

## UiO **Institutt for informatikk**

Det matematisk-naturvitenskapelige fakultet

#### Jørn Braa Open Source and Capacity in the HISP Network 17.09.2018





# Action and research in the HISP network

- 0. Research in informatics
- 1. Background South Africa & HISP network
- 2. HIS & use of data Standardisation & Integration
  - Examples Malaria and Indonesia
- 3. Why things are difficult: 'Social systems'
- 4. Connectivity, development & challenges
- 5. DHIS2 / HISP: Research & Development challenges

## Action (&) Research in Informatics

- <u>APLIED Research</u> Dilemma: Do technical / practical work and
  - Work as a consultant and write a consultancy report, or
  - reflect 'scientifically' and make a Masters thesesWHAT IS THE DIFFERENCE?
- Partly Scientific method & partly applied research

**3** TYPES / AREAS of research methods and approaches

- A. Informatics / profession specific methods: software, standardisation, mobil technology, networks, database technology, organisational change.
- B. Application area specifiC Context of empirical work problem area
   (Mobile technology in Africa; hospitals and patient data; OR oil industry)
- C. <u>Research methods</u> "general"; reflective gather and analyse data
  - 'science' what is shared by all academic areas at the university
- <u>A + B = Consultancy / technician; A + B + C = Research & Masters theses</u>

Flower model research approach "Appropriate" combination of A + B + C

A:"Informatics

Technical area"

- System Design

Open Source SW

- Mobile technology

Databases

Integration 8

Interoperability

preanisational change

Infrastructure

B. Appplication area / Area of empirical study

- Patient records & flow of information in hospitals/ Mobile technology and innovations in Africa

S. Methods &

- Structured interview

Questionaires

-Observation

-Testing application

-Prototyping

with users

Practical approaches

# Health Information Systems Program HISP & DHIS 2: Past, Current, Future

- HISP : global network for HIS development, Open Source Software, education and research
- DHIS 2 open source software : reporting, analysis and dissemination of health data & tracking individuals
- Started in South Africa in the 1990's
   Now 40+ countries using DHIS 2
- Inspired by Scandinavian tradition:
  - Participatory design & focus on users
  - empowerment & development of
- Development agenda
- Partners: WHO, Global Fund, GAVI, UNICEF





## **DHIS2** Country Adoption



DHIS – District Health information SoftwareHISP – Health Information Systems Program

## **Background:**

- HISP started 1994 in "New" post apartheid South Africa
- Development DHIS started 1997 & 2002 National Standard
- DHIS v1 & HISP to India from 2000
- DHIS v1 spread to many countries in Africa from 2000
- 2000-2013 Develop Masters Programs in Mozambique, South Africa, Malawi, Tanzania, Ethiopia & <u>Sri Lanka</u>
- PhD program, 40 students from Asia and Africa ...... who are later running the Masters programs

# Background in 'NEW' post apartheid South Africa 1994-2000

# HISP approach – from South Africa:

- Local use of information;
- Maximise end-user control;
- Local empowerment &
- bottom-up design and system development

# Focus: Integration and use of data

- 1) standardisation of primary health care data &
- 2) 'flexible' easy to change and adapt new data sets
- 1998/99: implementation in two provinces
- 1999/2000 onwards: National implementation

# HISP / DHIS timeline (2): From 'Stand alone' MS Access – to DHIS2 Web & global footprint

- <u>2004 2010</u>: New technological paradigm:
  O Web based open source Java frameworks
  - o 2006 Kerala; 2009 Sierra Leone
- <u>2011 2013</u>: 'Cloud' and online
  - 'Cables around Africa':
  - o Kenya, Ghana, Uganda, Rwanda, ...
- <u>2014 2016</u>: 40+ countries in Asia and Africa use
   DHIS2 as national HIS

# HISP Approach to information systems – Background

- Information for decision making
  - Data use culture of information
- 'Power to the users' Empower health workers, local levels, communities
  - Training & education
  - Participatory design
- Focus on important data & indicators:
  - Data standardisation, harmonisation of data sets
  - 'Less is better'

## 3 components of the HISP 'Network of Action'



## Regional approach:

## Implementing DHIS2 through HISP nodes

- Early phase / pilots / preparation
  - Under implementation / many states in India
  - Nation-wide
- PEPFAR



#### DHIS2 Innovation Ecosystem

DHIS implementers, DHIS Designers, Country DHIS team, HISP nodes, Super users: are all, at various levels, building Systems using the platform and, mediating Requirements from users Users to core developers WARHO

Institutional Core developers Users WHO Users Develop the platform in Programmes Health interaction with DHIS DHIS Designers Information implementers and Implementing teams & Facilitators Marker designers Developers Core 3rd 🥁 Party The Moduls DHIS2 MoH Platform Impenenters **Developers** Jets Training HISP Universities 3rd party Country-Region Learning Development: Nodes Global Health Independent groups Funding making modules and Agencies Agencies Capacity building, plug-ins targeting particular Universities and learning: bridging markets, needs and use case use context, needs and practical solution

Institutional users, agencies Health programmes etc, are the Consumers, Users and Market for Information and Defining the Needs for Information and functionality

# HISP – DHIS2 Community: principles

- Free and Open Source Software & training / educational materials, etc.
- Development and implementation of sustainable & integrated Health Information Systems
- Empower communities, healthcare workers and decision makers to improve the coverage, quality and efficiency of health services
- Developmental approach to capacity building & research
  - Research based development
  - Engage HISP groups and health workers in action research!



#### Data Use, for what



# 'When, What, Where': Basis for DHIS2 data model





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#### Data analysis and use



#### Data entry into database



## All devises integrated in



SMS





PC/laptop

Tablet

# Information use cycle



Example: Malaria in Zimbabwe- elimination: case by case- Start where case load is low



# Temporal progression of malaria incidence in Zimbabwe



Malaria Incidence Per 1,000

# Malaria Pre-Elimination Context

20 Districts have been selected for elimination

10 Districts have been designated as buffer zones between elimination and control

The remainder of the country is still under control status



# From Paper to DHIS2 Android in elimination areas



#### Different levels of the health system

#### - different needs for information



Hierarchy of data standards:

- Balancing national need for **standards** with local need for **flexibility** to include additional data & indicators
- All levels province, district, facility can define their own standards as long as they adhere to the standards of the level above



# Motivation for 'Standardisation' & integration: South Africa 1994 /95 – Problems & challenges:

- **Inequity** between blacks & whites, rural & urban, urban & "peri-urban", former "homelands", etc.
- "Equity" main target
  - Need data to know whether targets are achieved
- Need standard data from across the country on
  - Health status & Health services provision
- **Problem:** No coordinated data system no standards
- HISP key actor in developing the new unified Health Information System in South Africa

#### Example South Africa, Atlantis District 1994: First Architecture approach: From fragmentation to integration;



# **Intergation: Still the same challenge !!**

# Silo systems & Information flows within various structures & health programs

Health Information Infrastructure





## **INDONESIA – DATA FLOW**

#### HMN architecture (2007) – Integrated National data warehouse:



#### DHIS2 Country platform: Integrating health programs & data sources





# Indonesia Data Warehouse & Dashboard



Shared Facility codes



## **Integrating data sources**


#### Standardised data sets: Key to Integration (Data Dictionary) Imm Exccel unis Im Templ atio mu ates nisa tion MC Other Н MC **EMR** Н DHIS2 Implemented in DHIS2 **SIKDA** Nut -> Data **EMR** triti Nut elements Other on triti Nationa lsystems on HR, etc. **Overlapping & repetitive EMRs** Push standardise Create standardised Puskesmas data collection

Puskesmas data sets

forms

Data sets to DHIS2

#### Data Integration, visualization and dissemination at district level



### National TB Dashboard









#### Location of Hospital and Health Centres



# Yogyakarta Hospital and Puskesmas



# Yogyakarta Dashboard



#### **Challenges interoperability & scale (Indonesia)**

- Organisational politics still the key:
  - access to data Push data through DHIS2 web api
  - Via event/Tracker -or direct aggregate reports
- Real data update (e.g. national TB system updating records)
- Lot of Excel based system
- Many different & non-standardised database systems at national and sub-national levels

#### Scale & central data warehouse

- Server management problem everywhere
- Big data: PEPFAR uses 30 servers MoHs cannot handle
- Current philosophy: easy download and install 'real' big data will require cloud services & multiple services

HIS as 'social system' – why things are difficult The iceberg model



Structure: Real world, social, cultural & institutional context, the information infrastructure and 'installed base'

#### Enterprise architecture: 3 Levels (each serving the level above)





## Development: Internet Cnnectivity in Africa

#### WHY SUCH DHIS2 EXPANSION ?

#### Mobile subscribers per 100 persons, Africa



Source: World Bank

# Internet: Total bandwidth of communication cables to Africa South of Sahara



Source: AFRINIC



Improved Internet & mobile network – 'cloud' infrastructure

⇒

Rapid scaling – from 'hundreds' of installations to 1





#### **Improved Internet and mobile network: Rapid scaling Implementation Using central server & "cloud" infrastructure**



# **Cloud: New Challenges**

- From installations everywhere to central server:
  - Easier: Less maintenance, form many to one 'place', no viruses, etc
  - -More difficult: New skills servers required
- Cloud technology and problems: Store patient data outside the country?
- Not enough local hosting providers & server experts

DHIS 1&2 & HISP: Bottom-up architecting - from South Africa in the 90's to current challenges, Indonesia *Consistency and change over time* 

#### **Tecnology & platform:**

Emerging design and architecture:

First concepts, then functionalities & 'boxes' Integration – no silos

#### Methodology:

- Evolutionary & bottom-up approaches;
- Action research, participatory design, flexibility
- Capacity development, research

How to ensure quality DHIS2 implementations?Combining evaluation & action research

# Evaluation refining design, plans action, implementation

Conceptualise new knowledge, write up, publish In a cyclic process oriented approach

'Text book' approach to information systems development

- But complicated due to 'short' funding & planning cycles
- Often, identified problems are not being addressed
- Long time horizon needed

# The Action Research Cycle



### Lao PDR: Annual evaluation and redesign

Two identified problems with similar solutions

- Problem 1: ANC reporting
  - Poor data on: 3 Zones with increasing risk (poor, travel distance),
    ANC before 12 weeks, mother s<19 years, TT vaccination</li>
  - Zones linked to villages, which also has population data
  - Solution / action: Implement (only) ANC first visit event register including village & zone; addresses all data quality issues
- Problem 2: Immunisation data: poor data on coverage
  - Many do vaccination in hospitals , missing 'village'
  - implement tracker event: both area and facility coverage
- (Action) Research: Conceptualise, Write up and publish

#### Action research & evaluation

 Action Research and participatory design: both cyclic and evolutionary & part of the Ifi ideology

BUT; poor systematic follow-up & continuation

- (Try) make it 'compulsory' to evaluate and document all projects and initiatives (warning!!)
- & Systematic follow-up of findings and recommendations
- & Focus on the Research part publication and dissemination of lessons and findings

#### **EPI – IMMUNISATION - LAOS**

# EXAMPLE: CHECKING DATA QUALITY & DHIS 2 'EVENT CAPTURE' IMPLEMENTTION

# Easy to cross check with register to event capture

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#### DH Xiengnguen

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## EPI Register – One line per Child – DH Xiengnuen

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# EPI Register – One line per visit – HC Saunluang – Xiengnguen District

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#### Integrated service – One line per visit – HC Saunluang – Xiengnguen District



#### EPI – No Registration Number in any EPI Register, Example HC Saunluang – Xiengnguen District

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EPI – All Form for EPI Child

HC Thapho – Phonexay District

## EPI - Event Capture – Designed by Red Cross

#### DENOMINATOR PROBLEM

#### Pakseuang Health Centre

2 different denominators 59 Live births 50 <1, their own count

103 Live births 98 <1 estimates and official



#### **Quija Health Centre**

2 different denominators 102 <1, their own count

151>1 estimates and official



# Some key findings - EPI

- EPI: no clear procedure for preparing data for event capture
  - still a lot of logbooks and very confusing
  - Main 'fixed' book used differently, as:
    - Record per visit, to ease event capture, or
    - Full child record, to se fully immunised & missed vaccines i.e. same as village book
  - Fixed, Outreach & mobile understood different at different health facilities (PHO,DH,HC)
- Log book for EPI event capture only used some places or discontinued
- Registration number not used if used: EPI records (village book) could be generated