\zeta \propto \frac{U}{L}$$

So:

$$\frac{|\zeta|}{f} \approx \frac{U}{fL} = \epsilon$$

The Rossby number

$$\bullet \epsilon \ll 1$$

Geostrophic velocities

Planetary vorticity dominates the absolute vorticity

$$\bullet \quad \epsilon \gg 1$$

Cyclostrophic velocities

Relative vorticity dominates

Circulation is the integral of vorticity over an area:

$$\Gamma \equiv \int \int \zeta dA$$

Due to Stoke's theorem, we can rewrite this as an integral of the velocity around the circumference:

$$\Gamma = \int \int \nabla \times \vec{u} \, dA = \oint \vec{u} \cdot \hat{n} \, dl$$

Thus we can derive an equation for the circulation by integrating the momentum equations around a closed curve.

First write momentum equations in vector form. Turns out to be simpler using the fixed frame velocity:

$$\frac{d}{dt}\vec{u}_F = -\frac{1}{\rho}\nabla p + \vec{g} + \vec{F}$$

Integrate around a closed area:

$$\frac{d}{dt}\Gamma_F = -\oint \frac{\nabla p}{\rho} \cdot d\vec{l} + \oint \vec{g} \cdot d\vec{l} + \oint \vec{F} \cdot d\vec{l}$$

Gravity vanishes because can write as the gradient of a potential:

$$\vec{g} = -g\hat{k} = \frac{\partial}{\partial z}(-gz) = \nabla\Phi_g$$

and the closed integral of a potential vanishes:

$$\oint \nabla \Phi_g \cdot \vec{dl} = \oint d\Phi_g = 0$$

So:

$$\frac{d}{dt}\Gamma_F = -\oint \frac{dp}{\rho} + \oint \vec{F} \cdot \vec{dl}$$

Put rotation back in. The fixed velocity is:

$$\vec{u}_F = \vec{u}_R + \Omega \times r$$

So:

$$\Gamma_F = \oint (\vec{u}_R + \Omega \times r) \cdot \vec{dl}$$

Rewrite using Stoke's theorem:

$$\oint (\vec{u}_R + \vec{\Omega} \times \vec{r}) \cdot d\vec{l} = \iint \nabla \times (\vec{u}_R + \vec{\Omega} \times \vec{r}) \cdot \hat{n} \, dA$$

From before:

$$\nabla \times (\vec{\Omega} \times \vec{r}) = 2\Omega$$

If the motion is quasi-horizontal, then $\hat{n} = \hat{k}$:

$$\Gamma_F = \int \int [\zeta + 2\Omega sin(\theta)] dA = \int \int (\zeta + f) dA$$

Thus:

$$\frac{d}{dt}\Gamma_a = -\oint \frac{dp}{\rho} + \oint \vec{F} \cdot \vec{dl}$$

where

$$\Gamma_a = \int \int (\zeta + f) dA$$

is the absolute circulation, the sum of relative and planetary circulation

If the atmosphere is barotropic (temperature and density constant on pressure surfaces):

$$\oint \frac{dp}{\rho} = \frac{1}{\rho} \oint dp = 0$$

If atmosphere is also frictionless ($\vec{F} = 0$), then:

$$\frac{d}{dt}\Gamma_a = 0$$

The absolute circulation is conserved on the parcel

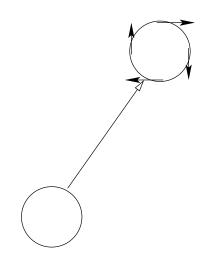
Notice that if the area is small, so that the vorticity is approximately constant over the area, then:

$$\frac{d}{dt}\Gamma_a \approx \frac{d}{dt}(\zeta + f)A = 0$$

which implies:

$$(\zeta + f)A = const.$$

on a parcel. Thus if a parcel's area or latitude changes, it's vorticity must change to compensate.



Move a parcel north, where f is larger. Either:

- Vorticity decreases
- Area decreases

Example: An air parcel at 30 N moves to 90 N. If its initial relative vorticity is $5 \times 10^{-5} sec^{-1}$, what is its final vorticity?

$$(\zeta_{30} + 2\Omega sin(30))A = (\zeta_{90} + 2\Omega)A$$

So:

$$\zeta_{90} = \zeta_{30} + 2\Omega(\sin(30) - 1) = 5 \times 10^{-5} + 1.45 \times 10^{-4}(0.5 - 1)$$

$$= -2.25 \times 10^{-5} sec^{-1}$$

Now we will derive an equation for the vorticity.

Horizontal momentum equations (p-coords):

$$\left(\frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y} + \omega\frac{\partial}{\partial p}\right)u - fv = -\frac{\partial}{\partial x}\Phi + F_x$$

$$\left(\frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y} + \omega\frac{\partial}{\partial p}\right)v + fu = -\frac{\partial}{\partial y}\Phi + F_y$$

Take $\frac{\partial}{\partial x}$ of the second, subtract $\frac{\partial}{\partial y}$ of the first

Find (after some algebra):

$$\left(\frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y} + \omega\frac{\partial}{\partial p}\right)\zeta + v\frac{\partial}{\partial y}f$$

$$= \left(\frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y} + \omega\frac{\partial}{\partial p}\right)\zeta_a$$

$$= -\zeta_a \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) + \left(\frac{\partial u}{\partial p} \frac{\partial \omega}{\partial y} - \frac{\partial v}{\partial p} \frac{\partial \omega}{\partial x}\right) + \left(\frac{\partial}{\partial x} F_y - \frac{\partial}{\partial y} F_x\right)$$

where:

$$\zeta_a = \zeta + f$$

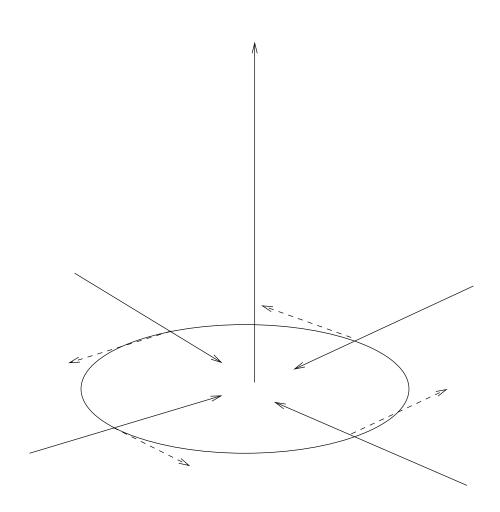
The absolute vorticity can change due to three terms

1) Divergence:

$$-\zeta_a(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y})$$

Divergence changes the vorticity, just like density

Convergence



Divergence

Can absorb the divergence into the left side. Consider small area of air:

$$\delta A = \delta x \, \delta y$$

Time change in the area is:

$$\frac{\delta A}{\delta t} = \delta y \frac{\delta x}{\delta t} + \delta x \frac{\delta y}{\delta t} = \delta y \, \delta u + \delta x \, \delta v$$

Relative change is the divergence:

$$\frac{1}{\delta A} \frac{\delta A}{\delta t} = \frac{\delta u}{\delta x} + \frac{\delta v}{\delta y}$$

Divergence

So rewrite the divergence term:

$$-\left(\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v\right)\zeta_a = -\frac{\zeta_a}{A}\frac{dA}{dt}$$

So:

$$\frac{d}{dt}\zeta_a = -\frac{\zeta_a}{A}\frac{dA}{dt} \quad \to \quad \frac{d}{dt}\zeta_a A = 0$$

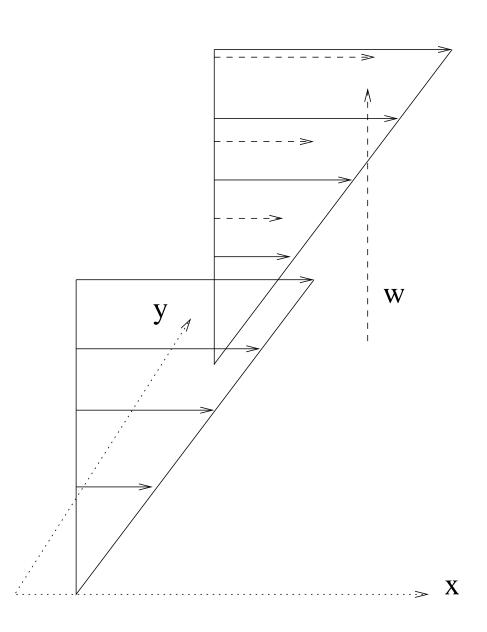
This is just Kelvin's theorem again!

2) The *tilting* term:

$$\left(\frac{\partial u}{\partial p}\frac{\partial \omega}{\partial y} - \frac{\partial v}{\partial p}\frac{\partial \omega}{\partial x}\right)$$

Differences in ω can affect the horizontal shear

Tilting



3) The Forcing term:

$$\left(\frac{\partial}{\partial x}F_y - \frac{\partial}{\partial y}F_x\right)$$

Say frictional forcing:

$$F_x = \nu \nabla^2 u, \quad F_y = \nu \nabla^2 v$$

Friction

Then:

$$\left(\frac{\partial}{\partial x}F_y - \frac{\partial}{\partial y}F_x\right) = \nu \nabla^2 \left(\frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}\right) = \nu \nabla^2 \zeta$$

Then:

$$\frac{d}{dt}(\zeta + f) = \nu \nabla^2 \zeta$$

Friction

If $f \approx const.$:

$$\frac{d}{dt}\zeta = \nu \nabla^2 \zeta$$

Friction diffuses vorticity

Causes cyclones to spread out and weaken

Can occur due to friction in the boundary layer

$$\left(\frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y} + \omega \frac{\partial}{\partial p}\right) \zeta_a = -\zeta_a \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) + \left(\frac{\partial u}{\partial p} \frac{\partial \omega}{\partial y} - \frac{\partial v}{\partial p} \frac{\partial \omega}{\partial x}\right)$$

For synoptic scale motion, away from boundary layer:

$$U \approx 10m/sec$$
 $\omega \approx 10hPa/day$ $L \approx 10^6 m$ $\partial p \approx 100hPa$

$$f_0 \approx 10^{-4} sec^{-1}$$
 $L/U \approx 10^5 sec$ $\frac{\partial f}{\partial y} \approx 10^{-11} m^{-1} sec^{-1}$

$$\zeta \propto \frac{U}{L} \approx 10^{-5} sec^{-1}$$

So the Rossby number is:

$$\epsilon = \frac{\zeta}{f_0} \approx 0.1$$

So:

$$(\zeta + f) \approx f$$

$$\frac{\partial}{\partial t}\zeta + u\frac{\partial}{\partial x}\zeta + v\frac{\partial}{\partial y}\zeta \propto \frac{U^2}{L^2} \approx 10^{-10}$$

$$\omega \frac{\partial}{\partial p}\zeta \propto \frac{U\omega}{LP} \approx 10^{-11}$$

$$v\frac{\partial}{\partial y}f \propto U\frac{\partial f}{\partial y} \approx 10^{-10}$$

$$(\frac{\partial u}{\partial p}\frac{\partial \omega}{\partial y} - \frac{\partial v}{\partial p}\frac{\partial \omega}{\partial x}) \propto \frac{U\omega}{LP} \approx 10^{-11}$$

$$(\zeta + f)(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}) \approx f(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}) \propto \frac{fU}{L} \approx 10^{-9}$$

Divergence term is unbalanced! But it's actually smaller than it appears. We can write:

$$u = u_g + u_a, \quad v = v_g + v_a$$

From the derivation of the gradient wind:

$$\frac{u_g}{u} \approx 1 + \epsilon$$

This implies:

$$\frac{|u_a|}{|u_a|} \propto \epsilon \approx 0.1$$

Ageostrophic velocities

$$u = u_g + \epsilon u_a, \quad v = v_g + \epsilon v_a$$

The vorticity is:

$$\zeta = \frac{\partial}{\partial x} v_g - \frac{\partial}{\partial y} u_g + \epsilon \left(\frac{\partial}{\partial x} v_a - \frac{\partial}{\partial y} u_a \right)$$

While the divergence is:

$$D = \frac{1}{f} \frac{\partial}{\partial x} (-\frac{\partial \Phi}{\partial y}) + \frac{1}{f} \frac{\partial}{\partial y} (\frac{\partial \Phi}{\partial x}) + \epsilon (\frac{\partial}{\partial x} u_a + \frac{\partial}{\partial y} v_a)$$
$$= 0 + \epsilon (\frac{\partial}{\partial x} u_a + \frac{\partial}{\partial y} v_a)$$

The divergence is order ϵ

Vertical velocities

Also:

$$\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v + \frac{\partial}{\partial p}\omega = 0$$

implies:

$$\frac{\partial}{\partial p}\omega = -D = -\epsilon(\frac{\partial}{\partial x}u_a + \frac{\partial}{\partial y}v_a)$$

So the vertical velocity is also order ϵ

Planetary rotation suppresses vertical motion

This is why atmospheric motion is quasi-horizontal

Scaled equation

Thus the divergence estimate is smaller:

$$(\zeta + f) \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) \approx f \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) \propto \epsilon \frac{fU}{L} \approx 10^{-10}$$

Retaining the 10^{-10} terms yields the approximate vorticity equation:

$$\left(\frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y}\right)(\zeta + f) = -f\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

Used for forecasts in the 1940's

Approach:

Assume geostrophic velocities:

$$u \approx u_g = -\frac{1}{f} \frac{\partial \Phi}{\partial y}$$

$$v \approx v_g = \frac{1}{f} \frac{\partial \Phi}{\partial x}$$

$$\zeta \approx \zeta_g = \frac{1}{f} \frac{\partial v_g}{\partial x} - \frac{\partial u_g}{\partial y} = \frac{1}{f} \left(\frac{\partial^2 \Phi}{\partial x^2} + \frac{\partial^2 \Phi}{\partial y^2} \right) = \frac{1}{f} \nabla^2 \Phi$$

The divergence vanishes identically:

$$\left(\frac{\partial u_g}{\partial x} + \frac{\partial v_g}{\partial y}\right) = 0$$

Thus the vorticity equation is:

$$\left(\frac{\partial}{\partial t} + u_g \frac{\partial}{\partial x} + v_g \frac{\partial}{\partial y}\right) (\zeta + f) = 0$$

 ζ_a is conserved following the horizontal winds

Remember: on a pressure surface

Now only *one unknown*: ⊕

$$\left(\frac{\partial}{\partial t} + u_g \frac{\partial}{\partial x} + v_g \frac{\partial}{\partial y}\right) (\zeta + f) = 0$$

becomes:

$$\left(\frac{\partial}{\partial t} - \frac{1}{f}\frac{\partial\Phi}{\partial y}\frac{\partial}{\partial x} + \frac{1}{f}\frac{\partial\Phi}{\partial x}\frac{\partial}{\partial y}\right)\left(\frac{1}{f}\nabla^2\Phi + f\right) = 0$$

Can write equation:

$$\frac{\partial}{\partial t}\zeta + u_g \cdot \nabla \zeta + v_g \frac{\partial}{\partial y} f = 0$$

or:

$$\frac{\partial}{\partial t}\zeta = -u_g \cdot \nabla \zeta - v_g \frac{\partial}{\partial y} f$$

Can predict how ζ changes in time

Then convert $\zeta \to \Phi$ by *inversion*

Method:

- Obtain $\Phi(x, y, t_0)$ from measurements on p-surface
- Calculate $u_g(t_0)$, $v_g(t_0)$, $\zeta(t_0)$
- Calculate $\zeta(t_1)$
- Invert ζ to get $\Phi(t_1)$
- Start over
- Obtain $\Phi(t_2)$, $\Phi(t_3)$,...

$$\zeta = \frac{1}{f} \left(\frac{\partial^2 \Phi}{\partial x^2} + \frac{\partial^2 \Phi}{\partial y^2} \right)$$

$$\nabla^2 \Phi = f \zeta$$

Poisson's equation

Need boundary conditions to solve

Usually do this numerically

Example: Let:

$$\zeta = \sin(3x)\sin(\pi y)$$

Say we have a channel:

$$x = [0, 2\pi], \quad y = [0, 1]$$

Periodic in x and solid walls at y = 0, 1. We have:

$$\frac{\partial^2}{\partial x^2}\Phi + \frac{\partial^2}{\partial y^2}\Phi = \sin(3x)\sin(\pi y)$$

Try a particular solution:

$$\Phi = Asin(3x)sin(\pi y)$$

This solution works in a channel, because:

$$\Phi(x=2\pi) = \Phi(x=0)$$

Also, at y = 0, 1:

$$v = \frac{1}{f_0} \frac{\partial \Phi}{\partial x} = 0$$

Substitute into equation:

$$\frac{\partial^2}{\partial x^2} \Phi + \frac{\partial^2}{\partial y^2} \Phi = -(9 + \pi^2) A \sin(3x) \sin(\pi y) = \sin(3x) \sin(\pi y)$$

So:

$$\Phi = -\frac{1}{9 + \pi^2} \sin(3x) \sin(\pi y)$$

Then we can proceed (calculate u_g, v_g , etc.)

Inversion is a *smoothing* operation

Preferentially weights the large scale features. Say instead we had:

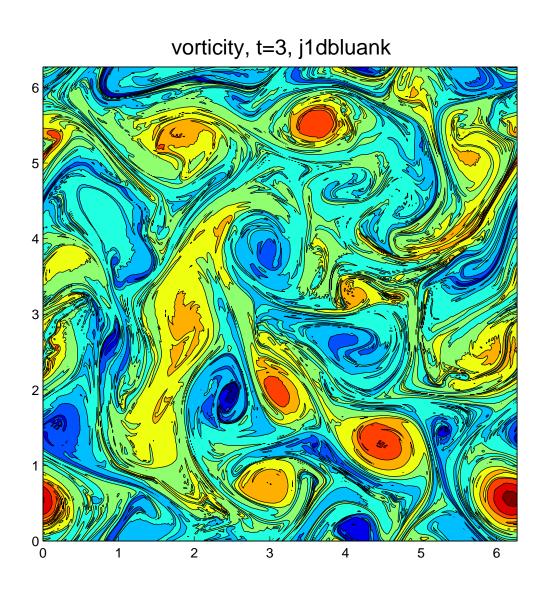
$$\zeta = \sin(3x)\sin(3y) + \sin(x)\sin(y)$$

Then:

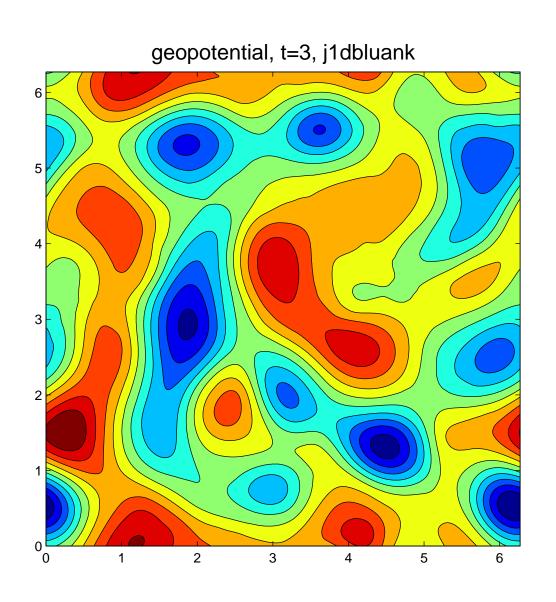
$$\Phi = \frac{1}{18}sin(3x)sin(3y) + sin(x)sin(y)$$

The smaller wave contributes less to the geopotential

Vorticity, turbulence simulation



Geopotential, turbulence simulation



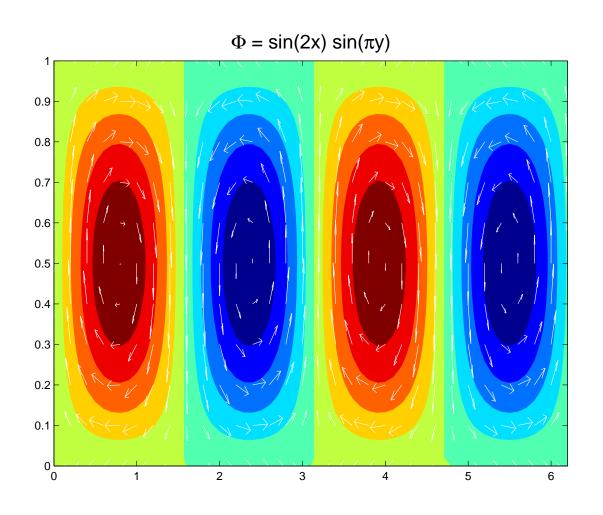
Say the geopotential is given by:

$$\Phi = f_0 A \sin(2x - \omega t) \sin(\pi y)$$

Describe how the field evolves in time

What is ω ?

Initial geopotential



We must solve:

$$\frac{\partial}{\partial t}\zeta = -u_g \cdot \nabla \zeta - v_g \frac{\partial}{\partial y} f$$

But we have a problem—f is a function of θ , the latitude, rather than y!

We must rewrite f in terms of y

Beta-plane

If we limit the latitude range, we can expand f in a Taylor Series about the center latitude:

$$f(\theta) \approx f(\theta_0) + (\theta - \theta_0) \frac{df}{d\theta} + \frac{(\theta - \theta_0)^2}{2} \frac{d^2 f}{d\theta^2} + \dots$$

We have $y = R\theta$, where R is the earth radius. Keeping the first two terms:

$$f \approx f_0 + \beta(y - y_0)$$

where:

$$f_0 = 2\Omega sin(\theta_0), \quad \beta = \frac{2\Omega}{R} cos(\theta_0)$$

So:

$$v\frac{df}{dy} = v\frac{\partial}{\partial y}(f_0 + \beta(y - y_0)) = \beta v$$

So the equation becomes:

$$\frac{\partial}{\partial t}\zeta = -u_g \cdot \nabla \zeta - \beta v_g$$

Now the velocities are:

$$u_g = -\frac{1}{f_0} \frac{\partial}{\partial y} \Phi = -\pi A \sin(2x - \omega t) \cos(\pi y)$$

$$v_g = \frac{1}{f_0} \frac{\partial}{\partial x} \Phi = 3A \cos(2x - \omega t) \sin(\pi y)$$

And the vorticity is:

$$\zeta = \frac{1}{f_0} \nabla^2 \Phi = -(4 + \pi^2) A \sin(2x - \omega t) \sin(\pi y)$$

We also need the derivatives:

$$\frac{\partial}{\partial x}\zeta = -2(4+\pi^2)A\cos(2x-\omega t)\sin(\pi y)$$

$$\frac{\partial}{\partial y}\zeta = -\pi(4+\pi^2)A\sin(2x-\omega t)\cos(\pi y)$$

Collect terms:

$$-u\frac{\partial}{\partial x}\zeta - v\frac{\partial}{\partial y}\zeta = [-\pi A\sin(2x - \omega t)\cos(\pi y)] \times$$

$$[2(4+\pi^2)A\cos(2x-\omega t)\sin(\pi y)] + [2A\cos(2x-\omega t)\sin(\pi y)] \times$$

$$[\pi(4+\pi^2)A\sin(2x-\omega t)\cos(\pi y)]$$

$$= [-2\pi A^2(4+\pi^2) + 2\pi A^2(4+\pi^2)]sin(2x-\omega t)cos(2x-\omega t)$$

$$\times sin(\pi y)cos(\pi y) = 0$$

Also:

$$-\beta v = -2\beta A \cos(2x - \omega t) \sin(\pi y)$$

So:

$$\frac{\partial}{\partial t}\zeta = -2\beta A\cos(2x - \omega t)\sin(\pi y)$$

Since:

$$\zeta = -(4 + \pi^2) A \sin(2x - \omega t) \sin(\pi y)$$

Then:

$$\frac{\partial}{\partial t}\zeta = \omega(4+\pi^2)A\cos(2x-\omega t)\sin(\pi y)$$

Equate both sides:

$$\omega(4+\pi^2)A\cos(2x-\omega t)\sin(\pi y)$$
$$=-2\beta A\cos(2x-\omega t)\sin(\pi y)$$

We can cancel the $A\cos(2x-\omega t)\sin(\pi y)$, leaving:

$$\omega(4+\pi^2) = -2\beta$$

or:

$$\omega = -\frac{2\beta}{4 + \pi^2}$$

So the solution is:

$$\Phi = A\sin(2x + \frac{2\beta}{4 + \pi^2}t)\sin(\pi y)$$

This is a "travelling wave"

Phase speed

We can rewrite the solution:

$$\Phi = A\cos[2(x + \frac{\beta}{4 + \pi^2}t)]\sin(\pi y)$$

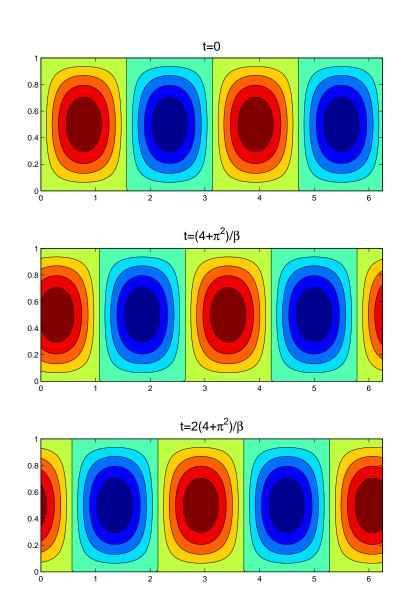
This implies that the wave has a *phase speed*:

$$c = \frac{\omega}{k} = -\frac{\beta}{4 + \pi^2}$$

This is how fast the crests in the wave move

Because c < 0, waves move toward *negative* x (westward)

Westward



Phase speed

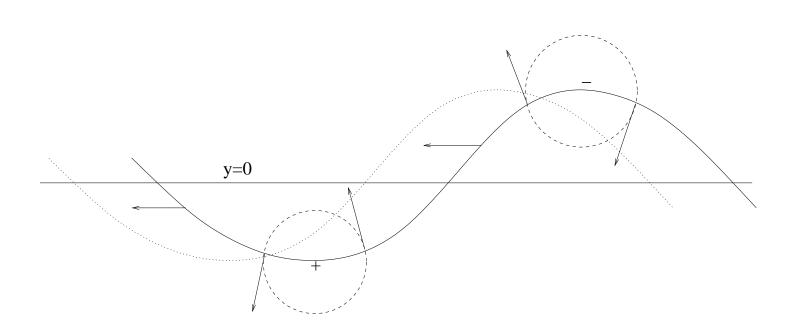
The westward propagation is actually a consequence of Kelvin's theorem

Fluid parcels advected north/south acquire relative vorticity

The parcels then advect neighboring parcels around them

Leads to a westward drift of the wave

Westward propagation



Rossby waves

Solution is known as a Rossby wave

Discovered by Carl Gustav Rossby (1936)

Observed in the atmosphere

Important for weather patterns

Study more later (GEF4500)

Previously ignored divergence effects. But very important for the growth of unstable disturbances (storms)

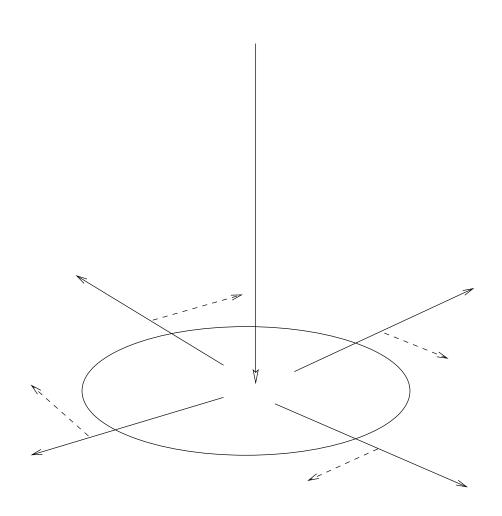
The approximate vorticity equation is:

$$\frac{d}{dt}(\zeta + f) = -(\zeta + f)\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

where:

$$\frac{d}{dt} = \left(\frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y}\right)$$

is the Lagrangian derivative following the horizontal flow



Consider flow with constant divergence:

$$\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v = D > 0$$

$$\frac{d}{dt}\zeta_a = -\zeta_a(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}) = -D\zeta_a$$

$$\zeta_a(t) = \zeta_a(0) \ e^{-Dt}$$

So:

$$\zeta_a = \zeta + f \to 0$$

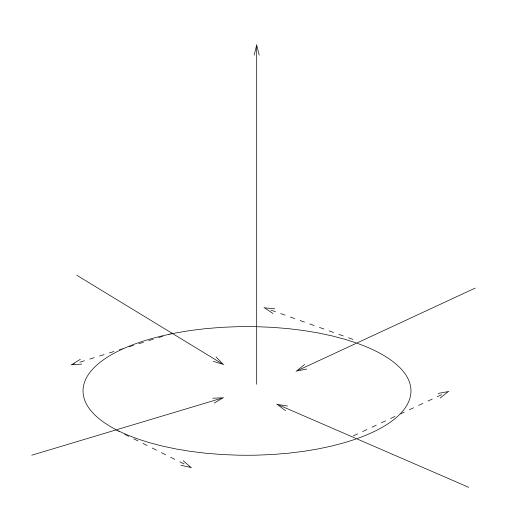
$$\zeta \to -f$$

Divergent flow favors anticyclonic vorticity

Vorticity approaches -f, regardless of initial value

Vorticity cannot exceed f

Convergence



Now say D = -C

$$\frac{d}{dt}\zeta_a = -\zeta_a(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}) = C\zeta_a$$

$$\zeta_a(t) = \zeta_a(0) \ e^{Ct}$$

$$\zeta_a \to \pm \infty$$

But which sign?

If the Rossby number is small, then:

$$\zeta_a(0) = \zeta(0) + f \approx f > 0$$

So:

$$\zeta \to +\infty$$

Convergent flow favors cyclonic vorticity

Vorticity increases without bound

Why intense storms are cyclonic

Summary

The vorticity equation is approximately:

$$\frac{d}{dt}(\zeta + f) = -(\zeta + f)\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

or:

$$\frac{d}{dt}\zeta + v\frac{df}{dy} = -(\zeta + f)\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

- Vorticity changes due to meridional motion
- Vorticity changes due to divergence

Consider an atmospheric layer with constant density, between two surfaces, at $z=z_1,z_2$ (e.g. the surface and the tropopause)

The continuity equation is:

$$\frac{d\rho}{dt} + \rho(\nabla \cdot \vec{u}) = 0$$

If density constant, then:

$$(\nabla \cdot \vec{u}) = \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

So:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = -\frac{\partial w}{\partial z}$$

Thus the vorticity equation can be written:

$$\left(\frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y}\right)(\zeta + f) = (\zeta + f)\frac{\partial w}{\partial z}$$

Taylor-Proudman Theorem

The constant density assumption affects the shear

$$\frac{d}{dt}u - fv = -\frac{1}{\rho}\frac{\partial}{\partial x}p$$

Taking a z-derivative:

$$\frac{d}{dt}(\frac{\partial}{\partial z}u) - f(\frac{\partial}{\partial z}v) = -\frac{1}{\rho}\frac{\partial}{\partial x}(\frac{\partial}{\partial z}p) = \frac{\rho}{\rho}\frac{\partial}{\partial x}g = 0$$

 \rightarrow If there is no shear initially, have no shear at any time. With constant density:

$$\frac{\partial}{\partial z}u = \frac{\partial}{\partial z}v = 0$$

So the integral of the vorticity equation is simply:

$$\int_{z1}^{z2} \left(\frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y}\right) (\zeta + f) dz =$$

$$h\left(\frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y}\right) (\zeta + f) = (\zeta + f) \left[w(z_2) - w(z_1)\right]$$

where $h = z_2 - z_1$. Note that w = Dz/Dt. Thus:

$$w(z_2) - w(z_1) = \frac{d}{dt}(z_2 - z_1) = \frac{dh}{dt}$$

So:

$$h \frac{d}{dt}(\zeta + f) = (\zeta + f) \frac{dh}{dt}$$

dividing by h^2 :

$$\frac{1}{h}\frac{d}{dt}(\zeta+f) - \frac{\zeta+f}{h^2}\frac{dh}{dt} = 0$$

which is the same as:

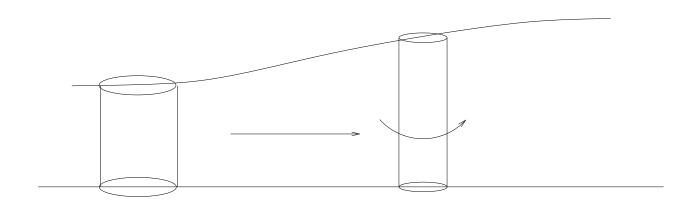
$$\frac{d}{dt}\frac{\zeta + f}{h} = 0$$

Thus the barotropic potential vorticity (PV):

$$\frac{\zeta + f}{h} = const.$$

is conserved on a fluid parcel.

If h increases, either ζ or f must also increase



Alternate derivation

Consider a fluid column between z_1 and z_2 . As it moves, conserves mass:

$$\frac{d}{dt}(hA) = 0$$

So:

$$hA = const.$$

Because the density is constant, we can apply Kelvin's theorem:

$$\frac{d}{dt}(\zeta + f)A \propto \frac{d}{dt}\frac{\zeta + f}{h} = 0$$

But the atmosphere is not constant density. What use is the potential vorticity?

As move upward in atmosphere, both temperature and pressure change—neither is absolute.

But can define the *potential temperature* which is absolute—accounts for pressure change.

The potential vorticity can then be applied in layers between potential temperature surfaces

The thermodynamic energy equation is:

$$c_p dT - \alpha dp = dq$$

With zero heating, and using the ideal gas law:

$$c_p dT = \alpha dp = \frac{RT}{p} dp$$

Rewriting:

$$c_p dlnT = R dlnp$$

Integrate up from the the surface:

$$c_p \ln T - R \ln p = c_p \ln \theta - R \ln p_0$$

where p_0 is the surface pressure:

$$p_0 = 100 \ kPa = 1000mb$$

Rearranging:

$$\theta = T \left(\frac{p_0}{p}\right)^{R/c_p}$$

If zero heating, a parcel conserves its potential temperature, θ

Call a surface with constant potential temperature an isentropic surface or an "adiabat"

 θ is the temperature a parcel has if we move it adiabatically back to the surface

Note potential temperature depends on both T and p

Flow between two isentropic surfaces trapped if zero heating

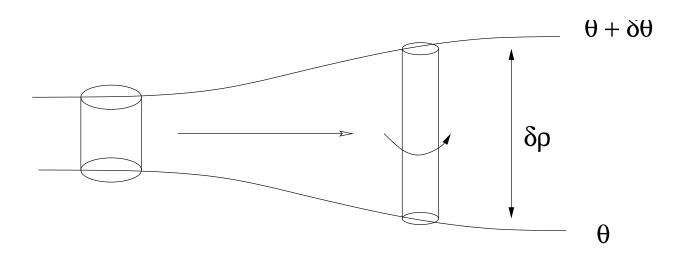
So mass in a column between two surfaces is conserved:

$$A\delta z = const.$$

From the hydrostatic relation:

$$-\frac{A\delta p}{\rho g} = const.$$

where δp is the spacing between surfaces



Rewrite δp thus:

$$\delta p = (\frac{\partial \theta}{\partial p})^{-1} \, \delta \theta$$

Here, $\frac{\partial \theta}{\partial p}$ is the *stratification*. The stronger the stratification, the smaller the pressure difference between temperature surfaces. Thus:

$$\frac{A\delta p}{\rho g} = A(\frac{\partial \theta}{\partial p})^{-1} \frac{\delta \theta}{g} = const.$$

From the Ideal Gas Law and the definition of potential temperature, we can write:

$$\rho = p^{c_v/c_p} (R\theta)^{-1} p_s^{R/c_p}$$

So the density is a function *only of pressure*. This means that:

$$\oint \frac{dp}{\rho} \propto \oint dp^{1-c_v/c_p} = 0$$

So Kelvin's theorem applies in the layer

Thus:

$$\frac{d}{dt}[(\zeta + f)A] = 0$$

implies:

$$\frac{d}{dt}[(\zeta + f)\frac{\partial \theta}{\partial p}] = 0$$

This is Ertel's (1942) "isentropic potential vorticity"

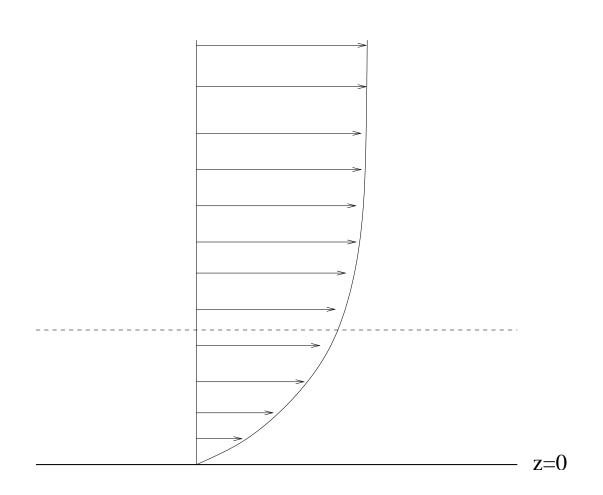
Remember: ζ evaluted on potential temperature surface

Very useful quantity: can label air by its PV

Can distinguish air in the troposphere which comes from stratosphere

Ertel's equation can also be used for prediction

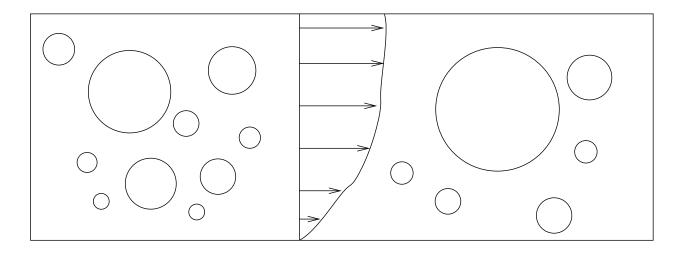
Planetary boundary layer



Turbulence

There is a *continuum* of eddy scales

Largest resolved by our models, but the smallest are not.



Assume we can split the velocity into a time mean (over some period) and a perturbation:

$$u = \overline{u} + u'$$

Use the full momentum equations with no friction:

$$\frac{\partial}{\partial t}u + u\frac{\partial u}{\partial x} + v\frac{\partial u}{\partial y} + w\frac{\partial u}{\partial z} - fv = -\frac{1}{\rho}\frac{\partial}{\partial x}p$$

$$\frac{\partial}{\partial t}v + u\frac{\partial v}{\partial x} + v\frac{\partial v}{\partial y} + w\frac{\partial v}{\partial z} + fu = -\frac{1}{\rho}\frac{\partial}{\partial y}p$$

Boussinesq approximation

Assume the density doesn't vary much. So we can write:

$$\frac{1}{\rho} \frac{\partial}{\partial x} p \longrightarrow \frac{1}{\rho_0} \frac{\partial}{\partial x} p$$

In addition, the continuity equation:

$$\frac{d\rho}{dt} + \rho(\nabla \cdot \vec{u}) = 0$$

reduces to:

$$\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v + \frac{\partial}{\partial z}w = 0$$

So the flow is incompressible

Substitute partitioned velocities into momentum equations:

$$\frac{\partial}{\partial t}(\overline{u}+u')+(\overline{u}+u')\frac{\partial}{\partial x}(\overline{u}+u')+(\overline{v}+v')\frac{\partial}{\partial y}(\overline{u}+u')-f(\overline{v}+v')$$

$$+(\overline{w}+w')\frac{\partial}{\partial z}(\overline{u}+u') = \frac{1}{\rho_0}\frac{\partial}{\partial x}(\overline{p}+p')$$

Then we average the whole equation. Note that:

$$\overline{\overline{u} + u'} = \overline{u}$$

$$\frac{\partial}{\partial t}\overline{u} + \overline{u}\frac{\partial}{\partial x}\overline{u} + \overline{u'}\frac{\partial}{\partial x}u' + \overline{v}\frac{\partial}{\partial y}\overline{u} + \overline{v'}\frac{\partial}{\partial y}u' +$$

$$+\overline{w}\frac{\partial}{\partial z}\overline{u} + \overline{w'}\frac{\partial}{\partial z}\underline{u'} + -f\overline{v} = \frac{1}{\rho_0}\frac{\partial}{\partial x}\overline{p}$$

Because of the continuity equation, we can write:

$$\overline{u'\frac{\partial}{\partial x}u'} + \overline{v'\frac{\partial}{\partial y}u'} + \overline{w'\frac{\partial}{\partial z}u'} = \frac{\partial}{\partial x}\overline{u'u'} + \frac{\partial}{\partial y}\overline{u'v'} + \frac{\partial}{\partial z}\overline{u'w'}$$

So:

$$\frac{\partial}{\partial t}\overline{u} + \overline{u}\frac{\partial}{\partial x}\overline{u} + \overline{v}\frac{\partial}{\partial y}\overline{u} + \overline{w}\frac{\partial}{\partial z}\overline{u} - f\overline{v}$$

$$= -\frac{1}{\rho_0}\frac{\partial}{\partial x}\overline{p} - (\frac{\partial}{\partial x}\rho_0\overline{u'u'} + \frac{\partial}{\partial u}\overline{u'v'} + \frac{\partial}{\partial z}\overline{u'w'})$$

Similarly:

$$\frac{\partial}{\partial t}\overline{v} + \overline{u}\frac{\partial}{\partial x}\overline{v} + \overline{v}\frac{\partial}{\partial y}\overline{v} + \overline{w}\frac{\partial}{\partial z}\overline{v} + f\overline{u}$$

$$= -\frac{1}{\rho_0}\frac{\partial}{\partial y}\overline{p} - (\frac{\partial}{\partial x}\overline{v'u'} + \frac{\partial}{\partial y}\overline{v'v'} + \frac{\partial}{\partial z}\overline{v'w'})$$

PBL equations

Prime terms on the RHS are the "eddy stresses"

Assume they don't vary horizontally in the PBL. Then:

$$\frac{\partial}{\partial t}\overline{u} + \overline{u}\frac{\partial}{\partial x}\overline{u} + \overline{v}\frac{\partial}{\partial y}\overline{u} + \overline{w}\frac{\partial}{\partial z}\overline{u} - f\overline{v} = -\frac{1}{\rho_0}\frac{\partial}{\partial x}\overline{p} - \frac{\partial}{\partial z}\overline{u'w'}$$

$$\frac{\partial}{\partial t}\overline{v} + \overline{u}\frac{\partial}{\partial x}\overline{v} + \overline{v}\frac{\partial}{\partial y}\overline{v} + \overline{w}\frac{\partial}{\partial z}\overline{v} + f\overline{u} = -\frac{1}{\rho_0}\frac{\partial}{\partial y}\overline{p} - \frac{\partial}{\partial z}\overline{v'w'}$$

PBL equations

If the Rossby number is small, the velocities outside the boundary layer are nearly geostrophic. So in the BL, we have:

$$-f\overline{v} = -\frac{1}{\rho_0} \frac{\partial}{\partial x} \overline{p} - \frac{\partial}{\partial z} \overline{u'w'}$$

or:

$$-f\overline{v} = -f\overline{v}_g - \frac{\partial}{\partial z}\overline{u'w'}$$

$$f\overline{u} = f\overline{u}_g - \frac{\partial}{\partial z}\overline{v'w'}$$

→ The eddies *break geostrophy*

PBL equations

But we have too many unknowns! : $\overline{u}, \overline{v}, u', v', w'$

We must *parameterize* the eddy stresses, i.e. we must write the primed variables in terms of the unprimed variables.

There are two cases:

- Convective boundary layer
- Stable boundary layer

In a convective layer, heating from below causes the layer to overturn, mixing properties with height. The stable boundary layer is *stratified*.

Due to vertical mixing, temperature and velocity do not vary with height. So we can integrate the momentum equation vertically:

$$\int_{0}^{h} -f(\overline{v} - \overline{v}_{g}) dz = -fh(\overline{v} - \overline{v}_{g}) =$$

$$-\int_{0}^{h} \frac{\partial}{\partial z} \overline{u'w'} dz = -\overline{u'w'}|_{h} + \overline{u'w'}|_{0}$$

We assume mixing vanishes at the top of the layer:

$$\overline{u'w'}|_h = 0$$

Thus:

$$fh(\overline{v} - \overline{v}_g) = -\overline{u'w'}|_0$$

From surface measurements, can parameterize the fluxes:

$$\overline{u'w'}|_0 = -C_d \mathcal{V} u, \qquad \overline{v'w'}|_0 = -C_d \mathcal{V} v$$

where C_d is the "drag coefficient" and

$$\mathcal{V} \equiv (u^2 + v^2)^{1/2}$$

Thus:

$$fh(\overline{v} - \overline{v}_g) = C_d \mathcal{V} \, \overline{u}$$

and:

$$-fh(\overline{u} - \overline{u}_q) = C_d \mathcal{V} \, \overline{v}$$

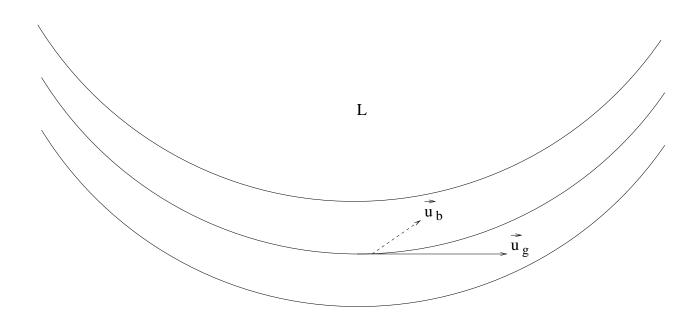
Say $v_q = 0$; then:

$$v = \frac{C_d}{fh} \mathcal{V} u,$$

$$u = u_g - \frac{C_d}{fh} \mathcal{V} v$$

$$\mathbf{u}_{PBL}$$

If u > 0, then v > 0



• Flow down the pressure gradient

Solving the boundary layer equations is not so simple because $\mathcal{V} = \sqrt{u^2 + v^2}$

Coupled nonlinear equations

But we can use iterative methods

Make a first guess, then iteratively correct

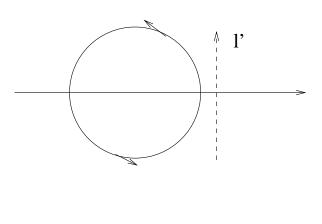
Now assume no large scale vertical mixing

Wind speed and direction can vary with height

Specify turbulent velocities using mixing length theory.

Mixing length

u(z)



$$u' = -l' \frac{\partial}{\partial z} \overline{u}$$

where l' > 0 if up.

So:

$$-\overline{u'w'} = \overline{w'l'} \frac{\partial}{\partial z} \overline{u}$$

Assume same vertical and horizontal eddy scales. Write:

$$w' = l' \frac{\partial}{\partial z} \mathcal{V}$$

where again $V = \sqrt{u^2 + v^2}$

Notice w' > 0 if l' > 0.

So:

$$-\overline{u'w'} = (\overline{l'^2} \frac{\partial}{\partial z} \mathcal{V}) \frac{\partial}{\partial z} \overline{u} \equiv A_z \frac{\partial}{\partial z} \overline{u}$$

Same argument:

$$-\overline{v'w'} = A_z \frac{\partial}{\partial z} \overline{v}$$

where A_z is the "eddy exchange coefficient"

Depends on the size of turbulent eddies and mean shear

So we have:

$$f(v - v_g) = \frac{\partial}{\partial z} [A_z(z) \frac{\partial}{\partial z} u]$$

$$-f(u - u_g) = \frac{\partial}{\partial z} [A_z(z) \frac{\partial}{\partial z} v]$$

Simplest case is if $A_z(z)$ is constant

Studied by Swedish oceanographer V. W. Ekman (1905)

Consider boundary layer above a flat surface

Boundary conditions: use the "no-slip condition":

$$u = 0, v = 0$$
 at $z = 0$

Far from the surface, the velocities approach their geostrophic values:

$$u \to u_q, v \to v_q \quad z \to \infty$$

Assume the geostrophic flow is zonal and independent of height:

$$u_q = U, \qquad v_q = 0$$

Boundary layer velocities vary only in the vertical:

$$u = u(z)$$
, $v = v(z)$, $w = w(z)$

From continuity:

$$\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v + \frac{\partial}{\partial z}w = \frac{\partial}{\partial z}w = 0.$$

With a flat bottom, this implies:

$$w = 0$$

The system is linear, so can decompose the horizontal velocities:

$$u = U + \hat{u}, \quad v = 0 + \hat{v}$$

Then:

$$-f\hat{v} = A_z \frac{\partial^2}{\partial z^2} \hat{u}$$

$$f\hat{u} = A_z \frac{\partial^2}{\partial z^2} \hat{v}$$

Boundary conditions:

$$\hat{u} = -U, \hat{v} = 0$$
 at $z = 0$

Introduce a new variable:

$$\chi \equiv \hat{u} + i\hat{v}$$

Then:

$$\frac{\partial^2}{\partial z^2}\chi = i\frac{f}{A_z}\chi$$

The solution is:

$$\chi = A \exp(\frac{z}{\delta_E}) \exp(i\frac{z}{\delta_E}) + B \exp(-\frac{z}{\delta_E}) \exp(-i\frac{z}{\delta_E})$$

where:

$$\delta_E = \sqrt{\frac{2A_z}{f}}$$

This is the "Ekman depth"

Corrections must decay going up, so:

$$A = 0$$

Take the real part of the horizontal velocities:

$$u = Re\{\chi\} = Re\{B\} \exp(-\frac{z}{\delta_E}) \cos(\frac{z}{\delta_E})$$
$$+Im\{B\} \exp(-\frac{z}{\delta_E}) \sin(\frac{z}{\delta_E})$$

and

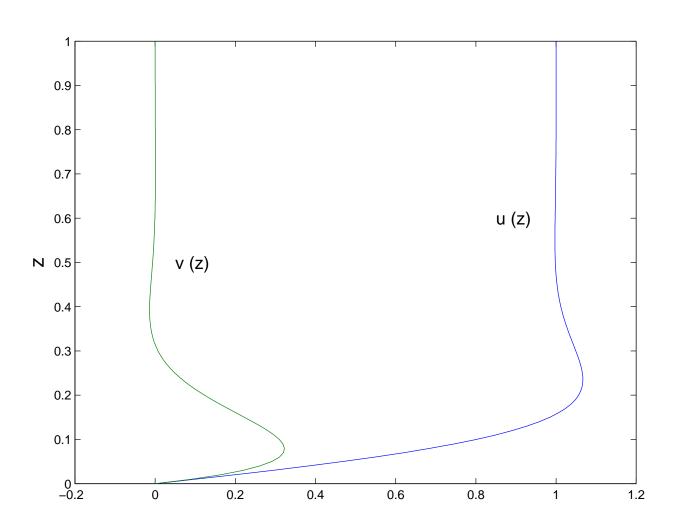
$$v = Im\{\chi\} = -Re\{B\} \exp(-\frac{z}{\delta_E}) \sin(\frac{z}{\delta_E})$$
$$+Im\{B\} \exp(-\frac{z}{\delta_E}) \cos(\frac{z}{\delta_E})$$

For zero flow at z=0, require $Re\{B\}=-U$ and $Im\{B\}=0$.

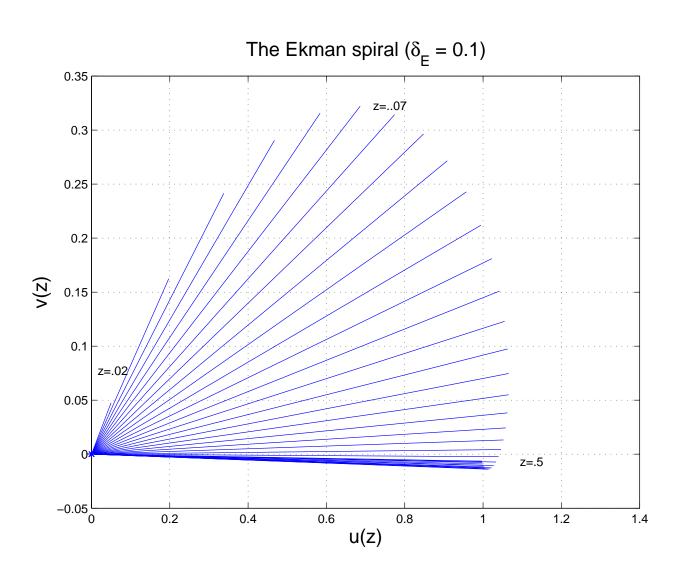
So:

$$u = U + \hat{u} = U - U \exp(-\frac{z}{\delta_E}) \cos(\frac{z}{\delta_E})$$
$$v = \hat{v} = U \exp(-\frac{z}{\delta_E}) \sin(\frac{z}{\delta_E})$$

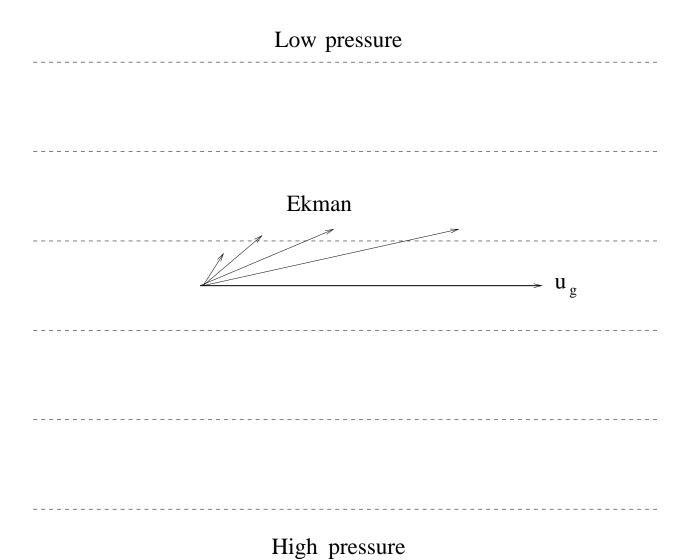
Ekman layer, $\delta_E = 0.1$



Ekman spiral, $\delta_E = 0.1$



Ekman velocities



Ekman spiral

The velocity veers to the *left*, toward low pressure

Observations suggest $u \rightarrow u_g$ at z = 1 km.

If
$$f = 10^{-4}/sec$$
, then $A_z \approx 5 m^2/sec$

Typically
$$\frac{\partial}{\partial z} \mathcal{V}| \approx 5 \times 10^{-3} \ sec^{-1}$$

So the mixing length is $l \approx 30$ m.

As in the convective boundary layer, turbulence allows flow from high pressure to low pressure.

Surface layer

Ekman layer cannot hold near surface: can't have 30 m eddies 10 m from surface. Introduce a *surface layer* where:

$$l' = kz$$

Then:

$$A_z = k^2 z^2 \frac{\partial}{\partial z} \mathcal{V}$$

So:

$$A_z \frac{\partial}{\partial z} u = k^2 z^2 |\frac{\partial}{\partial z} V| \frac{\partial}{\partial z} u \approx k^2 z^2 (\frac{\partial}{\partial z} u)^2$$

Surface layer

Measurements suggest the turbulent momentum flux is approximately constant in the surface layer:

$$\overline{u'w'} \approx u_*^2$$

where u_* is the "friction velocity". So:

$$\frac{\partial}{\partial z}u = \frac{u_*}{kz} \quad \to \quad u = \frac{u_*}{k}\ln(\frac{z}{z_0})$$

Here:

- $k \approx 0.4$ is von Karman's constant
- z_0 is the "roughness length"

Surface layer

Match the velocity at the top of the surface layer to that at the base of the Ekman layer.

Comparisons with observations are only fair (see Fig. 5.5 of Holton)

Ekman spiral is often unstable, generating eddies that mix away the signal

Turbulence in both stable and convective boundary layers generates flow down the pressure gradient

Thus both should weaken pressure systems

Consider how an Ekman layer causes a cyclone to decay in time

Central to this is that convergence in the Ekman layer causes a vertical velocity at the top of the layer, which affects the overlying flow

Illustrate using the barotropic vorticity equation:

$$\frac{D}{Dt}(\zeta + f) \approx f \frac{\partial w}{\partial z}$$

Integrate from the top of boundary layer (z = d) to the tropopause:

$$(H-d)\frac{D}{Dt}(\zeta+f) = f(w(H)-w(d)) = -fw(d)$$

Because the boundary layer is much thinner than the troposphere, this is approximately:

$$\frac{D}{Dt}\left(\zeta + f\right) = -\frac{f}{H}w(d)$$

So vertical velocity into/out of the boundary layer changes the vorticity in the troposphere

Ekman pumping

Ekman layer. The continuity equation is:

$$\frac{\partial}{\partial z}w = -\frac{\partial}{\partial x}u - \frac{\partial}{\partial y}v$$

Integrating over the layer, we get:

$$w(d) - 0 = -\int_0^d \left(\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v\right)dz \equiv -\frac{\partial}{\partial x}M_x - \frac{\partial}{\partial y}M_y$$

where M_x and M_y are the horizontal *transports*

Can show:

$$M_y \approx \frac{Ud}{2}$$

and:

$$M_x \approx -\frac{Vd}{2}$$

So:

$$w(d) = \frac{d}{2} \left(\frac{\partial}{\partial x} V - \frac{\partial}{\partial y} U \right) = \frac{d}{2} \zeta$$

Thus:

$$\frac{D}{Dt}\left(\zeta+f\right) = -\frac{fd}{2H}\,\zeta$$

If assume f = const., then:

$$\frac{D}{Dt}\,\zeta = -\frac{fd}{2H}\,\zeta$$

So that:

$$\zeta(t) = \zeta(0) \exp(-t/\tau_E)$$

where:

$$\tau_E \equiv \frac{2H}{fd}$$

is the Ekman spin-down time. Typical values:

$$H = 10km, f = 10^{-4}sec^{-1}, d = 0.5km$$

yield:

$$\tau_E \approx 5 \ days$$

Compare to molecular dissipation. Then:

$$\frac{\partial}{\partial t}u = \nu \frac{\partial^2}{\partial z^2}u$$

where $\nu = 10^{-5} \ m^2/sec$. From scaling:

$$\frac{U}{T} \approx \frac{\nu U}{L^2} \quad \to \quad T = \frac{L^2}{\nu}$$

with $L = 10^6 \ m$:

$$T \approx 10^{17} sec \approx 3 \times 10^9 yr!$$

The vertical velocity is part of the secondary circulation

The primary flow is horizontal, (u_g, v_g)

The vertical velocities, though smaller, are extremely important nevertheless

Stratification reduces the effective H. So the geostrophic velocity over Ekman layer spins down more rapidly, leaving winds aloft alone.

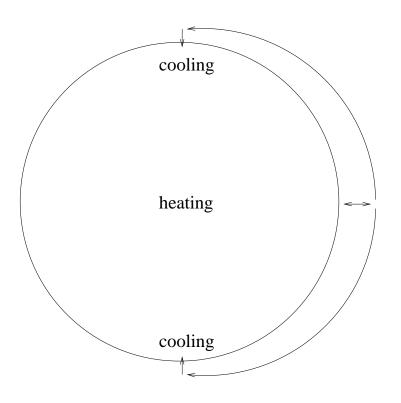
Model Spin-up

Consider an atmospheric model

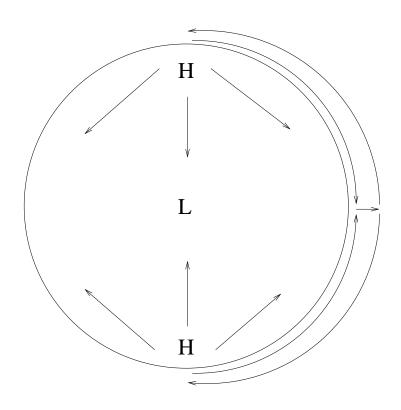
Atmosphere initially at rest

"Turn on" solar heating

See what happens...



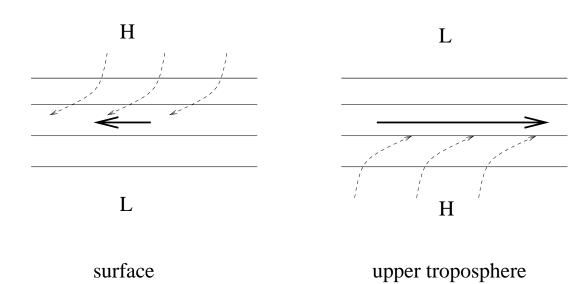
Rising motion at equator Poleward motion aloft, equator motion near ground

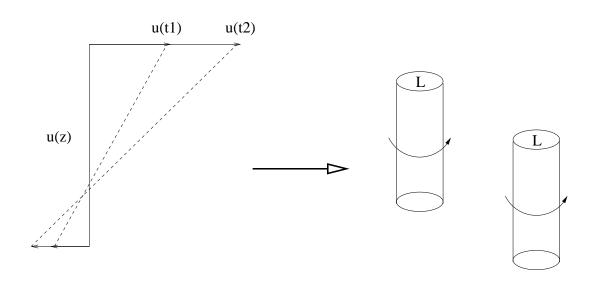


Initially high/low pressure at high/low latitudes

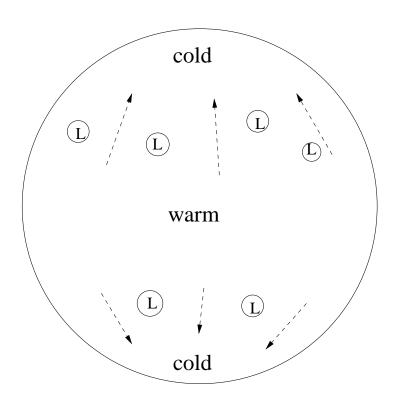


Coriolis deflects the equatorward air, westwards Clouds formed in rising air



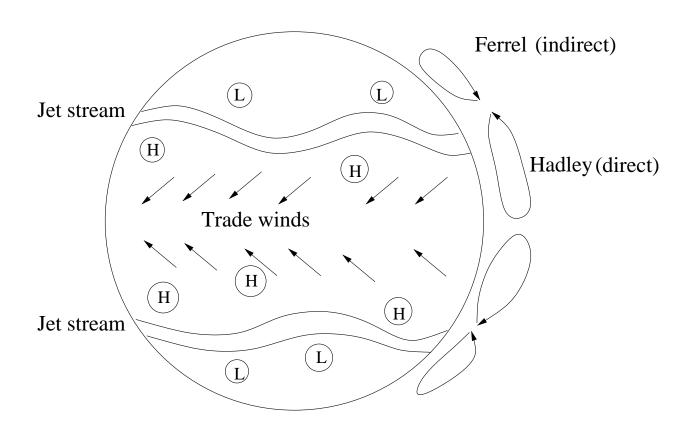


Vertical shear increases with temperature gradient Flow becomes unstable, generating storms

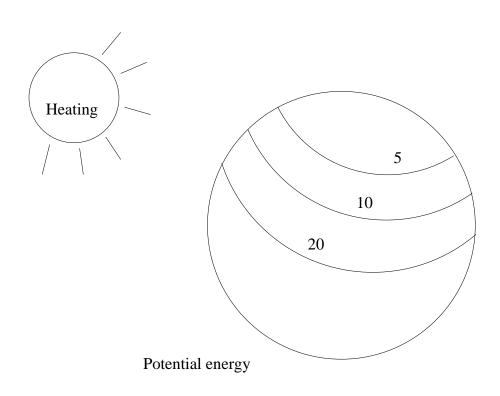


Storms transport heat toward high latitudes Reduces the temperature gradient

General circulation

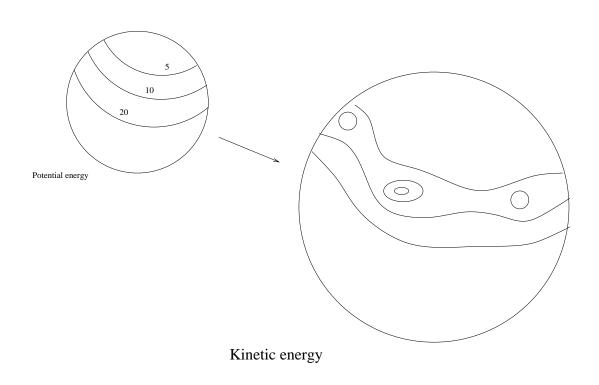


Energy cycle



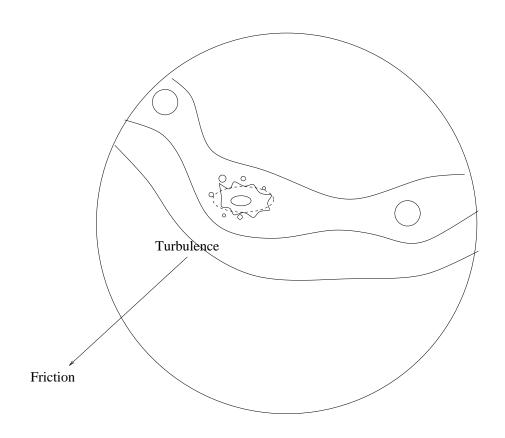
Solar heating produces the temperature gradient The result is potential energy

Energy cycle



Instability converts potential to kinetic energy

Energy cycle



Energy is ultimately dissipated at small scales, via turbulence