

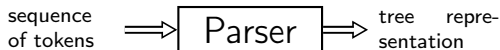
INF5110 – Compiler Construction

Grammars

Spring 2016

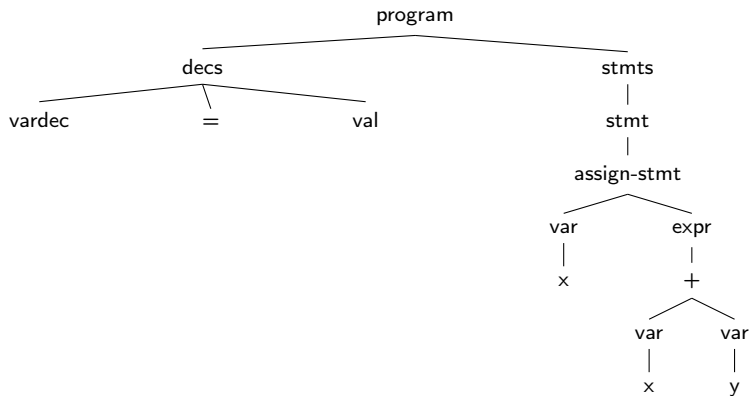


Bird eye's view of a parser

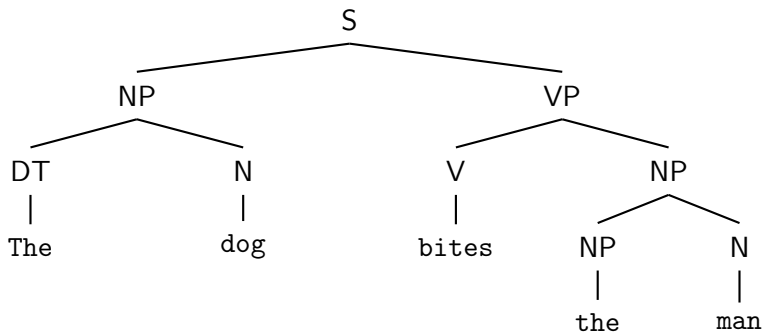


- *check* that the token sequence correspond to a *syntactically correct* program
 - if yes: yield *tree* as intermediate representation for subsequent phases
 - if not: give *understandable* error message(s)
- we will encounter various kinds of trees
 - derivation trees (derivation in a (context-free) grammar)
 - *parse tree*, *concrete syntax tree*
 - *abstract syntax trees*
- mentioned tree forms hang together
- result of a parser: typically AST

Sample syntax tree



Natural-language parse tree



“Interface” between scanner and parser

- remember: task of scanner = “chopping up” the input char stream (throw away white space etc) and *classify* the pieces (1 piece = *lexeme*)
- classified lexeme = **token**
- sometimes we use $\langle \text{integer}, "42" \rangle$
 - integer: “class” or “type” of the token, also called *token name*
 - "42" : *value of the token attribute* (or just value). Here, it's directly the *lexeme* (a string or sequence of chars)
- a note on (sloppiness/ease of) terminology: often: the token name is simply just called the token
- for (context-free) grammars: the *token (symbol)* corresponds there to **terminal symbols** (or terminals, for short)

- in this chapter(s): focus on **context-free grammars**
- thus here: grammar = CFG
- as in the context of regular expressions/languages: *language* = (typically infinite) set of words
- **grammar** = formalism to unambiguously specify a language
- intended language: all **syntactically correct** programs of a given programming language

Slogan

A CFG describes the syntax of a programming language. ^a

^aand some say, regular expressions describe its microsyntax.

- note: a compiler will reject some syntactically correct programs, whose violations *cannot* be captured by CFGs.

Definition (CFG)

A *context-free grammar* G is a 4-tuple $G = (\Sigma_T, \Sigma_N, S, P)$:

1. 2 disjoint finite alphabets of **terminals** Σ_T and
2. **non-terminals** Σ_N
3. 1 **start-symbol** $S \in \Sigma_N$ (a non-terminal)
4. **productions** $P =$ finite subset of $\Sigma_N \times (\Sigma_N + \Sigma_T)^*$

- terminal symbols: corresponds to tokens in parser = basic building blocks of syntax
- non-terminals: (e.g. “expression”, “while-loop”, “method-definition” ...)
- grammar: generating (via “derivations”) languages
- **parsing**: the *inverse* problem

⇒ CFG = specification

- popular & common format to write CFGs, i.e., describe context-free languages
- named after *pioneering* (seriously) work on Algol 60
- notation to write productions/rules + some extra meta-symbols for convenience and grouping

Slogan: Backus-Naur form

What regular expressions are for regular languages is BNF for context-free languages.

“Expressions” in BNF

$$\begin{aligned} \textit{exp} &\rightarrow \textit{exp op exp} \mid (\textit{exp}) \mid \mathbf{number} & (1) \\ \textit{op} &\rightarrow + \mid - \mid * \end{aligned}$$

- “ \rightarrow ” indicating productions and “ \mid ” indicating alternatives. ¹
- convention: terminals written **boldface**, non-terminals *italic*
- also simple math symbols like “+” and “(” are meant above as terminals.
- start symbol here: *expr*
- remember: terminals like **number** correspond to tokens, resp. token classes. The attributes/token values are not relevant here.

¹The grammar can be seen as consisting of 6 productions/rules, 3 for *expr* and 3 for *op*, the \mid is just for convenience. Side remark: Often also ::= is used for \rightarrow .

Different notations

- BNF: notationally not 100% “standardized” across books/tools
- “classic” way (Algol 60):

```
<exp> ::= <exp> <op> <exp>
        | ( <exp> )
        | NUMBER
<op>  ::= + | - | *
```

- Extended BNF (EBNF) and yet another style

$$\begin{aligned} \text{exp} \rightarrow & \text{exp} (" + " \mid " - " \mid " * ") \text{exp} & (2) \\ & \mid "(\text{exp})" \mid \textit{number} \end{aligned}$$

- note: parentheses as terminals vs. as *metasymbols*

Different ways of writing the *same* grammar

- directly written as 6 pairs (6 rules, 6 productions) from $\Sigma_N \times (\Sigma_N \cup \Sigma_T)^*$, with “ \rightarrow ” as nice looking “separator”:

$$\begin{aligned} \text{exp} &\rightarrow \text{exp op exp} && (3) \\ \text{exp} &\rightarrow (\text{exp}) \\ \text{exp} &\rightarrow \mathbf{number} \\ \text{op} &\rightarrow + \\ \text{op} &\rightarrow - \\ \text{op} &\rightarrow * \end{aligned}$$

- choice of non-terminals: irrelevant (except for human readability):

$$\begin{aligned} E &\rightarrow E O E \mid (E) \mid \mathbf{number} && (4) \\ O &\rightarrow + \mid - \mid * \end{aligned}$$

- still: we count 6 productions

Deriving a word:

Start from start symbol. Pick a “matching” rule to rewrite the current word to a new one; repeat until *terminal* symbols, only.

- *non-deterministic* process
- rewrite relation for derivations:
 - one step rewriting: $w_1 \Rightarrow w_2$
 - one step using rule n : $w_1 \Rightarrow_n w_2$
 - many steps: \Rightarrow^* etc.

language of grammar G

$$\mathcal{L}(G) = \{s \mid \text{start} \Rightarrow^* s \text{ and } s \in \Sigma_T^*\}$$

Example derivation for $(\textit{number} - \textit{number}) * \textit{number}$

exp \Rightarrow exp op exp
 \Rightarrow (exp) op exp
 \Rightarrow (exp op exp) op exp
 \Rightarrow (**number** op exp) op exp
 \Rightarrow (**number** - exp) op exp
 \Rightarrow (**number** - **number**) op exp
 \Rightarrow (**number** - **number**) * exp
 \Rightarrow (**number** - **number**) * **number**

- underline the “place” where a rule is used, i.e., an *occurrence* of the non-terminal symbol is being rewritten/expanded
- here: *leftmost* derivation²

²We'll come back to that later, it will be important.

exp \Rightarrow *exp op exp*
 \Rightarrow *exp op number*
 \Rightarrow *exp * **number***
 \Rightarrow *(exp op exp) * **number***
 \Rightarrow *(exp op number) * **number***
 \Rightarrow *(exp - **number**) * **number***
 \Rightarrow *(**number** - **number**) * **number***

- other (“mixed”) derivations for the same word possible

Some easy requirements for reasonable grammars

- all symbols (terminals and non-terminals): should occur in a word derivable from the start symbol
- words containing only non-terminals should be derivable
- an example of a silly grammar G (start-symbol A)

$$A \rightarrow Bx$$

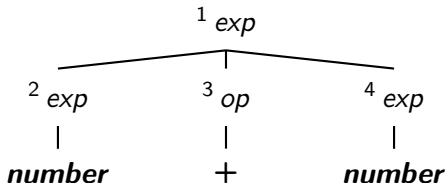
$$B \rightarrow Ay$$

$$C \rightarrow z$$

- $\mathcal{L}(G) = \emptyset$
- those “sanitary conditions”: very minimal “common sense” requirements

Parse tree

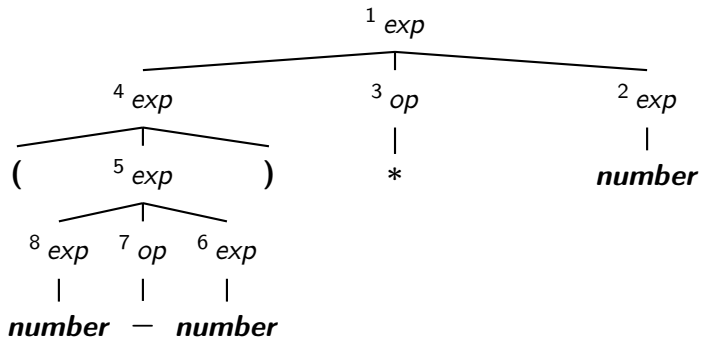
- derivation: if viewed as sequence of steps \Rightarrow linear “structure”
- order of individual steps: irrelevant
- \Rightarrow order not needed for subsequent steps
- **parse tree**: structure for the *essence* of derivation
- also called *concrete* syntax tree.³



- numbers in the tree
 - *not* part of the parse tree, indicate order of derivation, only
 - here: leftmost derivation

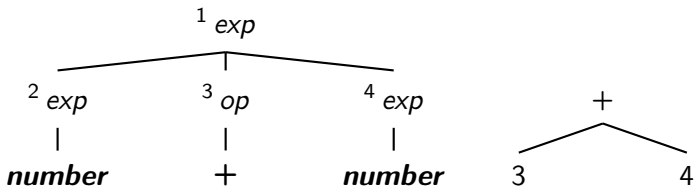
³there will be *abstract* syntax trees as well.

Another parse tree (numbers for rightmost derivation)



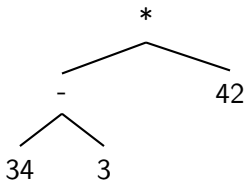
Abstract syntax tree

- parse tree: contains still unnecessary details
- specifically: *parentheses* or similar used for grouping
- tree-structure: can express the intended grouping already
- remember: tokens contain also attribute values also (e.g.: full token for token class **number** may contain lexeme like "42" ...)



- parse tree
 - important *conceptual* structure, to talk about grammars . . . ,
 - most likely *not explicitly implemented* in a parser
 - AST is a *concrete* datastructure
 - important IR of the syntax of the language to be implemented
 - written in the meta-language used in the implementation
 - therefore: nodes like + and 3 *are no longer tokens or lexemes*
 - concrete data structures in the meta-language (C-structs, instances of Java classes, or what suits best)
 - the figure is meant as schematic only
 - produced by the parser, used by later phases (often by more than one)
 - note also: we use 3 in the AST, where lexeme was "3"
- ⇒ at some point the lexeme string (for numbers) is translated to a *number* in the meta-language (typically already by the lexer)

Plausible schematic AST (for the other parse tree)

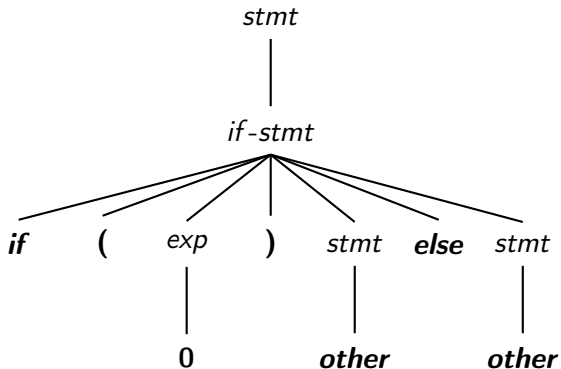


- this AST: rather “simplified” version of the CST
- an AST closer to the CST (just dropping the parentheses):
under certain circumstances nothing wrong with it either.

Conditionals G_1

$$\begin{aligned} stmt &\rightarrow if\text{-}stmt \mid \mathbf{other} && (5) \\ if\text{-}stmt &\rightarrow \mathbf{if} (exp) stmt \\ &\rightarrow \mathbf{if} (exp) stmt \mathbf{else} stmt \\ exp &\rightarrow \mathbf{0} \mid \mathbf{1} \end{aligned}$$

if (0) other else other

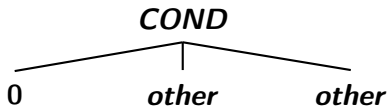
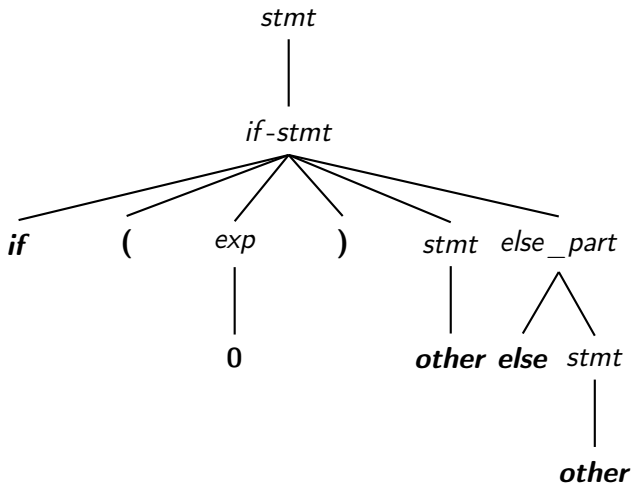


Conditionals G_2

$$\begin{aligned} stmt &\rightarrow if-stmt \mid \mathbf{other} && (6) \\ if-stmt &\rightarrow \mathbf{if} (exp) stmt \mathbf{else_part} \\ else_part &\rightarrow \mathbf{else} stmt \mid \epsilon \\ exp &\rightarrow \mathbf{0} \mid \mathbf{1} \end{aligned}$$

ϵ = empty word

A further parse tree + an AST



Definition (Ambiguous grammar)

A grammar is *ambiguous* if there exists a word with *two different* parse trees.

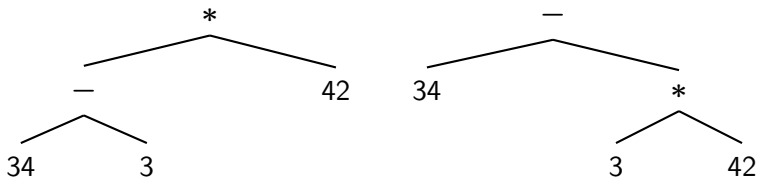
Remember grammar from equation (1):

$$\begin{aligned} \text{exp} &\rightarrow \text{exp op exp} \mid (\text{exp}) \mid \mathbf{number} \\ \text{op} &\rightarrow + \mid - \mid * \end{aligned}$$

Consider:

$$\mathbf{number - number * number}$$

2 resulting ASTs



different parse trees \Rightarrow different⁴ ASTs \Rightarrow different⁵ meaning

Side remark: different meaning

The issue of “different meaning” may in practice be subtle: is $(x + y) - z$ the same as $x + (y - z)$? In principle yes, but what about MAXINT ?

⁴At least in most cases.

Precendence & associativity

- one way to make a grammar unambiguous (or less ambiguous)
- For instance:

binary op's	precedence	associativity
+, -	low	left
×, /	higher	left
↑	highest	right

- $a \uparrow b$ written in standard math as a^b :

$$\begin{aligned}5 + 3/5 \times 2 + 4 \uparrow 2 \uparrow 3 &= \\5 + 3/5 \times 2 + 4^{2^3} &= \\(5 + ((3/5 \times 2)) + (4^{(2^3)})) &.\end{aligned}$$

- mostly fine for *binary* ops, but usually also for unary ones (postfix or prefix)

Unambiguity *without* associativity and precedence

- removing ambiguity by reformulating the grammar
- **precedence** for op's: *precedence cascade*
 - some bind stronger than others ($*$ more than $+$)
 - introduce separate *non-terminal* for each precedence level (here: terms and factors)

Expressions, revisited

- *associativity*

- *left*-assoc: write the corresponding rules in *left-recursive* manner, e.g.:

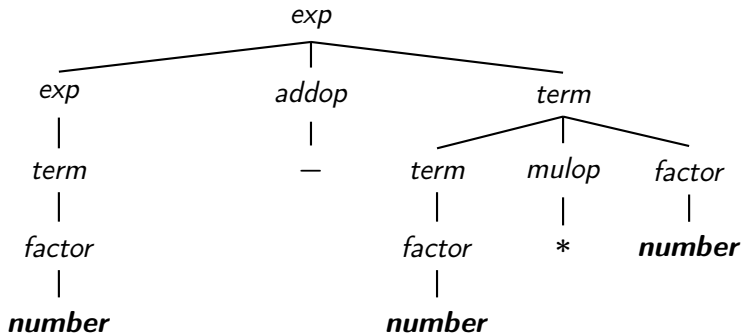
$$\text{exp} \rightarrow \text{exp addop term} \mid \text{term}$$

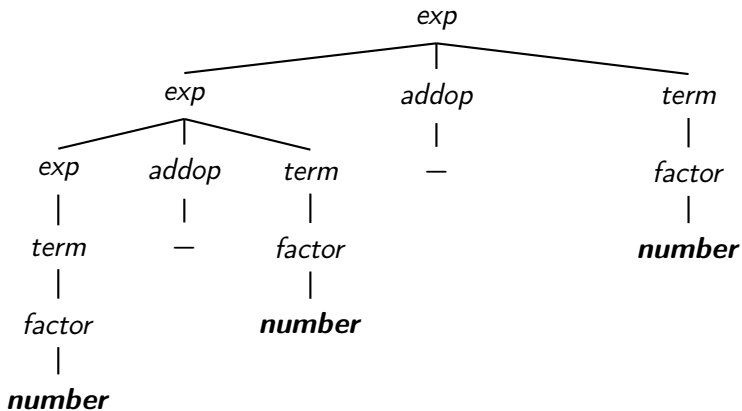
- *right*-assoc: analogous, but right-recursive
- *non*-assoc:

$$\text{exp} \rightarrow \text{term addop term} \mid \text{term}$$

factors and terms

$$\begin{aligned} \text{exp} &\rightarrow \text{exp addop term} \mid \text{term} && (7) \\ \text{addop} &\rightarrow + \mid - \\ \text{term} &\rightarrow \text{term mulop term} \mid \text{factor} \\ \text{mulop} &\rightarrow * \\ \text{factor} &\rightarrow (\text{exp}) \mid \mathbf{number} \end{aligned}$$





Operator Precedence

left associative

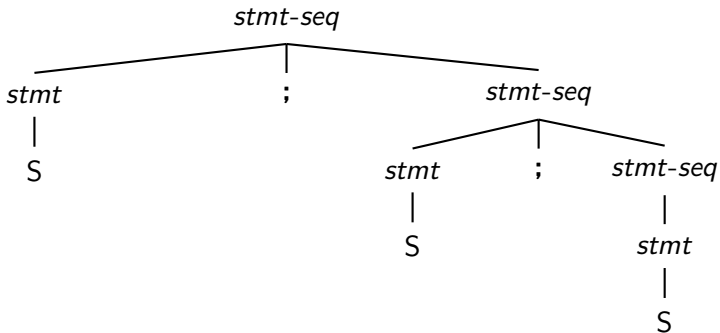
Java performs operations assuming the following ordering (or *precedence*) rules if parentheses are not used to determine the order of evaluation (operators on the same line are evaluated in left-to-right order subject to the conditional evaluation rule for `&&` and `||`). The operations are listed below from highest to lowest precedence (we use `<exp>` to denote an atomic or parenthesized expression):

postfix ops	<code>[] . ((exp)) (exp) ++ (exp) --</code>
prefix ops	<code>++(exp) --(exp) -(exp) ~(exp) !(exp)</code>
creation/cast	<code>new ((type))(exp)</code>
mult./div.	<code>* / %</code>
add./subt.	<code>+ -</code>
shift	<code><< >> >>></code>
comparison	<code>< <= > >= instanceof</code>
equality	<code>== !=</code>
bitwise-and	<code>&</code>
bitwise-xor	<code>^</code>
bitwise-or	<code> </code>
and	<code>&&</code>
or	<code> </code>
conditional	<code>(bool.exp)? (true_val): (false_val)</code>
assignment	<code>=</code>
op assignment	<code>+= -= *= /= %=</code>
bitwise assign.	<code>>>= <<= >>>=</code>
boolean assign.	<code>&= ^= =</code>

Non-essential ambiguity

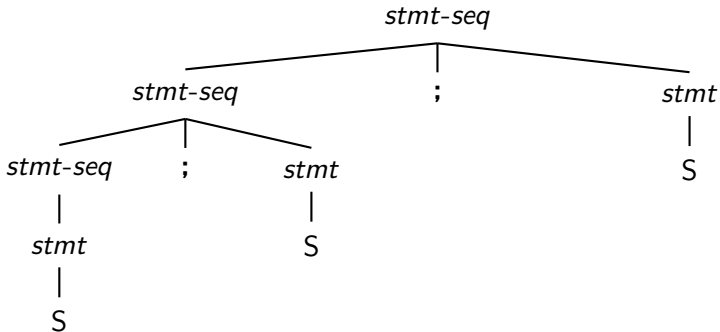
left-assoc

$stmt\text{-}seq \rightarrow stmt\text{-}seq ; stmt \mid stmt$
 $stmt \rightarrow S$

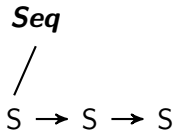
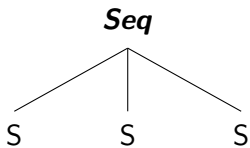


Non-essential ambiguity (2)

right-assoc representation instead

$$\begin{aligned} \text{stmt-seq} &\rightarrow \text{stmt};\text{stmt-seq} \mid \text{stmt} \\ \text{stmt} &\rightarrow S \end{aligned}$$


Possible AST representations



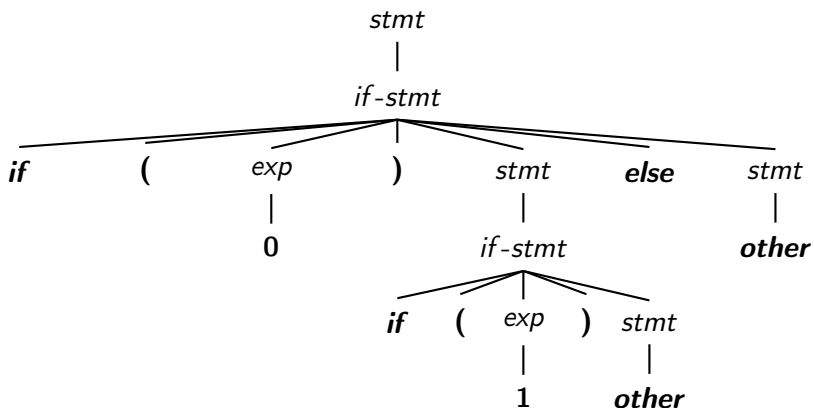
Nested if's

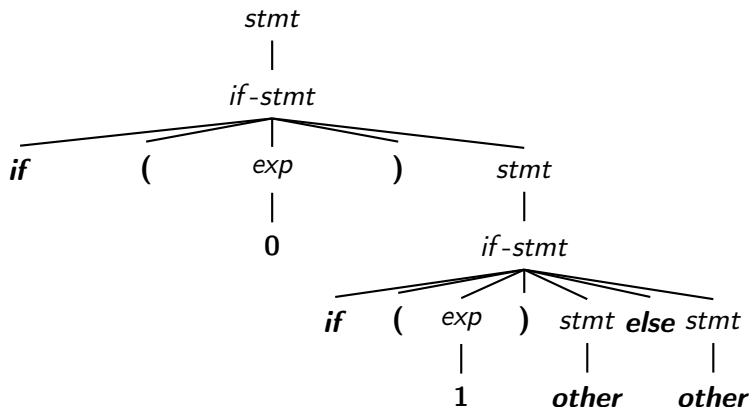
if (0) if (1) other else other

Remember grammar from equation (5):

$$\begin{aligned} stmt &\rightarrow if\text{-}stmt \mid \mathbf{other} \\ if\text{-}stmt &\rightarrow \mathbf{if} (exp) stmt \\ &\rightarrow \mathbf{if} (exp) stmt \mathbf{else} stmt \\ exp &\rightarrow \mathbf{0} \mid \mathbf{1} \end{aligned}$$

Should it be like this





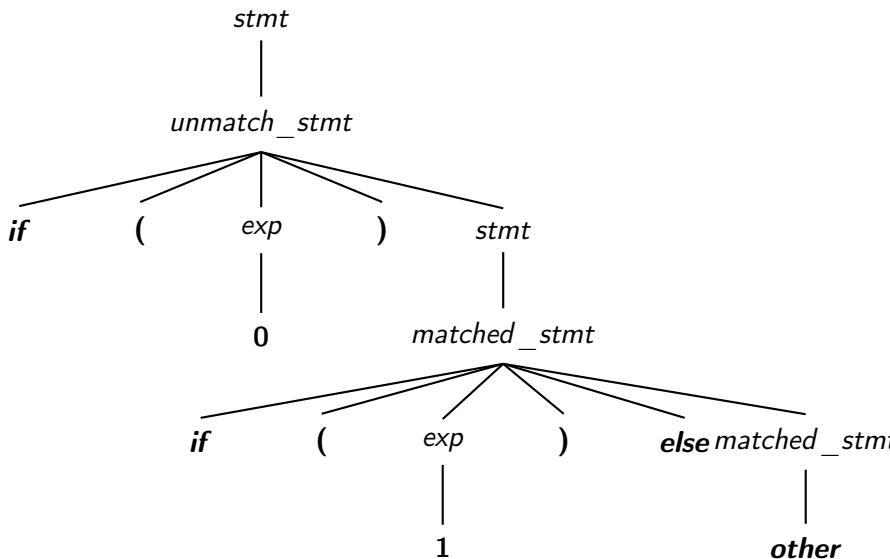
- common convention: connect **else** to closest “free” (= dangling) occurrence

Unambiguous grammar

Grammar

```
stmt  →  matched_stmt | unmatched_stmt
matched_stmt  →  if ( exp ) matched_stmt else matched_stmt
                |  other
unmatched_stmt  →  if ( exp ) stmt
                  |  if ( exp ) matched_stmt else unmatched_stmt
exp             →  0 | 1
```

- never have an unmatched statement inside a matched
- complex grammar, seldomly used
- instead: ambiguous one, with extra “rule”: connect each **else** to closest free **if**
- alternative: *different* syntax, e.g.,
 - *mandatory else*,
 - or require **endif**



Adding sugar: extended BNF

- make CFG-notation more “convenient” (but without more theoretical expressiveness)
- syntactic sugar

EBNF

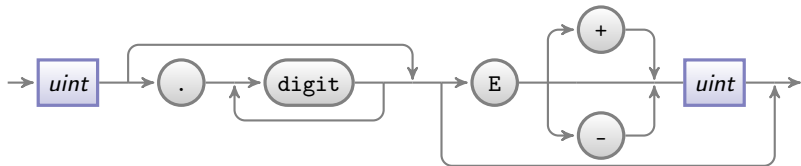
Main additional notational freedom: use [regular expressions](#) on the rhs of productions. They can contain terminals and non-terminals

- EBNF: officially standardized, but often: all “sugared” BNFs are called EBNF
- in the standard:
 - α^* written as $\{\alpha\}$
 - $\alpha?$ written as $[\alpha]$
- supported (in the standardized form or other) by some parser tools, but not in all
- remember equation (2)

$$A \rightarrow \beta\{\alpha\}$$
$$A \rightarrow \{\alpha\}\beta$$
$$\textit{stmt-seq} \rightarrow \textit{stmt} \{ ; \textit{stmt} \}$$
$$\textit{stmt-seq} \rightarrow \{ \textit{stmt} ; \} \textit{stmt}$$
$$\textit{if-stmt} \rightarrow \mathbf{if} (\textit{exp}) \textit{stmt} [\mathbf{else} \textit{stmt}]$$
$$\text{for } A \rightarrow A\alpha \mid \beta$$
$$\text{for } A \rightarrow \alpha A \mid \beta$$

greek letters: for non-terminals or terminals.

- graphical notation for CFG
- used for Pascal
- important concepts like ambiguity etc: not easily recognizable
 - not much in use any longer
 - example for unsigned integer (taken from the TikZ manual):



The Chomsky hierarchy

- linguist Noam Chomsky [Chomsky, 1956]
- **important** classification of (formal) languages (sometimes Chomsky-Schützenberger)
- 4 levels: type 0 languages – type 3 languages
- levels related to machine models that generate/recognize them
- so far: regular languages and CF languages

	rule format	languages	machines	closed
3	$A \rightarrow aB, A \rightarrow a$	regular	NFA, DFA	all
2	$A \rightarrow \alpha_1\beta\alpha_2$	CF	pushdown automata	$\cup, *, \circ$
1	$\alpha_1A\alpha_2 \rightarrow \alpha_1\beta\alpha_2$	context-sensitive	(linearly restricted automata)	all
0	$\alpha \rightarrow \beta, \alpha \neq \epsilon$	recursively enumerable	Turing machines	all, except complement

Conventions

- terminals $a, b, \dots \in \Sigma_N$,
- non-terminals $A, B, \dots \in \Sigma_T$
- general words $\alpha, \beta \dots \in (\Sigma_T \cup \Sigma_N)^*$

“Simplified” design?

1 big grammar for the whole compiler? Or at least a CSG for the front-end, or a CFG combining parsing and scanning?

theoretically possible, but **bad** idea:

- efficiency
- bad design
- especially combining scanner + parser in one BNF:
 - grammar would be needlessly large
 - separation of concerns: much clearer/ more efficient design
- for scanner/parsers: regular expressions + (E)BNF: simply **the formalisms of choice!**
 - front-end needs to do more than checking syntax, CFGs not expressive enough
 - for level-2 and higher: situation gets less clear-cut, plain CSG not too useful for compilers

BNF-grammar for *TINY*

<i>program</i>	→	<i>stmt-seq</i>
<i>stmt-seq</i>	→	<i>stmt-seq</i> ; <i>stmt</i> <i>stmt</i>
<i>stmt</i>	→	<i>if-stmt</i> <i>repeat-stmt</i> <i>assign-stmt</i> <i>read-stmt</i> <i>write-stmt</i>
<i>if-stmt</i>	→	if <i>expr</i> then <i>stmt</i> end if <i>expr</i> then <i>stmt</i> else <i>stmt</i> end
<i>repeat-stmt</i>	→	repeat <i>stmt-seq</i> until <i>expr</i>
<i>assign-stmt</i>	→	identifier := <i>expr</i>
<i>read-stmt</i>	→	read identifier
<i>write-stmt</i>	→	write identifier
<i>expr</i>	→	<i>simple-expr</i> <i>comparison-op</i> <i>simple-expr</i>
<i>comparison-op</i>	→	< =
<i>simple-expr</i>	→	<i>simple-expr</i> <i>addop</i> <i>term</i> <i>term</i>
<i>addop</i>	→	+ -
<i>term</i>	→	<i>term</i> <i>mulop</i> <i>factor</i> <i>factor</i>
<i>mulop</i>	→	* /
<i>factor</i>	→	(<i>expr</i>) number identifier

Syntax tree nodes

```
typedef enum {StmtK,ExpK} NodeKind;
typedef enum {IfK,RepeatK,AssignK,ReadK,WriteK} StmtKind;
typedef enum {OpK,ConstK,IdK} ExpKind;

/* ExpType is used for type checking */
typedef enum {Void,Integer,Boolean} ExpType;

#define MAXCHILDREN 3

typedef struct treeNode
{ struct treeNode * child[MAXCHILDREN];
  struct treeNode * sibling;
  int lineno;
  NodeKind nodekind;
  union { StmtKind stmt; ExpKind exp;} kind;
  union { TokenType op;
  int val;
  char * name; } attr;
  ExpType type; /* for type checking of exps */
```


Comments on C-representation

- typical use of `enum` type for that (in C)
- `enum`'s in C can be very efficient
- `treeNode` struct (records) is a bit “unstructured”
- newer languages/higher-level than C: better structuring advisable, especially for languages larger than Tiny.
- in Java-kind of languages: inheritance/subtyping and abstract classes/interfaces often used for better structuring

Sample Tiny program

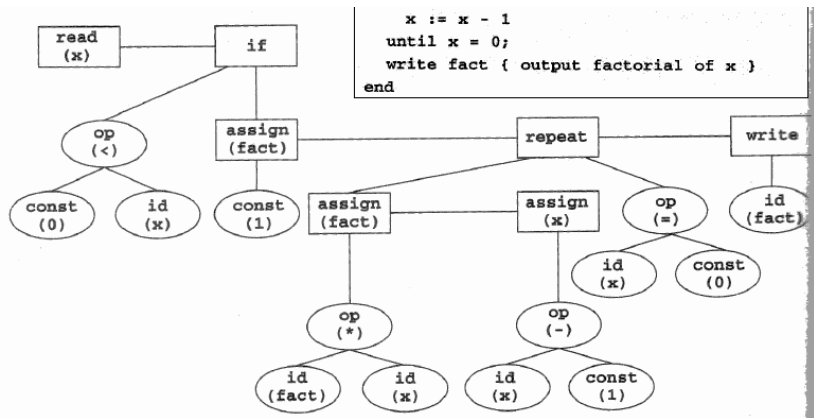
```
read x; { input as integer }
if 0 < x then { don't compute if x <= 0 }
  fact := 1;
  repeat
    fact := fact * x;
    x := x - 1
  until x = 0
  write fact    { output factorial of x }
end
```

Same Tiny program again

```
read x; { input as integer }  
if 0 < x then { don't compute if x <= 0 }  
  fact := 1;  
  repeat  
    fact := fact * x;  
    x := x - 1  
  until x = 0  
  write fact { output factorial of x }  
end
```

- *keywords / reserved words* highlighted by bold-face type setting
- reserved syntax like 0, :=, ... is not bold-faced
- comments are italicized

Abstract syntax tree for a tiny program



Some questions about the Tiny grammar

later given as assignment

- is the grammar unambiguous?
- How can we change it so that the Tiny allows empty statements?
- What if we want semicolons *in between* statements and not *after*?
- What is the precedence and associativity of the different operators?

- [Chomsky, 1956] Chomsky, N. (1956).
: Three models for the description of language.
IRE Transactions on Information Theory, 2(113–124).