Test **Management**: Part I

Software Testing: IN3240 / IN 4240

Summary

Test organisation

Independence | Tasks of the test leader and testers

Test planning and estimation

Activities | Entry and exit criteria | Estimation | Strategy and approach

Test progress monitoring and control

Configuration and management

Risk and testing

Part I: Close-ended questions

Independent Testing

Question 1

Why is independent testing important?

a. Independent testing is usually cheaper than testing your own work
b. Independent testing is more effective at finding defects
c. Independent testers should determine the processes and methodologies used
d. Independent testers are dispassionate about whether the project succeeds or fails

Question 1: Clues

Why is independent testing important?

Testing software and developing (building) software are not the same

Different tasks involved

Require different mindsets from testers and developers

Testing is an assessment of quality

Assessments are not always positive

Separate the testers from the developers
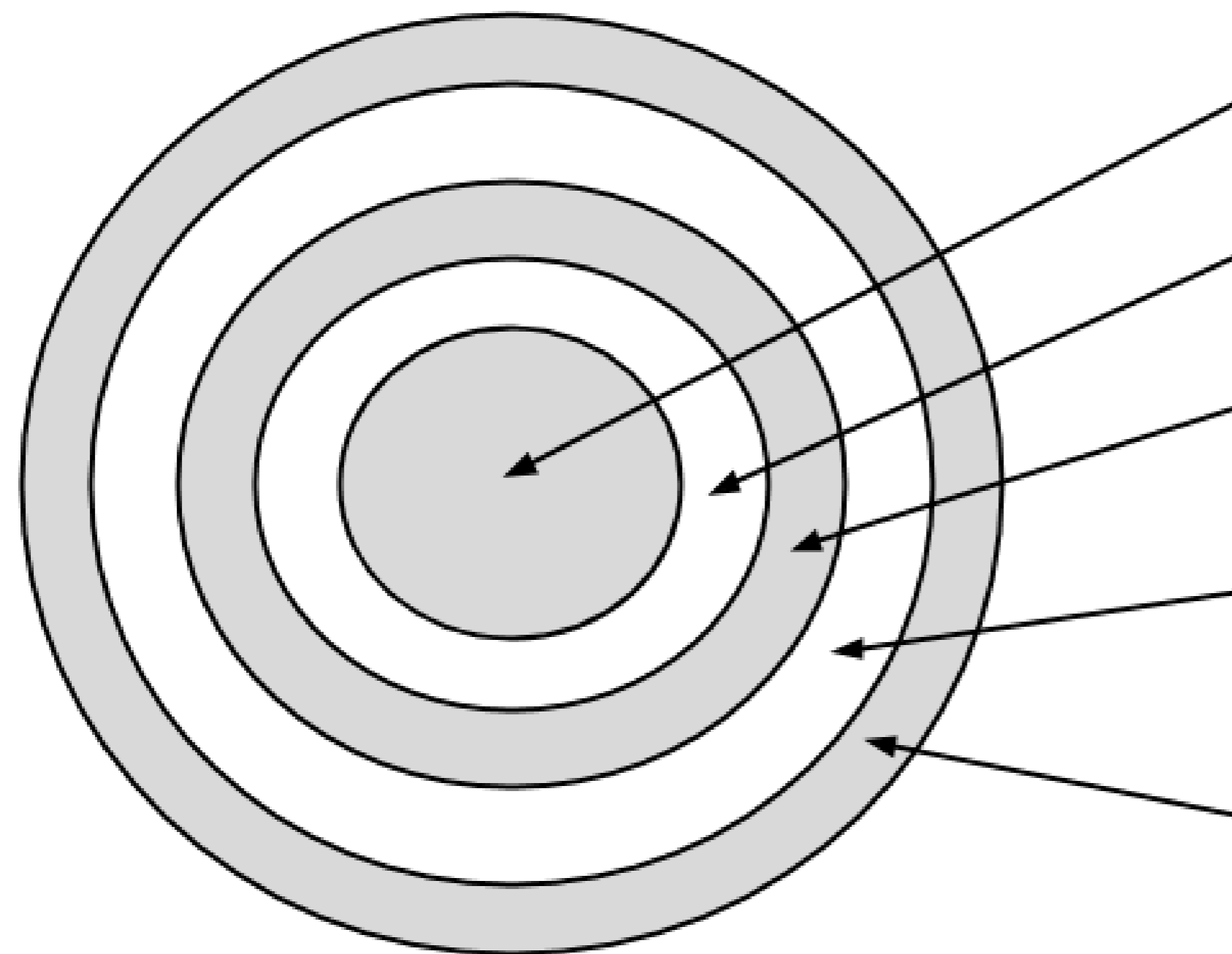
Improve defect finding by using independent testers

Avoid author bias → Objective assessments

Why is independent testing important?

Options for independence

Independence is not either or, but a continuum

1. No independent testers. Developers test own code.

2. Independent testers within development teams.

3. Independent test team / group within organisation report to project management.

4. Independent testers from business or user community.

5. Independent test specialists for specific test targets such as usability testers, security testers or certification testers.

Question 2

Which of the following is an advantage of independent testing?

a. Independent testers don't have to spend time communicating with the project team
b. Programmers can stop worrying about the quality of their work and focus on producing more code
c. The others on the project can pressure the independent testers to accelerate testing at the end of the test schedule
d. Independent testers sometimes question the assumptions behind the requirements, design and implementations

Question 2: Clues

Which of the following is an advantage of independent testing?

Benefits of independent testing

Independent testers can often see more, other, different defects

Compared to testers working within the programming team

Bring a different set of assumptions to testing / reviews

Expose hidden defects / problems

Not affected by business analysts, designers, programmers, etc.

Sceptical attitude

Professional pessimism

Question 2: Clues

Which of the following is an advantage of independent testing?

Benefits of independent testing

Independent test teams may enjoy more credibility in an organisation

Compared to a test leader who is part of the programming team

Can report test results honestly and without concern

Distanced from co-workers (programmers/managers) responsible for the defects

Often have separate budgets

Facilitates to ensure proper level of spending on testing resources

Training, test tools, equipment, etc.

Question 2: Clues

Which of the following is an advantage of independent testing?

Risks of independent testing

Testers / test team can become isolated

Interpersonal isolation from programmers, designers, project team

Isolation from the broader view of quality and business objectives

Obsessive focus on defect finding

Refusal to accept business prioritisation of defects

Communication problems

Lack of identification with the project goals

Question 2: Clues

Which of the following is an advantage of independent testing?

Risks of independent testing

Developers may abdicate their responsibility for quality

"Why bother unit testing when we already have a test team?"

Focus only on pointing out flaws / defects

Provide little (or no) solutions

Failure to understand the tester's role

Should provide a *service* to the project team

Goal is not to dictate how things should be done

Testing Roles and Tasks

Question 3

According to the ISTQB glossary, what do we mean when we call someone a test manager?

a. A test manager manages a collection of test leaders
b. A test manager is the leader of a test team or teams
c. A test manager gets paid more than a test leader
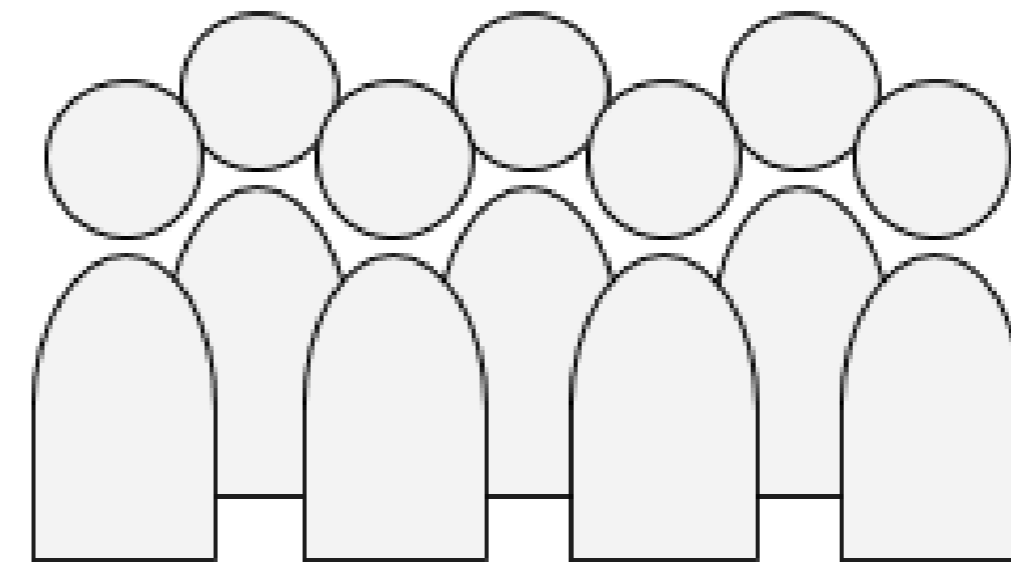d. A test manager reports to a test leader

According to the ISTQB glossary, what do we mean when we call someone a test manager?

Roles within a test team
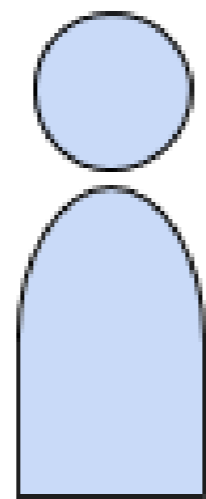
Test Leader
(manager / coordinator)

Testers

Test manager

Plans / Monitors / Controls the testing activities and tasks

Leader of a test team

Question 4

Which of the following is among the typical tasks of a test leader?

a. Develop system requirements, design specifications and usage models
b. Handle all test automation duties
c. Keep test cases and coverage hidden from programmers
d. Gather and report test progress metrics

Question 4: Clues

Which of the following is among the typical tasks of a test leader?

Coordination

Devise test strategy and plan with project managers

Planning the tests

Understand the test objectives

Select test approaches

Estimate time, effort, and cost of testing

Define test levels

Plan incident management

Plan and Control

Analysis and Design

Implementation and Execution

Evaluation of Exit Criteria and Reporting

Test Closure Activities

Question 4: Clues

Which of the following is among the typical tasks of a test leader?

Manage test configuration

    Set up adequate configuration management

    Testware for traceability

Introduce metrics

    For measuring test progress

    Evaluating the quality of the testing and product

Automation of tests

    Decide what to automate

Plan and Control
Analysis and Design
Implementation and Execution
Evaluation of Exit Criteria and Reporting
Test Closure Activities

Question 4: Clues

Which of the following is among the typical tasks of a test leader?

Test specifications, preparation and execution

Initiate specification / preparation / implementation / exec

Monitor test results

Check exit criteria

Adapt planning

Based on test results and progress

| Plan and Control |
| Analysis and Design |
| Implementation and Execution |
| Evaluation of Exit Criteria and Reporting |
| Test Closure Activities |

Question 5

According to the ISTQB Glossary, what is a test level?

a. A group of test activities that are organised together
b. One or more test design specification documents
c. A test type
d. An ISTQB certification

Question 5: Clues

According to the ISQTB Glossary, what is a test level?

Test level

"*A group of test activities that are organised and managed together*"

Four test levels

Component testing / Integration testing / System testing / Acceptance testing

Purpose of test levels

Include different methodologies that can be used when conducting the test effort

Has clear, level-specific, pre-defined objectives

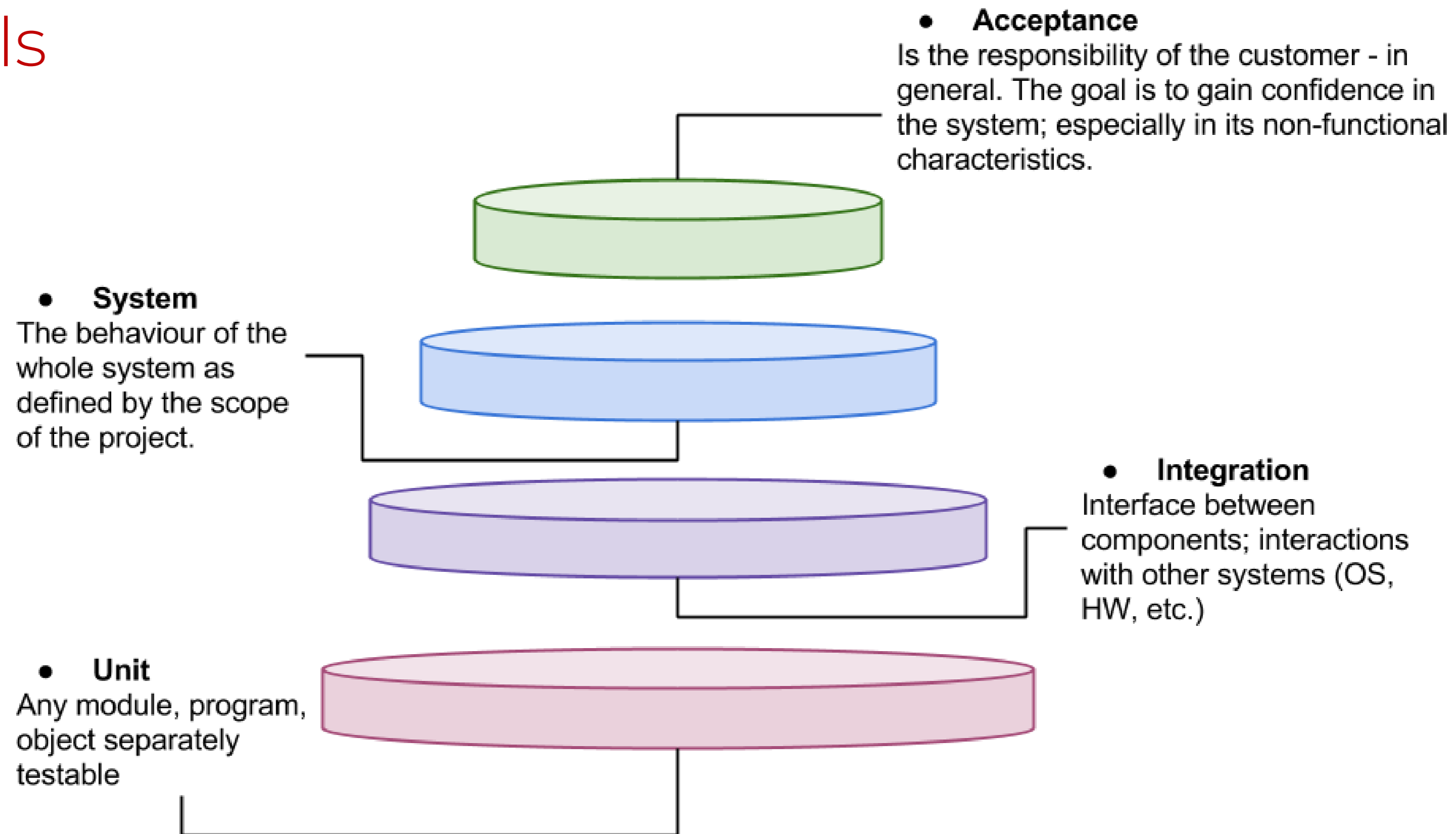Different test types may be performed at different levels

Question 5: Clues

According to the ISQTB Glossary, what is a test level?

Test levels

- **Acceptance**
Is the responsibility of the customer - in general. The goal is to gain confidence in the system; especially in its non-functional characteristics.

- **System**
The behaviour of the whole system as defined by the scope of the project.

- **Integration**
Interface between components; interactions with other systems (OS, HW, etc.)

- **Unit**
Any module, program, object separately testable

Test Planning and Documents

Question 6

A test plan is written specifically to describe a level of testing where the primary goal is establishing confidence in the system. Which of the following is a likely name for the document?

a. Master test plan
b. System test plan
c. Acceptance test plan
d. Project test plan

Question 6: Clues

Which of the following is a likely name for the document where the goal is establishing confidence in the system?

Test plan → Project plan for the testing work to be conducted

Elaborates on the challenges that await the test effort

Highlights important topics

Serve to communicate all aspects of the test effort

Project team, testers, peers, managers, other stakeholders

Helps to manage change

Revise test plans as we gather more information

Serves as documentation

Question 6: Clues

Which of the following is a likely name for the document where the goal is establishing confidence in the system?

Considerations for writing a test plan

What is within the scope of the test effort, and what is outside the scope?

What are the constraints affecting the test effort?

E.g. budget limitations, time constraints, etc.

What are the test objectives?

What are the important project and product risks?

Which aspects of the product are more (or less) testable?

What should be the overall test execution schedule?

Question 6: Clues

Which of the following is a likely name for the document where the goal is establishing confidence in the system?

Test plan documentation

Master test plan

Overall plan for the test effort

Level-specific test plans

Plans specifically aimed at each test level

Aims to adhere to the objectives of that level

Central question: What is the purpose of this test level?

The test plan seeks to facilitate the realisation of these objectives

Question 7

What is the primary difference between a test plan, test design specification, and test procedure specification?

a. The test plan describes one or more levels of testing, the test design specification identifies the associated high-level test cases and a test procedure specification describes the actions for executing a test

b. The test plan is for managers, the test design specification is for programmers and the test procedure specification is for the testers who are automating the tests

c. The test plan is the least thorough, the test procedure specification is the most through and the test design specification is midway between the two

d. The test plan is finished in the first third of the project, the test design specification is finished in the middle third of the project and the test procedure specification is finished in the last third of the project

What is the primary difference between a test plan, test design specification, and test procedure specification?

Before executing a test → Need to know what we are trying to test

Inputs / Expected outcomes

How to get ready and run the actual tests

Artefacts for testing → Each specified in its own document (IEEE 829)

Test conditions

Test cases

What is the primary difference between a test plan, test design specification, and test procedure specification?

Test conditions → Test Design Specification

Condition: Something that can be tested

Approach: "Throw the net wide"

Identify as many conditions as possible

Exhaustive testing is impossible → Select a subset of all possible tests

Examples

Measuring branch coverage → Test basis is the code itself

Requirements specification → Table of contents can be the list of test conditions

Question 7: Clues

What is the primary difference between a test plan, test design specification, and test procedure specification?

[IEEE 829: Test Design Specification Template]

Test design specification identifier

Unique name / Version date and number / Author and contact information / Revision history

Features to be tested

Features / Appropriate level of testing / Reference to original documentation

Approach refinements

Selection of test technique / Methods for analysis / Relationship between test items and level of testing

Test identification

Identification of each test case / procedure

Feature Pass/Fail Criteria

Describe criteria for assessing the feature / Whether tests were successful or not

Question 7: Clues

What is the primary difference between a test plan, test design specification, and test procedure specification?

Test cases → Test Case Specification

Case: Set of input values, preconditions, expected results

Developed for a particular objective or test condition

Can cover a number of test conditions

E.g. Age, gender, enough credit?, place of birth, etc.

Objective

To assess that the system does what it is supposed to do

Given the conditions, does it behave correctly?

Question 7: Clues

What is the primary difference between a test plan, test design specification, and test procedure specification?

[IEEE 829: Test Case Specification Template]

Test case specification identifier

Unique name / Version date and number / Author and contact information / Revision history

Test items

Requirements specification / System and detail design specification / Users guide / Operations manual / etc.

Input and Output specifications

Data (values, ranges, sets) / Tables / Human actions / Conditions (states) / Files / Relationships

Environmental needs

Special procedural requirements

Intercase dependencies

Any prerequisite test cases

What is the primary difference between a test plan, test design specification, and test procedure specification?

Test procedures → Test Procedure Specification

Procedure: Sequence of actions for the execution of a test

Test script

Manual test script

Formed into a test execution schedule

Description of the order of the test procedures

By whom do the tests need to be run?

Useful for prioritising tests → Ensure best testing is done in the time available

What is the primary difference between a test plan, test design specification, and test procedure specification?

[IEEE 829: Test Procedure Specification Template]

Test procedure specification identifier

Unique name / Version date and number / Author and contact information / Revision history

Purpose

List all test cases covered by the procedure / Description of the procedure

Special requirements

Manual or automated / Stages in which the test is to be used (pre-testing, regression, etc.)

Test environment / Skills required / Prerequisite procedures

Steps

Log / Setup / Start / Proceed / Measure / Shutdown / Restart / Stop / Wrap-up

Contingencies

Entry and Exit Criteria

Question 8

Entry criteria for testing means that the company management gave their OK to the development team to start the test activities

a. True
b. False

Question 8: Clues

Entry criteria

    Defines when to start testing

    Central question: Do we have enough to conduct a meaningful test effort?

Typical considerations

    Test environment availability and readiness

    Test tool readiness in the test environment

    Testable code availability

    Test data availability

Question 9

The ISTQB Foundation Syllabus established a fundamental test process where test planning occurs early in the project, while test execution occurs later. Which of the following elements of the test plan, while specified during test planning, are assessed during test execution?

a. Test tasks
b. Environmental needs
c. Exit criteria
d. Test team training

Question 9: Clues

Which of the following elements of a test plan, while specified during test planning, are assessed during test execution?

Purpose of testing

Verify some aspect of the system / Reveal faults in the implementation

What do we define *prior* to test execution?

Test tasks: What is to be done and when?

Environmental needs: What requirements do we have for the test environment?

Entry and exit criteria: When to start and when to end the test effort?

Question 9: Clues

Which of the following elements of a test plan, while specified during test planning, are assessed during test execution?

What do we assess *during* to test execution?

The results of the test against the pre-defined objectives

We are interested in answering the following questions

How did the test go?

Did it go according to plan? Why, why not?

Do we need to run more tests?

Question 9: Clues

Which of the following elements of a test plan, while specified during test planning, are assessed during test execution?

Exit criteria → When to stop testing? (Definition of *enough*)

Typical considerations

Thoroughness measures: Code coverage / Functionality coverage /Risk

Estimates: Defect density / Reliability measures

Cost

Residual risk: Defects not fixed / Lack of test coverage in some areas

Schedule: Time to market

Question 10

Consider the following exit criteria which might be found in a test plan. Which of these belong in an acceptance test plan?

1. No known customer-critical defects
2. All interfaces between components tested
3. 100 % code coverage of all items
4. All specified requirements satisfied
5. System functionality matches legacy system for all business rules

a. All statements belong in an acceptance test plan
b. Only statement 1 belongs in an acceptance test plan
c. Only statements 1, 2 and 5 belong in an acceptance test plan
d. Only statements 1, 4 and 5 belong in an acceptance test plan

Question 10: Clues

Which of the given exit criteria belong in an acceptance test plan?

Acceptance testing

- Validation testing with respect to the users

- Requirements, business processes

Typically assesses aspects such as

- The system's adherence to the requirements specification

- Defects that may be critical to the customer

- Functionality in accordance with the business rules?

- Whether or not the system is *fit for use*

Question 11

During test execution, the test manager describes the following situation to the project team:

- 90 % of the test cases have been run.
- 20 % of the test cases have identified defects.
- 110 defects have been found.
- 100 defects have been fixed and have passed confirmation testing.
- Of the remaining 10 defects, project management has decided that they do not need to be fixed prior to release.

Question 11

Which of the following is the most reasonable interpretation of this test status report?

a. The remaining 10 defects should be confirmation tested prior to release
b. The remaining 10 % of test cases should be run prior to release
c. The system is ready for release with no further testing or development effort
d. The programmers should focus their attention on fixing the remaining known defects prior to release

Question 12

The purpose of _____ criteria is to define when to stop testing, such as at the end of a test level or when a set of tests has a specific goal.

The metrics for test progress monitoring can be collected both manually and automatically

a. True
b. False

Question 13: Clues

The metrics for test progress monitoring can be collected both manually and automatically

Test progress monitoring

Provide feedback on how the test effort is going

Visible information about the test results

Measure the status of testing

Gathering data to be used for future estimation of test effort

IEEE 829: Test Log Template

Question 14

Pair the following test design techniques with the typical problems they address:

Decision tables	Applied when the inputs or outputs can be grouped in a way that exhibits similar behaviour
Use case testing	Used to test sequences of states or sequences of transitions
State transition testing	Used when the problem can be described as an interaction between an actor and the system
Boundary value analysis	Used when the inputs and actions can be expressed as Boolean values
Equivalence partitioning	Applied when the inputs and outputs can be grouped in equivalent partitions. The technique tests the edges of each equivalence partition

Part II: Exercises and Open-ended questions

Exercise 1

Describe briefly what is meant by the following test approaches (strategies)

a. Analytical approach
b. Model-based approach
c. Methodical approach
d. Process- or standard-compliant approach
e. Dynamic and heuristic approach
f. Consultative approach
g. Regression-averse approach

Is one approach better than the other? Why, why not? Which do you prefer?

Exercise 1(a): Answer

Analytical approach

Use of formal / informal analytical technique

Factors strongly affecting the testing environment

Risk-based strategy

Perform risk analysis using project documents and stakeholder input

Planning, estimation, designing, and prioritising tests based on risk

Requirements-based strategy

Analysis of the requirements specification

Basis for planning, estimation, design

Exercise 1(b): Answer

Model-based approach

Tests designed based on models of the object functionality

Critical system behaviour

Emphasis on identification and selection of the appropriate

model

Preventive test approach

Example

Can build mathematical models for loading/response for e-commerce

servers

Design tests based on the models

Exercise 1(c): Answer

Methodical approach

Adhere to a pre-planned, systematised approach

Developed in-house, assembled from various concepts

Following a specific method

Tests are designed, executed and implemented in accordance

Examples

Adherence to certain checklists

Failure-based (error checking, fault-attacks)

Experience-based

Exercise 1(d): Answer

Process- or standard-compliant approach

- "*Go by the book*" as opposed to "*do it your own way*"
- Uses externally developed industry standards
  - Design and implement test assets based on these
  - Little (or no) customisation
- Examples
  - Adhering to IEEE 829 standards
  - Adhering to agile methodologies
    - E.g. Extreme programming (XP)

Exercise 1(e): Answer

Dynamic and heuristic approach

Heuristic (techniques)

Approach to problem solving that employs a practical methods

Not guaranteed to be optimal, but sufficient for intermediate goals

Typically applied during the later stages of testing

Problem: Exhaustive testing is impossible

Solution: Finding as many defects as possible

Examples

Exploratory testing

Consultative approach

Rely on a group of non-testers to guide / perform test effort

Seek advice and guidance from externals

- Business domain experts

- Technical experts (e.g. security experts)

- Outside the test team

Examples

- Asking users for what to test

- Asking developers to develop the tests

Exercise 1(g): Answer

Regression-averse approach

Techniques to manage the risk of regression

Goal: Avoid (re-)occurrence of defects

Create effective regression tests to run when anything changes

Re-run every test to ensure nothing has been broken

Practices

Automating functional tests prior to release / Re-use existing test material

Example

Having a standard set of test data for which a screen needs to pass

Exercise 1: Answer

Is one approach better than the other? Why, why not?

Some strategies are preventive (prior)

Analytical test strategies involve upfront analysis of the problem area

Tend to identify test basis prior to test execution

Some strategies are reactive (during)

Dynamic test strategies focus on the test execution period

Enable the identification of defect (clusters) that may be hard to anticipate

Strategies complement each other

Testing is context-dependent: There is no *best way* to test

Exercise 1: Answer

Factors to consider when choosing test strategies

Risks

Testing is about risk-management

Consider the risks and level of risk

Well-established applications that evolve slowly → Regression is an important risk

Skills

Strategies must not only be chosen → They must also be executed

Consider which skills the test team possesses / lacks

Standard-compliant strategy can make up for lack of skill within a test team

Exercise 1: Answer

Factors to consider when choosing test strategies

Objectives

Testing must satisfy the needs of the stakeholders to be successful

Example

If the objective is to find as many defects as possible, with limited resources

Dynamic testing may prove beneficial

Regulations

Sometimes you must satisfy not only to stakeholder, but also to existing regulations

Devise a methodical test strategy that adheres to these regulations

Exercise 1: Answer

Factors to consider when choosing test strategies

Product

Some products tend to have well-specified requirements

E.g. weapons and contract-development systems

Synergy with a requirements-based analytical strategy

Business

Business considerations and continuity are important

Example: Model-based approach

When you can use a legacy system as a model for a new system

The slides are made by

Yulai Fjeld, revised by Eva H. Vihovde