

# Space complexity

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# Space: The final frontier

There are interesting problems where we know the space complexity rather than time.

How space consumption behaves is also interesting.

Finally, space and time relate in non-obvious ways.

## Space complexity

$\text{SPACE}(f(n))$  is the class of languages with a DTM decider with space complexity  $O(f(n))$ .

Space complexity: Worst-case space usage  $s_M(n)$ , same as for time.

Can also define  $\text{NSPACE}(f(n))$ , the class of languages with an NTM decider using  $O(f(n))$  space.

# Space is big

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## Theorem

*For every  $f$ , we have  $\text{NTIME}(f) \subseteq \text{SPACE}(f)$ .*

We can simulate a time-bounded NTM with linear overhead.

If my NTM  $M$  is bounded by time  $f(n)$ , I use at most  $f(n)$  tape cells on each branch.

The branch is given by at most  $f(n)$  choices (transitions).

## NTM simulation by space

To simulate a branch of the NTM, I preallocate  $2f(n)$  cells.

Each pair of cells  $(x_i, y_i)$  will contain the transition choice and step number.

Beyond these I have my actual working tape.

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At each step, I must be in exactly one state, so  $|\Sigma|^{f(n)} \times |Q|$  possible configurations.

For each configuration, I may be at any cell.

### Theorem

*For every  $f(n) \geq n$ , we have  $\text{SPACE}(f(n)) \subseteq \text{TIME}(f(n) \cdot c^{f(n)})$  for some  $c \in \mathbb{N}$ .*



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If the machine runs for longer, it loops forever. Why?

## Two interesting classes

$$\text{PSPACE} = \bigcup_{k \in \mathbb{N}} \text{SPACE}(n^k)$$

$$\text{NPSPACE} = \bigcup_{k \in \mathbb{N}} \text{NSPACE}(n^k)$$

Unlike for time, we also have the interesting classes

$$L = \text{SPACE}(\log n)$$

and

$$\text{NL} = \text{NSPACE}(\log n)$$

## Some inclusions

$$L \subseteq NL \subseteq P \subseteq NP \subseteq PSPACE = NPSPACE \subseteq EXP$$

Exponential jumps from space to time, linear other way around.

## First, PSPACE

First, we are going to do what cannot be done for P and NP, and prove that  $PSPACE = NPSPACE$ .

### Theorem (Savitch)

*For every  $f(n) \geq n$ ,  $NSPACE(f(n)) \subseteq SPACE(f(n)^2)$ .*

In other words, space-bounded NTMs can be simulated by DTMs with polynomial overhead.

## Savitch, observations

Naive approach (like in the proof of  $\text{NTIME}(f) \subseteq \text{SPACE}(f)$ ) won't work.

An NTM with  $f(n)$  cells can take  $f(n) \times c^{f(n)}$  steps. At each step I have a choice.

I need to avoid writing down these exponentially many choices.

Idea: Recursive binary search. If I recurse on the time bound of the NTM, I get  $\log 2^{cf(n)} = cf(n)$  recursive calls.

## The recursive search for acceptance

We will define a procedure  $\text{CanYield}(c_1, c_2, t) \rightarrow \{0, 1\}$  that takes configurations  $c_1$  and  $c_2$  as input as well as a time bound  $t$ .

We will binary-search through the *choices* leading between configurations, looking for an accepting branch.

This will save us an exponential amount of space.

# CanYield

CanYield( $c_1, c_2, t$ ):

- 1 If  $t = 0$ , test whether  $c_1 = c_2$ ;
- 2 If  $t > 0$ , then loop through each configuration  $c_m$ :
  - 1 Run CanYield( $c_1, c_m, \frac{t}{2}$ )
  - 2 Run CanYield( $c_m, c_2, \frac{t}{2}$ )
  - 3 If both accept, accept.
- 3 If done with the loop, reject.

We will modify our NTM to have a clear accept *configuration*. We know that our NTM is time-bounded by  $f(n) \times c^{f(n)}$ . We will run

CanYield( $c_{\text{start}}, c_{\text{accept}}, 2^{cf(n)}$ ). The depth of the recursion is  $\log 2^{cf(n)} = cf(n)$ .

## Complexity analysis

Depth of recursion  $cf(n)$ .

At each call, store a new configuration  $c_m$ . Reuse this space when the recursion returns to try next configuration.

Total  $O(f(n) \times cf(n)) = O(f(n)^2)$ .

Observe that Savitch does not give us  $L = NL$ , since  $SPACE(\log n) \neq SPACE(\log(n)^2)$ .



## PSPACE-completeness

Completeness is defined as before, given a notion of reduction  $\leq_X$ .

Polynomial space reductions bad, since  $\text{NPSPACE} = \text{PSPACE}$ .

We will stick to polynomial time reductions,  $\leq_P$ . A problem is complete for PSPACE if it is in PSPACE and every other problem there reduces to it.

Such problems exist, but are a bit exotic.

# Generalizing SAT

In SAT, we ask for an assignment. Let's generalize this to asking questions about multiple assignments.

$\forall x(x \wedge y \rightarrow z)$  means “for every assignment to  $x$ , does there exist a satisfying assignment for the formula?”

Is the formula satisfiable regardless of  $x$ ?

$\exists x\phi$  is just  $\phi$ , is there an assignment? Could have  $\exists$  on every variable.

Can nest these to be explicit.

# TQBF

A TQBF formula is a SAT formula preceded by a string of quantifiers, one for each variable.

$$\forall x. \exists y. \forall z. \phi(x, y, z)$$

Easiest to think of it as a first-order formula where  $\wedge, \vee, \neg$  are relations interpreted as required, and the universe is  $\{0, 1\}$ .

Order matters:  $\forall x. \exists y. (x \vee y) \wedge (\bar{x} \vee \bar{y})$  is true, while  $\exists y. \forall x. (x \vee y) \wedge (\bar{x} \vee \bar{y})$  is false.

## TQBF, membership

The problem is: Given a TQBF formula, is it true?

Recursive algorithm to solve: For  $\exists x\phi$ , recurse with an or on the value of  $x$ , for  $\forall x\phi$ , recurse with an and.

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For SAT, this recursion:

$$\text{Solve}(\phi, i) = \text{Solve}(\phi[x_i = 1], i - 1) \vee \text{Solve}(\phi[x_i = 0], i - 1).$$

When out of variables, evaluate formula and return result.

For TQBF, same, but  $\vee$  or  $\wedge$  depends on the quantifier of  $x_i$ .

# Analysis

Depth is number of variables, we store the values of the variables, space consumption  $O(m)$ , linear in the number of variables.

Therefore  $TQBF \in PSPACE$ .

Why is this not in NP?