TUTORIAL ON UNIT TESTING INF4290

Erik Arisholm

Daglig leder, Testify AS

Professor in software engineering, UiO

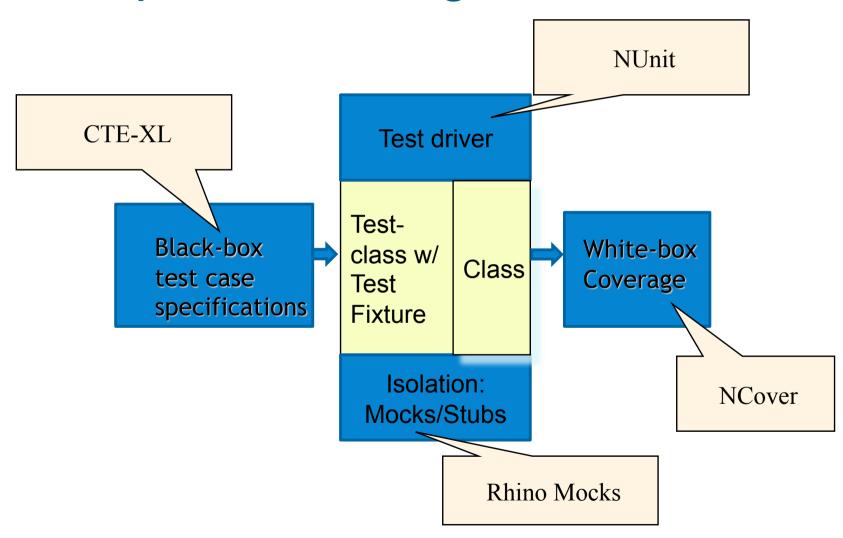
What we will cover

- A practical introduction to (class-level) unit testing of OO systems
- Refactoring for testability
- Isolation frameworks
- State-based versus behavior-based unit testing
- Test case specification and implementation
- Guided by an example
 - Relatively simple ATM machine written in C#
 - Black box modeling: CTE-XL (similar to "category-partition")
 - Unit testing tools: Visual Studio, NUnit, Ncover, Rhino Mocks
 - All of the techniques presented are directly applicable to Java and most other OO languages as well, except for (minor) syntactical differences
 - Complete working code will be posted on the course website, all required tools are available as open source or trial/free versions

Properties of "good" unit tests

- Isolation (a somewhat controversial opinion)
 - The tests of class X are not dependent on having implemented collaborating classes, or tests for collaborating classes
 - The tests of class X should not fail due to faults in collaborating classes.
 - => Enables Test-Driven Development, "need-driven" testing, or "top-down" testing
- Completeness
 - A unit test should test all possible services within a class, also those that are not currently in use by other classes
- Independence
 - Each unit test should be self-contained and should "work" independently of whatever other unit tests are executed
- Simplicity
 - One test = one scenario
 - Strive for simple test fixtures
 - Tests should be fast, e.g., by avoiding calls to databases if possible

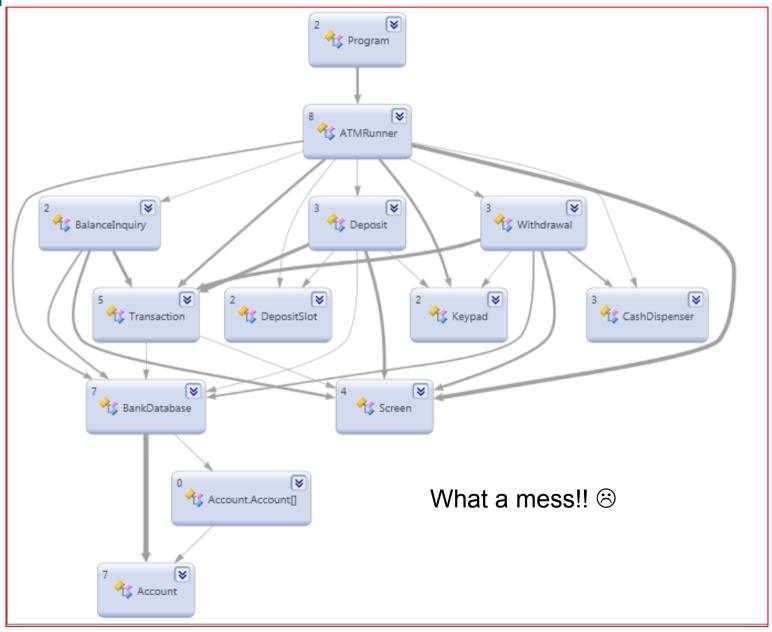
Example unit testing framework



The ATM case study

```
C:\Windows\system32\cmd.exe
Welcome!
Please enter your account number: 12345
Enter your PIN: 54321
Main menu:
1 - View my balance
2 – Withdraw cash
3 - Deposit funds
4 - Exit
Enter a choice: 1
Balance Information:
- Available balance: $1,000.00
 - Total balance: $1.200.00
Main menu:
 - View my balance
2 – Withdraw cash
3 - Deposit funds
4 - Exit
Enter a choice: 3
Please input a deposit amount in CENTS (or 0 to cancel): 1000
Please insert a deposit envelope containing $10.00 in the deposit slot.
Your envelope has been received.
The money just deposited will not be available until we
verify the amount of any enclosed cash, and any enclosed checks clear.
Main menu:
 - View my balance
2 – Withdraw cash
3 - Deposit funds
 - Exit
Enter a choice: 4
Exiting the system...
Thank you! Goodbye!
Press any key to continue . . .
```

Dependencies in the first version of the ATM



ATM case study - first unit tests...

- Task 1
 - ATMRunner is a "top-level" class of the application
 - It first authenticates a user, and then lets the user perform one or more transactions (account balance, withdraw, deposit) before exiting
 - Handling actual "transactions" is delegated to other control classes
 - We want to unit test the behavour of ATMRunner
- But how? It is simply not testable (a typical situation)!
 - We need to control the class in order to execute the tests
 - currently this is not possible, as ATMRunner uses a Keypad class to get input from a user, which in turn reads from standard input. Thus, ATMRunner is controlled by indirect input from another class (Keypad)
 - We need to observe results of exercising the class methods, either in terms of changes in state or observable outputs, and compare with expected results
 - Currently we cannot easily observe results directly, as the results are
 presented indirectly via the Screen class, which outputs text directly to
 standard output (the console).
 - No state variables in ATMRunner available to observe state changes.

Simple classification of fake objects

Test Dummy

 Just an object needed for execution of the class, but no control or observation needed

Test Stub

 Enables us to control what values are returned when the class under test calls methods on a collaborating object

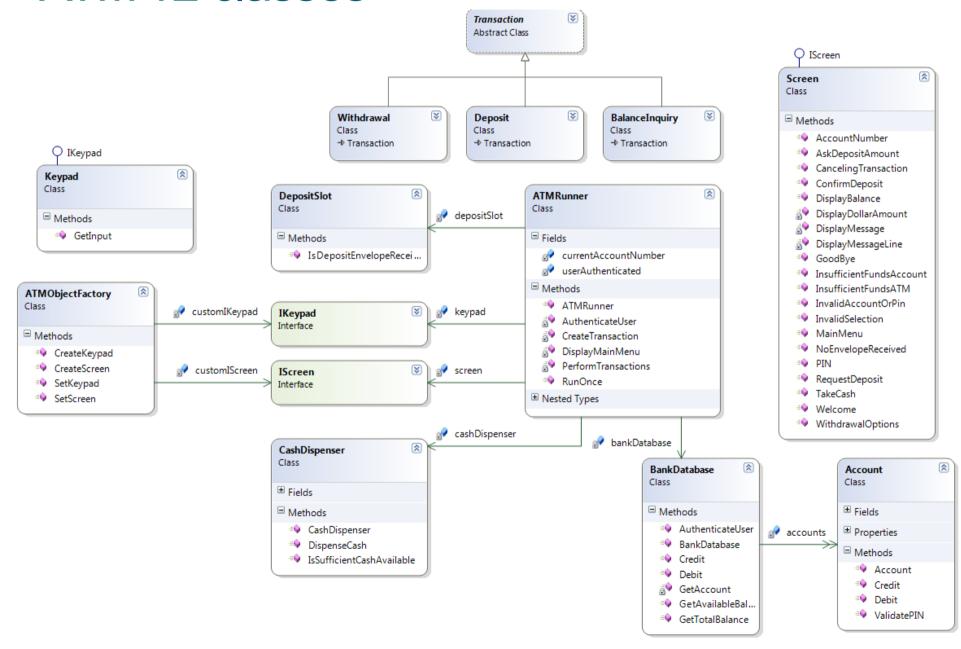
Test Mock

- Enables us to observe what method calls (including any parameters)
 that are made to a collaborating object from the class under test
 - This is also known as behavour verification (as opposed to state verification)
- Other, more elaborate classifications exists
 - See for example the book *xUnit Test Patterns* (reference at the end of the slides)

Refactoring the ATM to be more testable

- Extract an interface of Keypad (IKeypad) and Screen
 (IScreen) to allow replacing underlying implementation
 with stub/mock implementations that are used for testing
 - In our case, we need a stub for *Keypad* (to control) and a mock for *Screen* (to observe)
- How to inject stub/mock implementations into a class under test
 - Alt. 1: Dependency injection at the constructor level
 - E.g., ATMRunner(IKeypad myKeypadImpl, IScreen myScreenImpl)
 - Alt. 2: Dependency injection as a setter property/method.
 - E.g., ATMRunner::setKeypad(IKeypad myKeypadImpl), ATMRunner::setScreen(Iscreen myScreenImpl)
 - Alt. 3: Dependency injection using an object factory
 - E.g., myKeypad = ObjectFactory.CreateKeypad();

ATM v2 classes



Injecting stub and mock in ATMRunner

```
public class ATMRunner
   // enumeration that represents main menu options
   private enum MenuOption
       BALANCE INQUIRY = 1,
       WITHDRAWAL = 2,
       DEPOSIT = 3,
       EXIT ATM = 4
   } // end enum MenuOption
   private bool userAuthenticated; // true if user is authenticated
   private int currentAccountNumber: // user's account number
   private IScreen screen; // reference to ATM's screen
   private IKeypad keypad; // reference to ATM's keypad
    private CashDispenser cashDispenser; // ref to ATM's cash dispenser
   private DepositSlot depositSlot; // reference to ATM's deposit slot
   private BankDatabase bankDatabase; // ref to account info database
   // parameterless constructor initializes instance variables
   public ATMRunner()
       userAuthenticated = false: // user is not authenticated to start
       currentAccountNumber = 0; // no current account number to start
       screen = ATMObjectFactory.CreateScreen(); //create screen
       keypad = ATMObjectFactory.CreateKeypad(); // create keypad
       cashDispenser = new CashDispenser(); // create cash dispenser
       depositSlot = new DepositSlot(); // create deposit slot
       bankDatabase = new BankDatabase(); // create account info database
   } // end constructor
```

Interfaces instead of class instance

Returns "normal" or "fake" implementation

The object factory

```
public class ATMObjectFactory
   static IKeypad customIKeypad = null;
   static IScreen customIScreen = null;
   static public IKeypad CreateKeypad()
       if (customIKeypad != null)
                                                       Will return fake or real object
          return customIKeypad;
       return new Keypad();
   static public void SetKeypad(IKeypad kp) {
                                                       Here we can inject a Keypad test stub
       customIKeypad = kp;
   static public IScreen CreateScreen()
       if (customIScreen != null)
                                                       Will return fake or real object
          return customIScreen;
       return new Screen();
   static public void SetScreen(IScreen scr)
                                                       Here we can inject a Screen test mock
       customIScreen = scr;
```

Definition of the Keypad Test Stub

- The test stub maintains a list of inputs
 - array of int in this case
- The test class populates this list with values before a test, to control the return values
- Each time the GetInput

 () method of the stub is called, it returns the next number from the list, instead of actual user input

```
public class MyKeyPadStub : IKeypad
{
    public int[] numbers = new int[100];
    int idx = 0;

    public int GetInput()
    {
        int retval = numbers[idx];
        idx++;
        return retval;
    } // end method GetInput
}
```

Definition of the Screen Test Mock

- The mock maintains a list of calls made to its public methods (array of string in this case)
- Whenever a method is called, the call is added to the list
- After a test, the test class can query the list of calls stored in the mock, to determine if expected calls were made by the class under test

```
public class MyScreenMock : IScreen
   public string[] outstrings = new string[100];
   int idx = 0:
   public void Welcome()
       outstrings[idx] = "Welcome()"; idx++;
   public void AccountNumber()
       outstrings[idx] = "AccountNumber()"; idx++;
   public void PIN()
       outstrings[idx] = "PIN()"; idx++;
   public void InvalidAccountOrPin()
       outstrings[idx] = "InvalidAccountOrPin()"; idx++;
   public void MainMenu()
       outstrings[idx] = "MainMenu()"; idx++;
   public void InvalidSelection()
       outstrings[idx] = "InvalidSelection()"; idx++;
   public void GoodBye()
       outstrings[idx] = "GoodBye()"; idx++;
```

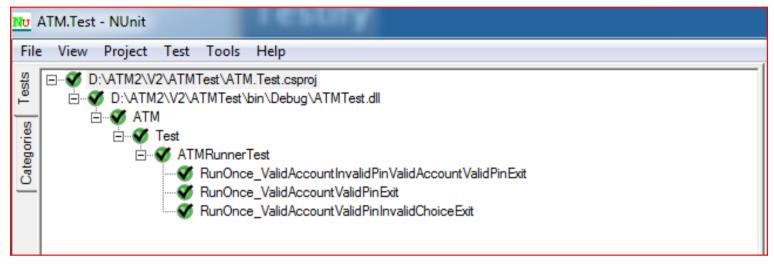
Nunit guidelines

- One test class for each application class under test
- One test package for each application package under test
- At least one test method for each public class method
- Naming conventions:
 - Test package: <Project>.Test
 - Example: "ATM.Test"
 - Test class: <Class>Test
 - For example "ATMRunnerTest", "DepositTest", "WithdrawTest"
 - Test method: <Class method name>_<Scenario>
 - Example: "Execute_positive_amount"
- Use [SetUp] and [TearDown] to reuse code across tests.

Example NUnit test of ATMRunner

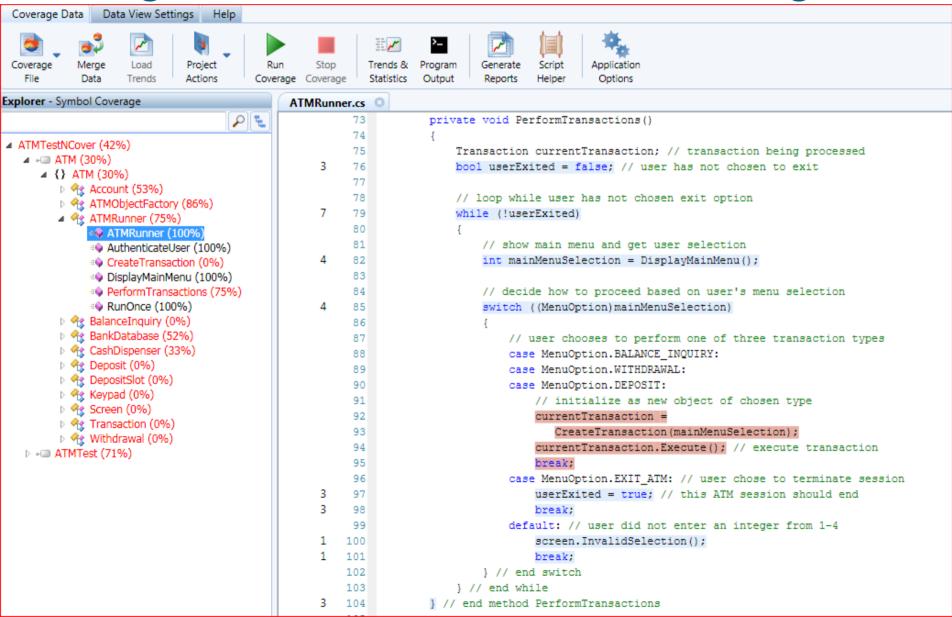
```
[TestFixture]
public class ATMRunnerTest
   MyKeyPadStub myKeypadStub;
   MyScreenMock myScreenMock;
   ATMRunner theATM;
   [SetUp]
                                    Initiate the tests
   public void Setup()
       myKeypadStub = new MyKeyPadStub();
                                                     Create fake objects (for keypad and screen)
       myScreenMock = new MyScreenMock();
       ATMObjectFactory.SetKeypad(myKeypadStub);
                                                            Tell Object Factory to use them
       ATMObjectFactory.SetScreen(myScreenMock);
       theATM = new ATMRunner();
   [Test]
   public void RunOnce ValidAccountInvalidPinValidAccountValidPinExit()
       myKeypadStub.numbers[0] = 12345;
       mvKevpadStub.numbers[1] = 1;
                                                    Control the return values of Keypad::GetInput()
       myKeypadStub.numbers[2] = 12345;
       myKeypadStub.numbers[3] = 54321;
       myKeypadStub.numbers[4] = 4;
                                                    Execute the class under test
       theATM.RunOnce();
       Assert.AreEqual("Welcome()", myScreenMock.outstrings[0]);
       Assert.AreEqual("AccountNumber()", myScreenMock.outstrings[1]);
       Assert.AreEqual("PIN()", myScreenMock.outstrings[2]);
                                                                                       Compare expected
       Assert.AreEqual("InvalidAccountOrPin()", myScreenMock.outstrings[3]);
       Assert.AreEqual("Welcome()", myScreenMock.outstrings[4]);
                                                                                       calls with actual calls
       Assert.AreEqual("AccountNumber()", myScreenMock.outstrings[5]);
       Assert.AreEqual("PIN()", myScreenMock.outstrings[6]);
       Assert.AreEqual("MainMenu()", myScreenMock.outstrings[7]);
       Assert.AreEqual("GoodBye()", myScreenMock.outstrings[8]);
```

NUnit test results



- All tests pass, but it is not a complete test suite. It just checks that user authentication works, then it exits
- We also need to test that the class behaves as expected if a user chooses to perform one or more transactions (withdrawal, deposit, balance inquiry)

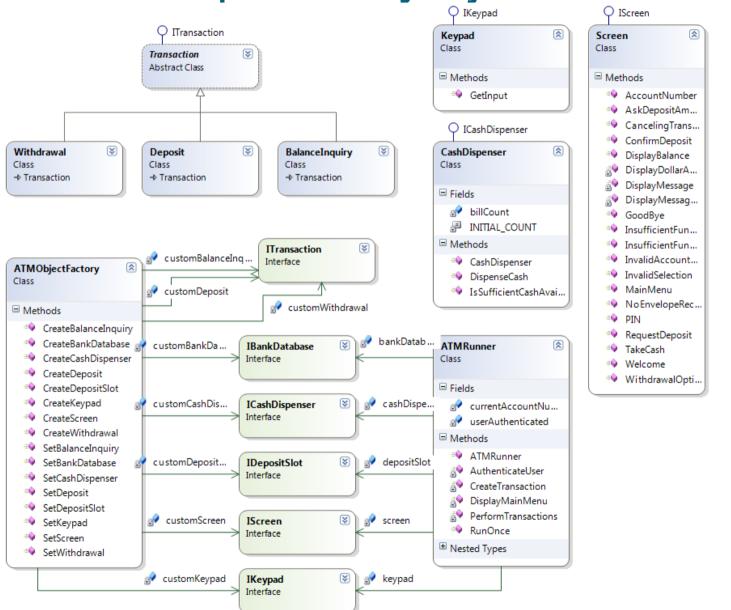
Using NCover to assess test coverage

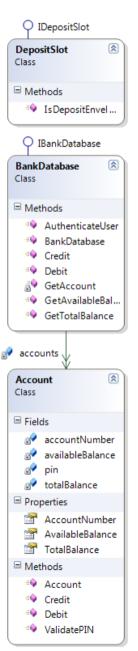


More isolation required...

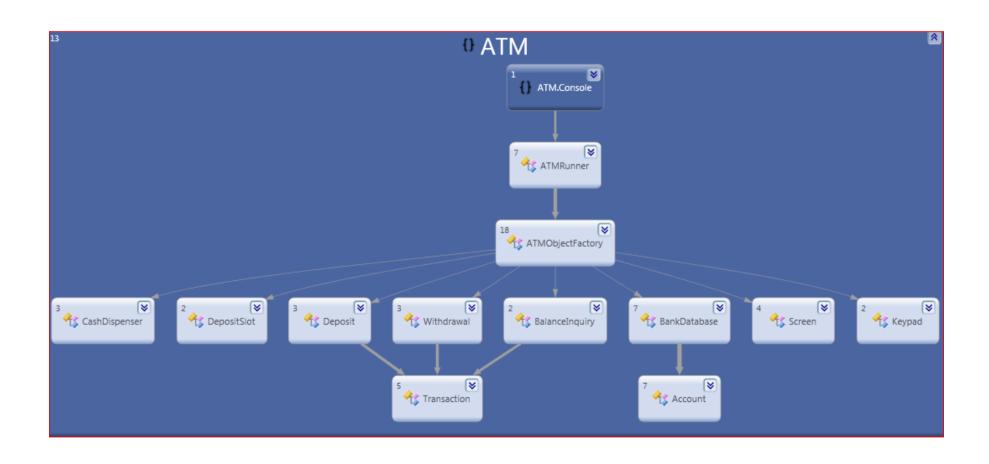
- By creating "fake objects" for Keyboard (a Stub object) and Screen (a Mock object), we can control the class under test and observe the results of our tests
- But the dependencies of ATMRunner to all other classes in the system results in our "unit tests" for handling transactions by ATMRunner would become integration or system function tests, not isolated unit tests!
 - Complicates test setup and test oracle implementations if we are to write complete tests for the given class under test
 - Also, all other classes need to be implemented before we can complete the tests.
 - Prevents early testing, results in slow tests, and dependencies to "external" or hard to test units (hardware, databases, ...)

More dependency injection





ATM class dependencies after refactoring



Object factory for all classes used by ATMRunner

```
public class ATMObjectFactory
    static IKeypad customKeypad = null;
    static IScreen customScreen = null;
    static IBankDatabase customBankDatabase = null;
    static ITransaction customBalanceInquiry = null;
    static ITransaction customWithdrawal = null;
    static ITransaction customDeposit = null;
    static IDepositSlot customDepositSlot = null;
    static ICashDispenser customCashDispenser = null;
    static public IKeypad CreateKeypad()
       if (customKeypad != null) return customKeypad;
       return new Keypad();
    static public void SetKeypad(IKeypad kp) {customKeypad = kp;}
    static public IScreen CreateScreen()
       if (customScreen != null) return customScreen;
       return new Screen();
    static public void SetScreen(IScreen scr) {customScreen = scr;}
    static public IBankDatabase CreateBankDatabase()
       if (customBankDatabase != null) return customBankDatabase;
       return new BankDatabase();
    static public void SetBankDatabase(IBankDatabase bnk) {customBankDatabase = bnk;}
    static public ITransaction CreateBalanceInquiry(int userAccountNumber, IScreen atmScreen, IBankDatabase atmBankDatabase)
       if (customBalanceInquiry != null) return customBalanceInquiry;
       return new BalanceInquiry(userAccountNumber, atmScreen, atmBankDatabase);
    static public void SetBalanceInquiry(ITransaction trans) {customBalanceInquiry = trans;}
```

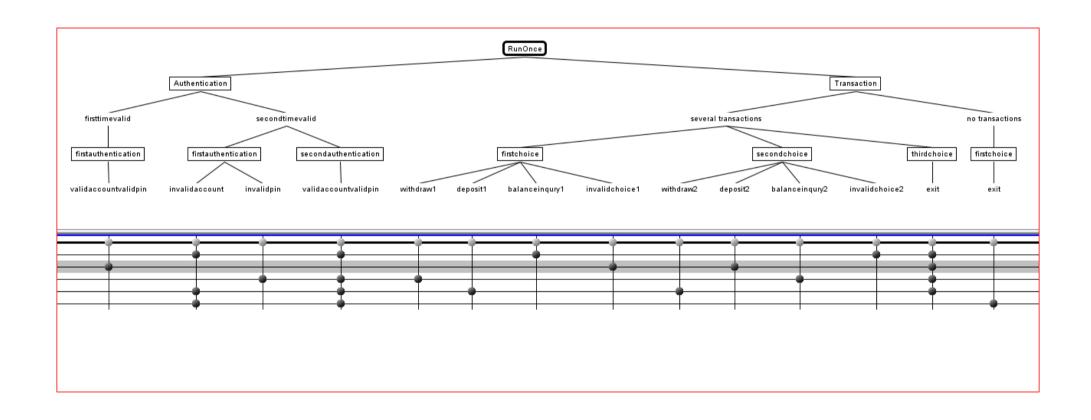
Automating mocking and stubbing

- In the examples so far, we have coded the Keypad test stub and the Screen test mock by hand
 - Nice exercise to understand the underlying principles, but this is too time consuming, limiting and error prone as a general approach
 - We need an isolation framework that can do the job for us!
- Many isolation frameworks exists
 - Rhino Mocks, Nmock, TypeMocks, Jmocks, EasyMock, Mockito, ...
 - They have in common that they can create various kinds of fake test objects (Mocks, Stubs, Dummy objects) based on existing class or interface definitions.
 - Many of these tools use a Record-and-Replay metaphor as a means to tell the test
 - what stubs should do when/if they are called
 - what mocks should expect to receive as method calls, and to verify that the expected methods were indeed called

Setup of test fixtures with Rhino Mocks

```
public class ATMRunnerTest
   ATMRunner theATM:
   MockRepository fMock;
   IKeypad myKeypad;
   IScreen myScreen;
   ICashDispenser myCashDispenser;
   IDepositSlot myDepositSlot:
   IBankDatabase myBankDatabase;
   ITransaction myWithdrawal;
   ITransaction myDeposit;
   ITransaction myBalanceInquiry;
   [SetUp]
                                           Initiate the tests
   public void Setup()
       fMock = new MockRepository();
       mvKevpad = fMock.StrictMock<IKeypad>(null);
       mvBankDatabase = fMock.StrictMock<IBankDatabase>(null);
       myScreen = fMock.StrictMock<IScreen>(null);
                                                                          Create fake objects (for ALL collaborators)
       myBalanceInquiry = fMock.StrictMock<ITransaction>(null);
       myDeposit = fMock.StrictMock<ITransaction>(null);
       myWithdrawal = fMock.StrictMock<ITransaction>(null);
       // dummy objects, not used as stubs or mocks in this case;
       myCashDispenser = fMock.DynamicMock<ICashDispenser>();
                                                                           Create dummy objects
       myDepositSlot = fMock.DynamicMock<IDepositSlot>(null);
       ATMObjectFactory.SetKeypad(myKeypad);
       ATMObjectFactory.SetScreen(myScreen);
       ATMObjectFactory.SetBankDatabase(myBankDatabase);
       ATMObjectFactory.SetWithdrawal(myWithdrawal);
                                                                     Tell Object Factory to use them
       ATMObjectFactory.SetDeposit(myDeposit);
       ATMObjectFactory.SetBalanceInquiry(myBalanceInquiry);
       ATMObjectFactory.SetCashDispenser(myCashDispenser);
       ATMObjectFactory.SetDepositSlot(myDepositSlot);
       theATM = new ATMRunner();
```

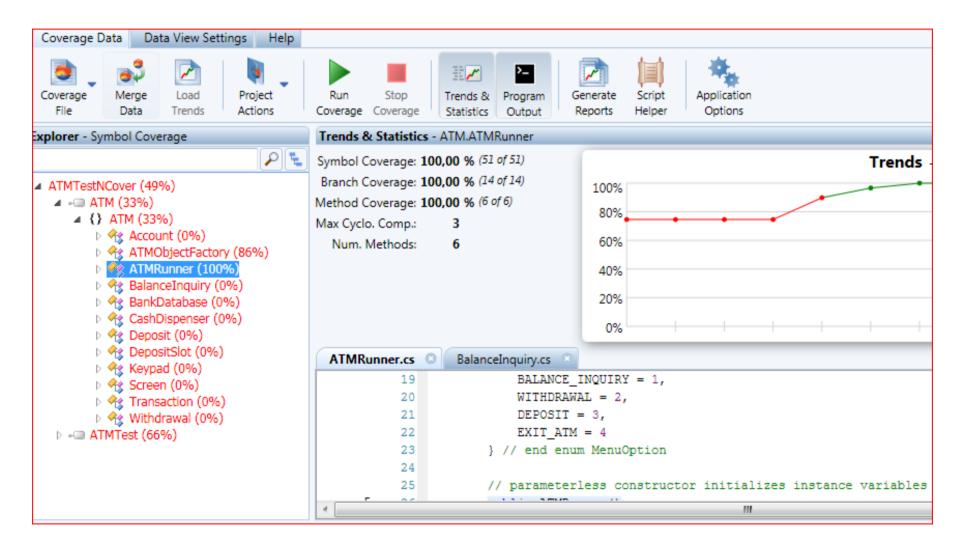
Black-box model of our tests with CTE-XL



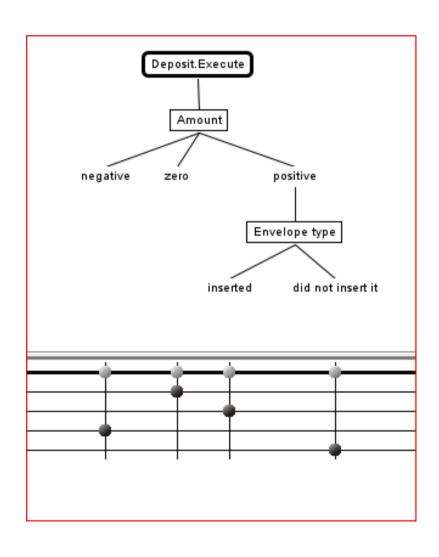
A NUnit test with Rhino Mocks

```
/* CTE-XL testcase design
                firstauthentication
                                        secondauthentication
                                                                firstchoice
                                                                                secondchoice
                                                                                                thirdchoice
Testcase 1
                invalidaccount
                                        validaccountvalidpin
                                                                balanceingury
                                                                                invalidchoice
                                                                                                exit
Testcase 2
               validaccountvalidpin
                                                                invalidchoice
                                                                                deposit
                                                                                                exit
Testcase 3
               invalidpin
                                        validaccountvalidpin
                                                                withdraw
                                                                                balanceingury
                                                                                                exit
Testcase 4
               invalidaccount
                                        validaccountvalidpin
                                                                deposit
                                                                                withdraw
                                                                                                exit
                invalidaccount
Testcase 5
                                        validaccountvalidpin
                                                                exit
[Test]
public void RunOnce Testcase 1 invalidaccount validaccountvalidpin balanceinqury invalidchoice exit()
    using (fMock.Record())
        myScreen.Welcome();
        myScreen.AccountNumber();
        myKeypad.GetInput(); LastCall.Return(777); //invalid account
        myScreen.PIN();
        myKeypad.GetInput(); LastCall.Return(111);
        myBankDatabase.AuthenticateUser(777, 111); LastCall.Return(false);
        myScreen.InvalidAccountOrPin();
        myScreen.Welcome();
        myScreen.AccountNumber();
        myKeypad.GetInput(); LastCall.Return(12345);//valid account
        myScreen.PIN();
        myKeypad.GetInput(); LastCall.Return(54321);
        myBankDatabase.AuthenticateUser(12345, 54321); LastCall.Return(true);
        myScreen.MainMenu();
                                                    //check that mainmenu is displayed
        myKeypad.GetInput(); LastCall.Return(1);
                                                   //user chooses balance inquiry
        myBalanceInquiry.Execute();
                                                    //check that the balance inquiry transaction was executed
        myScreen.MainMenu();
                                                    //check that mainmenu is displayed
        myKeypad.GetInput(); LastCall.Return(5);
                                                    //user chooses an invalid choice
                                                    //check that user receives error msg
        myScreen.InvalidSelection();
        myScreen.MainMenu();
                                                    //Check that main menu is displayed
        myKeypad.GetInput(); LastCall.Return(4);
                                                   //user chooses to exit
        myScreen.GoodBye();
    theATM.RunOnce();
    fMock.VerifyAll();
```

Ncover after test of ATMRunner



Black box model of *Deposit* class



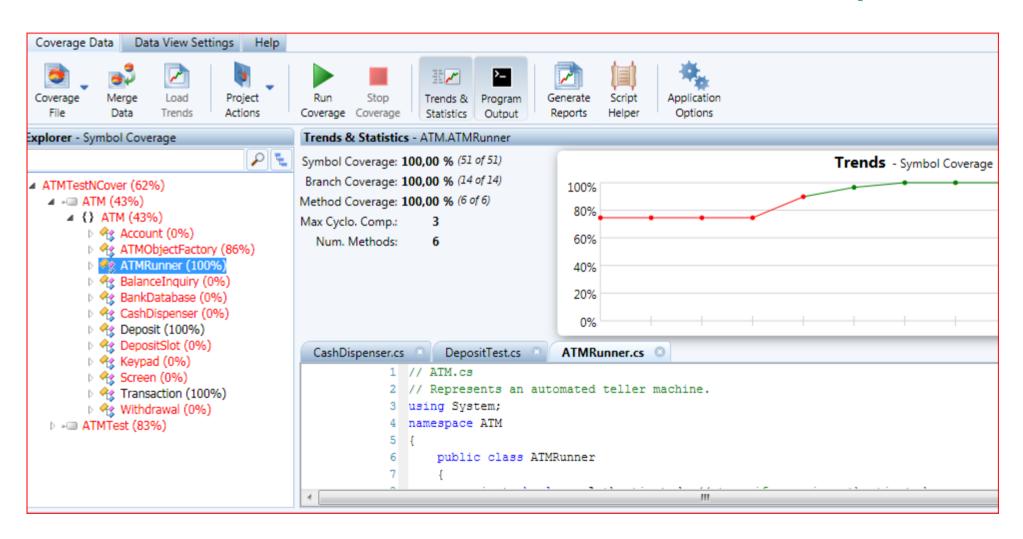
Setup of test fixture for *Deposit*

```
[TestFixture]
class DepositTest
   IKeypad myKeypad;
   IScreen myScreen;
   IBankDatabase myBankDatabase;
   IDepositSlot myDepositSlot;
   Deposit theDeposit;
   MockRepository fMock;
    [SetUp]
    public void Setup()
        fMock = new MockRepository();
        myScreen = fMock.StrictMock<IScreen>(null);
        myKeypad = fMock.Stub<IKeypad>(null);
        myBankDatabase = fMock.StrictMock<IBankDatabase>(null);
        myDepositSlot = fMock.Stub<IDepositSlot>(null);
        theDeposit = new Deposit(12345, myScreen, myBankDatabase, myKeypad, myDepositSlot);
```

Example interaction based test for Deposit

```
Envelope type
                Amount
   Testcase 1 zero
   Testcase 2 positive
                            inserted
   Testcase 3 negative
   Testcase 4 positive did not insert it
[Test]
public void Execute Testcase 1 zero()
    using (fMock.Record())
       myScreen.AskDepositAmount();
       myKeypad.GetInput(); LastCall.Return(0);
       myScreen.CancelingTransaction();
   theDeposit.Execute();
   fMock.VerifyAll();
[Test]
public void Execute Testcase 2 positive inserted()
   using (fMock.Record())
        myScreen.AskDepositAmount();
       myKeypad.GetInput(); LastCall.Return(50);
        myScreen.RequestDeposit(50);
       myDepositSlot.IsDepositEnvelopeReceived(); LastCall.Return(true);
       myScreen.ConfirmDeposit();
        myBankDatabase.Credit(12345, 50);
   theDeposit.Execute();
   fMock.VerifyAll();
```

Ncover after test of ATMRunner and Deposit



Traditional unit testing: state verification

- So far we have seen examples of unit tests that compare expected behavior with actual behavior, as reflected by method calls to collaborating classes
 - This is known as behavior-based, or interaction-based unit testing
 - Has become very popular in the TDD/agile test community because you can fully isolate tests to only one class at the time by means of mocks and stubs
 - However, critics believe that the tests are too close to the implementation, and that as a result, the tests are too fragile
 - Small changes in the code may break the test
 - On the other hand, the oracle is very strong
- The traditionalist approach: state verification
 - Will often require that a test queries collaborating objects for changes in state as a result of running the class under test
 - Consequently, the tests become small integration tests

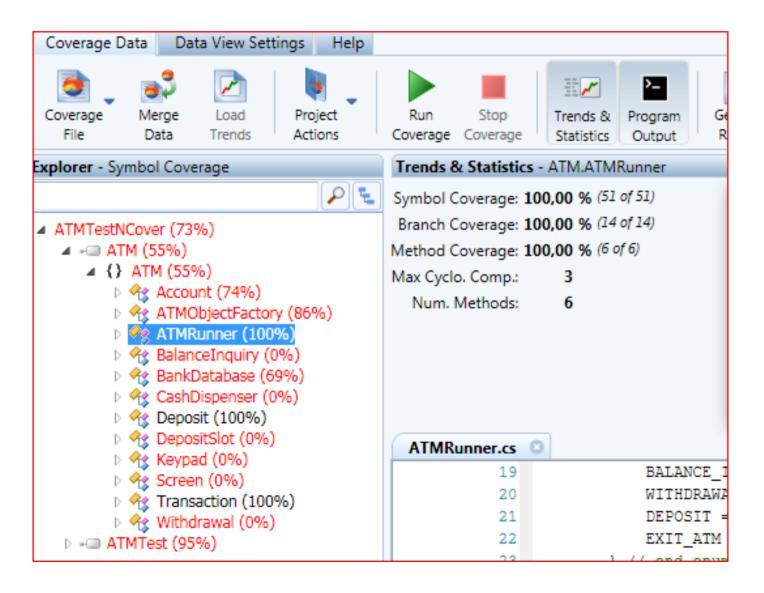
State-based test fixture for Deposit

```
[TestFixture]
class DepositTestState
   IKeypad myKeypad;
   IScreen myScreen;
   IBankDatabase myBankDatabase;
   IDepositSlot myDepositSlot;
   Deposit theDeposit;
   MockRepository fMock;
   [SetUp]
   public void Setup()
       fMock = new MockRepository();
                                                               Now myScreen is a Dummy object
       myScreen = fMock.DynamicMock<IScreen>(null);
       myKeypad = fMock.DynamicMock<IKeypad>(null);
       myDepositSlot = fMock.DynamicMock<IDepositSlot>(null);
       // in this case we use the real object, which contains state that may change as
       // a result of performing a deposit transaction
                                                         Uses the real database, which
       myBankDatabase = new BankDatabase();
                                                         contains the state information
       theDeposit = new Deposit(12345, myScreen, myBankDatabase, myKeypad, myDepositSlot);
```

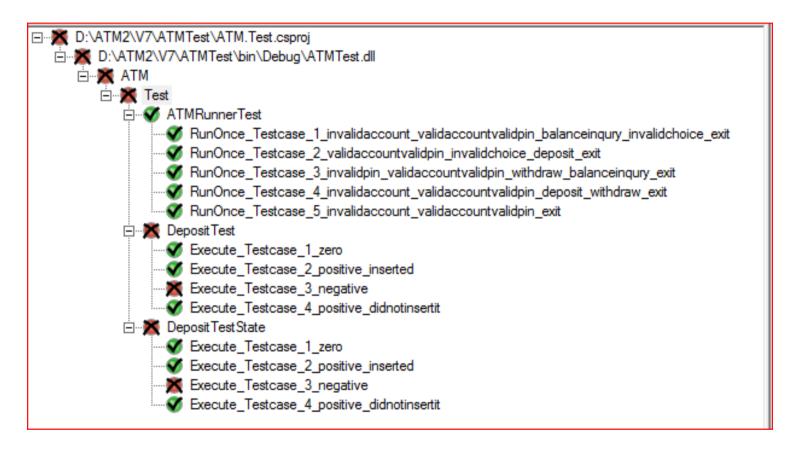
Example state-based test for Deposit

```
Envelope type
               Amount
    Testcase 1 zero
    Testcase 2 positive
                           inserted
   Testcase 3 negative
                           did not insert it
   Testcase 4 positive
[Test]
public void Execute Testcase 1 zero()
    using (fMock.Record())
       myKeypad.GetInput(); LastCall.Return(0);
       // this method should NOT be called, but if it is, it would have returned true by default:
       myDepositSlot.IsDepositEnvelopeReceived(); LastCall.Return(true);
    theDeposit.Execute();
                                                                                Verify state changes in
   Assert.AreEqual(1000, myBankDatabase.GetAvailableBalance(12345));
                                                                                 Collaborating object, not
   Assert.AreEqual(1200, myBankDatabase.GetTotalBalance(12345));
                                                                                behavior
[Test]
public void Execute Testcase 2 positive inserted()
   using (fMock.Record())
                                                                      Just controls the class, no Mocking
       myKeypad.GetInput(); LastCall.Return(50);
       myDepositSlot.IsDepositEnvelopeReceived(); LastCall.Return(true);
    theDeposit.Execute();
    Assert.AreEqual(1000, myBankDatabase.GetAvailableBalance(12345));
    Assert.AreEqual(1250, myBankDatabase.GetTotalBalance(12345));
```

Coverage with state verification



And we found a fault in Deposit!



```
ATM.Test.DepositTest.Execute_Testcase_3_negative:
Rhino.Mocks.Exceptions.ExpectationViolationException : IScreen.RequestDeposit(-100); Expected #0, Actual #1.
ATM.Test.DepositTestState.Execute_Testcase_3_negative:
    Expected: 1200m
But was: 1100m
```

Recomended reading, reflecting the «state of practice»

- Roy Osherove: The Art of Unit Testing with examples in .NET, Manning, ISBN: 978-1-933988-27-6
- Gerard Meszaros: xUnit Test Patterns: Refactoring Test Code, Addison-Wesley, ISBN 0131495054
- http://martinfowler.com/articles/mocksArentStubs.html

Testify

Thank you!