

INF 5400 Machine learning for image classification

Lecture 11: Recurrent neural networks March 27, 2019 Tollef Jahren





Outline

- Overview (Feed forward and convolution neural networks)
- Vanilla Recurrent Neural Network (RNN)
- Input-output structure of RNN's
- Training Recurrent Neural Networks
- Simple examples
- Advanced models
- Advanced examples

About today

Goals:

- Understand how to build a recurrent neural network
- Understand why long range dependencies is a challenge for recurrent neural networks

Readings

- Video:
 - cs231n: Lecture 10 | Recurrent Neural Networks
- Read:
 - <u>The Unreasonable Effectiveness of Recurrent Neural Networks</u>
- Optional:
 - Video: cs224d L8: <u>Recurrent Neural Networks and Language Models</u>
 - Video: cs224d L9: <u>Machine Translation and Advanced Recurrent LSTMs</u> and GRUs

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Overview

- What have we learnt so far?
 - Fully connected neural network
 - Convolutional neural network

• What worked well with these?

- Fully connected neural network works well when the input data is structured
- Convolutional neural network works well on images
- What does not work well?
 - Processing data with unknown length





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Recurrent Neural Network (RNN)

- Takes a new input
- Manipulate the state
- Reuse weights
- Gives a new output



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Recurrent Neural Network (RNN)

- Unrolled view
- x_t : The input vector:
- h_t : The hidden state of the RNN
- y_t : The output vector:



Recurrent Neural Network (RNN)

- Recurrence formula:
 - Input vector: x_t
 - Hidden state vector: h_t

$$h_t = f_W(h_{t-1}, x_t)$$

new state / old state input vector at some time step some function with parameters W



Note: We use the same function and parameters for very "time" step

(Vanilla) Recurrent Neural Network

- Input vector: x_t
- Hidden state vector: h_t
- Output vector: y_t
- Weight matrices: W_{hh} , W_{hx} , W_{hy}

General form:

$$h_t = f_w(h_{t-1}, x_t)$$

Vanilla RNN:

$$h_t = \tanh(W_{hh}h_{t-1} + W_{hx}x_t + b)$$
$$y_t = W_{hy}h_t$$



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RNN: Computational Graph



RNN: Computational Graph



RNN: Computational Graph



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RNN: Computational Graph



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RNN: Computational Graph



RNN: Computational Graph



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RNN: Computational Graph



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Example: Language model

- Task: Predicting the next character
- Training sequence: "hello"
- Vocabulary:
 - [h, e, l, o]
- Encoding: Onehot



RNN: Predicting the next character

- Task: Predicting the next character
- Training sequence: "hello"
- Vocabulary:
 - [h, e, l, o]
- Encoding: Onehot
- Model:
- $h_t = \tanh(W_{hh}h_{t-1} + W_{hx}x_t)$



RNN: Predicting the next character

- Task: Predicting the next character
- Training sequence: "hello"
- Vocabulary:
 - [h, e, l, o]
- Encoding: Onehot
- Model:
- $h_t = \tanh(W_{hh}h_{t-1} + W_{hx}x_t + b)$ $y_t = W_{hy}h_t$



RNN: Predicting the next character

- Vocabulary:
 - [h, e, l, o]
- At test time, we can sample from the model to synthesis new text.



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RNN: Predicting the next character

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RNN: Predicting the next character

- Vocabulary:
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Input-output structure of RNN's

- One-to-one
- one-to-many
- many-to-one
- Many-to-many
- many-to-many (encoder-decoder)

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RNN: One-to-one

Normal feed forward neural network

one to one



RNN: One-to-many

Task:

- Image Captioning
 - (Image → Sequence of words)

one to many



RNN: Many-to-one

Tasks:

- Sentiment classification
- Video classification

many to one



RNN: Many-to-many

Task:

• Video classification on frame level



RNN: Many-to-many (encoderdecoder)

Task:

 Machine Translation (English → French)



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Training Recurrent Neural Networks

- The challenge in training a recurrent neural network is to preserve long range dependencies.
- Vanilla recurrent neural network
 - $h_t = f(W_{hh}h_{t-1} + W_{hx}x_t + b)$
 - f = relu() can easily cause exploding values and/or gradients
 - $f = \tanh()$ can easily cause vanishing gradients, difficult to remember more than ~7 steps.
- Finite memory available



Exploding or vanishing gradients

- tanh() solves the exploding value problem
- tanh() does NOT solve the exploding gradient problem, think of a scalar input and a scalar hidden state.

$$h_t = tanh(W_{hh}h_{t-1} + W_{hx}x_t + b)$$

$$\frac{\partial h_t}{\partial h_{t-1}} = \left[1 - \tanh^2(W_{hh}h_{t-1} + W_{hx}x_t + b)\right] \cdot W_{hh}$$

The gradient can explode/vanish exponentially in time (steps)

- If $|W_{hh}| < 1$, vanishing gradients
- If $|W_{hh}| > 1$, exploding gradients

Exploding or vanishing gradients

- Conditions for vanishing and exploding gradients for the weight matrix, W_{hh} :
 - If largest singular value > 1: Exploding gradients
 - If largest singular value < 1: Vanishing gradients
Gradient clipping

• To avoid exploding gradients, a simple trick is to set a threshold of the norm of the gradient matrix, $\frac{\partial h_t}{\partial h_{t-1}}$.

Algorithm 1 Pseudo-code for norm clipping the gradients whenever they explode



- Error surface of a single hidden unit RNN
- High curvature walls
- Solid lines: Standard gradient descent trajectories
- Dashed lines gradient rescaled to fixes size



Vanishing gradients - "less of a problem"

- In contrast to feed-forward networks, RNNs will not stop learning in spite of vanishing gradients.
- The network gets "fresh" inputs each step, so the weights will be updated.
- The challenge is to learning long range dependencies. This can be improved using more advanced architectures.
- Output at time step t is mostly effected by the states with to time t.



Initialization of the weights

- Potential initialization schemes for the transition matrices, W_{hh} :
 - Activation (tanh): $Var[W_l] = \frac{2}{n_l + n_{l+1}}$
 - Activation (tanh): $Var[W_l] = \frac{1}{n_l}$
 - Activation (relu): Orthogonal matrix
 - Activation (relu): Identity matrix

- Initial state, h_0 :
 - Initialize to zeros or random
 - **Note:** We can learn the initial state by backpropagate into it.

Some initialization schemes can help RNNs

- Identity initialization of state transition matrix, *W*_{hh}:
 - Initially no state change can be a good start
 - Avoid exploding/vanishing gradients
 - May be able to use ReLu
 - The output should be the sum of the two values which have "mask" value of 1.



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A Simple Way to Initialize Recurrent Networks of Rectified Linear Units

Backpropagation through time (BPTT)

- Training of a recurrent neural network is done using backpropagation and gradient descent algorithms
 - To calculate gradients you have to keep your inputs in memory until you get the backpropagating gradient
 - Then what if you are reading a book of 100 million characters?



• The solution is that you stop at some point and update the weight as if you were done.



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• The solution is that you stop at some point and update the weight as if you were done.



- Advantages:
 - Reducing the memory requirement
 - Faster parameter updates
- Disadvantage:
 - Not able to capture longer dependencies then the truncated length.

What effect do you get from stopping the gradients?

- You are not guarantied to remember interactions longer than the number of steps.
- Say a text call a person Anna then much later refer to her as "she"
- A model with a lot of steps could directly learn to remember Anna.
- RNNs can in test time remember longer than the number of steps:
 - You may have learned from earlier cases where "name" and "he/she" were much closer
 - The model knows that it should save gender of the name



A fun fix! Synthetic gradients

- Train a network to predict the gradients you would get if all steps were connected
- You can learn that if you see Anna in the state, you should expect gradients "asking for Anna" later
- This is of course not perfect since you don't have all the coming information







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Inputting Shakespeare

•	Input a lot of text	tyntd-iafhatawiaoihrdemot lytdws e ,tfti, astai f ogoh eoase rrranbyne 'nhthnee e plia tklrgd t o idoe ns,smtt h ne etie h,hregtrs nigtike,aoaenns lng
		train more
•	Try to predict the next character	"Tmont thithey" fomesscerliund Keushey. Thom here sheulke, anmerenith ol sivh I lalterthend Bleipile shuwy fil on aseterlome coaniogennc Phe lism thond hon at. MeiDimorotion in ther thize."
•	Learning spelling, quotes and punctuation.	train more
		Aftair fall unsuch that the hall for Prince Velzonski's that me of her hearly, and behs to so arwage fiving were to it beloge, pavu say falling misfort how, and Gogition is so overelical and ofter.
		train more
		"Why do what that day," replied Natasha, and wishing to himself the fact the princess, Princess Mary was easier, fed in had oftened him. Pierre aking his soul came to the packs and drove up his father-in-law women.

https://gist.github.com/karpathy/d4dee566867f8291f086

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Inputting Shakespeare

PANDARUS:

Alas, I think he shall be come approached and the day When little srain would be attain'd into being never fed, And who is but a chain and subjects of his death, I should not sleep.

Second Senator:

They are away this miseries, produced upon my soul, Breaking and strongly should be buried, when I perish The earth and thoughts of many states.

DUKE VINCENTIO:

Well, your wit is in the care of side and that.

Second Lord:

They would be ruled after this chamber, and my fair nues begun out of the fact, to be conveyed, Whose noble souls I'll have the heart of the wars.

Clown:

Come, sir, I will make did behold your worship.

VIOLA: I'll drink it.

VIOLA:

Why, Salisbury must find his flesh and thought That which I am not aps, not a man and in fire, To show the reining of the raven and the wars To grace my hand reproach within, and not a fair are hand, That Caesar and my goodly father's world; When I was heaven of presence and our fleets, We spare with hours, but cut thy council I am great, Murdered and by thy master's ready there My power to give thee but so much as hell: Some service in the noble bondman here, Would show him to her wine.

KING LEAR:

O, if you were a feeble sight, the courtesy of your law, Your sight and several breath, will wear the gods With his heads, and my hands are wonder'd at the deeds, So drop upon your lordship's head, and your opinion Shall be against your honour.

https://gist.github.com/karpathy/d4dee566867f8291f086

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Inputting latex

Proof. Omitted.

Lemma 0.1. Let C be a set of the construction. Let C be a gerber covering. Let F be a quasi-coherent sheaves of O-modules. We have to show that

 $\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{L})$

Proof. This is an algebraic space with the composition of sheaves ${\mathcal F}$ on $X_{\acute{e}tale}$ we have

 $\mathcal{O}_X(\mathcal{F}) = \{morph_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F})\}$

where \mathcal{G} defines an isomorphism $\mathcal{F} \to \mathcal{F}$ of \mathcal{O} -modules.

Lemma 0.2. This is an integer Z is injective.

Proof. See Spaces, Lemma ??.

Lemma 0.3. Let S be a scheme. Let X be a scheme and X is an affine open covering. Let $\mathcal{U} \subset \mathcal{X}$ be a canonical and locally of finite type. Let X be a scheme. Let X be a scheme which is equal to the formal complex.

The following to the construction of the lemma follows.

Let X be a scheme. Let X be a scheme covering. Let

 $b: X \to Y' \to Y \to Y \to Y' \times_X Y \to X.$

be a morphism of algebraic spaces over S and Y.

Proof. Let X be a nonzero scheme of X. Let X be an algebraic space. Let \mathcal{F} be a quasi-coherent sheaf of \mathcal{O}_X -modules. The following are equivalent

(1) \mathcal{F} is an algebraic space over S.

(2) If X is an affine open covering.

Consider a common structure on X and X the functor $\mathcal{O}_X(U)$ which is locally of finite type.



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Inputting C code (linux kernel)

```
#include <asm/io.h>
#include <asm/prom.h>
#include <asm/e820.h>
#include <asm/system info.h>
#include <asm/setew.h>
#include <asm/pgproto.h>
#define REG PG vesa slot addr pack
#define PFM NOCOMP AFSR(0, load)
#define STACK_DDR(type)
                            (func)
#define SWAP_ALLOCATE(nr)
                             (@)
#define emulate sigs() arch get unaligned child()
#define access rw(TST) asm volatile("movd %%esp, %0, %3" : : "r" (0)); \
 if ( type & DO READ)
static void stat PC SEC __read mostly offsetof(struct seg argsqueue, \
          pC>[1]);
static void
os_prefix(unsigned long sys)
{
#ifdef CONFIG PREEMPT
  PUT_PARAM_RAID(2, sel) = get_state_state();
  set pid sum((unsigned long)state, current state str(),
           (unsigned long)-1->lr_full; low;
```

```
static void do_command(struct seq_file *m, void *v)
1
 int column = 32 << (cmd[2] & 0x80);</pre>
 if (state)
   cmd = (int)(int state ^ (in 8(&ch->ch flags) & Cmd) ? 2 : 1);
 else
   seg = 1;
 for (i = 0; i < 16; i++) {
   if (k & (1 << 1))
     pipe = (in_use & UMXTHREAD_UNCCA) +
       ((count & 0x0000000fffffff8) & 0x000000f) << 8;
   if (count == 0)
      sub(pid, ppc md.kexec handle, 0x2000000);
   pipe set bytes(i, 0);
 3
 /* Free our user pages pointer to place camera if all dash */
 subsystem info = &of changes[PAGE SIZE];
 rek controls(offset, idx, &soffset);
 /* Now we want to deliberately put it to device */
 control check polarity(&context, val, 0);
 for (i = 0; i < COUNTER; i++)</pre>
   seq puts(s, "policy ");
```

Interpreting cell activations

• Semantic meaning of the hidden state vector



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Interpreting cell activations

"You mean to imply that I have nothing to eat out of.... On the contrary, I can supply you with everything even if you want to give dinner parties," warmly replied Chichagov, who tried by every word he spoke to prove his own rectitude and therefore imagined Kutuzov to be animated by the same desire.

Kutuzov, shrugging his shoulders, replied with his subtle penetrating smile: "I meant merely to say what I said."

quote detection cell

2

Visualizing and Understanding Recurrent Networks

Interpreting cell activations

The sole importance of the crossing of the Berezina lies in the fact that it plainly and indubitably proved the fallacy of all the plans for cutting off the enemy's retreat and the soundness of the only possible line of action--the one Kutuzov and the general mass of the army demanded--namely, simply to follow the enemy up. The French crowd fled at a continually increasing speed and all its energy was directed to reaching its goal. It fled like a wounded animal and it was impossible to block its path. This was shown not so much by the arrangements it made for crossing as by what took place at the bridges. When the bridges broke down, unarmed soldiers, people from Moscow and women with children who were with the French transport, all--carried on by vis inertiae-pressed forward into boats and into the ice-covered water and did not, surrender.

line length tracking cell

Visualizing and Understanding Recurrent Networks

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Interpreting cell activations



if statement cell

Visualizing and Understanding Recurrent Networks

Interpreting cell activations



code depth cell

Visualizing and Understanding Recurrent Networks

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Gated Recurrent Unit (GRU)

- A more advanced recurrent unit
- Uses gates to control information flow
- Used to improve long term dependencies



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Gated Recurrent Unit (GRU)

GRU has the ability to adding and removing to the state, not "transforming the state" only.

Vanilla RNN

• Cell state $h_t = tanh(W^{hx}x_t + W^{hh}h_{t-1} + b)$

GRU

- Update gate
- Reset gate
- Candidate cell state
- Final cell state

$$\begin{aligned} \Gamma^{u} &= \sigma(W^{u}x_{t} + U^{u}h_{t-1} + b^{u}) \\ \Gamma^{r} &= \sigma(W^{r}x_{t} + U^{r}h_{t-1} + b^{r}) \\ \tilde{h}_{t} &= tanh(Wx_{t} + U(\Gamma^{r} \circ h_{t-1}) + b) \\ h_{t} &= \Gamma^{u} \circ h_{t-1} + (1 - \Gamma^{u}) \circ \tilde{h}_{t} \end{aligned}$$

With Γ^r as ones and Γ^u as zeros, GRU \rightarrow Vanilla RNN

Gated Recurrent Unit (GRU)

Summary:

- The update gate , Γ^u, can easily be close to 1 due to the sigmoid activation function. Copying the previous hidden state will prevent vanishing gradients.
- With $\Gamma^u = 0$ and $\Gamma^r = 0$ the GRU unit can forget the past.
- In a standard RNN, we are transforming the hidden state regardless of how useful the input is.
- Update gate
- Reset gate
- Candidate cell state
- Final cell state

 $\begin{aligned} \Gamma^{u} &= \sigma(W^{u}x_{t} + U^{u}h_{t-1} + b^{u}) \\ \Gamma^{r} &= \sigma(W^{r}x_{t} + U^{r}h_{t-1} + b^{r}) \\ \tilde{h}_{t} &= tanh(Wx_{t} + U(\Gamma^{r} \circ h_{t-1}) + b) \\ h_{t} &= \Gamma^{u} \circ h_{t-1} + (1 - \Gamma^{u}) \circ \tilde{h}_{t} \end{aligned}$

Long Short Term Memory (LSTM) (for reference only)

GRU		LSTM	
Update gate	$\Gamma^u = \sigma(W^u x_t + U^u h_{t-1} + b^u)$	Forget gate	$\Gamma^f = \sigma(W^f x_t + U^f h_{t-1} + b^f)$
Reset gate	$\Gamma^r = \sigma(W^r x_t + U^r h_{t-1} + b^r)$	Input gate	$\Gamma^i = \sigma(W^i x_t + U^i h_{t-1} + b^i)$
		Output gate	$\Gamma^o = \sigma(W^o x_t + U^o h_{t-1} + b^o)$
Candidate cell state	$\tilde{h}_t = tanh(Wx_t + U(\Gamma^r \circ h_{t-1}) + b)$	Potential cell memory	$\tilde{c}_t = tanh(W^c x_t + U^c h_{t-1} + b^c)$
Final cell state	$h_t = \Gamma^u \circ h_{t-1} + (1 - \Gamma^u) \circ \tilde{h}_t$	Final cell memory	$c_t = \Gamma^f \circ c_{t-1} + \Gamma^i \circ \tilde{c}_t$
		Final cell state	$h_t = \Gamma^o \circ \tanh(c_t)$

LSTM training

 One can initialize the biases of the forget gate such that the values in the forget gate are close to one.

Multi-layer Recurrent Neural Networks

- Multi-layer RNNs can be used to enhance model complexity
- Similar as for feed forward neural networks, stacking layers creates higher level feature representation
- Normally, 2 or 3 layer deep, not as deep as conv nets
- More complex relationships in time



Bidirectional recurrent neural network

- The blocks can be vanilla, LSTM and GRU recurrent units
- Real time vs post processing

$$\vec{h}_{t} = f\left(\vec{W}_{hx}x_{t} + \vec{W}_{hh}\vec{h}_{t-1}\right)$$
$$\vec{h}_{t} = f\left(\vec{W}_{hx}x_{t} + \vec{W}_{hh}\vec{h}_{t+1}\right)$$
$$h_{t} = \left[\vec{h}_{t}, \vec{h}_{t}\right]$$
$$y_{t} = g(W_{hy}h_{t} + b)$$



Bidirectional recurrent neural network

- Example:
 - **Task:** Is the word part of a person's name?
 - Text 1: He said, "Teddy bear are on sale!"
 - Text 2: He said, "Teddy Roosevelt was a great President!"

$$\vec{h}_{t} = f(\vec{W}_{hx}x_{t} + \vec{W}_{hh}\vec{h}_{t-1})$$
$$\vec{h}_{t} = f(\vec{W}_{hx}x_{t} + \vec{W}_{hh}\vec{h}_{t+1})$$
$$h_{t} = [\vec{h}_{t}, \vec{h}_{t}]$$
$$y_{t} = g(W_{hy}h_{t} + b)$$

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Image Captioning

- Combining text RNN with image CNN
- Learning RNN to interpret top features from CNN



Image Captioning



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Image Captioning



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Image Captioning



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Image Captioning



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Image Captioning



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Image Captioning



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Image Captioning



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Image Captioning: Results



A cat sitting on a suitcase on the floor



A cat is sitting on a tree branch



A dog is running in the grass with a frisbee



A white teddy bear sitting in the grass



Two people walking on the beach with surfboards



A tennis player in action on the court



Two giraffes standing in a grassy field



A man riding a dirt bike on a dirt track

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Image Captioning: Failures



A woman is holding a cat in her hand



A person holding a computer mouse on a desk



A woman standing on a beach holding a surfboard



A bird is perched on a tree branch



A man in a baseball uniform throwing a ball

Generating images

- Input top and left pixel to predict a new pixel.
- Fill in holes.





Machine translation

- Example:
 - German to English:
 - "Echt dicke Kiste" to "Awesome sauce"
- Tokenize each word/character, e.g. as a onehot vector.
- EOS> token
- Can use cross-entropy loss for every timestep
- Character model vs vocabulary model:
 - Pros character model :
 - Much smaller class size (input/ouput vector length)
 - You don't need to worry about unknown words
 - Pros vocabulary model
 - Shorter sequences, easier to capture long term dependencies
 - Less computationally expensive to train

Machine translation

- RNN: Many-to-many (encoder-decoder)
- Tips which may help:
 - Different *W* for the encoder and the decoder
 - Concatenate the last hidden state of the encoder to all hidden state in the decoder
 - In the decoder, pass in y_n as x_{n+1}
 - Reverse the order:
 - ABC → XY
 - CBA → XY



CNN + RNN

 With RNN on top of CNN features, you capture a larger time horizon



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Long-term Recurrent Convolutional Networks for Visual Recognition and Description

A network can be both convolutional and recurrent

 By simply changing the matrix multiplications to convolutions

 $\begin{aligned} \mathbf{z}_t &= \sigma(\mathbf{W}_z \mathbf{x}_t + \mathbf{U}_z \mathbf{h}_{t-1}), \\ \mathbf{r}_t &= \sigma(\mathbf{W}_r \mathbf{x}_t + \mathbf{U}_r \mathbf{h}_{t-1}), \\ \mathbf{\tilde{h}}_t &= \tanh(\mathbf{W} \mathbf{x}_t + \mathbf{U}(\mathbf{r}_t \odot \mathbf{h}_{t-1})) \\ \mathbf{h}_t &= (1 - \mathbf{z}_t) \mathbf{h}_{t-1} + \mathbf{z}_t \mathbf{\tilde{h}}_t, \end{aligned}$

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$$\begin{aligned} \mathbf{z}_{t}^{l} &= \sigma(\mathbf{W}_{z}^{l} * \mathbf{x}_{t}^{l} + \mathbf{U}_{z}^{l} * \mathbf{h}_{t-1}^{l}), \\ \mathbf{r}_{t}^{l} &= \sigma(\mathbf{W}_{r}^{l} * \mathbf{x}_{t}^{l} + \mathbf{U}_{r}^{l} * \mathbf{h}_{t-1}^{l}), \\ \mathbf{\tilde{h}}_{t}^{l} &= \tanh(\mathbf{W}^{l} * \mathbf{x}_{t}^{l} + \mathbf{U} * (\mathbf{r}_{t}^{l} \odot \mathbf{h}_{t-1}^{l})) \\ \mathbf{h}_{t}^{l} &= (1 - \mathbf{z}_{t}^{l})\mathbf{h}_{t-1}^{l} + \mathbf{z}_{t}^{l}\mathbf{\tilde{h}}_{t}^{l}, \end{aligned}$$

Learning Video Object Segmentation with Visual Memory