# IN2110: Methods in Language Technology Dependency Parsing

Stephan Oepen

Language Technology Group (LTG)

April 30, 2019



# Topics for Today



- ► Short recap:
  - Phrase Structure vs. Dependency syntax
  - Formal properties of dependency graphs
- Universal Dependencies
- Data-driven dependency parsing
  - Variations on shift-reduce parsing
  - ► The arc-eager transition system
  - Thorough walk-through example
- Transition oracles and features
- Dependency Parser Evaluation
- Sample exam questions

#### **Recent Advances in Dependency Parsing**

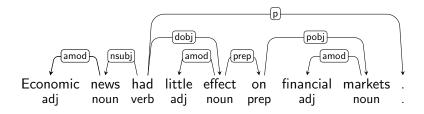
Tutorial, EACL, April 27th, 2014

Ryan McDonald<sup>1</sup> Joakim Nivre<sup>2</sup>

<sup>1</sup>Google Inc., USA/UK E-mail: ryanmcd@google.com

<sup>2</sup>Uppsala University, Sweden E-mail: joakim.nivre@lingfil.uu.se

#### **Dependency Structure**

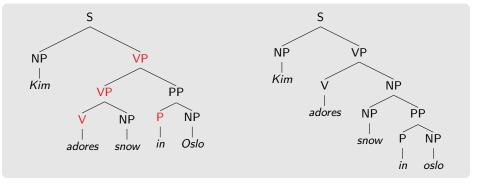


# Terminology

Superior	Inferior
Head	Dependent
Governor	Modifier
Regent	Subordinate
:	:

# Exercise (4): Dependency Syntaxx



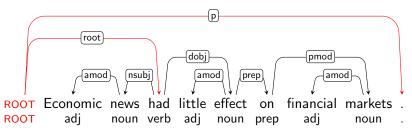


(4) Draw the dependency trees for the two readings. Where does the attachment ambiguity manifest itself?

## Connectedness, Acyclicity and Single-Head

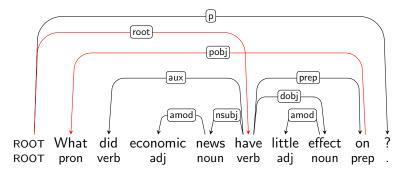
- Intuitions:
  - Syntactic structure is complete (Connectedness).
  - Syntactic structure is hierarchical (Acyclicity).
  - Every word has at most one syntactic head (Single-Head).

• Connectedness can be enforced by adding a special root node.



#### Projectivity

- Most theoretical frameworks do not assume projectivity.
- Non-projective structures are needed to account for
  - long-distance dependencies,
  - free word order.





M Inbox ( 🛛 🔯 G	oogle 🖉 START 🛛 Communit 🛛 🧒 4. Built 🗍 Cross-Frat 🗍 Infrastruct 🗍 🔝 IN 2111 🗍 English Re 🗍 Recent Ad	Speech English Re U Prague Universat Universit	KonText -     TIGER   + -
€ → ୯ û	① universaldependencies.org	📧 🕫 🚽 🔍 tiger treebank	→ II\ 🔶 🗉 ⊘ 🚥 🐔 🗏

#### This page pertains to UD version 2.

#### **Universal Dependencies**

Universal Dependencies (UD) is a framework for cross-linguistically consistent grammatical annotation and an open community effort with over 200 contributors producing more than 100 treebanks in over 70 languages.

- <u>Short introduction to UD</u>
- <u>UD annotation guidelines</u>
- More information on UD:
  - How to contribute to UD
  - o Tools for working with UD
  - Discussion on UD
  - <u>UD-related events</u>
- · Query UD treebanks online:
  - o SETS treebank search maintained by the University of Turku
  - o PML Tree Query maintained by the Charles University in Prague
  - o Kontext maintained by the Charles University in Prague
  - o Grew-match maintained by Inria in Nancy
  - o INESS maintained by the University of Bergen
- Download UD treebanks

If you want to receive news about Universal Dependencies, you can subscribe to the UD mailing list. If you want to discuss individual annotation

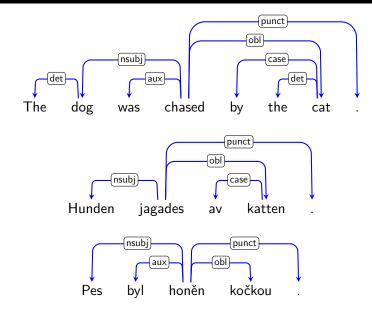
# Example 'Universal' Dependency Types



nsubj	nominal subject	She <u>arrived</u> .	
csubj	clausal subject	That she arrived surprised me.	
obj	(direct) object	My mother <u>called</u> me.	
iobj	indirect object	She <u>teaches</u> my daughter maths.	
ccomp	clausal complement	She <u>knew</u> that she arrived.	
xcomp	open clausal complement	She promised to sing.	
obl	oblique nominal	She <u>arrived</u> on Monday	
obl	oblique nominal	She <u>depends</u> on <u>me</u> .	
nmod	nominal modifier	the <u>office</u> of the chair is empty.	
amod	adjectival modifier	the fierce dog barks.	
acl	adjectival clause	the <u>dog</u> that barks arrived.	
conj	conjunct	Kim and Sandy arrived.	
сс	coordinating conjunction	Kim and Sandy arrived.	

# (Degrees of) Cross-Linguistic Consistency





• Capitalize on content words, e.g. demote case-marking prepositions.

#### **Data-Driven Dependency Parsing**

- Need to define a function  $f : \mathcal{X} \to \mathcal{G}$ 
  - ▶ From sentences  $x \in \mathcal{X}$  to valid dependency graphs  $G \in \mathcal{G}$
- ▶ Most common approach is to learn from training data *T*,
  - ▶ where  $\mathcal{T} = \{(x_1, G_1), (x_2, G_2), \dots, (x_n, G_n)\},\$
  - ▶ and (x<sub>i</sub>, G<sub>i</sub>) are labeled sentence and dependency graph pairs that make up the treebank.
- Supervised learning: Fully annotated training examples
- Semi-supervised learning: Annotated data plus constraints and features drawn from unlabeled resources
- Weakly-supervised learning: Constraints drawn from ontologies, structural and lexical resources
- Unsupervised learning: Learning only from unlabeled data

#### The Basic Idea

- Define a transition system for dependency parsing
- Learn a model for scoring possible transitions
- Parse by searching for the optimal transition sequence

# An Adaptation of Shift-Reduce Parsing

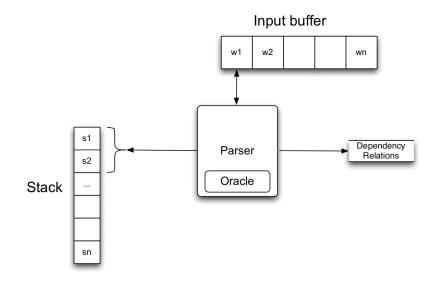
- ► Originally developed for non-ambiguous languages: deterministic.
- Shift ('read') tokens from input buffer, one at a time, left-to-right;
- ► compare top *n* symbols on stack against rule RHS: reduce to LHS.
- ► Dependencies: create arcs between top of stack and front of buffer.

SHIFTmove from front of buffer to top of stackREDUCEpop the top of stack (requires existing head)LEFT-ARC(K)leftward dependency of type k; reduceRIGHT-ARC(K)rightward dependency of type k; shift

- ► At REDUCE, token must be fully processed (head and dependents).
- ► LEFT-ARC must respect single-head constraint and unique root node.

## Architecture: Stack and Buffer Configurations





#### Arc-Eager Transition System [Nivre 2003]

Configuration:	(S, B, A) [	S = S	Stack, $B = Buffer$ , $A = A$	rcs]
Initial:	$([], [0, 1, \ldots, n], \{\})$			
Terminal:	( <i>S</i> ,[], <i>A</i> )			
Shift:	(S, i B, A)	$\Rightarrow$	(S i, B, A)	
Reduce:	(S i, B, A)	$\Rightarrow$	(S, B, A)	h(i, A)
Right-Arc(k):	(S i,j B,A)	$\Rightarrow$	$(S i j,B,A\cup\{(i,j,k)\})$	
Left-Arc(k):	(S i,j B,A)	$\Rightarrow$	$(S,j B,A\cup\{(j,i,k)\})$	$ eg h(i, A) \land i \neq 0$

Notation: S|i = stack with top i and remainder Sj|B = buffer with head j and remainder Bh(i, A) = i has a head in A

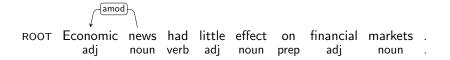
[ROOT]<sub>5</sub> [Economic, news, had, little, effect, on, financial, markets, .]<sub>B</sub>

#### ROOT Economic news had little effect on financial markets . adj noun verb adj noun prep adj noun .

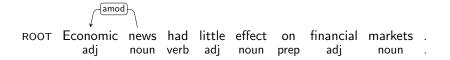
[ROOT, Economic]<sub>S</sub> [news, had, little, effect, on, financial, markets, .]<sub>B</sub>

ROOT Economic news had little effect on financial markets . adj noun verb adj noun prep adj noun .

[ROOT]<sub>S</sub> [news, had, little, effect, on, financial, markets,  $.]_B$ 



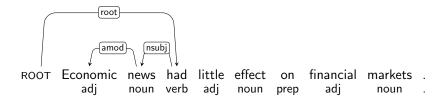
[ROOT, news]<sub>S</sub> [had, little, effect, on, financial, markets, .]<sub>B</sub>



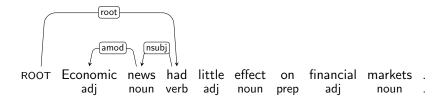
[ROOT]<sub>S</sub> [had, little, effect, on, financial, markets,  $.]_B$ 



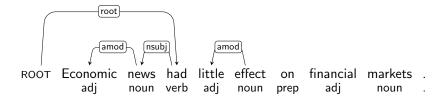
[ROOT, had]<sub>S</sub> [little, effect, on, financial, markets,  $]_B$ 



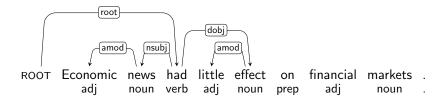
[ROOT, had, little]<sub>S</sub> [effect, on, financial, markets, .]<sub>B</sub>



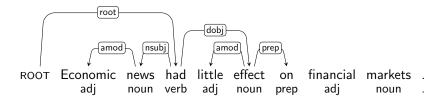
[ROOT, had]<sub>S</sub> [effect, on, financial, markets,  $.]_B$ 



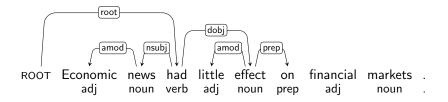
[ROOT, had, effect]<sub>S</sub> [on, financial, markets, .]<sub>B</sub>



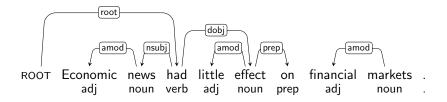
[ROOT, had, effect, on]<sub>S</sub> [financial, markets, .]<sub>B</sub>



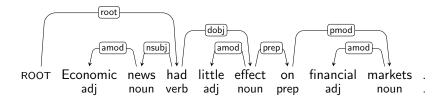
[ROOT, had, effect, on, financial]<sub>S</sub> [markets, .]<sub>B</sub>



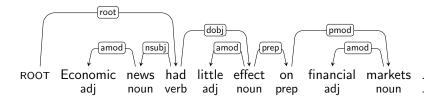
[ROOT, had, effect, on]<sub>S</sub> [markets, .]<sub>B</sub>



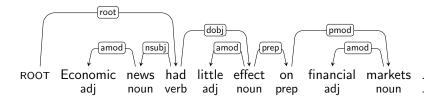
[ROOT, had, effect, on, markets]<sub>S</sub>  $[.]_B$ 



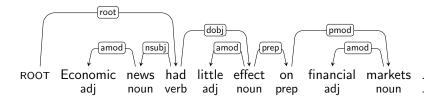
[ROOT, had, effect, on]<sub>S</sub> [.]<sub>B</sub>



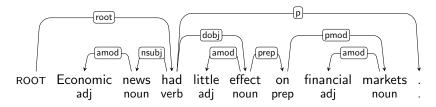
[ROOT, had, effect]<sub>S</sub> [.]<sub>B</sub>



#### [ROOT, had]<sub>S</sub> [.]<sub>B</sub>



#### [ROOT, had, .]<sub>S</sub> []<sub>B</sub>



## What Just Happened



SHIFT LEFT-ARC(AMOD) SHIFT LEFT-ARC(NSUBJ) RIGHT-ARC(ROOT) SHIFT LEFT-ARC(AMOD) RIGHT-ARC(DOBJ) RIGHT-ARC(PREP) LEFT-ARC(AMOD) SHIFT RIGHT-ARC(PMOD) REDUCE REDUCE REDUCE RIGHT-ARC(P)REDUCE REDUCE

#### The Search Space

- Transition system ensures formal wellformedness of dependency trees;
- The arc-eager system can generate all projective trees (and only those);
- ► A specific sequence of transitions determines the final parsing result.
- ► For a given tree, there can be multiple equivalent transition sequences.

#### Towards a Parsing Algorithm

- ► Abstract goal: Find transition sequence that yields the 'correct' tree.
- ► Learn from treebanks: output dependency tree with high probability.
- Probability distributions over transitions sequences (rather than trees).

#### **Greedy Inference**

- Given an oracle o that correctly predicts the next transition o(c), parsing is deterministic:
  - Parse $(w_1, \ldots, w_n)$ 1  $c \leftarrow ([]_S, [0, 1, \ldots, n]_B, \{\})$ 2 while  $B_c \neq []$ 3  $t \leftarrow o(c)$ 4  $c \leftarrow t(c)$ 5 return  $G = (\{0, 1, \ldots, n\}, A_c)$
- Complexity given by upper bound on number of transitions
- Parsing in O(n) time for the arc-eager transition system

# From Oracles to Classifiers

An oracle can be approximated by a (linear) classifier:

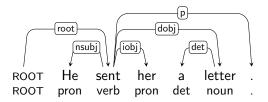
$$o(c) = \operatorname*{argmax}_{t} \mathbf{w} \cdot \mathbf{f}(c, t)$$

- History-based feature representation f(c, t)
- Weight vector w learned from treebank data

### **Transitions:**

 Stack
 Buffer
 Arcs

 []
 [ROOT, He, sent, her, a, letter, .]

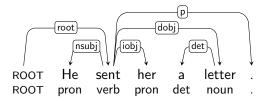


Arcs

## **Oracle Parse**

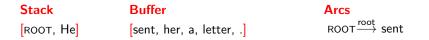
### Transitions: SH

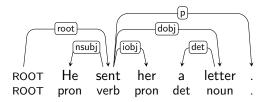




Recent Advances in Dependency Parsing

#### Transitions: SH-RA



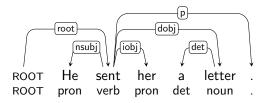


### Transitions: SH-RA-LA

StackBuffer[ROOT][sent, her, a, letter, .]

#### Arcs

 $\begin{array}{l} \mathsf{ROOT} \xrightarrow{\mathsf{root}} \mathsf{sent} \\ \mathsf{He} \xleftarrow{\mathsf{sbj}} \mathsf{sent} \end{array}$ 

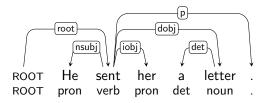


### Transitions: SH-RA-LA-SH

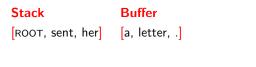


#### Arcs

 $\begin{array}{l} \mathsf{ROOT} \xrightarrow{\mathsf{root}} \mathsf{sent} \\ \mathsf{He} \xleftarrow{\mathsf{sbj}} \mathsf{sent} \end{array}$ 

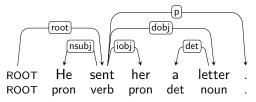


#### Transitions: SH-RA-LA-SH-RA



#### Arcs

 $\begin{array}{c} \text{ROOT} \xrightarrow{\text{root}} \text{ sent} \\ \text{He} \xleftarrow{\text{sbj}} \text{ sent} \\ \text{sent} \xrightarrow{\text{iobj}} \text{ her} \end{array}$ 

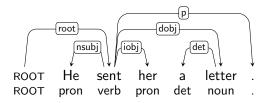


#### Transitions: SH-RA-LA-SH-RA-SH

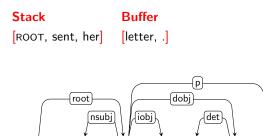
StackBuffer[ROOT, sent, her, a][letter, .]



 $\begin{array}{c} \text{ROOT} \xrightarrow{\text{root}} \text{ sent} \\ \text{He} \xleftarrow{\text{sbj}} \text{ sent} \\ \text{sent} \xrightarrow{\text{iobj}} \text{ her} \end{array}$ 



#### Transitions: SH-RA-LA-SH-RA-SH-LA



sent

verb

her

pron

а

det

letter

noun

Arcs

 $\begin{array}{l} \mathsf{ROOT} \xrightarrow{\mathsf{root}} \mathsf{sent} \\ \mathsf{He} \xleftarrow{\mathsf{sbj}} \mathsf{sent} \\ \mathsf{sent} \xrightarrow{\mathsf{iobj}} \mathsf{her} \\ \mathsf{a} \xleftarrow{\mathsf{det}} \mathsf{letter} \end{array}$ 

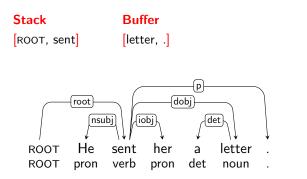
ROOT

ROOT

He

pron

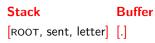
#### Transitions: SH-RA-LA-SH-RA-SH-LA-RE

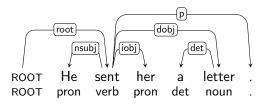


Arcs

 $\begin{array}{l} \mathsf{ROOT} \xrightarrow{\mathsf{root}} \mathsf{sent} \\ \mathsf{He} \stackrel{\mathsf{sbj}}{\longrightarrow} \mathsf{sent} \\ \mathsf{sent} \stackrel{\mathsf{iobj}}{\longrightarrow} \mathsf{her} \\ \mathsf{a} \stackrel{\mathsf{det}}{\longleftarrow} \mathsf{letter} \end{array}$ 

### Transitions: SH-RA-LA-SH-RA-SH-LA-RE-RA



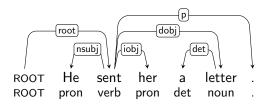


Arcs

 $\begin{array}{c} \text{ROOT} \xrightarrow{\text{root}} \text{ sent} \\ \text{He} \xleftarrow{\text{sbj}} \text{ sent} \\ \text{sent} \xrightarrow{\text{iobj}} \text{ her} \\ \text{a} \xleftarrow{\text{det}} \text{ letter} \\ \text{sent} \xrightarrow{\text{dobj}} \text{ letter} \end{array}$ 

#### Transitions: SH-RA-LA-SH-RA-SH-LA-RE-RA-RE

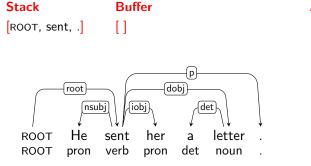




Arcs

 $\begin{array}{c} \text{ROOT} \xrightarrow{\text{root}} \text{ sent} \\ \text{He} \xleftarrow{\text{sbj}} \text{ sent} \\ \text{sent} \xrightarrow{\text{iobj}} \text{ her} \\ \text{a} \xleftarrow{\text{det}} \text{ letter} \\ \text{sent} \xrightarrow{\text{dobj}} \text{ letter} \end{array}$ 

#### Transitions: SH-RA-LA-SH-RA-SH-LA-RE-RA-RE-RA

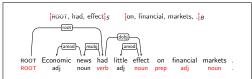


Arcs

 $\begin{array}{l} \text{ROOT} \xrightarrow{\text{root}} \text{ sent} \\ \text{He} \xleftarrow{\text{sbj}} \text{ sent} \\ \text{sent} \xrightarrow{\text{iobj}} \text{ her} \\ \text{a} \xleftarrow{\text{det}} \text{ letter} \\ \text{sent} \xrightarrow{\text{dobj}} \text{ letter} \\ \text{sent} \xrightarrow{P} . \end{array}$ 

Features over input tokens relative to S and B

#### Configuration

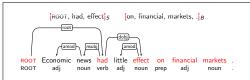


#### Features

$pos(S_2)$	=	ROOT
$pos(S_1)$	=	verb
$pos(S_0)$	=	noun
$pos(B_0)$	=	prep
$pos(B_1)$	=	adj
$pos(B_2)$	=	noun

Features over input tokens relative to S and B

#### Configuration

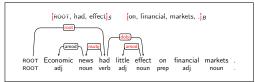


#### Features

 $word(S_2) = ROOT$   $word(S_1) = had$   $word(S_0) = effect$   $word(B_0) = on$   $word(B_1) = financial$  $word(B_2) = markets$ 

- Features over input tokens relative to S and B
- Features over the (partial) dependency graph defined by A

#### Configuration

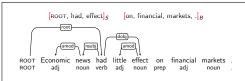


#### Features

$dep(S_1)$	=	root
$dep(lc(S_1))$	=	nsubj
$dep(rc(S_1))$	=	dobj
$dep(S_0)$	=	dobj
$dep(lc(S_0))$	=	amod
$dep(rc(S_0))$	=	NIL

- Features over input tokens relative to S and B
- ▶ Features over the (partial) dependency graph defined by A
- Features over the (partial) transition sequence

### Configuration

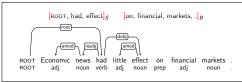


#### Features

 $\begin{array}{rcl} t_{i-1} &= \operatorname{Right-Arc(dobj)}\\ t_{i-2} &= \operatorname{Left-Arc(amod)}\\ t_{i-3} &= \operatorname{Shift}\\ t_{i-4} &= \operatorname{Right-Arc(root)}\\ t_{i-5} &= \operatorname{Left-Arc(nsubj)}\\ t_{i-6} &= \operatorname{Shift} \end{array}$ 

- Features over input tokens relative to S and B
- ▶ Features over the (partial) dependency graph defined by A
- Features over the (partial) transition sequence

### Configuration



#### Features

 $\begin{array}{rcl} t_{i-1} &= \operatorname{Right-Arc(dobj)}\\ t_{i-2} &= \operatorname{Left-Arc(amod)}\\ t_{i-3} &= \operatorname{Shift}\\ t_{i-4} &= \operatorname{Right-Arc(root)}\\ t_{i-5} &= \operatorname{Left-Arc(nsubj)}\\ t_{i-6} &= \operatorname{Shift} \end{array}$ 

Feature representation unconstrained by parsing algorithm



### Data-Driven Dependency Parsing

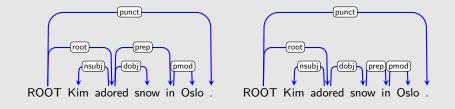
- ▶ No notion of grammaticality (no rules): more or less probable trees.
- Much room for experimentation: Feature models and types of classifiers;
- decent results with Maximum Entropy or Support Vector Machines.
- ► In recent years, further advances with deep neural network classifiers.

### Variants on Data-Driven Dependency Parsing

- Other transition systems (e.g. arc-standard; like 'classic' shift-reduce);
- different techniques for non-projective trees; e.g. swap transitions;
- ▶ can relax transition system further, to output general, non-tree graphs.
- Beam search: exploring the top-n transitions out of each configuration.

# Exercise (5): Dependency Evaluation

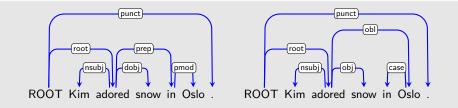




(5) What are the LAS and UAS scores for the two trees? Gold standard on the left, system prediction on the right.

# Exercise (6): More Dependency Evaluation





### (6) What are the LAS and UAS scores for the two trees?

# Next Week: Applications of Syntactic Parsing





Fed Chairman Ben Bernanke said the U.S. economy... The euro rose to \$1.2008, compared to \$1.1942 on Tuesday.

