INF5180: Software Product- and Process Improvement in Systems Development

Part 05:

Problem Solving and Improvement – in Groups



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Groups

"There is nothing more difficult to handle, more doubtful of success, and more dangerous to carry out than initiating changes..."

(Niccolò Machiavelli, Il Principe, ~1500)



Page 2

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Problem Solving and Improvement at Group Level

- Motivation
- The creation of the group
- The evolution of the group
- The achievements of the group
- The group as decision maker



Page 3

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What is a Group?

- Definitions:
 - "two or more interdependent individuals who influence one another through social interaction" (Cartwright & Zander, 1968)
 - "a group exists when two or more people define themselves as members of it and when its existence is recognized by at least one other" (Brown, 1988)
- Group characteristics:
 - Interaction, structure, size, common objectives, cohesion ("proximity between group members"), dynamics/change.



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What is Group Dynamic?

Group dynamics is about:

- · social interaction within groups
- the effect of the group's structure and size on the actions (behavior) of group members
- (intended or unintended) changes in the group's behavior
- the use of groups to achieve goals/objectives



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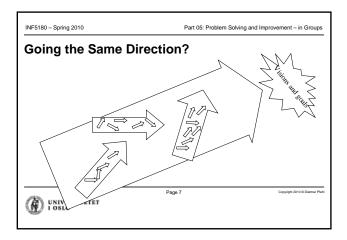
Why Group Dynamic in INF5180?

- Most of the work in sw & system development organizations happens in groups
- Although process improvement initiatives sometimes meet resistance when doing
 - process assessments,
 - planning and executing measurement programs,
 - documenting processes.
 - arranging training courses and so on,
 - \dots the strongest resistance emerges (deliberately or not) when attempting to actually change the way individuals and groups work.
- Understanding the group dynamics in a development organization is probably the most important key to improve processes (why? → see next slide)



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Why form a Group?

- Survival (originally, the most important cause)
- Some tasks simply require collaboration in groups (→ buffalo hunting, constructing (larger) buildings, streets, warfare (?), sw development)
- Psychological need
 - Affiliation/belonging
 - Power ("together we are stronger")
 - FIRO (Fundamental Interpersonal Relations Orientation by W.C. Schutz) Need for inclusion

 - Need for control

Need for affection See: http://en.wikipedia.org/wiki/Fundamental_Interpersonal_Relations_Orientation



Page 8

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Why form a Group? (cont'd)

- Social support
 - Emotional support
 - Avoiding loneliness
 - Reinforcing one's self-appreciation (positive feedback)
- · Information need
 - Comparison of one's own judgments and attitudes with those of others ("social comparison")
 - But, we often seek information from persons/groups with very similar attitudes as ours (→ due to psychological needs)

Important: Be aware of cultural differences

- E.g., collectivism vs. western individualism, see next slide.



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Qua	lity and Cu	ulture (differences) ["Strategic alliar	nces" by P. Lorangel
	A story from Japan (,
	450 people with suits.	The manager Tony Kobayashi arrives. In unisono: "good morr mids were built over long period of time by many people", "car	
	surely", "the hare a	and the tortoise". Finally all stand up and sing "the quality circle	song".
	THE QUALITY CIRCLE S		BTW, IBM
	Oh!, the friends sp	one another, friends united with keen spirits. eak about the new dreams, about quality control. the objectives clearly, quality circles filled with light.	had a strong culture of
	With an all-time increa	asing morale, the days become full of systematic works onderful, promising businesses that flourish.	song singing
	They struggle for to	omorrow's ideas, quality circles filled with motivation.	from the 1930s to the 1950s!
	Oh!, this way mear	h one another, this way will choose good means ns luck, further growth of Japanese culture ential, quality circles filled with future.	
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Qual	ity and Cu	ılture (differences)	
	npany song:	"Ever Onward," written in	1931 by IBM'er
Let's run o We have a A wide blue Open-ness	ut now, to greener fields, where shi dream, a wondrous dream, that get e sky is in our heart now, in our soul, gether going onwards now, s our goal.	nes a splendid Sun. s the best things done. "There's a thrill in store for	all
Ahhhh Fuji Verse 2: Lets join o	itsuuuuu, oh tomorrow is our goal.	The corporation that we rep at each new hour. We're here to cheer each p	
We have a We want to All the stre We plan un	ur hands, with everyone, and smile dream, an endless dream, of youth use all our skill now, nights unfurled, liting all our new techniques, a world.	ful love and power. And also proudly boast,	
Over all the Chorus: Ahhhhh Fu	e world. Ijitsuuuuu, forges links all over the	Of that man of men Our friend and guiding han	d
Verse 3: Let's make	a bond from heart to heart through	thout the human race The name of T.J. Watson r	neans
	power, now in our grasp, can even find a new harmony, rk and play, the fresh things we discover now, new day.		
Chorus: Ahhhhh Fu	ijitsuuuuuu, GIVES A JOY WITH EV	ERY NEW DAY! Here to toast the IBM."	
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Wha	t triggers	Group Forming?	
	Physical closene		
•	 people who by 	y chance are located in the vicinity	
	 people we often "like-minded child 	en meet dren play well" - we like persons who resem	ble us (it's
	common that spo	ouses are from the same social class/group	1
	 Fewer conflict 		
	 Affiliation/belo Complementing 	onging qualities – we like persons in the group who	correspond to
	the group's need	Ś	correspond to
•		ciple [or maxmin-principle] os where you minimize the maximum loss (cost)	for maximize the
	minimum valu		

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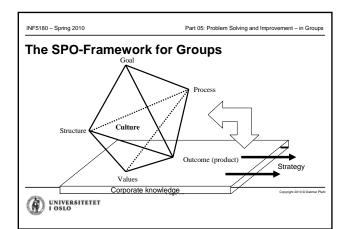
What triggers Group Forming? (cont'd)

- We like to be in a group with those who like us (reciprocal feelings)
- We seek to be with the interesting/clever people (→ soccer team: "we won" but "they lost")
 - BIRGing (Basking In Reflected Glory): one's self esteem and evaluation can be enhanced by the identification with another person's success
- We like to be in the same group as "good-looking people"

Page 13

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SPO-Framework for Groups vs. Individuals – Remarks

- Correspondence between the SPO-framework for individuals and for group means that
 - the group's structures, processes and outcomes correspond with the individuals' needs/preferences regarding structure, process, outcome,
 - the group's culture, values and objectives (goals) correspond with the individuals' personalities, values and objectives (goals).



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Exercise

- In the book «Il Principe» (which is a textbook for old days' "managers" = princes) Machiavelli offers the following recommendation:
 - «And he who becomes master of a city accustomed to freedom [e.g., "democratic" republics] and does not destroy it, may expect to be destroyed by it, for in rebellion it has always the watch-word of liberty and its ancient privileges as a rallying point, which neither time nor benefits will ever cause it to forget.»

(The Prince, Chapter V) Source: http://www.constitution.org/mac/prince.txt

• Question: In what situations can similar drastic measures (i.e., split-up of groups, complete re-organisation) become necessary in software companies?



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The Evolution of a Group

TUCKMAN B (1965) "Developmental Sequence in Small Groups" Psychological Bulletin 63 pp. 384-399

- An ideal group (a team) consists of "A small number of people with complementary skills who are committed to a common purpose, performance goals, and a common approach for which they hold themselves mutually accountable."

 [Kutzerbach, J.R. and Smith, D.K. The Wisdom of Teams: Creating the High-Performance Organization, Cambridge, Mass.: Harvard Business School Press, 1993.]
- Five typical stages (the Tuckman sequence):
 - Forming group members are introduced
 - 2. Storming the group transitions from "as is" to "to be"
 - 3. Norming the group reaches consensus on the "to be" process
 - Performing the group has settled its relationships and expectations and works efficiently and effectively
 - 5. Adjourning the group dissolves and shares the improved processes with others



Page 17

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Staged Development of Groups – Remarks

- · The Forming, Storming, Norming, Performing stages might seem to be obvious but are in fact difficult.
 - The Forming stage is relatively easy.
 - Participants want to move to the Performing stage without passing through all of the first three stages.
 - The Storming stage is difficult and many times the cause of a group's failure to succeed.
 - The Norming stage is an important pre-requisite for the Performing stage.
 - Performing is (relatively) easy once the storming process is complete.

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Staged Development of Groups – Remarks

- All stages are necessary for group evolution but it is not always easy to tell when a stage is complete:
 - The group members agree that the stage is complete?
 - The group's supervisor agrees the stage is complete?
 - The group simply moves on to the next stage (without noticing)?
- The understanding of "exit criteria" of stages is essential to assess whether a group is evolving "properly". For some stages, the exit criteria can/should be defined by the group.

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The Evolution of a Group - Forming

- The "polite" stage in which the team starts to form.
- Everyone is trying to figure out what the group concept is (the group's informal structure).
- Initial "silent" leaders may take the rein.
- The team is usually positive for the most part during initial meetings.
- No one has offended anyone at this point yet!



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The Evolution of a Group - Forming (cont'd)

Forming includes feelings and behaviors of:

- Excitement, anticipation, and optimism.
- Pride in being chosen for the project (if it's a new project group).
- A tentative attachment to the team suspicion and anxiety about the job.
- Defining the tasks and how they will be accomplished.
- Determining acceptable group behavior.
- Deciding what information needs to be gathered.
- Abstract discussions of the concepts and issues, and for some members, impatience with these discussions. There will be difficulty in identifying some of the relevant problems.



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The Evolution of a Group – Forming (cont'd)

- Because there is so much going on to distract members' attention in the beginning, the group accomplishes little, if anything, that concerns it's project goals.
 - NB: This is perfectly normal.
- · Success factor: Share knowledge during "forming".
 - Showing that you have confidence in others helps provide confidence (of others).
- Exit Criteria?

Page 22



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The Evolution of a Group - Storming

- The honeymoon is over.
 - The silent leaders may be clashing for control of the group.
 - People disagree and may blame the group concept, saying it doesn't work.
 - Management needs to do a lot of coaching to get people to work past their differences, may take separate 1-on-1's with people.

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The Evolution of a Group – Storming (cont'd)

Storming includes feelings and behaviors of:

- · Resisting the tasks.
- Resisting quality improvement approaches suggested by other members.
- Sharp fluctuations in attitude about the group and the project's chance of success.
- Arguing among members even when they agree on the real issues.
- Defensiveness, competition, and choosing sides.

- Questioning the wisdom of those who selected this project and appointed the other members of the team.
- Establishing unrealistic goals. Disunity, increased tension, and jealousy.



The Evolution of a Group – Storming (cont'd)

- The above pressures mean that team members have little energy to spend on progressing towards the team's goal.
- But they are beginning to understand one another. This phase sometimes takes 3 or 4 meetings before entering the Norming phase.
- · Storming is very much about creating structure.
- Exit Criteria?

Page 25



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The Evolution of a Group – Storming (cont'd)

Remarks on "Storming":

- Low conflict level in the group is often a signal for little involvement
- · Conflicts are often useful to get solidarity (if conflicts are managed reasonably and not intensified)
 - "The threshold theory of conflict" (pp. 80-82 in Group Dynamic, Forsyth)
- Question: What do you think is an ideal conflict level in a group?



Page 26



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The Evolution of a Group – Norming

- The group is starting to work well together, and is now focusing more on Process (and Outcome).
- Members may start to brag about the group concept to others who aren't in the group and will be very positive about their role in the group.
- Often, however, the team will bounce back and forth between Storming and Norming when issues crop up.

 Regressions will become fewer and fewer and the team will bounce back to Norming in a quicker manner as the group matures.
- The natural leaders at this stage may not be the ones who were visible in stages 1 & 2 (those people may no longer have the "unofficial lead roles" within the group).
- The group still needs management direction, but not as much as during



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The Evolution of a Group – Norming (cont'd)

- Norming includes feelings and behaviors of:
 - Ability to express criticism constructively.
 - Accepting membership in the group.
 - An attempt to achieve harmony by avoiding conflict.
 - More friendliness, confiding in each other, and sharing of personal problems.
 - A sense of team cohesion, spirit, and goals.
 - Establishing and maintaining group ground rules (Group Culture).
- As team members begin to capitalize upon their differences, they now have more time and energy to spend on the project.
- Exit Criteria?

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The Evolution of a Group - Performing

- · Now the group is most productive.
- The group can be given new projects and tasks and accomplish them successfully, and less often falls back into Storming.
- The group is taking on new work on their own, and selling it to other groups.
- The group can usually take on a new member or two with little difficulty (with regards to Stroming and Norming).
- The group works self-directed and requires little, if any, management direction.
- In many organizations, it can take 6 months or longer to reach this stage!

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The Evolution of a Group – Performing (cont'd)

- · Performing includes feelings and behaviors of:
 - Members have insights into personal and group processes, and better understanding of each other's strengths and weakness.
 - Constructive self-change.
 - Ability to prevent or work through group problems.
 - Close attachment to the team.
- The group is now an effective, cohesive unit. You can tell when a group has reached this stage because they get a lot of work done.
- Exit Criteria?



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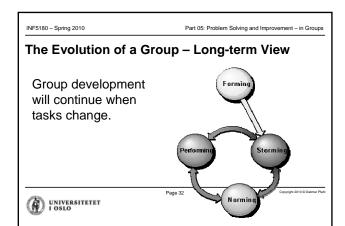
The Evolution of a Group - Adjourning

- The group briefs and shares the improved process during this phase.
- When the group finally completes that last briefing, there is always a bittersweet sense of accomplishment coupled with the reluctance to say good-bye.
- Many relationships formed within such a group may continue long after the group disbands.
- Exit Criteria?

Page 31

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Group Evolution and Process Improvement

- Introduction or change of processes often follows the five stages of group evolution (forming, storming, norming, performing, adjourning).
- The conflict level (when introducing/changing processes) can be a good indicator for future success.
 - Experienced consultants in process improvement become suspicious when there is lack of conflict!
 - However: if the conflict level is too high, the group may never reach the Norming phase

Page 33



Conv

Group Evolution and Process Improvement

- How important is it to go through all phases, i.e. Norming?
 - Studies show that
 - more homogenous productivity (but not necessarily higher) when more time is spent on Norming.
 - high/low productivity is related to the type of norms (→ structures, processes)
- Most SPI frameworks or development methods do not take into account which stage a group is in.
- A strong control-focused steering method can be proper for some groups, while a more confidence-based (self-)steering method can be proper in a well functioning team.
 - Question: What is the difference between "control" and "feedback"?





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Group Evolution and Process Improvement

Group building aspects relevant for improvement work:

- Group can have sub-groups in different development activities
- Group formation is a cyclical process
- Group formation is dialectic → balance between efficiency/effectiveness and "social" aspects
- How/by whom are the norms determined that determine the productivity? Is it strong personalities (perhaps without formal power) which should be won?
- Integrating new group members? (\Rightarrow group socialization)



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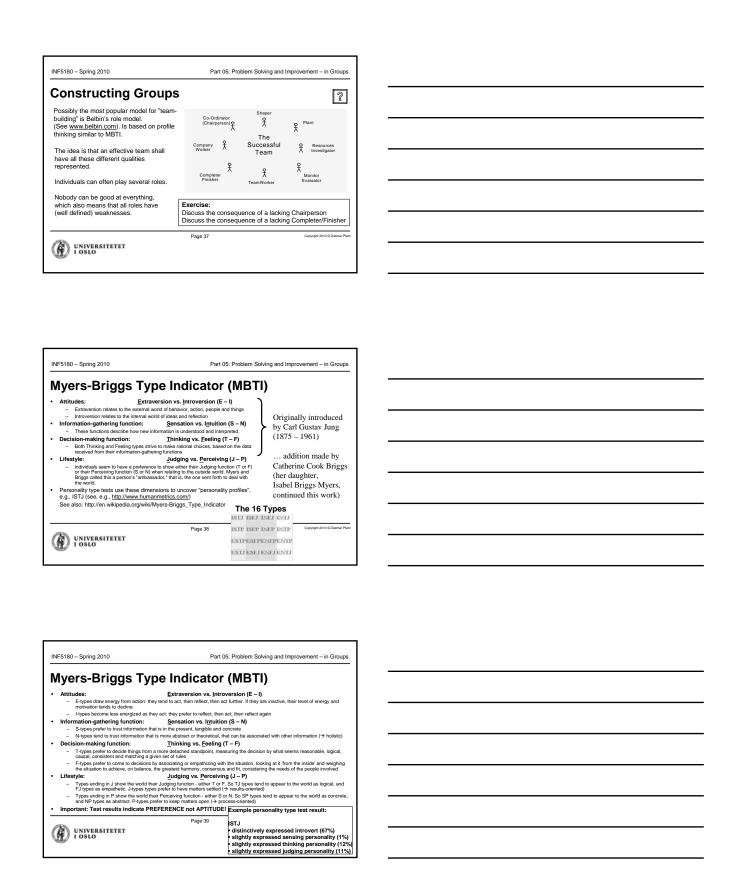
Role Evolution (the individual's view) - Steps

- Registration
- Arrival of non-member
- Evaluation
 - Group evaluates new member / new member evaluates group → quasi-member
- Socialization
 - Assimilation, the group and the new member get acquainted with each other
 Acceptance and full integration of new member → full member
- Maintenance
 - Role re-evaluation (repeatedly)
 - If role no longer suitable/needed → marginal member
- "Re-socialization" or exclusion of marginal member



Page 36

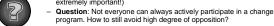
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Organization Development

- Important learning from (Coch & French, 1948) experiment:
 - Increased degree of participation in change program reduces degree of resistance and stimulates increased output. (Perhaps trivial, but extremely important!)



- Important means in organization development
 - Organization analysis (survey-based or in-depth assessment)

Page 40

- Process information/consultation
- Team building
- Conflict diagnosis
- Training in communication ("interpersonal skill")

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Trust

- Confidence in colleagues and managers appeared to be most important factor in support of job satisfaction (Driscoll, 1973).
- Groups with high degree of trust are more effective than those with less confidence.
 - E.g., the reaction to well-justified criticism will usually be different in trustful relations than where you suspect someone could have used or plans to use the criticism against you.
 - It is difficult to imagine successful improvement work in total absence of criticism.



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Communication Elements

- · Meaningful communication demands
 - Shared code (lexicon, syntax, and semantics)
 - Proper usage of the code (→ pragmatics)
- Meta communication and non-verbal communication
- · Communication structure
 - Organizational structure (line, matrix, project, ...) is about structuring communication

- "Linking individuals"
- Informal structures
- Communication process requires feedback:





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Good Communication

- Good communication is a two-way process that involves getting your message across and understanding what others have to say.
- Good communication involves active listening, speaking and observing.
- Once you know the essentials of a working communication process, you can begin to evaluate your communication skills.
 - Begin to watch yourself in action.
 - Each time you communicate observe what you do, how it went, what went well, and what could have been better.



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Communication Structure

- Number of communication channels (dialog) in a group is n(n-1)/2
- Number of possible communication situations is n(2ⁿ⁻¹-1)

Team size =	1	2	3	4	5	6	7	8	9	10	11
Comm. channels		1	3	6	10	15	21	28	36	45	55
Comm. sit.		2	9	28	75	186	441	1016	2295	5110	11253

 Fred Brooks showed in the book The mythical man-month that taking on new developers late in a project creates additional delay. One of the main reasons for this effect is the non-linear relationship between group size and communication channels.



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Communication Structures

• Exercise: What are possible ways to reduce the negative effects of "exploding" communication structure?

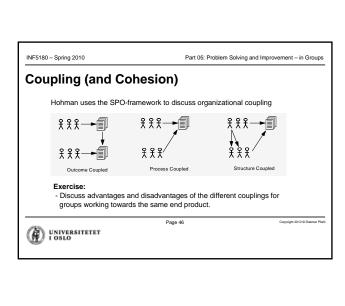


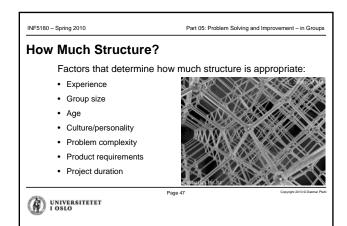
• Exercise: What other factors can influence productivity in a negative way?



Page 45

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- Hohmann proposes a structure for status reports from project members to project managers. The structure looks like this: Name of developer + date

 Name of developer + date

 Completed work.

 Ongoing work.

 Future work.

 - Comments/risk points/problems
 Status related to plan (plan vs. actual)
- What are the advantages and disadvantages of having such formal communication?
- Hohmann points out the importance of the status report not being longer than one page. Which effect has this on communication?
- Should the project manager also make such status report for project members



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Working in G	roups
«Twelve men's	strength, but how many men's wit?»
	t is largely communication, work in groups and meetings (> 50%)
	composition is difficult, and usually constrained by scarce lar with regard to the optimal mix of skills and experience).
	o output it is useful to know what type of tasks are best solved best solved individually (before presenting them to the group).
• Productivity =	Potential productivity - loss due to bad work process + premium due to positive group dynamics (→ synergies)
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Exercise	 We have earlier described factors that
	were important for group forming.
	Factors: psychological needs
	task complexity information need
	social support
	survival sympathy
	Analyze how these factors can
	promote/inhibit the productivity in
	sw/system development.
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Group Work	
Some result	Its from studies (→ survey of 241 studies including 24 000

- persons by [Bond & Titus, 1983]):
 - Solving <u>simple</u> tasks in groups (even with passive listeners) increases performance (efficiency). This is called "social facilitation". However, groups do not increase quality (effectiveness).
 - Solving complex tasks in groups decreases performance (and quality).
 This is due to the fact that groups "bind cognitive resources", thus impairing the primary task.
- What distinguishes "simple" from "complex" tasks?
 - (Zajono 1965); simple = "dominant responses" (simple, well-learned/instinctive responses), complex = "non-dominant responses" (new, not yet "learned" responses")



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Why does the presence of others affect us?

- "Arousal": Audience is stimulating/activating and triggers dominant responses at the expense of the non-dominant responses.
 - This result also applies to animals (cockroach -study (Zajonc, Heingartner & Herman, 1969))
 - Perhaps it is that why highly trained athletes with well-studied movements (e.g., high jumpers) stimulate the spectators to intensify clapping and cheering when the do their next attempt.
- "Distraction": Facing an audience we become more "self-aware" (focused on how we perform etc) and focus on how the audience reacts.
 - For complex tasks the positive effect of arousal will be overruled by distraction (Baron 1986).

Page 52

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Why does the presence of others affect us?

- "Evaluation apprehension": When others are present we automatically feel (and possibly are) evaluated - i.e., we expect "reward" or "punishment" (lose face) depending on how we perform.
 - E.g., committing a mistake is a source for losing face. For complex tasks it's easier to make mistakes and thus we will work slower in a group exposure as compared to an individual work setting (Sanders,
 - This is one reason why evaluation is not always positive for performance!

Page 53



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How to avoid low performance in groups?

- Means to avoid performance reductions in groups are (among other things):
 - Give the individuals interesting, engaging and challenging tasks
 - Create confidence so that others try to give their best performance
 - Clarify personal responsibility (and authority) ideally related to the impact on the end product
 - Evaluate individuals based on their (identifiable) contribution Page 54



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Decisions Made by Groups

- Polarization of opinions often happens in groups. (Myers & Lamm 1976)
 - Group polarization means that the initial position of the majority of the group is strengthened following group discussion.

 - Members may move toward the dominant position in the group for various reasons.
 Ideally, this would only happen when the substance of the majority's position is sound, and this often happens.
 - and this often happens.

 Unfortunately, less desirable processes occur also. For example, group members may adopt the majority position because of some artificial rule (such as "the majority rules") or because members want to be seen as agreeable to other group members.

 One form of group polarization is the "risky shift" phenomenon, in which the final group position is more risky than the initial position of some individual members.
 - - E.g., it has been found that under certain conditions, the group will endorse a stronger statement of opinion on an issue after the discussion process.
 One interpretation of this dynamic is that there has been a diffusion of responsibility that
 - otherwise may fall on one individual

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Decisions Made by Groups

- Groups using some of their time on defining (and agreeing on) the decision-making process come up with better decisions. (Hirokawa 1980)
 - Often, however, groups use very little or no time for clarifying/discussing the decision-making process.
- Sometimes groups develop "group thinking", i.e., lack of real discussion of alternatives and a strong motivation with regard to agreeing and being loyal (esprit de corps).
 - Perhaps an explanation why the Singapore-broker Leeson succeeded to splash all of the Baring bank's capital? / see also recent financial crisis (→ lack of control)



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Conclusions

- Tasks to be solved in groups, and related argumentation and information given during meetings should not be too complex.
 - If it is, good preparation is needed.
 - This is due to the fact that the ability to solve complex problems, comprehend complex arguments, and process complex information decreases in groups!
- · Creative work should be prepared "offline" (i.e., it is not optimal to have brainstorming in bigger groups), and then discussed jointly.
 - Sometimes, however, brainstorming can actually generate the best idea(s) for solving a problem.



Part 05: Problem Solving and Improvement - in Groups

Conclusions (cont'd)

• One should be aware of the effects that meetings can have on our behavior (due to the fact that there is an "audience" that is evaluating what we do and say).

For example, there exist indications that meetings increase:

- a) The number of "dominant responses", i.e. the instinctive, good learned behavior, at the expense of the more reflective "nondominant responses" and
- b) The probability of taking high risk decisions

Page 58

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Part 05: Problem Solving and Improvement - in Groups

Conclusions (cont'd)

- For many types of tasks the composition of the meeting is very critical.
 - A wrongly composed group can generate lower performance than the average performance the individuals would show.
- Groups that host hostile fractions do not get rid of their prejudices against each other by simply "sitting together".
 - Only by close (and successful!) cooperation towards joint objectives, joint work experience, etc., the understanding of each other will increase and eventually overcome the hostilities.

Page 59

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Part 05: Problem Solving and Improvement – in Groups

Exercise

- Web-development projects are often cross-disciplinary, e.g., a project may be
 composed of pedagogues, graphical designers and programmers. Thus, the project will
 be done by persons with very different preferences regarding work, collaboration and
 communication styles. Assume that project members of different professions don't
 know each other. If you make additional assumptions, make them explicit.
- Compared to a project with a homogeneous team, how will the diversity in the group influence/change the project structures with regards to:

Page 60

- process
- product specification
- communication
- status reporting within the project



