

Static analysis and all that

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Plan

- approx. 15 lectures, details see [web-page](#)
 - flexible time-schedule, depending on progress/interest
 - covering parts/following the structure of textbook [2], concentrating on
 - overview
 - data-flow
 - control-flow
 - type- and effect systems
 - on request, new parts possible
 - helpful prior knowledge: having at least heard of
 - typed lambda calculi (especially for CFA)
 - simple type systems
 - operational semantics
 - lattice theory, fixpoints, induction
- but things needed will be covered . . .

- 1 Data flow analysis
 - Intraprocedural analysis
 - Theoretical properties
 - Monotone frameworks
 - Equation solving
 - Interprocedural Analysis
 - Shape analysis

Plan

- traditional form of program analysis
- again **while**-language
- number of analyses: available expr., reaching def's, very busy expr., live variables ...
- general setting: **monotone** frameworks
- advanced topics:
 - interprocedural data flow
 - shape analysis

Initial and final labels

$$init : \mathbf{Stmt} \rightarrow \mathbf{Lab} \quad final : \mathbf{Stmt} \rightarrow 2^{\mathbf{Lab}} \quad (1)$$

$$\begin{array}{l|ll} [x := a]' & / & \{l\} \\ [\text{skip}]' & / & \{l\} \\ S_1; S_2 & init(S_1) & final(S_2) \\ \text{if}[b]' \text{ then } S_1 \text{ else } S_2 & / & final(S_1) \cup final(S_2) \\ \text{while}[b]' \text{ do } S & / & \{l\} \end{array} \quad (2)$$

$$\begin{aligned} \text{blocks}([x := a]^l) &= & (3) \\ \text{blocks}([\text{skip}]^l) &= \\ \text{blocks}(S_1; S_2) &= \\ \text{blocks}(\text{if}[b]^l \text{ then } S_1 \text{ else } S_2) &= \\ \text{blocks}(\text{while}[b]^l \text{ do } S) &= \end{aligned}$$

$$\begin{aligned} \text{blocks}([x := a]') &= [x := a]' \\ \text{blocks}([\text{skip}]') &= [\text{skip}]' \\ \text{blocks}(S_1; S_2) &= \text{blocks}(S_1) \cup \text{blocks}(S_2) \\ \text{blocks}(\text{if}[b]' \text{ then } S_1 \text{ else } S_2) &= \{[b]'\} \cup \text{blocks}(S_1) \cup \text{blocks}(S_2) \\ \text{blocks}(\text{while}[b]' \text{ do } S) &= \{[b]'\} \cup \text{blocks}(S) \end{aligned} \tag{3}$$

Labels and flows = flow graph

$labels : Stmt \rightarrow 2^{Lab}$ $flow : Stmt \rightarrow 2^{Lab \times Lab}$

$$labels(S) = \{I \mid [B]^I \in blocks(S)\} \quad (4)$$

$$\begin{aligned} flow([x := a]^I) &= & (5) \\ flow([skip]^I) &= \\ flow(S_1; S_2) &= \end{aligned}$$

$$flow(\text{if}[b]^I \text{ then } S_1 \text{ else } S_2) =$$

$$flow(\text{while}[b]^I \text{ do } S) =$$

Labels and flows = flow graph

$labels : Stmt \rightarrow 2^{Lab}$ $flow : Stmt \rightarrow 2^{Lab \times Lab}$

$$labels(S) = \{l \mid [B]^l \in blocks(S)\} \quad (4)$$

$$\begin{aligned} flow([x := a]^l) &= \emptyset \\ flow([skip]^l) &= \emptyset \\ flow(S_1; S_2) &= flow(S_1) \cup flow(S_2) \\ &\quad \cup \{(l, init(S_2)) \mid l \in final(S_1)\} \\ flow(\text{if}[b]^l \text{ then } S_1 \text{ else } S_2) &= flow(S_1) \cup flow(S_2) \\ &\quad \cup \{(l, init(S_1)), (l, init(S_2))\} \\ flow(\text{while}[b]^l \text{ do } S) &= flow(S) \cup \{l, init(S)\} \\ &\quad \cup \{(l', l) \mid l' \in final(S)\} \end{aligned} \quad (5)$$

Flow and reverse flow

- *flow*: for **forward** analyses

$$\text{labels}(S) = \text{init}(S) \cup \{l \mid (l, l') \in \text{flow}(S)\} \cup \{l' \mid (l, l') \in \text{flow}(S)\}$$

- **reverse** flow flow^R : simply invert the edges of *flow*.

Program of interest

- S_* : program being analysed, top-level statement
- analogously **Lab** $_*$, **Var** $_*$, **Blocks** $_*$
- **trivial** expression: a single variable or constant
- **AExp** $_*$: non-trivial arithmetic sub-expr. of S_* , analogous for **AExp**(a) and **AExp**(b).
- useful restrictions
 - **isolated entries**: $(l, \text{init}(S_*)) \notin \text{flow}(S_*)$
 - **isolated exits** $\forall l_1 \in \text{final}(S_*)$. $(l_1, l_2) \notin \text{flow}(S_*)$
 - **label consistency**

$$[B_1]^l, [B_2]^l \in \text{blocks}(S) \quad \text{then} \quad B_1 = B_2$$

“ l labels *the* block B ”

- even better: **unique** labelling

Avoid recomputation: Available expressions

- example:

```
[x := a + b]1; [y := a * b]2; while [y > a + b]3
do ([a := a + 1]4; [x := a + b]5)
```

Avoid recomputation: Available expressions

- example:

```
[x := a + b]1; [y := a * b]2; while [y > a + b]3  
do ([a := a + 1]4; [x := a + b]5)
```

Goal

for each program point: which expressions **must** have already been computed (and not later modified), on all paths to the program point.

- usage: avoid **re-computation**

Available expressions: general

- given as flow **equations** (not constraints)¹
- uniform representation of **effect** of **basic blocks** (= **intra-block flow**)

2 ingredients of intra-block flow

- **kill**: flow information “eliminated” passing through the basic block
 - **generate**: flow information “generated new” passing through the basic block
-
- later example analyses: presented similarly
 - different analyses \Rightarrow different kill- and generate-functions/different kind of flow information.

¹but not too crucial, as we know already

Available expressions: types

- interest in **sets of expressions**: $2^{\mathbf{AExp}_*}$
- generation and killing:

$$kill_{AE}, gen_{AE} : \mathbf{Blocks}_* \rightarrow 2^{\mathbf{AExp}_*}$$

- analysis: pair of functions

$$AE_{entry}, AE_{exit} : \mathbf{Lab}_* \rightarrow 2^{\mathbf{AExp}_*}$$

core of the intra-block flow specification

$$\textit{kill}_{\text{AE}}([x := a]^l) =$$

$$\textit{kill}_{\text{AE}}([\textit{skip}]^l) =$$

$$\textit{kill}_{\text{AE}}([b]^l) =$$

$$\textit{gen}_{\text{AE}}([x := a]^l) =$$

$$\textit{gen}_{\text{AE}}([\textit{skip}]^l) =$$

$$\textit{gen}_{\text{AE}}([b]^l) =$$

Available expressions analysis: kill and generate

core of the intra-block flow specification

$$kill_{AE}([x := a]') = \{a' \in \mathbf{AExp}_* \mid x \in fv(a')\}$$

$$kill_{AE}([\text{skip}]') = \emptyset$$

$$kill_{AE}([b]') = \emptyset$$

$$gen_{AE}([x := a]') = \{a' \in \mathbf{AExp}(a) \mid x \notin fv(a')\}$$

$$gen_{AE}([\text{skip}]') = \emptyset$$

$$gen_{AE}([b]') = \mathbf{AExp}(b)$$

Flow equations: $AE^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

Flow equations for AE

$$AE_{entry}(I) = \begin{cases} \emptyset & I = init(S_*) \\ \bigcap \{AE_{exit}(I') \mid (I, I') \in flow(S_*)\} & \text{otherwise} \end{cases}$$

$$AE_{exit}(I) = AE_{entry}(I) \setminus kill_{AE}(B^I) \cup gen_{AE}(B^I)$$

where $B^I \in blocks(S_*)$

- note the “**order**” of kill/ generate

Remarks

- forward analysis (as RD)
- interest in largest solution (unlike RD) \Rightarrow must analysis²
- expression is available: if no path kills it
- remember: informal description of AE: expression available on “all paths” (i.e., not killed on any)
- remember: reaching definitions
- illustration

²as opposed to may-analysis.

Example

Reaching definitions

- remember the intro
- here: **same** analysis, but based on the new definitions: kill, generate, flow ...
- example:

$[x := 5]^1; [y := 1]^2; \text{while}[x > 1]^4 \text{ do}([y := x*y]^4; [x := x-1]^5)$

Reaching definitions: types

- interest in sets of tuples of var's and program points/labels:
 $2^{\mathbf{Var}_* \times \mathbf{Lab}_*^?}$ ($\mathbf{Lab}_*^? = \mathbf{Lab}_* + \{?\}$)
- generation and killing:

$$kill_{RD}, gen_{RD} : \mathbf{Blocks}_* \rightarrow 2^{\mathbf{Var}_* \times \mathbf{Lab}_*^?}$$

- analysis: pair of functions

$$RD_{entry}, RD_{exit} : \mathbf{Lab}_* \rightarrow 2^{\mathbf{Var}_* \times \mathbf{Lab}_*^?}$$

Reaching defs: kill and generate

$$\textit{kill}_{\text{RD}}([x := a]') =$$

$$\textit{kill}_{\text{RD}}([\textit{skip}]') =$$

$$\textit{kill}_{\text{RD}}([b]') =$$

$$\textit{gen}_{\text{RD}}([x := a]') =$$

$$\textit{gen}_{\text{RD}}([\textit{skip}]') =$$

$$\textit{gen}_{\text{RD}}([b]') =$$

Reaching defs: kill and generate

$$\begin{aligned} kill_{RD}([x := a]^l) &= \{(x, ?)\} \cup \\ &\quad \cup \{(x, l') \mid B^{l'} \text{ is assgm. to } x \text{ in } S_*\} \end{aligned}$$

$$kill_{RD}([\text{skip}]^l) = \emptyset$$

$$kill_{RD}([b]^l) = \emptyset$$

$$gen_{RD}([x := a]^l) = \{(x, l)\}$$

$$gen_{RD}([\text{skip}]^l) = \emptyset$$

$$gen_{RD}([b]^l) = \emptyset$$

Flow equations: $RD^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

Flow equations for RD

$$RD_{entry}(I) =$$

$$RD_{exit}(I) = RD_{entry}(I) \setminus kill_{RD}(B^l) \cup gen_{RD}(B^l)$$

where $B^l \in blocks(S_*)$

- same order of kill/generate

Flow equations: $RD^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

Flow equations for RD

$$RD_{entry}(l) = \begin{cases} \{(x, ?) \mid x \in fv(S_*)\} & l = init(S_*) \\ \bigcup \{RD_{exit}(l') \mid (l, l') \in flow(S_*)\} & \text{otherwise} \end{cases}$$

$$RD_{exit}(l) = RD_{entry}(l) \setminus kill_{RD}(B^l) \cup gen_{RD}(B^l)$$

where $B^l \in blocks(S_*)$

- same order of kill/generate

Flow equations: $AE^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

Flow equations for AE

$$AE_{entry}(I) = \begin{cases} \emptyset & I = init(S_*) \\ \bigcap \{AE_{exit}(I') \mid (I, I') \in flow(S_*)\} & \text{otherwise} \end{cases}$$

$$AE_{exit}(I) = AE_{entry}(I) \setminus kill_{AE}(B^I) \cup gen_{AE}(B^I)$$

where $B^I \in blocks(S_*)$

- note the “**order**” of kill/ generate

Example

Very busy expressions



```
if    [a > b]1
then  [x := b - a]2; [y := a - b]3
else  [a := b - a]4; [x := a - b]5
```

Definition (Very busy expression)

an expr. is **very busy** at the exit of a label, if **for all** paths from that label, the expression is used before any of its variables is “redefined” (= overwritten).

- use: expression “**hoisting**”

Goal

for each program point, which expressions are very busy at the exit of that point.

Very busy expr.: types

- interested in: **sets of expressions**: $2^{\mathbf{AExp}_*}$
- generation and killing:

$$kill_{VB}, gen_{VB} : \mathbf{Blocks}_* \rightarrow 2^{\mathbf{AExp}_*}$$

- analysis: pair of functions

$$VB_{entry}, VB_{exit} : \mathbf{Lab}_* \rightarrow 2^{\mathbf{AExp}_*}$$

Very busy expr.: kill and generate

core of the intra-block flow specification

$$\textit{kill}_{\text{VB}}([x := a]^l) =$$

$$\textit{kill}_{\text{VB}}([\textit{skip}]^l) =$$

$$\textit{kill}_{\text{VB}}([b]^l) =$$

$$\textit{gen}_{\text{VB}}([x := a]^l) =$$

$$\textit{gen}_{\text{VB}}([\textit{skip}]^l) =$$

$$\textit{gen}_{\text{VB}}([b]^l) =$$

Very busy expr.: kill and generate

core of the intra-block flow specification

$$\textit{kill}_{\text{VB}}([x := a]') = \{a' \in \mathbf{AExp}_* \mid x \in \textit{fv}(a')\}$$

$$\textit{kill}_{\text{VB}}([\textit{skip}]') = \emptyset$$

$$\textit{kill}_{\text{VB}}([b]') = \emptyset$$

$$\textit{gen}_{\text{VB}}([x := a]') = \mathbf{AExp}(a)$$

$$\textit{gen}_{\text{VB}}([\textit{skip}]') = \emptyset$$

$$\textit{gen}_{\text{VB}}([b]') = \mathbf{AExp}(b)$$

Available expressions analysis: kill and generate

core of the intra-block flow specification

$$kill_{AE}([x := a]') = \{a' \in \mathbf{AExp}_* \mid x \in fv(a')\}$$

$$kill_{AE}([\text{skip}]') = \emptyset$$

$$kill_{AE}([b]') = \emptyset$$

$$gen_{AE}([x := a]') = \{a' \in \mathbf{AExp}(a) \mid x \notin fv(a')\}$$

$$gen_{AE}([\text{skip}]') = \emptyset$$

$$gen_{AE}([b]') = \mathbf{AExp}(b)$$

Flow equations.: $VB^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

however: everything works **backwards** now

Flow equations: VB

$$VB_{exit}(l) =$$

$$VB_{entry}(l) =$$

where $B^l \in blocks(S_*)$

Flow equations.: $VB^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

however: everything works **backwards** now

Flow equations: VB

$$VB_{exit}(I) = \begin{cases} \emptyset & I = final(S_*) \\ \bigcap \{VB_{entry}(I') \mid (I', I) \in flow^R(S_*)\} & \text{otherwise} \end{cases}$$

$$VB_{entry}(I) = VB_{exit}(I) \setminus kill_{VB}(B^I) \cup gen_{VB}(B^I)$$

where $B^I \in blocks(S_*)$

When can var's be "thrown away": Live variable analysis

```
[x := 2]1; [y := 4]2; [x := 1]3;  
(if [y > x]4 then [z := y]5 else [z := y * y]6); [x := z]7
```

When can var's be "thrown away": Live variable analysis

```
[x := 2]1; [y := 4]2; [x := 1]3;  
(if [y > x]4 then [z := y]5 else [z := y * y]6); [x := z]7
```

Live variable

a variable is **live** (at exit of a label) = there **exists** a path from the mentioned exit to the use of that variable which does not assign to the variable (i.e., redefines its value)

- use: **dead code elimination**, **register allocation**

Goal

for each program point: which variables **may** be live at the exit of that point.

Live variables: types

- interested in **sets of variables** 2^{Var_*}
- generation and killing:

$$\text{kill}_{\text{LV}}, \text{gen}_{\text{LV}} : \mathbf{Blocks}_* \rightarrow 2^{\text{Var}_*}$$

- analysis: pair of functions

$$\text{LV}_{\text{entry}}, \text{LV}_{\text{exit}} : \mathbf{Lab}_* \rightarrow 2^{\text{Var}_*}$$

Live variables: kill and generate

$$\begin{aligned} kill_{AE}([x := a]') &= \\ kill_{LV}([\text{skip}]') &= \\ kill_{LV}([b]') &= \end{aligned}$$

$$\begin{aligned} gen_{LV}([x := a]') &= \\ gen_{LV}([\text{skip}]') &= \\ gen_{LV}([b]') &= \end{aligned}$$

Live variables: kill and generate

$$kill_{AE}([x := a]') = \{x\}$$

$$kill_{LV}([skip]') = \emptyset$$

$$kill_{LV}([b]') = \emptyset$$

$$gen_{LV}([x := a]') = fv(a)$$

$$gen_{LV}([skip]') = \emptyset$$

$$gen_{LV}([b]') = fv(b)$$

Flow equations $LV^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

however: everything works **backwards** now

Flow equations LV

$$LV_{exit}(l) =$$

$$LV_{entry}(l) =$$

where $B^l \in blocks(S_*)$

Flow equations $LV^=$

split into

- **intra**-block equations, using **kill/generate**
- **inter**-block equations, using **flow**

however: everything works **backwards** now

Flow equations LV

$$LV_{exit}(l) = \begin{cases} \emptyset & l \in final(S_*) \\ \bigcup \{LV_{entry}(l') \mid (l', l) \in flow^R(S_*)\} & \text{otherwise} \end{cases}$$

$$LV_{entry}(l) = LV_{exit}(l) \setminus kill_{LV}(B^l) \cup gen_{LV}(B^l)$$

where $B^l \in blocks(S_*)$

Example

Relating programs with analyses

- analyses
 - intended as (static) **abstraction**/overapprox. of real program behavior
 - so far: **without real connection** to programs
- soundness of the analysis: “**safe**” analysis
- but: we have not defined yet the **behavior/semantics** of programs
- here: “easiest” semantics: **operational**
- more precisely: **small-step SOS** (structural operational semantics)

states, configs, and transitions

fixing some data types

- state $\sigma : \mathbf{State} = \mathbf{Var} \rightarrow \mathbf{Z}$
- configuration: pair of statement \times state or (terminal) just a state
- transitions

$$\langle \mathbf{S}, \sigma \rangle \rightarrow \sigma \quad \text{or} \quad \langle \mathbf{S}, \sigma \rangle \rightarrow \langle \mathbf{S}', \sigma' \rangle$$

Semantics of expressions

$$[-]_{-}^A : \mathbf{AExp} \rightarrow (\mathbf{State} \rightarrow \mathbf{Z})$$

$$[-]_{-}^B : \mathbf{BExp} \rightarrow (\mathbf{State} \rightarrow \mathbf{T})$$

simplifying assumption: no errors

$$[x]_{\sigma}^A = \sigma(x)$$

$$[n]_{\sigma}^A = \mathcal{N}(n)$$

$$[a_1 \text{ op}_a a_2]_{\sigma}^A = [a_1]_{\sigma}^A \text{ op}_a [a_2]_{\sigma}^A$$

$$[\text{not } b]_{\sigma}^B = \neg [b]_{\sigma}^B$$

$$[b_1 \text{ op}_b b_2]_{\sigma}^B = [b_1]_{\sigma}^B \text{ op}_b [b_2]_{\sigma}^B$$

$$[a_1 \text{ op}_r a_2]_{\sigma}^B = [a_1]_{\sigma}^A \text{ op}_r [a_2]_{\sigma}^A$$

clearly:

$$\forall x \in \text{fv}(a). \sigma_1(x) = \sigma_2(x) \text{ then } [a]_{\sigma_1}^A = [a]_{\sigma_2}^A$$

$\langle [x := a]', \sigma \rangle \rightarrow \sigma[x \mapsto [a]_\sigma^A]$ ASS $\langle [\text{skip}]', \sigma \rangle \rightarrow \sigma$ SKIP

$\frac{\langle S_1, \sigma \rangle \rightarrow \langle \acute{S}_1, \acute{\sigma} \rangle}{\langle S_1; S_2, \sigma \rangle \rightarrow \langle \acute{S}_1; S_2, \acute{\sigma} \rangle}$ SEQ₁ $\frac{\langle S_1, \sigma \rangle \rightarrow \acute{\sigma}}{\langle S_1; S_2, \sigma \rangle \rightarrow \langle S_2, \acute{\sigma} \rangle}$ SEQ₂

$\frac{[b]_\sigma^B = \top}{\langle \text{if}[b]' \text{ then } S_1 \text{ else } S_2, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle}$ IF₁

$\frac{[b]_\sigma^B = \top}{\langle \text{while}[b]' \text{ do } S, \sigma \rangle \rightarrow \langle S; \text{while}[b]' \text{ do } S, \sigma \rangle}$ WHILE₁

$\frac{[b]_\sigma^B = \perp}{\langle \text{while}[b]' \text{ do } S, \sigma \rangle \rightarrow \sigma}$ WHILE₂

Derivation sequences

- **derivation** sequence: “completed” execution:
 - finite sequence: $\langle S_1, \sigma_1 \rangle, \dots, \langle S_n, \sigma_n \rangle, \sigma_{n+1}$
 - infinite sequence: $\langle S_1, \sigma_1 \rangle, \dots, \langle S_i, \sigma_i \rangle, \dots$
- note: labels do not influence the semantics

Lemma

1. $\langle S, \sigma \rangle \rightarrow \sigma'$, then $final(S) = \{init(S)\}$
2. $\langle S, \sigma \rangle \rightarrow \langle \acute{S}, \acute{\sigma} \rangle$, then $final(S) \supseteq \{final(\acute{S})\}$
3. $\langle S, \sigma \rangle \rightarrow \langle \acute{S}, \acute{\sigma} \rangle$, then $flow(S) \supseteq \{flow(\acute{S})\}$
4. $\langle S, \sigma \rangle \rightarrow \langle \acute{S}, \acute{\sigma} \rangle$, then $blocks(S) \supseteq blocks(\acute{S})$; if S is label consistent, then so is \acute{S}

Correctness of live analysis

- LV as example
- given as **constraint system** (not as equational system)

LV constraint system

$$LV_{exit}(I) \supseteq \begin{cases} \emptyset & I \in final(S_*) \\ \bigcup \{LV_{entry}(I') \mid (I', I) \in flow^R(S_*)\} & \text{otherwise} \end{cases}$$

$$LV_{entry}(I) \supseteq LV_{exit}(I) \setminus kill_{LV}(B^I) \cup gen_{LV}(B^I)$$

$$live_{entry}, live_{exit} : \mathbf{Lab}_* \rightarrow 2^{\mathbf{Var}_*}$$

“*live* solves constraint system $LV^{\subseteq}(S)$ ”

$$live \models LV^{\subseteq}(S)$$

(analogously for equations $LV^{\equiv}(S)$)

When can var's be "thrown away": Live variable analysis

```
[x := 2]1; [y := 4]2; [x := 1]3;  
(if [y > x]4 then [z := y]5 else [z := y * y]6); [x := z]7
```

Live variable

a variable is **live** (at exit of a label) = there **exists** a path from the mentioned exit to the use of that variable which does not assign to the variable (i.e., redefines its value)

- use: **dead code elimination**, **register allocation**

Goal

for each program point: which variables **may** be live at the exit of that point.

Lemma

- *If $live \models LV^=$, then $live \models LV^{\subseteq}$*
- *The least solutions of $live \models LV^=$ and $live \models LV^{\subseteq}$ coincide.*

as a reminder:

- partial order (L, \sqsubseteq)
- upper bound l of $Y \subseteq L$:
- **least** upper bound (lub): $\sqcup Y$ (or *join*)
- dually: lower bounds and greatest lower bounds: $\sqcap Y$ (or *meet*)
- **complete lattice** $L = (L, \sqsubseteq) = (L, \sqsubseteq, \sqcap, \sqcup, \perp, \top)$: po-set where meets and joins exist for all subsets, furthermore $\perp = \sqcap \emptyset$ and $\top = \sqcup \emptyset$.

Fixpoints

given complete lattice L and monotone $f : L \rightarrow L$.

- **fixpoint**: $f(l) = l$

$$\text{Fix}(f) = \{l \mid f(l) = l\}$$

- f **reductive** at l , l is a **pre-fixpoint** of f : $f(l) \sqsubseteq l$:

$$\text{Red}(f) = \{l \mid f(l) \sqsubseteq l\}$$

- f **extensive** at l , l is a **post-fixpoint** of f : $f(l) \sqsupseteq l$:

$$\text{Ext}(f) = \{l \mid f(l) \sqsupseteq l\}$$

$$\text{lfp}(f) \triangleq \bigsqcap \text{Fix}(f) \text{ and } \text{gfp}(f) \triangleq \bigsqcup \text{Fix}(f)$$

Tarski's theorem

Theorem

L : complete lattice, $f : L \rightarrow L$ monotone.

$$\begin{aligned} \text{lfp}(f) &\triangleq \bigsqcap \text{Red}(f) \in \text{Fix}(f) \\ \text{gfp}(f) &\triangleq \bigsqcup \text{Ext}(f) \in \text{Fix}(f) \end{aligned} \quad (6)$$

Fixpoint iteration

- often: iterate, approximate least fixed point from below $(f^n(\perp))_n$:

$$\perp \sqsubseteq f(\perp) \sqsubseteq f^2(\perp) \sqsubseteq \dots$$

- not assured that we “reach” the fixpoint (“within” ω)

$$\perp \sqsubseteq f^n(\perp) \sqsubseteq \bigsqcup_n f^n(\perp) \sqsubseteq \text{lfp}(f) \\ \text{gfp}(f) \sqsubseteq \bigsqcap_n f^n(\top) \sqsubseteq f^n(\top) \sqsubseteq (\top)$$

- additional requirement: **continuity** on f for all **ascending chains** $(l_n)_n$

$$f\left(\bigsqcup_n (l_n)\right) = \bigsqcup_n (f(l_n))$$

- **ascending chain condition**: $f^n(\perp) = f^{n+1}(\perp)$, i.e., $\text{lfp}(f) = f^n(\perp)$
- **descending chain condition**: dually

Lemma

- *If $live \models LV^=$, then $live \models LV^{\subseteq}$*
- *The least solutions of $live \models LV^=$ and $live \models LV^{\subseteq}$ coincide.*

Basic preservation results

Lemma (“Smaller” graph \rightarrow less constraints)

Assume $live \models LV^{\subseteq}(S_1)$. If $flow(S_1) \supseteq flow(S_2)$ and $blocks(S_1) \supseteq blocks(S_2)$, then $live \models LV^{\subseteq}(S_2)$.

Corollary (“subject reduction”)

If $live \models LV^{\subseteq}(S)$ and $\langle S, \sigma \rangle \rightarrow \langle \acute{S}, \acute{\sigma} \rangle$, then $live \models LV^{\subseteq}(\acute{S})$

Lemma (Flow)

Assume $live \models LV^{\subseteq}(S)$. If $I \rightarrow_{flow} I'$, then $live_{exit}(I) \supseteq live_{entry}(I')$.

Correctness relation

- basic intuition: **only live variables influence the program**
- proof by **induction**

Correctness relation on states:

Given $V =$ set of variables:^a

$$\sigma_1 \sim_V \sigma_2 \text{ iff } \forall x \in V. \sigma_1(x) = \sigma_2(x) \quad (7)$$

^a V is intended to be “live variables” but in \sim_V just set of vars.

\Rightarrow

$$\begin{array}{ccccccc} \langle S, \sigma_1 \rangle & \longrightarrow & \langle S', \sigma'_1 \rangle & \longrightarrow & \dots & \longrightarrow & \langle S'', \sigma''_1 \rangle \longrightarrow \sigma'''_1 \\ \left| \sim_V \right. & & \left| \sim_{V'} \right. & & & & \left| \sim_{V''} \right. & \left| \sim_{X(I)} \right. \\ \langle S, \sigma_2 \rangle & \longrightarrow & \langle S', \sigma'_2 \rangle & \longrightarrow & \dots & \longrightarrow & \langle S'', \sigma''_2 \rangle \longrightarrow \sigma'''_2 \end{array}$$

Notation:

- $N(I) = \text{live}_{\text{entry}}(I)$, $X(I) = \text{live}_{\text{exit}}(I)$

Lemma (Preservation inter-block flow)

Assume $live \models LV^{\subseteq}$. If $\sigma_1 \sim_{X(I)} \sigma_2$ and $I \rightarrow_{flow} I'$, then $\sigma_1 \sim_{N(I')} \sigma_2$.

Theorem (Correctness)

Assume $live \models LV^{\subseteq}(S)$.

- If $\langle S, \sigma_1 \rangle \rightarrow \langle \dot{S}, \acute{\sigma}_1 \rangle$ and $\sigma_1 \sim_{N(init(S))} \sigma_2$, then there *exists* $\acute{\sigma}_2$ s.t. $\langle S, \sigma_2 \rangle \rightarrow \langle \dot{S}, \acute{\sigma}_2 \rangle$ and $\acute{\sigma}_1 \sim_{N(init(\dot{S}))} \acute{\sigma}_2$.
- If $\langle S, \sigma_1 \rangle \rightarrow \acute{\sigma}_1$ and $\sigma_1 \sim_{N(init(S))} \sigma_2$, then there *exists* $\acute{\sigma}_2$ s.t. $\langle S, \sigma_2 \rangle \rightarrow \acute{\sigma}_2$ and $\acute{\sigma}_1 \sim_{X(init(S))} \acute{\sigma}_2$.

$$\begin{array}{ccc} \langle S, \sigma_1 \rangle & \xrightarrow{\sim_{N(init(S))}} & \langle S, \sigma_2 \rangle \\ \downarrow & & \downarrow \\ \langle \dot{S}, \acute{\sigma}_1 \rangle & \xrightarrow{\sim_{N(init(\dot{S}))}} & \langle \dot{S}, \acute{\sigma}_2 \rangle \end{array}$$

$$\begin{array}{ccc} \langle S, \sigma_1 \rangle & \xrightarrow{\sim_{N(init(S))}} & \langle S, \sigma_2 \rangle \\ \downarrow & & \downarrow \\ \acute{\sigma}_1 & \xrightarrow{\sim_{X(init(S))}} & \acute{\sigma}_2 \end{array}$$

Correctness (many steps)

Assume $live \models LV^{\subseteq}(S)$

- If $\langle S, \sigma_1 \rangle \rightarrow^* \langle \acute{S}, \acute{\sigma}_1 \rangle$ and $\sigma_1 \sim_{N(init(S))} \sigma_2$, then there **exists** $\acute{\sigma}_2$ s.t. $\langle S, \sigma_2 \rangle \rightarrow^* \langle \acute{S}, \acute{\sigma}_2 \rangle$ and $\acute{\sigma}_1 \sim_{N(init(\acute{S}))} \acute{\sigma}_2$.
- If $\langle S, \sigma_1 \rangle \rightarrow^* \acute{\sigma}_1$ and $\sigma_1 \sim_{N(init(S))} \sigma_2$, then there **exists** $\acute{\sigma}_2$ s.t. $\langle S, \sigma_2 \rangle \rightarrow^* \acute{\sigma}_2$ and $\acute{\sigma}_1 \sim_{\mathcal{X}(l)} \acute{\sigma}_2$ for some $l \in final(S)$.

Monotone framework: general pattern

$$\begin{aligned} \text{Analysis}_\circ(I) &= \begin{cases} \iota & \text{if } I \in E \\ \sqcup \{ \text{Analysis}_\bullet(I') \mid (I', I) \in F \} & \text{otherwise} \end{cases} \\ \text{Analysis}_\bullet(I) &= f_I(\text{Analysis}_\circ(I)) \end{aligned} \tag{8}$$

- \sqcup : either \cup or \cap
- F : either $\text{flow}(S_*)$ or $\text{flow}^R(S_*)$.
- E : either $\{\text{init}(S_*)\}$ or $\text{final}(S_*)$
- ι : either the initial or final information
- f_I : transfer function for $[B]^I \in \text{blocks}(S_*)$.

Monotone frameworks

- direction of flow:
 - forward analysis:
 - $F = flow(S_*)$
 - $Analysis_{\circ}$ for entry and $Analysis_{\bullet}$ for exits
 - assumption: isolated entries
 - backward analysis: dually
 - $F = flow^R(S_*)$
 - $Analysis_{\circ}$ for exit and $Analysis_{\bullet}$ for entry
 - assumption: isolated exits
- sort of solution
 - may analysis
 - properties for **some** path
 - **smallest** solution
 - must analysis
 - properties of **all** paths
 - **greatest** solution

Without isolated entries

$$\text{Analysis}_\circ(I) = \iota_E^I \sqcup \bigsqcup \{ \text{Analysis}_\bullet(I') \mid (I', I) \in F \} \quad (9)$$

$$\text{where } \iota_E^I = \begin{cases} \iota & \text{if } I \in E \\ \perp & \text{if } I \notin E \end{cases}$$

$$\text{Analysis}_\bullet(I) = f_I(\text{Analysis}_\circ(I))$$

where $I \sqcup \perp = I$

Basic definitions: property space

- property space L , often complete lattice
- combination operator: $\sqcup : 2^L \rightarrow L$ (\sqcup : binary case).
- $\perp = \sqcup \emptyset$
- often: ascending chain condition (stabilization)

Transfer functions

$$f_l : L \rightarrow L$$

with $l \in \mathbf{Lab}_*$

- associated with the blocks³
- requirements: monotone
- \mathcal{F} : monotone functions over L :
 - containing all **transfer functions**
 - containing **identity**
 - **closed** under composition

³One can do it also other way (but not in this lecture).

Framework (summary)

- complete lattice L , ascending chain condition
- \mathcal{F} monotone functions, closed as stated
- **distributive** framework

$$f(l_1 \vee l_2) = f(l_1) \vee f(l_2)$$

(or rather $f(l_1 \vee l_2) \sqsubseteq f(l_1) \vee f(l_2)$)

Our 4 classical examples

- for a label consistent program S_* , all a instances of a monotone, distributive, framework:
- conditions:
 - lattice of properties: immediate (subset/superset)
 - ascending chain condition: finite set of syntactic entities
 - closure conditions on \mathcal{F}
 - monotone
 - closure under identity and composition
 - distributive: assured by using the kill- and generate-formulation

Instances: overview

	avail. expr.	reach. def's	very busy expr.	live var's
L	$2^{\mathbf{AExp}_*}$	$2^{\mathbf{Var}_* \times \mathbf{Lab}_*^?}$	$2^{\mathbf{AExp}_*}$	$2^{\mathbf{Var}_*}$
\sqsubseteq	\supseteq	\subseteq	\supseteq	\subseteq
\sqcup	\cap	\cup	\cap	\cup
\perp	\mathbf{AExp}_*	\emptyset	\mathbf{AExp}_*	\emptyset
ι	\emptyset	$\{(x, ?) \mid x \in \mathit{fv}(S_*)\}$	\emptyset	\emptyset
E	$\{\mathit{init}(S_*)\}$	$\{\mathit{init}(S_*)\}$	$\mathit{final}(S_*)$	$\mathit{final}(S_*)$
F	$\mathit{flow}(S_*)$	$\mathit{flow}(S_*)$	$\mathit{flow}^R(S_*)$	$\mathit{flow}^R(S_*)$
\mathcal{F}	$\{f : L \rightarrow L \mid \exists l_k, l_g. f(l) = (l \setminus l_k) \cup l_g\}$			
f_l	$f_l(l) = (l \setminus \mathit{kill}([B]^l) \cup \mathit{gen}([B]^l))$ where $[B]^l \in \mathit{blocks}(S_*)$			

Solving the analyses

- given: set of equations (or constraints) over finite sets of variables
- domain of variables: complete lattices + ascending chain condition
- 2 solutions for the monotone frameworks
 1. MFP: “maximal fix point”
 2. MOP: “meet over all paths”

- terminology: historically “MFP” stands for *maximal* fix point (not minimal)
- iterative **worklist** algorithm:
 - central data structure: **worklist**
 - list (or container) of pairs
- related to **chaotic iteration**

Chaotic iteration

Input: example equations for reaching definitions

Output: least solution: $\vec{RD} = (RD_1, \dots, RD_{12})$

Method: step 1: initialization
 $RD_1 := \emptyset; \dots; RD_{12} := \emptyset$

step 2: iteration

while $RD_j \neq F_j(RD_1, \dots, RD_{12})$ for some j
do
 $RD_j := F_j(RD_1, \dots, RD_{12})$

Worklist algorithms

- **fixpoint** iteration algorithm
 - general kind of algorithms, for DFA, CFA, . . .
 - same for **equational** and **constraint** systems
 - “specialization”/**determinization** of chaotic iteration
- ⇒ **worklist**: central data structure, “container” containing “**the work still to be done**”
- for more details (different traversal strategies): see [2, Chap. 6]

WL-algo for DFA

- WL-algo for **monotone frameworks**
- ⇒ input: instance of monotone framework
- two central data structures
 - **worklist**: **flow-edges** yet to be (re-)considered:
 1. **removed** when **effect** of transfer function has been taken care of
 2. **(re-)added**, when point 1 **endangers** satisfaction of (in-)equations
 - **array** to store the “current state” of *Analysis*.
 - one central **control structure** (after **initialization**): **loop** until worklist empty

Input: $(L, \mathcal{F}, F, E, \iota, f)$

Output: MFP_o, MFP_\bullet

Method: step 1: initialization

$W := \text{nil};$

for all $(l, l') \in F$ do $W := (l, l') :: W;$

for all $l \in F$ or $l \in E$ do

if $l \in E$ then $\text{Analysis}[l] := \iota$

else $\text{Analysis}[l] := \perp_L;$

step 2: iteration

while $W \neq \text{nil}$ do

$(l, l') := (\text{fst}(\text{head}(W)), \text{snd}(\text{head}(W)));$

$W := \text{tail } W;$

if $f_l(\text{Analysis}[l]) \not\sqsubseteq \text{Analysis}[l']$

then $\text{Analysis}[l'] := \text{Analysis}[l'] \sqcup f_l(\text{Analysis}[l]);$

for all l'' with $(l', l'') \in F$ do

$W := (l', l'') :: W;$

step 3: presenting the result:

for all $l \in F$ or $l \in E$ do

$MFP_o(l) := \text{Analysis}[l];$

$MFP_\bullet(l) := f_l(\text{Analysis}[l])$

Lemma

The algo

- *terminates and*
- *calculates the least solution*

Proof.

- termination: ascending chain condition & loop is enlarging
- least FP:
 - *invariant*: array always below *Analysis*.
 - at *loop exit*: array “solves” (in-)equations



Time complexity

- estimation of **upper bound** of number basic steps
 - at most b different labels in E
 - at most $e \geq b$ pairs in the flow F
 - height of the lattice: at most h
 - non-loop steps: $O(b + e)$
 - **loop**: at most h times addition to the WL

\Rightarrow

$$O(e \cdot h) \tag{10}$$

or $\leq O(b^2 h)$

MOP: paths

- terminology: historically: MOP stands for “meet over all paths”
- here: dually joins
- 2 versions of a path:
 1. path to entry of a block: blocks traversed from the “extremal block” of the program, but not including it
 2. path to exit of a block
-

$$path_{\circ}(I) = \{[l_1, \dots, l_{n-1}] \mid l_i \rightarrow_{flow} l_{i+1} \wedge l_n = I \wedge l_1 \in E\}$$

$$path_{\bullet}(I) = \{[l_1, \dots, l_n] \mid l_i \rightarrow_{flow} l_{i+1} \wedge l_n = I \wedge l_1 \in E\}$$

- transfer function for paths \vec{l}

$$f_{\vec{l}} = f_{l_n} \circ \dots \circ f_{l_1} \circ id$$

- paths:
 - forward analyses: paths from init block to entry of a block
 - backward analyses: paths from exits of a block to a final block
- two components of the MOP solution (for given l):
 - up-to but not including l
 - up-to including l

$$MOP_{\circ}(l) = \bigsqcup \{f_{\vec{l}}(l) \mid \vec{l} \in \text{path}_{\circ} l\}$$

$$MOP_{\bullet}(l) = \bigsqcup \{f_{\vec{l}}(l) \mid \vec{l} \in \text{path}_{\bullet} l\}$$

MOP vs. MFP

- MOP: can be undecidable
- MFP *approximates* MOP (“ $MFP \sqsupseteq MOP$ ”)

Lemma

$$MFP_{\circ} \sqsupseteq MOP_{\circ} \text{ and } MFP_{\bullet} \sqsupseteq MOP_{\bullet} \quad (11)$$

*In case of a **distributive** framework*

$$MFP_{\circ} = MOP_{\circ} \text{ and } MFP_{\bullet} = MOP_{\bullet} \quad (12)$$

Adding procedures

- so far: **very simplified** language:
 - minimalistic imperative language
 - reading and writing to variables plus
 - simple controlflow, given as flow graph
- now: **procedures**: **interprocedural** analysis
- (possible) complications:
 - calls/returns (i.e., control flow)
 - parameter passing (call-by-value vs. call-by-reference)
 - scopes
 - potential **aliasing** (with call-by-reference)
 - higher-order functions/procedures
- here: top-level procedures, mutual recursion, call-by-value parameter + call-by-result

- program: $\text{begin } D_* \text{ } S_* \text{ end}$

$$D_* ::= \text{proc } p(\text{val } x, \text{res } y) \text{ is } S \text{ end} \mid D D$$

- procedure names p
- statements

$$S ::= \dots [\text{call } p(a, z)]_{l_r}^{l_c}$$

- note: call statement with 2 labels
- **statically scoped** language, CBV parameter passing (1st parameter), and CBN for second
- mutual recursion possible
- assumption: unique labelling, only declared procedures are called, all procedures have different names.

Example

```
begin  proc fib(val z, u, res v) is1
        if    [z < 3]2
        then  [v := u + 1]3
        else  [call fib(z - 1, u, v)]4;
            [call fib(z - 2, v, v)]6;7
        end8;
        [call fib(x, 0, y)]910
end
```

$$\begin{aligned} \mathit{init}([\mathit{call} \ p(a, z)]_r^c) &= l_c \\ \mathit{final}([\mathit{call} \ p(a, z)]_r^c) &= \{l_r\} \\ \mathit{blocks}([\mathit{call} \ p(a, z)]_r^c) &= \{[\mathit{call} \ p(a, z)]_r^c\} \\ \mathit{labels}([\mathit{call} \ p(a, z)]_r^c) &= \{l_c, l_r\} \\ \mathit{flow}([\mathit{call} \ p(a, z)]_r^c) &= \end{aligned}$$

$$\begin{aligned} \mathit{init}([\mathit{call} \ p(a, z)]_r^c) &= l_c \\ \mathit{final}([\mathit{call} \ p(a, z)]_r^c) &= \{l_r\} \\ \mathit{blocks}([\mathit{call} \ p(a, z)]_r^c) &= \{[\mathit{call} \ p(a, z)]_r^c\} \\ \mathit{labels}([\mathit{call} \ p(a, z)]_r^c) &= \{l_c, l_r\} \\ \mathit{flow}([\mathit{call} \ p(a, z)]_r^c) &= \{(l_c; l_n), (l_x; l_r)\} \end{aligned}$$

where $\mathit{proc} \ p(\mathit{val} \ x, \mathit{res} \ y)$ is $l_n \ \mathit{Send}^{l_x}$ is in D_* .

- two *new* kinds of flows:⁴ **calling** and **returning**
- **static** dispatch only

⁴written slightly different(!)

For procedure declaration

$init(p) =$
 $final(p) =$
 $blocks(p) = \cup blocks(S)$
 $labels(p) =$
 $flow(p) =$

For procedure declaration

$$\mathit{init}(p) = l_n$$

$$\mathit{final}(p) = \{l_x\}$$

$$\mathit{blocks}(p) = \{i_s^{l_n}, \mathit{end}^{l_x}\} \cup \mathit{blocks}(S)$$

$$\mathit{labels}(p) = \{l_n, l_x\} \cup \mathit{labels}(S)$$

$$\mathit{flow}(p) = \{(l_n, \mathit{init}(S))\} \cup \mathit{flow}(S) \cup \{(l, l_x) \mid l \in \mathit{final}(S)\}$$

Flow graph of complete program

$$init_* = init(S_*)$$

$$final_* = final(S_*)$$

$$blocks_* = \bigcup \{ blocks(p) \mid \text{proc } p(\text{val } x, \text{res } y) \text{ is } ^{l_n} S \text{ end } ^{l_x} \in D_* \} \\ \cup blocks(S_*)$$

$$labels_* = \bigcup \{ labels(p) \mid \text{proc } p(\text{val } x, \text{res } y) \text{ is } ^{l_n} S \text{ end } ^{l_x} \in D_* \} \\ \cup labels(S_*)$$

$$flow_* = \bigcup \{ flow(p) \mid \text{proc } p(\text{val } x, \text{res } y) \text{ is } ^{l_n} S \text{ end } ^{l_x} \in D_* \} \\ \cup flow(S_*)$$

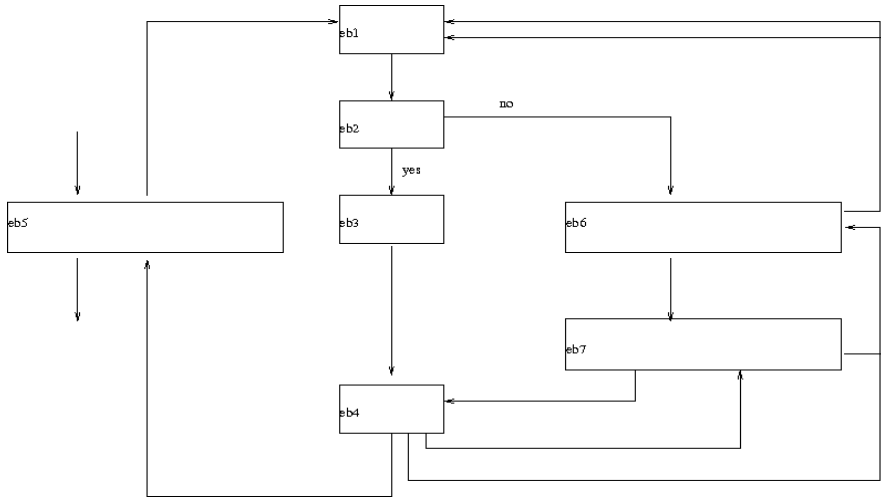
Interprocedural flow

- inter-procedural: from call-site to procedure, and back:
 $(l_c; l_n)$ and $(l_x; l_r)$.
- more **precise** (=better) capture of flow:

$inter-flow_* = \{(l_c, l_n, l_x, l_r) \mid P_* \text{ contains } [\text{call } p(a, z)]_{l_r}^{l_c} \text{ and } \text{proc}(\text{val } x, \text{res } y) \text{ is } l_n \text{ Ser}$

abbreviation: IF for $inter-flow_*$ or $inter-flow_*^R$

Example: fibonacci flow



Semantics: stores, locations,...

- not only new **syntax**
- new semantical concept: **local data!**
 - different “incarnations” of a variable \Rightarrow **locations**
 - remember: $\sigma \in \mathbf{State} = \mathbf{Var}_* \rightarrow \mathbf{Z}$

$\xi \in$	Loc	locations
$\rho \in$	Env = $\mathbf{Var}_* \rightarrow \mathbf{Loc}$	environment
$\varsigma \in$	Store = $\mathbf{Loc} \rightarrow_{fin} \mathbf{Z}$ (partial functions)	store

- $\sigma = \varsigma \circ \rho$: total $\Rightarrow \mathit{ran}(\rho) \subseteq \mathit{dom}(\varsigma)$
- top-level environment: ρ_* : all var's are mapped to unique locations

- steps **relative** to **environment** ρ

$$\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \langle \acute{\mathbf{S}}, \acute{\varsigma} \rangle$$

or

$$\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \acute{\varsigma}$$

- old rules needs to be adapted
-

$$\xi_1, \xi_2 \notin \text{dom}(\varsigma) \quad v \in \mathbf{Z}$$

$$\text{proc } p(\text{val } x, \text{res } y) \text{ is } {}^{\text{ln}} \mathbf{S} \text{ end}^{\text{lx}} \in D_*$$

$$\acute{\varsigma} =$$

$$\rho \vdash_* \langle [\text{call } p(\mathbf{a}, z)]_r^c, \varsigma \rangle \rightarrow \langle \text{bind } \rho[x \mapsto \xi_1][y \mapsto \xi_2] \text{ in } \mathbf{S} \text{ then } z := y, \acute{\varsigma} \rangle$$

Steps

- steps relative to environment ρ

$$\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \langle \acute{\mathbf{S}}, \acute{\varsigma} \rangle$$

or

$$\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \acute{\varsigma}$$

- old rules needs to be adapted

$$\begin{array}{c} \xi_1, \xi_2 \notin \text{dom}(\varsigma) \quad v \in \mathbf{Z} \\ \text{proc } p(\text{val } x, \text{res } y) \text{ is}^{\text{ln}} \mathbf{S} \text{end}^{\text{lx}} \in D_* \\ \acute{\varsigma} = \varsigma[\xi_1 \mapsto [\mathbf{a}]_{\varsigma \circ \rho}^{\mathbf{A}}][\xi_2 \mapsto v] \\ \hline \rho \vdash_* \langle [\text{call } p(\mathbf{a}, z)]_r^{\text{lc}}, \varsigma \rangle \rightarrow \langle \text{bind } \rho[x \mapsto \xi_1][y \mapsto \xi_2] \text{ in } \mathbf{S} \text{ then } z := y, \acute{\varsigma} \rangle \end{array}$$

$$\frac{\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \langle \hat{\mathbf{S}}, \hat{\varsigma} \rangle}{\rho \vdash_* \langle \mathbf{bind} \ \rho \ \mathbf{in} \ \mathbf{S} \ \mathbf{then} \ \mathbf{z} := \mathbf{y}, \varsigma \rangle \rightarrow} \text{BIND}_1$$

$$\frac{\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \hat{\varsigma}}{\rho \vdash_* \langle \mathbf{bind} \ \rho \ \mathbf{in} \ \mathbf{S} \ \mathbf{then} \ \mathbf{z} := \mathbf{y}, \varsigma \rangle \rightarrow} \text{BIND}_2$$

- bind-syntax: “runtime syntax”
- ⇒ formulation of correctness must be adapted, too (Chap. 3)

$$\frac{\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \langle \hat{\mathbf{S}}, \hat{\varsigma} \rangle}{\rho \vdash_* \langle \mathbf{bind} \ \rho \ \mathbf{in} \ \mathbf{S} \ \mathbf{then} \ \mathbf{z} := \mathbf{y}, \varsigma \rangle \rightarrow \langle \mathbf{bind} \ \rho \ \mathbf{in} \ \hat{\mathbf{S}} \ \mathbf{then} \ \mathbf{z} := \mathbf{y}, \hat{\varsigma} \rangle} \text{BIND}_1$$

$$\frac{\rho \vdash_* \langle \mathbf{S}, \varsigma \rangle \rightarrow \hat{\varsigma}}{\rho \vdash_* \langle \mathbf{bind} \ \rho \ \mathbf{in} \ \mathbf{S} \ \mathbf{then} \ \mathbf{z} := \mathbf{y}, \varsigma \rangle \rightarrow \hat{\varsigma}[\rho(\mathbf{z}) \mapsto \hat{\varsigma}(\rho(\mathbf{y}))]} \text{BIND}_2$$

- bind-syntax: “runtime syntax”
- ⇒ formulation of correctness must be adapted, too (Chap. 3)

Naive formulation

- first attempt
- assumptions:
 - for each **proc. call**: 2 transfer functions: f_{l_c} (call) and f_{l_r} (return)
 - for each **proc. definition**: 2 transfer functions: f_{l_n} (enter) and f_{l_x} (exit)
- given: **mon. framework** $(L, \mathcal{F}, F, E, \iota, f)$
- inter-proc. edges $(l_c; l_n)$ and $(l_x; l_r)$ = ordinary flow edges (l_1, l_2)
- ignore **parameter passing**: *transfer* functions for proc. calls/proc definitions are **identity**

Equation system

$$A_{\bullet}(I) = f_I(A_{\circ}(I))$$

$$A_{\circ}(I) = \bigsqcup \{A_{\bullet}(I') \mid (I', I) \in F \text{ or } (I'; I) \in F\} \vee \iota_E^I$$

with

$$\iota_E^I = \begin{cases} \iota & \text{if } I \in E \\ \perp & \text{if } I \notin E \end{cases}$$

- analysis: **safe**
- unnecessary **unprecise**/too abstract

- restrict attention to **valid** (“possible”) paths
- ⇒ capture the **nesting** structure
- from MOP to **MVP**: “meet over all *valid* paths”
 - **complete** path:
 - appropriate **nesting**
 - all calls are answered

Complete paths

- given $P_* = \text{begin } D_* S_* \text{ end}$
- CP_{l_1, l_2} : **complete** paths from l_1 to l_2
- generated by the following **productions** (l 's are the terminals)⁵

$$\frac{}{CP_{l,l} \longrightarrow l}$$

$$\frac{(l_1, l_2) \in F}{CP_{l_1, l_3} \longrightarrow l_1, CP_{l_2, l_3}}$$

$$\frac{(l_c, l_n, l_x, l_r) \in IF}{CP_{l_c, l} \longrightarrow l_c, CP_{l_n, l_x}, CP_{l_r, l}}$$

⁵We assume forward analysis here.

Example: Fibonacci

- grammar for fibonacci program:

$$\begin{aligned} CP_{9,10} &\longrightarrow 9, CP_{1,8}, CP_{10,10} \\ CP_{10,10} &\longrightarrow 10 \\ CP_{1,8} &\longrightarrow 1, CP_{2,8} \\ CP_{2,8} &\longrightarrow 2, CP_{3,8} \\ CP_{2,8} &\longrightarrow 2, CP_{4,8} \\ CP_{3,8} &\longrightarrow 3, CP_{8,8} \\ CP_{8,8} &\longrightarrow 8 \\ CP_{4,8} &\longrightarrow 4, CP_{1,8}, CP_{5,8} \\ CP_{5,8} &\longrightarrow 5, CP_{6,8} \\ CP_{6,8} &\longrightarrow 6, CP_{1,8}, CP_{7,8} \\ CP_{7,8} &\longrightarrow 7, CP_{8,8} \end{aligned}$$

Valid paths

- **valid** path:
 - start at extremal node (E),
 - all proc **exits** have matching **entries**
 - generated by non-terminal VP_*
-

$$\frac{l_1 \in E \quad l_2 \in \mathbf{Lab}_*}{VP_* \longrightarrow VP_{l_1, l_2}} \quad \frac{}{VP_{l, l} \longrightarrow l}$$

$$\frac{(l_1, l_2) \in F}{VP_{l_1, l_3} \longrightarrow l_1, VP_{l_2, l_3}}$$

$$\frac{(l_c, l_n, l_x, l_r) \in IF}{VP_{l_c, l} \longrightarrow l_c, CP_{l_n, l_x}, VP_{l_r, l}} \quad \frac{(l_c, l_n, l_x, l_r) \in IF}{VP_{l_c, l} \longrightarrow l_c, VP_{l_n, l}}$$

- adapt the definition of paths

$$vpath_{\circ}(I) = \{[l_1, \dots, l_{n-1}] \mid l_n = I \wedge [l_1, \dots, l_n] \text{ valid}\}$$

$$vpath_{\bullet}(I) = \{[l_1, \dots, l_n] \mid l_n = I \wedge [l_1, \dots, l_n] \text{ valid}\}$$

- MVP solution:

$$MVP_{\circ}(I) = \sqcup \{f_{\vec{l}}(v) \mid \vec{l} \in vpath_{\circ}(I)\}$$

$$MVP_{\bullet}(I) = \sqcup \{f_{\vec{l}}(v) \mid \vec{l} \in vpath_{\bullet}(I)\}$$

Contexts

- MVP/MOP *undecidable* but more precise than basic MFP
- ⇒ instead of MVP: “**embellish**” MFP

$$\delta \in \Delta \quad (13)$$

- for instance: representing/recording of the **path** taken
- ⇒ “embellishment”:⁶ adding **contexts**

embellished monotone framework

$$(\hat{L}, \hat{\mathcal{F}}, F, E, \hat{t}, \hat{f})$$

- intra-procedural (*independent* of Δ)
- inter-procedural

⁶Here, notationally indicated by a hat on top.

- this part: **independent** of Δ
 - property **lattice**: $\hat{L} = \Delta \rightarrow L$
 - mononote functions $\hat{\mathcal{F}}$
 - transfer functions: **pointwise**

$$\hat{f}_l(\hat{l})(\delta) = f_l(\hat{l}(\delta)) \quad (14)$$

- flow equations: “unchanged” for intra-proc. part

$$\begin{aligned} A_{\bullet}(l) &= \hat{f}_l(A_{\circ}(l)) \\ A_{\circ}(l) &= \bigsqcup \{A_{\bullet}(l') \mid (l', l) \in F \text{ or } (l'; l) \in F\} \vee l \hat{l}_E \end{aligned} \quad (15)$$

- in equation for A_{\bullet} : except for labels l for proc. calls (i.e., not l_c and l_r)

Sign analysis

- **Sign** = $\{-, 0, +\}$, $L_{sign} = 2^{\mathbf{Var}_* \rightarrow \mathbf{Sign}}$
- abstract states $\sigma^{sign} \in L_{sign}$
- for *expressions*: $[_]_-^{A_{sign}} : \mathbf{AExp} \rightarrow (\mathbf{Var}_* \rightarrow \mathbf{Sign}) \rightarrow 2^{\mathbf{Sign}}$
- **transfer function** for $[x := a]^l$

$$f_l^{sign}(Y) = \bigcup \{ \phi_l^{sign}(\sigma^{sign}) \mid \sigma^{sign} \in Y \} \quad (16)$$

where $Y \subseteq \mathbf{Var}_* \rightarrow \mathbf{Sign}$ and

$$\phi_l^{sign}(\sigma^{sign}) = \{ \sigma^{sign}[x \mapsto s] \mid s \in [a]_{\sigma^{sign}}^{A_{sign}} \} \quad (17)$$

Sign analysis: embellished

$$\hat{L}_{sign} = \Delta \rightarrow L_{sign} = \Delta \rightarrow 2^{\mathbf{Var}_* \rightarrow \mathbf{Sign}} \simeq 2^{\Delta \times (\mathbf{Var}_* \rightarrow \mathbf{Sign})} \quad (18)$$

- transfer function for $[x := a]^l$

$$\hat{f}_l^{sign}(Z) = \bigcup \{ \{\delta\} \times \phi_l^{sign}(\sigma^{sign}) \mid (\delta, \sigma^{sign}) \in Z \} \quad (19)$$

- procedure **definition** $\text{proc}(\text{val } x, \text{res } y) \text{ is}^{ln} \text{Send}^{lx}$:

$$\hat{f}_{l_n}, \hat{f}_{l_x} : (\Delta \rightarrow L) \rightarrow (\Delta \rightarrow L) = id$$

- procedure **call**: $(l_c, l_n, l_x, l_r) \in IF$
- here: forward analysis
- **call**: 2 transfer functions/2 sets of equations, i.e., for all $(l_c, l_n, l_x, l_r) \in IF$

1. for calls:

- $\hat{f}_{l_c}^1 : (\Delta \rightarrow L) \rightarrow (\Delta \rightarrow L)$

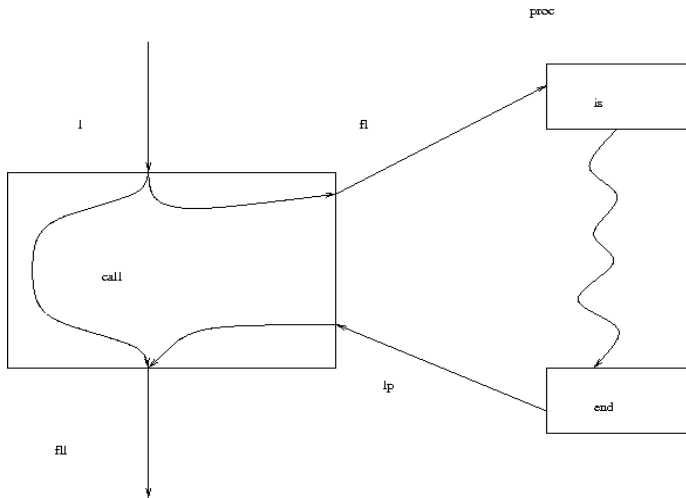
$$A_{\bullet}(l_c) = \hat{f}_{l_c}^1(A_{\circ}(l_c)) \quad (20)$$

2. for returns:

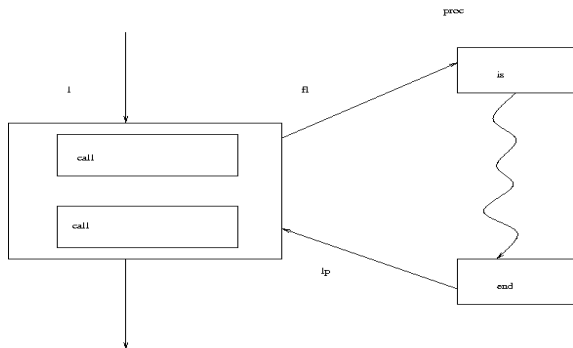
- $\hat{f}_{l_c, l_r}^2 : (\Delta \rightarrow L) \times (\Delta \rightarrow L) \rightarrow (\Delta \rightarrow L)$

$$A_{\bullet}(l_r) = \hat{f}_{l_c, l_r}^2(A_{\circ}(l_c), A_{\circ}(l_r)) \quad (21)$$

Procedure call

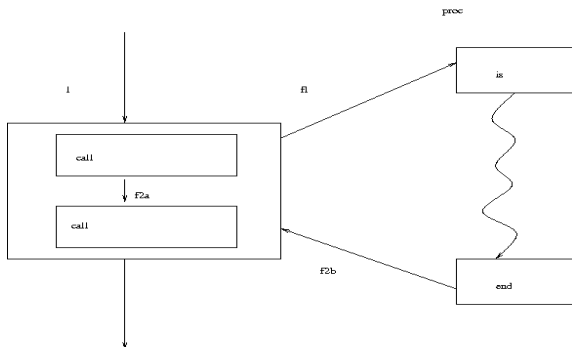


$$\hat{f}_{l_c, l_r}^2(\hat{l}, \hat{l}') = \hat{f}_{l_r}^2(\hat{l}')$$



Merging call context

$$\hat{f}_{l_c, l_r}^2(\hat{l}, \hat{l}') = \hat{f}_{l_c, l_r}^{2A}(\hat{l}) \vee \hat{f}_{l_c, l_r}^{2B}(\hat{l}')$$



Context sensitivity

- IF-edges: allow to relate returns to matching calls⁷
 - **context insensitive**: proc-body analysed **combining** flow information from **all** call-sites.
 - **contexts**: can be used to distinguish different call-sites
- ⇒ context **sensitive** analysis ⇒ more precision + more effort

In the following: 2 specializations:

1. control (“call strings”)
2. data

⁷at least in the MVP-approach.

Call strings

- context = path
- concentrating on **calls**: flow-edges (l_c, l_n) , where just l_c is recorded

$$\Delta = \mathbf{Lab}^* \quad \text{call strings}$$

- **extremal** value

$$\hat{l}(\delta) =$$

Call strings

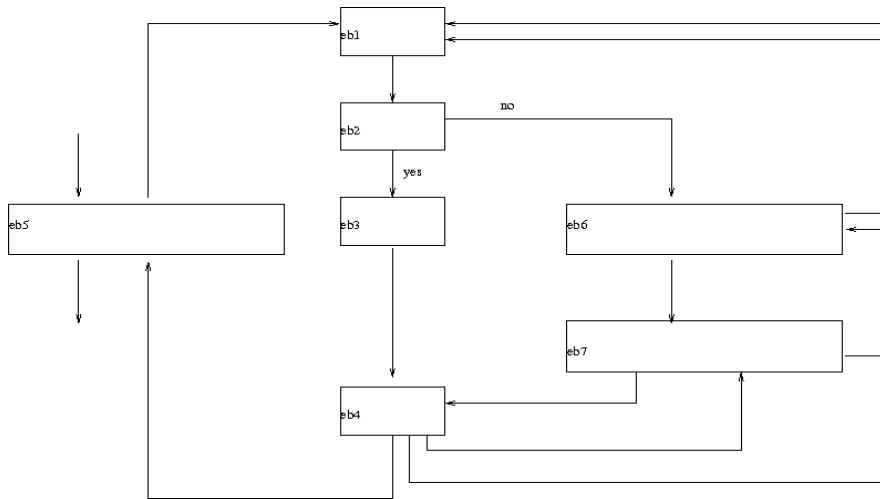
- context = path
- concentrating on **calls**: flow-edges (l_c, l_n) , where just l_c is recorded

$$\Delta = \mathbf{Lab}^* \quad \text{call strings}$$

- **extremal** value

$$\hat{i}(\delta) = \begin{cases} \iota & \text{if } \delta = \epsilon \\ \perp & \text{otherwise} \end{cases}$$

Example: fibonacci flow



Example: Fibonacci

some call strings:

ϵ , [9], [9, 4], [9, 6], [9, 4, 4], [9, 4, 6], [9, 6, 4], [9, 6, 6], \dots

Transfer functions for call strings

- here: forward analysis
- 2 cases: define $\hat{f}_{l_c}^1$ and \hat{f}_{l_c, l_r}^2
 - **calls** (basically: check that the path **ends** with l_c):

$$\begin{aligned}\hat{f}_{l_c}^1(\hat{l})([\delta, l_c]) &= f_{l_c}^1(\hat{l}(\delta)) \\ \hat{f}_{l_c}^1(-) &= \perp\end{aligned}\quad (22)$$

- **returns** (basically: **match** return with the call)

$$\hat{f}_{l_c, l_r}^2(\hat{l}, \hat{l}')(\delta) = f_{l_c, l_r}(\hat{l}(\delta), \hat{l}'([\delta, l_c])) \quad (23)$$

- Note: **connection** between the arguments (via δ) of f_{l_c, l_r}
- Notation: $[\hat{\delta}, l_c]$: concatenation of calls string
- l' : at procedure exit.

calls: abstract parameter-passing + glueing calls-returns

$$\Phi_{l_c}^{sign1}(\sigma^{sign}) = \{ \sigma^{sign}[\mapsto][\mapsto] \mid \mathbf{s} \in [\mathbf{a}]_{\sigma^{sign}}^{A^{sign}}, \}$$

returns (analogously)

$$\Phi_{l_c, l_r}^{sign2}(\sigma_1^{sign}, \sigma_2^{sign}) = \{ \sigma_2^{sign}[\mapsto] \}$$

(formal params: x, y , call-site return variable z)

Sign analysis

calls: abstract parameter-passing + glueing calls-returns

$$\Phi_{l_c}^{sign1}(\sigma^{sign}) = \{\sigma^{sign}[x \mapsto s][y \mapsto s'] \mid s \in [a]_{\sigma^{sign}}^{A^{sign}}, s' \in \{-, 0, +\}\}$$

returns (analogously)

$$\Phi_{l_c, l_r}^{sign2}(\sigma_1^{sign}, \sigma_2^{sign}) = \{\sigma_2^{sign}[x, y, z \mapsto \sigma_1^{sign}(x), \sigma_1^{sign}(y), \sigma_2^{sign}(y)]\}$$

(formal params: x, y , call-site return variable z)

calls: abstract parameter-passing + glueing calls-returns

$$\begin{aligned}\hat{f}_{l_c}^{sign1}(Z) &= \bigcup \{ \{\delta'\} \times \Phi_{l_c}^{sign1}(\sigma^{sign}) \mid (\delta', \sigma^{sign}) \in Z, \delta' = [\delta, l_c] \} \\ \Phi_{l_c}^{sign1}(\sigma^{sign}) &= \{ \sigma^{sign}[x \mapsto s][y \mapsto s'] \mid s \in [a]_{\sigma^{sign}}^{A_{\sigma^{sign}}}, s' \in \{-, 0, +\} \}\end{aligned}$$

returns (analogously)

$$\begin{aligned}\hat{f}_{l_c, l_r}^{sign2}(Z, Z') &= \bigcup \{ \{\delta\} \times \Phi_{l_c, l_r}^{sign2}(\sigma_1^{sign}, \sigma_2^{sign}) \mid \begin{array}{l} (\delta, \sigma_1^{sign}) \in Z \\ (\delta', \sigma_2^{sign}) \in Z' \\ \delta' = [\delta, l_c] \end{array} \} \\ \Phi_{l_c, l_r}^{sign2}(\sigma_1^{sign}, \sigma_2^{sign}) &= \{ \sigma_2^{sign}[x, y, z \mapsto \sigma_1^{sign}(x), \sigma_1^{sign}(y), \sigma_2^{sign}(y)] \}\end{aligned}$$

(formal params: x, y , call-site return variable z)

Call strings of bounded length

- recursion \Rightarrow call-strings of unbounded length
- \Rightarrow restrict the length

$$\Delta = \mathbf{Lab}^{\leq k} \quad \text{for some } k \geq 0$$

- for $k = 0$ context-insensitive ($\Delta = \{\epsilon\}$)

Assumption sets

- **alternative** to call strings
- not tracking the **path**, but assumption about the **state**
- assume here: $L = 2^D$

$$\Rightarrow \hat{L} = \Delta \rightarrow L \simeq 2^{\Delta \times D}$$

- restrict to only **the last call**⁸
- dependency on **data** only \Rightarrow
- **(large) assumption set** context

$$\Rightarrow \Delta = 2^D$$

- $\hat{\iota} = \{(\{\iota\}, \iota)\}$ initial context

⁸corresponds to $k = 1$

Transfer functions

- calls

$$\hat{f}_{l_c}^1(Z) = \bigcup \{ \{\delta'\} \times \Phi_{l_c}^1(d) \mid (\delta, d) \in Z \wedge \delta' = \}$$

where $\Phi_{l_c}^1 : D \rightarrow 2^D$

- return

$$\hat{f}_{l_c, l_r}^2(Z, Z') = \bigcup \{ \{\delta\} \times \Phi_{l_c, l_r}^2(d, d') \mid (\delta, d) \in Z \wedge (\delta', d') \in Z' \wedge \delta' = \}$$

Transfer functions

- calls

$$\hat{f}_{l_c}^1(Z) = \bigcup \{ \{\delta'\} \times \Phi_{l_c}^1(d) \mid \begin{array}{l} (\delta, d) \in Z \wedge \\ \delta' = \{d'' \mid (\delta, d'') \in Z\} \end{array} \}$$

where $\Phi_{l_c}^1 : D \rightarrow 2^D$

- return

$$\hat{f}_{l_c, l_r}^2(Z, Z') = \bigcup \{ \{\delta\} \times \Phi_{l_c, l_r}^2(d, d') \mid \begin{array}{l} (\delta, d) \in Z \wedge \\ (\delta', d') \in Z' \wedge \\ \delta' = \{d'' \mid (\delta, d'') \in Z\} \end{array} \}$$

Small assumption sets

- throw away even more information.

$$\Delta = D$$

- instead of $2^D \times D$: now only $D \times D$.
- transfer functions simplified
 - call

$$\hat{f}_{l_c}^1(Z) = \bigcup \{ \{\delta\} \times \Phi_{l_c}^1(d) \mid (\delta, d) \in Z \}$$

- return

$$\hat{f}_{l_c, l_r}^2(Z, Z') = \bigcup \{ \{\delta\} \times \Phi_{l_c, l_r}^2(d, d') \mid \begin{array}{l} (\delta, d) \in Z \wedge \\ (\delta, d') \in Z' \end{array} \}$$

Flow-(in-)sensitivity

- “execution order” influences result of the analysis:

$S_1; S_2$ vs. $S_2; S_1$

- flow **in**-sensitivity: order is irrelevant
- less **precise** (but “cheaper”)
- for instance: *kill* is empty
- sometimes useful in combination with inter-proc. analysis

Set of assigned variables

- for procedure p : determine

$$IAV(p)$$

global variables that may be assigned to (also indirectly) when p is called

- two aux. definitions (straightforwardly defined, obviously flow-insensitive)
 - $AV(S)$: assigned variables in S
 - $CP(S)$: called procedures in S

$$IAV(p) = (AV(S) \setminus \{x\}) \cup \bigcup \{IAV(p') \mid p' \in CP(S)\} \quad (24)$$

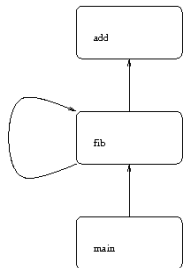
where $\text{proc } p(\text{val } x, \text{res } y) \text{ is } ^{ln} S \text{ end}^{lx} \in D_*$

- $CP \Rightarrow$ **procedure call graph** (which procedure calls which one; see example)

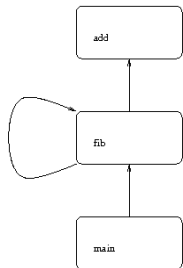
Example

```
begin  proc fib(val z) is
        if    [z < 3]
        then  [call add(a)]
        else  [call fib(z - 1)];
            [call fib(z - 2)]
        end;
    proc add(val u) is (y := y + 1; u := 0)
    end
    y := 0; [call fib(x)]
end
```

Example



Example



$$\begin{aligned} IAV(\text{fib}) &= (\emptyset \setminus \{z\}) \cup IAV(\text{fib}) \cup IAV(\text{add}) \\ IAV(\text{add}) &= \{y, u\} \setminus \{u\} \end{aligned}$$

\Rightarrow smallest solution

$$IAV(\text{fib}) = \{y\}$$

- further extension of While-language
- *plus*: **heap** allocated data structures⁹
- use: warnings for illegal dereferencing
- also: “verification” for simple properties

⁹so far: global vars + stack allocated local vars

- new: “cells” on the heap
- access via **selectors**:

$sel \in \mathbf{Sel}$ selector names

- example in Lisp: `car` and `cdr`
- in the notation here $x.cdr$
- here: no **nested** selector expressions (for simplicity)
- **pointer expressions**

$p \in \mathbf{PExp}$

$p ::= x \mid x.sel$

- `nil`: new constant

$a ::= p \mid x \mid n \mid a \text{ op}_a a$	arithm. expressions
$b ::= \text{true} \mid \text{false} \mid \text{not } b \mid b \text{ op}_b b \mid a \text{ op}_r a$	boolean expr.
$S ::= [x := a]' \mid [\text{skip}]' \mid S_1; S_2$ $\mid \text{if}[b]' \text{ then } S \text{ else } S \mid \text{while}[b]' \text{ do } S$ $\mid [\text{malloc } p]'$	statements

Table: Abstract syntax

Syntax: Remarks

- note: **no** pointer arithmetic
- operations (expressions) on pointers
 - equality testing for pointers: new boolean expression
 - op_p : some unary operators (is-nil or has-*sel* for each $\text{sel} \in \mathbf{Sel}$)
- **assignment**

$$p := a$$

two forms

- p is a variable: as before
- p is selector expression: **heap update**

Example: list reversal

```
[y := nil]1
while [not is-nil(x)]2
do ( [z := y]3
     [y := x]4
     [x := x.cdr]5
     [y.cdr := z]6 );
[z := nil]7
```

$\xi \in \mathbf{Loc}$ locations

states

$$\sigma \in \mathbf{State} = \mathbf{Var}_* \rightarrow (\mathbf{Z} + \mathbf{Loc} + \{\diamond\})$$

\diamond : constant.

heap

$$\mathcal{H} \in \mathbf{Heap} = (\mathbf{Loc} \times \mathbf{Sel}) \rightarrow_{fin} (\mathbf{Z} + \mathbf{Loc} + \{\diamond\}) \quad (25)$$

- \rightarrow_{fin} : partial function: newly created cells: uninitialized

Pointer expressions

semantics function for pointer expressions

$$[-]_{-}^{\mathcal{P}} : \mathbf{PExp}_{*} \rightarrow$$

$$[x]_{\sigma, \mathcal{H}}^{\mathcal{P}} =$$

$$[x.sel]_{\sigma, \mathcal{H}}^{\mathcal{P}} =$$

Pointer expressions

semantics function for pointer expressions

$$[-]_-^{\mathcal{P}} : \mathbf{PExp}_* \rightarrow (\mathbf{State} \times \mathbf{Heap}) \rightarrow_{fin} (\mathbf{Z} + \mathbf{Loc} + \{\diamond\})$$

$$\begin{aligned} [x]_{\sigma, \mathcal{H}}^{\mathcal{P}} &= \sigma(x) \\ [x.sel]_{\sigma, \mathcal{H}}^{\mathcal{P}} &= \begin{cases} \mathcal{H}(\sigma(x), sel) & \text{if } \sigma(x) \in \mathbf{Loc} \text{ and } \mathcal{H} \text{ is defined on } (\sigma(x), sel) \\ undef & \text{if } \sigma(x) \notin \mathbf{Loc} \text{ or } \mathcal{H} \text{ is undefined on } (\sigma(x), sel) \end{cases} \end{aligned}$$

$[-]_{-}^A : \mathbf{AExp} \rightarrow (\mathbf{State} \times \mathbf{Heap}) \rightarrow_{fin} (\mathbf{Z} + \mathbf{Loc} \rightarrow \{\diamond\})$

$$\begin{aligned} [\rho]_{\sigma, \mathcal{H}}^A &= [\rho]_{\sigma, \mathcal{H}}^P \\ [n]_{\sigma, \mathcal{H}}^A &= \mathcal{N}(n) \\ [a_1 \text{ op}_a a_2]_{\sigma, \mathcal{H}}^A &= [a_1]_{\sigma, \mathcal{H}}^A \text{ op}_a [a_2]_{\sigma, \mathcal{H}}^A \\ [\text{nil}]_{\sigma, \mathcal{H}}^A &= \diamond \end{aligned}$$

- op_a : (re-)interpreted “**strictly**”: both arguments must be defined integers

Boolean expressions

$$[-]_-^{\mathbf{B}} : \mathbf{BExp} \rightarrow (\mathbf{State} \times \mathbf{Heap}) \rightarrow_{fin} \mathbf{B}$$

$$[a_1 \text{ op}_r a_2]_{\sigma, \mathcal{H}}^{\mathbf{B}} = [a_1]_{\sigma, \mathcal{H}}^{\mathbf{A}} \text{ op}_r [a_2]_{\sigma, \mathcal{H}}^{\mathbf{A}}$$

$$[\text{op}_p \rho]_{\sigma, \mathcal{H}}^{\mathbf{B}} = \text{op}_p ([\rho]_{\sigma, \mathcal{H}}^{\mathbf{P}})$$

- op_r : likewise (re-)interpreted “**strictly**”: both arguments must be defined and **both** integers or both pointers
- op_p : as needed, for instance

$$\text{is-nil}(v) = \begin{cases} \text{true} & \text{if } v = \diamond \\ \text{false} & \text{otherwise} \end{cases}$$

Semantics: statements

$$\frac{[a]_{\sigma, \mathcal{H}}^A \text{ is defined}}{\langle [x := a]^l, \sigma, \mathcal{H} \rangle \rightarrow} \text{ASSGN}_{\text{state}}$$

$$\frac{}{\langle [x.sel := a]^l, \sigma, \mathcal{H} \rangle \rightarrow} \text{ASSGN}_{\text{heap}}$$

$$\frac{}{\langle [\text{malloc } x]^l, \sigma, \mathcal{H} \rangle \rightarrow} \text{MALLOC}_{\text{state}}$$

$$\frac{\xi \text{ fresh} \quad \sigma(x) \in \mathbf{Loc}}{\langle [\text{malloc } x.sel]^l, \sigma, \mathcal{H} \rangle \rightarrow} \text{MALLOC}_{\text{heap}}$$

Semantics: statements

$$\frac{[a]_{\sigma, \mathcal{H}}^A \text{ is defined}}{\langle [x := a]^l, \sigma, \mathcal{H} \rangle \rightarrow \langle \sigma[x \mapsto [a]_{\sigma, \mathcal{H}}^A], \mathcal{H} \rangle} \text{ASSGN}_{\text{state}}$$

$$\frac{\sigma(x) \in \mathbf{Loc} \quad [a]_{\sigma, \mathcal{H}}^A \text{ is defined}}{\langle [x.sel := a]^l, \sigma, \mathcal{H} \rangle \rightarrow \langle \sigma, \mathcal{H}[(\sigma(x), sel) \mapsto [a]_{\sigma, \mathcal{H}}^A] \rangle} \text{ASSGN}_{\text{heap}}$$

$$\frac{\xi \text{ fresh}}{\langle [\text{malloc } x]^l, \sigma, \mathcal{H} \rangle \rightarrow \langle \sigma[x \mapsto \xi], \mathcal{H} \rangle} \text{MALLOC}_{\text{state}}$$

$$\frac{\xi \text{ fresh} \quad \sigma(x) \in \mathbf{Loc}}{\langle [\text{malloc } x.sel]^l, \sigma, \mathcal{H} \rangle \rightarrow \langle \sigma, \mathcal{H}[(\sigma(x), sel) \mapsto \xi], \mathcal{H} \rangle} \text{MALLOC}_{\text{heap}}$$

Shape graphs

- heap can be **arbitrarily large**
- ⇒ **finite, abstract representation**: **shape graphs** (S, H, is)
- **abstract state**: S
 - **abstract heap**: H
 - **sharing information**: is.
- **5 invariants** to regulate/describe their connection

Abstract locations

- notation n_X

$$\mathbf{ALoc} = \{n_X \mid X \subseteq \mathbf{Var}_*\} \quad (26)$$

- for $x \in X$, n_X represents location $\sigma(x)$
- n_\emptyset : abstract **summary** location: locations to which the σ does not point directly.

Invariant 1: If two abstract locations n_X and n_Y occur in the same shape graph, then either

- $X = Y$, or
- $X \cap Y = \emptyset$.

Abstract states

- abstraction of state
- ⇒ mapping var's to **abstract** locations

Invariant 2: If x mapped to n_x by the abstract state, then $x \in X$

$$S \in \mathbf{AState} = 2^{\mathbf{Var}_* \times \mathbf{ALoc}} (\simeq \mathbf{Var}_* \rightarrow 2^{\mathbf{ALoc}}) \quad (27)$$

- locations occurring in S :

$$ALoc(S) = \{n_x \mid \exists x. (x, n_x) \in S\}$$

$$H \in \mathbf{AHeap} = 2^{\mathbf{ALoc} \times \mathbf{Sel} \times \mathbf{ALoc}} (= \mathbf{ALoc} \times \mathbf{Sel} \rightarrow 2^{\mathbf{ALoc}}) \quad (28)$$

$$ALoc(H) = \{n_V, n_W \mid \exists sel. (n_V, sel, n_W) \in H\}$$

- “abstraction”:

$$\begin{array}{ccc} n_V & \xrightarrow{sel} & n_W \\ \uparrow & & \uparrow \\ \xi_1 & \xrightarrow{\mathcal{H}(-, sel)} & \xi_2 \end{array}$$

Abstract heap (2)

- concrete heap: selection is “functional”
- abstract heap: almost, but not quite, exception: n_\emptyset

Invariant 3: Whenever (n_V, sel, n_W) and $(n_V, sel, n_{W'})$ are in the abstract heap, then either $V = \emptyset$ or $W = W'$.

Example: list reversal

$S_2 =$

$H_2 =$

Example: list reversal

$$S_2 = \{(x, n_{\{x\}}), (y, n_{\{y\}}), (z, n_{\{z\}})\}$$

$$H_2 = (n_{\{x\}}, \text{cdr}, n_{\emptyset}), (n_{\emptyset}, \text{cdr}, n_{\emptyset}), (n_{\{y\}}, \text{cdr}, n_{\{z\}})$$

- no edge $(n_{\{z\}}, \text{cdr}, n_{\emptyset})$

Sharing information

- we have sharing for locations reachable by var's (**aliasing**) but **not further**
- we can do better

⇒ **is**

- predicate/subset of abstract locations
- characterizing **sharing** aliasing on the heap
- contains: locations shared by pointers **on the heap**
- also **implicit**¹⁰ sharing, sharing on the abstract heap

¹⁰the explicit one is the one as inherited from the real heap, and captured in **is**.

Invariant 4: If $n_X \in \text{is}$, then either

- $(n_\emptyset, \text{sel}, n_X)$ is in the abstract heap for some sel , or
- there exists 2 distinct triples (n_V, sel_1, n_X) and (n_W, sel_2, n_X) in the abstract heap (i.e., either $\text{sel}_1 \neq \text{sel}_2$ or $V \neq W$)

Invariant 5: Whenever there are 2 distinct triples (n_V, sel_1, n_X) and (n_W, sel_2, n_X) in the abstract heap and $n_X \neq n_\emptyset$, then $n_X \in \text{is}$.

Shape graphs: summary

$$\begin{aligned} S &\in \mathbf{AState} &= 2^{\mathbf{Var}_* \times \mathbf{ALoc}} \\ H &\in \mathbf{AHeap} &= 2^{\mathbf{ALoc} \times \mathbf{Sel} \times \mathbf{ALoc}} \\ \text{is} &\in \mathbf{IsShared} &= 2^{\mathbf{ALoc}} \end{aligned}$$

- **shape graph** (S, H, is) **compatible**

1. $\forall n_V, n_W \in \mathbf{ALoc}(S) \cup \mathbf{ALoc}(H) \cup \text{is}. V = W \text{ or } V \cap W = \emptyset$
2. $\forall (x, n_X) \in S. x \in X$
3. $\forall (n_V, \text{sel}, n_W), (n_V, \text{sel}, n_{W'}) \in H. V = \emptyset \text{ or } W = W'$
4. $\forall n_X \in \text{is}.$

$$\exists \text{sel}. (n_\emptyset, \text{sel}, n_X) \in \text{is} \vee$$

$$\exists (n_V, \text{sel}_1, n_X), (n_W, \text{sel}_2, n_X) \in H. \text{sel}_1 \neq \text{sel}_2 \vee V \neq W$$

5. $(n_V, \text{sel}_1, n_X), (n_W, \text{sel}_2, n_X) \in H.$
 $((\text{sel}_1 \neq \text{sel}_2 \vee V \neq W) \wedge X \neq \emptyset) \rightarrow n_X \in \text{is}$

- set of compatible shape graphs

$$SG = \{(S, H, is) \mid (S, H, is) \text{ is compatible}\}$$

- lattice 2^{SG} (finite)
- analysis **Shape**
 - forward
 - may

$$\begin{aligned} \text{Shape}_\circ(I) &= \begin{cases} \iota & \text{if } I = \text{init}(S) \\ \bigcup \{\text{Shape}_\bullet(I') \mid (I', I) \in \text{flow}(S_*)\} & \text{otherwise} \end{cases} \\ \text{Shape}_\bullet(I) &= f_I^{\text{SA}}(\text{Shape}_\circ(I))1 \end{aligned} \tag{29}$$

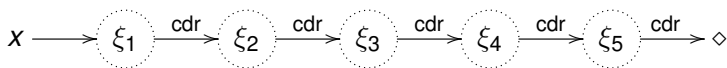
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```

Example: list reversal

$$\begin{aligned}\text{Shape}_\bullet(1) &= f_1^{\text{SA}}(\text{Shape}_\circ(1)) = f_1^{\text{SA}}(\iota) \\ \text{Shape}_\bullet(2) &= f_2^{\text{SA}}(\text{Shape}_\circ(2)) = f_2^{\text{SA}}(\text{Shape}_\bullet(1) \cup \text{Shape}_\bullet(6)) \\ \text{Shape}_\bullet(3) &= f_3^{\text{SA}}(\text{Shape}_\circ(3)) = f_3^{\text{SA}}(\text{Shape}_\bullet(2)) \\ \text{Shape}_\bullet(4) &= f_4^{\text{SA}}(\text{Shape}_\circ(4)) = f_4^{\text{SA}}(\text{Shape}_\bullet(3)) \\ \text{Shape}_\bullet(5) &= f_5^{\text{SA}}(\text{Shape}_\circ(5)) = f_5^{\text{SA}}(\text{Shape}_\bullet(4)) \\ \text{Shape}_\bullet(6) &= f_6^{\text{SA}}(\text{Shape}_\circ(6)) = f_6^{\text{SA}}(\text{Shape}_\bullet(5)) \\ \text{Shape}_\bullet(7) &= f_7^{\text{SA}}(\text{Shape}_\circ(7)) = f_7^{\text{SA}}(\text{Shape}_\bullet(2))\end{aligned}$$

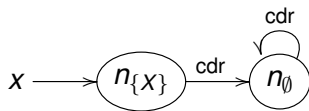
Example: list reversal, initial value



$y \longrightarrow \diamond$

z

Example: list reversal, initial value



Transfer function

- $f_l^{\text{SA}} : 2^{\text{SG}} \rightarrow 2^{\text{SG}}$
- defined *pointwise*:

$$f_l^{\text{SA}}(\text{SG}) = \tag{30}$$

Transfer function

- $f_l^{\text{SA}} : 2^{\text{SG}} \rightarrow 2^{\text{SG}}$
- defined *pointwise*:

$$f_l^{\text{SA}}(\text{SG}) = \bigcup \{ \phi_l^{\text{SA}}((S, H, \text{is})) \mid (S, H, \text{is}) \in \text{SG} \} \quad (30)$$

with

$$\phi_l^{\text{SA}} : \text{SG} \rightarrow 2^{\text{SG}} \quad (31)$$

Side-effect free commands

- for $[b]'$ and $[\text{skip}]'$

Side-effect free commands

- for $[b]'$ and $[\text{skip}]'$
- trivial

$$\phi_l^{\text{SA}}((S, H, \text{is})) = (S, H, \text{is})$$

Assignment (1)

- assignment of **value** to **variable**

$$[x := a]^l \quad \text{where } a \text{ is } n, a_1 \underset{a}{\text{op}} a_2, \text{nil}$$

- “renaming” of locations

$$k_x(n_Z) = n_Z \setminus \{x\}$$

$$\Phi_l^{\text{SA}}((S, H, \text{is})) = \{\text{kill}_x((S, H, \text{is}))\}$$

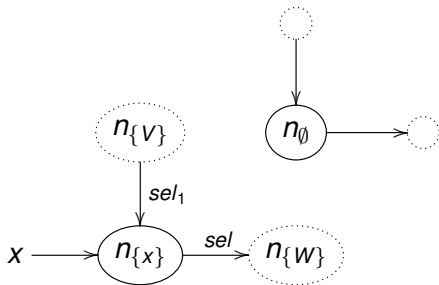
$$\text{kill}_x((S, H, \text{is})) = ((\acute{S}, \acute{H}, \acute{\text{is}})):$$

$$\acute{S} = \{(z, k_x(n_Z)) \mid (z, n_Z) \in S \quad z \neq x\}$$

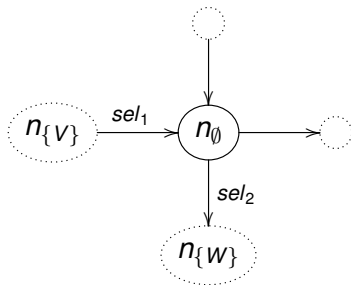
$$\acute{H} = \{(k_x(n_V), \text{sel}, k_k(n_W)) \mid (n_V, \text{sel}, n_W) \in H\}$$

$$\acute{\text{is}} = \{k_x(n_X) \mid n_X \in \text{is}\}$$

Assignment (1)



Assignment (1)



Assignment (2)

- assignment of **variable** to **variable**

$$x := y \quad \text{where } x \neq y$$

- the overriding for x : with the $kill_x$ as before

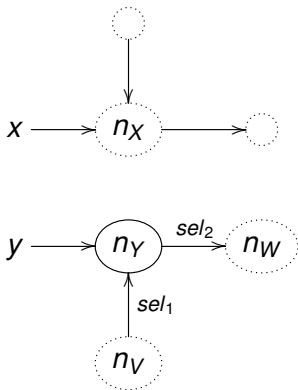
$$g_x^y(n_Z) = \begin{cases} n_{Z \cup \{x\}} & \text{if } y \in Z \\ n_Z & \text{otherwise} \end{cases}$$

$$\Phi_I^{SA}((S, H, is)) = \{S'', H'', is''\}$$

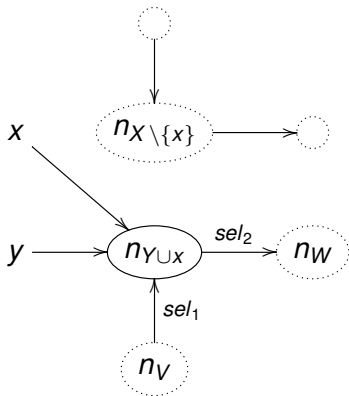
where $(S', H', is') = kill_x((S, H, is))$ and

$$\begin{aligned} S'' &= \{(z, g_x^y(n_Z)) \mid (z, n_Z) \in S'\} \\ &\quad \cup \{(x, g_x^y(n_Y)) \mid (y', n_Y) \in S', y' = y\} \\ H'' &= \{(g_x^y(n_V), sel, g_x^y(n_W)) \mid n_V, sel, n_W \in H'\} \\ is'' &= \{g_x^y(n_Z) \mid n_Z \in is'\} \end{aligned}$$

Assignment (2)



Assignment (2)



Assignment (3.a)

- Assignment of "selector" to variable

$[x := y.sel]^1$ where $y = x$

equivalent to

$[t := y.sel]^1, [x := t]^2; [t := nil]^3$

Assignment (3.b)

- Assignment of "selector" to variable

$$[x := y.sel]'$$
 where $y \neq x$

1. first step: $(S', H', is') = kill_x((S, H, is))$
2. "rename" abstract location appropriately
 - ① y or $y.sel$ is an integer, undefined, or nil
 - ② $y.sel$ defined and pointed at by some other variable (U)
 - ③ $y.sel$ defined but not pointed at by some other variable

Assignment (3.b.1)

- either:
 1. no abstract location n_Y s.t. $(y, n_Y) \in S'$ or
 2. there is an n_Y s.t. $(y, n_Y) \in S'$ but no n s.t. $(n_Y, sel, n) \in H'$.
- case 1: nothing changes:

$$\Phi_I^{SA}((S, H, is) = \{kill_x((S, H, is))\}$$

$[x := y.sel]'$ where $y \neq x$

- conditions

$$(y, n_Y) \in S' \quad \text{and} \quad (n_Y, sel, n_U) \in H'$$

$$h_x^U(n_Z) = \begin{cases} n_{U \cup \{x\}} & \text{if } Z = U \\ n_Z & \text{otherwise} \end{cases}$$

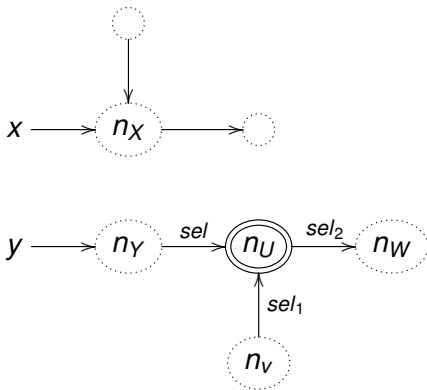
$$\Phi_I^{SA}((S, H, is)) = \{(S'', H'', is'')\}$$

$$S'' = \{(z, \mathbf{h}_x^U(n_Z)) \mid (z, n_Z) \in S'\} \cup \{(x, \mathbf{h}_x^U(n_U))\}$$

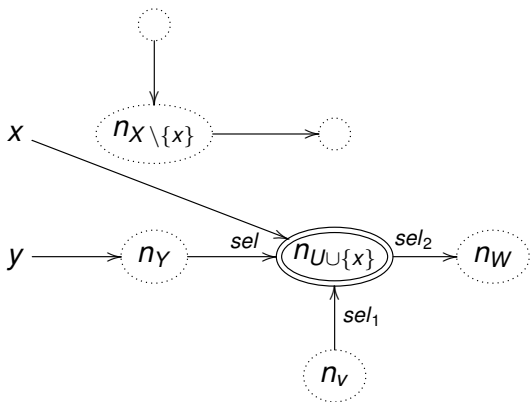
$$H' = \{(\mathbf{h}_x^U(n_V), sel', h_x^U(n_W)) \mid (n_V, sel', n_W) \in H'\}$$

$$is' = \{\mathbf{h}_x^U(n_Z) \mid n_Z \in is'\}$$

Assignment (3.b.2)



Assignment (3.b.2)



Assignment (3.b.3)

$[x := y.sel]'$ where $y \neq x$

- conditions

$$(y, n_Y) \in S' \quad \text{and} \quad (n_Y, sel, n_\emptyset) \in H'$$

- required: *new* abstract location for x : “split” n_\emptyset

Assignment (3.b.3)

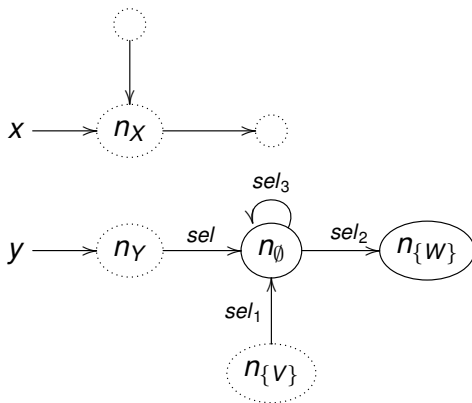
consider conceptually

$$x := \text{nil}; [x := y.\text{sel}]^l; x := \text{nil}$$

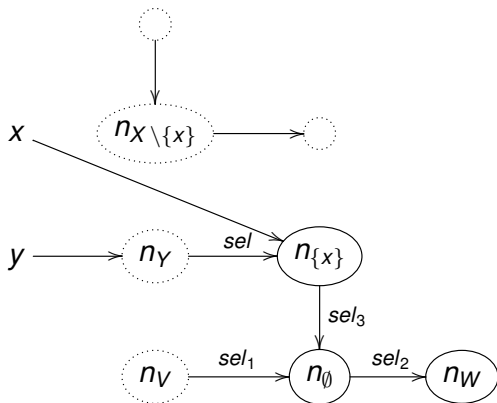
$$\begin{aligned} \Phi_I^{\text{SA}}((S, H, \text{is})) = \{ & (S'', H'', \text{is}'') \mid (S'', H'', \text{is}'') \text{ is compatible,} \\ & \text{kill}_x((S'', H'', \text{is}'')) = (S', H', \text{is}'), \\ & (x, n_{\{x\}}) \in S'', \\ & (n_Y, \text{sel}, n_{\{x\}}) \in H'' \} \\ (S', H', \text{is}') = & \text{kill}_x((S, H, \text{is})) \end{aligned}$$

Start configs

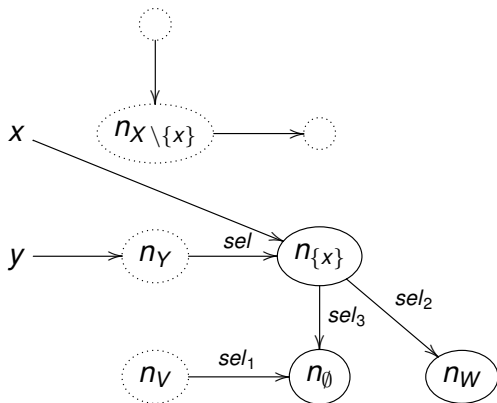
note in the example: n_{\emptyset} and n_W are not shared!



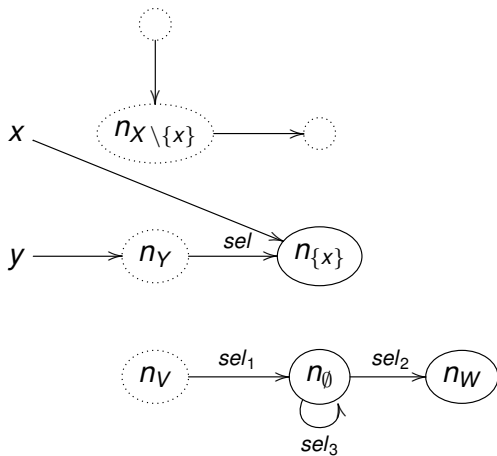
Result configs



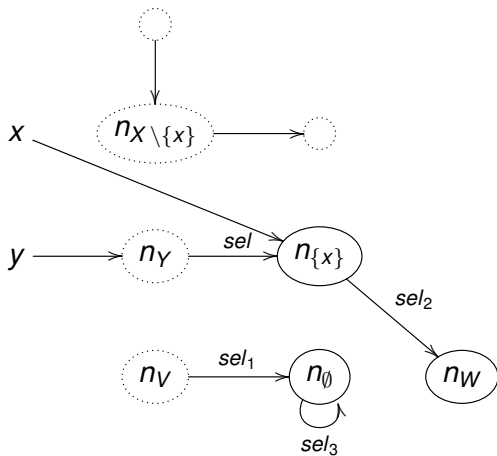
Result configs



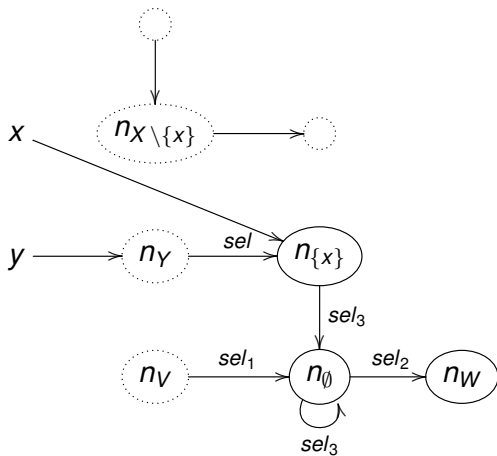
Result configs



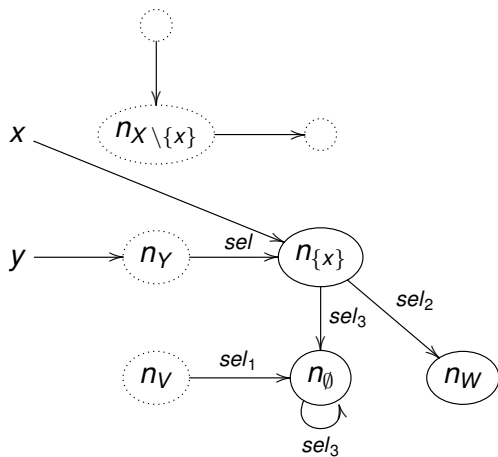
Result configs



Result configs



Result configs



Assignment 4

- assignment of **value** to **selector**

$$[x.sel := a]' \quad \text{where } a \text{ is } n, a_1 \underset{a}{\text{op}} a_2, \text{ nil}$$

Assume:

$$(x, n_X) \in S \quad \text{and} \quad (n_X, sel, n_U) \in H$$

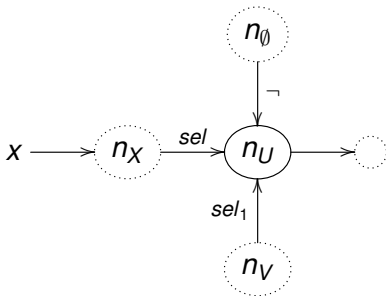
$$\Phi_I^{SA}((S, H, is)) = \{kill_{x.sel}(S, H, is)\} = \{(S', H', is')\}$$

$$S' = S$$

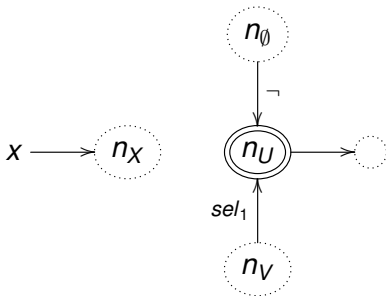
$$H' = \{(n_V, sel', n_W) \mid (n_V, sel', n_W) \in H, \neg(X = V \wedge sel = sel')\}$$

$$is' = \begin{cases} is \setminus \{n_U\} & \text{if } n_U \in is, |into(n_U, H')| \leq 1, n_U \in is, \\ & \neg \exists sel'. (n_\emptyset, sel', n_U) \in H' \\ is & \text{otherwise} \end{cases}$$

Assignment 4



Assignment 4

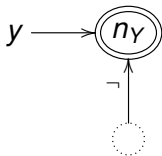
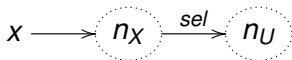


Assignment 5

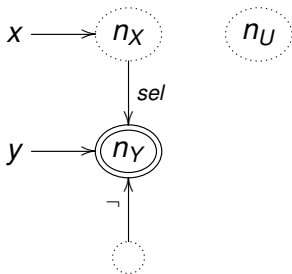
- assignment of *value* to *selector*

$$[x.sel := y]'$$

Assignment 5



Assignment 5



Assignment 6

- assignment of **selector** to **selector**

$$[x.sel := y.sel']^l$$

- decompose into

$$[t := y.sel']^{l_1}; [x.sel := t]^{l_2}; [t := nil]^{l_3}$$

- `malloc x`

$$\Phi_l^{\text{SA}}((S, H, \text{is})) = \{(S' \cup \{(x, n_{\{x\}})\}), H', \text{is}'\} \quad \text{and} \quad (S', H', \text{is}') = k$$

References I

- [1] A. W. Appel.
Modern Compiler Implementation in ML.
Cambridge University Press, 1998.
- [2] F. Nielson, H.-R. Nielson, and C. L. Hankin.
Principles of Program Analysis.
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