



# Chapter 9

## Intermediate code generation

Course “Compiler Construction”

Martin Steffen

Spring 2024





## Chapter 9

### Learning Targets of Chapter “Intermediate code generation”

1. intermediate code
2. three-address code and P-code
3. translation to those forms
4. translation between those forms

#### Targets & Outline

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Generating 3AIC

PC  $\Leftrightarrow$  3AIC:  
static simulation  
& macro  
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More complex  
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## Chapter 9

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Generation of three-address intermediate code

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More complex data types

Control statements and logical expressions



# Section

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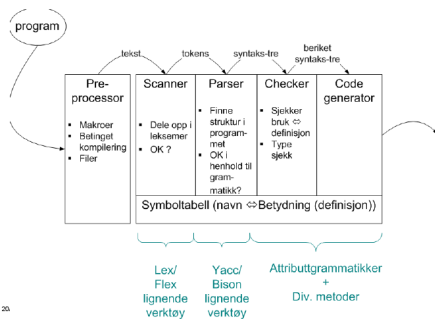
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# Schematic anatomy of a compiler



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- code generator:
  - may in itself be “phased”
  - using additional intermediate representation(s) (IR) and *intermediate code*

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# Various forms of “executable” code

- different forms of code: relocatable vs. “absolute” code, relocatable code from libraries, assembler, etc.
- often: specific file extensions
  - Unix/Linux etc.
    - asm: \*.s
    - rel: \*.o
    - rel. from library: \*.a
    - absolute: files without file extension (but set as executable)
  - Windows:
    - abs: \*.exe<sup>1</sup>
- *byte code* (specifically in Java)
  - a form of intermediate code, as well
  - executable on the JVM
  - in .NET/C#: *CIL*
    - also called byte-code, but compiled further

---

<sup>1</sup>.exe-files include more, and “assembly” in .NET even more



# Generating code: compilation to machine code

- 3 variations:
  1. machine code in textual **assembly format** (assembler can “compile” it to 2. and 3.)
  2. **relocatable** format (further processed by *loader*)
  3. **binary** machine code (directly executable)
- seen as different representations, but otherwise equivalent
- in practice: for *portability*
  - as another intermediate representation: “platform independent” *abstract machine code* possible.
  - capture features shared roughly by many platforms
  - platform dependent details:
    - platform dependent code done in a last step
    - filling in call-sequence / linking conventions
    - registers



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# Byte code generation

- semi-compiled well-defined format
- platform-independent
- further away from any HW, quite more high-level
- for example: Java byte code (or CIL for .NET and C#)
  - can be interpreted, but often compiled further to machine code (“just-in-time compiler” JIT)
- executed (interpreted) on a “virtual machine” (like JVM)
- often: *stack-oriented* execution code (in post-fix format)
- also *internal* intermediate code (in compiled languages) may have stack-oriented format (“P-code”)





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## Intermediate code

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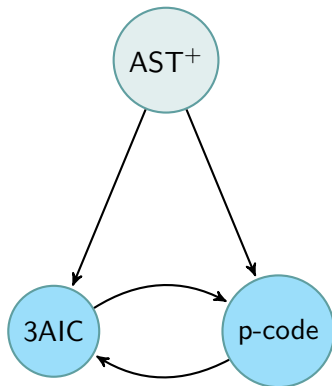
# Use of intermediate code

- two kinds of IC covered
  1. **three-address code** (3AC, 3AIC)
    - generic (platform-independent) abstract machine code
    - new names for all intermediate results
    - can be seen as unbounded pool of machine registers
  2. **P-code** (“Pascal-code”, cf. Java “byte code”)
    - originally proposed for interpretation
    - now often translated before execution (cf. JIT-compilation)
    - intermediate results in a *stack* (with postfix operations)
- *many* variations and elaborations for both kinds
  - addresses represented *symbolically* or as *numbers* (or both)
  - granularity/“instruction set”/level of abstraction: high-level op’s available e.g., for array-access or: translation in more elementary op’s needed.
  - operands (still) typed or not
  - ...



# Various translations in the lecture

- AST here: tree structure *after* semantic analysis, let's call it AST<sup>+</sup> or just simply AST.
- translation AST  $\Rightarrow$  P-code: approx. as in oblig 2
- we touch upon general problems/techniques in “translations”
- one (important) aspect inored for now: *register allocation*





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## Three-address (intermediate) code

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# Three-address code

- common (form of) IR

## TA(I)C: Basic format

$$x = y \text{ op } z \quad (1)$$

- $x, y, z$ : names, constants, temporaries . . .
- some operations need fewer arguments
- example of a (common) **linear IR**
- *linear* IR: ops include *control-flow* instructions (like jumps)
- alternative linear IRs (on a similar level of abstraction): 1-address (or even 0) code (stack-machine code), 2 address code
- well-suited for optimizations
- modern architectures often have 3-address code like instruction sets (RISC architectures)



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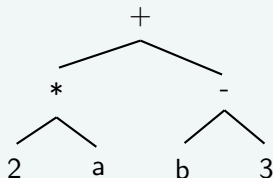
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# 3AC example (expression)



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$2 * a + (b - 3)$



## Three-address code

```
t1 = 2 * a
t2 = b - 3
t3 = t1 + t2
```

## alternative sequence

```
t1 = b - 3
t2 = 2 * a
t3 = t2 + t1
```

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# 3AIC instruction set

- basic format:  $x = y \text{ op } z$
- but also:
  - $x = \text{op } z$
  - $x = y$
- operators:  $+$ ,  $-$ ,  $*$ ,  $/$ ,  $<$ ,  $>$ , and, or
- read  $x$ , write  $x$
- label  $L$  (sometimes called a “pseudo-instruction”)
- conditional jumps: `if_false  $x$  goto  $L$`
- $t_1, t_2, t_3 \dots$  (or  $t1, t2, t3, \dots$ ): **temporaries** (or temporary variables)
  - assumed: *unbounded* reservoir of those
  - note: “non-destructive” assignments (single-assignment)





# Illustration: translation to 3AIC



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## Source

```
read x;           // input an integer
if 0<x then
  fact := 1;
  repeat
    fact := fact * x;
    x := x - 1;
  until x = 0;
write fact // output: factorial of x
end
```

## Target: 3AIC

```
read x
t1 = x > 0
if_false t1 goto L1
fact = 1
label L2
t2 = fact * x
fact = t2
t3 = x - 1
x = t3
t4 = x == 0
if_false t4 goto L2
write fact
label L1
halt
```

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# Variations in the design of 3A-code



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- provide operators for `int`, `long`, `float` ....?
- how to represent program *variables*
  - names/symbols
  - pointers to the declaration in the symbol table?
  - (abstract) machine address?
- how to store/represent 3A *instructions*?
  - **quadruples**: 3 “addresses” + the op
  - *triple* possible (if target-address (left-hand side) is always a *new temporary*)

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# Quadruple-representation for 3AIC (in C)



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```
typedef enum {rd, gr, if_f, asn, lab, mul,  
             sub, eq, wri, halt, ... } OpKind;  
typedef enum {Empty, IntConst, String } AddrKind;  
  
typedef struct {  
    AddrKind kind;  
    union {  
        int val;  
        char * name;  
    } contents;  
} Address;  
  
typedef struct {  
    OpKind op;  
    Address addr1, addr2, addr3;  
} Quad
```

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## P-code

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# P-code

- different common intermediate code / IR
- aka “one-address code”<sup>2</sup> or stack-machine code
- used prominently for Pascal
- remember: post-fix printing of syntax trees (for expressions) and “reverse polish notation”

---

<sup>2</sup>There's also two-address codes, but those have fallen more or less in disuse for intermediate code.



# Example: expression evaluation $2*a+(b-3)$



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# Example: expression evaluation $2*a+(b-3)$



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```
ldc 2 ; load constant 2
lod a ; load value of variable a
mpi ; integer multiplication
lod b ; load value of variable b
ldc 3 ; load constant 3
sbi ; integer subtraction
adi ; integer addition
```

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# P-code for assignments: $x := y + 1$



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- assignments:
  - variables left and right: *L-values* and *R-values*
  - cf. also the values  $\leftrightarrow$  references/addresses/pointers

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# P-code for assignments: $x := y + 1$



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- assignments:
  - variables left and right: *L-values* and *R-values*
  - cf. also the values  $\leftrightarrow$  references/addresses/pointers

```
lda x      ; load address of x
lod y      ; load value of y
ldc 1      ; load constant 1
adi        ; add
sto        ; store top to address
           ; below top & pop both
```

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# P-code of the factorial function



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```
read x;          // input an integer
if 0<x then
  fact := 1;
  repeat
    fact := fact * x;
    x := x - 1
  until x = 0;
  write fact // output: factorial of x
end
```

```
1  lda x          ; load address of x
   rdi           ; read an integer, store to
                   ; address on top of stack (& pop it)
2  lod x          ; load the value of x
   ldc 0         ; load constant 0
   grt           ; pop and compare top two values
                   ; push Boolean result
                   ; pop Boolean value, jump to L1 if false
3  fjp L1         ; pop Boolean value, jump to L1 if false
   lda fact      ; load address of fact
   ldc 1         ; load constant 1
   sto           ; pop two values, storing first to
                   ; address represented by second
4  lab L2         ; definition of label L2
5  lda fact      ; load address of fact
   lod fact      ; load value of fact
   lod x         ; load value of x
   mpi          ; multiply
   sto           ; store top to address of second & pop
6  lda x         ; load address of x
   lod x         ; load value of x
   ldc 1         ; load constant 1
   sbi          ; subtract
   sto           ; store (as before)
7  lod x         ; load value of x
   ldc 0         ; load constant 0
   equ          ; test for equality
   fjp L2         ; jump to L2 if false
8  lod fact      ; load value of fact
   wri          ; write top of stack & pop
   lab L1        ; definition of label L1
9  stp
```

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# Assignment grammar



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## Grammar

$exp_1 \rightarrow \mathbf{id} := exp_2$

$exp \rightarrow aexp$

$aexp \rightarrow aexp_2 + factor$

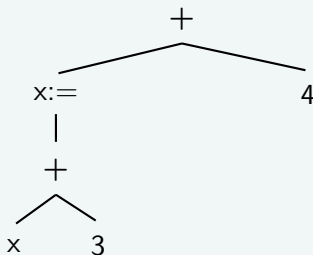
$aexp \rightarrow factor$

$factor \rightarrow ( exp )$

$factor \rightarrow \mathbf{num}$

$factor \rightarrow \mathbf{id}$

$(x := x + 3) + 4$



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# Generating p-code with A-grammars

- goal: p-code as *attribute* of the grammar symbols/nodes of the syntax trees
  - *syntax-directed translation*
  - technical task: turn the syntax tree into a *linear* IR (here P-code)
- ⇒
- “linearization” of the syntactic tree structure
  - while translating the nodes of the tree (the syntactical sub-expressions) one-by-one
- not recommended at any rate (for modern/reasonably complex language): code generation *while* parsing<sup>3</sup>

---

<sup>3</sup>One can use the a-grammar formalism also to describe the treatment of ASTs, not concrete syntax trees/parse trees.



# A-grammar for statements/expressions



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- focus here on expressions/assignments: leaving out certain complications
- in particular: control-flow complications
  - two-armed conditionals
  - loops, etc.
- also: code-generation “intra-procedural” only, rest is filled in as *call-sequences*
- A-grammar for intermediate code-gen:
  - rather simple and straightforward
  - only 1 *synthesized* attribute: pcode

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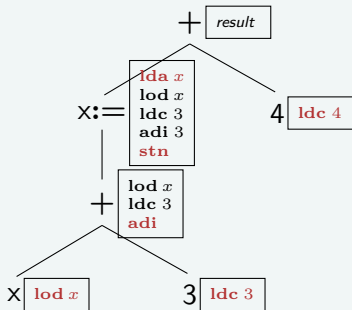
# A-grammar

- “string” concatenation: ++ (construct separate instructions) and ^ (concat one instruction)

productions/grammar rules	semantic rules
$exp_1 \rightarrow \mathbf{id} := exp_2$	$exp_1.pcode = \mathbf{lda}^{\wedge} id.strval ++ exp_2.pcode ++ \mathbf{stn}$
$exp \rightarrow aexp$	$exp.pcode = aexp.pcode$
$aexp_1 \rightarrow aexp_2 + factor$	$aexp_1.pcode = aexp_2.pcode ++ factor.pcode ++ \mathbf{adi}$
$aexp \rightarrow factor$	$aexp.pcode = factor.pcode$
$factor \rightarrow (exp)$	$factor.pcode = exp.pcode$
$factor \rightarrow \mathbf{num}$	$factor.pcode = \mathbf{ldc}^{\wedge} num.strval$
$factor \rightarrow \mathbf{id}$	$factor.pcode = \mathbf{lod}^{\wedge} num.strval$

$(x := x + 3) + 4$

## Attributed tree



## "result" attr.

```
lda x
lod x
ldc 3
adi
stn
ldc 4
adi ; +
```

- note: here  $x := x + 3$  has a side-effect *and* "return" value (as in C ...):
- **stn** ("store non-destructively")
  - similar to **sto**, but *non-destructive*
    1. take top element, store it at address represented by 2nd top
    2. discard address, but not the top-value



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# Overview: p-code data structures



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```
type symbol = string
```

```
type expr =
```

```
| Var of symbol  
| Num of int  
| Plus of expr * expr  
| Assign of symbol * expr
```

```
type instr =  
(* p-code instructions *)
```

```
| LDC of int  
| LOD of symbol  
| LDA of symbol  
| ADI  
| STN  
| STO
```

```
type tree = Oneline of instr  
| Seq of tree * tree
```

```
type program = instr list
```

- symbols:
  - here: strings for *simplicity*
  - concretely, symbol table may be involved, or variable names already resolved in addresses etc.

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# Two-stage translation

```
val to_tree: Astexprassign.expr → Pcode.tree
val linearize: Pcode.tree → Pcode.program
val to_program: Astexprassign.expr → Pcode.program
```

```
let rec to_tree (e: expr) =
  match e with
  | Var s → (Oinline (LOD s))
  | Num n → (Oinline (LDC n))
  | Plus (e1, e2) →
    Seq (to_tree e1 ,
         Seq(to_tree e2, Oinline ADI))
  | Assign (x, e) →
    Seq (Oinline (LDA x),
         Seq( to_tree e, Oinline STN))

let rec linearize (t: tree) : program =
  match t with
  | Oinline i → [i]
  | Seq (t1, t2) → (linearize t1) @ (linearize t2);; (* list concat *)

let to_program e = linearize (to_tree e);;
```



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# Source language AST data in C



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```
typedef enum {Plus, Assign} Optype;  
typedef enum {OpKind, ConstKind, IdKind} NodeKind;  
typedef struct streenode {  
    NodeKind kind;  
    Optype op;          /* used with OpKind */  
    struct streenode *lchild, *rchild;  
    int val             /* used with ConstKind */  
    char * strval      /* used for identifiers and numbers */  
} STreenode;  
typedef STreenode *SyntaxTree;
```

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# Code-generation via tree traversal (schematic)



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```
procedure genCode(T: treenode)
begin
  if T ≠ nil
  then
    ``generate code to prepare for code for left child'' // prefix
    genCode (left child of T); // prefix ops
    ``generate code to prepare for code for right child'' //infix
    genCode (right child of T); // infix ops
    ``generate code to implement action(s) for T'' //postfix
  end;
```

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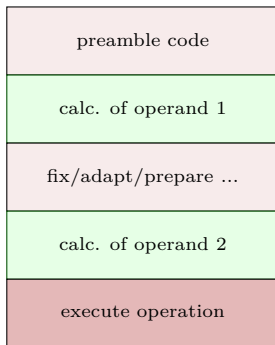
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# Code generation from AST<sup>+</sup>

- main “challenge”:  
linearization
- here: relatively simple
- no control-flow constructs
- linearization here (see a-grammar):
  - string of p-code
  - not necessarily the ultimate choice (p-code might still need translation to “real” executable code)



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# Code generation C (1)

```
void genCode (SyntaxTree t) {
    char codestr[CODESIZE];
    /* CODESIZE = max length of one line of p-code */
    if (t!=NULL) {
        switch (t->kind {
            case OpKind:
                switch (t->op) {
                    case Plus:
                        genCode(t->lchild);
                        genCode(t->rchild);
                        emitCode("adi");
                        break;
                    case Assign:
                        sprintf(codestr, "%s %s, "lda", t->strval);
                        emit(codestr);
                        getCode(t->lchild);
                        emitCode("stn");
                        break;
                    default:
                        emitCode("Error");
                        break;
                }
            };
        break;
    }
}
```



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## Code generation C (2)



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```
    case ConstKind:
        sprintf(codestr, "%s %s", "ldc", t->strval);
        emitCode(codestr);
        break;
    case IdKind:
        sprintf(codestr, "%s %s", "lod", t->strval);
        emitCode(codestr);
        break;
    default:
        emitCode("Error");
        break;
};
};
}
```

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# 3AIC manual translation again



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## Source

```
read x;           // input an integer
if 0<x then
  fact := 1;
  repeat
    fact := fact * x;
    x := x - 1;
  until x = 0;
write fact // output: factorial of x
end
```

## Target: 3AIC

```
read x
t1 = x > 0
if_false t1 goto L1
fact = 1
label L2
t2 = fact * x
fact = t2
t3 = x - 1
x = t3
t4 = x == 0
if_false t4 goto L2
write fact
label L1
halt
```

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# Three-address code data structures (some)

```
type symbol = string
```

```
type expr =
```

```
| Var of symbol  
| Num of int  
| Plus of expr * expr  
| Assign of symbol * expr
```

```
type mem =
```

```
Var of symbol  
| Temp of symbol  
| Addr of symbol (* &x *)
```

```
type operand = Const of int
```

```
| Mem of mem
```

```
type cond = Bool of operand
```

```
| Not of operand  
| Eq of operand * operand  
| Leq of operand * operand  
| Le of operand * operand
```

```
type rhs = Plus of operand * operand
```

```
| Times of operand * operand  
| Id of operand
```

```
type instr =
```

```
Read of symbol  
| Write of symbol  
| Lab of symbol  
(* pseudo instruction *)  
| Assign of symbol * rhs  
| AssignRl of operand * operand * operand  
(* a := b[i] *)  
| AssignLl of operand * operand * operand  
(* a[i] := b *)  
| BranchComp of cond * label  
| Halt  
| Nop
```

```
type tree = Oneline of instr
```



# Three-address code by synthesized attributes

- similar to the representation for p-code
- again: purely synthesized
- semantics of executing expressions/assignments<sup>4</sup>
  - side-effect plus also
  - **value**
- *two* attributes (before: only 1)
  - tacode: instructions (as before, as string), potentially empty
  - name: “name” of variable or tempary, where result resides<sup>5</sup>
- evaluation of expressions: *left-to-right* (as before)

---

<sup>4</sup>That's one possibility of a semantics of assignments (C, Java).

<sup>5</sup>In the p-code, the result of evaluating expression (also assignments) ends up in the stack (at the top). Thus, one does not need to capture it in an attribute.



# A-grammar

productions/grammar rules	semantic rules
$exp_1 \rightarrow \mathbf{id} = exp_2$	$exp_1.name = exp_2.name$ $exp_1.tacode = exp_2.tacode ++$ $\quad \mathbf{id.strval}^{\wedge} \mathbf{""}^{\wedge} exp_2.name$
$exp \rightarrow aexp$	$exp.name = aexp.name$ $exp.tacode = aexp.tacode$
$aexp_1 \rightarrow aexp_2 + factor$	$aexp_1.name = newtemp()$ $aexp_1.tacode = aexp_2.tacode ++ factor.tacode ++$ $\quad aexp_1.name^{\wedge} \mathbf{""}^{\wedge} aexp_2.name^{\wedge}$ $\quad \mathbf{""}^{\wedge} \mathbf{+}^{\wedge} factor.name$
$aexp \rightarrow factor$	$aexp.name = factor.name$ $aexp.tacode = factor.tacode$
$factor \rightarrow ( exp )$	$factor.name = exp.name$ $factor.tacode = exp.tacode$
$factor \rightarrow \mathbf{num}$	$factor.name = \mathbf{num.strval}$ $factor.tacode = \mathbf{""}$
$factor \rightarrow \mathbf{id}$	$factor.name = \mathbf{num.strval}$ $factor.tacode = \mathbf{""}$

## Another sketch of 3AI-code generation

- “return” of the two attributes
  - name of the variable (a *temporary*): officially returned
  - the code: via *emit*
- note: *postfix* emission only (in the shown cases)

# Generating code as AST methods



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- possible: add `genCode` as *method* to the nodes of the AST
- e.g.: define an abstract method `String genCodeTA()` in the `Exp` class (or `Node`, in general all AST nodes where needed)

```
String genCodeTA() { String s1, s2; String t = NewTemp();  
    s1 = left.GenCodeTA();  
    s2 = right.GenCodeTA();  
    emit (t + "=" + s1 + op + s2);  
    return t  
}
```

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# Translation to three-address code (from before)



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```
let rec to_tree (e: expr) : tree * temp =
  match e with
  | Var s -> (Online Nop, s)
  | Num i -> (Online Nop, string_of_int i)
  | Ast.Plus (e1, e2) ->
    (match (to_tree e1, to_tree e2) with
     ((c1, t1), (c2, t2)) ->
      let t = newtemp() in
      (Seq(Seq(c1, c2),
            Online (
              Assign (t,
                    Plus(Mem(Temp(t1)), Mem(Temp(t2))))))
       , t))
  | Ast.Assign (s', e') ->
    let (c, t2) = to_tree (e')
    in (Seq(c,
           Online (Assign (s',
                          Id (Mem (Temp (t2))))))
       , t2)
```

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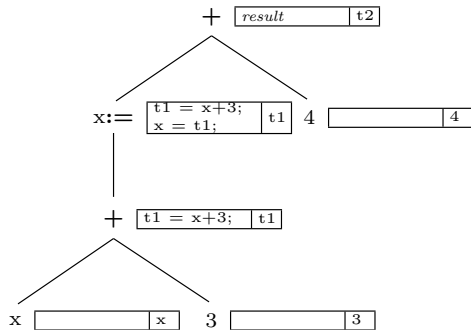
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# Attributed tree $(x := x+3) + 4$



*result* for attribute tacode:

```
t1 = x + 3
x = t1
t2 = t1 + 4
```



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# Section

**PC  $\Leftrightarrow$  3AIC: static simulation & macro expansion**

Chapter 9 “Intermediate code generation”

Course “Compiler Construction”

Martin Steffen

Spring 2024

# “Static simulation”

- *illustrated* by transforming p-code  $\Rightarrow$  3AC
- restricted setting: straight-line code
- cf. also *basic blocks* (or elementary blocks)
  - code without branching or other control-flow complications (jumps/conditional jumps. . .)
  - often considered as basic building block for static/semantic analyses,
  - e.g. basic blocks as nodes in *control-flow graphs*, the “non-semicolon” control flow constructs result in the edges
- terminology: static simulation seems not widely established
- cf. *abstract interpretation*, *symbolic execution*, etc.



# P-code $\Rightarrow$ 3AIC via “static simulation”



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- difference:
  - p-code operates on the *stack*
  - leaves the needed “temporary memory” implicit
- given the (straight-line) p-code:
  - traverse the code = list of instructions from beginning to end
  - seen as “simulation”
    - conceptually at least, but also
    - concretely: the translation can make *use* of an actual stack

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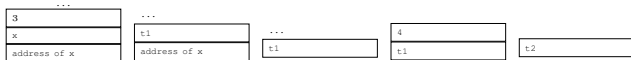
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# From P-code $\Rightarrow$ 3AIC: illustration



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```
lda x
lod x
ldc 3
adi
stn
ldc 4
adi
```

Annotations for the assembly code:

- `adi` (second occurrence)  $\rightarrow t1 = x + 3$
- `stn`  $\rightarrow x = t1$
- `adi` (third occurrence)  $\rightarrow t2 = t1 + 4$

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# P-code $\Leftarrow$ 3AIC: macro expansion

- also here: simplification, illustrating the general technique, only

## Macro for general 3AIC instruction: $a := b + c$

```
lda a
lod b;    // or ``ldc b'' if b is a const
lod c:    // or ``ldc c'' if c is a const
adi
sto
```



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# Example: P-code $\Leftarrow$ 3AIC $((x := x + 3) + 4)$



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## source 3AI-code

```
t1 = x + 3
x = t1
t2 = t1 + 4
```

## Direct p-code

```
lda x
lod x
ldc 3
adi
stn
ldc 4
adi ; +
```

## P-code via 3A-code by macro exp.

```
;--- t1 = x + 3
lda t1
lod x
ldc 3
adi
sto
;--- x = t1
lda x
lod t1
sto
;--- t2 = t1 + 4
lda t2
lod t1
ldc 4
adi
sto
```

cf. indirect 13 instructions vs. direct: 7 instructions

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# Indirect code gen: source code $\Rightarrow$ 3AIC $\Rightarrow$ p-code

- as seen: *detour* via 3AIC leads to sub-optimal results (code size, also efficiency)
  - basic deficiency: too many *temporaries*, memory traffic etc.
  - several possibilities
    - avoid it altogether, of course
    - hope for the **code optimization** phase
    - here: more clever “macro expansion” (but sketch only)
- the more clever macro expansion: some form of *static simulation* again
- don't macro-expand the linear 3AIC
    - brainlessly into another *linear* structure (p-code), but
    - “statically simulate” it into a more *fancy* structure (a *tree*)





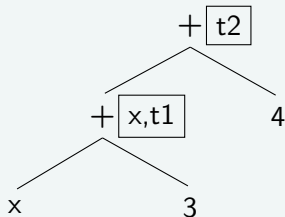
# “Static simulation” into tree form (sketch)

- more fancy form of “static simulation” of 3AIC
- *result*: tree labelled with
  - operator, together with
  - variables/temporaries containing the results

## Source

```
t1 = x + 3
x = t1
t2 = t1 + 4
```

## Tree



note: instruction  $x = t1$  from 3AIC:  
does *not* lead to more nodes in the tree



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# P-code generation from the generated tree



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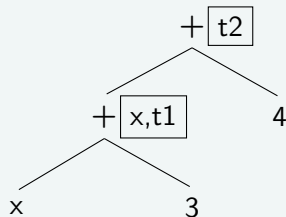
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## Tree from 3AIC



## Direct code = indirect code

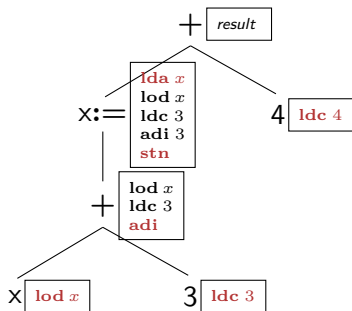
```
lda x
lod x
ldc 3
adi
stn
ldc 4
adi ; +
```

- with the thusly (re-)constructed tree
- ⇒ p-code generation
- as before done for the AST
  - remember: code as synthesized attributes
- the “trick”: essentially reconstruct syntactic tree structure (via “static simulation”) from the 3AI-code

# Compare: AST (with direct p-code attributes)



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# Section

## More complex data types

Chapter 9 “Intermediate code generation”

Course “Compiler Construction”

Martin Steffen

Spring 2024

# Status update: code generation

- so far: a number of simplifications
  - data types:
    - integer constants only
    - no complex types (arrays, records, references, etc.)
  - control flow
    - only expressions and
    - sequential composition
- ⇒ straight-line code



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# Address modes and address calculations



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- so far
  - just standard “variables” (l-variables and r-variables) and temporaries, as in  $x = x + 1$
  - variables referred to by their *names* (symbols)
- but in the end: variables are represented by *addresses*
- more complex *address calculations* needed

## addressing modes in 3AIC:

- $\&x$ : *address* of  $x$  (not for temporaries!)
- $*t$ : *indirectly* via  $t$

## addressing modes in P-code

- $\text{ind } i$ : *indirect load*
- $\text{ixa } a$ : *indexed address*

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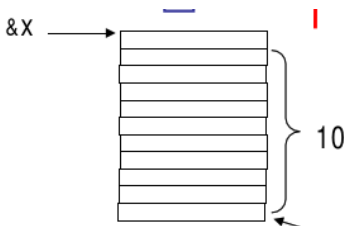
# Address calculations in 3AIC: $x[10] = 2$



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- notationally represented as in C
- “pointer arithmetic” and address calculation with the available numerical operations

```
t1 = &x + 10  
*t1 = 2
```



- 3-address-code data structure (e.g., quadrupel):  
*extended* (adding address mode)

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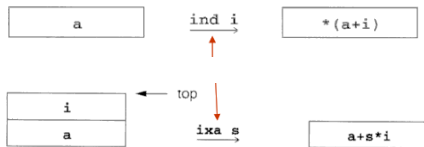
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# Address calculations in P-code: $x[10] = 2$



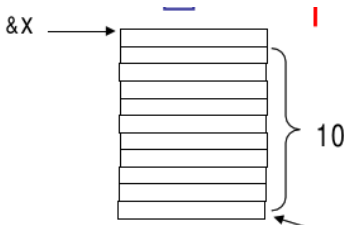
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- taylor-made commands for address calculation



- `ixa i`: integer *scale* factor (here factor 1)

```
lda x
ldc 10
ixa 1 // factor 1
ldc 2
sto
```



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# Array references and address calculations



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```
int a[SIZE]; int i, j;  
a[i+1] = a[j*2] + 3;
```

- difference between left-hand use and right-hand use
- arrays: stored sequentially, starting at *base address*
- offset, calculated with a *scale factor* (dep. on size/type of elements)
- for example: for `a[i+1]` (with C-style array implementation)<sup>6</sup>

$$a + (i+1) * \text{sizeof}(\text{int})$$

- `a` here *directly* stands for the base address

---

<sup>6</sup>In C, arrays start at a 0-offset as the first array index is 0. Details may differ in other languages.

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# Array accesses in 3AI code

- one possible way: assume 2 additional 3AIC instructions
- remember: 3AIC can be seen as *intermediate code*, not as instruction set of a particular HW!
- 2 new instructions<sup>7</sup>

```
t2 = a[t1] ; fetch value of array element
```

```
a[t2] = t1 ; assign to the address of an array element
```

```
a[i+1] = a[j*2] + 3;
```

```
t1    = j * 2  
t2    = a[t1]  
t3    = t2 + 3  
t4    = i + 1  
a[t4] = t3
```

<sup>7</sup>Still in 3AIC format. Apart from the “readable” notation, it’s just two op-codes, say =[] and []=.



# Or “expanded”: array accesses in 3AI code (2)



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## Expanding $t2 = a[t1]$

```
t3 = t1 * elem_size(a)
t4 = &a + t3
t2 = *t4
```

## Expanding $a[t2] = t1$

```
t3 = t2 * elem_size(a)
t4 = &a + t3
*t4 = t1
```

- “expanded” result for  $a[i+1] = a[j*2] + 3$

```
t1 = j * 2
t2 = t1 * elem_size(a)
t3 = &a + t2
t4 = *t3
t5 = t4 + 3
t6 = i + 1
t7 = t6 * elem_size(a)
t8 = &a + t7
*t8 = t5
```

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# Array accesses in P-code



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## Expanding $t2 = a[t1]$

```
lda t2
lda a
lod t1
ixa elem_size(a)
ind 0
sto
```

## Expanding $a[t2] = t1$

```
lda a
lod t2
ixa elem_size(a)
lod t1
sto
```

- “expanded” result for  $a[i+1] = a[j*2] + 3$

```
lda a
lod i
ldc 1
adi
ixa elem_size(a)
lda a
lod j
ldc 2
mpi
ixa elem_size(a)
ind 0
ldc 3
adi
sto
```

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# Extending grammar & data structures



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- extending the previous grammar

$exp \rightarrow subs = exp_2 \mid aexp$

$aexp \rightarrow aexp + factor \mid factor$

$factor \rightarrow (exp) \mid num \mid subs$

$subs \rightarrow id \mid id[exp]$

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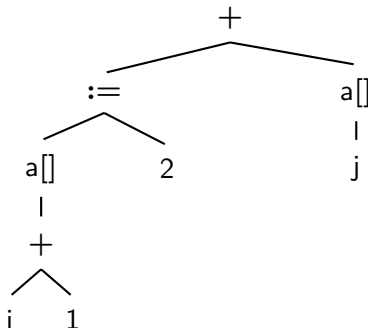
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# Syntax tree for $(a[i+1] := 2) + a[j]$



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## P-code generation: arrays (1): op

```
void genCode (SyntaxTree t, int isAddr) {
    char codestr[CODESIZE];
    /* CODESIZE = max length of 1 line of P-code */
    if (t != NULL) {
        switch (t->kind) {
            case OpKind:
                { switch (t->op) {
                    case Plus:
                        if (isAddress) emitCode("Error"); // new check
                        else { // unchanged
                            genCode(t->lchild, FALSE);
                            genCode(t->rchild, FALSE);
                            emitCode("adi"); // addition
                        }
                    break;
                    case Assign:
                        genCode(t->lchild, TRUE); // ``l-value``
                        genCode(t->rchild, FALSE); // ``r-value``
                        emitCode("stn");
                    break
                }
            }
        }
    }
}
```

## P-code generation: arrays (2): “subs”

- new code, of course

```
case Subs:
    sprintf(codestring, "%s %s", "lda", t->strval);
    emitCode(codestring);
    genCode(t->lchild, FALSE);
    sprintf(codestring, "%s %s %s",
            "ixa elem_size(", t->strval, ")");
    emitCode(codestring);
    if (!isAddr) emitCode("ind 0"); // indirect load
    break;
default:
    emitCode("Error");
    break;
}
```



# P-code generation: arrays (3): constants and identifiers



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```
case ConstKind:
    if (isAddr) emitCode("Error");
    else {
        sprintf(codestr, "%s %s", "lds", t->strval);
        emitCode(codestr);
    }
    break;
case IdKind:
    if (isAddr)
        sprintf(codestr, "%s %s", "lda", t->strval);
    else
        sprintf(codestr, "%s %s", "lod", t->strval);
    emitCode(codestr);
    break;
default:
    emitCode("Error");
    break;
}
}
```

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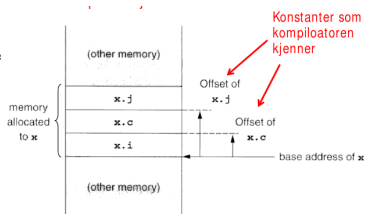
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# Access to records

```
typedef struct Rec {  
    int i;  
    char c;  
    int j;  
} Rec;  
...  
Rec x;
```



- fields with (statically known) offsets from base address
  - note:
    - goal: intermediate code generation *platform independent*
    - another way of seeing it: it's still IR, not *final* machine code yet.
  - thus: introduce function `field_offset(x, j)`
  - calculates the offset.
  - can be looked up (by the code-generator) in the *symbol table*
- ⇒ call replaced by actual off-set



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# Records/structs in 3AIC



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- note: typically, records are implicitly references (as for objects)
- in (our version of a) 3AIC: we can just use  $\&x$  and  $*x$

simple record access  $x.j$

```
t1 = &x +  
field_offset(x, j)
```

left and right:  $x.j := x.i$

```
t1 = &x + field_offset(x, j)  
t2 = &x + field_offset(x, i)  
*t1 = *t2
```

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# Field selection and pointer indirection in 3AIC



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```
typedef struct treeNode {  
    int val;  
    struct treeNode * lchild,  
                    * rchild;  
} treeNode  
...
```

```
Treenode *p;
```

```
p -> lchild = p;  
p           = p->rchild;
```

## 3AIC

```
t1 = p + field_offset(*p, lchild)  
*t1 = p  
t2 = p + field_offset(*p, rchild)  
p = *t2
```

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# Structs and pointers in P-code

- basically same basic “trick”
- make use of `field_offset(x, j)`

```
p -> lchild = p;  
p           = p->rchild;
```

```
lod p  
ldc field_offset(*p, lchild)  
ixa 1  
lod p  
sto  
lda p  
lod p  
ind field_offset(*p, rchild)  
sto
```



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# Section

## Control statements and logical expressions

Chapter 9 “Intermediate code generation”

Course “Compiler Construction”

Martin Steffen

Spring 2024

# Control statements

- so far: basically *straight-line code*
- general (intra-procedural) control more complex thanks to *control-statements*
  - conditionals, switch/case
  - loops (while, repeat, for ...)
  - breaks, gotos, exceptions ...

## important “technical” device: labels

- symbolic representation of addresses in static memory
  - specifically named (= labelled) control flow points
  - nodes in the *control flow graph*
- 
- generation of labels (cf. also temporaries)



# Loops and conditionals: linear code arrangement



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$if\text{-}stmt \rightarrow \mathbf{if} ( exp ) stmt \mathbf{else} stmt$   
 $while\text{-}stmt \rightarrow \mathbf{while} ( exp ) stmt$

- challenge:
  - high-level syntax (AST) well-structured (= tree) which implicitly (via its structure) determines complex control-flow beyond SLC
  - low-level syntax (3AIC/P-code): rather flat, linear structure, ultimately just a *sequence* of commands

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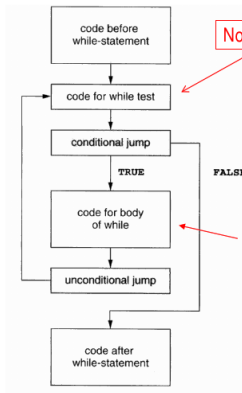
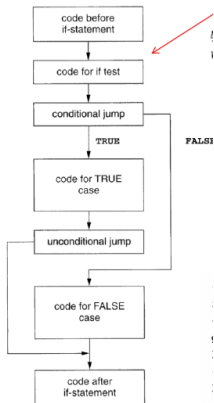
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# Arrangement of code blocks and cond. jumps



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# Jumps and labels: conditionals



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if  $E$  then  $S_1$  else  $S_2$

## 3AIC for conditional

```
<code to eval  $E$  to  $t_1$ >
if_false  $t_1$  goto L1      // goto false branch
<code for  $S_1$ >             // fall through to true branch
goto L2                    // hop over false branch
label L1
<code for  $S_2$ >
label L2
```

3 new op-codes:

- **ujp**: unconditional jump (“goto”)
- **fjp**: jump on false
- **lab**: label (for pseudo instructions)

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# Jumps and labels: conditionals



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if  $E$  then  $S_1$  else  $S_2$

## P-code for conditional

```
<code to evaluate  $E$ >
fjp L1           // got false branch
<code for  $S_1$ >   // fall through to true branch
ujp L2           // hop over false branch
lab L1
<code for  $S_2$ >
lab L2
```

3 new op-codes:

- **ujp**: unconditional jump (“goto”)
- **fjp**: jump on false
- **lab**: label (for pseudo instructions)

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# Jumps and labels: while



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`while  $E$  do  $S$`

## 3AIC for while

```
label L1 // label the loop header
<code to evaluate  $E$  to  $t1$ >
if_false  $t1$  goto L2 // jump to after the loop
<code for  $S$ >
goto L1 // jump back
label L2 // label the loop exit
```

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# Jumps and labels: while



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`while  $E$  do  $S$`

## P-code for while

```
lab L1 // label the loop header
<code to evaluate  $E$ >
fjp L2 // jump to after the loop
<code for  $S$ >
ujp L1 // jump back
lab L2 // label the loop exit
```

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# Boolean expressions

- two alternatives for treatment
  1. as *ordinary* expressions
  2. via **short-circuiting**
- ultimate representation in HW:
  - no built-in booleans (HW is generally untyped)
  - but “arithmetic” 0, 1 work equivalently & fast
  - bitwise ops which corresponds to logical  $\wedge$  and  $\vee$  etc
- comparison on “booleans”:  $0 < 1$ ?
- boolean values vs. jump conditions



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# Short circuiting boolean expressions

```
if ((p!=NULL) && p -> val==0) ...
```

- done in C, for example
- semantics must *fix* evaluation order
- note: logically equivalent  
 $a \wedge b = b \wedge a$
- cf. to conditional expressions/statements (also left-to-right)

$a$  and  $b \triangleq$  if  $a$  then  $b$  else false

$a$  or  $b \triangleq$  if  $a$  then true else  $b$

```
lod x
ldc 0
neq      // x!=0 ?
fjp L1
// jump, if x=0
lod y
lod x
equ      // x =? y
ujp L2 //
hop over
lab L1
ldc FALSE
lab L2
```

- new op-codes
  - equ
  - neq



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# Grammar for loops and conditionals

*stmt* → *if-stmt* | *while-stmt* | **break** | **other**  
*if-stmt* → **if** (*exp*) *stmt* **else** *stmt*  
*while-stmt* → **while** (*exp*) *stmt*  
*exp* → **true** | **false**

- note: simplistic expressions, only *true* and *false*

```
typedef enum {ExpKind, Ifkind, Whilekind,
             BreakKind, OtherKind} NodeKind;

typedef struct streenode {
    NodeKind kind;
    struct streenode * child[3];
    int val; /* used with ExpKind */
           /* used for true vs. false */
} STreeNode;

type STreeNode * SyntaxTree;
```



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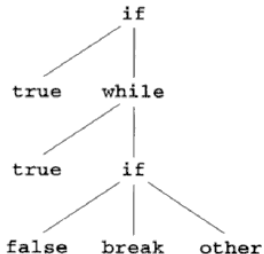


# Translation to P-code



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```
if (true) while (true) if (false) break else other
```



```
ldc true
fjp L1
lab L2
ldc true
fjp L3
ldc false
fjp L4
ujp L3
ujp L5
lab L4
Other
lab L5
ujp L2
lab L3
lab L1
```

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# Code generation

- extend/adapt `genCode`
  - **break** statement:
    - absolute *jump to place afterwards*
    - *new argument*: label to jump-to when hitting a break
  - `assume`: *label generator* `genLabel()`
  - case for if-then-else
    - has to deal with one-armed if-then as well: test for NULL-ness
  - side remark: **control-flow graph** (see also later)
    - labels can (also) be seen as *nodes* in the *control-flow graph*
    - `genCode` generates labels while traversing the AST
- ⇒ implicit generation of the CFG
- also possible:
    - separately generate a CFG first
    - as (just another) IR
    - generate code from there



# Code generation proc. for p-code



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```
void genCode(SyntaxTree t, char* label) {
    char codestr[CODESIZE];
    char * lab1, * lab2;
    if (t != NULL) switch (t->kind) {
        case ExpKind:
            if (t->val==0)
                emitCode("ldc false");
            else emitCode("ldc true");
            break;
```

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```
case IfKind:
    genCode(t->child[0], label);
    lab1 = genLabel();
    sprintf(codestr, "%s %s", "fjp", lab1);
    emitCode(codestr);
    genCode(t->child[1], label);
    if (t->child[2] != NULL) {
        lab2 = genLabel();
        sprintf(codestr, "%s %s", "ujp", lab2);
        emitCode(codestr);
    }
    sprintf(codestr, "%s %s", "lab", lab1);
    emitCode(codestr);
    if (t->child[2] != NULL) {
        genCode(t->child[2], label);
        sprintf(codestr, "%s %s", "lab", lab2);
        emitCode(codestr);
    }
    break;
```

# Code generation proc. for p-code



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```
case WhileKind:
    lab1 = genLabel();
    sprintf(codestr, "%s %s", "lab", lab1);
    emitCode(codestr);
    genCode(t->child[0], label);
    lab2 = genLabel();
    sprintf(codestr, "%s %s", "fjp", lab2);
    emitCode(codestr);
    genCode(t->child[1], label);
    sprintf(codestr, "%s %s", "ujp", lab1);
    emitCode(codestr);
    sprintf(codestr, "%s %s", "lab", lab2);
    emitCode(codestr);
    break;
```

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# Code generation proc. for p-code



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```
case BreakKind:  
    sprintf(codestr, "%s %s", "ujp", label);  
    emitCode(codestr);  
    break;  
case OtherKind:  
    emitCode("Other");  
    break;  
default:  
    emitCode("Error");  
    break;  
}  
}
```

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# More on short-circuiting (now in 3AIC)

- boolean expressions contain only two (official) values: true and false
- as stated: boolean expressions are often treated special: via short-circuiting
- short-circuiting especially for boolean expressions in *conditionals* and *while*-loops and similar
  - treat boolean expressions *different* from ordinary expressions
  - avoid (if possible) to calculate boolean value “till the end”
- short-circuiting: specified in the language definition (or not)



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# Example for short-circuiting



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## Source

```
if a < b ||  
    (c > d && e >= f)  
then  
    x = 8  
else  
    y = 5  
endif
```

## 3AIC

```
t1 = a < b  
if_true t1 goto 1 // short circuit  
t2 = c > d  
if_false goto 2  
// short circuit  
t3 = e >= f  
if_false t3 goto 2  
label 1  
x = 8  
goto 3  
label 2  
y = 5  
label 3
```

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## Code generation for conditional (short circuit)

```
case IfKind:
    lab_t = genLabel();
    lab_f = genLabel();
    genBoolCode(t->child[0], lab_t, lab_f); // boolean condition
    sprintf(codestr, "%s %s", "lab", lab_t); // if-branch
    emitCode(codestr);
    genCode(t->child[1], label);
    lab_x = genLabel();
    if (t->child[2] != NULL) { // does there exists an
        sprintf(codestr, "%s %s", "ujp", lab_x);
        emitCode(codestr);
    }
    sprintf(codestr, "%s %s", "lab", lab_f); // else-branch
    emitCode(codestr);
    if (t->child[2] != NULL) { // does there exists an
        genCode(t->child[2], label);
        sprintf(codestr, "%s %s", "lab", lab_x); // post-statement label
        emitCode(codestr);
    }
    break;
case WhileKind:
```

## Code generation for bools (short circuit)

```
void genBoolCode (string lab_t, lab_f) =
...
switch ... {
  case "||" : {
    String lab_x = genLabel();
    left.genBoolCode(lab_t, lab_x);
    sprintf(codestr, "%s %s", "lab", lab_x);
    emitCode(codestr);
    right.genBoolCode(lab_t, lab_f);
  }

  case "&&" : {
    String lab_x = genLabel();
    left.genBoolCode(lab_x, lab_f);
    sprintf(codestr, "%s %s", "lab", lab_x);
    emitCode(codestr);
    right.genBoolCode(lab_t, lab_f);
  }

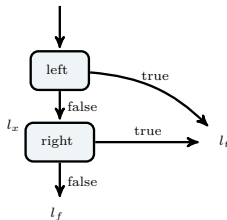
  case "not" : { // here just a left tree
    left.genBoolCode(lab_f, lab_t);
  }

  case "<" : { // example for a binary relation
    String t_1, t_2, t_3; //
    t_1 = left.genIntCode();
```

# Or case



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**Figure:** Short circuiting booleans, case "or"

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