



Chapter 1

Semantic analysis

Course “Compiler Construction”

Martin Steffen

Spring 2021



Chapter 1

Learning Targets of Chapter “Semantic analysis”.

1. “attributes”
2. attribute grammars
3. synthesized and inherited attributes
4. various applications of attribute grammars



Chapter 1

Outline of Chapter “Semantic analysis”.

Introduction

Attribute grammars



Section

Introduction

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Overview over the chapter resp. SA in general

- semantic analysis in general
- attribute grammars (AGs)
- symbol tables (not today)
- data types and type checking (not today)



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Where are we now?

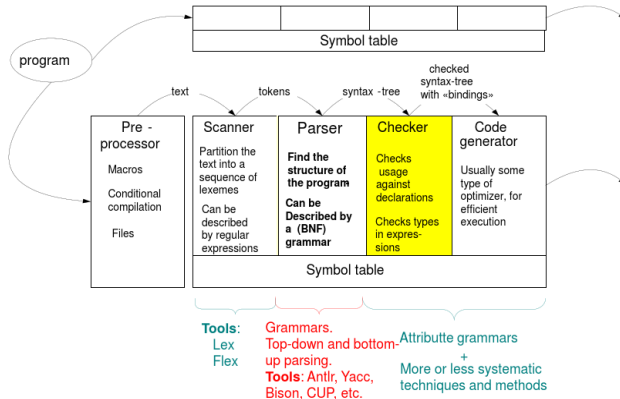


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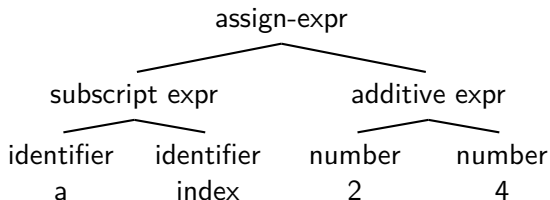
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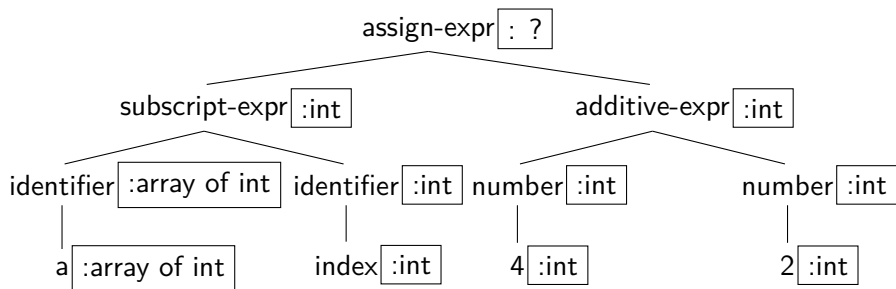
What do we get from the parser?

- output of the parser: (abstract) syntax tree
- often: in anticipation: nodes in the tree contain “space” to be filled out by SA
- examples:
 - for expression nodes: *types*
 - for identifier/name nodes: reference or pointer to the *declaration*



What do we get from the parser?

- output of the parser: (abstract) syntax tree
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- examples:
 - for expression nodes: *types*
 - for identifier/name nodes: reference or pointer to the *declaration*



General: semantic (or static) analysis



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Rule of thumb

Check everything which is possible *before* executing (run-time vs. compile-time), but cannot already done during lexing/parsing (syntactical vs. semantical analysis)

- Goal: fill out “semantic” info (typically in the AST)
- typically:
 - all *names declared*? (somewhere/uniquely/before use)
 - *typing*:
 - is the declared type consistent with use
 - types of (sub)-expression consistent with used operations
- *border* between semantical vs. syntactic checking not always 100% clear
 - `if a then ...`: checked for syntax (and semantics)
 - `if a + b then ...`: semantical aspects as well?

SA is necessarily approximative

- note: not all can (precisely) be checked at compile-time
 - division by zero?
 - “array out of bounds”
 - “null pointer deref” (like `r.a`, if `r` is null)
- but note also: *exact* type cannot be determined statically either

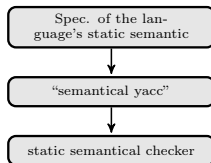
```
if x then 1 else "abc"
```

- statically: ill-typed¹
- dynamically (“run-time type”): `string` or `int`, or run-time type error, if `x` turns out not to be a boolean, or if it’s null

¹Unless some fancy behind-the-scene type conversions are done by the language (the compiler). Perhaps `print(if x then 1 else "abc")` is accepted, and the integer `1` is implicitly converted to `"1"`.



An unrealistic dream





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Attribute grammars

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Attribute

- a “property” or characteristic feature of something
- here: of language “constructs”. More specific in this chapter:
- of syntactic elements, i.e., for non-terminal and terminal nodes in syntax trees

Static vs. dynamic

- distinction between **static** and *dynamic attributes*
- association attribute \leftrightarrow element: *binding*
- *static* attributes: possible to determine at/determined at compile time
- dynamic attributes: the others ...

Examples in our context

- data *type* of a variable : static/dynamic
- *value* of an expression: dynamic (but in seldom cases static as well)
- *location* of a variable in memory: typically dynamic (but in old FORTRAN: static)
- *object-code*: static (but also: dynamic loading possible)



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Attribute grammar in a nutshell

- AG: general formalism to bind “attributes to trees” (where trees are given by a CFG)²
- two potential ways to calculate “properties” of nodes in a tree:

“Synthesize” properties

define/calculate prop's
bottom-up

“Inherit” properties

define/calculate prop's
top-down

- allows both *at the same time*

Attribute grammar

CFG + **attributes** one grammar symbols + **rules** specifying for each production, how to determine attributes

- *evaluation* of attributes: requires some thought, more complex if mixing bottom-up + top-down dependencies

²Attributes in AG's: *static*, obviously.



Example: evaluation of numerical expressions



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Expression grammar (similar as seen before)

$$\begin{aligned} \text{exp} &\rightarrow \text{exp} + \text{term} \mid \text{exp} - \text{term} \mid \text{term} \\ \text{term} &\rightarrow \text{term} * \text{factor} \mid \text{factor} \\ \text{factor} &\rightarrow (\text{exp}) \mid \mathbf{\text{number}} \end{aligned}$$

- goal now: **evaluate** a given expression, i.e., the syntax tree of an expression, resp:

more concrete goal

Specify, in terms of the grammar, how expressions are evaluated

- grammar: describes the “format” or “shape” of (syntax) trees
- syntax-directedness
- value of (sub-)expressions: *attribute* here

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Expression evaluation: how to do it on one's own?

- simple problem, easy solvable without having heard of AGs
- given an expression, in the form of a syntax tree
- evaluation:
 - simple *bottom-up* calculation of values
 - the value of a compound expression (parent node) **determined by the value of its subnodes**
 - realizable, for example, by a simple recursive procedure

Connection to AG's

- AGs: basically a formalism to specify things like that
- *however*: general AGs will allow *more complex* calculations:
 - not just **bottom up** calculations like here but also
 - **top-down**, including both at the same time



Pseudo code for evaluation



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```
eval_exp(e) =  
  case  
  :: e matches PLUSnode ->  
    return eval_exp(e.left) + eval_term(e.right)  
  :: e matches MINUSnode ->  
    return eval_exp(e.left) - eval_term(e.right)  
  ...  
end case
```

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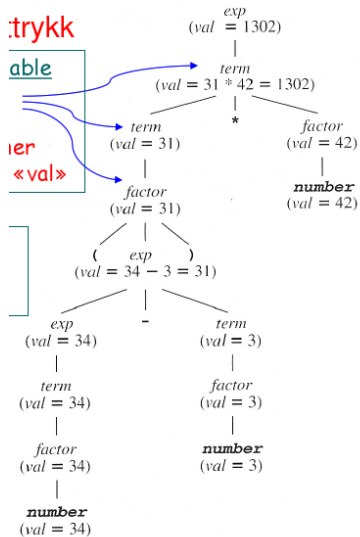
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AG for expression evaluation

| | productions/grammar rules | semantic rules |
|---|--------------------------------------|--|
| 1 | $exp_1 \rightarrow exp_2 + term$ | $exp_1.val = exp_2.val + term.val$ |
| 2 | $exp_1 \rightarrow exp_2 - term$ | $exp_1.val = exp_2.val - term.val$ |
| 3 | $exp \rightarrow term$ | $exp.val = term.val$ |
| 4 | $term_1 \rightarrow term_2 * factor$ | $term_1.val = term_2.val * factor.val$ |
| 5 | $term \rightarrow factor$ | $term.val = factor.val$ |
| 6 | $factor \rightarrow (exp)$ | $factor.val = exp.val$ |
| 7 | $factor \rightarrow \mathbf{number}$ | $factor.val = \mathbf{number.val}$ |

- *specific* for this example is:
 - only *one* attribute (for all nodes), in general: different ones possible
 - (related to that): only one semantic rule per production
 - as mentioned: rules here define values of attributes “bottom-up” only
- note: subscripts on the symbols for disambiguation (where needed)

Attributed parse tree



Possible dependencies (perhaps move)



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Possible dependencies (> 1 rule per production possible)

- parent attribute on *children* attributes
- attribute in a node dependent on other attribute of the *same* node
- child attribute on *parent* attribute
- sibling attribute on *sibling* attribute
- *mixture* of all of the above at the same time
- but: **no** immediate dependence **across generations**

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Attribute dependence graph



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- dependencies ultimately between attributes in a syntax *tree* (instances) not between grammar symbols as such
- ⇒ attribute dependence graph (per syntax tree)
- complex dependencies possible:
 - evaluation complex
 - invalid dependencies possible, if not careful (especially *cyclic*)

Possible evaluation order

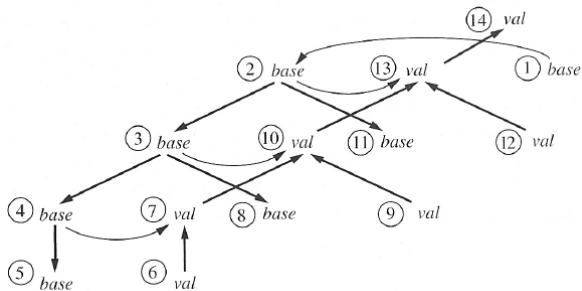


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Restricting dependencies

- general GAs allow basically any kind of dependencies³
- complex/impossible to meaningfully evaluate (or understand)
- typically: restrictions, disallowing “mixtures” of dependencies
 - fine-grained: per attribute
 - or coarse-grained: for the whole attribute grammar

Synthesized attributes

bottom-up dependencies only
(same-node dependency allowed).

Inherited attributes

top-down dependencies only
(same-node and sibling dependencies allowed)



³Apart from immediate cross-generation dependencies.

Synthesized and inherited attributes



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- terminals and non-terminals carry attributes
- attributes can be typed
- it's “*either-or*” (per symbol)

Semantic rules

- rules or constraints between attribute occurrences

$$a = f(\vec{a})$$

“attribute a depends, via f , on the mentioned a_i ”

- 1 grammar production: potentially multiple associated semantics rules
- intention: each attribute uniquely defined

Restiction on **target** a

- a **synthesized** $\Leftrightarrow a$ is left-hand side (non-terminal) symbol attribute occurrence
- a **inherited** $\Leftrightarrow a$ is a right-hand side symbol attribute occurrence



General rule format



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$$A \rightarrow X_1 \dots, X, \dots X_n$$

synthesized

inherited

$$A.s == f(A.b, X_1.b_1, \dots X_n.b_n) \quad X.i == f(A.a, X_1.b_1, \dots, X.b, \dots X_n.b_n)$$

Further common “restriction” (Bochmann)



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- additional “restriction” on **source** variables
- but not a *real* restriction
- common representation of AGs (Bochman normal form)

Restriction on **sources** a_i

- a_i **synthesized** $\Leftrightarrow a_i$ is a right-hand side symbol attribute occurrence
- a_i **inherited** $\Leftrightarrow a_i$ is a left-hand side (non-terminal) symbol attribute occurrence

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More specific rule format (Bochmann)



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$$A \rightarrow X_1 \dots, X, \dots X_n$$

synthesized

$$A.s = f(A.i_1, \dots, A.i_m, X_1.s_1, \dots X_n.s_k)$$

inherited

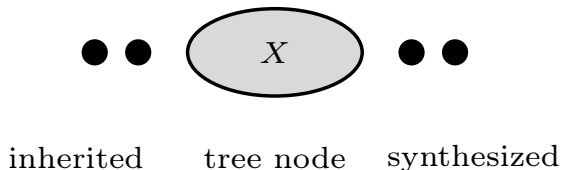
$$X.i = f(A.i', X_1.s_1, \dots, X.s, \dots X_n.s_n)$$

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Conventional pictorial representation



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Schematic



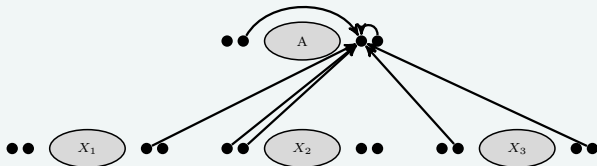
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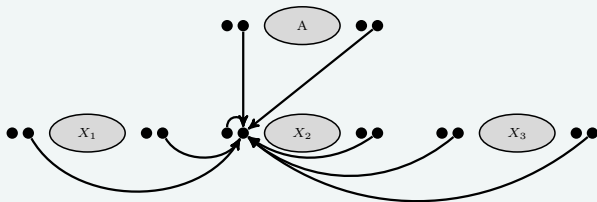
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Synthesized



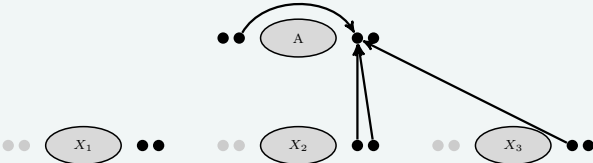
Inherited



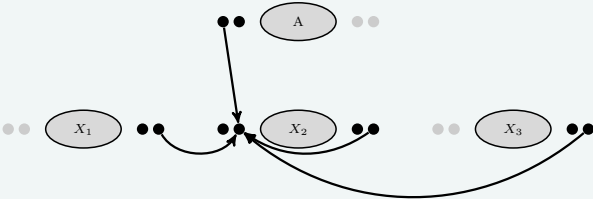
Bochmann (schematic)



Synthesized



Inherited



Adding attributes to a grammar



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Definition (Attribute grammar)

An *attribute grammar* is a triple $(G, (Attr_i, Attr_s), R)$, where G is a context-free grammar. The functions $Attr_i$ and $Attr_s$ associate to each grammar symbol X a set $Attr_i(X)$ of *inherited* attributes and $Attr_s(X)$ of *synthesized* attributes, with $Attr_i(X) \cap Attr_s(X) = \emptyset$. The set $Attr = \bigcup Attr(X)$ is the overall set of attributes. The form of the semantic rules R will be defined below.

- sets disjoint

Definition (Attribute occurrence)

A production $X_0 \rightarrow X_1 \dots X_n$ has an *attribute occurrence* $X_i.a$ iff $a \in Attr(X_i)$, for some $0 \leq i \leq n$.

Rule format (more formal)



Given a production p of the form $X_0 \rightarrow X_1, \dots, X_n$, then a finite set of *semantic rules* R_p is associated with p , with constraints of the form

$$X_i.a = f(x_1, \dots, x_k) \quad (1)$$

where either

1. $i = 0$ and $a \in Attr_s(X_i)$
2. for $i \geq 1$ and $a \in Attr_i(X_i)$,

for each x_j is an attribute occurrence in p . For R_p , there is exactly one such constraint for each synthesized attribute of X_0 , and exactly one such constraint for each inherited attribute for all inherited attributes for all X_i (with $1 \leq i \leq n$).

Common normal form (Bochmann)



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Assume a semantic rule

$$y_0 = f(y_1, \dots, y_k) \quad (2)$$

in R_r where $y_0 = X_i.a$ for a production p of the form

$$X_0 \rightarrow X_1 \dots X_n .$$

Each attribute occurrence y_j with $1 \leq j \leq k$ is of the form $X_l.b$ where either

1. $l = 0$ and $b \in \text{Attr}_i(X_i)$, or
2. $1 \leq l \leq k$ and $b \in \text{Attr}_s(X_i)$

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What about terminals?

- terminals can have attributes
- terminals only mentioned on the right-hand side of productions
- for practical considerations: interface lexer and parser:

modern convention

attributes of terminals are synthesized (sort of)

- \neq Knuth's classic definition



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Don't forget the purpose of the restrictions



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- 2 restrictions
 - first restriction: constitutional
 - the second one useful
- but they **don't** guarantee an AG **makes sense!**
- ultimately: *calculate* values of the attributes
- thus: avoid **cyclic** dependencies
- one single synthesized attribute alone does not help much

S-attributed grammar



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- restriction on the grammar, not just 1 attribute of one non-terminal
- simple form of grammar
- remember the expression evaluation example

S-attributed grammar:

all attributes are synthesized

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Simplistic example (normally done by the scanner)



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CFG

$number \rightarrow numberdigit \mid digit$
 $digit \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

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Attributes (just synthesized)

| | |
|-----------|----------|
| $number$ | val |
| $digit$ | val |
| terminals | $[none]$ |

Numbers: Attribute grammar and attributed tree



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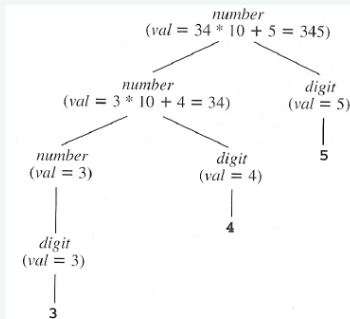
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A-grammar

| Grammar Rule | Semantic Rules |
|---------------------------------------|--|
| $number_1 \rightarrow number_2 digit$ | $number_1.val = number_2.val * 10 + digit.val$ |
| $number \rightarrow digit$ | $number.val = digit.val$ |
| $digit \rightarrow 0$ | $digit.val = 0$ |
| $digit \rightarrow 1$ | $digit.val = 1$ |
| $digit \rightarrow 2$ | $digit.val = 2$ |
| $digit \rightarrow 3$ | $digit.val = 3$ |
| $digit \rightarrow 4$ | $digit.val = 4$ |
| $digit \rightarrow 5$ | $digit.val = 5$ |
| $digit \rightarrow 6$ | $digit.val = 6$ |
| $digit \rightarrow 7$ | $digit.val = 7$ |
| $digit \rightarrow 8$ | $digit.val = 8$ |
| $digit \rightarrow 9$ | $digit.val = 9$ |

attributed tree



Attribute evaluation: works on trees

i.e.: works equally well for

- *abstract syntax trees*
- *ambiguous* grammars

Seriously ambiguous expression grammar

$$exp \rightarrow exp + exp \mid exp - exp \mid exp * exp \mid (exp) \mid \mathbf{number}$$

Evaluation: Attribute grammar and attributed tree



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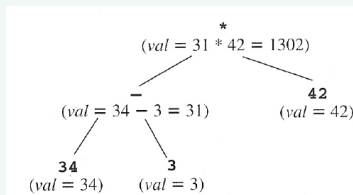
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A-grammar

| Grammar Rule | Semantic Rules |
|-----------------------------------|-------------------------------------|
| $exp_1 \rightarrow exp_2 + exp_3$ | $exp_1.val = exp_2.val + exp_3.val$ |
| $exp_1 \rightarrow exp_2 - exp_3$ | $exp_1.val = exp_2.val - exp_3.val$ |
| $exp_1 \rightarrow exp_2 * exp_3$ | $exp_1.val = exp_2.val * exp_3.val$ |
| $exp_1 \rightarrow (exp_2)$ | $exp_1.val = exp_2.val$ |
| $exp \rightarrow \mathbf{number}$ | $exp.val = \mathbf{number}.val$ |

Attributed tree



Expressions: generating ASTs



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Expression grammar with precedences & assoc.

$$exp \rightarrow exp + term \mid exp - term \mid term$$
$$term \rightarrow term * factor \mid factor$$
$$factor \rightarrow (exp) \mid \mathbf{number}$$

Attributes (just synthesized)

| | |
|---------------------|--------|
| $exp, term, factor$ | tree |
| number | lexval |

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Expressions: Attribute grammar and attributed tree



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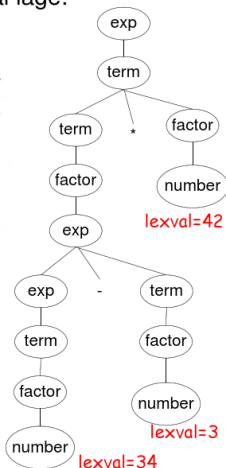
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A-grammar

| Grammar Rule | Semantic Rules |
|--------------------------------------|---|
| $exp_1 \rightarrow exp_2 + term$ | $exp_1.tree = mkOpNode(+, exp_2.tree, term.tree)$ |
| $exp_1 \rightarrow exp_2 - term$ | $exp_1.tree = mkOpNode(-, exp_2.tree, term.tree)$ |
| $exp \rightarrow term$ | $exp.tree = term.tree$ |
| $term_1 \rightarrow term_2 * factor$ | $term_1.tree = mkOpNode(*, term_2.tree, factor.tree)$ |
| $term \rightarrow factor$ | $term.tree = factor.tree$ |
| $factor \rightarrow (exp)$ | $factor.tree = exp.tree$ |
| $factor \rightarrow \mathbf{number}$ | $factor.tree = mkNumNode(\mathbf{number}.lexval)$ |

A-tree

di image.



Example: type declarations for variable lists



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CFG

$$\begin{aligned} \text{decl} &\rightarrow \text{type } \text{var-list} \\ \text{type} &\rightarrow \mathbf{int} \\ \text{type} &\rightarrow \mathbf{float} \\ \text{var-list}_1 &\rightarrow \mathbf{id}, \text{var-list}_2 \\ \text{var-list} &\rightarrow \mathbf{id} \end{aligned}$$

- Goal: attribute type information to the syntax tree
- *attribute*: dtype (with values *integer* and *real*)
- complication: “top-down” information flow: type declared for a list of vars \Rightarrow **inherited** to the elements of the list

Types and variable lists: inherited attributes

| grammar productions | semantic rules |
|--|---|
| $decl \rightarrow type\ var\text{-}list$ | $var\text{-}list.dtype = type.dtype$ |
| $type \rightarrow \mathbf{int}$ | $type.dtype = integer$ |
| $type \rightarrow \mathbf{float}$ | $type.dtype = real$ |
| $var\text{-}list_1 \rightarrow \mathbf{id}, var\text{-}list_2$ | $id.dtype = var\text{-}list_1.dtype$ |
| | $var\text{-}list_2.dtype = var\text{-}list_1.dtype$ |
| $var\text{-}list \rightarrow \mathbf{id}$ | $id.dtype = var\text{-}list.dtype$ |

- **inherited**: attribute for **id** and *var-list*
- but also *synthesized* use of attribute dtype: for *type.dtype*⁴

⁴Actually, it's conceptually better not to think of it as "the attribute dtype", it's better as "the attribute dtype of non-terminal *type*" (written *type.dtype*) etc. Note further: *type.dtype* is *not* yet what we called *instance* of an attribute.

Types & var lists: after evaluating the semantic rules



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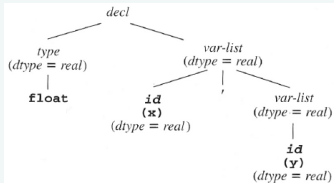
float id(*x*),id(*y*)

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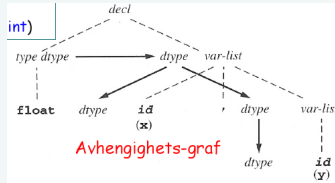
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Attributed parse tree



Dependence graph



Example: Based numbers (octal & decimal)

- remember: grammar for numbers (in decimal notation)
- evaluation: synthesized attributes
- now: *generalization* to numbers with decimal and octal notation

Context-free grammar

based-num → *num base-char*

base-char → **o**

base-char → **d**

num → *num digit*

num → *digit*

digit → **0**

digit → **1**

...

digit → **7**

digit → **8**

digit → **9**



Based numbers: attributes



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Attributes

- *based-num*.val: synthesized
- *base-char*.base: synthesized
- for *num*:
 - *num*.val: synthesized
 - *num*.base: **inherited**
- *digit*.val: synthesized

- **9** is not an octal character

⇒ attribute val may get value “*error*”!

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Based numbers: a-grammar



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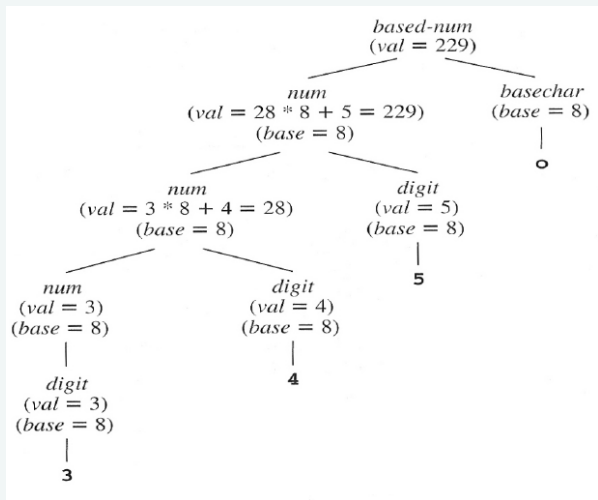
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| Grammar Rule | Semantic Rules |
|---|---|
| $based_num \rightarrow$ $num\ basechar$ | $based_num.val = num.val$ $num.base = basechar.base$ |
| $basechar \rightarrow 0$ | $basechar.base = 8$ |
| $basechar \rightarrow \bar{a}$ | $basechar.base = 10$ |
| $num_1 \rightarrow num_2\ digit$ | $num_1.val =$ if $digit.val = error$ or $num_2.val = error$ then $error$ else $num_2.val * num_1.base + digit.val$ $num_2.base = num_1.base$ $digit.base = num_1.base$ |
| $num \rightarrow digit$ | $num.val = digit.val$ $digit.base = num.base$ |
| $digit \rightarrow 0$ | $digit.val = 0$ |
| $digit \rightarrow 1$ | $digit.val = 1$ |
| ... | ... |
| $digit \rightarrow 7$ | $digit.val = 7$ |
| $digit \rightarrow 8$ | $digit.val =$ if $digit.base = 8$ then $error$ else 8 |
| $digit \rightarrow 9$ | $digit.val =$ if $digit.base = 8$ then $error$ else 9 |

Based numbers: after eval of the semantic rules

Attributed syntax tree



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Based nums: Dependence graph & possible evaluation order

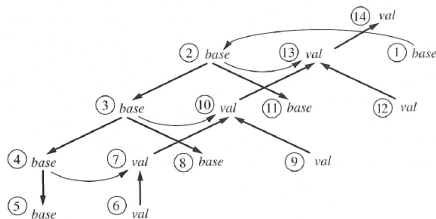
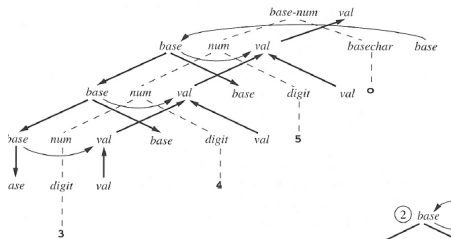


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Dependence graph & evaluation

- **evaluation order** must respect the edges in the *dependence graph*
- *cycles* must be avoided!
- directed acyclic graph (DAG)
- dependence graph \sim partial order
- *topological sorting*: turning a partial order to a total/linear order (which is consistent with the PO)
- *roots* in the dependence graph (**not** *the* root of the syntax tree): their values must come “from outside” (or constant)
- often (and sometimes required): terminals in the syntax tree:
 - terminals *synthesized* / *not inherited*
 - \Rightarrow terminals: *roots* of dependence graph
 - \Rightarrow get their value from the parser (token value)



Evaluation: parse tree method

For acyclic dependence graphs: possible “naive” approach

Parse tree method

Linearize the given partial order into a total order (topological sorting), and then simply evaluate the equations following that.

- works only if *all* dependence graphs of the AG are acyclic
- acyclicity of the dependence graphs?
 - decidable for given AG, but computationally expensive⁵
 - don't use general AGs but: restrict yourself to subclasses
- disadvantage of parse tree method: also not very efficient check per parse tree

⁵On the other hand: the check needs to be done only once.



Observation on the example: Is evaluation (uniquely) possible?



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- all attributes: *either* inherited *or* synthesized⁶
- all attributes: must actually be *defined* (by some rule)
- guaranteed in that for every production:
 - all *synthesized* attributes (on the left) are defined
 - all *inherited* attributes (on the right) are defined
 - local loops forbidden
- since all attributes are either inherited or synthesized:
each attribute in any parse tree: defined, and defined only *one* time (i.e., *uniquely defined*)

⁶*base-char*.base (synthesized) considered different from *num*.base (inherited)

Loops

- loops intolerable for *evaluation*
- difficult to check (exponential complexity).



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Variable lists (repeated)



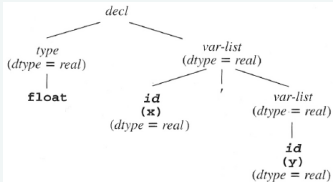
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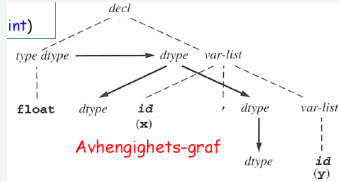
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Attributed parse tree



Dependence graph



Typing for variable lists



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- code assume: tree given

```
procedure EvalType ( T: treenode ); var-list → id
begin
  case nodekind of T of
    decl:
      EvalType ( type child of T );
      Assign dtype of type child of T to var-list child of T;
      EvalType ( var-list child of T );
    type:
      if child of T = int then T.dtype := integer
      else T.dtype := real;
    var-list:
      assign T.dtype to first child of T;
      if third child of T is not nil then
        assign T.dtype to third child;
        EvalType ( third child of T );
      end case;
end EvalType;
```

Dette er
også
skrevet ut
som et
program i
boka!

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L-attributed grammars

- goal: AG suitable for “on-the-fly” attribution
- all parsing works left-to-right.

Definition (L-attributed grammar)

An attribute grammar for attributes a_1, \dots, a_k is *L-attributed*, if for each *inherited* attribute a_j and each grammar rule

$$X_0 \rightarrow X_1 X_2 \dots X_n ,$$

the associated equations for a_j are all of the form

$$X_i.a_j = f_{ij}(X_0.\vec{a}, X_1.\vec{a} \dots X_{i-1}.\vec{a}) .$$

where additionally for $X_0.\vec{a}$, only *inherited* attributes are allowed.

- $X.\vec{a}$: short-hand for $X.a_1 \dots X.a_k$
- Note: S-attributed grammar \Rightarrow L-attributed grammar



L-attributed grammars



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