IN2110: Språkteknologiske metoder Dependensparsing

#### Lilja Øvrelid

Språkteknologigruppen (LTG)

(with thanks to Stephan Oepen and Joakim Nivre)

6 april, 2022





- ► Short recap:
  - Dependency syntax
  - Formal properties of dependency graphs
  - Universal Dependencies



- ► Short recap:
  - Dependency syntax
  - Formal properties of dependency graphs
  - Universal Dependencies
- ► Syntactic parsing
- Data-driven dependency parsing
  - Variations on shift-reduce parsing
  - The arc-eager transition system
  - Thorough walk-through example

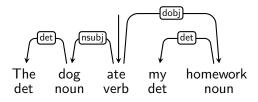


- ► Short recap:
  - Dependency syntax
  - Formal properties of dependency graphs
  - Universal Dependencies
- ► Syntactic parsing
- Data-driven dependency parsing
  - Variations on shift-reduce parsing
  - ► The arc-eager transition system
  - Thorough walk-through example
- Dependency Parser Evaluation



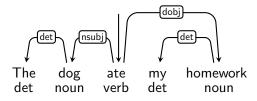
- ► Short recap:
  - Dependency syntax
  - Formal properties of dependency graphs
  - Universal Dependencies
- ► Syntactic parsing
- Data-driven dependency parsing
  - Variations on shift-reduce parsing
  - ► The arc-eager transition system
  - Thorough walk-through example
- Dependency Parser Evaluation
- Obligatory exercise

- ► DG is based on relationships between words, i.e., dependency relations
- $\blacktriangleright$  A dependency structure can be defined as a labeled, directed graph G



## Recap: Formal Conditions on Dependency Graphs

- ► Principles:
  - Syntactic structure is complete (Connectedness).
  - Syntactic structure is hierarchical (Acyclicity).
  - Every word has at most one syntactic head (Single-Head).





M Inbox (	22 Google	🕒 S START 🛛 Communit 🔮 4. Built 🗍 Cross-Fra 🗍 Infrastruct 🗌 🔝 IN 2110 🗍 English Ro 🗍 Recent Ad	Speect English Re U Prague Universat Universit	KonText - 🛛 🌒 TIGER   🕂 - 🛷 🛛 🗙
(€) → (	୯ ଜ	① universaldependencies.org	📧 👘 🐨 🔂 👱 🔍 tiger treebank	⇒ II\

#### This page pertains to UD version 2.

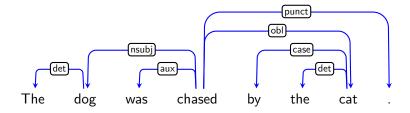
#### **Universal Dependencies**

Universal Dependencies (UD) is a framework for cross-linguistically consistent grammatical annotation and an open community effort with over 200 contributors producing more than 100 treebanks in over 70 languages.

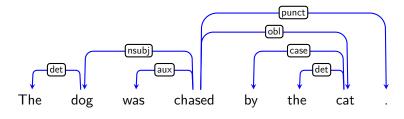
- Short introduction to UD
- <u>UD annotation guidelines</u>
- More information on UD:
  - How to contribute to UD
  - o Tools for working with UD
  - Discussion on UD
  - <u>UD-related events</u>
- · Query UD treebanks online:
  - o SETS treebank search maintained by the University of Turku
  - o PML Tree Query maintained by the Charles University in Prague
  - o Kontext maintained by the Charles University in Prague
  - o Grew-match maintained by Inria in Nancy
  - o INESS maintained by the University of Bergen
- Download UD treebanks

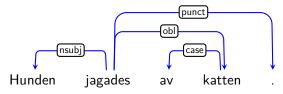
If you want to receive news about Universal Dependencies, you can subscribe to the UD mailing list. If you want to discuss individual annotation



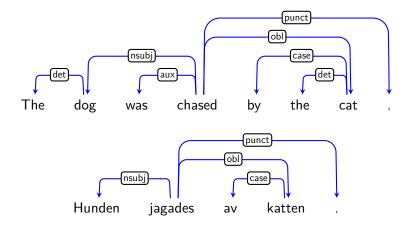


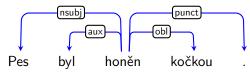




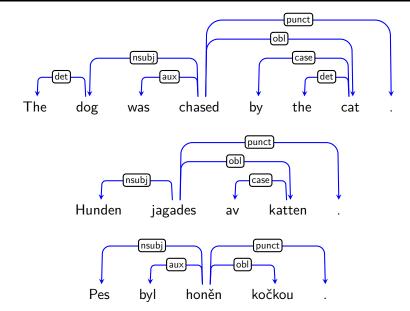












► Capitalize on content words, e.g. demote case-marking prepositions.



- ► Short recap:
  - Dependency syntax
  - ► Formal properties of dependency graphs
  - Universal Dependencies
- ► Syntactic parsing
- Data-driven dependency parsing
  - Variations on shift-reduce parsing
  - The arc-eager transition system
  - Thorough walk-through example
- Dependency Parser Evaluation
- Obligatory exercise

- ► Automatically determining the syntactic structure for a given sentence
- ► Traditionally (for phrase-structure grammars):
  - 1. S  $\rightarrow$  NP VP
  - 2.  $NP \rightarrow D N$
  - 3. VP  $\rightarrow$  V NP
- ► search through all possible trees for a sentence
- bottom-up vs top-down approaches

- ▶ more than one possible structure for a sentence
- natural languages are hugely ambiguous
- ► a very common problem

	PoS-ambiguities					Attachment ambiguities
		VB				
	VBZ	VBP	VBZ			
NNP	NNS	NN	NNS	CD	NN	
Fed	raises	interest	rates	0.5	%	in effort
						to control
						inflation

- ► Grammar-driven parsing: possible trees defined by the grammar
- Problems with coverage
  - $\blacktriangleright$  only around 70% of all sentences were assigned an analysis
- ► Most sentences were assigned very many analyses by a grammar
  - ► no way of choosing between them

- Today data-driven/statistical parsing is available for a range of languages and syntactic frameworks
- ► Data-driven approaches: possible trees defined by the treebank
- Produce one analysis (hopefully the most likely one) for any sentence
- And get most of them correct
- ► Still an active field of research, improvements are still possible!



- ► Short recap:
  - Dependency syntax
  - ► Formal properties of dependency graphs
  - Universal Dependencies
- ► Syntactic parsing
- Data-driven dependency parsing
  - Variations on shift-reduce parsing
  - The arc-eager transition system
  - Thorough walk-through example
- Dependency Parser Evaluation
- Obligatory exercise

- 1. formal model  ${\cal M}$  defining possible analyses for sentences in  ${\cal L}$
- 2. A sample of annotated text  $S = (x_1, \ldots, x_m)$  from L
- 3. An inductive inference scheme I defining actual analyses for the sentences of a text  $T = (x_1, \ldots, x_n)$  in L, relative to M and S.
- $\blacktriangleright~S$  is the training data: contains representations satisfying M
- ► a treebank: manually annotated with correct analysis
- ► *I* based on **supervised** machine learning

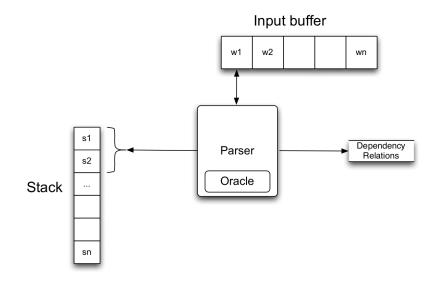
- ► *M* defined by formal conditions on dependency graphs (labeled directed graphs that are):
  - $\blacktriangleright$  connected
  - ► acyclic
  - ► single-head
  - ► (projective)
- ► I may be defined in different ways
  - parsing method
  - ► machine learning algorithm, feature representations
- ► Two main approaches: graph-based and transition-based models
- ► We will focus on transition-based approaches

Basic idea:

- define a transition system for mapping a sentence to its dependency graph
- Learning: induce a model for predicting the next state transition, given the transition history
- Parsing: Construct the optimal transition sequence, given the induced model

#### Architecture: Stack and Buffer Configurations







- ► Originally developed for non-ambiguous languages: deterministic.
- ► Shift ('read') tokens from input buffer, one at a time, left-to-right;
- compare top n symbols on stack, perform some action, e.g. reduce

- ► Originally developed for non-ambiguous languages: deterministic.
- ► Shift ('read') tokens from input buffer, one at a time, left-to-right;
- compare top n symbols on stack, perform some action, e.g. reduce
- ► Dependencies: create arcs between top of stack and front of buffer.
- Transitions:

- ► Originally developed for non-ambiguous languages: deterministic.
- ► Shift ('read') tokens from input buffer, one at a time, left-to-right;
- compare top n symbols on stack, perform some action, e.g. reduce
- ► Dependencies: create arcs between top of stack and front of buffer.
- Transitions:

SHIFTmove from front of buffer to top of stackREDUCEpop the top of stack (requires existing head)LEFT-ARC(K)leftward dependency of type k; reduceRIGHT-ARC(K)rightward dependency of type k; shift

- ► Originally developed for non-ambiguous languages: deterministic.
- ► Shift ('read') tokens from input buffer, one at a time, left-to-right;
- compare top n symbols on stack, perform some action, e.g. reduce
- ► Dependencies: create arcs between top of stack and front of buffer.
- Transitions:

SHIFT	move from front of buffer to top of stack
REDUCE	pop the top of stack (requires existing head)
LEFT-ARC(K)	leftward dependency of type $k$ ; reduce
RIGHT-ARC( $K$ )	rightward dependency of type $k$ ; shift

- ► At REDUCE, token must be fully processed (head and dependents).
- ► LEFT-ARC must respect single-head constraint and unique root node.

#### Arc-Eager Transition System [Nivre 2003]

Configuration:	(S, B, A) [	S = S	Stack, $B = Buffer$ , $A = A$	rcs]
Initial:	$([], [0, 1, \ldots, n], \{$		})	
Terminal:	( <i>S</i> ,[], <i>A</i> )			
Shift:	(S, i B, A)	$\Rightarrow$	(S i, B, A)	
Reduce:	(S i, B, A)	$\Rightarrow$	(S, B, A)	h(i, A)
Right-Arc(k):	(S i,j B,A)	$\Rightarrow$	$(S i j,B,A\cup\{(i,j,k)\})$	
Left-Arc(k):	(S i,j B,A)	$\Rightarrow$	$(S,j B,A\cup\{(j,i,k)\})$	$ eg h(i, A) \land i \neq 0$

Notation: S|i = stack with top i and remainder Sj|B = buffer with head j and remainder Bh(i, A) = i has a head in A

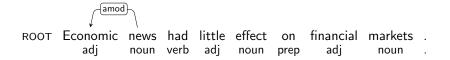
[ROOT]<sub>5</sub> [Economic, news, had, little, effect, on, financial, markets, .]<sub>B</sub>

#### ROOT Economic news had little effect on financial markets . adj noun verb adj noun prep adj noun .

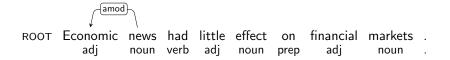
[ROOT, Economic]<sub>S</sub> [news, had, little, effect, on, financial, markets, .]<sub>B</sub>

ROOT Economic news had little effect on financial markets . adj noun verb adj noun prep adj noun .

[ROOT]<sub>S</sub> [news, had, little, effect, on, financial, markets, .]<sub>B</sub>



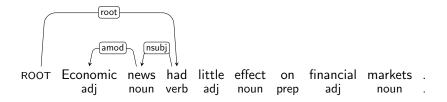
[ROOT, news]<sub>S</sub> [had, little, effect, on, financial, markets, .]<sub>B</sub>



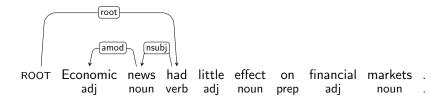
[ROOT]<sub>S</sub> [had, little, effect, on, financial, markets,  $.]_B$ 



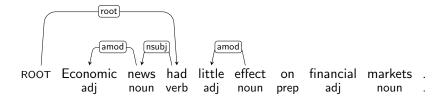
[ROOT, had]<sub>S</sub> [little, effect, on, financial, markets,  $]_B$ 



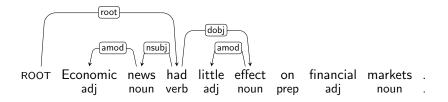
[ROOT, had, little]<sub>S</sub> [effect, on, financial, markets, .]<sub>B</sub>



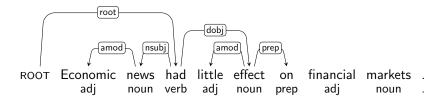
[ROOT, had]<sub>S</sub> [effect, on, financial, markets,  $.]_B$ 



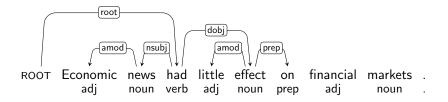
[ROOT, had, effect]<sub>S</sub> [on, financial, markets, .]<sub>B</sub>



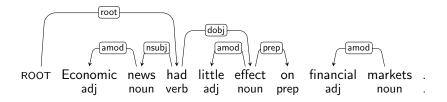
[ROOT, had, effect, on]<sub>S</sub> [financial, markets, .]<sub>B</sub>



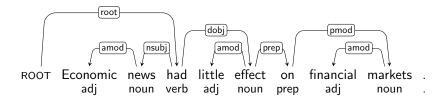
[ROOT, had, effect, on, financial]<sub>S</sub> [markets, .]<sub>B</sub>



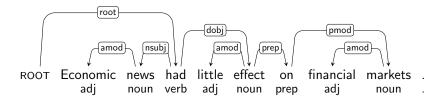
[ROOT, had, effect, on]<sub>S</sub> [markets, .]<sub>B</sub>



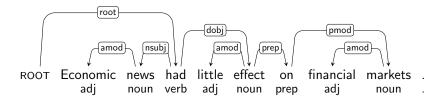
[ROOT, had, effect, on, markets]<sub>S</sub>  $[.]_B$ 



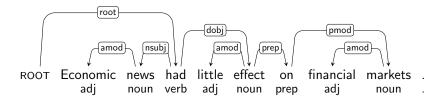
[ROOT, had, effect, on]<sub>S</sub> [.]<sub>B</sub>



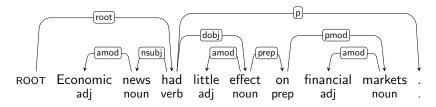
[ROOT, had, effect]<sub>S</sub> [.]<sub>B</sub>



### [ROOT, had]<sub>S</sub> [.]<sub>B</sub>



### [ROOT, had, .]<sub>S</sub> []<sub>B</sub>



# What Just Happened



LEFT-ARC(AMOD) SHIFT SHIFT LEFT-ARC(NSUBJ) RIGHT-ARC(ROOT) SHIFT LEFT-ARC(AMOD) RIGHT-ARC(DOBJ) RIGHT-ARC(PREP) LEFT-ARC(AMOD) SHIFT RIGHT-ARC(PMOD) REDUCE REDUCE REDUCE RIGHT-ARC(P)REDUCE REDUCE



### The Search Space

- ► Transition system ensures formal wellformedness of dependency trees;
- ► A specific sequence of transitions determines the final parsing result.



## The Search Space

- ► Transition system ensures formal wellformedness of dependency trees;
- ► A specific sequence of transitions determines the final parsing result.

### Towards a Parsing Algorithm

- ► Abstract goal: Find transition sequence that yields the 'correct' tree.
- ► Learn from treebanks: output dependency tree with high probability.
- Probability distributions over transitions sequences (rather than trees).



- ► An earlier formulation of the arc eager algorithm with some limitations
- Only three transitions

SHIFT move from front of buffer to top of stack LEFT-ARC(K) leftward dependency of type k between two top tokens on stack; remove 2nd token RIGHT-ARC(K) rightward dependency of type k between two top tokens on stack; remove top token

► Main difference: RIGHT-ARC cannot be applied until the dependent has found all its dependents



- ► How does the parser locate the sequence of transitions?
- ► Given an oracle o that correctly predicts the next transition o(c), parsing is deterministic:

Parse $(w_1, \ldots, w_n)$ 1  $c \leftarrow ([]_S, [0, 1, \ldots, n]_B, \{\})$ 2 while  $B_c \neq []$ 3  $t \leftarrow o(c)$ 4  $c \leftarrow t(c)$ 5 return  $G = (\{0, 1, \ldots, n\}, A_c)$ 

## From Oracles to Classifiers

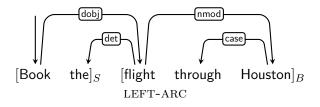
An oracle can be approximated by a (linear) classifier:

$$o(c) = \operatorname*{argmax}_{t} \mathbf{w} \cdot \mathbf{f}(c, t)$$

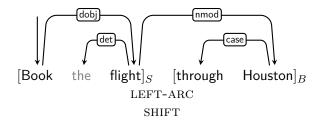
- History-based feature representation f(c, t)
- Weight vector w learned from treebank data



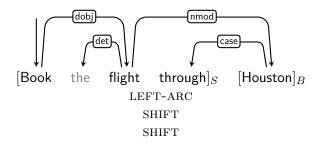
- ► Approach: simulate parsing guided by treebank data
- ► Given a gold standard (reference) parse and a configuration:
  - ► Choose LEFT-ARC if it produces a correct relation given gold
  - ► Choose RIGHT-ARC if it produces a correct relation given gold
  - Choose REDUCE if token is fully processed
  - Otherwise choose SHIFT



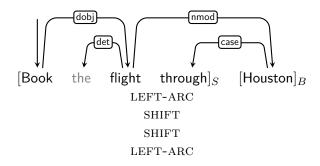
- ► Approach: simulate parsing guided by treebank data
- ► Given a gold standard (reference) parse and a configuration:
  - Choose LEFT-ARC if it produces a correct relation given gold
  - Choose RIGHT-ARC if it produces a correct relation given gold
  - ► Choose **REDUCE** if token is fully processed
  - Otherwise choose SHIFT



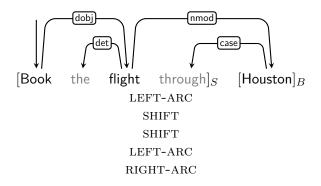
- ► Approach: simulate parsing guided by treebank data
- ► Given a gold standard (reference) parse and a configuration:
  - ► Choose LEFT-ARC if it produces a correct relation given gold
  - Choose RIGHT-ARC if it produces a correct relation given gold
  - Choose REDUCE if token is fully processed
  - Otherwise choose SHIFT



- ► Approach: simulate parsing guided by treebank data
- Given a gold standard (reference) parse and a configuration:
  - ► Choose LEFT-ARC if it produces a correct relation given gold
  - Choose RIGHT-ARC if it produces a correct relation given gold
  - Choose REDUCE if token is fully processed
  - Otherwise choose SHIFT

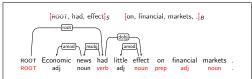


- Approach: simulate parsing guided by treebank data
- ► Given a gold standard (reference) parse and a configuration:
  - ► Choose LEFT-ARC if it produces a correct relation given gold
  - ► Choose RIGHT-ARC if it produces a correct relation given gold
  - ► Choose **REDUCE** if token is fully processed
  - Otherwise choose SHIFT



Features over input tokens relative to S and B

#### Configuration

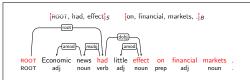


#### Features

$pos(S_2)$	=	ROOT
$pos(S_1)$	=	verb
$pos(S_0)$	=	noun
$pos(B_0)$	=	prep
$pos(B_1)$	=	adj
$pos(B_2)$	=	noun

Features over input tokens relative to S and B

#### Configuration

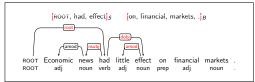


#### Features

 $word(S_2) = ROOT$   $word(S_1) = had$   $word(S_0) = effect$   $word(B_0) = on$   $word(B_1) = financial$  $word(B_2) = markets$ 

- Features over input tokens relative to S and B
- Features over the (partial) dependency graph defined by A

#### Configuration

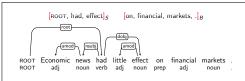


#### Features

$dep(S_1)$	=	root
$dep(lc(S_1))$	=	nsubj
$dep(rc(S_1))$	=	dobj
$dep(S_0)$	=	dobj
$dep(lc(S_0))$	=	amod
$dep(rc(S_0))$	=	NIL

- Features over input tokens relative to S and B
- ▶ Features over the (partial) dependency graph defined by A
- Features over the (partial) transition sequence

### Configuration

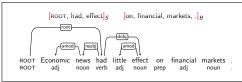


#### Features

 $\begin{array}{rcl} t_{i-1} &= \operatorname{Right-Arc(dobj)}\\ t_{i-2} &= \operatorname{Left-Arc(amod)}\\ t_{i-3} &= \operatorname{Shift}\\ t_{i-4} &= \operatorname{Right-Arc(root)}\\ t_{i-5} &= \operatorname{Left-Arc(nsubj)}\\ t_{i-6} &= \operatorname{Shift} \end{array}$ 

- Features over input tokens relative to S and B
- ▶ Features over the (partial) dependency graph defined by A
- Features over the (partial) transition sequence

### Configuration



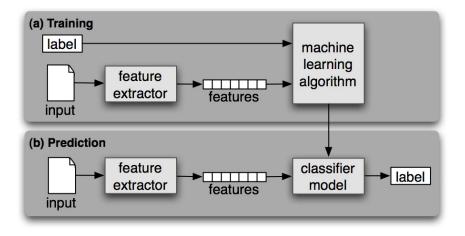
#### Features

 $\begin{array}{rcl} t_{i-1} &= \operatorname{Right-Arc(dobj)}\\ t_{i-2} &= \operatorname{Left-Arc(amod)}\\ t_{i-3} &= \operatorname{Shift}\\ t_{i-4} &= \operatorname{Right-Arc(root)}\\ t_{i-5} &= \operatorname{Left-Arc(nsubj)}\\ t_{i-6} &= \operatorname{Shift} \end{array}$ 

Feature representation unconstrained by parsing algorithm

## Architecture Summary







- ► A number of freely available dependency parsers
- Pre-trained models and trainable for any language (given available training data)
  - Stanford CoreNLP (English)
  - SpaCy (A number of languages)
  - Google SyntaxNet
  - ► UDParse
  - ► Stanza
  - ► etc.

# Topics for Today



- ► Short recap:
  - Dependency syntax
  - ► Formal properties of dependency graphs
  - Universal Dependencies
- ► Syntactic parsing
- Data-driven dependency parsing
  - Variations on shift-reduce parsing
  - The arc-eager transition system
  - Thorough walk-through example
- Dependency Parser Evaluation
- Obligatory exercise



### General Ideas

► Fixed number of tokens: per-token accuracy scores (like in tagging).



### General Ideas

- ► Fixed number of tokens: per-token accuracy scores (like in tagging).
- ► Can consider just structure or structure plus dependency types.



### General Ideas

- ► Fixed number of tokens: per-token accuracy scores (like in tagging).
- ► Can consider just structure or structure plus dependency types.
- ▶ Punctuation tokens (e.g. by Unicode property) are often excluded.



### General Ideas

- ► Fixed number of tokens: per-token accuracy scores (like in tagging).
- ► Can consider just structure or structure plus dependency types.
- ► Punctuation tokens (e.g. by Unicode property) are often excluded.

### UAS: Unlabeled Attachment Score

► For each token, does it have correct head (source of incoming edge)?



### General Ideas

- ► Fixed number of tokens: per-token accuracy scores (like in tagging).
- ► Can consider just structure or structure plus dependency types.
- ► Punctuation tokens (e.g. by Unicode property) are often excluded.

### UAS: Unlabeled Attachment Score

► For each token, does it have correct head (source of incoming edge)?

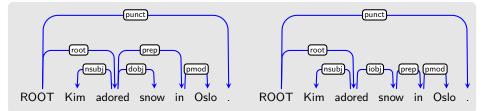
### LAS: Labeled Attachment Score

► In addition to the head, is the dependency type (edge label) correct?

## Dependency Evaluation



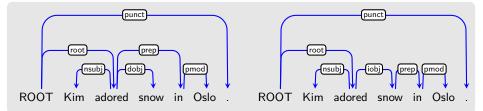
### Gold vs. system:



## Dependency Evaluation



### Gold vs. system:

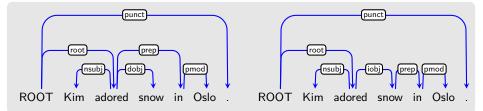


► UAS: 4/5 = 0,8

## Dependency Evaluation



### Gold vs. system:



- ► UAS: 4/5 = 0,8
- ► LAS: 3/5 = 0,6



- CoNLL-U data format
- Parsing algorithm (arc standard or arc eager)
- ► Train and evaluate a Norwegian dependency parser using spaCy
  - implement (unlabeled and labeled) attachment score metric
  - ► assess parser performance on other variants of Norwegian
- ► Due: April 27th 23:59

# In Conclusion



## Data-Driven Dependency Parsing

- ► No notion of grammaticality (no rules): more or less probable trees.
- ► Much room for experimentation: Feature models and types of classifiers;
- ► decent results with Maximum Entropy or Support Vector Machines.

# In Conclusion



## Data-Driven Dependency Parsing

- ► No notion of grammaticality (no rules): more or less probable trees.
- ► Much room for experimentation: Feature models and types of classifiers;
- ► decent results with Maximum Entropy or Support Vector Machines.
- ► In recent years, further advances with deep neural network classifiers.



## Data-Driven Dependency Parsing

- ► No notion of grammaticality (no rules): more or less probable trees.
- Much room for experimentation: Feature models and types of classifiers;
- ► decent results with Maximum Entropy or Support Vector Machines.
- ► In recent years, further advances with deep neural network classifiers.

### Variants on Data-Driven Dependency Parsing

- ► Other transition systems (e.g. arc-standard; like 'classic' shift-reduce);
- different techniques for non-projective trees; e.g. swap transitions;
- ► can relax transition system further, to output general, non-tree graphs.