# UNIVERSITY OF OSLO

## Faculty of mathematics and natural sciences

Exam in:IN5400/IN9400 — Machine Learning for Image AnalysisDay of examination:June 14, 2022Examination hours:9:00-13:00This exercise set consists of 13 pages.Appendices:NonePermitted aids:All

It is important that you read the following two (2) cover pages carefully before you start.

#### General information:

- Read the entire exercise text before you start solving the exercises. Please check that the exam paper is complete.
- Important messages during the exam are given directly from the course teachers on the course's semester page. It is therefore important that you check the course's semester page regularly during the exam.
- Your answer should reflect your own independent work and should be a result of your own learning and work effort.
- All sources of information are allowed. If you reproduce a text from books, online articles, etc., a reference to these sources must be provided to avoid suspicions of plagiarism. This also applies if a text is translated from other languages.
- You are responsible for ensuring that your exam answers are not available to others during the exam period, neither physically nor digitally.
- Remember that your exam answers must be anonymous; do not state either your name or that of fellow students.
- If you want to withdraw from the exam, press the menu at the top right of Inspera and select "Withdraw".

#### **Collaboration during the exam:**

It is not allowed to collaborate or communicate with others during the exam. Cooperation and communication will be considered as attempted cheating. A plagiarism control is performed on all submitted exams where text similarities between answers are checked. If you use notes that have been prepared in collaboration with others before the exam, this might be detected in a plagiarism control. Such text similarities will be considered an attempt at cheating.

#### Cheating / Dishonesty / Unfair advantage during an examination

Read about what is considered cheating on UiO's website: https: //www.uio.no/english/studies/examinations/cheating/index.html

#### Further notes:

- File upload: You have been given 30 min. extra time for uploading files (e.g. digital hand drawings). Please see also https://www.uio. no/english/studies/examinations/submissions/options-for-hand-drawings. html
- You can mix handwriting, hand drawings, digital drawings, and digitally typed documents. Handwritten drawings are okay. Handwritten text on drawings is okay. Digitally typed text is preferred over handwritten text because of less potential for misreading.
- You are responsible for the readability of your handwriting. You are responsible for ensuring that the quality of scans of any drawings or handwritten parts are sufficient to be easily readable. Remember that you can scan also using mobile phones.
- Most of your text answers should include a discussion and be brief, typically at most a few sentences.
- When you do calculations to answer an exercise, include the intermediate steps in your answer.
- Submit one PDF file in Inspera. In this file, the exercises should be answered in given order. Any figures or drawings MUST be included at the correct place in the document. You can import scanned drawings into some word processing software with typed text and export as PDF or use e.g. LaTeX. You can concatenate many PDFs into one using Adobe Acrobat Pro available to students and staff at UiO: https://www.uio.no/english/services/it/ home-away/kiosk/
- If you lack information in the exam text or think that some information is missing, you may make your own assumptions, as long as they are justifiable within the context of the exercise. In such a case, you should make it clear what assumptions you have made.
- Plan your time so that you can try to answer as many subtasks as possible.

#### Contact information:

User support for the exam: https://www.mn.uio.no/english/ studies/exam/user-support.html

#### 1a

Assume you want to predict the number of people in a country supporting that the country is or becomes a member of the European Union. Denote this true number y and the prediction  $\hat{y}$ . As inputs you have three values; the population count  $x_1$ , the country's land area  $x_2$ , and the gross domestic product (GDP) per capita  $x_3$ . Make an illustration of a neural network depicting the following relation between the inputs and the prediction:

$$\hat{y} = \sum_{k=1}^{4} w_k g\left(\sum_{j=1}^{3} v_{j,k} x_j + b_k\right) + c$$

 $v_{j,k}$ ,  $w_k$ ,  $b_k$ , and c are trainable parameters and g is the logistic sigmoid function, i.e.:

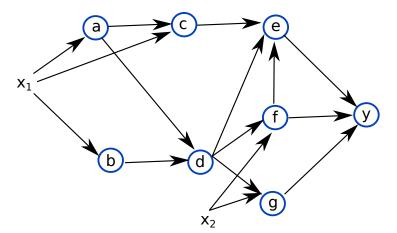
$$g(x) = \frac{1}{\exp(-x) + 1}$$

#### 1b

Without changing the number of trainable parameters, how would you change the network so that it outputs a value between 0 and 1 indicating the proportion of people (instead of the number of people) in a country supporting that the country is or becomes a member of the European Union?

### **Exercise 2**

Consider the following neural network:



The equation for any neuron  $k \in \{a, b, c, ...\}$  is:

$$n\left(s+\sum_{l}v_{l}x_{l}+\sum_{k}w_{k}k\right) \tag{1}$$

(Continued on page 5.)

where  $n(\cdot)$  is some activation function, *s* is the bias term,  $v_l = 0$  for neurons that have no direct inputs  $x_l$ , and  $w_k = 0$  for neurons that have no inputs from other neurons.

This task asks you to write down expressions for gradients of this network. Please consider the following advices before doing so:

- Write the expression in terms of:
  - $-\frac{\partial e}{\partial k}$ , where k is a neuron directly connected to the loss function e,
  - $-\frac{\partial k_1}{\partial k_2}$ , where  $k_2$  is direct input to  $k_1$ , and
  - $-\frac{\partial k}{\partial x_l}$ , where  $x_l$  is input to the network.
- You **do not need** to plug in how  $\frac{\partial k_1}{\partial k_2}$  or  $\frac{\partial k}{\partial x_l}$  looks like.
- You **do not need** to multiply out terms in parentheses, so (a + b)c or ((a + b)c + (d + e)f)g is fine to keep like that.

Write down an expression for the following gradients of this network:

2a  $\frac{dy}{dx_2}$  2b

 $\frac{dy}{dx_1}$ 

### Exercise 3

3a

The input to a pooling operation is:

1	7	1	1	4	0
0	2	1	7	5	2
6	5	3	6	5	2
6	5	2	3	0	2
5	0	2	0	4	5
3	2	1	2	7	5

and the output is:

7	7	5
6	6	5
5	2	7

Which pooling operation is it and what are the applied parameter values?

(Continued on page 6.)

#### 3b

A 2-dimensional convolutional layer applies filters with spatial size (5,9), stride 2, and standard padding and provides an output with spatial size (30,20). What is the upper and lower bound for the spatial size of the input to this layer?

### 3c

Assume the 2-dimensional convolutional layer (applying filters with spatial size (5,9), stride 2, and standard padding) has 200 input channels and 100 output channels. What is the number of trainable parameters in this layer?

### 3d

A second 2-dimensional convolutional layer is applied on top of the 2dimensional convolutional layer applying filters with spatial size (5,9), stride 2, and standard padding. The second 2-dimensional convolutional layer applies filters with spatial size (3,3), stride 1, and no padding and has 100 input channels and 50 output channels. On top of this second 2-dimensional convolutional layer is a third 2-dimensional convolutional layer applying filters with spatial size (7,7), stride 3, and zero-padding 2 and having 50 input channels and 1 output channel.

What is the spatial size of the region of the input to the first layer that will be used to compute the output of a single neuron in the third layer? You can assume that the input is sufficiently large and that the neuron is located in the spatial center.

Include your calculations in your answer even if you also include drawings.

### **Exercise** 4

### 4a

Modify the following code so that it applies batch normalization.

```
class Model(nn.Module):
    def __init__(self):
        super(Model, self).__init__()
        self.conv1 = nn.Conv2d(in_channels=1, out_channels=16, kernel_size=3)
        self.conv2 = nn.Conv2d(in_channels=16, out_channels=16, kernel_size=3)
        self.maxpool1 = nn.MaxPool2d(kernel_size=2)
        self.conv3 = nn.Conv2d(in_channels=16, out_channels=32, kernel_size=3)
        self.conv4 = nn.Conv2d(in_channels=32, out_channels=32, kernel_size=3)
        self.maxpool2 = nn.MaxPool2d(kernel_size=2)
        self.maxpool2 = nn.MaxPool2d(kernel_size=2)
        self.maxpool2 = nn.MaxPool2d(kernel_size=2)
        self.fc1 = nn.Linear(in_features=32*4*4, out_features=10)
```

```
(Continued on page 7.)
```

```
def forward(self, x):
    out = F.relu(self.conv1(out))
    out = F.relu(self.conv2(out))
    out = self.maxpool1(out)
    out = F.relu(self.conv3(out))
    out = F.relu(self.conv4(out))
    out = self.maxpool2(out)
    out = out.view(out.size(0), -1)
    out = self.fc1(out)
    return out
```

You can but do not need to include unchanged code in your answer. If you do not include unchanged code, you should make sure that it is clear where code lines you propose adding should be included and which lines you propose changing or deleting.

#### 4b

Assume the following code should include everything necessary to be applicable for training a model for one epoch (if is\_training is True) and evaluating a validation or test set (if is\_training is False). You can assume that the user have defined data\_loader correctly.

```
total_loss = 0
for batch_idx, data_batch in enumerate(data_loader):
  images = data_batch[0].to('cuda')
  labels = data_batch[1].to('cuda')
  if not is_training:
    with torch.no_grad():
      prediction = model(images)
      loss = loss_fn(prediction, labels)
      total_loss += loss.item()
  elif is_training:
    prediction = model(images)
    loss = loss_fn(prediction, labels)
    total_loss += loss.item()
    optimizer.zero_grad()
    loss.backward()
    optimizer.step()
loss_avg = total_loss / len(data_loader)
```

If the model applies batch normalization, what needs to be included in the code so that the batch normalization will work as intended? Why is this needed?

#### **4c**

Batch normalization and related normalization techniques such as group, instance, or layer normalization are frequently used to ensure good gradient flow even for deep networks. Briefly suggest two techniques that facilitate networks without any such normalization to perform similarly as state-of-the-art networks using batch normalization.

### **Exercise 5**

### 5a

What are the potential advantages of using a momentum term when running stochastic gradient descent (SGD) to learn parameters?

### 5b

How would you change the value of the momentum parameter (default is frequently 0.9) in order to put more weight on the more recently computed gradients (from more recent iterations)? Explain in at most two sentences why.

### **Exercise 6**

Consider these three vectors, which are three different gradients  $g_t$  from three timesteps t = 0, 1, 2, obtained from some loss with respect to two input variables:

$$g_0 = (-6, 4), g_1 = (2, \sqrt{6}), g_2 = (-\sqrt{40}, \sqrt{14})$$

For this task, consider an exponential moving average (EMA) with a parameter  $\alpha$  over a sequence of values ( $g_0, g_1, g_2, ...$ ) as given below:

$$e_0 = (1 - \alpha)g_0$$
  
 $e_1 = \alpha e_0 + (1 - \alpha)g_1$   
 $e_k = \alpha e_{k-1} + (1 - \alpha)g_k$ 

6a

Calculate the whole gradient update resulting from  $g_2$  which is used in RMSprop. Use  $\alpha = 0.5$  as the EMA parameter and  $\epsilon = 6e - 3$  as the stabilizing epsilon for the EMAs.

### 7a

Let *f* be a logit classifier output, *x* the original input sample, and  $x^*$  be an adversarial sample created from *x*.

Which of the following could be described as a performance metric for adversarial attacks for classification? Explain in at most 5 sentences, why your chosen one is suitable, and why the others are not.

• 
$$\frac{\|f(x) - f(x^*)\|^2}{\|x - x^*\|^2} \to 0$$

• 
$$\frac{\|f(x) - f(x^*)\|^2}{\|x - x^*\|^2} \to \infty$$

• 
$$\frac{(f(x) \cdot f(x^*))^2}{\|x - x^*\|^2} \to 1$$

### 7b

The following code tries to create an adversarial attack. Explain in at most two sentences what the following code does wrong. Explain in at most two sentences what should have been done instead.

```
def advattack():
  stepsize = 0.0001
  model = getmodel().to(torch.device('cpu'))
  loader = getloader()
  #gets a single image
  sampleindex = 0
  targetimage = loader[sampleindex][0].unsqueeze(0).to(torch.device('cpu'))
  targetimage.requires_grad = True
  with torch.no_grad():
    y = model(targetimage)
    maxind = torch.argmax(y[0,:])
  done = False
  stepcounter = 100
  curstep = 0
  while False == done:
    with torch.enable_grad():
      y = model(targetimage)
```

```
torch.sum(y[0,maxind]).backward()
with torch.no_grad():
    for nm, param in model.named_parameters():
        param.data -= stepsize*param.grad.data
        print( nm, 'norm of gradient:', torch.norm(param.grad.data).item() )
newmaxind = torch.argmax(y[0,:])
if newmaxind != maxind:
    done = True
    print('converged at step', curstep)
curstep +=1
if curstep >= stepcounter:
    done = True
    print('not converged, terminated after max number of steps reached')
```

You are working for security firm that wants to have a model that detects humans in a live closed-circuit television (CCTV) footage. Your training data consists of images with ground truth labels for a) coordinates of boxes around humans and b) a binary label (human or not-human) for each pixel.

### 8a

You decide to start experimenting with a two-stage object detection model that makes use of anchor boxes. Initially, you are only required to detect humans who appear to be standing/walking in an image. What shape (a rough height to width ratio) will you choose for anchor boxes? Explain briefly.

### 8b

Humans closer to the camera would look bigger than the ones far away. How will you make modifications to the neural network architecture to tackle this problem without using anchor boxes of varying sizes?

### 8c

You are now required to also produce a mask for each human detected. How will you modify the architecture of your network to incorporate this new requirement?

(Continued on page 11.)

#### 9a

Suppose you obtain a plot of clusters using t-SNE. It looks plausible to you. Similarly looking images are close together in the plot.



source: Andrej Karpathy, the 1k plot at
https://cs.stanford.edu/people/karpathy/cnnembed/

Why is it suggested as good practice to validate the findings in a way different from the t-SNE embedding itself? Three sentences at most please.

### 9b

How to complete this code in order to obtain a tensor called rscores which contains a gradient times input explanation? Hint: A couple of lines should be sufficient.

```
def gxiexplanation():
  model = getmodel().to(torch.device('cpu'))
  loader = getloader()
  #gets a single image
  sampleindex = 0
  targetimage = loader[sampleindex][0].unsqueeze(0).to(torch.device('cpu'))
```

```
targetimage.requires_grad = True
with torch.enable_grad():
    y = model(targetimage)
    maxind = torch.argmax(y[0,:])
#TODO to be continued by students HERE
```

You are working on an application to detect the direction in which eyes are looking. Your application receives an image of eyes as input, and it outputs an angle (0 to 360). You have data for some of the angles but lack it for others. Since it is difficult to collect data for all possible angles, you decide to generate images of eyes synthetically and then train a Generative Adversarial Network (GAN) to convert synthetic images into realistic ones.

### 10a

What will be the inputs and outputs of the generator network and the discriminator network in your GAN?

### 10b

You decide to use a generator network that has an architecture containing two main components and use transposed convolutions (i.e. fractionallystrided convolutions) in one of them. What are these two main components and in which would you use transposed convolutions?

### **Exercise 11**

Consider the space of all distributions  $\{P : P(a) + P(b) = 0\}$ over a space with two elements  $M = \{a, b\}$  with a metric (i.e. a distance function) d(a, b) = 1. Then, the 1-Wasserstein distance is defined as:

$$W(P,Q) = \inf_{R:R \ge 0, \sum_{c \in \{a,b\}} R(\cdot,c) = P(\cdot), \sum_{c \in \{a,b\}} R(c,\cdot) = Q(\cdot), \sum_{c,c' \in \{a,b\}} R(c,c') = 1} \int_{M \times M} d(x,y) dR(x,y)$$

Because we are dealing with probabilities over a finite number of points, the integral can be rewritten as:

$$\int_{M \times M} d(x, y) dR(x, y) = \sum_{c, c' \in \{a, b\}} d(c, c') R(c, c')$$

(Continued on page 13.)

### 11a

Rewrite the integral in the Wasserstein distance as a sum of terms depending on *a*, *b*, and  $R(\cdot, \cdot)$  (and not  $d(\cdot, \cdot)$ ). Hint: You might want to use some general property or axiom of metrics.

### **Exercise 12** PhD students only

Answers from MSc students will be ignored :)

### 12a

Name one possible advantage of using a learning rate reduction scheme over training with a fixed learning rate.

### 12b

Define a learning rate reduction scheme by a formula and draw its learning rate as a function of number of epochs.