# **GEF 2220: Dynamics**

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Part 1: Dynamics: LaCasce

Chapter 7, Wallace and Hobbs + my notes

Part 2: Weather systems: *Røsting* 

Chapter 8, Wallace and Hobbs + extra articles + DIANA

# **Dynamics**

1) Derive the equations which describe atmospheric motion

- 2) Derive approximate balances
- 3) Understand pressure systems, temperature gradients
- 4) Introduce the general circulation

#### Variables

Six unknowns:

- $\blacksquare$  (u, v, w) Wind velocities
- ho p Pressure
- *T* Temperature
- $\blacktriangleright$   $\rho$  Density

#### Pressure



## Temperature



#### Winds



Wind 10m GFS (kts) 5 10 15 20 25 30 35 40 45 50 55 60

Mon 25/01/10 06GMT (Mon 06+00) ©weatheronline.co.uk

# **Primitive equations**

Momentum equations  $\leftarrow$  F = ma

Thermodynamic energy equation  $\leftrightarrow$  T

Continuity  $\leftrightarrow \rho$ 

Ideal gas law

# **Primitive equations**

Momentum:

$$\frac{\partial}{\partial t}u + \vec{u} \cdot \nabla u + f_y w - f_z v = -\frac{1}{\rho} \frac{\partial}{\partial x} p + \nu \nabla^2 u$$

$$\frac{\partial}{\partial t}v + \vec{u} \cdot \nabla v + f_z u = -\frac{1}{\rho} \frac{\partial}{\partial y} p + \nu \nabla^2 v$$

$$\frac{\partial}{\partial t}w + \vec{u} \cdot \nabla w - f_y u = -\frac{1}{\rho} \frac{\partial}{\partial z} p - g + \nu \nabla^2 w$$

# **Primitive equations**

Continuity:

$$\frac{\partial}{\partial t}\rho + \vec{u} \cdot \nabla\rho + \rho\nabla \cdot \vec{u} = 0$$

Ideal gas:

$$p = \rho RT$$

Thermodynamic energy:

$$c_v \frac{dT}{dt} + p \frac{d\alpha}{dt} = c_p \frac{dT}{dt} - \alpha \frac{dp}{dt} = \frac{dq}{dt}$$

# Prediction

Solve the equations numerically with weather models

Issues:

- Numerical resolution
- Vertical coordinate
- Small scale mixing
- Convection
- Clouds

Goal: forecasting

# **Dynamics**

Solve a simplified set of equations

- Identify dominant balances
- Simplify the equations
- Obtain solutions (analytical, numerical)
- Look for similiarities with observations

Goal: *understanding* the atmosphere

#### **Derivatives**

Consider an air parcel, with temperature T = T(x, y, z, t)The change in temperature, from the chain rule:

$$dT = \frac{\partial T}{\partial t} dt + \frac{\partial T}{\partial x} dx + \frac{\partial T}{\partial y} dy + \frac{\partial T}{\partial z} dz$$

So:

$$\frac{dT}{dt} = \frac{\partial T}{\partial t} + u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} + w \frac{\partial T}{\partial z}$$
$$= \frac{\partial T}{\partial t} + \vec{u} \cdot \nabla T$$

#### **Derivatives**

(u, v, w) are the wind velocities in the (x, y, z) directions

 $\frac{d}{dt}$  is the "Lagrangian" derivative

 $\frac{\partial}{\partial t} + \vec{u} \cdot \nabla$  is the "Eulerian" derivative





#### **Eulerian**



### **Momentum equations**



# **Momentum equations**

The acceleration in the x-direction is:

$$a_x = \frac{1}{m} \sum_i F_i$$

Two types of force:

Real

Apparent

#### Forces

#### **Real forces**

- Pressure gradient
- Gravity
- Friction

#### **Apparent forces**

- Coriolis
- Centrifugal



 $\delta V = \delta x \ \delta y \ \delta z$ 

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Using a *Taylor series*, we can write the pressure on the right side of the box:

$$p_R = p(x_0, y_0, z_0) + \frac{\partial p}{\partial x} \frac{\delta x}{2} + \dots$$

Similarly, the pressure on left side of the box is:

$$p_L = p(x_0, y_0, z_0) - \frac{\partial p}{\partial x} \frac{\delta x}{2} + \dots$$

The force on the right hand side (directed inwards):

$$F_R = -p_R A = \left[p(x_0, y_0, z_0) + \frac{\partial p}{\partial x} \frac{\delta x}{2}\right] \delta y \delta z$$

On left side:

$$F_L = p_L A = \left[ p(x_0, y_0, z_0) - \frac{\partial p}{\partial x} \frac{\delta x}{2} \right] \delta y \delta z$$

So the net force is:

$$F_x = F_L + F_R = -\frac{\partial p}{\partial x} \,\delta x \,\delta y \,\delta z$$

The volume weighs:

$$m = \rho \, \delta x \, \delta y \, \delta z$$

So:

$$a_x \equiv \frac{du}{dt} = \frac{F_x}{m} = -\frac{1}{\rho} \frac{\partial p}{\partial x}$$

Same derivation for the y and z directions.

Note this is a *Lagrangian* derivative

## **Momentum equations**

Momentum with pressure gradients:

$$\frac{du}{dt} = -\frac{1}{\rho} \frac{\partial}{\partial x} p$$
$$\frac{dv}{dt} = -\frac{1}{\rho} \frac{\partial}{\partial y} p$$
$$\frac{dw}{dt} = -\frac{1}{\rho} \frac{\partial}{\partial z} p$$

# Gravity

Acts downward (toward the center of the earth):

So only affects the vertical acceleration

$$a_z = \frac{F_z}{m} = -g$$

### **Momentum equations**

Momentum with pressure gradients and gravity:



Frictional stress represented by a 3x3 matrix,  $\vec{\tau}$ 

$$\vec{\tau} = \begin{pmatrix} \tau_{xx} & \tau_{xy} & \tau_{xz} \\ \tau_{yx} & \tau_{yy} & \tau_{yz} \\ \tau_{zx} & \tau_{zy} & \tau_{zz} \end{pmatrix}$$

So  $\tau_{zx}$  is the stress which acts in the *x* direction and varies with height



A stress gradient causes an accerleration:

$$\frac{du}{dt} = \frac{1}{\rho} \frac{\partial \tau_{zx}}{\partial z}$$

We don't know the stress. So we *parametrize* it in terms of the velocity:

$$\frac{1}{\partial}\tau_{zx} = \nu \frac{\partial}{\partial z}u$$

where  $\nu$  is a molecular mixing coefficient. So:

$$\frac{du}{dt} = \nu \frac{\partial^2}{\partial z^2} u$$

In 3 dimensions:

$$\frac{du}{dt} = \nu \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right)u = \nu \nabla^2 u$$

• Friction diffuses momentum, reducing velocity gradients

But  $\nu$  is typically small ( $\nu \approx 10^{-5} m^2/sec$ ), so friction is generally not important for large scale motion

#### **Momenutum equations**

With all the real forces:

$$\frac{du}{dt} = \frac{\partial}{\partial t}u + \vec{u} \cdot \nabla u = -\frac{1}{\rho}\frac{\partial}{\partial x}p + \nu\nabla^2 u$$

$$\frac{dv}{dt} = \frac{\partial}{\partial t}v + \vec{u} \cdot \nabla v = -\frac{1}{\rho}\frac{\partial}{\partial y}p + \nu\nabla^2 v$$

$$\frac{dw}{dt} = \frac{\partial}{\partial t}w + \vec{u} \cdot \nabla w = -\frac{1}{\rho}\frac{\partial}{\partial z}p - g + \nu \nabla^2 w$$

# **Apparent forces**





 $\delta \Theta = \Omega \delta t$ 

Assume  $\Omega = const.$  (reasonable for the earth)

Change in A is  $\delta A$ , the arc-length:

$$\delta \vec{A} = |\vec{A}| \sin(\gamma) \delta \Theta = \Omega |\vec{A}| \sin(\gamma) \delta t = (\vec{\Omega} \times \vec{A}) \, \delta t$$

So:

$$\frac{d\vec{A}}{dt} = \vec{\Omega} \times \vec{A}$$

This is the motion of a *fixed* vector. For a moving vector:

$$(\frac{d\vec{A}}{dt})_F = (\frac{d\vec{A}}{dt})_R + \vec{\Omega} \times \vec{A}$$

So the velocity in the fixed frame is equal to that in the rotating frame plus the rotational movement

If  $\vec{A} = \vec{r}$ , the position vector, then:

$$\left(\frac{d\vec{r}}{dt}\right)_F \equiv \vec{u}_F = \vec{u}_R + \vec{\Omega} \times \vec{r}$$

If  $\vec{A} = \vec{u}$ , we get the acceleration:

$$(\frac{d\vec{u}_F}{dt})_F = (\frac{d\vec{u}_F}{dt})_R + \vec{\Omega} \times \vec{u}_F = [\frac{d}{dt}(u_R + \vec{\Omega} \times \vec{r})]_R$$
$$+ \vec{\Omega} \times (\vec{u}_R + \vec{\Omega} \times \vec{r})$$
$$= (\frac{d\vec{u}_R}{dt})_R + 2\vec{\Omega} \times \vec{u}_R + \vec{\Omega} \times \vec{\Omega} \times \vec{r}$$
#### **Rotation**

Rearranging:

$$(\frac{d\vec{u}_R}{dt})_R = (\frac{d\vec{u}_F}{dt})_F - 2\vec{\Omega} \times \vec{u}_R - \vec{\Omega} \times \vec{\Omega} \times \vec{r}$$

Two additional terms:

- Coriolis acceleration  $\rightarrow -2\vec{\Omega} \times \vec{u}_R$
- **•** Centrifugal acceleration  $\rightarrow -\vec{\Omega} \times \vec{\Omega} \times \vec{r}$

## **Centrifugal acceleration**

Rotation requires a force towards the center of rotation—the *centripetal acceleration* 

From the rotating frame, the sign is opposite—the *centrifugal acceleration* 

Acceleration points out from the earth's radius of rotation

So has components in the radial and N-S directions



If the earth were perfectly spherical, the N-S component of the force would be *unbalanced* 

This would cause material (rocks, etc.) to move toward the equator

In fact, this has already happened

The result is that the earth is not spherical, but an oblate spheroid

With the redistributed mass, there is a component of gravity which exactly balances the centrifugal force in the N-S direction



What's left are the vertical components of gravity and of the centrifugal force

The vertical centrifugal acceleration can be absorbed into gravity:

$$g' = g - (\vec{\Omega} \times \vec{\Omega} \times \vec{r}) \cdot \hat{k}$$

This is still negative (pointed downward)

If not, the atmosphere would fly off the spinning earth!

Example: What is the centrifugal acceleration for a parcel of air at the Equator?

$$-\vec{\Omega}\times\vec{\Omega}\times\vec{r}=\Omega^2r$$

with:

$$r_e = 6.378 \times 10^6 \ m$$

and:

$$\Omega = \frac{2\pi}{3600(24)} \ sec^{-1}$$

So:

$$\Omega^2 r_e = 0.034 \ m/sec^2$$

This is much smaller than  $g = 9.8 \ m^2/sec$ 

• Only a minor change to absorb into g'

### **Cartesian coordinates**

Equatorial radius is only 21 km larger than at poles

So can use spherical coordinates

However, we will use Cartesian coordinates

- Simplifies the math
- Neglected terms are unimportant at weather scales

#### **Cartesian coordinates**



Rotation vector projects onto local vertical and meridional directions:

$$2\vec{\Omega} = 2\Omega \cos\theta \,\hat{j} + 2\Omega \sin\theta \,\hat{k} \equiv f_y \,\hat{j} + f_z \,\hat{k}$$

So the Coriolis force is:

$$-2\vec{\Omega} \times \vec{u} = -(0, f_y, f_z) \times (u, v, w)$$

$$= -(f_y w - f_z v, f_z u, -f_y u)$$

Example: What is the Coriolis acceleration on a parcel moving eastward at 10 m/sec at 45 N?

We have:

$$f_y = 2\Omega \cos(45) = 1.03 \times 10^{-4} \ sec^{-1}$$
$$f_z = 2\Omega \sin(45) = 1.03 \times 10^{-4} \ sec^{-1}$$
$$-2\vec{\Omega} \times \vec{u} = -(0, f_y, f_z) \times (u, 0, 0) = -f_z u \ \hat{j} + f_y u \ \hat{k}$$
$$= (0, -1.03 \times 10^{-3}, 1.03 \times 10^{-3}) \ m/sec^2$$

Vertical acceleration is negligible compared to gravity ( $g = 9.8 \ m/sec^2$ ), so has little effect in z

But unbalanced in the horizontal direction

Note the acceleration is to the *south* 

- Coriolis acceleration is most important in the horizontal
- Acts to the right in the Northern Hemisphere

In the Southern hemisphere,  $\theta < 0$ . Same problem, at 45 S:

$$f_y = 2\Omega \cos(-45) = 1.03 \times 10^{-4} \ sec^{-1}$$

$$f_z = 2\Omega sin(-45) = -1.03 \times 10^{-4} \ sec^{-1}$$

$$-2\vec{\Omega} \times \vec{u} = -f_z u \,\hat{j} + f_y u \,\hat{k}$$
$$= (0, +1.03 \times 10^{-3}, 1.03 \times 10^{-3}) \, m/sec^2$$

Acceleration to the north, to the left of the parcel velocity.

#### **Momentum equations**

Move Coriolis terms to the LHS:

$$\frac{\partial}{\partial t}u + \vec{u} \cdot \nabla u + f_y w - f_z v = -\frac{1}{\rho} \frac{\partial}{\partial x} p + \nu \nabla^2 u$$

$$\frac{\partial}{\partial t}v + \vec{u} \cdot \nabla v + f_z u = -\frac{1}{\rho} \frac{\partial}{\partial y} p + \nu \nabla^2 v$$

$$\frac{\partial}{\partial t}w + \vec{u} \cdot \nabla w - f_y u = -\frac{1}{\rho} \frac{\partial}{\partial z} p - g + \nu \nabla^2 w$$



Consider a fixed volume

Density flux through the left side:

$$\left[\rho u - \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\delta y\,\delta z$$

Through the right side:

$$\left[\rho u + \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\delta y\,\delta z$$

So the net rate of change in mass is:

$$\frac{\partial}{\partial t}m = \frac{\partial}{\partial t}(\rho \,\partial x \,\partial y \,\partial z) = \left[\rho u - \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\partial y \,\partial z$$
$$-\left[\rho u + \frac{\partial}{\partial x}(\rho u)\frac{\partial x}{2}\right]\partial y \,\partial z = -\frac{\partial}{\partial x}(\rho u)\partial x \,\partial y \,\partial z$$

The volume  $\delta V$  is constant, so:

$$\frac{\partial}{\partial t}\rho = -\frac{\partial}{\partial x}(\rho u)$$

Taking the other sides of the box:

$$\frac{\partial \rho}{\partial t} = -\frac{\partial}{\partial x}(\rho u) - \frac{\partial}{\partial y}(\rho v) - \frac{\partial}{\partial z}(\rho w) = -\nabla \cdot (\rho \vec{u})$$

Can rewrite:

$$\nabla \cdot (\rho \vec{u}) = \rho \nabla \cdot \vec{u} + \vec{u} \cdot \nabla \rho \; .$$

So:

$$\frac{\partial \rho}{\partial t} + \vec{u} \cdot \nabla \rho + \rho (\nabla \cdot \vec{u}) = 0$$

Can also derive using a *Lagrangian* box

As the box moves, it conserves it mass. So:

$$\frac{1}{m}\frac{d}{dt}(\partial m) = \frac{1}{\rho\delta V}\frac{d}{dt}(\rho\delta V) = \frac{1}{\rho}\frac{d\rho}{dt} + \frac{1}{\delta V}\frac{d\delta V}{dt} = 0$$

Expand the volume term:

$$\frac{1}{\delta V}\frac{d\delta V}{dt} = \frac{1}{\delta x}\frac{d}{dt}\delta x + \frac{1}{\delta y}\frac{d}{dt}\delta y + \frac{1}{\delta z}\frac{d}{dt}\delta z$$
$$= \frac{1}{\delta x}\delta\frac{dx}{dt} + \frac{1}{\delta y}\delta\frac{dy}{dt} + \frac{1}{\delta z}\delta\frac{dz}{dt} = \frac{\delta u}{\delta x} + \frac{\delta v}{\delta y} + \frac{\delta w}{\delta z}$$

As  $\delta \rightarrow 0$ :

$$\frac{\delta u}{\delta x} + \frac{\delta v}{\delta y} + \frac{\delta w}{\delta z} \to \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z}$$

So:

$$\frac{1}{\rho}\frac{d\rho}{dt} + \nabla \cdot \vec{u} = 0$$

Change in density proportional to the velocity *divergence*.

If volume changes, density changes to keep mass constant.

### **Ideal Gas Law**

Five of the equations are *prognostic*: they describe the time evolution of fields.

But we have one *diagnostic* relation.

This relates the density, pressure and temperature

#### **Ideal Gas Law**

For dry air:

$$p = \rho RT$$

where

$$R = 287 \ Jkg^{-1}K^{-1}$$

### Moist air

Law moist air, can write (Chp. 3):

$$p = \rho R T_v$$

where the *virtual temperature* is:

$$T_v \equiv \frac{T}{1 - e/p(1 - \epsilon)}$$
$$\epsilon \equiv \frac{R_d}{R_v} = 0.622$$

We will ignore moisture. But remember that we *can* take it into account in this way.

## **Primitive equations**

Continuity:

$$\frac{\partial}{\partial t}\rho + \vec{u} \cdot \nabla\rho + \rho\nabla \cdot \vec{u} = 0$$

Ideal gas:

$$p = \rho RT$$

Thermodynamic energy:

$$c_v \frac{dT}{dt} + p \frac{d\alpha}{dt} = c_p \frac{dT}{dt} - \alpha \frac{dp}{dt} = \frac{dq}{dt}$$

## **Thermodynamic equation**



Change in internal energy = heat added - work done:

$$de = dq - dw$$

Work is done by expanding against external forces:

$$dw = Fdx = pAdx = pdV$$

If dV > 0, the volume is doing the work

Assume the volume has a unit mass, so that:

$$\rho V = 1$$

Then:

$$dV = d(\frac{1}{\rho}) = d\alpha$$

where  $\alpha$  is the *specific volume*. So:

$$de = dq - p \, d\alpha$$

Add heat to the volume, the temperature rises. The *specific heat*  $(c_v)$  determines how much. If the volume is held constant:

$$dq_v = c_v dT$$

With dV = 0, equals the change in internal energy:

$$dq_v = de_v = c_v dT$$

*Joule's Law*: *e* only depends on temperature for an ideal gas. So even if *V* changes:

$$de = c_v dT$$

So:

$$dq = c_v dT + p \, d\alpha$$

Divide by dt to find the theromorphism of the energy equation:

$$\frac{dq}{dt} = c_v \frac{dT}{dt} + p \frac{d\alpha}{dt}$$

Now imagine we keep the pressure constant:

$$dq_p = c_p dT$$

We let the volume expand while keeping p constant. This requires more heat to raise the temperature. Rewrite the work term:

$$p\,d\alpha = d(p\alpha) - \alpha dp$$

So:

$$dq = c_v dT + d(p\alpha) - \alpha dp$$

The ideal gas law is:

$$p = \rho RT = \alpha^{-1}RT$$

So:

$$d(p\alpha) = RdT$$

Thus:

 $dq = (c_v + R)dT - \alpha dp$ 

At constant pressure, dp = 0, so:

$$dq_p = (c_v + R)dT = c_p dT$$

So the specific heat at constant pressure is *greater* than at constant volume. For dry air, measurements yield:

$$c_v = 717Jkg^{-1}K^{-1}, \quad c_p = 1004Jkg^{-1}K^{-1}$$

SO:

$$R = 287 \ Jkg^{-1}K^{-1}$$

So we can also write:

$$dq = c_p dT - \alpha dp$$

Dividing by dt, we have:

$$\frac{dq}{dt} = c_v \frac{dT}{dt} + p \frac{d\alpha}{dt} = c_p \frac{dT}{dt} - \alpha \frac{dp}{dt}$$

#### **Basic balances**

Not all terms in the momentum equations are equally important for weather systems.

Will simplify the equations by identifying primary balances (throw out as many terms as possible).

Begin with horizontal momentum equations.

## **Scaling**

General technique: *scale* equations using estimates of the various parameters. Take the x-momentum equation:

$$\frac{\partial}{\partial t}u + u\frac{\partial}{\partial x}u + v\frac{\partial}{\partial y}u + w\frac{\partial}{\partial z}u + f_yw - f_zv = -\frac{1}{\rho}\frac{\partial}{\partial x}p + \nu\nabla^2 u$$
$$\frac{U}{T} - \frac{U^2}{L} - \frac{U^2}{L} - \frac{UW}{D} - f_yW - f_zU - \frac{\Delta_H P}{\rho L} - \frac{\nu U}{L^2}$$


Now use typical values. Length scales:

```
L \approx 10^6 m, \quad D \approx 10^4 m
```

Horizontal scale is 1000 km, the *synoptic scale* (of weather systems).

Velocities:

 $U \approx V \approx 10 \, m/sec$ ,  $W \approx 1 \, cm/sec$ 

Notice the winds are *quasi-horizontal* 



Pressure term, from measurements:

$$\Delta_H P / \rho \approx 10^3 m^2 / sec^2$$

Time scale:

$$T = L/U \approx 10^5 sec$$

Called an "advective time scale" ( $\approx 1$  day).

Also  $\nu \approx 10^{-5} m^2 \sec$  for the friction term



Coriolis terms:

$$(f_y, f_z) = 2\Omega(\cos\theta, \sin\theta)$$

with

$$\Omega = 2\pi (86400)^{-1} sec^{-1}$$

Assume at mid-latitudes:

$$f_y \approx f_z \approx 10^{-4} sec^{-1}$$



Plug in:

$$\frac{\partial}{\partial t}u + u\frac{\partial}{\partial x}u + v\frac{\partial}{\partial y}u + w\frac{\partial}{\partial z}u + f_yw - f_zv = -\frac{1}{\rho}\frac{\partial}{\partial x}p + \nu\nabla^2 u$$

$$\frac{U}{T} \qquad \frac{U^2}{L} \qquad \frac{U^2}{L} \qquad \frac{UW}{D} \qquad fW \quad fU \qquad \frac{\Delta_H P}{\rho L} \qquad \frac{\nu U}{L^2}$$

 $10^{-4}$   $10^{-4}$   $10^{-4}$   $10^{-5}$   $10^{-6}$   $10^{-3}$   $10^{-3}$   $10^{-16}$ 

Keeping only the  $10^{-3}$  terms:

$$f_z v = \frac{1}{\rho} \frac{\partial}{\partial x} p$$
$$f_z u = -\frac{1}{\rho} \frac{\partial}{\partial y} p$$

These are the *geostrophic* relations.

Balance between the pressure gradient and Coriolis force.

Fundamental momentum balance at synoptic scales

- Low pressure to left of the wind in Northern Hemisphere
- Low pressure to *right* in Southern Hemisphere

But balance *fails* at equator, because  $f_z = 2\Omega sin(0) = 0$ . There we must keep other terms.







Example: The pressure difference is 0.37 kPa over 100 km at 45 N. How strong are the winds?

$$f_z = 2\Omega sin(45) = (1.45 \times 10^{-4})(.7071) sec^{-1} = 1.03 \times 10^{-4} sec^{-1}$$

$$\frac{\partial p}{\partial l} = \frac{0.37 \times 10^3 \, N/m^2}{10^5 \, m} = 3.7 \times 10^{-3} \, N/m^3$$

$$u = \frac{1}{\rho_0 f_z} \frac{\partial p}{\partial l} = \frac{1}{(1.2 \ kg/m^3)(1.03 \times 10^{-4} sec^{-1})} (3.7 \times 10^{-3} \ N/m^3)$$

= 29.9 m/sec (Strong!)

So:

Is a *diagnostic relation* 

• Given the pressure, can calculate the horizontal velocities

But geostrophy cannot be used for *prediction* 

Means that we must also retain the  $10^{-4}$  terms in the scaling

# **Approximate horizontal momentum**

So:

$$\frac{\partial}{\partial t}u + u\frac{\partial}{\partial x}u + v\frac{\partial}{\partial y}u - f_z v = -\frac{1}{\rho}\frac{\partial}{\partial x}p$$
$$\frac{\partial}{\partial t}v + u\frac{\partial}{\partial x}v + v\frac{\partial}{\partial y}v + f_z u = -\frac{1}{\rho}\frac{\partial}{\partial y}p$$

These equations are *quasi-horizontal*: neglect vertical motion

Explains why the horizontal winds are so much larger than in the vertical

Geostrophy most important balance at synoptic scales. But other balances possible. Consider purely circular flow:



Must use cylindrical coordinates. From standard text books, can find that the acceleration in the radial direction is:

$$\frac{d}{dt}u_r - \frac{u_\theta^2}{r} - fu_\theta = -\frac{1}{\rho}\frac{\partial}{\partial r}p$$

#### $u_{\theta}^2/r$ is the *cyclostrophic* term

This is related to centripetal acceleration.

Assume no radial motion:  $u_r = 0$ . Then:

$$\frac{u_{\theta}^2}{r} + fu_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

Scaling we get:

Or:



The ratio:

$$\frac{U}{fR} \equiv \epsilon$$

is called the *Rossby number*. If  $\epsilon \ll 1$ , the first term is very small. So we have:

$$f u_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

The geostrophic relation.

If  $\epsilon \gg 1$ , the first term dominates.



A tornado at mid-latitudes has:

$$U \approx 30m/s, \ f = 10^{-4} sec^{-1}, \ R \approx 300m \rightarrow \epsilon \approx 1000$$

# **Cyclostrophic wind balance**

Then we have:

$$\frac{u_{\theta}^2}{r} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

or:

$$u_{\theta} = \pm (\frac{r}{\rho} \frac{\partial}{\partial r} p)^{1/2}$$

- Rotation does not enter.
- Winds can go either way.

### **Inertial oscillations**

Third possibility: there is no radial pressure gradient:

$$\frac{u_{\theta}^2}{r} + fu_{\theta} = 0$$

then:

$$u_{\theta} = -fr$$

Rotation is clockwise (*anticyclonic*) in the Northern Hemisphere.

## **Inertial oscillations**



A drifting buoy in the Baltic Sea, July 1969. Courtesy Persson and Broman.

## **Inertial oscillations**

The time for a fluid parcel to complete a loop is:

$$\frac{2\pi r}{u_{\theta}} = \frac{2\pi}{f} = \frac{0.5 \ day}{|sin\theta|}$$

Called the "inertial period"

Strong effect in the surface ocean

Less frequently observed in the atmosphere

Fourth possibility: all terms are important ( $\epsilon \approx 1$ )

$$\frac{u_{\theta}^2}{r} + fu_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p$$

Solve using the quadratic formula:

$$u_{\theta} = -\frac{1}{2}fr \pm \frac{1}{2}(f^{2}r^{2} + \frac{4r}{\rho}\frac{\partial}{\partial r}p)^{1/2}$$
$$= -\frac{1}{2}fr \pm \frac{1}{2}(f^{2}r^{2} + 4rfu_{g})^{1/2}$$

If  $u_g < 0$  (anticyclone), we require:

$$|u_g| < \frac{fr}{4}$$

#### If $u_g > 0$ (cyclone), there is *no limit*

Wind gradients can be *much stronger* in cyclones than in anticyclones

Alternately can write:

$$\frac{u_{\theta}^2}{r} + fu_{\theta} = \frac{1}{\rho} \frac{\partial}{\partial r} p = fu_g$$

Divide through by  $fu_{\theta}$ :

$$\frac{u_{\theta}}{fr} + 1 = \epsilon + 1 = \frac{u_g}{u_{\theta}}$$

So if  $\epsilon = 0.1$ , the gradient wind estimate differs by 10 %

- At low latitudes, e can be 1-10. Then the gradient wind estimate is more accurate.
- Geostrophy is symmetric to sign changes: no difference between cyclones and anticyclones
- The gradient wind balance is not symmetric to sign change. Cyclones can be stronger.



Winds weaker than geostrophic for a low pressure system; they are stronger for a high pressure system.



An *anomalous low*: low pressure with clockwise flow

Usually only occurs at low latitudes, where Coriolis weak

Now scale the vertical momentum equation

$$\frac{\partial}{\partial t}w + u\frac{\partial}{\partial x}w + v\frac{\partial}{\partial y}w + w\frac{\partial}{\partial z}w - f_yu = -\frac{1}{\rho}\frac{\partial}{\partial z}p - g$$
$$\frac{UW}{L} \quad \frac{UW}{L} \quad \frac{UW}{L} \quad \frac{W^2}{D} \quad fU \quad \frac{\Delta_V P}{\rho D} \quad g$$

We must scale:

$$\frac{1}{\rho}\frac{\partial}{\partial z}p$$

The vertical variation of pressure much greater than the horizontal variation:

$$\Delta_V P/\rho \approx 10^5 m^2/sec^2$$

$$\frac{\partial}{\partial t}w + u\frac{\partial}{\partial x}w + v\frac{\partial}{\partial y}w + w\frac{\partial}{\partial z}w - f_yu = -\frac{1}{\rho}\frac{\partial}{\partial z}p - g$$

$$\frac{UW}{L} \quad \frac{UW}{L} \quad \frac{UW}{L} \quad \frac{W^2}{D} \quad fU \quad \frac{\Delta_V P}{\rho D} \quad g$$

$$10^{-7} \quad 10^{-7} \quad 10^{-7} \quad 10^{-10} \quad 10^{-3} \quad 10 \quad 10$$

# **Static atmosphere**

Dominant balance is between the vertical pressure gradient and gravity

However, same balance *if there no motion at all* !

Setting (u, v, w) = 0 in the equations of motion yields:

$$\frac{1}{\rho}\frac{\partial}{\partial x}p = \frac{1}{\rho}\frac{\partial}{\partial y}p = \frac{\partial}{\partial t}\rho = \frac{dT}{dt} = 0$$

Which implies:

$$\rho = \rho(z), \quad p = p(z), \quad T = T(z)$$

# **Static atmosphere**

Two equations left:

$$\frac{\partial}{\partial z}p = -\rho g$$

the hydrostatic balance and

$$p = \rho RT$$

Equations describe a non-moving atmosphere



# **Static atmosphere**

Aside: what is "sea level pressure"? Integrate the hydrostatic relation:

$$p(z) = \int_{z}^{\infty} \rho g \, dz \; .$$

The pressure at any point is equal to the weight of air above it. Sea level pressure is:

$$p(0) = 101.325 \ kPa \ (1013.25mb)$$

The average weight per square meter of the *entire* atmospheric column

# Scaling

Static hydrostatic balance not interesting for weather. Separate the pressure and density into static and non-static (moving) components:

$$p(x, y, z, t) = p_0(z) + p'(x, y, z, t)$$

$$\rho(x, y, z, t) = \rho_0(z) + \rho'(x, y, z, t)$$

Assume:

$$|p'| \ll |p_0|, \quad |\rho'| \ll |\rho_0|$$

# **Scaling**

Then:

$$-\frac{1}{\rho}\frac{\partial}{\partial z}p - g = -\frac{1}{\rho_0 + \rho'}\frac{\partial}{\partial z}(p_0 + p') - g$$
$$\approx -\frac{1}{\rho_0}\left(1 - \frac{\rho'}{\rho_0}\right)\frac{\partial}{\partial z}(p_0 + p') - g$$
$$= -\frac{1}{\rho_0}\frac{\partial}{\partial z}p' + \left(\frac{\rho'}{\rho_0}\right)\frac{\partial}{\partial z}p_0 = -\frac{1}{\rho_0}\frac{\partial}{\partial z}p' - \frac{\rho'}{\rho_0}g$$

 $\rightarrow$  Neglect  $(\rho'p')$


Use these terms in the vertical momentum equation

But how to scale?

Vertical variation of the perturbation pressure comparable to the horizontal perturbation:

$$\frac{1}{\rho_0} \frac{\partial}{\partial z} p' \propto \frac{\Delta_H P}{\rho_0 D} \approx 10^{-1} m/sec^2$$



Also:

#### $|\rho'| \approx 0.01 |\rho_0|$

So:

 $\frac{\rho'}{\rho_0}g \approx 10^{-1}m/sec^2$ 

## Scaling



 $10^{-7}$   $10^{-7}$   $10^{-7}$   $10^{-10}$   $10^{-3}$   $10^{-1}$   $10^{-1}$ 

## **Hydrostatic perturbations**

Dominant balance still hydrostatic, but with perturbations:

$$\frac{\partial}{\partial z}p' = -\rho'g$$

thus vertical acceleration unimportant at synoptic scales

But we lost the vertical velocity! Deal with this later.

# **Coriolis parameter**

So *all* terms with  $f_y$  are unimportant

From now on, neglect  $f_y$  and write  $f_z$  simply as f:

 $f \equiv 2\Omega sin(\theta)$ 

 $f_y$  only important near the equator

# **Static atmosphere**

One result of the hydrostatic relation is that we can estimate how density changes with height.

Say T = const. (an *isothermal* atmosphere):

$$\frac{\partial}{\partial z}p_0 = -\rho_0 g$$

Substituting from the ideal gas law, we have:

$$RT\frac{\partial}{\partial z}\rho_0 = -\rho_0 g$$

## **Static atmosphere**

The solution to this is:

$$\rho_0(z) = \rho_0(0) \, e^{-z/H}$$

So the density decays exponentially with height. The e-folding scale is the "scale height":

$$H \equiv \frac{RT}{g}$$

#### **Pressure coordinates**

The hydrostatic balance implies an equivalence between changes in pressure and z

Can use it to change vertical coordinates

Consider constant pressure surfaces (here in two dimensions):



#### **Pressure coordinates**

On a pressure surface:

$$dp = \frac{\partial p}{\partial x} \, dx + \frac{\partial p}{\partial z} \, dz = 0$$

Substitute hydrostatic relation:

$$dp = \frac{\partial p}{\partial x} \, dx - \rho g \, dz = 0$$

So:

$$\frac{\partial p}{\partial x} = \rho g \frac{dz}{dx} \equiv \rho \, \frac{\partial \Phi}{\partial x}$$

# Geopotential

where  $\Phi$  is the *geopotential* 

This is proportional to the height of a given pressure surface

 $\rightarrow$  instead of pressure at a certain height, we think of the height of a pressure surface

# Geopotential



# Geostrophy

Removes density from the momentum equation!

$$\frac{du}{dt} - fv = -\frac{1}{\rho}\frac{\partial p}{\partial x} = -\frac{\partial \Phi}{\partial x}$$

Now the geostrophic balance is:

$$fv = \frac{\partial}{\partial x}\Phi$$

$$fu = -\frac{\partial}{\partial y}\Phi$$

These are *linear* relations

# Geostrophy



500 hPa

# Geopotential

How do we understand the geopotential?

 $\Phi \approx gz$ 

So geopotential is proportional to potential energy ( $\rho gz$ )

Particles accelerate toward minima in potential energy



#### **Vertical velocities**

Different vertical velocities:



## Lagrangian derivative

Lagrangian derivative is now:

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \frac{dx}{dt}\frac{\partial}{\partial x} + \frac{dy}{dt}\frac{\partial}{\partial y} + \frac{dp}{dt}\frac{\partial}{\partial p}$$

$$= \frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y} + \omega \frac{\partial}{\partial p}$$

How does  $\omega$  relate to the actual vertical velocity?

$$\omega = \frac{dp}{dt} = \frac{\partial}{\partial t}p + u\frac{\partial}{\partial x}p + v\frac{\partial}{\partial y}p + w\frac{\partial}{\partial z}p$$

Using the hydrostatic relation:

$$\omega = \frac{dp}{dt} = \frac{\partial}{\partial t}p + u\frac{\partial}{\partial x}p + v\frac{\partial}{\partial y}p - \rho gw$$

For geostrophic motion:

$$u\frac{\partial}{\partial x}p + v\frac{\partial}{\partial y}p = (-\frac{1}{\rho f}\frac{\partial}{\partial y}p)(\frac{\partial}{\partial x}p) + (\frac{1}{\rho f}\frac{\partial}{\partial x}p)(\frac{\partial}{\partial y}p) = 0$$

So

$$\omega \approx \frac{\partial}{\partial t} p - \rho g w$$

Also:

$$\frac{\partial}{\partial t}p\approx 10hPa/day$$

 $\rho g w \approx (1.2 kg/m^3) \left(9.8 m/sec^2\right) (0.01 m/sec) \approx 100 hPa/day$ 

So:

#### $\omega\approx -\rho g w$

This is accurate within 10 % in the mid-troposphere

Less accurate near the ground, due to topography

At the surface:

$$w_s = u\frac{\partial}{\partial x}z_s + v\frac{\partial}{\partial y}z_s$$



Topography most important for  $\omega$  in the lowest 1-2 km of the troposphere

# Continuity

Continuity equation also changes in pressure coordinates

Consider a Lagrangian box:

$$V = \delta x \, \delta y \, \delta z = -\delta x \, \delta y \, \frac{\delta p}{\rho g}$$

with a mass:

$$m = \rho V = -\delta x \, \delta y \, \delta p/g$$

# Continuity

Conservation of mass:

$$\frac{1}{m}\frac{d}{dt}m = \frac{g}{\delta x \delta y \delta p}\frac{d}{dt}\left(\frac{\delta x \delta y \delta p}{g}\right) = 0$$

#### Using the chain rule:

$$\frac{1}{\delta x}\,\delta(\frac{dx}{dt}) + \frac{1}{\delta y}\,\delta(\frac{dy}{dt}) + \frac{1}{\delta p}\,\delta(\frac{dp}{dt}) = 0$$

# Continuity

Let  $\delta \rightarrow 0$ :

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial \omega}{\partial p} = 0$$

The flow is *incompressible* in pressure coordinates

This equation is also linear

Much simpler to work with than continuity equation in *z*-coordinates (which is nonlinear)

### **Hydrostatic balance**

$$\frac{dp}{dz} = -\rho g$$

$$dp = -\rho g dz = -\rho d\Phi$$

So:

$$\frac{d\Phi}{dp} = -\frac{1}{\rho} = -\frac{RT}{p}$$

using the Ideal Gas Law

## **Summary: Pressure coordinates**

Geostrophy:

$$fv = \frac{\partial}{\partial x}\Phi, \qquad fu = -\frac{\partial}{\partial y}\Phi$$

Continuity:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial \omega}{\partial p} = 0$$

Hydrostatic:

$$\frac{d\Phi}{dp} = -\frac{RT}{p}$$

Geostrophy tells us what the velocities are if we know the geopotential on a pressure surface

What about the velocities on *other* pressure surfaces?

Say we have information on the 500 hPa surface, but we wish to estimate winds on the 400 hPa surface

Requires knowing the velocity shear

This shear is determined by the thermal wind relation

From the hydrostatic balance:

$$\frac{\partial \Phi}{\partial p} = -\frac{RT}{p}$$

Now take the derivative wrt pressure of the geostrophic relation:

$$\frac{\partial}{\partial p} \left( f v_g = \frac{\partial \Phi}{\partial x} \right)$$

But:

$$\frac{\partial}{\partial p}\frac{\partial\Phi}{\partial x} = \frac{\partial}{\partial x}\frac{\partial\Phi}{\partial p} = -\frac{R}{p}\frac{\partial T}{\partial x}$$



Or:

So:

$$\frac{\partial v_g}{\partial \ln(p)} = -\frac{R}{f} \frac{\partial T}{\partial x}$$

Shear is proportional to the temperature gradient

If we know the velocity at  $p_0$ , can calculate it at  $p_1$ 

Integrate between two pressure levels:

$$v_g(p_1) - v_g(p_0) = -\frac{R}{f} \int_{p_0}^{p_1} \frac{\partial T}{\partial x} d\ln(p)$$
$$= -\frac{R}{f} \frac{\partial}{\partial x} \int_{p_0}^{p_1} T d\ln(p)$$

## Mean temperature

Define the *mean temperature* in layer between  $p_0$  and  $p_1$ :

$$\overline{T} \equiv \frac{\int_{p_0}^{p_1} T \, d(lnp)}{\int_{p_0}^{p_1} d(lnp)} = \frac{\int_{p_0}^{p_1} T \, d(lnp)}{ln(\frac{p_1}{p_0})}$$

Then:

$$v_g(p_1) - v_g(p_0) = \frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial \overline{T}}{\partial x}$$

Similarly:

$$u_g(p_1) - u_g(p_0) = -\frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial \overline{T}}{\partial y}$$

Alternately we can use geostrophy to calculate the shear between  $p_0$  and  $p_1$ :

$$v_g(p_1) - v_g(p_0) = \frac{1}{f} \frac{\partial}{\partial x} (\Phi_1 - \Phi_0) \equiv \frac{g}{f} \frac{\partial}{\partial x} Z_{10}$$

and:

$$u_g(p_1) - u_g(p_0) = -\frac{1}{f} \frac{\partial}{\partial y} (\Phi_1 - \Phi_0) \equiv -\frac{g}{f} \frac{\partial}{\partial y} Z_{10}$$

where:

$$Z_{10} = \frac{1}{g} \left( \Phi_1 - \Phi_0 \right)$$

is the layer *thickness* between  $p_0$  and  $p_1$ .

Shear proportional to gradients of layer thickness

Thus:

$$v_g(p_1) - v_g(p_0) = \frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial \overline{T}}{\partial x} = \frac{g}{f} \frac{\partial}{\partial x} Z_{10}$$

So:

$$Z_{10} = \frac{R}{g} \ln(\frac{p_0}{p_1}) \,\overline{T}$$

Layer thickness is proportional to the mean temperature

## Layer thickness



## **Barotropic atmosphere**

Example 1: temperature is constant on pressure surfaces

Then  $\nabla T = 0 \rightarrow no \ vertical \ shear$ 

Velocities don't change with height

Also: all layers have equal thickness: stacked like pancakes

# **Equivalent barotropic**

Example 2: temperature and geopotential contours parallel:



Wind changes magnitude but not direction with height


# **Equivalent barotropic**

Consider the zonal-average temperature :

$$\frac{1}{2\pi} \int_0^{2\pi} T \, d\phi$$

Decreases from the equator to the pole

So  $\frac{\partial}{\partial y}T < 0$ 

Thermal wind  $\rightarrow$  winds increase with height

### Jet Stream



#### Jet Stream

Example: At 30N, the zonally-averaged temperature gradient is  $0.75 \ Kdeg^{-1}$ , and the average wind is zero at the earth's surface. What is the mean zonal wind at the level of the jet stream ( $250 \ hPa$ )?

$$u_g(p_1) - u_g(p_0) = u_g(p_1) = -\frac{R}{f} \ln(\frac{p_0}{p_1}) \frac{\partial T}{\partial y}$$

$$u_g(250) = -\frac{287}{2\Omega sin(30)} \ln(\frac{1000}{250}) \left(-\frac{0.75}{1.11 \times 10^5 \, m}\right) = 36.8 \, m/sec$$

### **Baroclinic atmosphere**

Example 3: Temperature not parallel to geopotential

Geostrophic wind has a component normal to the temperature contours (isotherms)

Produces geostrophic temperature advection

Winds blow from warm to cold or vice versa

#### **Vertical shear**

We have derived the vertical shear in pressure coordinates

Recall that pressure increases going down

The shear in z-coordinates has the opposite sign

For example:

$$\frac{\partial T}{\partial y} < 0 \quad \to \quad \frac{\partial u}{\partial p} < 0 \quad \to \quad \frac{\partial u}{\partial z} > 0$$

So the Jet Stream gets stronger going upward

#### **Temperature advection**



#### **Temperature advection**

Warm advection  $\rightarrow$  veering

• Anticyclonic (clockwise) rotation with height

Cold advection  $\rightarrow$  backing

• Cyclonic (counter-clockwise) rotation with height



Geostrophic wind parallel to geopotential contours

• high pressure to the right (North Hemisphere)

Thermal wind parallel to mean *temperature* (thickness) contours

• high temperature (thickness) to the right

# **Divergence and vorticity**

Two important quantities in dynamical meteorology:

• Divergence

$$\chi = \nabla \cdot \vec{u}$$

• Vorticity

$$\vec{\zeta} = \nabla \times \vec{u}$$

### **Divergence and density**

Continuity equation:

$$\frac{d\rho}{dt} + \rho \,\nabla \cdot \underline{u} = 0$$

So:

$$\frac{1}{\rho}\frac{d\rho}{dt} = -\chi$$

• Density changes due to divergence

# Divergence



# Example

The divergence in a region is constant and positive:

$$\chi = D > 0$$

What happens to the density of an air parcel?

# Example

$$\frac{1}{\rho}\frac{d\rho}{dt} = -D$$

$$\frac{d\rho}{dt} = -\rho D$$

$$\rho(t) = \rho(0) \ e^{-Dt}$$

Density decreases exponentially in time

#### **Divergence and vertical motion**

In pressure coordinates, the total divergence is zero:

$$\chi = \frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v + \frac{\partial}{\partial p}\omega = 0$$

This gives us a way of estimating the vertical velocity,  $\omega$ , from the horizontal velocities:

$$\frac{\partial}{\partial p}\omega = -(\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v)$$

### **Divergence and vertical motion**

Integrating with respect to pressure, we get:

$$\omega(p) - \omega(p^*) = -\int_{p*}^{p} \left(\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v\right)dp$$

If we take p \* = 0 (the top of the atmosphere):

$$\omega(p) = -\int_0^p \left(\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v\right)dp$$

Where there is *horizontal* divergence, there is vertical motion

# Divergence





The full vorticity is a vector:

$$\vec{\zeta} \equiv \nabla \times \vec{u}$$
$$\vec{\zeta} = \left(\frac{\partial w}{\partial y} - \frac{\partial v}{\partial z}, \frac{\partial u}{\partial z} - \frac{\partial w}{\partial x}, \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}\right)$$

Because the winds are quasi-two dimensional, the most important is the *vertical component*:

$$\vec{\zeta} = \zeta \hat{k} = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$$

# Vorticity



# Vorticity



# Example

What is the vorticity of a typical tornado? Assume *solid body rotation*, with a velocity of 100 m/sec, 20 m from the center.

In cylindrical coordinates, the vorticity is:

$$\zeta = \frac{1}{r} \frac{\partial r v_{\theta}}{\partial r} - \frac{1}{r} \frac{\partial v_{r}}{\partial \theta}$$

For solid body rotation,  $v_r = 0$  and

$$v_{\theta} = \omega r$$

with  $\omega = \text{const.}$ 

## Vorticity

So:

$$\zeta = \frac{1}{r} \frac{\partial r v_{\theta}}{\partial r} = \frac{1}{r} \frac{\partial \omega r^2}{\partial r} = 2\omega$$

We have  $v_{\theta} = 100$  m/sec at r = 20 m:

$$\omega = \frac{v_{\theta}}{r} = \frac{100}{20} = 5 \, rad/sec$$

So:

$$\zeta = 10 \, rad/sec$$

Now add rotation. Recall the velocity in the fixed frame:

$$\vec{u}_F = \vec{u}_R + \vec{\Omega} \times \vec{r}$$

So:

$$\nabla \times \vec{u}_F = \nabla \times (\vec{u}_R + \vec{\Omega} \times \vec{r}) = \vec{\zeta} + \nabla \times (\vec{\Omega} \times \vec{r})$$

$$=\vec{\zeta}+\nabla\times(z\Omega_y-y\Omega_z,x\Omega_z,-x\Omega_y)$$

$$=\vec{\zeta}+2\vec{\Omega}$$

• Like the tornado, the earth is in solid body rotation—its vorticity is *twice the rotation rate* 

Two components:

- $\nabla \times \vec{u}$  the *relative vorticity*
- $2\Omega$  the planetary vorticity

Vertical component is the most important:

$$\zeta_a \cdot \hat{k} = \left(\frac{\partial}{\partial x}v - \frac{\partial}{\partial y}u\right) + 2\Omega_z = \zeta + 2\Omega sin(\theta) = \zeta + f$$

Hereafter,  $\zeta$  refers only to the vertical relative vorticity



The Rossby number



Geostrophic velocities

Planetary vorticity dominates the absolute vorticity



Cyclostrophic velocities

Relative vorticity dominates

Circulation is the integral of vorticity over an area:

$$\Gamma \equiv \iint \zeta dA$$

Due to Stoke's theorem, we can rewrite this as an integral of the velocity around the circumference:

$$\Gamma = \iint \nabla \times \vec{u} \, dA = \oint \vec{u} \cdot \hat{n} \, dl$$

Thus we can derive an equation for the circulation by integrating the momentum equations around a closed curve.

Consider the full momentum equations in *z*-coordinates:

$$\frac{d}{dt}u + f_y w - f_z v = -\frac{1}{\rho}\frac{\partial}{\partial x}p + F^x$$
$$\frac{d}{dt}v + f_z u = -\frac{1}{\rho}\frac{\partial}{\partial y}p + F^y$$
$$d \qquad 1 \ \partial$$

$$\frac{a}{dt}w - f_y u = -\frac{1}{\rho}\frac{\partial}{\partial z}p - g + F^z$$

We can write these in vector form as:

$$\frac{d}{dt}\vec{u}_F = -\frac{1}{\rho}\nabla p + \vec{g} + \vec{F}$$

Define the *absolute circulation* as:

$$\Gamma_F = \oint \vec{u}_F \cdot \vec{dl}$$

Notice that:

$$\frac{d}{dt}\Gamma_F = \oint \frac{d}{dt}\vec{u}_F \cdot \vec{dl} + \oint \vec{u}_F \cdot \frac{d}{dt}\vec{dl}$$

Rewrite the last term as:

$$\oint \vec{u}_F \cdot \frac{d}{dt} \vec{dl} = \oint \vec{u}_F \cdot \vec{du} = \frac{1}{2} \oint d|\vec{u}|^2| = 0$$

This vanishes integrated around a closed circuit

So we have:

$$\frac{d}{dt}\Gamma_F = -\oint \frac{\nabla p}{\rho} \cdot \vec{dl} + \oint \vec{g} \cdot \vec{dl} + \oint \vec{F} \cdot \vec{dl}$$

Gravity vanishes because can write as the gradient of a potential:

$$\vec{g} = -g\hat{k} = \frac{\partial}{\partial z}(-gz) = \nabla \Phi_g$$

and the closed integral of a gradient vanishes:

$$\oint \nabla \Phi_g \cdot \vec{dl} = \oint d\Phi_g = 0$$

So:

$$\frac{d}{dt}\Gamma_F = -\oint \frac{dp}{\rho} + \oint \vec{F} \cdot \vec{dl}$$

Put rotation back in:

$$\Gamma_F = \oint (\vec{u}_R + \Omega \times r) \cdot \vec{dl}$$

Using Stoke's theorem:

$$\oint (\vec{u}_R + \vec{\Omega} \times \vec{r}) \cdot \vec{dl} = \iint \nabla \times (\vec{u}_R + \vec{\Omega} \times \vec{r}) \cdot \hat{n} \, dA$$

From before:

$$\nabla \times (\vec{\Omega} \times \vec{r}) = 2\vec{\Omega}$$

So:

$$\frac{d}{dt} \iint (\vec{\zeta} + 2\vec{\Omega}) \cdot \hat{n} \, dA = -\oint \frac{dp}{\rho} + \oint \vec{F} \cdot \vec{dl}$$

Notice we have not made *any* approximations up to now. If the motion is quasi-horizontal, then  $\hat{n} = \hat{k}$ , so:

$$\frac{d}{dt} \iint (\zeta + f) \, dA = -\oint \frac{dp}{\rho} + \oint \vec{F} \cdot \vec{dl}$$

Consider the pressure term. If the atmosphere is *barotropic* (the temperature and density are constant on pressure surfaces):

$$\oint \frac{dp}{\rho} = \frac{1}{\rho} \oint dp = 0$$

Also, if we use pressure surfaces, then:

$$dp = \rho d\Phi$$

SO:

$$\oint \frac{dp}{\rho} = \oint d\Phi = 0$$

In either case, the pressure term vanishes

So if friction is also unimportant ( $\vec{F} = 0$ ), then:

$$\frac{d}{dt}\Gamma_a = 0$$

The *absolute circulation* (the sum of relative and planetary circulations) is conserved on the parcel

If the area is small, the vorticity is approximately constant over the area, so:

$$\frac{d}{dt}\Gamma_a \approx \frac{d}{dt}(\zeta + f)A = 0$$

which implies:

$$(\zeta + f)A = const.$$

on a parcel. Thus if a parcel's area or latitude changes, it's vorticity must change to compensate.



Move a parcel north, where f is larger. Either:

- Vorticity decreases
- Area decreases

# **Vorticity equation**

Kelvin's theorem applies to a region of air

Derive an equation which works pointwise

First expand for a small area:

or:

$$\frac{d}{dt}(\zeta+f)A = A\frac{d}{dt}(\zeta+f) + (\zeta+f)\frac{dA}{dt} = 0$$

$$\frac{d}{dt}(\zeta + f) = -\frac{1}{A}(\zeta + f)\frac{dA}{dt}$$
#### **Vorticity equation**

Let the small region have an area:

$$A = \delta x \, \delta y$$

Then:

$$\frac{1}{A}\frac{dA}{dt} = \frac{1}{\delta x \,\delta y} (\delta y \frac{d}{dt} \delta x + \delta x \frac{d}{dt} \delta y)$$
$$= \frac{\delta u}{\delta x} + \frac{\delta v}{\delta y}$$

As  $\delta \rightarrow 0$ , have:

$$\frac{1}{A}\frac{dA}{dt} = \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}$$

## **Vorticity equation**

So we have the *vorticity equation*:

$$\frac{d}{dt}(\zeta + f) = -(\zeta + f)(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y})$$

This is a *very* useful equation. It applies at all points, so it tells us how vorticity is changing everywhere.

But notice: the equation is in Cartesian coordinates, but  $f = 2\Omega sin(\theta)$  is in polar coordinates!

## **Beta-plane approximation**

If we limit the latitude range, we can expand f in a Taylor Series about the center latitude:

$$f(\theta) \approx f(\theta_0) + (\theta - \theta_0) \frac{df}{d\theta} + \frac{(\theta - \theta_0)^2}{2} \frac{d^2 f}{d\theta^2} + \dots$$

We have  $y = R\theta$ , where R is the earth radius. Keeping the first two terms:

$$f \approx f_0 + \beta(y - y_0)$$

where:

$$f_0 = 2\Omega sin(\theta_0), \quad \beta = \frac{2\Omega}{R} cos(\theta_0)$$

## **Beta-plane**

In order for this to work, we require that the range of latitudes be small. In particular, we require the second term to be small compared to the first:

$$|f_0| \gg |\beta(y-y_0)|$$

If the range of y is equal to L, then we require:

$$L \ll \frac{f_0}{\beta} = \frac{2\Omega \sin(\theta)}{2\Omega \cos(\theta)/R} = R \tan(\theta) \approx R$$

So the domain scale in y must be small compared to the earth's radius (6400 km)

## **Beta-plane**

With the  $\beta$ -plane approximation, we have:

$$\frac{d}{dt}f = v\frac{df}{dy} = \beta v$$

So the vorticity equation is just:

$$\frac{d}{dt}\zeta + \beta v = -(f+\zeta)\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

## Non-divergence

Another benefit of the  $\beta$ -plane approximation is that the geostrophic velocities are *non-divergent* 

$$u_g = -\frac{1}{f} \frac{\partial \Phi}{\partial y} \approx -\frac{1}{f_0} \frac{\partial \Phi}{\partial y}$$
  
 $v_g \approx \frac{1}{f_0} \frac{\partial \Phi}{\partial x}$ 

So:

$$\frac{\partial u_g}{\partial x} + \frac{\partial v_g}{\partial y} = -\frac{1}{f_0} \frac{\partial^2 \Phi}{\partial y \partial x} + \frac{1}{f_0} \frac{\partial^2 \Phi}{\partial x \partial y} = 0$$

## **Beta-plane**

So with geostrophic velocities, on the  $\beta$ -plane, the vorticity equation is approximately:

$$\frac{d}{dt}\zeta_g + \beta v_g = 0$$

Here:

So:

$$\zeta_g = \frac{\partial v_g}{\partial x} - \frac{\partial u_g}{\partial y} = \frac{1}{f_0} \left( \frac{\partial^2 \Phi}{\partial x^2} + \frac{\partial^2 \Phi}{\partial y^2} \right) = \frac{1}{f_0} \nabla^2 \Phi$$

$$\frac{d}{dt}\nabla^2\Phi + \beta\frac{\partial\Phi}{\partial x} = 0$$

## Example

Say the geopotential is initially:

$$\Phi = f_0 A \sin(2x - \omega t) \sin(\pi y)$$

#### Describe how the field evolves in time

What is  $\omega$ ?

# **Initial geopotential**



We must solve:

$$\frac{d}{dt}\nabla^2\Phi + \beta\frac{\partial\Phi}{\partial x} = 0$$

or:

$$\frac{\partial}{\partial t}\nabla^2 \Phi + u_g \cdot \nabla (\nabla^2 \Phi) + \beta \frac{\partial \Phi}{\partial x} = 0$$

Evaluate each of the terms.

The velocities are:

$$u_g = -\frac{1}{f_0} \frac{\partial}{\partial y} \Phi = -\pi A \sin(2x - \omega t) \cos(\pi y)$$
$$v_g = \frac{1}{f_0} \frac{\partial}{\partial x} \Phi = 3A \cos(2x - \omega t) \sin(\pi y)$$

and:

$$\nabla^2 \Phi = -(4+\pi^2)f_0 A \sin(2x-\omega t)\sin(\pi y)$$

We also need the derivatives:

$$\frac{\partial}{\partial x} \nabla^2 \Phi = -2(4 + \pi^2) f_0 A \cos(2x - \omega t) \sin(\pi y)$$

$$\frac{\partial}{\partial y}\nabla^2 \Phi = -\pi (4 + \pi^2) f_0 A \sin(2x - \omega t) \cos(\pi y)$$

Collect terms:

$$u_g \frac{\partial}{\partial x} \nabla^2 \Phi + v_g \frac{\partial}{\partial y} \nabla^2 \Phi = [\pi A \sin(2x - \omega t) \cos(\pi y)] \times$$

 $\left[2(4+\pi^2)f_0A\cos(2x-\omega t)\sin(\pi y)\right] - \left[2A\cos(2x-\omega t)\sin(\pi y)\right] \times \frac{1}{2}\left[2(4+\pi^2)f_0A\cos(2x-\omega t)\sin(\pi y)\right] + \frac{1}{2}\left[2(4+\pi^2)f_0A\cos(2x-\omega t)\sin(\pi y)\right] - \frac{1}{2}\left[2(4+\pi^2)f_0A\cos(2x-\omega t)\sin(\pi y)\right] + \frac{1}{2}\left[2(4+\pi^2)f_0A\cos(2x-\omega t)\cos(2x-\omega t)\cos$ 

$$\left[\pi(4+\pi^2)f_0A\sin(2x-\omega t)\cos(\pi y)\right]$$

 $= \left[2\pi f_0 A^2 (4+\pi^2) - 2\pi f_0 A^2 (4+\pi^2)\right] \sin(2x-\omega t) \cos(2x-\omega t)$ 

 $\times \sin(\pi y)\cos(\pi y) = 0$ 

#### Also:

$$\beta \frac{\partial}{\partial x} \Phi = 2\beta f_0 A \cos(2x - \omega t) \sin(\pi y)$$

And:

So:

$$\frac{\partial}{\partial t}\nabla^2 \Phi = \omega (4 + \pi^2) f_0 A \cos(2x - \omega t) \sin(\pi y)$$

$$\omega(4 + \pi^2) f_0 A \cos(2x - \omega t) \sin(\pi y)$$
$$+ 2\beta f_0 A \cos(2x - \omega t) \sin(\pi y) = 0$$

This simplifies to:

$$\omega = -\frac{2\beta}{4+\pi^2}$$

So the solution is:

$$\Phi = A\sin(2x + \frac{2\beta}{4 + \pi^2}t)\sin(\pi y)$$

This is a "travelling wave"

Note we have obtained a "prediction" of the field valid at *all times* in the future

#### **Phase speed**

We can rewrite the solution:

$$\Phi = A\cos[2(x + \frac{\beta t}{4 + \pi^2})]\sin(\pi y)$$

Thus the wave preserves it shape and tranlates with a *phase speed*:

$$c = \frac{\omega}{k} = -\frac{\beta}{4+\pi^2}$$

This is how fast the crests in the wave move

Because c < 0, waves move toward *negative* x (westward)

#### Westward



In fact, the solution holds for any type of sinusoidal wave. Consider:

$$\Phi = Aexp(ikx + ily - i\omega t)$$

Here (k, l) are the *wavenumbers* in the x- and y-directions:

$$k = \frac{2\pi}{\lambda_x} \quad , \quad l = \frac{2\pi}{\lambda_y}$$

In the previous example, k = 2 and  $l = \pi$ 

With this exponential form, it's easy to derive all the terms in the vorticity equation:

$$u_g = -\frac{1}{f_0}il\Phi, \quad v_g = \frac{1}{f_0}ik\Phi, \quad \zeta = -\frac{1}{f_0}(k^2 + l^2)\Phi$$

The advective term is:

$$u\frac{\partial}{\partial x}\zeta + v\frac{\partial}{\partial y}\zeta = -\frac{1}{f_0^2}kl(k^2 + l^2)\Phi^2 + \frac{1}{f_0^2}kl(k^2 + l^2)\Phi^2 = 0$$

So this vanishes for any single wave

 $\rightarrow$  The wave does not advect its own vorticity

So the vorticity equation is:

$$\frac{\partial}{\partial t}\nabla^2 \Phi + \beta \frac{\partial}{\partial x} \Phi = 0$$

or:

$$i\omega(k^2 + l^2)\Phi + ik\beta\Phi = 0$$

Solving for  $\omega$ :

$$\omega = -\frac{\beta k}{k^2+l^2}$$

This is known as the *dispersion relation* for the wave

So the solution is:

$$\Phi = Aexp(ikx + ily + i\frac{\beta kt}{k^2 + l^2})$$

This has a phase speed in the x-direction of:

$$c = \frac{\omega}{k} = -\frac{\beta}{k^2 + l^2}$$

This is *always negative*, so all waves go west

Also, big waves (with small (k, l)) move fastest

# Westward propagation

The westward propagation is actually a consequence of Kelvin's theorem

Fluid parcels advected north/south acquire relative vorticity

The parcels then advect neighboring parcels around them

Leads to a westward drift of the wave

## Westward propagation



#### **Rossby waves**

Solution is known as a Rossby wave

Discovered by Carl Gustav Rossby (1936)

Observed in the atmosphere

Important for weather patterns

Study more later (GEF4500)

In previous example, we ignored the divergence. But very important for the growth of unstable disturbances (storms)

The vorticity equation is:

$$\frac{d}{dt}\left(\zeta+f\right) = -\left(\zeta+f\right)\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

We can write this as:

$$\frac{d}{dt}\zeta_a = -\zeta_a \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

where  $\zeta_a = \zeta + f$  is the absolute vorticity

Consider flow with constant divergence:

$$\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v = D > 0$$

$$\frac{d}{dt}\zeta_a = -\zeta_a \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) = -D\zeta_a$$
$$\zeta_a(t) = \zeta_a(0) \ e^{-Dt}$$

So:

$$\zeta_a = \zeta + f \to 0$$
$$\zeta \to -f$$

#### Divergent flow favors *anticyclonic* vorticity

Vorticity approaches -f, regardless of initial value

Vorticity cannot exceed f



Now say D = -C

$$\frac{d}{dt}\zeta_a = -\zeta_a \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) = C\zeta_a$$
$$\zeta_a(t) = \zeta_a(0) \ e^{Ct}$$

$$\zeta_a \to \pm \infty$$

But which sign?

If the Rossby number is small, then:

$$\zeta_a(0) = \zeta(0) + f \approx f > 0$$

So:

#### $\zeta \to +\infty$

Convergent flow favors cyclonic vorticity

Vorticity increases *without bound* 

• Why intense storms are cyclonic

# Convergence



In realistic cases though, require numerical solutions of the vorticity equation

This was done for weather forecasts in the 1940's

Approach:

Assume geostrophic velocities:

$$u \approx u_g = -\frac{1}{f_0} \frac{\partial \Phi}{\partial y}$$
$$v \approx v_g = \frac{1}{f_0} \frac{\partial \Phi}{\partial x}$$

$$\zeta = \zeta_g = \frac{1}{f_0} \frac{\partial v_g}{\partial x} - \frac{\partial u_g}{\partial y} = \frac{1}{f} \left(\frac{\partial^2 \Phi}{\partial x^2} + \frac{\partial^2 \Phi}{\partial y^2}\right) = \frac{1}{f} \nabla^2 \Phi$$

Again, the divergence vanishes identically:

$$\left(\frac{\partial u_g}{\partial x} + \frac{\partial v_g}{\partial y}\right) = 0$$

so the vorticity equation is:

$$(\frac{\partial}{\partial t} + u_g \frac{\partial}{\partial x} + v_g \frac{\partial}{\partial y})\zeta_g + \beta v_g = 0$$

(Notice we neglect the vertical advection on the LHS)

Now only one unknown:  $\Phi$ 

$$\left(\frac{\partial}{\partial t} + u_g \frac{\partial}{\partial x} + v_g \frac{\partial}{\partial y}\right) \zeta_g + \beta v_g = 0$$

#### becomes:

$$\left(\frac{\partial}{\partial t} - \frac{1}{f_0}\frac{\partial\Phi}{\partial y}\frac{\partial}{\partial x} + \frac{1}{f_0}\frac{\partial\Phi}{\partial x}\frac{\partial}{\partial y}\right)\left(\frac{1}{f_0}\nabla^2\Phi + \frac{\beta}{f_0}\frac{\partial\Phi}{\partial x} = 0$$

Or:

$$\frac{\partial}{\partial t}\nabla^2 \Phi = \frac{1}{f_0} \frac{\partial \Phi}{\partial y} \frac{\partial}{\partial x} \nabla^2 \Phi - \frac{1}{f_0} \frac{\partial \Phi}{\partial x} \frac{\partial}{\partial y} \nabla^2 \Phi - \beta \frac{\partial \Phi}{\partial x}$$

#### Predict how $\nabla^2 \Phi$ changes in time

Then we obtain  $\Phi$  by *inversion* 

Method:

- Obtain  $\Phi(x, y, t_0)$  from measurements on p-surface
- Calculate  $u_g(t_0)$ ,  $v_g(t_0)$ ,  $\nabla^2 \Phi(t_0)$
- Calculate  $\nabla^2 \Phi(t_1)$
- Invert to get  $\Phi(t_1)$
- Start over
- **• Obtain**  $\Phi(t_2)$ ,  $\Phi(t_3)$ ,...
$$\nabla^2 \Phi = f_0 \zeta$$

Poisson's equation

Need boundary conditions to solve

Usually do this numerically

Example: Let:

$$\zeta = \sin(3x)\sin(\pi y)$$

Say we have a channel:

$$x = [0, 2\pi], \quad y = [0, 1]$$

Periodic in x. Also solid walls at y = 0, 1 so that:

$$v = \frac{1}{f_0} \frac{\partial \Phi}{\partial x} = 0$$

$$\frac{\partial^2}{\partial x^2}\Phi + \frac{\partial^2}{\partial y^2}\Phi = \sin(3x)\sin(\pi y)$$

Try a particular solution:

$$\Phi = Asin(3x)sin(\pi y)$$

This solution works in a channel, because:

$$\Phi(x=2\pi) = \Phi(x=0)$$

and  $\frac{\partial}{\partial x}\Phi = 0$  at y = 0, 1:



Substitute into equation:

$$\frac{\partial^2}{\partial x^2}\Phi + \frac{\partial^2}{\partial y^2}\Phi = -(9 + \pi^2)A\sin(3x)\sin(\pi y) = \sin(3x)\sin(\pi y)$$

So:

$$\Phi = -\frac{1}{9+\pi^2}\sin(3x)\sin(\pi y)$$

Then we can proceed (calculate  $u_g, v_g$ , etc.)

Inversion is a *smoothing* operation

Preferentially weights the large scale features. Say instead we had:

$$\nabla^2 \Phi = \sin(3x)\sin(3y) + \sin(x)\sin(y)$$

Then:

$$\Phi = \frac{1}{18}\sin(3x)\sin(3y) + \sin(x)\sin(y)$$

The smaller wave contributes less to the geopotential

## **Vorticity, turbulence simulation**

vorticity, t=3, j1dbluank



## Geopotential, turbulence simulation



geopotential, t=3, j1dbluank

### **Frictional effect**

Friction is weak in most of the troposphere, but acts near the ground. How does this affect the vorticity? From Kelvin's theorem:

$$\frac{d}{dt} \iint (\vec{\zeta} + 2\vec{\Omega}) \cdot \hat{k} \, dA = \oint \vec{F} \cdot \vec{dl}$$

From Stoke's theorem:

$$\oint \vec{F} \cdot \vec{dl} = \iint (\nabla \times \vec{F}) \cdot \hat{k} \, dA$$

For a small area, we have:

$$\frac{d}{dt}(\zeta + f)A = (\nabla \times \vec{F}) \cdot \hat{k} A$$

#### **Frictional effect**

or:

$$\frac{d}{dt}(\zeta + f) = -(\zeta + f)(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}) + (\nabla \times \vec{F}) \cdot \hat{k}$$

Say the frictional forcing is:

$$F_x = \nu \nabla^2 u, \quad F_y = \nu \nabla^2 v$$

Then:

$$\left(\nabla \times \vec{F}\right) \cdot \hat{k} = \left(\frac{\partial}{\partial x}F_y - \frac{\partial}{\partial y}F_x\right) = \nu \nabla^2 \left(\frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}\right) = \nu \nabla^2 \zeta$$

### **Friction**

Let's ignore the divergence term for a moment. Then:

$$\frac{d}{dt}(\zeta + f) = \nu \nabla^2 \zeta$$

If, in addition,  $f \approx const$ .:

$$\frac{d}{dt}\zeta = \nu\nabla^2\,\zeta$$

So friction *diffuses* vorticity. It causes cyclones to spread out and weaken

### Friction

But how long does this take?

Scaling the vorticity equation, we find:

$$\frac{\zeta}{T} = \frac{\nu\zeta}{L^2} \quad \rightarrow \quad T = \frac{L^2}{\nu}$$

If  $\nu = 10^{-5} m^2 / sec$  and  $L = 10^6 m$ , then:

$$T = 10^{17} \sec \approx 3.17 \times 10^9 \, yr !$$

• Molecular friction ineffective at damping storms

## **Summary**

The vorticity equation is approximately:

$$\frac{d}{dt}(\zeta + f) = -(\zeta + f)\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

On the  $\beta$ -plane, we can write:

$$\frac{d}{dt}\zeta + \beta v = -(\zeta + f)\left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right)$$

- Vorticity changes due to meridional motion
- Vorticity changes due to divergence
- Including friction diffuses vorticity

Consider an atmospheric layer with *constant density*, between two surfaces, at  $z = z_1, z_2$  (e.g. the surface and the tropopause)

The continuity equation is:

$$\frac{d\rho}{dt} + \rho(\nabla \cdot \vec{u}) = 0$$

If density constant, then:

$$(\nabla \cdot \vec{u}) = \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

So:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = -\frac{\partial w}{\partial z}$$

Thus the vorticity equation can be written:

$$\frac{d}{dt}\left(\zeta+f\right) = \left(\zeta+f\right)\frac{\partial w}{\partial z}$$

# **Barotropic fluid**

Recall a barotropic fluid has no vertical shear

The thermal wind relation in *z*-coordinates is:

$$f\frac{\partial}{\partial z}v = \frac{1}{\rho}\frac{\partial}{\partial x}(\frac{\partial}{\partial z}p) = \frac{1}{\rho}\frac{\partial}{\partial x}(-\rho g) = 0$$

and

$$f\frac{\partial}{\partial z}u = -\frac{1}{\rho}\frac{\partial}{\partial y}(\frac{\partial}{\partial z}p) = -\frac{1}{\rho}\frac{\partial}{\partial y}(-\rho g) = 0$$

•  $(\zeta + f)$  does not vary with z!

(This is an example of the "Taylor-Proudman theorem")

So the integral of the vorticity equation is simply:

$$\int_{z_1}^{z_2} \left(\frac{\partial}{\partial t} + \vec{u} \cdot \nabla\right) \left(\zeta + f\right) dz = h\left(\frac{\partial}{\partial t} + \vec{u} \cdot \nabla\right) \left(\zeta + f\right) = \left(\zeta + f\right) \left[w(z_2) - w(z_1)\right]$$

where  $h = z_2 - z_1$ . Note that w = Dz/Dt. Thus:

$$w(z_2) - w(z_1) = \frac{d}{dt}(z_2 - z_1) = \frac{dh}{dt}$$

So:

$$h \, \frac{d}{dt}(\zeta + f) = (\zeta + f) \, \frac{dh}{dt}$$

dividing by  $h^2$ :

$$\frac{1}{h}\frac{d}{dt}(\zeta+f) - \frac{\zeta+f}{h^2}\frac{dh}{dt} = 0$$

which is the same as:

$$\frac{d}{dt}\frac{\zeta+f}{h} = 0$$

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Thus the barotropic potential vorticity (PV):

$$\frac{\zeta + f}{h} = const.$$

is conserved on a fluid parcel in the layer.

If *h* increases, either  $\zeta$  or *f* must also increase



### **Alternate derivation**

Consider a fluid column between  $z_1$  and  $z_2$ . As it moves, conserves mass:

$$\frac{d}{dt}(hA) = 0$$

So:

hA = const.

Because the density is constant, we can apply Kelvin's theorem:

$$\frac{d}{dt}(\zeta + f)A \propto \frac{d}{dt}\frac{\zeta + f}{h} = 0$$

But the atmosphere is not constant density. What use is the potential vorticity?

As move upward in atmosphere, both temperature and pressure change—neither is absolute.

But can define the *potential temperature* which is absolute—accounts for pressure change.

The potential vorticity can then be applied in layers *between potential temperature surfaces* 

We can write the thermodynamic energy equation as:

$$c_p dT - \alpha dp = dq$$

With zero heating, dq = 0, so:

$$c_p dT - \alpha dp = c_p dT - \frac{RT}{p} dp = 0$$

after using the ideal gas law. Rewriting:

$$c_p \, dlnT - R \, dlnp = 0$$

Thus:

$$c_p \ln T - R \ln p = const.$$

So:

$$c_p \ln T - R \ln p = c_p \ln \theta - R \ln p_0$$

Here  $\theta$  and  $p_0$  are the temperature and pressure at a reference level, usually the surface. Rearranging:

$$\theta = T \left(\frac{p_0}{p}\right)^{R/c_p}$$

The *potential temperature*,  $\theta$ , is the temperature a parcel would have if moved back to the earth's surface without any heating.

Alternately, if there is no heating, an air parcel conserves its potential temperature,  $\theta$ 

We call a surface with constant potential temperature an isentropic surface or an "adiabat"

Note the potential temperature depends on both T and p

Flow between two isentropic surfaces is trapped if there is zero heating

So mass in a column between two surfaces is conserved:

 $\rho A \delta z = const.$ 

We want to express this in terms of the separation between potential temperature surfaces. From the hydrostatic relation, we can write:

$$-\rho A \frac{\delta p}{\rho g} = -A \frac{\delta p}{g} = const.$$

where  $\delta p$  is the spacing in pressure



Rewrite  $\delta p$  thus:

$$\delta p = (\frac{\partial \theta}{\partial p})^{-1} \, \delta \theta$$

Here,  $\frac{\partial \theta}{\partial p}$  is the *stratification*. The stronger the stratification, the smaller the pressure difference between temperature surfaces. Thus:

$$-\frac{A\delta p}{g} = A(\frac{\partial \theta}{\partial p})^{-1} \frac{\delta \theta}{g} = const. \quad \to \quad A(\frac{\partial \theta}{\partial p})^{-1} = const.$$

Mass is conserved between the adibatic surfaces. But the flow is generally *not* barotropic (the flow will generally have vertical shear)

• Can we still apply Kelvin's theorem?

Assuming no friction, we have:

$$\frac{d}{dt} \iint (\zeta + f) \, dA = \oint \frac{dp}{\rho}$$

To evaluate the RHS, we write:

$$T = \theta(\frac{p}{p_0})^{R/c_p}$$

from the definition of potential temperature. With the Ideal Gas Law, we get:

$$p = \rho R\theta(\frac{p}{p_0})^{R/c_p}$$

or:

$$\rho = p^{c_v/c_p} \frac{1}{R\theta} p_0^{R/c_p} = C p^{c_v/c_p}$$

The density is a function *only of pressure*. So:

$$\oint \frac{dp}{\rho} \propto \oint dp^{1-c_v/c_p} = 0$$

 $\rightarrow$  Kelvin's theorem applies in the layer. Thus:

$$\frac{d}{dt}[(\zeta + f)A] = 0$$

This implies:

$$\frac{d}{dt}[(\zeta + f)\frac{\partial\theta}{\partial p}] = 0$$

This is Ertel's (1942) "isentropic potential vorticity"

It is conserved for adiabatic flows

A very useful quantity: can label air by its PV

Can distinguish air in the troposphere (which has high background PV) which comes from stratosphere (which has low PV)

Visible in satellite images because stratospheric air is also dry (less cloudy)

# **Isentropic PV**

PV on the 330 K surface (24-29/9/82); Hoskins et al. (1985)



# **Isentropic PV**

 $\Phi(500)$  (24-29/9/82); Hoskins et al. (1985)



### **Planetary boundary layer**



#### **Turbulence**

There is a *continuum* of eddy scales

Largest resolved by our models, but the smallest are not.



#### **Turbulence**

Turbulence causes flucutations with short time scales



Distinguish the time mean velocity and fluctuations
#### **Turbulence**

Define averaging procedure as:

$$\overline{a} \equiv \frac{1}{T} \int_0^T a \, dt$$

So if we write:

$$u = \overline{u} + u'$$

then:

$$\overline{u'} = 0$$

#### **Turbulence**

But note that  $\overline{u'u'} \neq 0$ 



So products of primed variables don't vanish on average

## **Reynolds decomposition**

Because the flow in the boundary layer is fully three dimensional and near the groud, we use the full momentum equations:

$$\frac{\partial}{\partial t}u + u\frac{\partial u}{\partial x} + v\frac{\partial u}{\partial y} + w\frac{\partial u}{\partial z} - fv = -\frac{1}{\rho}\frac{\partial}{\partial x}p$$
$$\frac{\partial}{\partial t}v + u\frac{\partial v}{\partial x} + v\frac{\partial v}{\partial y} + w\frac{\partial v}{\partial z} + fu = -\frac{1}{\rho}\frac{\partial}{\partial y}p$$

Again neglect molecular friction.

# **Boussinesq approximation**

Also, the density doesn't vary much. So:

$$\rho \approx \rho_0 + \rho'(x, y, z, t)$$

where  $\rho_0$ =const. and:

 $|\rho'| \ll |\rho_0|$ 

This allows us to write:

$$\frac{1}{\rho}\frac{\partial}{\partial x}p \quad \to \quad \frac{1}{\rho_0}\frac{\partial}{\partial x}p$$

This is called the *Boussinesq approximation*. It is valid in the boundary layer (and in the ocean).

# **Boussinesq approximation**

The Boussinesq approximation also simplifies the continuity equation:

$$\frac{d\rho}{dt} + \rho(\nabla \cdot \vec{u}) = 0$$

Assuming  $rho \approx \text{const.}$ , this is simply:

$$\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v + \frac{\partial}{\partial z}w = 0$$

So the boundary layer flow is *incompressible* 

# **Boussinesq approximation**

Incompressibility allows us to write the advection terms in a more usable form:

$$\vec{u} \cdot \nabla u = \nabla \cdot (\vec{u}u) - u(\nabla \cdot \vec{u}) = \nabla \cdot (\vec{u}u)$$

So the x-momentum equation can be written:

$$\frac{\partial}{\partial t}u + \frac{\partial}{\partial x}(uu) + \frac{\partial}{\partial y}(uv) + \frac{\partial}{\partial z}(uw) - fv = -\frac{1}{\rho_0}\frac{\partial}{\partial x}p$$

Substitute the partitioned variables into the x-momentum equation:

$$\frac{\partial}{\partial t}(\overline{u}+u') + \frac{\partial}{\partial x}(\overline{u}+u')(\overline{u}+u') + \frac{\partial}{\partial y}(\overline{v}+v')(\overline{u}+u') + \frac{\partial}{\partial z}(\overline{w}+w')(\overline{u}+u')$$

$$-f(\overline{v}+v') = \frac{1}{\rho_0} \frac{\partial}{\partial x} (\overline{p}+p')$$

Then we average the whole equation.

$$\frac{\partial}{\partial t}\overline{u} + \frac{\partial}{\partial x}(\overline{u}\overline{u}) + \frac{\partial}{\partial y}(\overline{v}\overline{u}) + \frac{\partial}{\partial z}(\overline{w}\overline{u}) - f\overline{v}$$
$$= -\frac{1}{\rho_0}\frac{\partial}{\partial x}\overline{p} - (\frac{\partial}{\partial x}\overline{u'u'} + \frac{\partial}{\partial y}\overline{u'v'} + \frac{\partial}{\partial z}\overline{u'w'})$$

Notice we moved the eddy terms to the RHS. Similarly:

$$\frac{\partial}{\partial t}\overline{v} + \frac{\partial}{\partial x}(\overline{u}\overline{v}) + \frac{\partial}{\partial y}(\overline{v}\overline{v}) + \frac{\partial}{\partial z}(\overline{w}\overline{v}) + f\overline{u}$$
$$= -\frac{1}{\rho_0}\frac{\partial}{\partial y}\overline{p} - (\frac{\partial}{\partial x}\overline{v'u'} + \frac{\partial}{\partial y}\overline{v'v'} + \frac{\partial}{\partial z}\overline{v'w'})$$

Prime terms on the RHS are the "eddy stresses". Because the aspect ratio of the BL is small, we can focus on the vertical terms:

$$\frac{\partial}{\partial t}\overline{u} + \frac{\partial}{\partial x}(\overline{u}\overline{u}) + \frac{\partial}{\partial y}(\overline{v}\overline{u}) + \frac{\partial}{\partial z}(\overline{w}\overline{u}) - f\overline{v} = -\frac{1}{\rho_0}\frac{\partial}{\partial x}\overline{p} - \frac{\partial}{\partial z}\overline{u'w'}$$
$$\frac{\partial}{\partial t}\overline{v} + \frac{\partial}{\partial x}(\overline{u}\overline{v}) + \frac{\partial}{\partial y}(\overline{v}\overline{v}) + \frac{\partial}{\partial z}(\overline{w}\overline{v}) + f\overline{u} = -\frac{1}{\rho_0}\frac{\partial}{\partial y}\overline{p} - \frac{\partial}{\partial z}\overline{v'w'}$$

If the Rossby number is small, the velocities outside the boundary layer are nearly geostrophic. So:

$$-f\overline{v} \approx -\frac{1}{\rho_0}\frac{\partial}{\partial x}\overline{p} - \frac{\partial}{\partial z}\overline{u'w'}$$

or:

$$-f\overline{v}\approx -f\overline{v}_g - \frac{\partial}{\partial z}\overline{u'w'}$$

$$f\overline{u} \approx f\overline{u}_g - \frac{\partial}{\partial z}\overline{v'w'}$$

 $\rightarrow$  The eddies *break* geostrophy

But we have too many unknowns! :  $\overline{u}, \overline{v}, u', v', w'$ 

We must *parametrize* the eddy terms, i.e. we must write the primed variables in terms of the unprimed variables.

There are two cases:

- Convective boundary layer
- Stable boundary layer

In a convective layer, heating from below causes the layer to overturn, mixing properties with height. The stable boundary layer is *stratified*.

Due to vertical mixing, temperature and velocity do not vary with height. So we can integrate the momentum equation vertically:

$$\int_{0}^{h} -f(\overline{v} - \overline{v}_{g}) dz = -fh(\overline{v} - \overline{v}_{g}) = -\int_{0}^{h} \frac{\partial}{\partial z} \overline{u'w'} dz = -\overline{u'w'}|_{h} + \overline{u'w'}|_{0}$$

We assume mixing vanishes at the top of the layer:

$$\overline{u'w'}|_h = 0$$

Thus:

$$fh(\overline{v} - \overline{v}_g) = -\overline{u'w'}|_0$$

The RHS is proportional to the *stress* the bottom exerts on the atmosphere, i.e.:

$$-\overline{u'w'}|_0 = \frac{\tau_{xz}}{\rho_0}|_0$$

From surface measurements, we can parameterize this stress:

$$\frac{\tau_{xz}}{\rho_0}|_0 = -\overline{u'w'}|_0 = C_d \mathcal{V} u,$$

Similarly, we have:

$$\frac{\tau_{yz}}{\rho_0}|_0 = -\overline{v'w'}|_0 = C_d \mathcal{V} v$$

Here  $C_d$  is the *drag coefficient*. It is determined by the data. Also:

$$\mathcal{V} \equiv (u^2 + v^2)^{1/2}$$

Notice the stress is proportional to the square of the velocity. We call this a *quadratic drag law*.

Thus:

$$fh(\overline{v} - \overline{v}_g) = C_d \mathcal{V} \,\overline{u}$$

and:

$$-fh(\overline{u} - \overline{u}_g) = C_d \mathcal{V} \,\overline{v}$$

Say  $v_g = 0$ ; then:

$$v = \frac{C_d}{fh} \, \mathcal{V} \, u,$$

$$u = u_g - \frac{C_d}{fh} \,\mathcal{V} \,v$$



If u > 0, then v > 0



• Flow down the pressure gradient

Solving the boundary layer equations is not so simple because  $\mathcal{V} = \sqrt{u^2 + v^2}$ 

Coupled nonlinear equations

But we can use iterative methods

Make a first guess, then iteratively correct

Now assume no large scale vertical mixing

Wind speed and direction can vary with height

General situation is very complicated

But we will examine a simple example

Primary assumption is that the eddy mixing is proportional to the mean shear

$$\overline{u'w'} \equiv -\frac{\tau_{xz}}{\rho_0} = A_z \,\frac{\partial}{\partial z}\overline{u}$$

here  $A_z$  is the "eddy exchange coefficient" (with units of  $m^2/sec$ 

• the stronger the shear, the stronger the mixing

By the same argument:

$$\overline{v'w'} = -\frac{\tau_{yz}}{\rho_0} = -A_z \,\frac{\partial}{\partial z}\overline{v}$$

So we have:

$$-fv = -fv_g + \frac{\partial}{\partial z} [A_z(z)\frac{\partial}{\partial z}u]$$
$$fu = fu_g + \frac{\partial}{\partial z} [A_z(z)\frac{\partial}{\partial z}v]$$

These are linear equations and can be solved for (u, v)

Simplest case is if  $A_z(z)$  is constant

Studied by Swedish oceanographer V. W. Ekman (1905)

Solution illustrates the general behavior

We consider a boundary layer above a flat surface

Boundary conditions: use the "no-slip condition":

$$u = 0, v = 0$$
 at  $z = 0$ 

Far from the surface, the velocities approach their geostrophic values:

$$u \to u_g, v \to v_g \quad z \to \infty$$

Assume the geostrophic flow is zonal and independent of height:

$$u_g = U, \qquad v_g = 0$$

Boundary layer velocities vary only in the vertical:

$$u = u(z)$$
,  $v = v(z)$ ,  $w = w(z)$ 

From continuity:

$$\frac{\partial}{\partial x}u + \frac{\partial}{\partial y}v + \frac{\partial}{\partial z}w = \frac{\partial}{\partial z}w = 0.$$

With a flat bottom, this implies:

$$w = 0$$

The system is linear, so can decompose the horizontal velocities:

$$u = U + \hat{u}, \quad v = 0 + \hat{v}$$

Then:

$$f\hat{v} = A_z \frac{\partial^2}{\partial z^2} \hat{u}$$

$$-f\hat{u} = A_z \frac{\partial^2}{\partial z^2} \hat{v}$$

Boundary conditions:

$$\hat{u} = -U, \hat{v} = 0 \quad at \ z = 0$$

Introduce a new variable:

 $\chi \equiv \hat{u} + i\hat{v}$ 

Then two equations reduce to:

$$\frac{\partial^2}{\partial z^2}\chi = i\frac{f}{A_z}\chi$$

The solution is:

$$\chi = A \exp(\frac{z}{\delta_E}) \exp(i\frac{z}{\delta_E}) + B \exp(-\frac{z}{\delta_E}) \exp(-i\frac{z}{\delta_E})$$

where:

$$\delta_E = \sqrt{\frac{2A_z}{f}}$$

This is the "Ekman depth"

Corrections must decay going up, so:

$$A = 0$$

Take the real part of the horizontal velocities:

$$\begin{split} u &= Re\{\chi\} = Re\{B\} \exp(-\frac{z}{\delta_E}) \cos(\frac{z}{\delta_E}) \\ &+ Im\{B\} \exp(-\frac{z}{\delta_E}) \sin(\frac{z}{\delta_E}) \end{split}$$

and

$$v = Im\{\chi\} = -Re\{B\} \exp(-\frac{z}{\delta_E}) \sin(\frac{z}{\delta_E})$$
$$+Im\{B\} \exp(-\frac{z}{\delta_E}) \cos(\frac{z}{\delta_E})$$

For zero flow at z = 0, require  $Re\{B\} = -U$  and  $Im\{B\} = 0$ .

So:

$$u = U + \hat{u} = U - U \exp(-\frac{z}{\delta_E}) \cos(\frac{z}{\delta_E})$$

$$v = \hat{v} = U \exp(-\frac{z}{\delta_E}) \sin(\frac{z}{\delta_E})$$

### Ekman layer, $\delta_E = 0.1$



### Ekman spiral, $\delta_E = 0.1$



#### **Ekman velocities**



## **Ekman spiral**

The velocity veers to the *left*, toward low pressure

Observations suggest  $u \rightarrow u_g$  at z = 1 km.

If  $f = 10^{-4}/sec$ , then  $A_z \approx 50 m^2/sec$ 

As in the convective boundary layer, turbulence allows flow from high pressure to low pressure.

# Spin-down

With flow down the pressure gradient, the boundary layer should *weaken* pressure systems

Consider how an Ekman layer causes a cyclone to decay in time

Or: what is the stress imposed by the Ekman layer on the overlying flow?

# Spin-down

In the *x*-momentum equation, we have that:

$$\frac{du}{dt} - fv = -\frac{1}{\rho_0} \frac{\partial}{\partial x} p - \frac{\partial}{\partial z} \overline{u'w'}$$

or:

$$\frac{du}{dt} - fv = -\frac{1}{\rho_0}\frac{\partial}{\partial x}p + \frac{\partial}{\partial z}\frac{\tau_{xz}}{\rho_0}$$

or:

$$\frac{du}{dt} - fv = -\frac{1}{\rho_0}\frac{\partial}{\partial x}p + \frac{\partial}{\partial z}(A_z\frac{\partial}{\partial z}u)$$

## Spin-down

Assume a barotropic flow. Then we can integrate the equation in the vertical:

$$h\frac{du}{dt} - fhv = -h\frac{1}{\rho_0}\frac{\partial}{\partial x}p + A_z\frac{\partial}{\partial z}u|_0^h$$

Here h is the depth of the fluid (e.g. the tropopause). The stress vanishes at the top of the layer, so:

$$\frac{du}{dt} - fv = -\frac{1}{\rho_0} \frac{\partial}{\partial x} p - \frac{A_z}{h} \frac{\partial}{\partial z} u|_0$$

Likewise:

$$\frac{dv}{dt} + fu = -\frac{1}{\rho_0} \frac{\partial}{\partial y} p - \frac{A_z}{h} \frac{\partial}{\partial z} v|_0$$
Have the shear terms from the Ekman derivation

If  $u_g = U$  and  $v_g = 0$ , we found:

$$u = U + \hat{u} = U - U \exp(-\frac{z}{\delta_E}) \cos(\frac{z}{\delta_E})$$

$$v = \hat{v} = U \exp(-\frac{z}{\delta_E}) \sin(\frac{z}{\delta_E})$$

So:

$$\frac{\partial}{\partial z}u|_0 = \frac{1}{\delta_e}U, \quad \frac{\partial}{\partial z}v|_0 = \frac{1}{\delta_e}U,$$

With  $(u_g, v_g) = (0, V)$ , you get:

$$\left(\frac{\partial}{\partial z}u, \frac{\partial}{\partial z}v\right)|_{0} = \frac{1}{\delta_{e}}(-V, V)$$

So for a general flow (U, V), we have:

$$\left(\frac{\partial}{\partial z}u, \frac{\partial}{\partial z}v\right)|_{0} = \frac{1}{\delta_{e}}(U - V, U + V)$$

We can put these into the momentum equations:

$$\frac{du}{dt} - fv = -\frac{1}{\rho_0} \frac{\partial}{\partial x} p - ru + rv$$
$$\frac{dv}{dt} + fu = -\frac{1}{\rho_0} \frac{\partial}{\partial y} p - ru - rv$$

where

$$r = \frac{A_z}{\delta_e h}$$

The Ekman layer acts like a linear drag

How does this affect the vorticity?

From Kelvin's theorem (pg 224):

$$\frac{d}{dt} \iint (\vec{\zeta} + 2\vec{\Omega}) \cdot \hat{k} \, dA = \iint (\nabla \times \vec{F}) \cdot \hat{k} \, dA$$

for a barotropic fluid. For a small area, this is:

$$\frac{d}{dt}(\zeta + f)A = \left(\frac{\partial}{\partial x}F_y - \frac{\partial}{\partial y}F_x\right)A$$

Plugging in the Ekman terms and assuming A = const.:

$$\frac{d}{dt}(\zeta + f) = r\frac{\partial}{\partial x}(-u - v) - r\frac{\partial}{\partial y}(-u + v)$$

or:

$$\frac{d}{dt}(\zeta + f) = -r\zeta - r\chi$$

where  $\chi$  is the divergence. For a geostrophic flow,  $\chi = 0$ . If we take f = const., then:

$$\frac{d}{dt}\zeta = -r\zeta$$

So that:

 $\zeta(t) = \zeta(0) \exp(-rt)$ 

Thus the vorticity "spins down" with a time scale of T = 1/r

This is the Ekman spin-down time. How big is it?

$$1/r = \frac{\delta_e h}{A_z} \approx \frac{10^3 (10^4)}{50} = 2 \times 10^5 \, sec$$

or about two days. This is *much* faster than with molecular damping, which gave a time of  $3 \times 10^9$  years! (pg 227)

Ekman friction is much more potent than molecular

For baroclinic flows, we write:

$$\frac{du}{dt} - fv = -\frac{1}{\rho_0} \frac{\partial}{\partial x} p - ru|_0$$
$$\frac{dv}{dt} + fu = -\frac{1}{\rho_0} \frac{\partial}{\partial y} p - rv|_0$$

 $\rightarrow$  Drag is determined by the bottom velocities

Note we drop the other two Ekman terms, as these contribute only to the divergence