

# INF5490 RF MEMS

## **L1: Introduction. MEMS in RF**

Spring 2008, Oddvar Søråsen  
Department of informatics, UiO

# Today's lecture

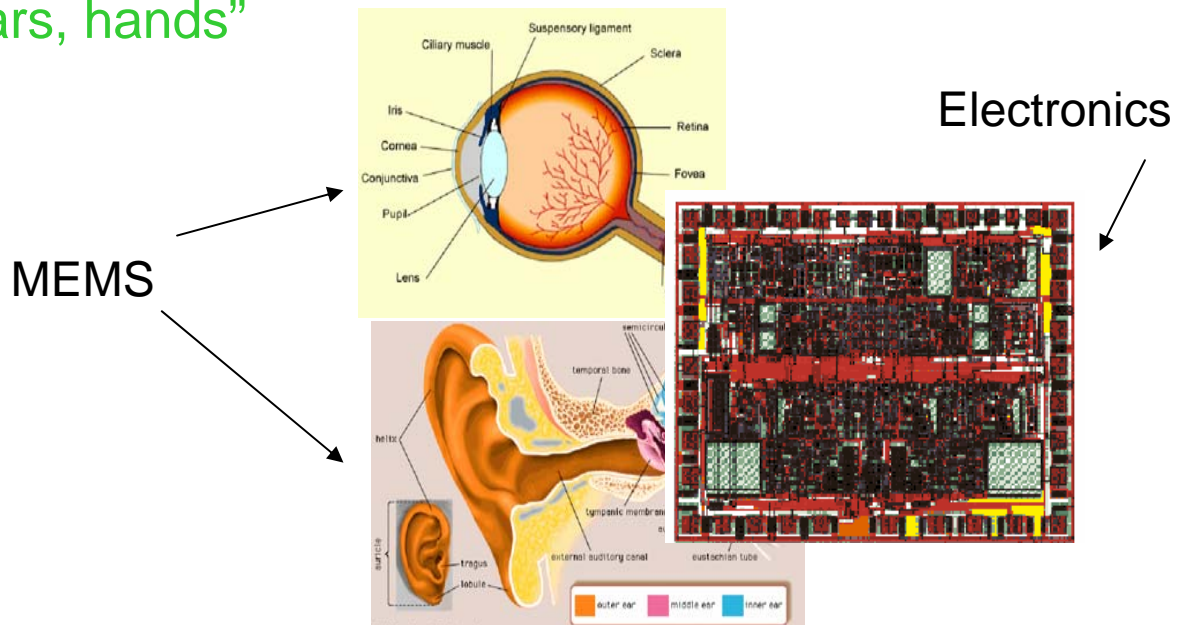
- Background for course INF5490
- Course plan spring 2008
- Introduction
  - MEMS in general
  - RF-systems
  - MEMS in RF-systems

# INF5490 RF MEMS

- New Master course at Ifi spring 05
  - ca 10-15 students/year
- **MEMS** (Micro Electro **Mechanical** Systems) is a relativ new research activity in the NANO group
  - (Ifi area of competence: MES, Micoelectronic Systems)
- Inspired by:
  - **National focus** on micro- and nano-technology (RCN)
  - **MiNaLab** (Micro Nano Technology-lab)
    - SINTEF lab
    - UiO lab

# Why MEMS in the Nano-group?

- New possibilities to implement **integrated, miniaturized systems**
  - Electronic systems integrating MEMS give a new **degree of freedom** for designers
  - **A.** May integrate micro **mechanical** components in the systems: "eyes, ears, hands"



# Why MEMS in the Nano-group?

- New possibilities to implement **integrated, miniaturized systems**
  - **B. MEMS** – components need **interfacing** electronics!

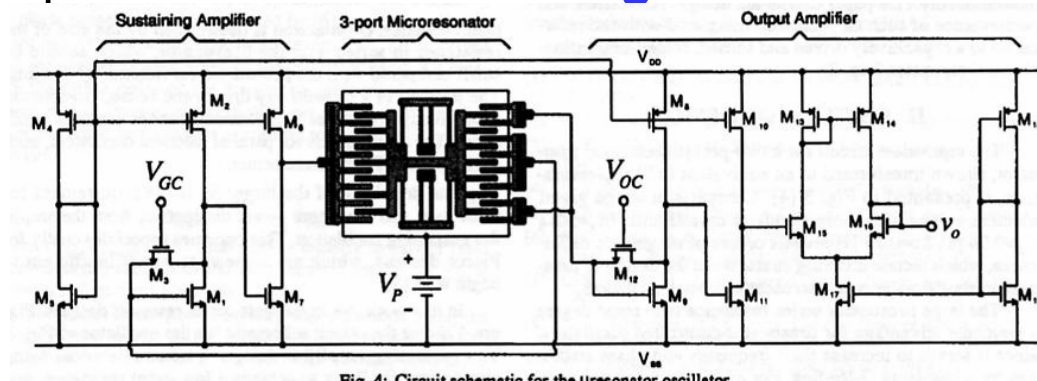


Fig. 4: Circuit schematic for the  $\mu$ resonator oscillator.

- Present **competence** at MES
  - Competence in modeling, analysis and implementing VLSI circuits and complex systems

# Personal competence

- Physics → modeling and design of VLSI → system design → computer architecture/multiprocessors → **MEMS/microelectronics**
- Expanding my competence during a sabattical: MiNaLab 03/04
- Studying books and articles
- Seminars
  - RF MEMS-seminar by M.A. Ionescu, EPFL, at KTH H04
    - Arr: FSRM, Swiss Foundation for Research in Microtechnology
  - RF MEMS tutorial: G.M. Rebeiz, UCSD, in Tønsberg H05
    - Arr: IMAPS Nordic Conference
  - Workshop on MEMS, IMEC, Leuven, H07
    - Arr: Europractice/STIMESI
- Visiting UC Berkeley and Carnegie Mellon University, H06
  - C.T.-C. Nguyen, G.K. Fedder +
- Using the simulation package CoventorWare
- Supervising students i relevant fields (Master, Ph.D.)
- Research activity

# Choice of focus → RF MEMS

- MEMS is a broad field of research
  - Need of focus → RF MEMS!
- ***”RF MEMS refers to the design and fabrication of dedicated MEMS for RF (integrated) circuits”***
  - 1a) Components **operate** micromechanical  
and/or
  - 1b) Components **fabricated** using micromachining
  - 2) Components are used in **RF systems**

# Some arguments for an RF MEMS activity in the NANO group

- Challenging, promising and exciting field!
- Close connection to the basic competence in circuit technology
- The course fits well into the MES education
  
- Actual theme
  - Increasing interest internationally for using MEMS in RF systems
    - **Wireless Sensor Networks (WSNs)**
- Large market: **wireless communication**
  - Tele communication, mobile business
  - Distributed intelligence (observation, actuation)
  - Environmental surveillance – sensor nodes
  - "Ambient Intelligence": units everywhere!
  - Patient surveillance - implants
- Growing commercial attention
- Basis for establishing new enterprises



# Today's lecture

- Background for course INF5490
- Course plan spring 2008
- Introduction
  - MEMS in general
  - RF-systems
  - MEMS in RF-systems

# Information about course INF5490

- Course homepage:
  - <http://www.uio.no/studier/emner/matnat/ifi/INF5490/v08/>
  - Messages posted there!
- Weekly **lectures**
  - Thursday 10:15 – 12 in 3A
  - Detailed lectureplan on web
    - Lecture notes will be posted before lecture (pdf)

# Group assignments

- **Class assignment** some weeks (consult web!)
  - Tuesday 14:15 – 16 in 3B
    - First time 29/1
  - Presenting plan and topics for "obliger"
  - Presenting supporting literature
  - Assignments
    - Posted a week before
  - Practical aspects
  - Questions, discussion

# Obliger

- **2 “obliger”** have to be **handed in**
  - Required to take the exam
  - Hand in of 2 reports at specified deadlines
    - General guidelines available on web!
  - Each group consists of 2 students that collaborate
- **Topic: micromechanical resonators and filters**
  - Simulating using **CoventorWare**
    - 3-dim modeling, FEM-analysis (Finite-Element-Method)
    - High Level-modeling, ARCHITECT (new 2007)

# CoventorWare

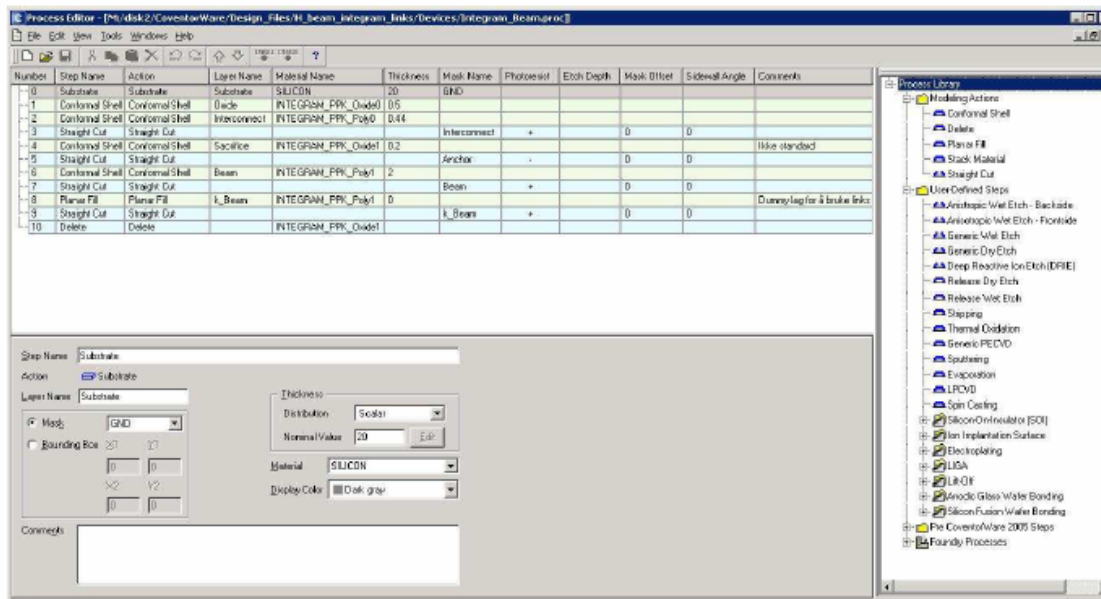
- “State-of-the-art” tool for FEM analysis
  - ”Finite-Element-Method”
- **“Bottom-up” prosedyre:**
  - 1) Build a 3D -model
    - Multiple layers: structural and sacrificial layers
    - Etching pattern, remove sacrificial layer
  - 2) Meshing
    - Tetrahedral, “Manhattan bricks”
  - 3) Solvers
    - Electrical/ mechanical/ coupled
    - Iterate!

COVENTOR

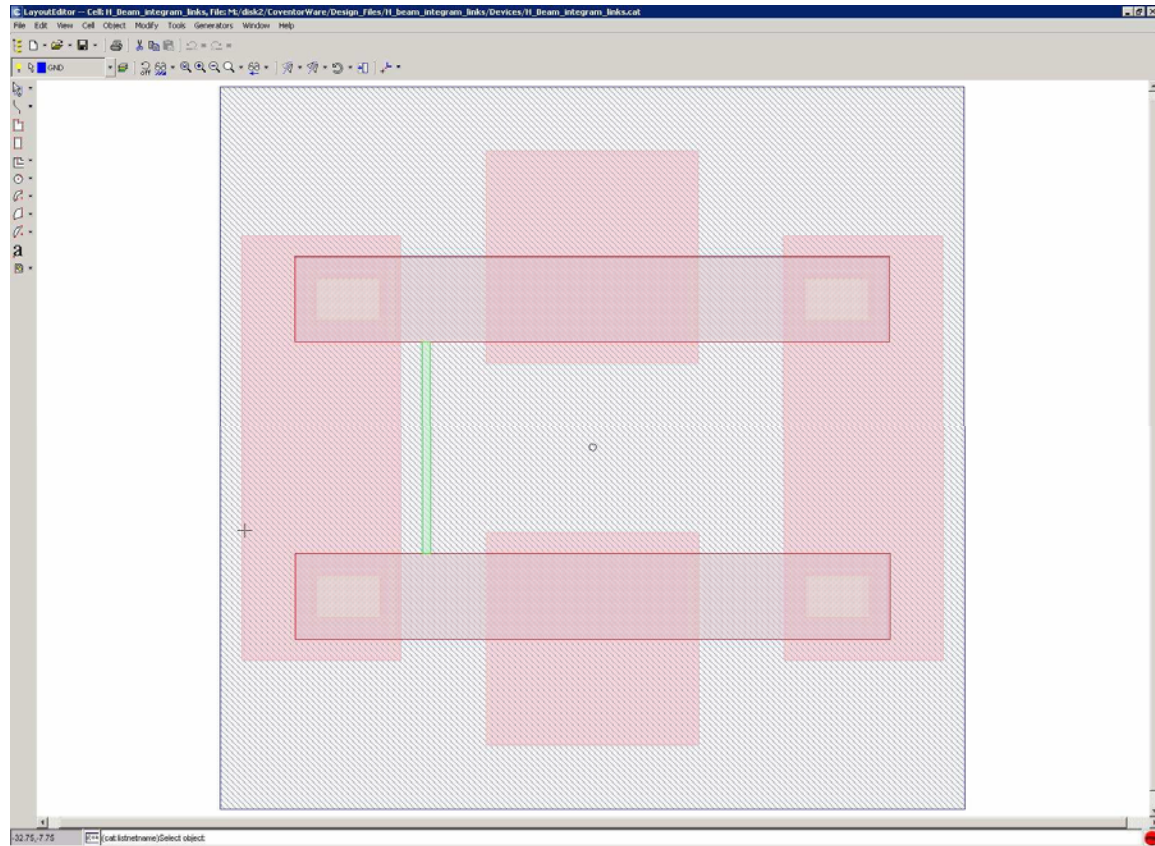
ACCELERATING  
MEMS Innovations™

# Process-description

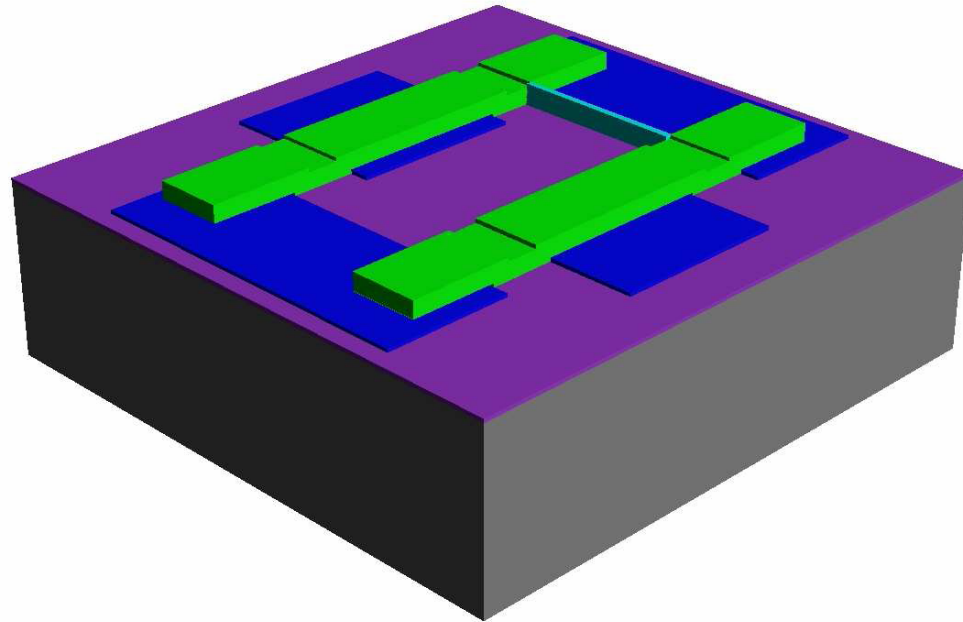
- Specify a **process file** compatible with the relevant “foundry” -process
  - Reduce complexity, idealization
  - Realistic: characteristic process features should be kept



# Layout



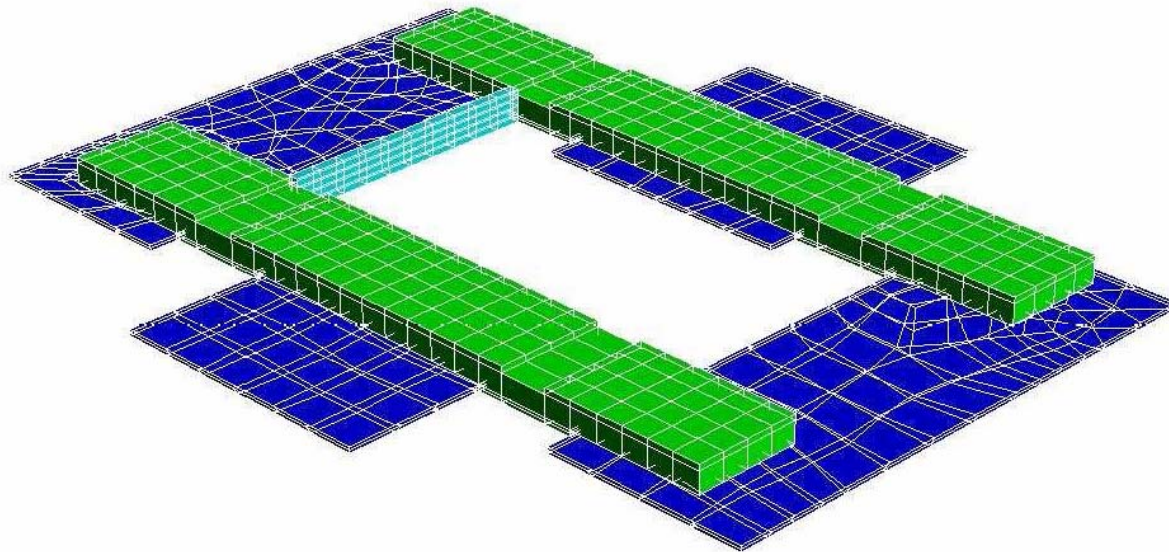
# Building a 3-D model



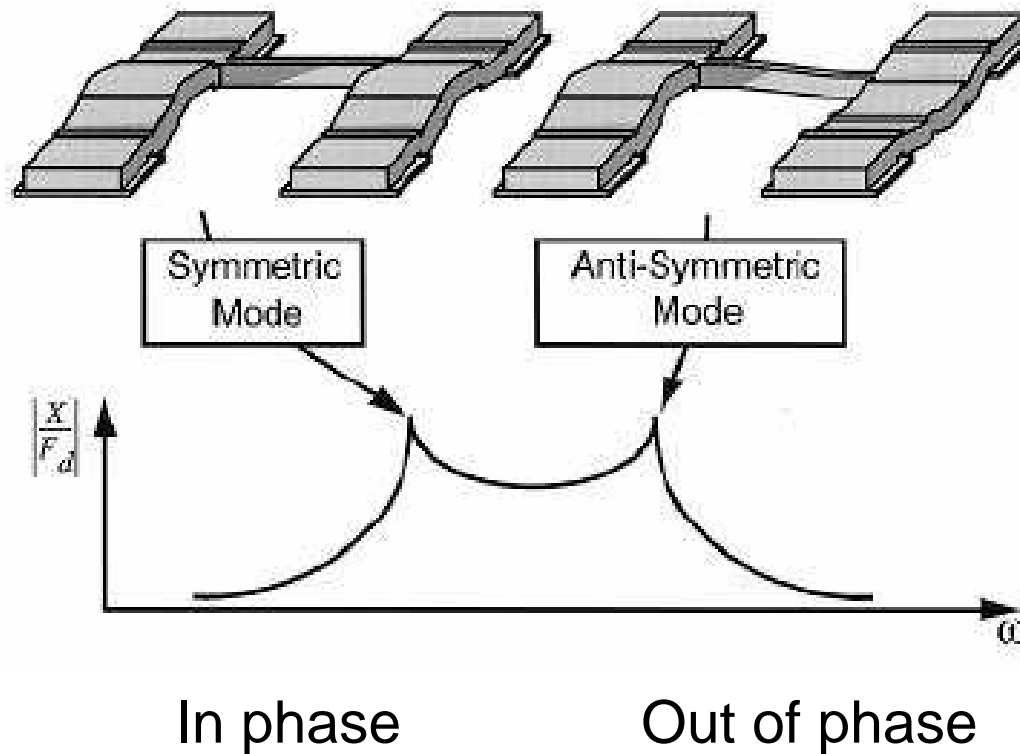
O-P Arhaug

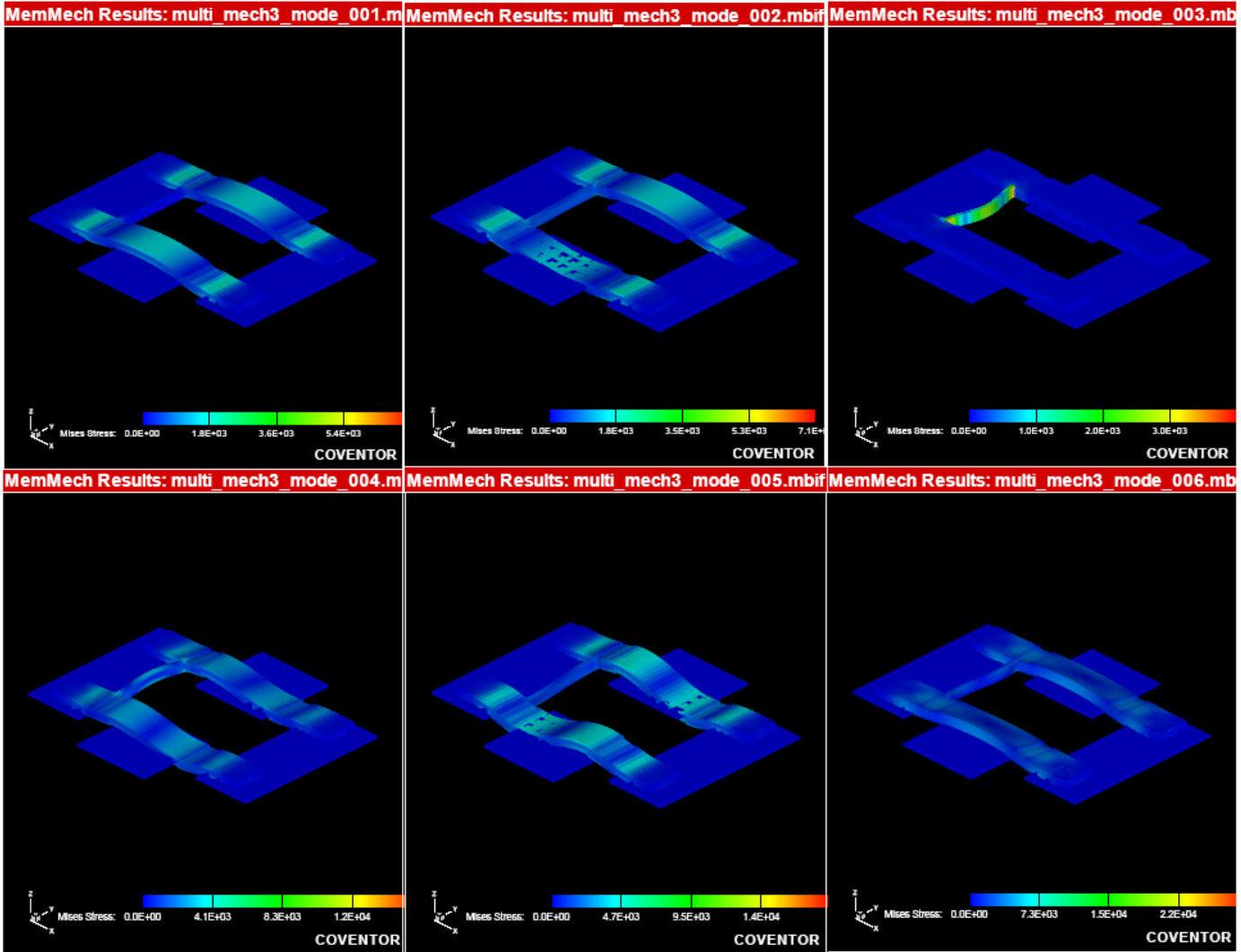


# Meshed 3D -model for FEM analysis



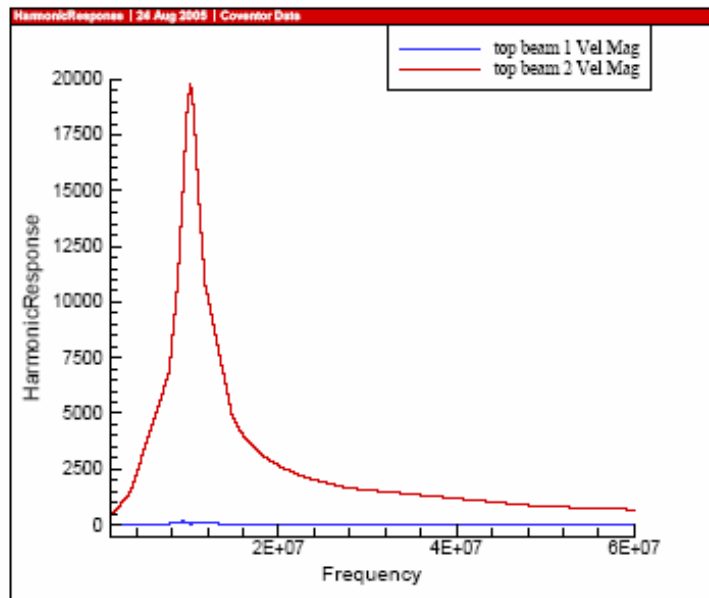
# Filter-function: 2 identical resonators



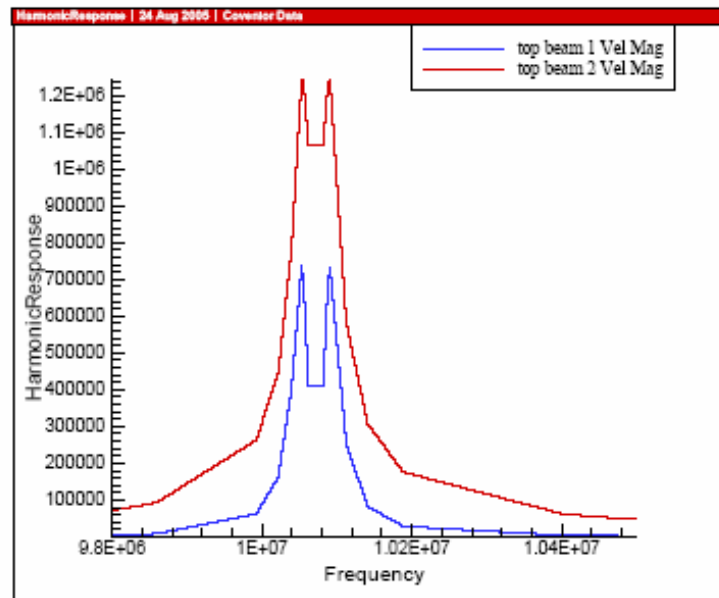


CoventorWare simulations for 6 resonating modes (O-P Arhaug)

# Harmonic response for specific dampings



(a) 0,1



(b) 0,001

# Exam

- Oral **exam** (45 min)
  - Option 3 hours written exam
    - Depends on the number of students
- Relevant exam questions will be posted on web later
  - List for 2007 is available now!

# Themes covered in the course

- RF MEMS is a **multi disciplinary** field
- **Main topics**
  - Micromachining (1 week)
  - Modeling (1 week)
  - RF circuit design (1 week)
  - Guest lectures on MOEMS (2 weeks)
    - *Micro-Opto-Electro-Mechanical Systems*
    - Professor Olav Solgaard, Stanford University
  - Typical RF MEMS circuit elements (8 weeks)
    - Operation principles, models/analysis and examples
    - Switches, phase shifters, resonators, filters, capacitors and inductors
  - Packaging (1 week)
  - System design (1 week)
  - Repetition (1 week)

# Literature

- Text book
  - Vijay K. Varadan, K.J. Vinoy, K.A. Jose, "RF MEMS and their applications". John Wiley, 2003. ISBN 0-470-84308-X
  - No single book is particularly good
- Lecture notes (**IMPORTANT!**)
  - → Most of the syllabus as lecture notes (ca. 1000)
  - Posted on web before lecture
- Supporting literature?
  - Overview of literature given on the web

# Contact information

- Responsible lecturer
  - Oddvar Søråsen, room 3411, phone: 22 85 24 56
  - [oddvar@ifi.uio.no](mailto:oddvar@ifi.uio.no)
- Responsible for groups/obliger/CoventorWare:
  - Jan Erik Ramstad
  - [janera@student.matnat.uio.no](mailto:janera@student.matnat.uio.no)
- Contact person CoventorWare: support
  - Yngve Hafting, room 3408, phone: 22 85 0447
  - [yngveha@ifi.uio.no](mailto:yngveha@ifi.uio.no)
- web pages
  - <http://www.uio.no/studier/emner/matnat/ifi/INF5490/v08/>



# Quality assurance

- Course assessor
  - Geir Uri Jensen, SINTEF ICT, MiNaLab
- Quality assessment
  - The course coordinator is required to engage students in continuous evaluation of the course, offering the students an opportunity to provide continuous feedback on the quality of the course. Thus, the course coordinator can make improvements based on this feedback

*“Institutt for informatikk ønsker en kontinuerlig evaluering av både form og innhold i undervisningen. Evalueringen skal gi studentene ved et emne mulighet til å komme med tilbakemeldinger underveis, slik at eventuelle forbedringer kan gjøres umiddelbart.*

*I tillegg skal underveisevalueringen hjelpe faglærer og instituttet til å fange opp god og mindre god undervisningspraksis og heve kvaliteten på emnet/undervisningen.*

*Emneansvarlig lærer utformer evalueringssopplegget i samråd med studentene som følger emnet og er ansvarlig for kunngjøring av tidspunkt og gjennomføring. Omfang og evalueringsmetode tilpasses hvert enkelt emne og avgjøres av faglærer.*

*Faglærer utfører eventuelle forbedringer og kommuniserer resultatet til studentene.”*

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  - MEMS in general
  - RF-systems
  - MEMS in RF-systems

# Introduction to the topic

- 2 disciplines: RF and MEMS
- **RF** – "Radio frequency"
  - High frequencies MHz, GHz
  - Used in wireless transmission
  - Many characteristic properties of **high frequency designs**
    - Course, Fall (Tor Fjeldly), recommended!
      - **INF5480 RF-circuits, theory and design**
    - Central/needed topics covered in INF5490

# The Technology is: MEMS

- MEMS – Micro Electro Mechanical Systems (Microsystems, MST – Micro System Technology etc.)
- **Micromachining is central!**
  - Used in IC fabrication (Silicon)
  - Various processes available today
    - Often proprietary, specialized for a product
    - Different from CMOS (“second source”)
- MEMS is a promising technology for RF applications
  - Course on MEMS given by Liv Furuberg, recommended
    - [FYS4230 Micro- and nanosystem modeling and design](#)
  - Some central topics are covered in INF5490

# MEMS in general

- 2 types: sensors and actuators
  - **Sensor:** (input)
    - "Feels"/are influenced by environment
    - Movement is transformed to electrical signals
    - Many examples (pressure, acceleration)
      - The earliest applications (1980s)
  - **Actuator:** (output)
    - Movable structure controlled by electric circuit
    - Ex. Micro motor
    - Ex. Capacitor with movable plates

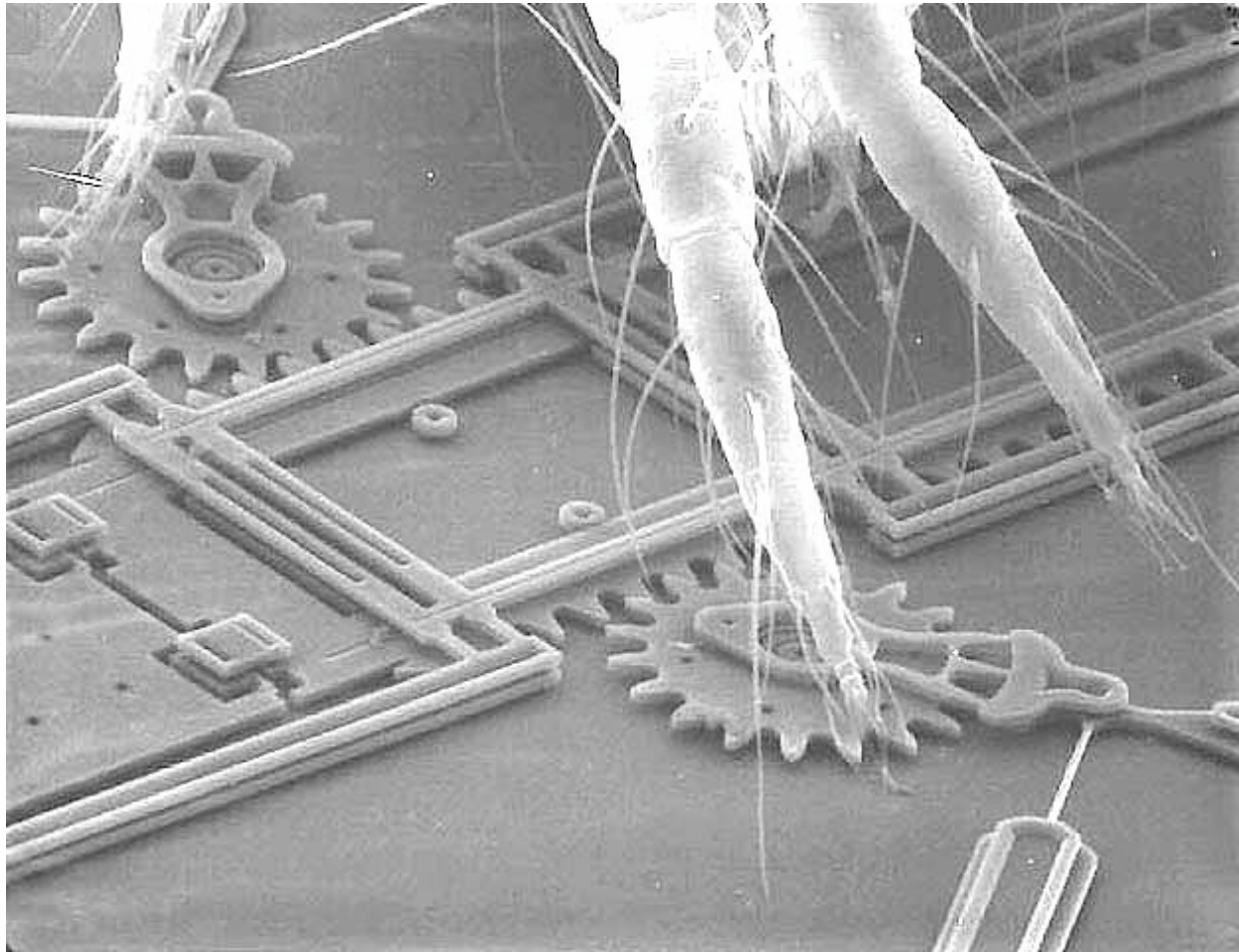
# Actuation mechanisms

- MEMS structures can be actuated **laterally** or **vertically**
- Actuation mechanisms (more in future lectures)
  - **Electrostatic**
    - Capacitor-structures: +/- charges attracted
    - Simple, low energy levels, enough for RF applications
  - **Thermal**
  - **Magnetic**
  - **Piezoelectric**
    - Strain produces an electric field, - and opposite!

# Some applications of MEMS

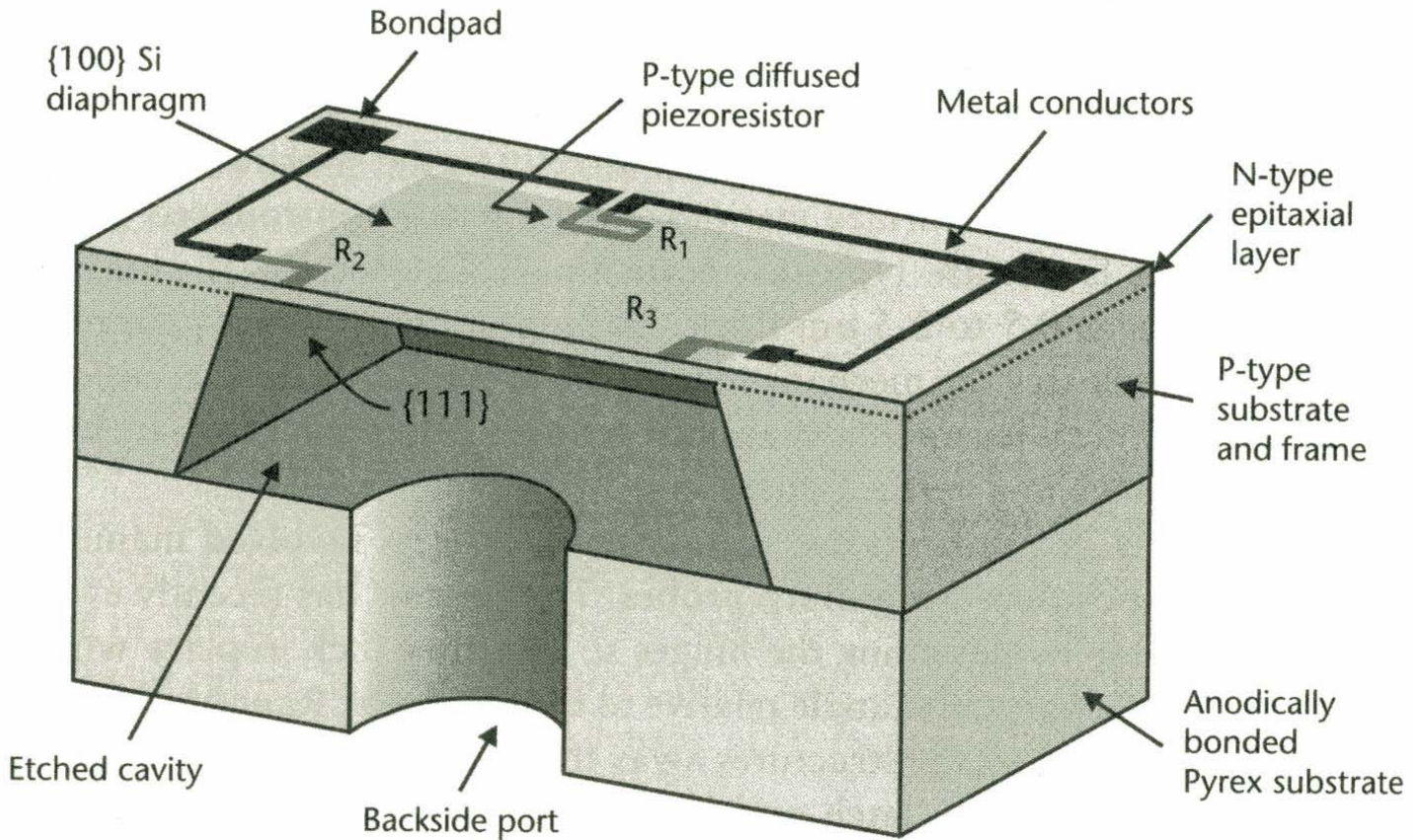
- Automotive industry
  - Micro accelerometers
    - Airbag-sensors (InfineonSensoNor)
  - Tire pressure sensors
- Oil industry
  - Pressure sensor in oil wells and tubes
- Navigation
  - Gyroscope
- Biomedical
  - Micro fluidic, chemical analysis
  - Implants
- Optics
  - Micro mirror for projector, Micro lenses for mobile phones
- Computer industry
  - Ink printer-head
- Wireless communication
  - RF MEMS-switches

# Micro motor fra Sandia

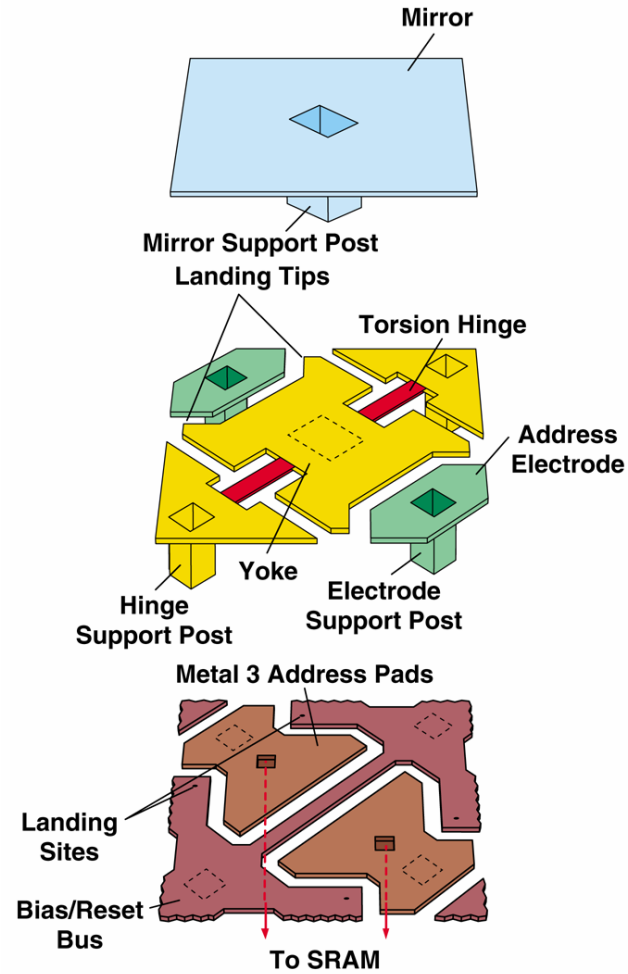




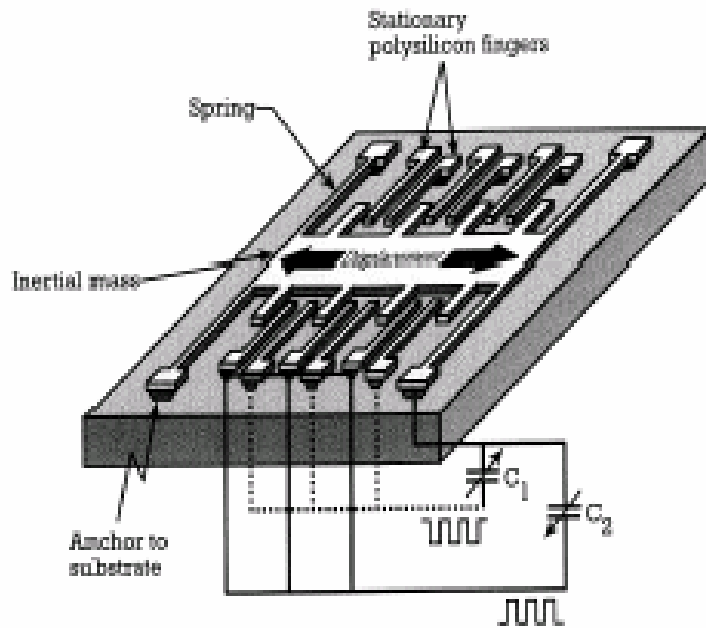
# Pressure sensor



# Micro mirror

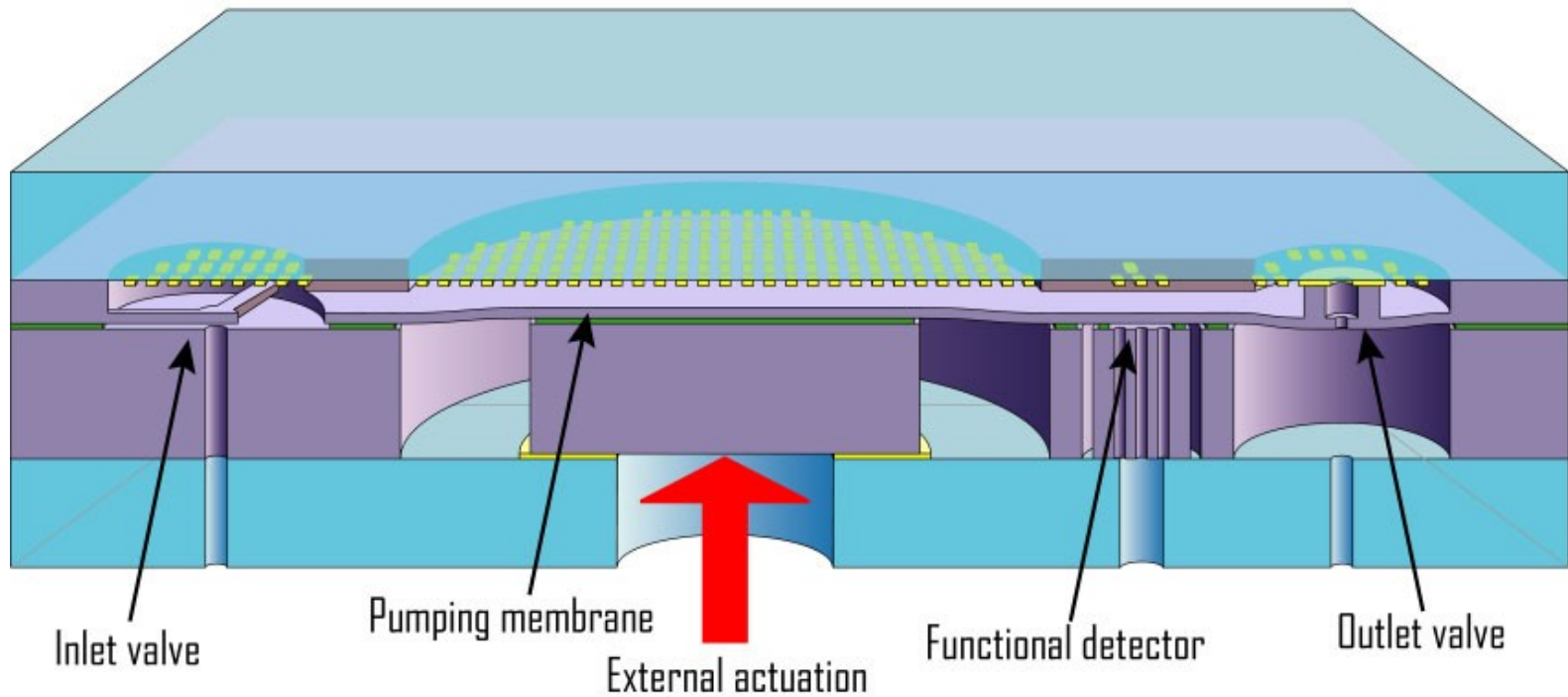


# A Capacitive Accelerometer

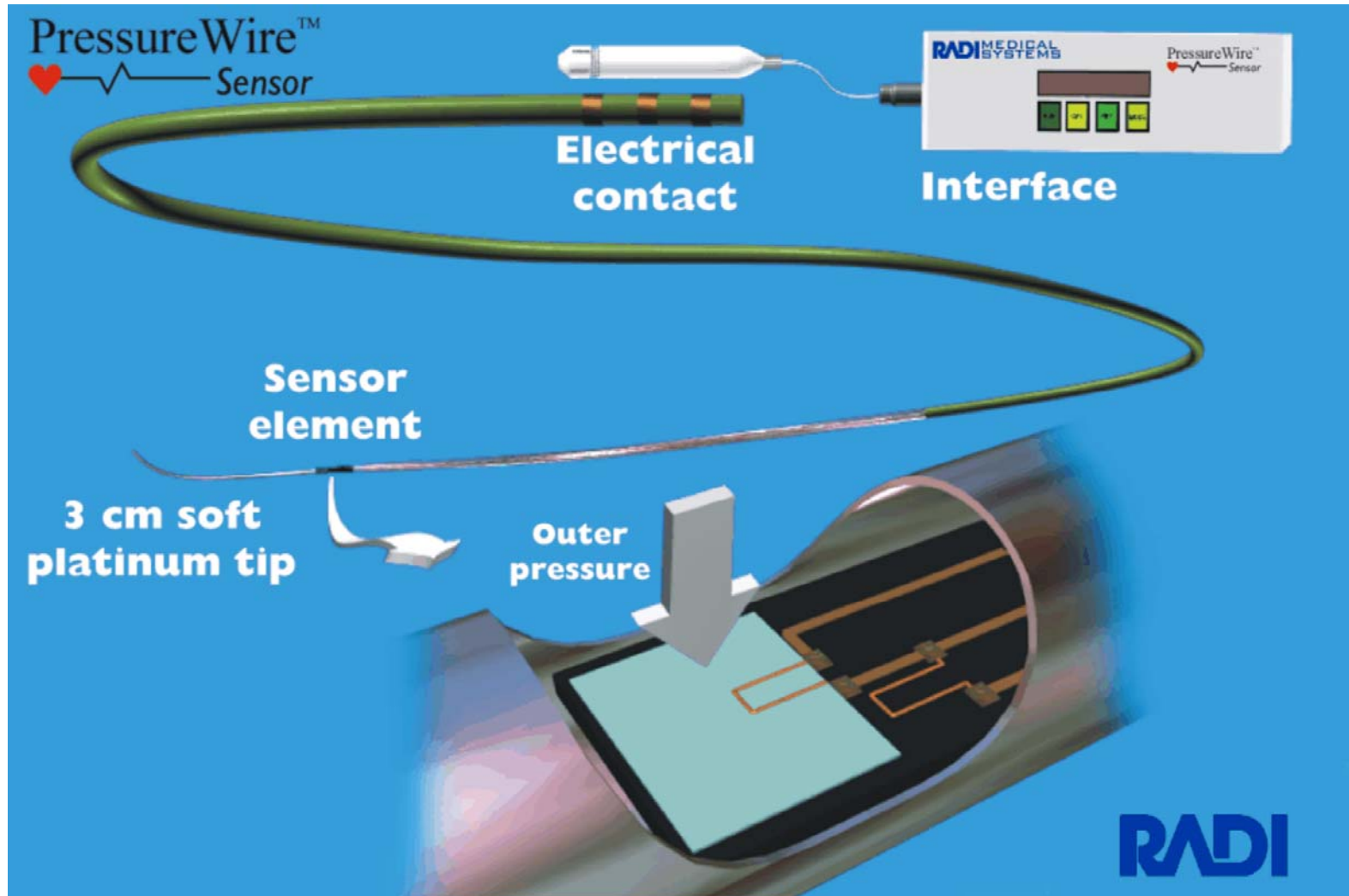


# Technology Analysis: Drug Delivery

## Debiotech Chip



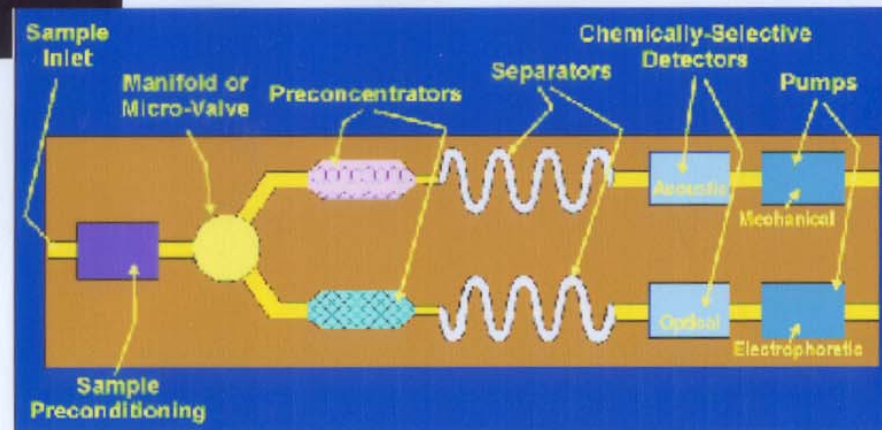
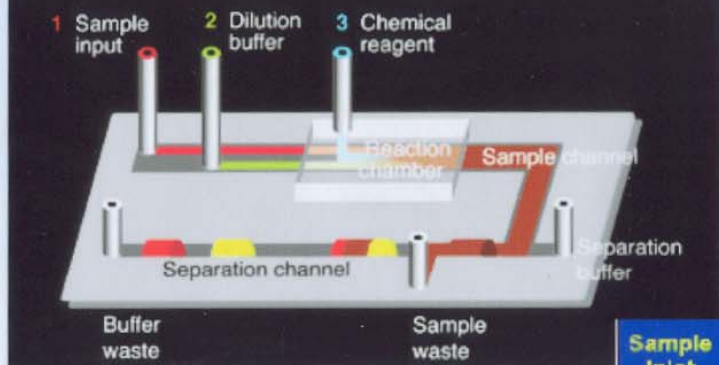
# Radi Catheter



# Biotechnology MEMS

## “Lab-on-a-Chip”

### Lab-on-a-chip concept for capillary electrophoresis



# iSTAT



- blood analysis  
glucose, urea, pH, blood gases,
- portable POC device
- analyser + disposable cartridges
- microfluidic channels
- micro-fabricated thin-film electrodes<sub>39</sub>

# Today's lecture

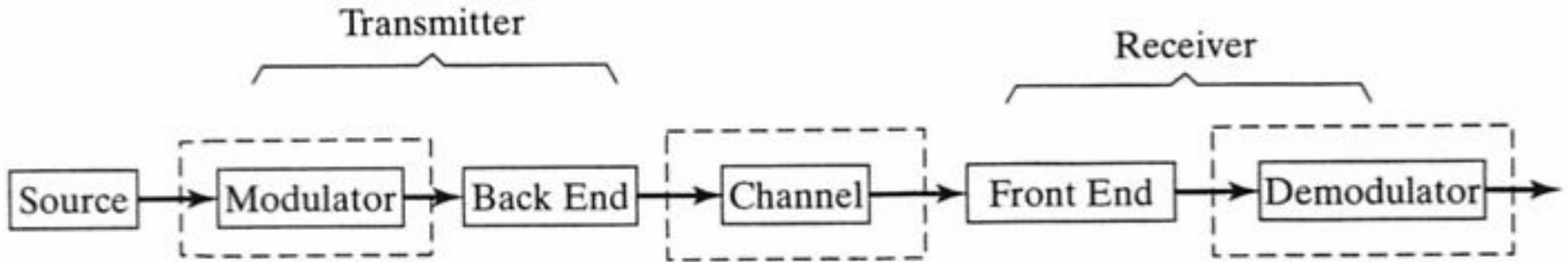
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  - RF-systems
  - MEMS in RF-systems



# RF-systems in general

- Radio waves are used for transmittance/receiveing
  - Electromagnetic waves (Maxwells equations)
- Basic component: radio **"transceiver"**
  - Transmitter + Receiver
  - Methods for transmission
    - TDMA (Time Division Multiplexing Access)
    - FDMA (Frequency D M A)
- Signal quality depends on
  - Position
  - Environment, reflection
    - "Multipath"
  - Noise (S/N-ratio, BER= bit error rate)

# General communication system



**Carrier** modulation to represent Bit flow

Radio channel introduces noise and interference

Receiver converts the signal before demodulation

→ **High component performance requirements!**

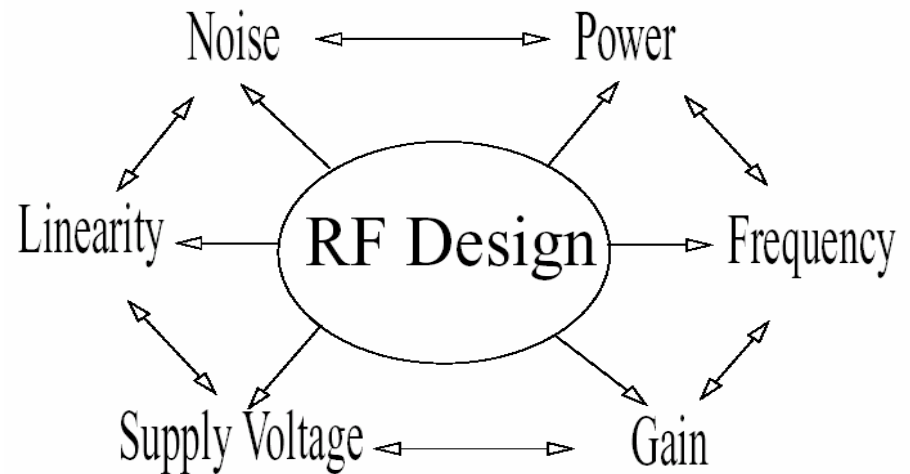
# RF-systems

- RF-systems efficiency/performance
  - Ability to transfer **power**
  - Simultaneously use of limited **bandwidth**
  
- The frequency resource is limited
  - "Sharp" RF-filtering needed to separate channels
  - The quality and performance of the RF components are critical to implement wireless communication systems

# RF design

- → **A major challenge for circuit designers!**
  - Many aspects have to be considered when doing RF design

**RF Design Hexagon**  
**Multi-objective approach**

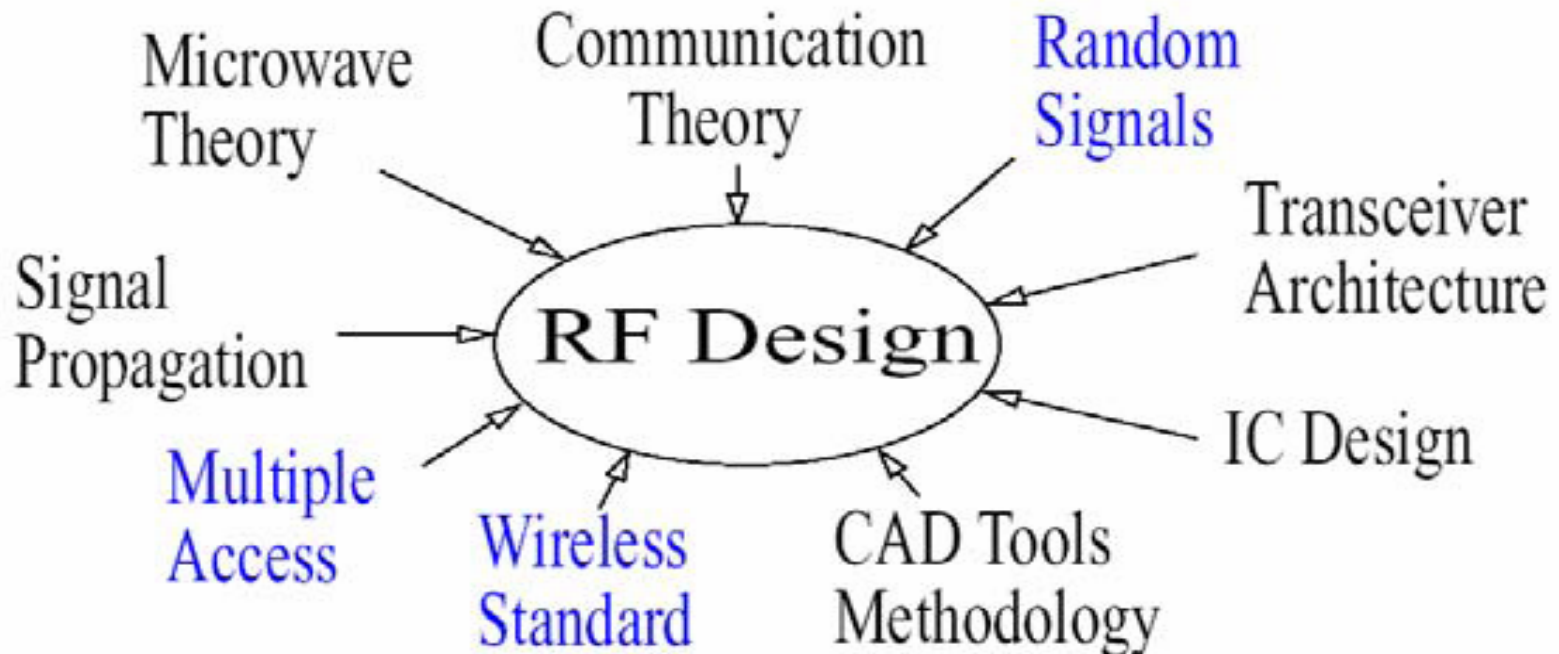


Jerzy Dabrowski, CMOS RF Transceiver Design, 2004

- CMOS-technology is a strong candidate for implementing critical parts of a transceiver!
  - **Impossible to fulfill all requirements of component performance**

# Needed Disciplines in RF design

- Dabrowski 2004



# Implications of RF

- Increased frequency:
  - → shorter wavelength
    - in vacuum:
  - → signal variations in short physical distances
    - voltage  $V$ , current  $I$  are not constant over the component dimension: waves!
  - → smaller component dimensions are desired
    - small tolerance fabrication
    - micromachining

$$\lambda \cdot f = c$$

**Table 1-1 IEEE Frequency Spectrum**

Frequency Band	Frequency	Wavelength
ELF (Extreme Low Frequency)	30–300 Hz	10,000–1000 km
VF (Voice Frequency)	300–3000 Hz	1000–100 km
VLF (Very Low Frequency)	3–30 kHz	100–10 km
LF (Low Frequency)	30–300 kHz	10–1 km
MF (Medium Frequency)	300–3000 kHz	1–0.1 km
HF (High Frequency)	3–30 MHz	100–10 m
VHF (Very High Frequency)	30–300 MHz	10–1 m
UHF (Ultrahigh Frequency)	300–3000 MHz	100–10 cm
SHF (Superhigh Frequency)	3–30 GHz	10–1 cm
EHF (Extreme High Frequency)	30–300 GHz	1–0.1 cm
Decimillimeter	300–3000 GHz	1–0.1 mm
P Band	0.23–1 GHz	130–30 cm
L Band	1–2 GHz	30–15 cm
S Band	2–4 GHz	15–7.5 cm
C Band	4–8 GHz	7.5–3.75 cm
X Band	8–12.5 GHz	3.75–2.4 cm
Ku Band	12.5–18 GHz	2.4–1.67 cm
K Band	18–26.5 GHz	1.67–1.13 cm
Ka Band	26.5–40 GHz	1.13–0.75 cm
Millimeter wave	40–300 GHz	7.5–1 mm
Submillimeter wave	300–3000 GHz	1–0.1 mm

# Communication standards

- Various standards exist

**Overview of Standards**

Standard	Access Scheme	Frequency band (MHz)	Channel Spacing	Frequency Accuracy	Modulation Technique	Data Rate (kb/s)	Peak Power
GSM	TDMA/ FDMA/ TDD	890-915 (Tx) 935-960 (Rx)	200 kHz	90 Hz	GMSK	270.8	0.8, 2, 5, 8 W
DCS-1800	TDMA/ FDMA/ TDD	1710-1785 (Tx) 1805-1850 (Rx)	200 kHz	90 Hz	GMSK	270.8	0.8, 2, 5, 8 W
DECT	TDMA/ FDMA/ TDD	1880-1900	1728 kHz	50 Hz	GMSK	1152	250 mW
IS-54	TDMA/ FDMA	824-849 (Tx) 869-894 (Rx)	30 kHz	200 Hz	$\pi/4$ QPSK	48	0.8, 1, 2, 3 W
IS-95	CDMA/ FDMA	824-849 (Tx) 869-894 (Rx)	1250 kHz	N/A	OQPSK	1228	N/A
Bluetooth	CDMA/ FDMA/FH	2400-2483	1000 kHz	20 ppm	GFSK	1000	1,4,100 mW
WCDMA (UMTS)	W-CDMA/ TD-CDMA	1920-1980 (Tx) 2110-2170 (Rx)	5000 kHz	N/A	QPSK	3840 (max)	125,250 500mW, 2W

Jerzy Dabrowski, CMOS RF Transceiver Design, 2004

6

- Will not discuss standards in INF5490!



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# MEMS in RF-systems

- RF MEMS development started in the 90s
  - 1990: the first MEMS microwave-**switch** better than GaAs (Hughes Res Lab)
  - 1995: RF MEMS switches from Rockwell Science & TI
  - From 1998: some universities do research in RF MEMS
    - Univ of Michigan, Univ of Calif Berkeley, Northeastern Univ, MIT, Columbia Univ, CMU, IMEC, LETI
  - Some relevant companies:
    - Analog Devices, Motorola, Samsung, ST Microelectronics
  - Institutes
    - Sandia, Fraunhofer

# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters

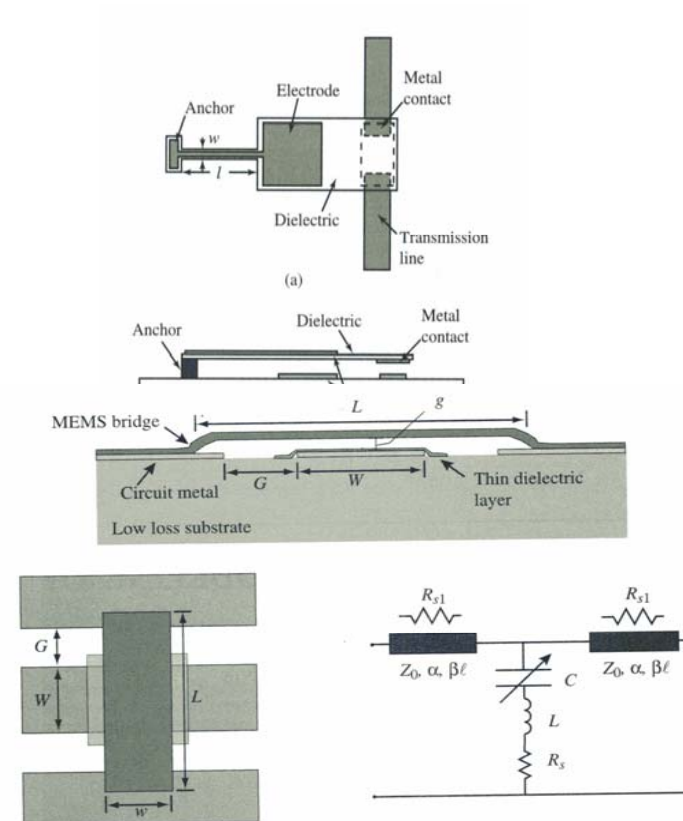
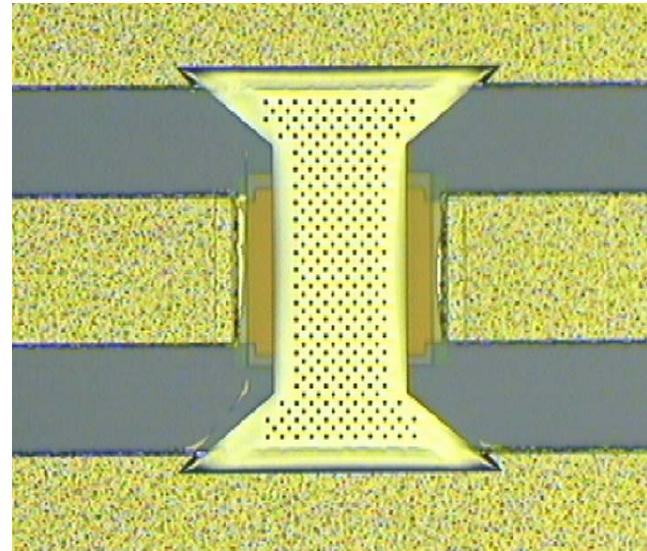


Figure 4.1. Illustration of a typical MEMS shunt switch shown in cross section and plan view. The equivalent circuit is also shown [6] (Copyright IEEE).

# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters

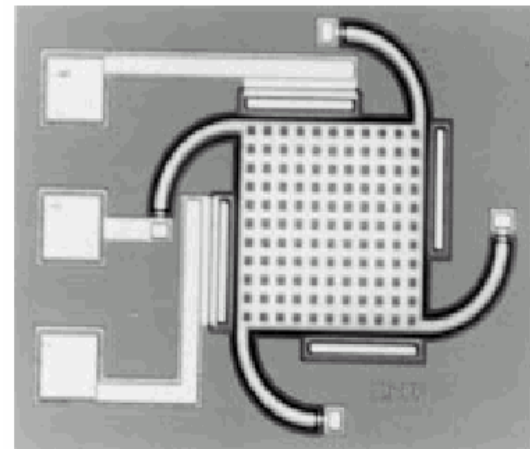
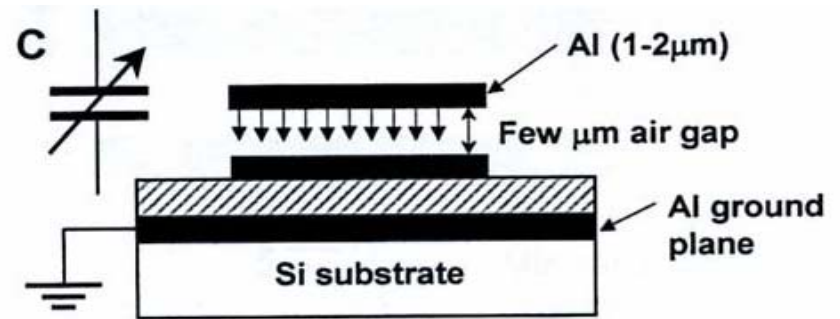


# Ex.: microwave switch

- An early application of RF MEMS
  - Much activity, many examples exist
  - Benefits
    - Electrostatic actuation is common: simple principle
      - El voltage → charge → attractive forces → mechanical movement
    - High signal linearity
    - Low DC "standby power"
    - Low loss ("insertion loss")
  - Challenges
    - Low speed (some  $\mu\text{s}$ )
    - Reliability of metal contacts (stiction, micro welding)

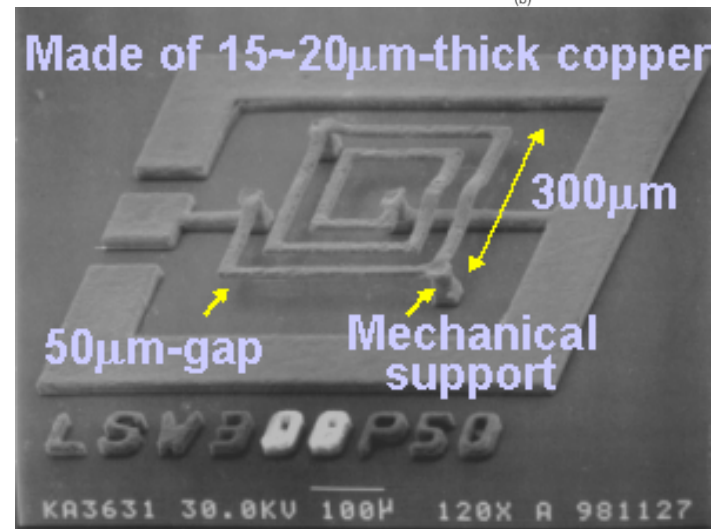
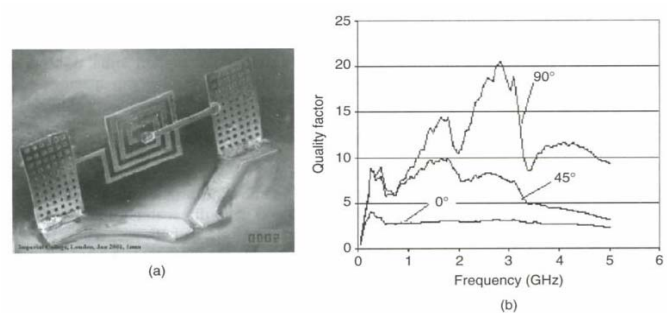
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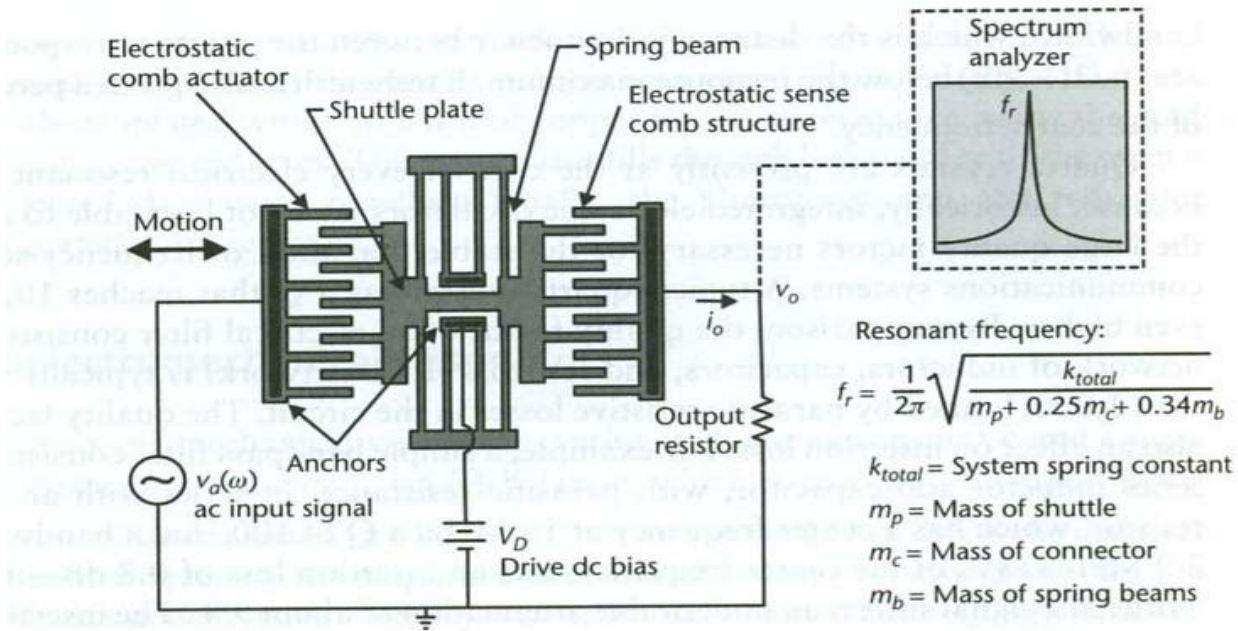


# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters
- **Focus on real vibrating structures →**
  - May be used to implement
    - oscillators
    - filters
    - "mixer with filter"



# Comb-resonator



lateral movement

# Clamped-clamped beam resonator

First-order  
resonant frequency:

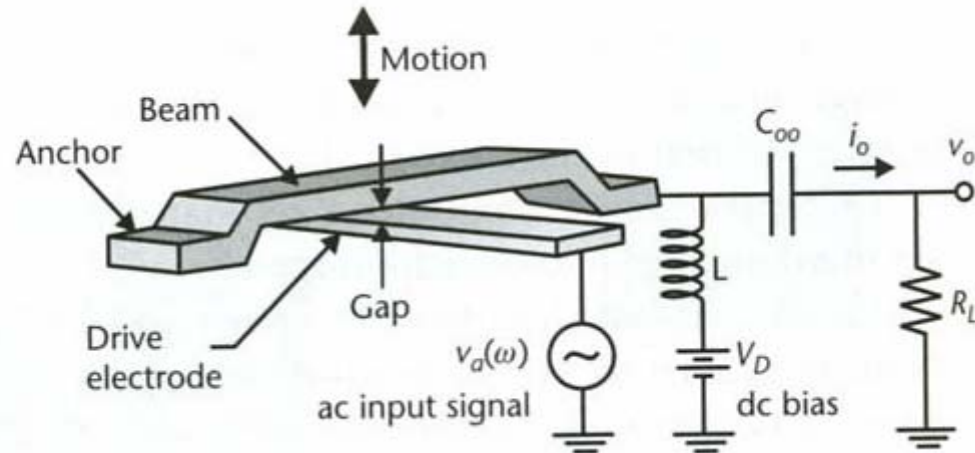
$$f_r = 1.03 \sqrt{\frac{E}{\rho}} \frac{t}{L^2}$$

$E$  = Young's modulus

$\rho$  = Density

$t$  = Beam thickness

$L$  = Beam length



Vertical movement

# Benefits of RF MEMS

- Higher **performance**
  - Increased selectivity: sharp filters
  - Increased Q-factor: stable "tank" frequency
  - Reduced loss
  - Higher isolation, reduced cross talk
  - Reduced signal distortion
  - Larger bandwidth
- Lower **power consumption**
- **Reduced cost**
  - Batch processing
- Circuit and system **miniaturization**
  - System integration ( $\mu$ electronics + MEMS)
    - Packaging: Multi-chip module
    - Monolithic integration: SoC (System-on-Chip)

# Challenges in RF transceiver implementation

- **Performance**

- Integrated microelectronic components have limited RF performance
  - Technology: GaAs, bipolar Si, CMOS, PIN-diodes
  - ex. PIN-diode switch (inefficient), RF filter (difficult)
- Need **off-chip components in RF systems**
  - matching networks, filters
  - crystal oscillators, inductors, variable capacitors

- **Miniaturization**

- **Discrete** components hinder miniaturization
- PCB → uses up a large space

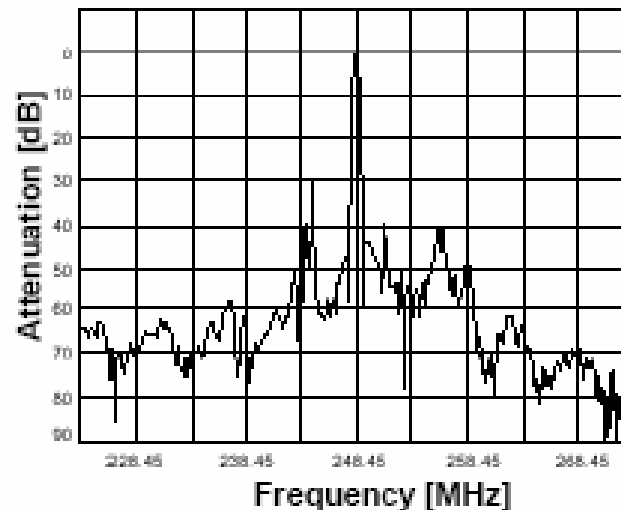
# Challenges in RF transceiver implementation

- **Reconfigurability**

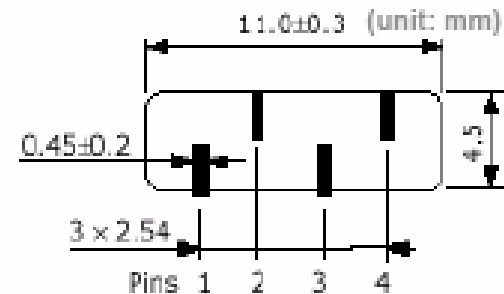
- Increasing demands exist that one single RF transceiver shall cover various standards and channels
  - Programmability is desired
- **Reconfigurable "front-end"** for "sw defined radio"
  - RF MEMS may solve the problem!

# Bottlenecks in Current Microwave/MM-Wave Systems – Band Selection Filters

- **High-Q** ( $Q \sim 1000$ 's) filters are needed in heterodyne communication receivers for frequency selection in RF and IF bands
- Current solution: Off-chip surface-acoustic wave (SAW) filter
  - Bulky



**IF filter**  
 $f_0$ : 240MHz  
 $\Delta f$ : 260kHz  
 $Q$ :  $\sim 1000$



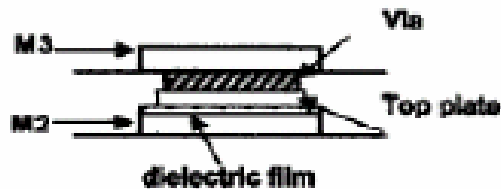
**RF filter**  
 $f_0$ : 868MHz  
 $\Delta f$ : 600kHz  
 $Q$ :  $\sim 1500$

# Bottlenecks in Current Microwave/MM-Wave Telecommunication Systems – Passive Elements

- Lack of high-Q ( $\sim 1000$ ) passive elements like inductors and capacitors in matching circuit or bias-Tee, etc.

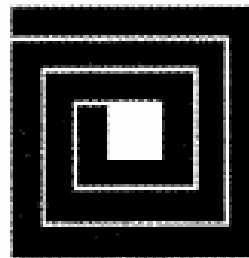
## MIM Capacitor

- Low Q ( $< 100$ )



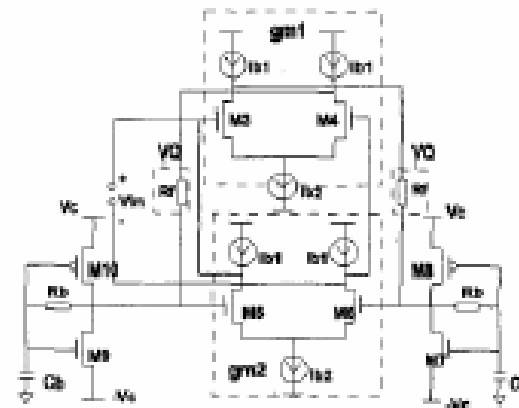
## Spiral Inductor

- Low Q ( $\sim 10$ )
- Low resonant frequency



## Active Inductor

- Large Noise
- High Power consumption



# Use of RF MEMS

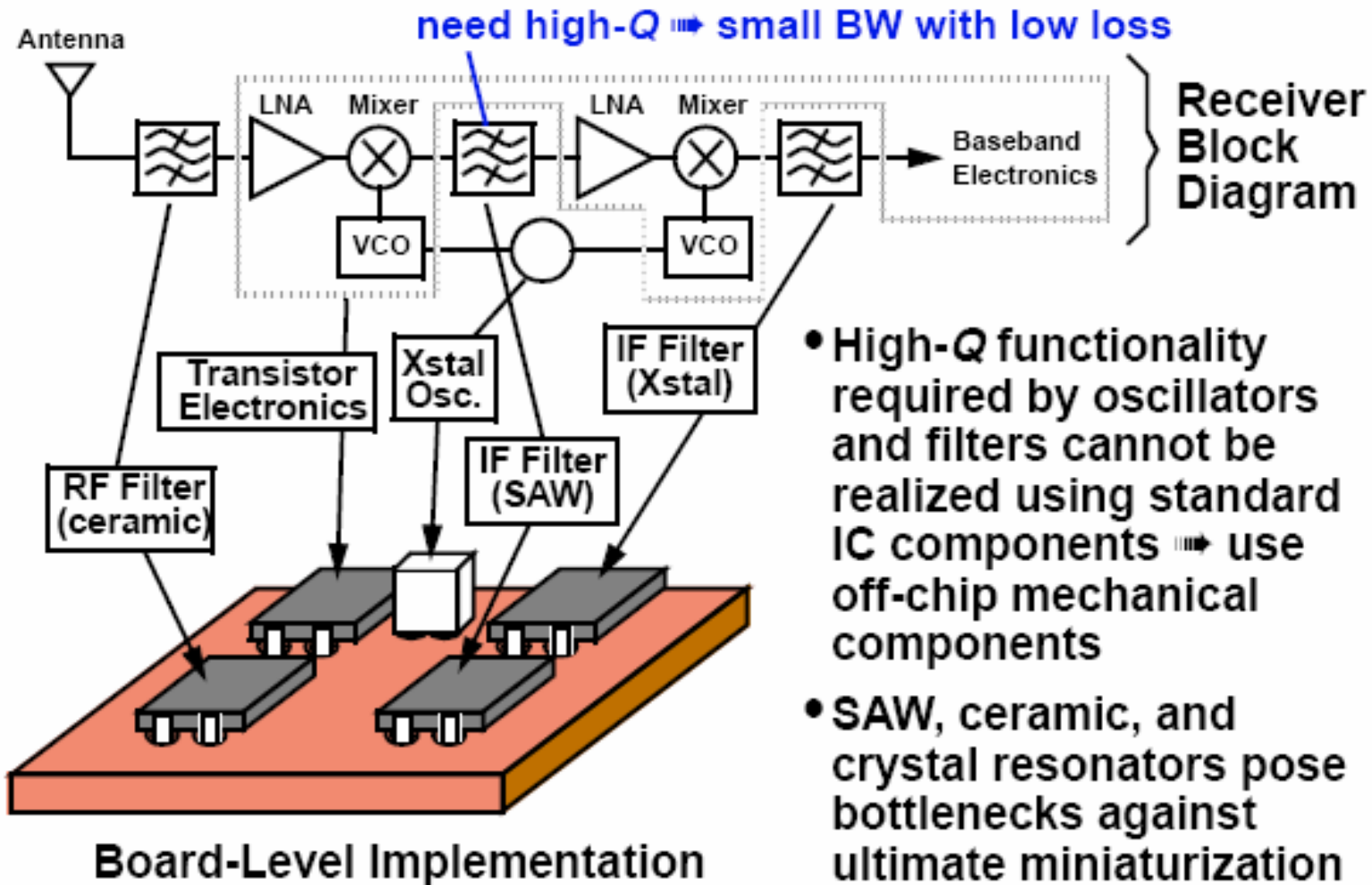
- A) **Replacing** discrete components
- B) **New** integrated functionality
  - New system architectures
    - Implement reconfigurable RF systems by using near ideal RF MEMS switches



# Minituarizing a transceiver

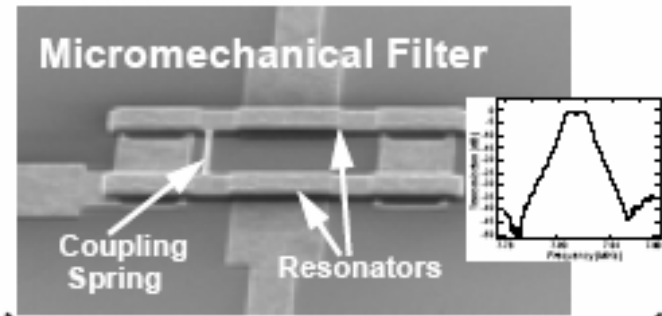
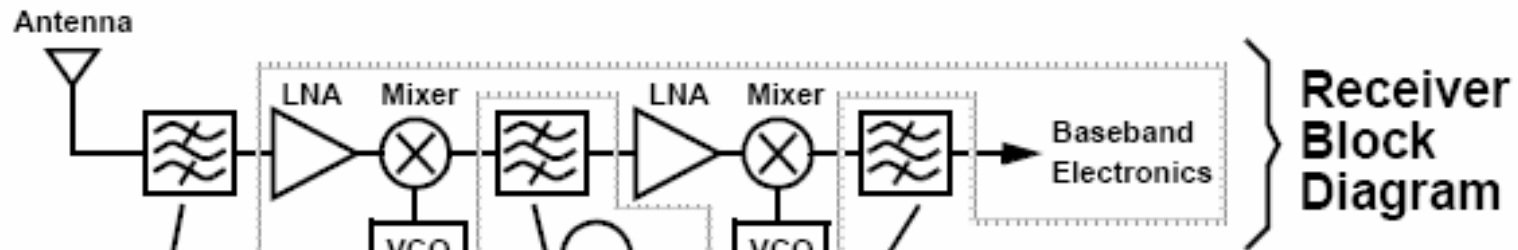
- A typical RF transceiver with discrete components →
  - Illustration by Prof. Clark T.-C. Nguyen, Univ of Michigan → UC Berkeley
    - Nguyen has a large activity in RF MEMS: resonators
  - The figures show which parts that may be **replaced** by MEMS?

# Miniaturization of Transceivers



- High-Q functionality required by oscillators and filters cannot be realized using standard IC components => use off-chip mechanical components
- SAW, ceramic, and crystal resonators pose bottlenecks against ultimate miniaturization

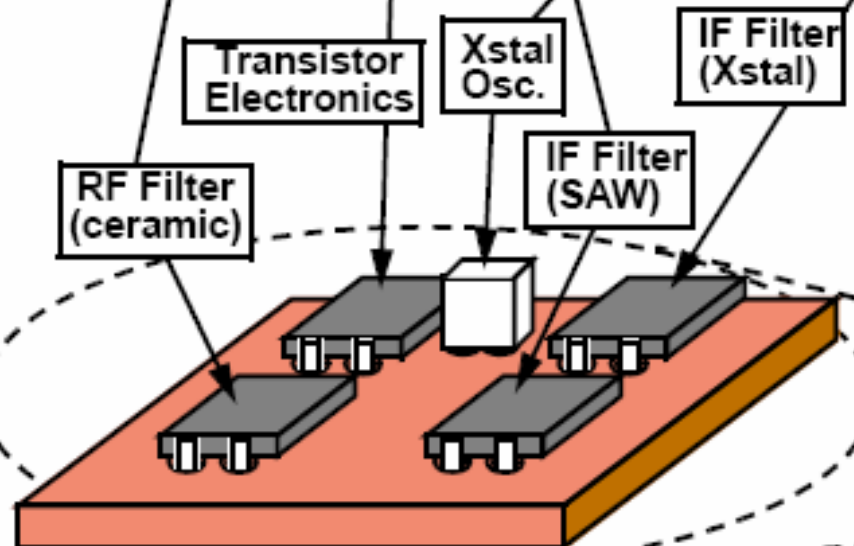
# Target Application: Integrated Transceivers



**MEMS**

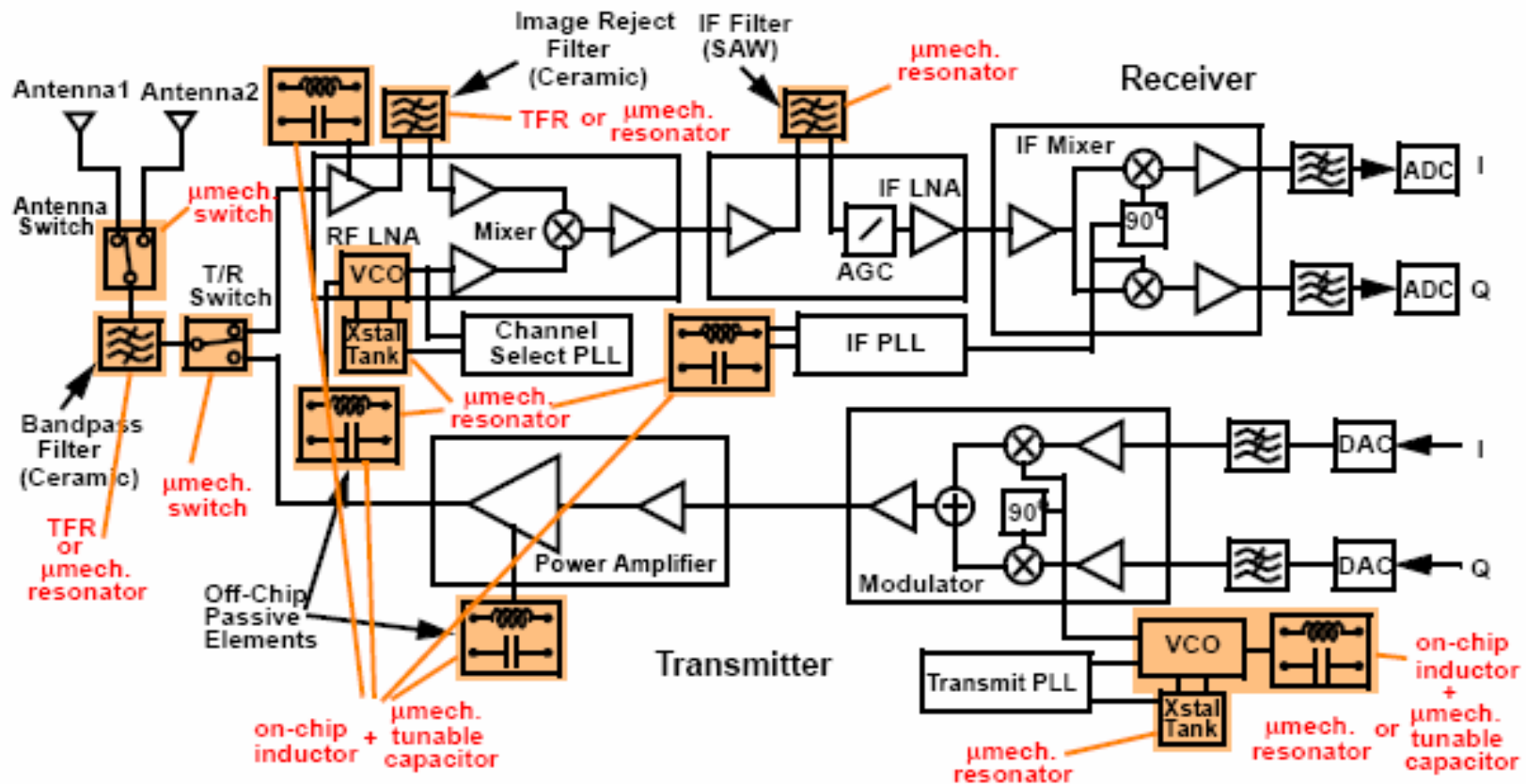
↓

**Single-Chip Version**



- Off-chip high-Q mechanical components present bottlenecks to miniaturization → replace them with  $\mu$ mechanical versions

# MEMS-Replaceable Transceiver Components



- A large number of off-chip high-Q components replaceable with  $\mu$ machined versions; e.g., using  $\mu$ machined resonators, switches, capacitors, and inductors

# New RF architectures

- New ways to design RF systems
  - MEMS technology may be used to implement a lot of small, low cost basic modules
    - Switches may then be used to switch between the modules
  - MEMS makes it easier to perform module based design
    - Micromachined lumped components may replace distributed components
    - Enhanced integration flexibility

# Challenges for RF MEMS

- Actuation speed needs to be increased
  - Switches (typical 1-100  $\mu$ s)
- Operating RF frequency needs to be increased for mechanical resonators and filters
  - Up to some GHz today (3 – 5 GHz)
- Good RF filter banks should be implemented
- Higher reliability
- Packaging
  - Vacuum
  - Modules of various materials and technologies
    - SiP – "System-in-Package"
- **Monolithic integration** is desired
  - SoC – System-on-Chip

# Integrated solutions?

- Fabrication of microelectronics and MEMS have much in common
- Combination of electronics and micromechanics
  - Integrated solutions on a Si chip
    - → "Radio-on-a-chip"!

MEMS for wireless integration

Intel Developer Forum Spring 2002

## MEMS for wireless integration

**Today**

BASE BAND PROCESSOR RF CHIP

100s of passive components

**Future (3 - 4 Yrs)**

RF MEMS CHIP BASE BAND RF RF MEMS CHIP

**Future (4+ Yrs)**

BASE BAND RF & MEMS CHIP

- Silicon integration follows Moore's law
- MEMS research to enable:
  - "High Value" passives (Filters, Switches etc) to be built from Silicon and integrated together

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# Perspectives

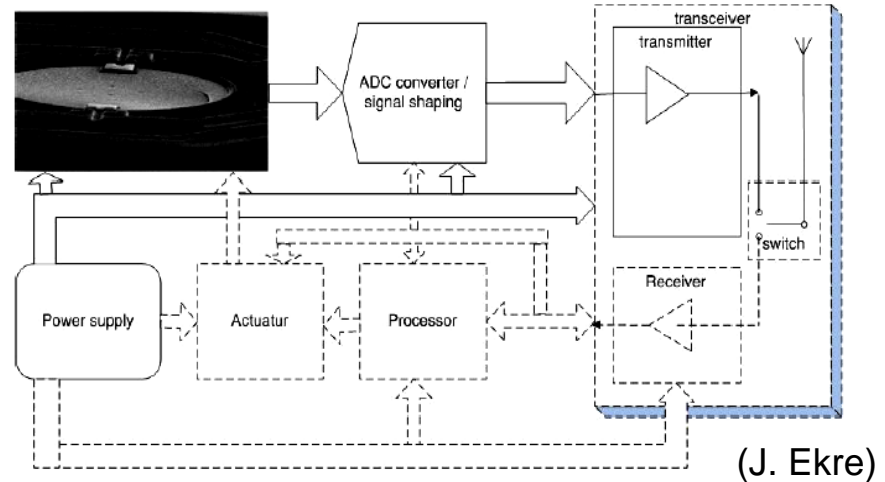
- Use of wireless (personal) communication increases
  - 3-4 G systems and mobile terminals
  - Multi-standard units
    - "15 radio systems in each unit?"
- Various technologies converge
  - Micromechanics and microelectronics
  - **Optics** and electronics
  - Passive components and active ICs



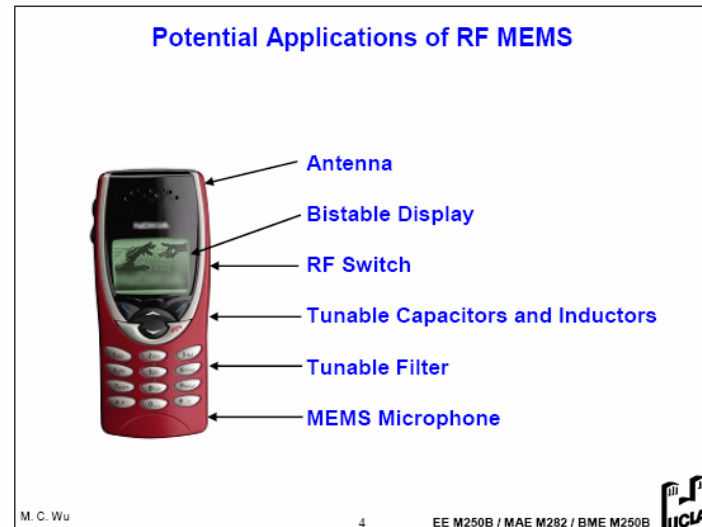
# Use of RF MEMS

- Wireless sensor networks (WSN)

- Sensors everywhere
  - compact, intelligent
- "ambient intelligence"



- Mobile terminals



# International activity

- RF MEMS is in focus on leading international conferences
  - ISSCC, IEDM (Int. Electron Devices Meeting)
  - MEMS-conferences and journals
    - See web-page!
- Europractice offers MPW (Multi Project Wafer)
- Increased industry attention and support of RF MEMS
  - Great potential
    - Miniaturization, increased performance, volume production
  - MEMS in general is not a big hit!
    - A few successes: airbag sensor, projector