

*INF4820: Algorithms for
Artificial Intelligence and
Natural Language Processing*

Common Lisp Essentials

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Lisp

Eric S. Raymond (2001), *How to Become a Hacker*:

Lisp is worth learning for the profound enlightenment experience you will have when you finally get it; that experience will make you a better programmer for the rest of your days, even if you never actually use Lisp itself a lot.

- ▶ High-level and efficient language with especially strong support for **symbolic** and **functional** programming.
- ▶ Rich language: multitude of built-in data types and operations.
- ▶ Easy to learn:
 - ▶ extremely simple syntax,
 - ▶ straightforward semantics.
- ▶ ANSI-standardized and stable.
- ▶ Incremental and interactive development.

- ▶ Conceived in the late 1950s by John McCarthy—one of the founding fathers of AI.
- ▶ Originally intended as a mathematical formalism.
- ▶ A family of high-level languages.
- ▶ Several dialects, e.g. Scheme, Clojure, Emacs Lisp, and **Common Lisp**.
- ▶ Although a multi-paradigm language, functional style prevalent.





- ▶ Testing a few expressions at the **REPL**;
- ▶ the **read-eval-print** loop.
- ▶ (= the interactive Lisp-environment)
- ▶ **'?** represents the REPL prompt and **'→'** what an expression evaluates to.
- ▶ Atomic data types like numbers, booleans, and strings are **self evaluating**.
- ▶ Symbols evaluate to whatever value they are bound to.

Examples

```
? "this is a string"
→ "this is a string"

? 42
→ 42

? t
→ t

? nil
→ nil

? pi
→ 3.141592653589793d0

? foo
→ error; unbound
```



- ▶ Lisp manipulates so-called *symbolic expressions*.
- ▶ AKA s-expressions or **sexps**.
- ▶ Two fundamental types of sexps;
 1. **atoms** (e.g., `nil`, `t`, numbers, strings, symbols)
 2. **lists** containing other sexps.
- ▶ Sexps are used to represent *both* **data** and **code**.



- ▶ “Parenthesized prefix notation”
- ▶ First element (prefix) = **operator** (i.e. the procedure or function).
- ▶ The rest of the list is the **operands** (i.e. the arguments or parameters).
- ▶ Use nesting (of lists) to build compound expressions.
- ▶ Expressions can span multiple lines; indentation for readability.

Examples

```
? (+ 1 2)  
→ 3
```

```
? (+ 1 2 10 7 5)  
→ 25
```

```
? (/ (+ 10 20) 2)  
→ 15
```

```
? (* (+ 42 58)  
      (- (/ 8 2) 2))  
→ 200
```



```
? (expt (- 8 4) 2)
```

```
→ 16
```

- ▶ You now know (almost) all there is to know about (the rules) of CL.
- ▶ The first element of a list names a **function** that is invoked with the **values** of all remaining elements as its arguments.
- ▶ A few exceptions, called **special forms**, with their own evaluation rules.



- ▶ The special form **defun** associates a function definition with a symbol:

General form

```
(defun name (parameter1 ... parametern) body)
```

Example

```
? (defun average (x y)
    (/ (+ x y) 2))
```

```
? (average 10 20)
→15
```



- ▶ Classic example: the **factorial** function.
- ▶ A **recursive** procedure; calls itself, directly or indirectly.
- ▶ May seem circular, but is well-defined as long as there's a **base case** terminating the recursion.
- ▶ For comparison: a non-recursive implementation (in Python).

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \times (n - 1)! & \text{if } n > 0 \end{cases}$$

```
(defun ! (n)
  (if (= n 0)
      1
      (* n (! (- n 1)))))
```

```
def fac(n):
    r = 1
    while (n > 0):
        r = r * n
        n = n - 1
    return r
```

A Special Case of Recursion: Tail Recursion



- ▶ A more efficient way to define $n!$ recursively.
- ▶ Use a helper procedure with an **accumulator** variable to collect the product along the way.
- ▶ The recursive call is in **tail position**;

```
(defun ! (n)
  (!-aux 1 1 n))

(defun !-aux (r i n)
  (if (> i n)
      r
      (!-aux (* i r)
              (+ i 1)
              n)))
```

- ▶ no work remains to be done in the calling function.
- ▶ Once we reach the base case, the return value is ready.
- ▶ Most CL compilers do *tail call optimization* (TCO), so that the recursion is executed as an iterative loop.
- ▶ (The next lecture will cover CL's built-in loop construct.)

Recursive

```
(defun ! (n)
  (if (= n 0)
      1
      (* n (! (- n 1)))))
```

```
? (! 7)
=> (* 7 (! 6))
=> (* 7 (* 6 (! 5)))
=> (* 7 (* 6 (* 5 (! 4))))
=> (* 7 (* 6 (* 5 (* 4 (! 3)))))
=> (* 7 (* 6 (* 5 (* 4 (* 3 (! 2)))))
=> (* 7 (* 6 (* 5 (* 4 (* 3 (* 2 (! 1)))))
=> (* 7 (* 6 (* 5 (* 4 (* 3 (* 2 1)))))
=> (* 7 (* 6 (* 5 (* 4 (* 3 2)))))
=> (* 7 (* 6 (* 5 (* 4 6)))
=> (* 7 (* 6 (* 5 24)))
=> (* 7 (* 6 120))
=> (* 7 720)
-> 5040
```

Tail-Recursive

```
(defun ! (n)
  (!-aux 1 1 n))

(defun !-aux (r i n)
  (if (> i n)
      r
      (!-aux (* r i)
              (+ i 1)
              n)))
```

```
? (! 7)
=> (!-aux 1 1 7)
=> (!-aux 1 2 7)
=> (!-aux 2 3 7)
=> (!-aux 6 4 7)
=> (!-aux 24 5 7)
=> (!-aux 120 6 7)
=> (!-aux 720 7 7)
=> (!-aux 5040 8 7)
-> 5040
```

The quote Operator



- ▶ A *special form* making expressions self-evaluating.
- ▶ The **quote** operator (or simply `'`) suppresses evaluation.

```
? pi → 3.141592653589793d0
```

```
? (quote pi) → pi
```

```
? 'pi → pi
```

```
? foobar → error; unbound variable
```

```
? 'foobar → foobar
```

```
? (* 2 pi) → 6.283185307179586d0
```

```
? '(* 2 pi) → (* 2 pi)
```

```
? () → error; missing procedure
```

```
? '() → ()
```



- ▶ We've mentioned how sexps are used to represent *both* **data** and **code**.
- ▶ Note the double role of lists:
- ▶ Lists are function calls;

```
? (* 10 (+ 2 3)) → 50
```

```
? (bar 1 2) → error; function bar undefined
```

- ▶ But, lists can also be **data**;

```
? '(foo bar) → (foo bar)
```

```
? (list 'foo 'bar) → (foo bar)
```



- ▶ **cons** builds up new lists; **first** and **rest** destructure them.

? (cons 1 (cons 2 (cons 3 nil))) → (1 2 3)

? (cons 0 '(1 2 3)) → (0 1 2 3)

? (first '(1 2 3)) → 1

? (rest '(1 2 3)) → (2 3)

? (first (rest '(1 2 3))) → 2

? (rest (rest (rest '(1 2 3)))) → nil

- ▶ Many additional list operations (derivable from the above), e.g.

? (list 1 2 3) → (1 2 3)

? (append '(1 2) '(3) '(4 5 6)) → (1 2 3 4 5 6)

? (length '(1 2 3)) → 3

? (reverse '(1 2 3)) → (3 2 1)

? (nth 2 '(1 2 3)) → 3

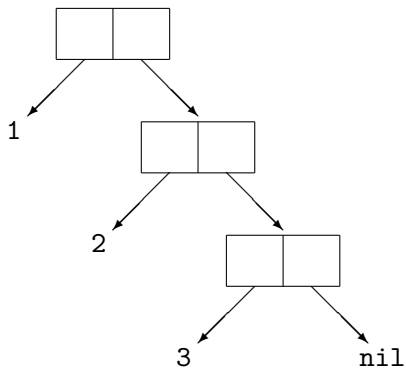
? (last '(1 2 3)) → (3)

Wait, why not 3?

Lists are really chained 'cons cells'

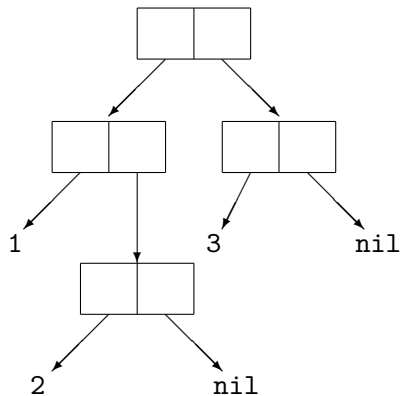


(1 2 3)



`(cons 1 (cons 2 (cons 3 nil)))`

((1 2) 3)



`(cons (cons 1 (cons 2 nil)) (cons 3 nil))`

Assigning Values: 'Generalized Variables'



- ▶ **defparameter** declares a 'global variable' and assigns a value:

```
? (defparameter *foo* 42) → *F00*
```

```
? *foo* → 42
```

- ▶ **setf** provides a uniform way of assigning values to variables.
- ▶ General form:

```
(setf place value)
```

- ▶ ...where *place* can either be a variable named by a symbol or some other storage location:

```
? (setf *foo* (+ *foo* 1))
```

```
? *foo* → 43
```

```
? (setf *foo* '(2 2 3))
```

```
? (setf (first *foo*) 1)
```

```
? *foo* → (1 2 3)
```



Example	Type of x	Effect
<code>(incf x y)</code>	number	<code>(setf x (+ x y))</code>
<code>(incf x)</code>	number	<code>(incf x 1)</code>
<code>(decf x y)</code>	number	<code>(setf x (- x y))</code>
<code>(decf x)</code>	number	<code>(decf x 1)</code>
<code>(push y x)</code>	list	<code>(setf x (cons y x))</code>
<code>(pop x)</code>	list	<code>(let ((y (first x))) (setf x (rest x)) y)</code>
<code>(pushnew y x)</code>	list	<code>(if (member y x) x (push y x))</code>

Shall we jointly write our own **push** and **pop**?



- ▶ Sometimes we want to store intermediate results.
- ▶ `let` and `let*` create temporary value bindings for symbols.

```
? (defparameter *foo* 42) → *FOO*
```

```
? (defparameter *bar* 100) → *BAR*
```

```
? (let ((*bar* 7)
      (baz 1))
    (+ baz *bar* *foo*))
```

```
→ 50
```

```
? *bar* → 100
```

```
? baz → error; unbound variable
```

- ▶ Bindings valid only in the body of `let`.
- ▶ Previously existing bindings are *shadowed* within the lexical scope.
- ▶ `let*` is like `let` but binds *sequentially*.



- ▶ A *predicate* tests some condition.
- ▶ Evaluates to a boolean truth value:
 - ▶ `nil` (the empty list) means *false*.
 - ▶ Anything non-`nil` (including `t`) means *true*.

```
? (listp '(1 2 3)) → t
```

```
? (null (rest '(1 2 3))) → nil
```

```
? (evenp 2) → t
```

```
? (defparameter foo 42)
```

```
? (or (not (numberp foo))  
      (and (>= foo 0)  
           (<= foo 42))) → t
```

- ▶ Plethora of equality tests: `eq`, `eql`, `equal`, and `equalp`.



- ▶ `eq` tests object identity; it is not useful for numbers or characters.
- ▶ `eq1` is like `eq`, but well-defined on numbers and characters.
- ▶ `equal` tests structural equivalence
- ▶ `equalp` is like `equal` but insensitive to case and numeric type.

```
? (eq (list 1 2 3) '(1 2 3)) → nil
```

```
? (equal (list 1 2 3) '(1 2 3)) → t
```

```
? (eq 42 42) → ? [implementation-dependent]
```

```
? (eq1 42 42) → t
```

```
? (eq1 42 42.0) → nil
```

```
? (equalp 42 42.0) → t
```

```
? (equal "foo" "foo") → t
```

```
? (equalp "FOO" "foo") → t
```

- ▶ Also many type-specialized tests like `=`, `string=`, etc.



Examples

```
? (defparameter foo 42)
```

```
? (if (numberp foo)
      "number"
      "something else")
```

→ "number"

```
? (cond ((< foo 3) "less")
        ((> foo 3) "more")
        (else "equal"))
```

→ "more"

General Form

```
? (defparameter foo 42)
```

```
(if <predicate>
    <then clause>
    <else clause>)
```

```
(cond (<predicate1> <clause1>)
      (<predicate2> <clause2>)
      (<predicatei> <clausei>)
      (t <default clause>))
```



- ▶ **Symbols** can have values as **functions** and **variables** at the same time.
- ▶ **#'** (*sharp-quote*) gives us the function object bound to a symbol.

```
? (defun foo (x)
    (* x 1000))
```

```
? (defparameter foo 42) → 2
```

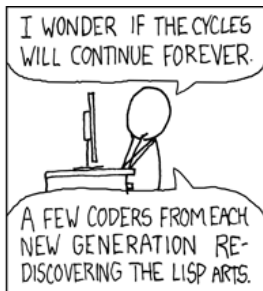
```
? (foo foo) → 42000
```

```
? foo → 42
```

```
? #'foo → #<Interpreted Function FOO>
```

```
? (funcall #'foo foo) → 42000
```

- ▶ **#'** and **funcall** (as well as **apply**) are useful when passing around functions as arguments.



<http://xkcd.com/297/>



- ▶ In the IFI Linux environment, we have available **Allegro Common Lisp**, a commercial Lisp interpreter and compiler.
- ▶ We will provide a pre-configured, integrated setup with **emacs** and the **SLIME** Lisp interaction mode.
- ▶ Several open-source Lisp implementation exist, e.g. Clozure or SBCL; compatible with SLIME, so feel free to experiment (at some later point).
- ▶ First-time user, please spend some time studying basic keyboard commands, for example: **C-h t** and **M-x doctor RET**.
- ▶ We will post a **Getting Started** guide and **Emacs Cheat Sheet** on the course pages next week.



More Common Lisp.

- ▶ More on argument lists (optional arguments, keywords, defaults).
- ▶ More data types: Hash-tables, a-lists, arrays, sequences, and structures
- ▶ More higher-order functions.
- ▶ Iteration (`loop`) and mapping.