Disks

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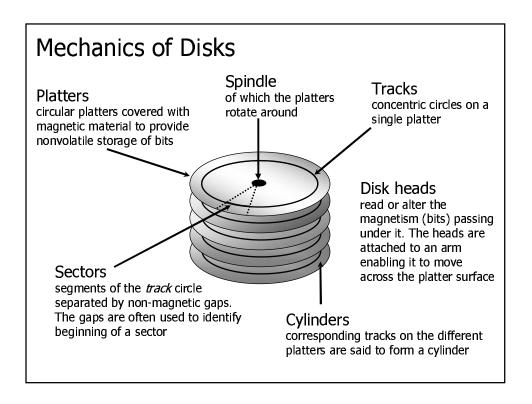
Overview

- Disks
 - □ mechanics and properties
- · Disk scheduling
 - □ traditional
 - □ real-time
 - □ stream oriented
- Data placement
- Multiple disks
- Prefetching
- Memory caching

Disks

Disks

- Disks ...
 - □ are used to have a **persistent system**
 - □ are orders of magnitude *slower* than main memory
 - □ are *cheaper*
 - □ have *more capacity*
- Two resources of importance
 - □ storage space
 - □ I/O bandwidth
- Because...
 - □ ...there is a *large* speed mismatch (ms vs. ns) compared to main memory (this gap will increase according to Moore's law),
 - □ ...disk I/O is often the main performance bottleneck
 - □ ...we need to minimize the number of accesses,
 - П
 - ...we must look closer on how to manage disks



Disk Specifications

- Disk technology develops "fast"
- Some existing (Seagate) disks today (2002):

<u>Note 1:</u>

disk manufacturers usually denote GB as 10⁹ whereas computer quantities often are powers of 2, i.e., GB is 2³⁰

	Barracuda 180	Cheetah 36	Cheetah X15	X15.3
Capacity (GB)	181.6	36.4	36.7	73.4
Spindle speed (RPM)	7200	10.000	15.000	
#cylinders (and tracks)	24.247	9.772	18.479	
average seek time (ms)	7.4	5.7	3.6	
min (track-to-track) seek (ms)	0.8	0.6	0.3	0.2
max (full stroke) seek (ms)	16	12	7	
average latency (ms)	4.17	3	2	
internal transfer rate (Mbps)	282 – 508	520 – 682	522 – 709	609 – 891
disk buffer cache	16 MB	4 MB	8 MB	

Note 2:

there is a difference between internal and formatted transfer rate. *Internal* is only between platter. *Formatted* is after the signals interfere with the electronics (cabling loss, interference, retransmissions, checksums, etc.)

Note 3:

there is usually a trade off between speed and capacity

Disk Capacity

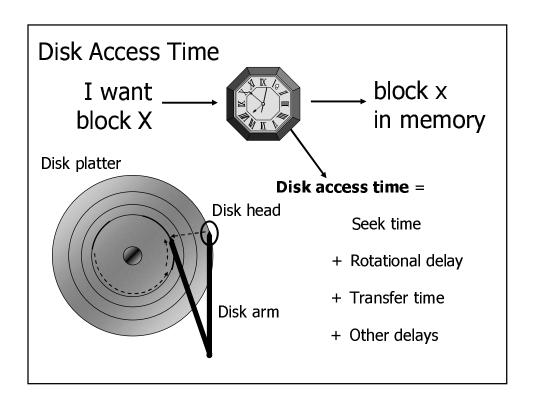
- The size (storage space) of the disk is dependent on
 - □ the number of platters
 - □ whether the platters use one or both sides
 - □ number of tracks per surface
 - □ (average) number of sectors per track
 - □ number of bytes per sector
- Example (Cheetah X15):
 - □ 4 platters using both sides: 8 surfaces
 - □ 18497 tracks per surface
 - □ 617 sectors per track (average)
 - □ 512 bytes per sector
 - □ Total capacity = $8 \times 18497 \times 617 \times 512 \approx 4.6 \times 10^{10} = 42.8 \text{ GB}$
 - □ Formatted capacity = 36.7 GB

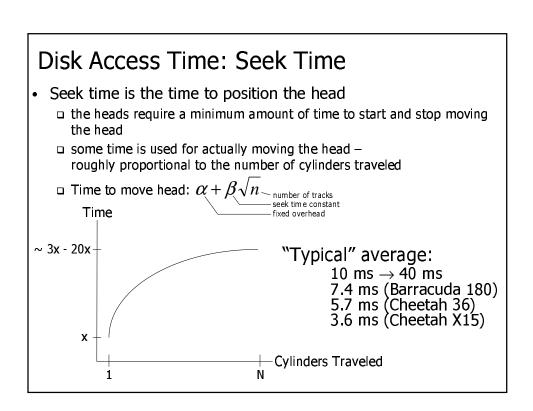
Note:

there is a difference between formatted and total capacity. Some of the capacity is used for storing checksums, spare tracks, gaps, etc.

Disk Access Time

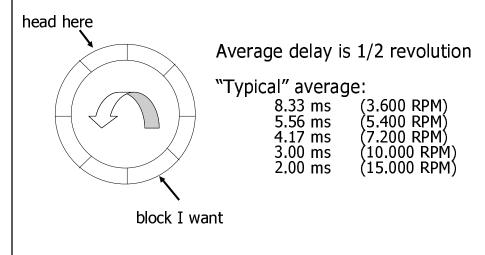
- How do we retrieve data from disk?
 - □ position head over the cylinder (track) on which the block (consisting of one or more sectors) are located
 - □ read or write the data block as the sectors move under the head when the platters rotate
- The time between the moment issuing a disk request and the time the block is resident in memory is called disk latency or disk access time





Disk Access Time: Rotational Delay

• Time for the disk platters to rotate so the first of the required sectors are under the disk head



Disk Access Time: Transfer Time

- Time for data to be read by the disk head, i.e., time it takes the sectors of the requested block to rotate under the head
- amount of data per track Transfer rate = time per rotation
- Transfer time = amount of data to read / transfer rate
- Example *Barracuda 180:* 406 KB per track x 7.200 RPM \approx 47.58 MB/s Example – Cheetah X15: 316 KB per track x 15.000 RPM \approx 77.15 MB/s

Note:

one might achieve these transfer rates reading continuously on disk, but time must be added for seeks, etc.

- Transfer time is dependent on data density and rotation speed
- If we have to change track, time must also be added for moving the head

Disk Access Time: Other Delays

- There are several other factors which might introduce additional delays:
 - □ CPU time to issue and process I/O
 - contention for controller
 - □ contention for bus
 - □ contention for memory
 - □ verifying block correctness with checksums (retransmissions)
 - □ waiting in scheduling queue
 - □ ...
- Typical values: "0" (maybe except from waiting in the queue)

Disk Throughput

- How much data can we retrieve per second?
 - data size
- Throughput = $\frac{1}{\text{transfer time (including all)}}$
- Example:
 - for each operation we have
 - average seek- transfer time- average rotational delay- no gaps, etc.
 - □ Cheetah X15 (max 77.15 MB/s) 4 KB blocks \rightarrow 0.71 MB/s 64 KB blocks \rightarrow 11.42 MB/s
 - □ Barracuda 180 (max 47.58 MB/s) 4 KB blocks \rightarrow 0.35 MB/s 64 KB blocks \rightarrow 5.53 MB/s

Block Size

- The block size may have large effects on performance
- Example:

assume random block placement on disk and sequential file access doubling block size will halve the number of disk accesses

- each access take some more time to transfer the data, but the total transfer time is the same (i.e., more data per request)
- halve the seek times
- halve rotational delays are omitted

 \square e.g., when increasing block size from 2 KB to 4 KB (no gaps,...) for *Cheetah X15* typically an average of:

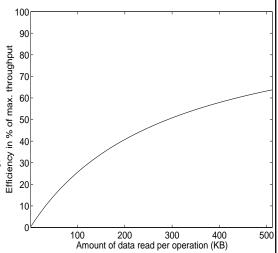
- 3.6 ms is saved for seek time
- © 2 ms is saved in rotational delays
- ⊗ 0.026 ms is *added* per transfer time

saving a total of 5.6 ms when reading 4 KB (49,8 %)

□ increasing from 2 KB to 64 KB saves ~96,4 % when reading 64 KB

Block Size

- Thus, increasing block size can increase performance by reducing seek times and rotational delays
- However, a large block size is not always best
 - blocks spanning several tracks still introduce latencies
 - small data elements may occupy only a fraction of the block



- Which block size to use therefore depends on data size and data reference patterns
- The trend, however, is to use large block sizes as new technologies appear with increased performance – at least in high data rate systems

Disk Access Time: Some Complicating Issues

- There are several complicating factors:
 - the "other delays" described earlier like consumed CPU time, resource contention, etc.
 - □ unknown data placement on modern disks
 - zoned disks, i.e., outer tracks are longer and therefore usually have more sectors than inner - transfer rates are higher on outer tracks
 - □ gaps between each sector
 - checksums are also stored with each the sectors
 - read for each track and used to validate the track
 - usually calculated using Reed-Solomon interleaved with CRC
 - for older drives the checksum is 16 bytes
 - □ (SCSI disks sector sizes may be changed by user!!??)

inner:					
outer:					

Writing and Modifying Blocks

- A write operation is analogous to read operations
 - must add time for block allocation
 - a complication occurs if the write operation has to be *verified* must wait another rotation and then read the block to see if it is the block we wanted to write
 - □ Total write time ≈ read time + time for one rotation
- Cannot modify a block directly:
 - □ read block into main memory
 - □ modify the block
 - □ write new content back to disk
 - □ (verify the write operation)
 - □ Total modify time ≈ read time + time to modify + write time

Disk Controllers

- To manage the different parts of the disk, we use a disk controller, which is a small processor capable of:
 - □ controlling the actuator moving the head to the desired track
 - □ selecting which platter and surface to use
 - □ knowing when right sector is under the head
 - □ transferring data between main memory and disk
- New controllers acts like small computers themselves
 - □ both disk and controller now has an own buffer reducing disk access time
 - □ data on damaged disk blocks/sectors are just moved to spare room at the disk – the system above (OS) does not know this, i.e., a block may lie elsewhere than the OS thinks

Efficient Secondary Storage Usage

- Must take into account the use of secondary storage
 - □ there are large access time gaps, i.e., a disk access will probably dominate the total execution time
 - there may be huge performance improvements if we reduce the number of disk accesses
 - a "slow" algorithm with few disk accesses will probably outperform a "fast" algorithm with many disk accesses
- Several ways to optimize
 - block size
 - disk scheduling
 - multiple disks
 - prefetching
 - □ file management / data placement
 - memory caching / replacement algorithms
 - **u** ...

Disk Scheduling

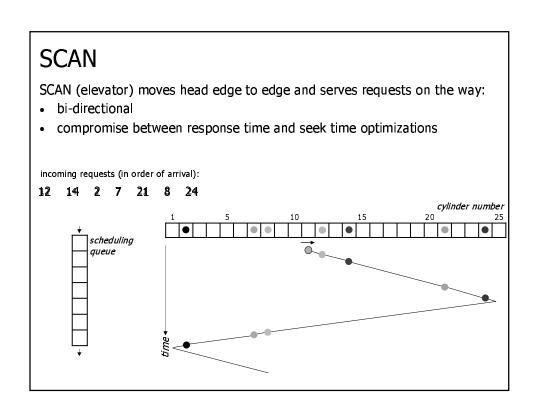
Disk Scheduling

- Seek time is a dominant factor of total disk I/O time
- Let operating system or disk controller choose which request to serve next depending on the head's current position and requested block's position on disk (disk scheduling)
- Note that disk scheduling ≠ CPU scheduling
 - □ a mechanical device hard to determine (accurate) access times
 - □ disk accesses cannot be preempted runs until it finishes
 - □ disk I/O often the main performance bottleneck
- General goals
 - □ short response time
 - □ high overall throughput
 - □ fairness (equal probability for all blocks to be accessed in the same time)
- Tradeoff: seek and rotational delay vs. maximum response time

Disk Scheduling

- Several traditional algorithms
 - □ First-Come-First-Serve (FCFS)
 - □ Shortest Seek Time First (SSTF)
 - □ SCAN (and variations)
 - □ Look (and variations)
 - □ ...

First—Come—First—Serve (FCFS) FCFS serves the first arriving request first: Long seeks Nort" average response time incoming requests (in order of arrival): 12 14 2 7 21 8 24

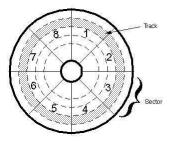


Data Placement on Disk

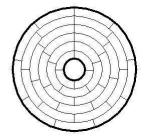
Data Placement on Disk

- Disk blocks can be assigned to files many ways, and several schemes are designed for
 - □ optimized latency
 - □ increased throughput
 - superior dependent

Disk Layout



- Constant angular velocity (CAV) disks
 - equal amount of data in each track (and thus constant transfer time)
 - constant rotation speed



- · Zoned CAV disks
 - □ zones are ranges of tracks
 - typical few zones
 - □ the different zones have
 - different amount of data
 - different bandwidth
 - i.e., more better on outer tracks

Disk Layout

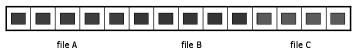
• Cheetah X15.3 is a zoned CAV disk:

Zone	Cylinders per Zone	Sectors per Track	Spare Cylinders	Zone Transfer Rate Mb/s	Sectors per Zone	Efficiency	Formatted Capacity (Mbytes)
0	3544	672	7	890,98	19014912	77,2%	9735,635
1	3382	652	7	878,43	17604000	76,0%	9013,248
3	3079	624	6	835,76	15340416	76,5%	7854,293
4	2939	595	6	801,88	13961080	76,0%	7148,073
5	2805	576	6	755,29	12897792	78,1%	6603,669
6	2676	537	5	728,47	11474616	75,5%	5875,003
7	2554	512	5	687,05	10440704	76,3%	5345,641
8	2437	480	5	649,41	9338880	75,7%	4781,506
9	2325	466	5	632,47	8648960	75,5%	4428,268
10	2342	438	5	596,07	8188848	75,3%	4192,690

- ✓ Always place often used data on outermost tracks (zone 0) ...!?
- **NO**, arm movement is often more important than transfer time

Data Placement on Disk

• Contiguous placement stores disk blocks contiguously on disk



- □ minimal disk arm movement reading the whole file (no intra-file seeks)
- possible advantage
 - head must not move between read operations no seeks or rotational delays
 - can approach theoretical transfer rate
 - often WRONG: read other files as well
- □ real advantage
 - do not have to pre-determine block (read operation) size (whatever amount to read, at most track-to-track seeks are performed)
- □ no inter-operation gain if we have unpredictable disk accesses

Data Placement on Disk

- To avoid seek time (and possibly rotational delay), we can store data likely to be accessed together on
 - adjacent sectors (similar to using larger blocks)
 - if the track is full, use another track on the same cylinder (only use another head)
 - if the cylinder is full, use next (adjacent) cylinder (track-to-track seek)



Data Placement on Disk

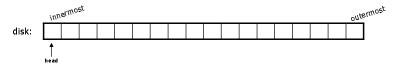
 Interleaved placement tries to store blocks from a file with a fixed number of other blocks in-between each block



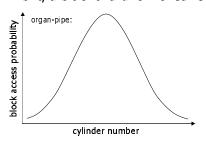
- minimal disk arm movement reading the files A, B and C (starting at the same time)
- □ fine for predictable workloads reading multiple files
- □ no gain if we have unpredictable disk accesses
- Non-interleaved (or even random) placement can be used for highly unpredictable workloads

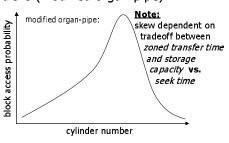
Data Placement on Disk

- Organ-pipe placement consider the usual disk head position
 - □ place most popular data where head is most often



- □ center of the disk is closest to the head using CAV disks
- □ but, a bit outward for *zoned* CAV disks (modified organ-pipe)





Prefetching and Buffering

Prefetching

- If we can predict the access pattern, one might speed up performance using prefetching
 - □ a video playout is often linear → easy to predict access pattern
 - eases disk scheduling
 - □ read larger amounts of data per request
 - □ data in memory when requested reducing page faults
- One simple (and efficient) way of doing prefetching is read-ahead:
 - □ read more than the requested block into memory
 - □ serve next read requests from buffer cache
- Another way of doing prefetching is double (multiple) buffering:
 - □ read data into first buffer
 - process data in first buffer and at the same time read data into second buffer
 - process data in *second* buffer and at the same time read data into *first* buffer
 - □ etc.

Multiple Buffering

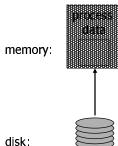
• Example:

have a file with block sequence B1, B2, ... our program processes data sequentially, i.e., B1, B2, ...

- □ single buffer solution:
 - read B1 → buffer
 - process data in buffer
 - read B2 → buffer
 - process data in Buffer

 - if P = time to process a block R = time to read in 1 block n = # blocks

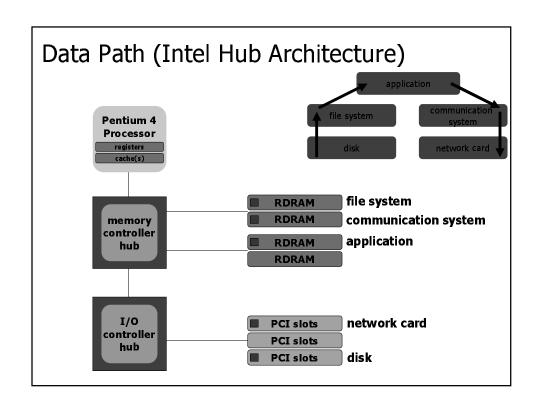
single buffer time = n (P+R)

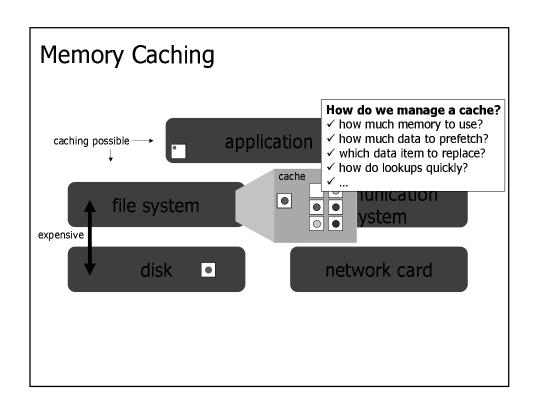


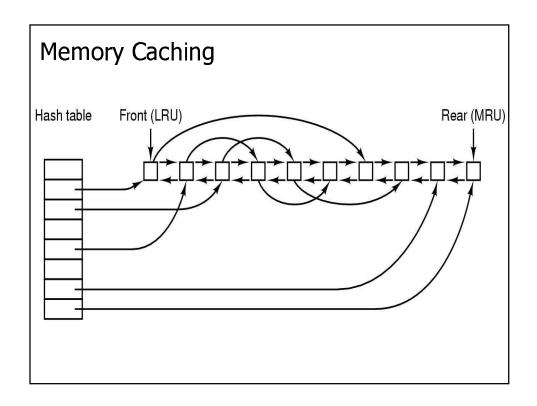


Multiple Buffering double buffer solution: • read B1 → buffer1 • process data in buffer1, read B2 → buffer2 • process data in buffer2, read B3 → buffer1 • process data in buffer1, read B4 → buffer2 • ··· memory: • if P = time to process a block R = time to read in 1 block n = # blocks if P ≥ R double buffer time = R + nP □ if P < R, we can try to add buffers (n - buffering)

Memory Caching







Summary from yesterday....

- Disk access
 - □ seeks
 - □ rotational delays
 - □ transfer time
 - □ other delays
- Ways to optimize
 - □ scheduling
 - □ placement
 - □ block size
 - □ prefetching/caching
 - □ ...

Disk Errors

Disk Errors

• Disk errors are rare:

	Barracuda 180	Cheetah 36	Cheetah X15
mean time to failure (MTTF)	1.2 x 10 ⁶	1.2 x 10 ⁶	1.2×10^6
recoverable errors	10 per 10 ¹²	10 per 10 ¹²	10 per 10 ¹²
unrecoverable errors	1 per 10 ¹⁵	1 per 10 ¹⁵	1 per 10 ¹⁵
seek errors	10 per 10 ⁸	10 per 10 ⁸	10 per 10 ⁸

MTTF:

MTTF is the time in hours between each time the disk crashes

Recoverable:

how often do we read wrong values – corrected when re-reading

<u>Unrecoverable:</u>

how often do we get permanent errors on a sector – data moved to spare tracks

Seek:

how often do we move the arm wrong (over wrong cylinder) – make another

Disk Errors

- Even though rare, a disk can fail in several ways
 - □ intermittent failure temporarily errors corrected by re-reading the block, e.g., dust on the platter making a bit value wrong
 - media decay/write errors –
 permanent errors where the bits are corrupted, e.g.,
 disk head touches the platter and damages the magnetic surface
 - □ disk crashes the entire disk becomes permanent unreadable

Checksums

- Disk sectors are stored with some redundant bits, called checksums
- Used to validate a read or written sector:
 - □ read sector and stored checksum
 - compute checksum on read sector
 - compare read and computed checksum
- If the validation fails (read and computed checksum differ), the read operation is repeated until
 - □ the read operation succeed → return correct content
 - □ the limit of retries is reached → return error "bad disk block"
- Many ways to compute checksums, but (usually) they only detect errors

Disk Failure Models

- Our Seagate disks have a MTTF of ~130 years (at this time ~50 % of the disks are damaged), but
 - □ many disks fail during the first months (production errors)
 - □ if no production errors, disks will probably work many years
 - □ old disks have again a larger probability of failure due to accumulated effects of dust, etc.

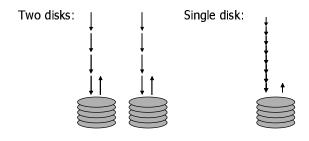
Crash Recovery

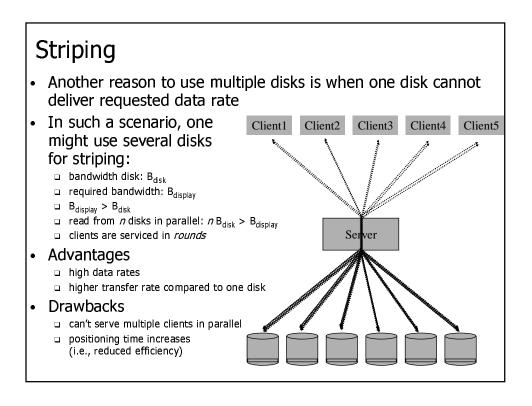
- The most serious type of errors are disk crashes, e.g.,
 - □ head have touched platter and is damaged
 - platters are out of position
 - o ..
- Usually, no way to restore data unless we have a backup on another medium, e.g., tape, mirrored disk, etc.
- A number of schemes have been developed to reduce the probability of data loss during permanent disk errors
 - □ usually using an extended parity check
 - most known are the Redundant Array of Independent Disks (RAID) strategies

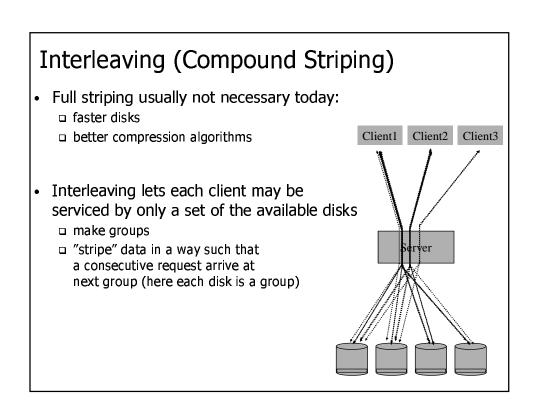
Multiple Disks

Multiple Disks

- Disk controllers and busses manage several devices
- One *can* improve total system performance by replacing one large disk with many small accessed in parallel
- Several independent heads can read simultaneously (if the other parts of the system can manage the speed)





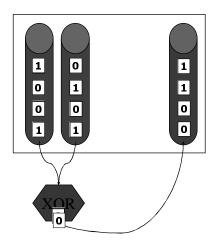


Redundant Array of Inexpensive Disks (RAID)

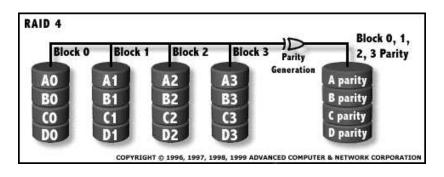
- The various RAID levels define different disk organizations to achieve higher performance and more reliability
 - □ RAID 0 striped disk array without fault tolerance (non-redundant)
 - □ RAID 1 mirroring
 - \square RAID 2 memory-style error correcting code (Hamming Code ECC)
 - □ RAID 3 bit-interleaved parity
 - \square RAID 4 block-interleaved parity
 - □ RAID 5 block-interleaved distributed-parity
 - $\ \square\ RAID\ 6$ independent data disks with two independent distributed parity schemes
 - □ RAID 7
 - □ RAID 10
 - □ RAID 53
 - □ RAID 1+0

RAID

- Main idea
 - □ Store the XORs of the content of a block to the spare disk
 - Upon any failure, one can recover the entire block from the spare disk (or any disk) using XORs
- Pros
 - □ Reliability
 - □ High bandwidth
- Cons
 - □ The controller is complex

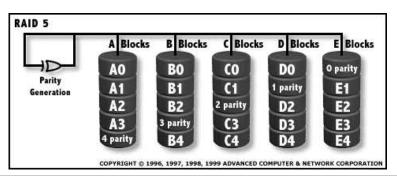


- RAID 4: independent data disks with shared parity disk
- Each entire block is written onto one data disk. Parity for same rank blocks is generated on writes, recorded on the parity disk and checked on reads.

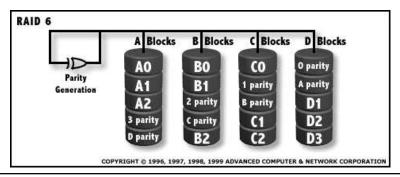


RAID 5

- RAID 5: independent data disks with distributed parity disk (read, write, and recovery operations are analogous to RAID 4, but parity is distributed)
- Each entire data block is written on a data disk; parity for blocks in the same rank is generated on writes, recorded in a distributed location and checked on reads.



- RAID 6: independent data disks with two independent distributed parity schemes
- RAID 6 is essentially an extension of RAID level 5 which allows for additional fault tolerance by using a second independent distributed parity scheme
- Data is striped on a block level across a set of drives, just like in RAID 5, and a second set of parity is calculated and written across all the drives

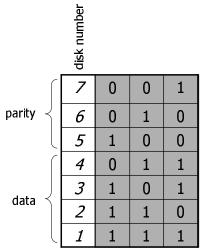


RAID 6

- In general, we can add several redundancy disks to be able do deal with several simultaneous disk crashes
- Many different strategies based on different EECs, e.g.,:
 - □ Read-Solomon Code (or derivates):
 - corrects n simultaneous disk crashes using n parity disks
 - a bit more expensive parity calculations compared to XOR
 - □ Hamming Code:
 - ${\color{red} \bullet}$ corrects 2 disk failures using 2^K-1 disks where k disks are parity disks and 2^K-k-1
 - the parity disks are calculated using the data disks determined by the hamming code, i.e., a k x $(2^K 1)$ matrix of 0's and 1's representing the $2^K 1$ numbers written binary except 0

• Example:

using a Hamming code matrix, 7 disks, 3 parity disks



<u>Note 1:</u>

the rows represent binary numbers 1 - 7

Note 2

the rows for the parity disks have single 1's

Note 3:

the rows for the data disks have two or more 1's

Note 4:

the idea of each column now is that the parity disk having a $\bf 1$ in this column is generated using the data disks having one in this column:

- parity disk 5 is generated using disk 1, 2, 3
- parity disk 6 is generated using disk 1, 2, 4
- parity disk 7 is generated using disk 1, 3, 4

Note 5:

the parity blocks are generated using modulo-2 sum from the data blocks

RAID 6

• Example (cont.):

calculating parity using the hamming matrix to find the corresponding data disks to each parity disk

У	7	0	0	1		
parity	6	0	1	0		
	5	1	0	0		
	4	0	1	1		
ta	3	1	0	1		
data	2	1	1	0		
	1	1	1	1		

Hamming code matrix

λ	7	
parity	6	
	5	
data	4	01000010
	3	00111000
	2	10101010
	1	11110000

disk block values

Note 1: parity disk 5 is generated using disk 1, 2, 3 $11110000 \oplus 10101010 \oplus 00111000 = 01100010$

Note 2: parity disk 6 is generated using disk 1, 2, 4 $11110000 \oplus 10101010 \oplus 01000010 = 00011011$

Note 3: parity disk 7 is generated using disk 1, 3, 4 $11110000 \oplus 00111000 \oplus 01000010 = 10001001$

- Read operations is performed from any data disk as a normal read operation
- Write operations are performed as shown on previous slide (similar RAID 5), but
 - □ now there are several parity disks
 - □ each parity disk does not use all data disks
- Update operations are performed as for RAID 4 or RAID 5:
 - perform XOR of old and new version of the block, and simply add the sum (again using XOR) to the parity block

RAID 6

- Example update:
 - □ update data disk 2 to 00001111
 - □ parity disks 5 and 6 is using data disk 2

Note 1:

old value is 10101010.

Difference is $10101010 \oplus 00001111 = 10100101$

Note 2:

insert new value in data disk 2: 00001111

Note 3:

update parity disk 5, take difference between old and new block, and perform XOR with parity: $10100101 \oplus 01100010 = 11000111$

Note 4:

insert new value in parity disk 5: 11000111

disk block values

parity	7	10001001
	6	10111110
	5	11000111
data	4	01000010
	3	00111000
	2	00001111
	1	11110000

note 5.

parity disk 6 is similarly updated

- Recovery operations is performed using XOR and the parity disks
 - □ one disk failure is easy just apply one set of parity and recover
 - □ two disk failures a bit more tricky
 - note that all parity disk computations are different
 - we will always find one configuration where only one disk has failed
 - use this configuration to recover the failed disk
 - now there is only one failed disk, and any configuration can be used

RAID 6

• Example recovery:

a disk 2 and 5 have failed

<u>Note 1:</u>

there is always a column in the hamming code matrix where only one of the failed disks have a 1- value

Note 2:

column 2 use data disk 2, and no other disks have crashed, i.e., use disk 1, 4, and 6 to recover disk 2

Note 3:

restoring disk 2: $11110000 \oplus 01000010 \oplus 00011011 = 10101001$

Hamming code matrix

					_		
y	7	0	0	1	ſ	7	10001001
pai ity	6	0	1	0		6	00011011
	5	1	0	0		5	???
uata	4	0	1	1		4	01000010
	3	1	0	1		3	00111000
	2	1	1	0		2	???
	1	1	1	1		1	11110000

Note 4:

restoring disk 5 can now be done using column 1

disk block values

Some Challenges Managing Multiple Disks

- How large should a stripe group and stripe unit be?
- Can one avoid hot sets of disks (load imbalance)?
- What and when to replicate?
- Heterogeneous disks?

The End: Summary

Summary

- The main bottleneck is disk I/O performance due to disk mechanics: seek time and rotational delays
- Much work has been performed to optimize disks performance
 - Many algorithms trying to minimize seek overhead (most existing systems uses a SCAN derivate)
 - □ use large block sizes or read many continuous blocks
 - □ prefetch data from disk to memory
 - □ striping might not be necessary on new disks (at least not on all disks)
 - □ memory caching can save disk I/Os
- World today more complicated (both different access patterns and unknown disk characteristics)
 → new disks are "smart", we cannot fully control the device