



# Chapter 1

## Introduction

Course "Compiler Construction"

Martin Steffen

Spring 2024





# Chapter 1

## Learning Targets of Chapter “Introduction”.

The chapter gives an overview over different phases of a compiler and their tasks. It also mentions *organizational* things related to the course.



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Targets & Outline

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# Chapter 1

## Outline of Chapter “Introduction”.

### Introduction

### Compiler architecture & phases

### Bootstrapping and cross-compilation



# Section

## Introduction

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## Course's web-page

<http://www.uio.no/studier/emner/matnat/ifi/INF5110>

- overview over the course, pensum (watch for updates)
- various announcements, beskjeder, etc.
  
- `astro-discourse` (some discussion platform)
- `(mattermost)`

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# Course material and plan

- see **script**
- screencasts from 2021 (a corona-semester)
- based roughly on [2] and [3], but also other sources will play a role. A classic is “the dragon book” [1], we might use part of code generation from there
- see also *errata* list at <http://www.cs.sjsu.edu/~louden/cmptext/>
- approx. 3 hours teaching per week (+ exercises)
- slides: see updates on the net



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# Obligs and exam



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## Obligs

- mandatory assignments (= “obligs”)
  - $O_1$  published mid-February, deadline mid-March
  - $O_2$  published beginning of April, deadline beginning of May
- group work 2 (evtl. 3) people recommended. Please inform us about such planned group collaboration

## Exam

We will go for an **oral** exam.

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# Motivation: What is CC good for?



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## Good news (?)

Full employment (theorem) for compiler writers.

- not everyone builds a full-blown compiler, **but**
  - fundamental concepts and techniques
  - most, if not basically all, software reads, processes/transforms and outputs “data”
- ⇒ often techniques central to CC
  - understanding compilers ⇒ deeper understanding of programming language(s)
  - new languages (domain specific, graphical, new language paradigms and constructs. . .)
- ⇒ CC & principles **never** out-of-fashion

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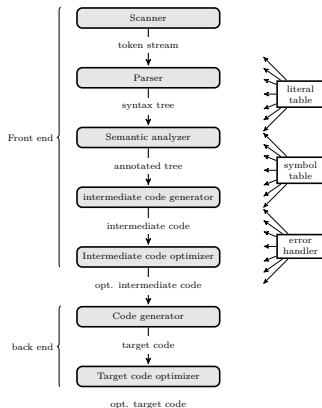


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## Compiler architecture & phases

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# Architecture of a typical compiler



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# Pre-processor

- either separate program or integrated into compiler
- nowadays: C-style preprocessing sometimes seen as “hack” grafted on top of a compiler.
- examples (see next slide):
  - file inclusion
  - macro definition and expansion
  - conditional code/compilation: Note: `#if` is *not* the same as the `if`-programming-language construct.
- problem: often messes up the line numbers (among other things)



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# C-style preprocessor examples



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```
#include <filename>
```

**Listing:** file inclusion

```
#vardef #a = 5; #c = #a+1  
...  
#if (#a < #b)  
...  
#else  
...  
#endif
```

**Listing:** Conditional compilation

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# C-style preprocessor: macros



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```
#macrodef hentdata(#1,#2)
  _____ #1_____
    #2_____ (#1)_____
#enddef

...
#hentdata( kari , per)
```

```
_____ kari _____
per _____ (kari) _____
```

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# Scanner (lexer ...)



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- input: “the program text” ( = string, char stream, or similar)
- task
  - *divide* and *classify* into *tokens*, and
  - remove blanks, newlines, comments ...
- theory: finite state automata, regular languages

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# Scanner: illustration



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```
a [ index ] = 4 + 2
```

lexeme	token class	value
a	<i>identifier</i>	"a"
[	<i>left bracket</i>	
index	<i>identifier</i>	"index"
]	<i>right bracket</i>	
=	<i>assignment</i>	
4	<i>number</i>	"4"
+	<i>plus sign</i>	
2	<i>number</i>	"2"

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# Scanner: illustration



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`a [ index ] = 4 + 2`

lexeme	token class	value		
a	<i>identifier</i>	2	0	
[	<i>left bracket</i>		1	
index	<i>identifier</i>	21	2	"a"
]	<i>right bracket</i>			⋮
=	<i>assignment</i>		21	"index"
4	<i>number</i>	4	22	
+	<i>plus sign</i>			⋮
2	<i>number</i>	2		

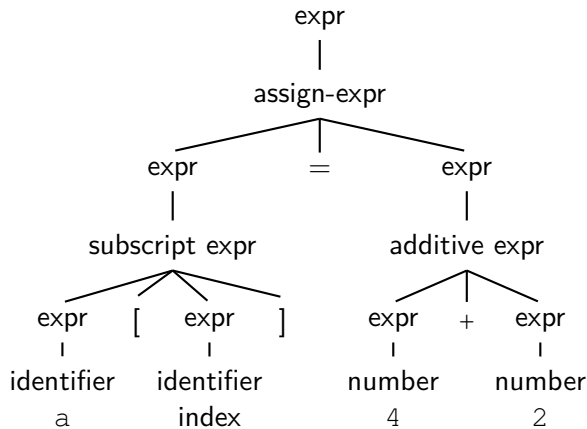
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# a[index] = 4 + 2: parse tree/syntax tree



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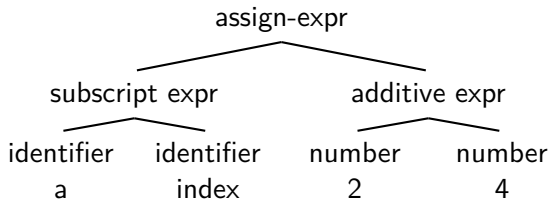
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# a[index] = 4 + 2: abstract syntax tree



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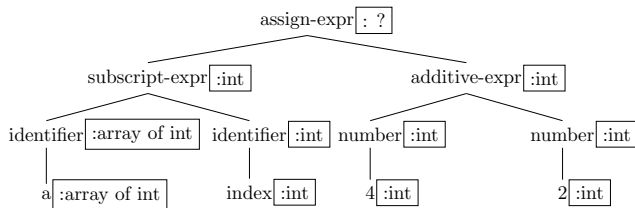
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## (One typical) Result of semantic analysis

- one standard, general outcome of semantic analysis: “annotated” or “decorated” AST
- additional info (non context-free):
  - *bindings* for declarations
  - (static) *type* information



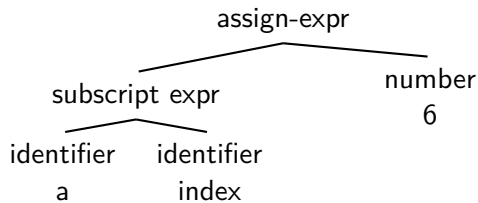
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- here: *identifiers* looked up wrt. declaration
- 4, 2: due to their form, basic types.

# Optimization at source-code level



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`t = 4+2;`      `t = 6;`  
`a[index] = t;`    `a[index] = t;`      `a[index] = 6;`

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# Code generation & optimization



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```
MOV R0, index ;; value of index -> R0
MUL R0, 2     ;; double value of R0
MOV R1, &a    ;; address of a -> R1
ADD R1, R0    ;; add R0 to R1
MOV *R1, 6    ;; const 6 -> address in R1
```

```
MOV R0, index ;; value of index -> R0
SHL R0        ;; double value in R0
MOV &a[R0], 6 ;; const 6 -> address a+R0
```

- *many* optimizations possible
- potentially difficult to automatize<sup>1</sup>, based on a formal description of language and machine
- platform dependent

---

<sup>1</sup>Not that one has much of a choice. Difficult or not, *no one* wants to optimize generated machine code by hand . . . .

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# Anatomy of a compiler (2)



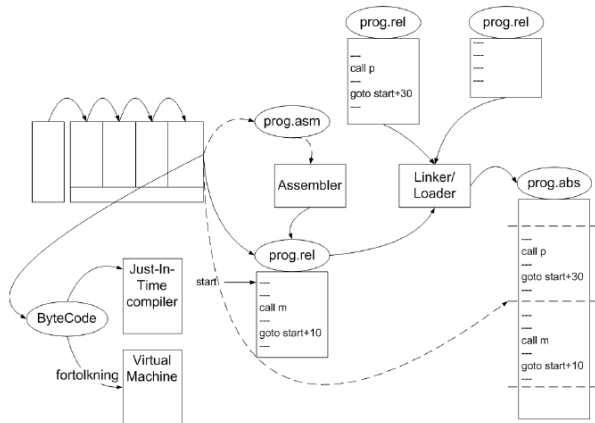
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# Misc. notions

- front-end vs. back-end, analysis vs. synthesis
- separate compilation
- how to handle *errors*?
- “data” handling and management at run-time (static, stack, heap), garbage collection?
- language can be compiled in *one pass*?
  - E.g. C and Pascal: declarations must *precede* use
  - no longer too crucial, enough memory available
- compiler assisting tools and infrastructure, e.g.
  - debuggers
  - profiling
  - project management, editors
  - build support
  - ...



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# Compiler vs. interpreter

## compilation

- classical: source  $\Rightarrow$  machine code for given machine
- different “forms” of machine code (for 1 machine):
  - executable  $\Leftrightarrow$  relocatable  $\Leftrightarrow$  textual assembler code

## full interpretation

- directly executed from program code/syntax tree
- often for command languages, interacting with the OS.
- speed typically 10–100 slower than compilation

## compilation to intermediate code which is interpreted

- used in e.g. Java, Smalltalk, . . . .
- intermediate code: designed for efficient execution (byte code in Java)
- executed on a simple interpreter (JVM in Java)



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# More recent compiler technologies

- *Memory* has become cheap (thus comparatively large)
  - keep whole program in main memory, while compiling
- OO has become rather popular
  - special challenges & optimizations
- Java
  - “compiler” generates byte code
  - part of the program can be *dynamically* loaded during run-time
- concurrency, multi-core
- virtualization
- graphical languages (UML, etc), “meta-models” besides grammars



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## Bootstrapping and cross- compilation

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# Compiling from source to target on host



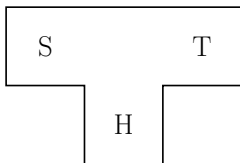
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## “tombstone diagrams” (or T-diagrams). . . .

compilation

- from **source** language  $H$
- to **target** language  $T$
- on **host** “ $H$ ” (executed on  $H$  or written in  $H$ )

E.g.: compiler written for (new) language  $A$  written in  $B$



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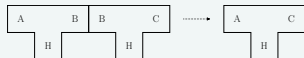
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# Two ways to compose “T-diagrams”



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“transitive”



compiling a compiler



the second one perhaps: compiler written in  $C$  ( $H$ )  
translated to a  $K$ -executable compiler with the help of an  
 $M$ -executable  $C$ -compiler

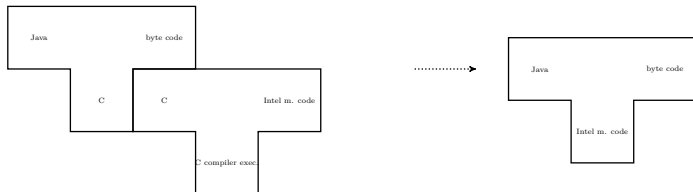
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# For example



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# Using an “old” language and its compiler for write a compiler for a “new” one



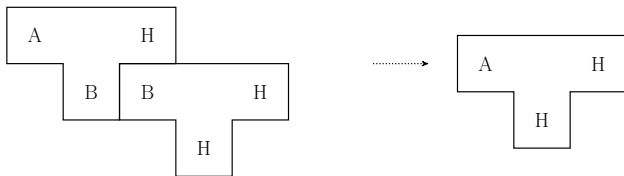
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compiler for new language  $A$  written in  $B$ .

# Pulling oneself up on one's own bootstraps



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## bootstrap (verb, trans.)

*to promote or develop . . . with little or no assistance*  
— Merriam-Webster

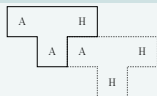
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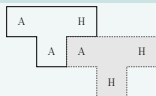
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### step 1



### step 2





# Porting & cross compilation



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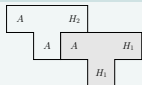
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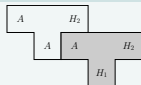
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step 1



step 2



# References I



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## Bibliography

- [1] Aho, A. V., Sethi, R., and Ullman, J. D. (1986). *Compilers: Principles, Techniques, and Tools*. Addison-Wesley.
- [2] Cooper, K. D. and Torczon, L. (2004). *Engineering a Compiler*. Elsevier.
- [3] Loudon, K. (1997). *Compiler Construction, Principles and Practice*. PWS Publishing.