



Audio Coding and MP3

Wolfgang Leister

contributions by:

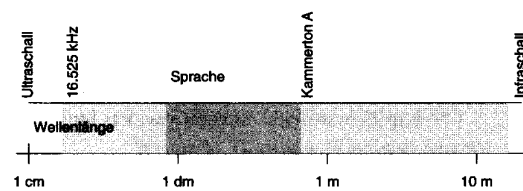
Torbjørn Ekman

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What is Sound?

- Sound waves: 20Hz - 20kHz
- Speed: 331.3 m/s (air)
- Wavelength: 165 cm - 1.65 cm



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Analogue audio

- frequencies: 20Hz - 20kHz
- mono: $x(t)$ scalar
- stereo:
$$x(t) = \begin{bmatrix} x_r(t) \\ x_l(t) \end{bmatrix}$$

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Audio Compression

- small files, low data rate at transmission
- reconstruction must be (as much as possible) similar to original signal
- redundancy (lossless coding)
- irrelevancy (do not code what you cannot hear)

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Data rates

Quality	Sample Rate	Bit/Sample	Channels	Data Rate kb/s	Frequency
Telephone	8.000		8 Mono	64,00	200-3400
MW	11.025		8 Mono	88,00	
UKW	22.050		16 Stereo	705,60	
CD	44.100		16 Stereo	1411,00	20-20000
DAT	48.000		16 Stereo	1536,00	20-20000

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Dynamics compression

■ A-Law

$$S' = \begin{cases} \text{sign}(S) \cdot \frac{A \cdot \text{abs}(S)}{1 + \ln A} & \text{for } \text{abs}(S) \leq \frac{1}{A} \\ \text{sign}(S) \cdot \frac{1 + \ln(A \cdot \text{abs}(S))}{1 + \ln A} & \text{else} \end{cases}$$

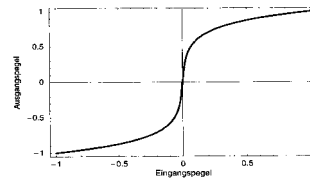
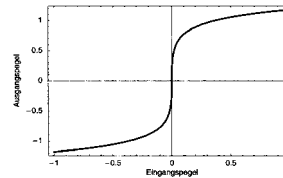


Bild 5.2: A-Law-Kompressionskennlinie für normierte Signalpegel

■ μ-Law

$$S' = \text{sign}(S) \cdot \frac{1 + \ln(1 + \mu \cdot \text{abs}(S))}{\ln(1 + \mu)}, \mu = 255$$

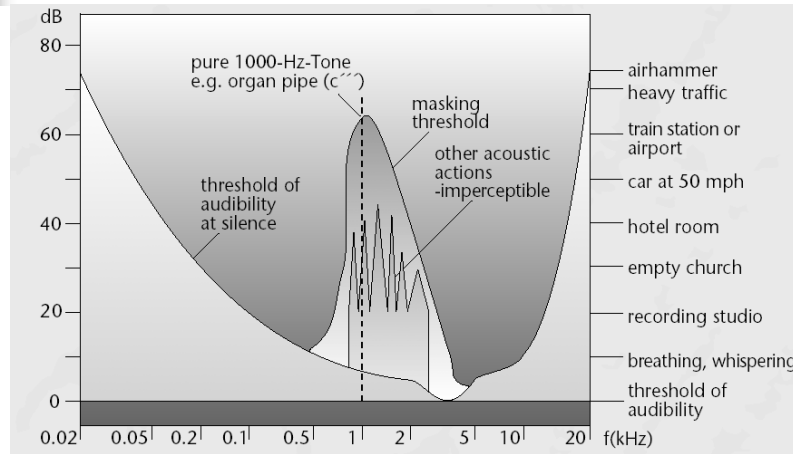


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Masking



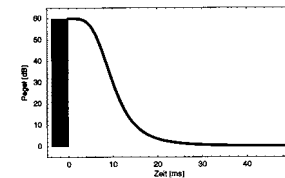
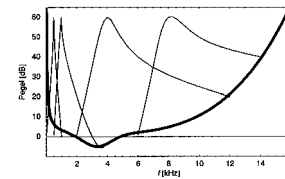
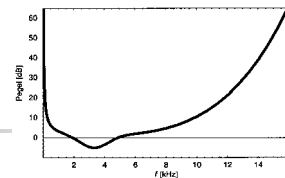
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Masking

- Threshold for human ear
- Threshold changes:
 - neighbouring frequencies (Example 0.5, 1, 4, 8 kHz)
 - in time



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Sampling

- When $x(t)$ is bandwidth-limited:

$$|f| > \omega \Rightarrow x(f) = 0$$

- then

$$x(t) = \sum_{n=-\infty}^{\infty} x[n]g(t - n \cdot \Delta t)$$

- with $\Delta t = \frac{1}{f_s} < \frac{1}{2\omega}$ $x[n] = x(n \cdot \Delta t)$ $g(t) = \frac{\sin(2\pi\omega t)}{2\pi\omega t}$

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Quantisation

- $x \rightarrow Q(x)$
- k bits $\Rightarrow L = 2^k$ representations
- $\{y_1, \dots, y_n\}$
- $|x - y_i| \leq |x - y_j| \Rightarrow Q(x) = y_i$

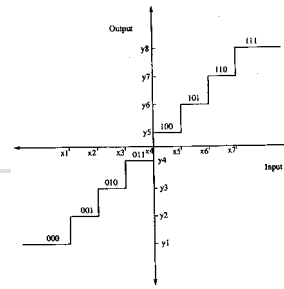


Figure 2.2 The input output characteristics of a uniform quantiser

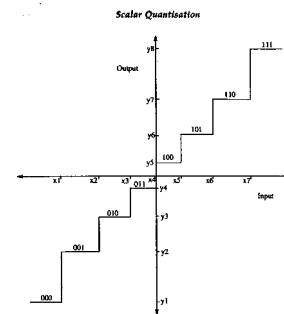


Figure 2.3 The input output characteristics of a non-uniform quantiser

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PCM = Pulse Code Modulation

- Sampling: $\{x(t)\} \rightarrow \{x[n]\}$ redundancy
- Quantisation: $\{x[n]\} \rightarrow \{Q(x[n])\}$ irrelevancy
- Coding: $Q(\{x[n]\}) \rightarrow \{n_i\}$

- Play: $y(t) = \sum Q(x[n_i]) \cdot g(t - n_i \cdot \Delta t)$

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Stereo CD Audio

- Data rate:
$$2 \cdot 16 \text{ bit} \cdot 44.1 \cdot 10^3 \text{ s}^{-1}$$
$$= 1411.2 \cdot 10^3 \frac{\text{bit}}{\text{s}}$$

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MPEG compression factors

- MPEG 1 Audio: PCM 32, 44.1, 48 kHz, max 448 kBit/s
- MPEG 2 Audio: PCM 16, 22.05, 24, 32, 44.1, 48 kHz, max 384 KBit/s

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MPEG Audio Layer I,II,III

- Layer I
- Layer II \Rightarrow Digital TV
- Layer III \Rightarrow MP3

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MP3 - MPEG 1 Audio Layer 3

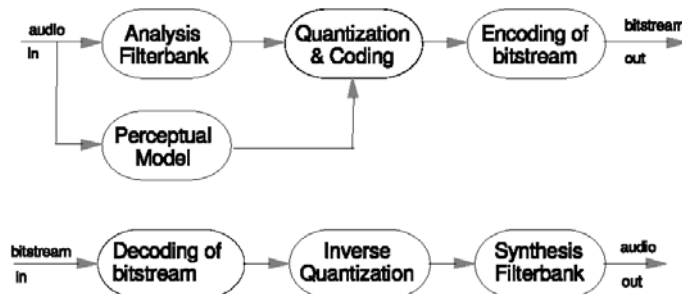
- Sampling: 16 kHz - 48 kHz
- Bit rate: 32 kb/s - 192 kb/s
(CD Audio: 44.1 kHz, 1411 kb/s)
- www.iis.fhg.de/amm/gallery/index.html
- Karlheinz Brandenburg: "MP3 and AAC explained"
<http://www.exp-math.uni-essen.de/~dreibh/diplom/bra99.pdf>

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perceptual encoding / decoding



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Filterbank

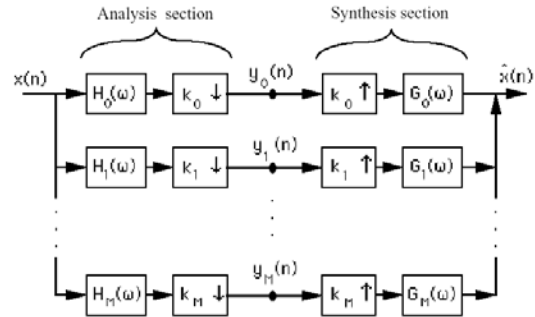


Figure 4.2: An analysis/synthesis filter bank.

$$\begin{aligned}\hat{X}(\omega) &= \frac{1}{k} \sum_{i=0}^{M-1} \left[\sum_{j=0}^{k-1} H_i\left(\omega + \frac{2\pi j}{k}\right) X\left(\omega + \frac{2\pi j}{k}\right) \right] G_i(\omega) \\ &= \frac{1}{k} \sum_{i=0}^{M-1} H_i(\omega) G_i(\omega) X(\omega) \\ &\quad + \frac{1}{k} \sum_{j=1}^{k-1} X\left(\omega + \frac{2\pi j}{k}\right) \sum_{i=0}^{M-1} H_i\left(\omega + \frac{2\pi j}{k}\right) G_i(\omega) \quad (4.2)\end{aligned}$$

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Ideal sub-band coder

- impossible: ideal sub-band coder
- downsampling \Rightarrow aliasing
- possible: "nearly perfect"

$$H_m(f) = \begin{cases} 1 & \text{for } |f| \in D_m, m = 1, \dots, M \\ 0 & \text{else} \end{cases}$$

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Downsampling

- from $M \cdot f_s$ back to f_s
- sub-bandwidth B , upper frequency is multiple of B
- can sample at $f_s = 2B$
(instead of $f_s = 2M \cdot B$)

$$x_m[n] \longrightarrow \boxed{\downarrow M} \longrightarrow y_m[k]$$

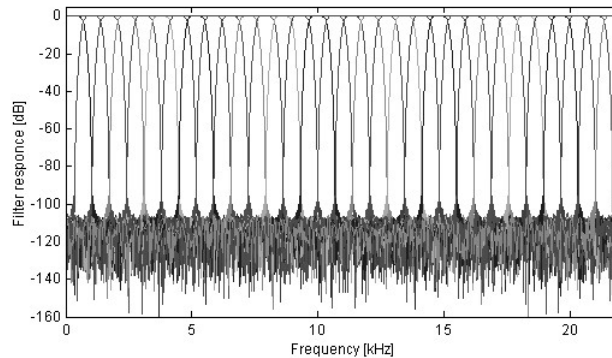
$$y_m[k] = x_m[k \cdot M]$$

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Filterbank in MPEG-1 audio layer 1-3



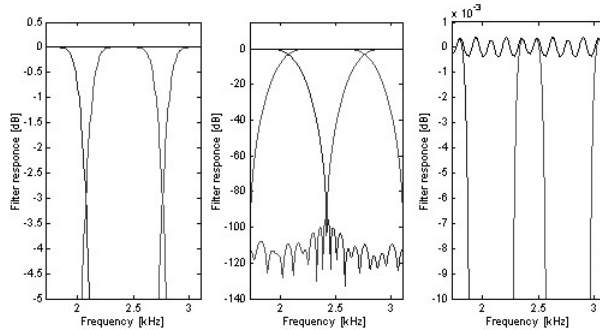
- Polyphase filterbank
- 32 subbands
- 512 tap FIR-filters
- 80 + and * per output
- Equal width
- Not perfect reconstruction
- Frequency overlap

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A closer look



- The subbands overlap at 3 dB to the adjacent bands.
- The leakage to the other bands is small.
- The total response almost adds up to one (0 dB).

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White noise



- The white noise run through the filterbank.
- The samples from each band are played in the order of the subbands.
- The subsampled filtered sequence.
- The samples from each band are played in the order of the subbands.
- The reconstruction error is -84 dB.



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Nonideal filterbanks

$$Y(e^{j\omega}) = X(e^{j\omega}) \underbrace{\frac{1}{M} \sum_{k=0}^{M-1} H_k^R(e^{j\omega}) H_k^A(e^{j\omega})}_{\approx 1} + \underbrace{\sum_{n=1}^{M-1} X(e^{j(\omega - \frac{2\pi n}{M})}) \frac{1}{M} \sum_{k=0}^{M-1} H_k^R(e^{j\omega}) H_k^A(e^{j(\omega - \frac{2\pi n}{M})})}_{\approx 0}$$

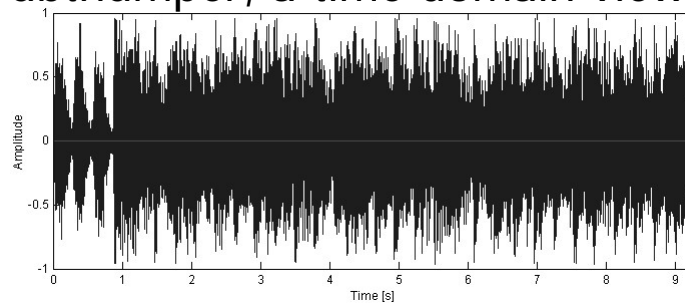
- In a perfect filterbank the first part is the only part.
- The second part consists of the aliasing terms.
- The filterbank is designed so that the aliasing is small.

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Tubthumper, a time domain view



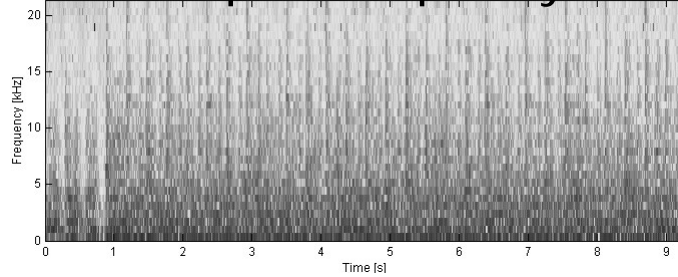
The red line is the reconstruction error after splitting the signal in subbands, down sampling and applying the synthesis filterbank. The reconstruction error is -84 dB and sounds like

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Tubthumper, frequency view



Subband	1	2	4	8	16	32
Center frequency [kHz]	0.3	1.0	2.4	5.2	10.7	21.7
No subsampling						
Subsampled 32 times						

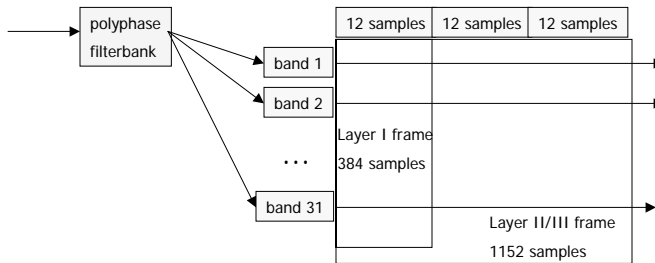
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Filterbank MPEG



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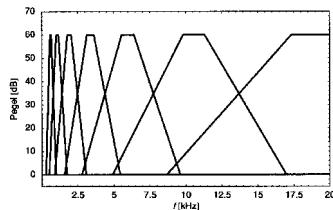
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Critical Bands

- Heinrich Barkhausen (1881-1956)
- psycho-acoustic
- width measured in bark



$$1 \text{ bark} = \begin{cases} f/100 & \text{for } f < 500 \\ 9 + 4 \cdot \log(f/1000) & \text{else} \end{cases}$$

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MPEG - Sub bands

- Layer I: 32 bands, 625 Hz each, Fourier transform
- Layer II: 32 bands, three frames, time masking
- Layer III: Division according to critical bands

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MPEG masking

- Psycho-acoustic model
- masking of neighbouring bands
- signals are coded when above masking threshold
- MUSICAM (Masking-pattern adapted Universal Subband Integrated Coding and Multiplexing)
 - Layer I: simplified, Layer II: entirely, Layer III: with other methods

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Example: Masking MPEG Audio

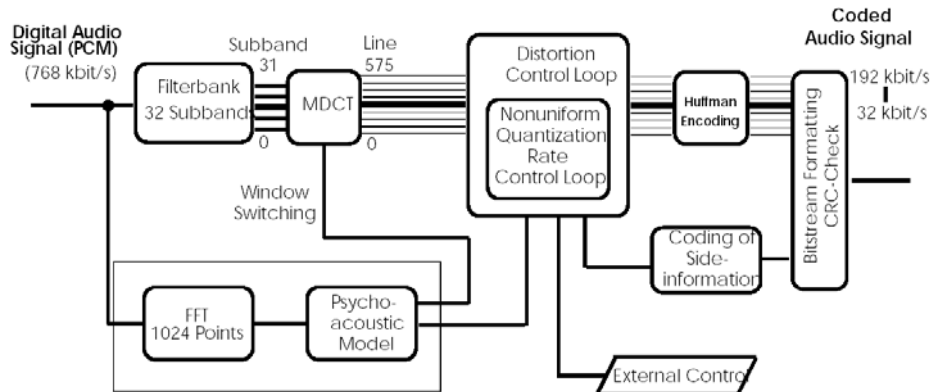
band	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
level	1	8	12	10	6	2	10	60	35	20	15	2	3	5	3	1
masking	?	?	?	?	?	?	12	x	15	?	?	?	?	?	?	?
coding	?	?	?	?	?	?	-	x	x	?	?	?	?	?	?	?

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MPEG-1 Layer 3 encoder



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MP3

- Filter bank - sub bands
- Series MDCT
- fine grain frequency resolution
- non-uniform quantisation
- perception model
- Huffman coding

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MP3 (vs. Layer I/II)

- modified DCT (Series MDCT vs. FFT)
- critical bands
- Huffman coding
- entropy reduction
- dynamics compression
- difference and sum of stereo signals

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MPEG Audio Layer I,II,III

- Layer I: 19 ms delay, FFT, 384 samples, frequency masking, equal bands
- Layer II: 35 ms delay, FFT, 1152 samples, frequency masking, time simulated, equal bands
- Layer III: 59 ms delay, DCT, 1152 samples, frequency and time masking, bands as in bark scale

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MPEG Layer I, II, III

	<i>subj. quality</i>	<i>bandwidth</i>	<i>compression</i>	<i>1 min audio</i>
Audio CD	CD	1400	1:1	10.58 MB
MPEG1 Layer I	CD	384	3.6:1	2.88 MB
MPEG1 Layer II	CD	256	5.5:1	1.92 MB
MPEG1 Layer III	CD	128	11:1	962 kB
MPEG2 Layer III	Radio	64	22:1	481 kB
MPEG2 Layer III	Telephone	16	88:1	120 kB
CS-ACELP	Speech	5,30	264:1	40 kB

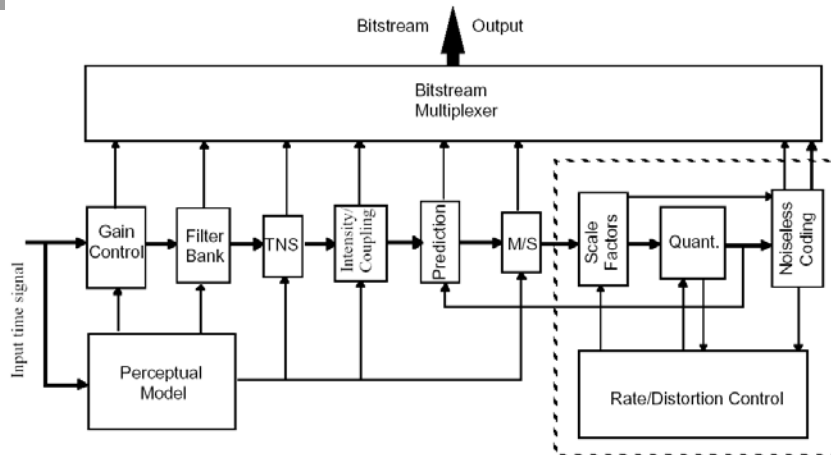
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MPEG-2 AAC



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Audio Formats

- **PCM - Pulse Code Modulation**
ITU G.711; speech data 4kHz bandwidth, 64 kb/s data rate
- **ADPCM (Adaptive Differential PCM)**
ITU G.726, G.727; 16, 24, 32, 40 kBit/s. Standard for CCITT G.721
- **SB-ADPCM (Sub-Band ADPCM)**
ISDN, G.722; 7 kHz bandwidth in 64 kBit/s streams

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Audio Formats

- **AIFF - Audio Interchange File Format**
Apple (extension from IFF by Electronic Arts)
- **Wave (by Microsoft and IBM)**
Part of RIFF (Resource Interchange File Format)
- **NeXT/Sun Audio File Format**
! big endian

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Proprietary Audio Formats

- AT&T Proprietary Compression Algorithm
- EPAC (Bell Labs)
- Microsoft Windows Media Audio (WMA)
- AC-3 Audio Code No. 3 - Dolby Digital Surround

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Speech compression formats

- GSM 06-10: 160 13-bit values in 260 Bit (33 Byte) are compressed; 8000 samples/s result in data rate of 1650 Byte/s
- CELP (Code Excited Linear Prediction): analytical model
- LD-CELP (Low Delay CELP): G.728
- LPC-10E (Linear Prediction Coder (Enhanced)): military coder, analytical model, 2.4 kBit/s understandable, but low quality.

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End of Part



Thank you for your attention!

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