Vibrating MEMS resonators

- Vibrating resonators can be scaled down to micrometer lengths
 - Analogy with IC-technology
- Reduced dimensions give mass reduction and increased spring constant → increased resonance frequency
- Vibrating MEMS resonators can give high Q-factor
 - Reduced insertion loss (BP-filters)
 - Reasons for Q degradation for MEMS resonators
 - Energy loss to substrate via anchors
 - Air/gas damping
 - Intrinsic friction
 - Small dimensions (low stored energy compared to energy loss)

Comb-resonator

- Fixed comb + movable, suspended comb
- Using folded springs, compact layout
- Total capacitance between combs can be varied
- Applied voltage (+ or -) generates electrostatic force between left anchor comb and "shuttle"-comb. Plate pulled left laterally controlled by drive voltage

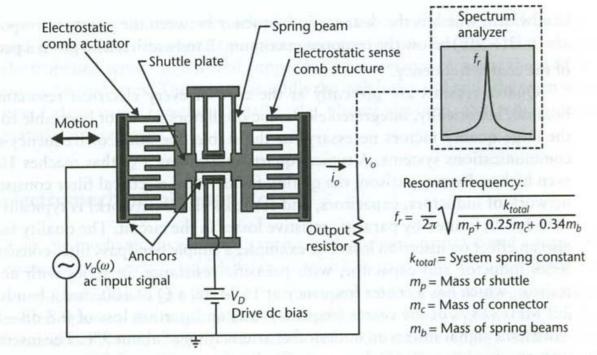
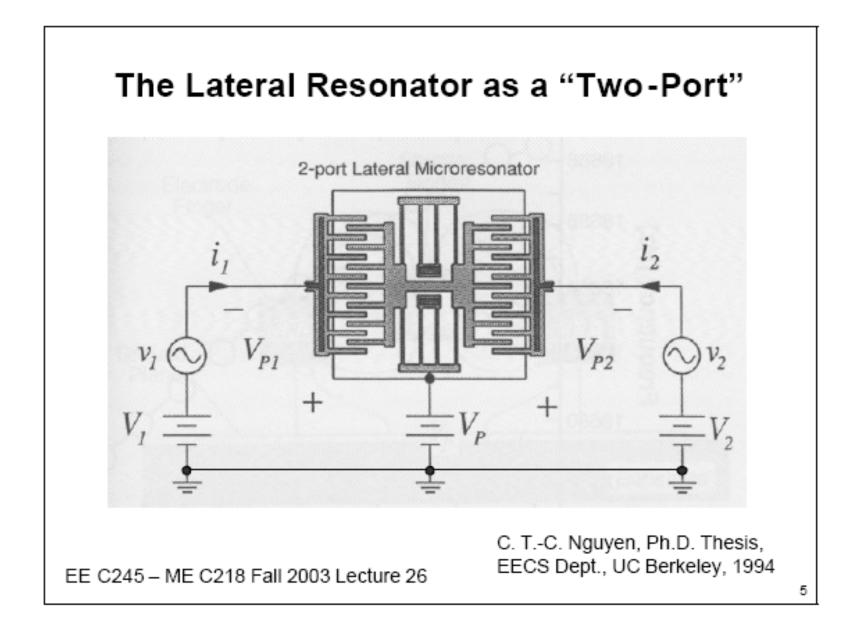
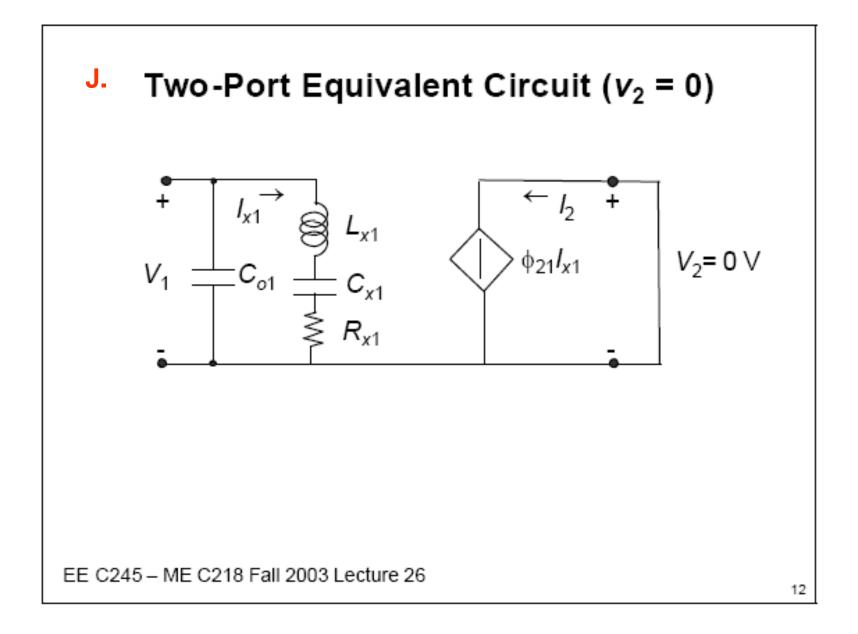


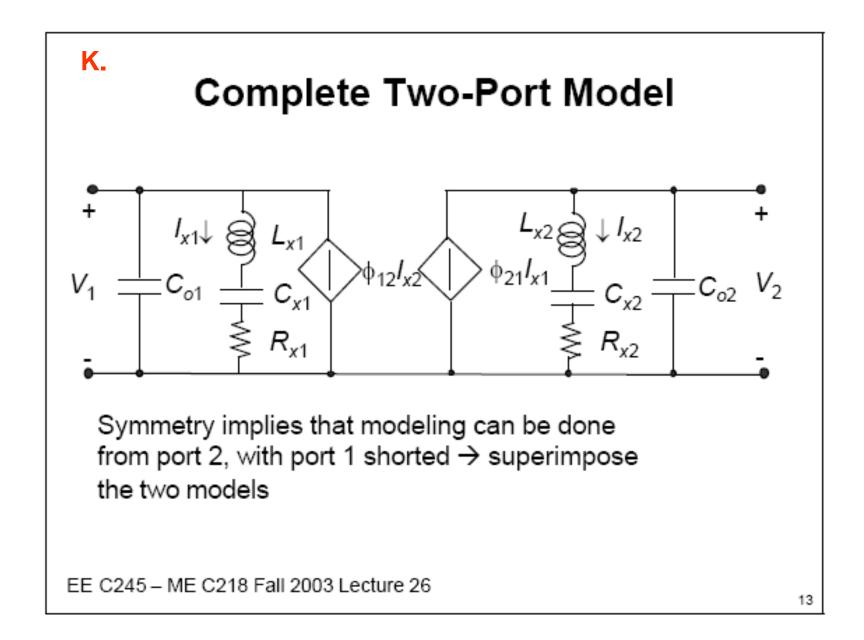
Figure 7.9 Illustration of a micromachined folded-beam comb-drive resonator. The left comb drive actuates the device at a variable frequency ω . The right capacitive-sense-comb structure measures the corresponding displacement by turning the varying capacitance into a current, which generates a voltage across the output resistor. There is a peak in displacement, current, and output voltage at the resonant frequency.

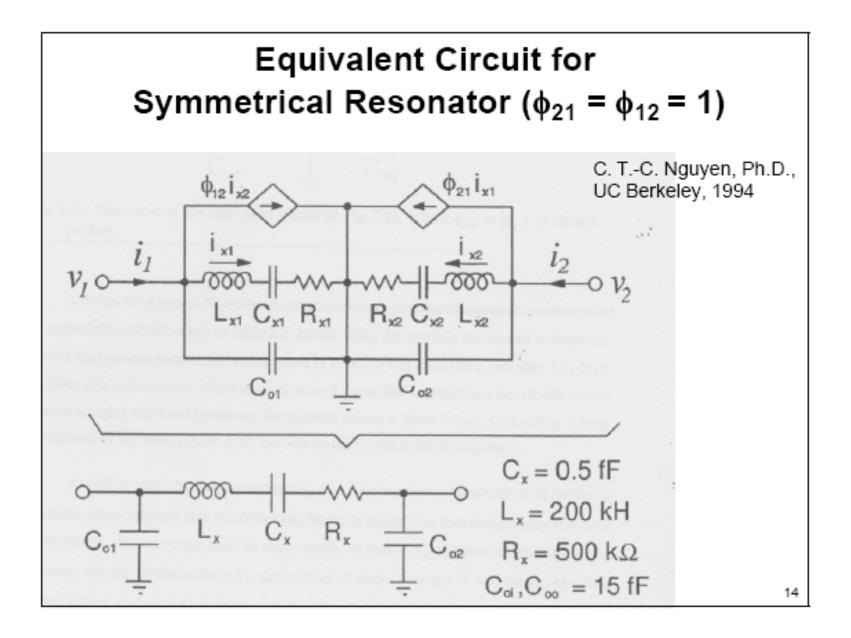
Comb-resonator, summary

- Summary of modeling:
- Force: $Fe = \frac{1}{2} \frac{dC}{dx} \sqrt{2}$ (force is always attractive)
 - Input signal Va * cos (ωt)
 - Fe ~ Va² * ½ [1 + cos (2ωt)]
 - Driving force is 2x input frequency + DC: NOT DESIRABLE
- Add DC bias, Vd
 - Fe ~ Vd ^2 + 2 Vd * Va * $\cos \omega t$ + negligible term (2 ωt)
 - Linear AC force-component ~ Vd * Va, has same frequency as Va: ω. Is emphasized!
- C increases when finger-overlap increases
 - $\epsilon * A/d$ (A = comb thickness * overlap-length)
- dC/dx = constant for a given design (linear change, C is proportional to length variation)





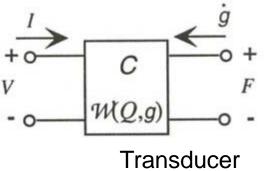




Conversion between energy domains

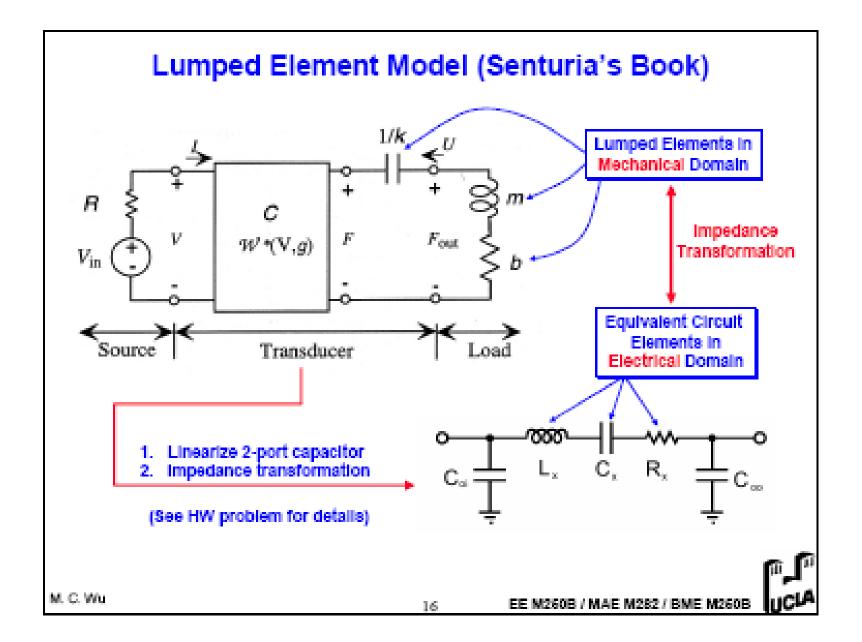
 Both vertical and lateral resonator structures may be described by a generalized non-linear capacitance, C, interconnecting energydomains

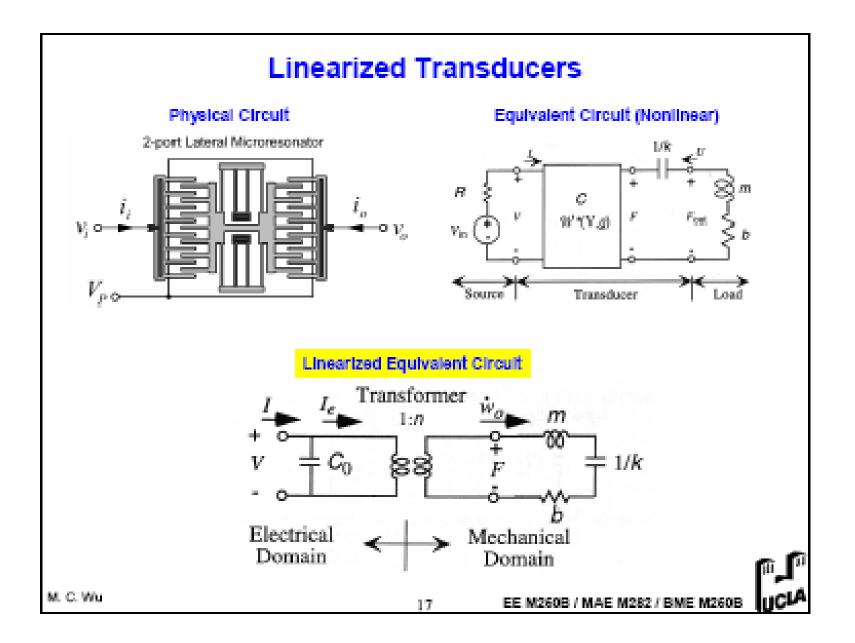
Electrical domain



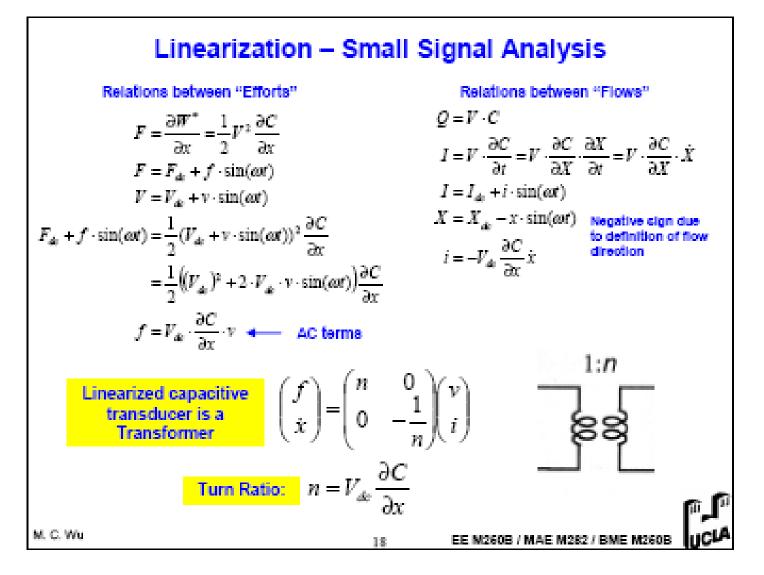
Mechanical domain

Interconnecting where there is **no energy loss**

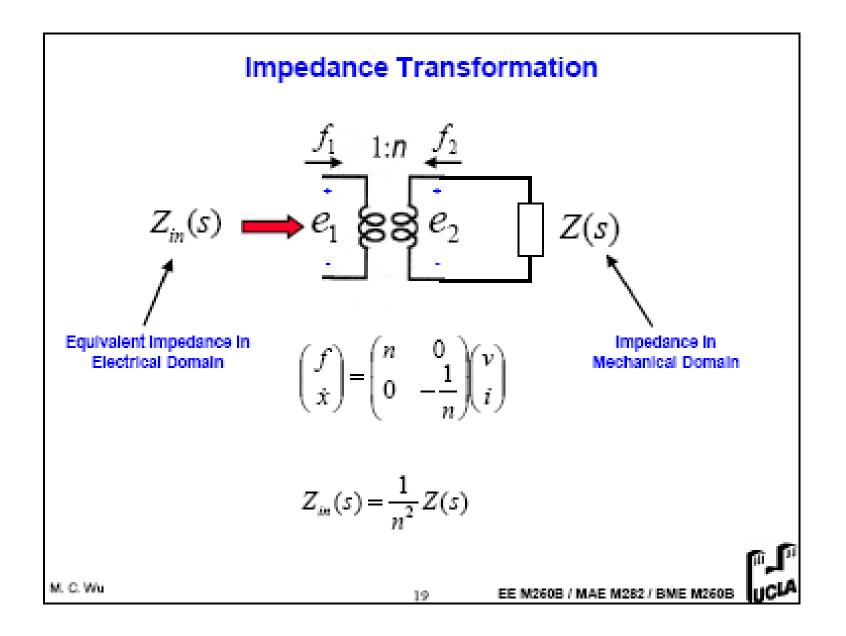


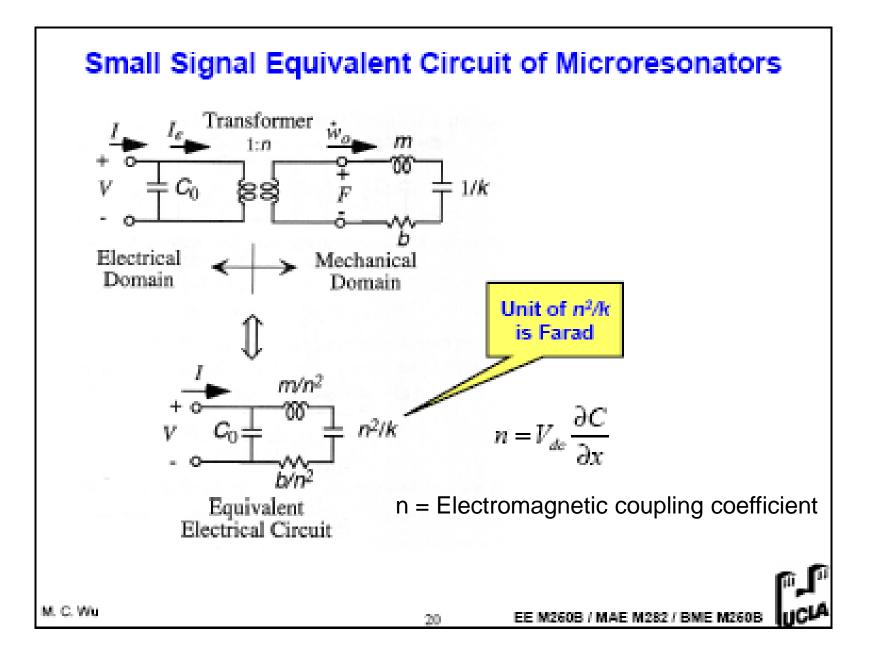


Similarly for relationship between FLOWS:



flow (electrical domain) = - const. * flow (mechanical domain)





Beam resonator

- How to obtain a higher resonance frequency than that which is possible with the comb-structure?
 - Mass should be reduced more -> beam resonator
- Beam resonator benefits
 - Smaller dimensions
 - Higher resonance frequency
 - Simple
 - Many frequency references on a single chip
 - Frequency variation versus temperature is more linear over a broader temperature range
 - Integration with electronics possible \rightarrow lower cost

Beam-resonator, contd.

- Electrode under beam, electrostatic actuation
- Plate attracted for both positive and negative wave. Actuated with double frequency
 - \rightarrow Need a polarization voltage, Vd, between beam and actuation electrode
 - As for "lateral shuttle": When Vd is combined with ac-signal, then beam oscillates with same frequency as ac signal
 - At resonance the amplitude is maximum

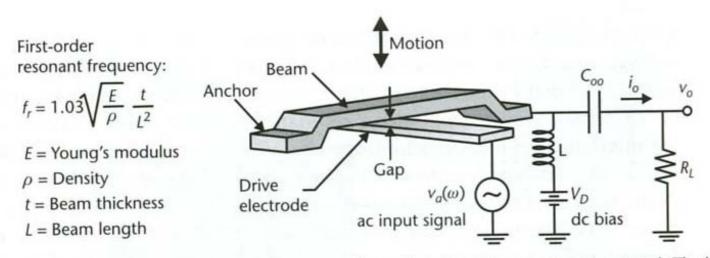


Figure 7.10 Illustration of a beam resonator and a typical circuit to measure the signal. The beam is clamped on both ends by anchors to the substrate. The capacitance between the resonant beam and the drive electrode varies with the deflection.

Clamped-clamped beam

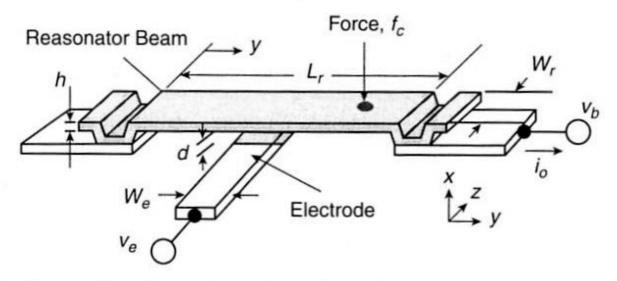


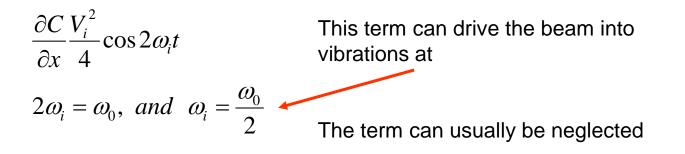
Figure 12.4. Perspective-view schematic of a clamped-clamped beam µmechanical resonator in a general bias and excitation configuration.

Then

$$F_{d} = \left(\frac{1}{2}V_{p}^{2} - V_{p}V_{i}\cos\omega_{i}t + \frac{1}{2}\frac{V_{i}^{2}}{2} + \frac{1}{2}\frac{V_{i}^{2}}{2}\cos 2\omega_{i}t\right)\frac{\partial C}{\partial x}$$
$$F_{d} = \frac{\partial C}{\partial x}\left(\frac{V_{p}^{2}}{2} + \frac{V_{i}^{2}}{4}\right) - V_{p}\frac{\partial C}{\partial x}V_{i}\cos\omega_{i}t + \frac{\partial C}{\partial x}\frac{V_{i}^{2}}{4}\cos 2\omega_{i}t$$

Off-resonance DC force Static bending of beam

Force driven by the input frequency, amplified by V_P



Ε.

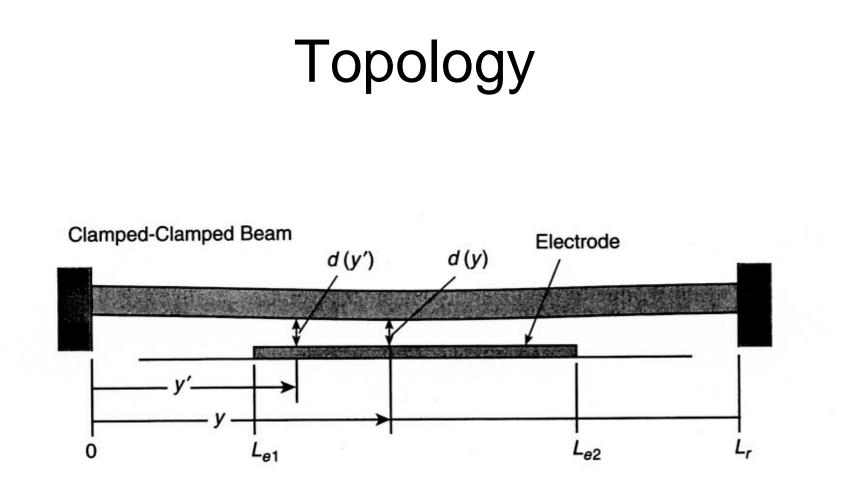


Figure 12.9. Resonator cross-sectional schematic for frequency-pulling and impedance analysis.

Simplification (De Los Santos):

Assume that the beam is flat over the electrode

Potential energy

Work being done to move the beam a distance g AGAINST the force due to the electrical beam stiffness k_e (The spring stiffness is now considered to be CONSTANT in each pont y') $U_2 = \int_0^g k_e \cdot x \cdot dx = \frac{1}{2}k_e \cdot g^2$

The energies can be set equal

Simplified expression for the electrical beam stiffness

$$\frac{1}{2}k_e \cdot g^2 = \frac{1}{2}C \cdot V_p^2$$
$$k_e = \frac{C \cdot V_p^2}{g^2}$$

$$U_1 = \frac{1}{2} C V_p^2$$

Simplified expression for frequency

$$f = \frac{1}{2\pi} \sqrt{\frac{k_m - k_e}{m_r}} = \frac{1}{2\pi} \sqrt{\frac{k_m}{m_r}} \left(1 - \frac{k_e}{k_m}\right)$$
$$= \frac{1}{2\pi} \sqrt{\frac{k_m}{m_r}} \cdot \sqrt{1 - \frac{k_e}{k_m}} = f_{nom} \cdot \sqrt{1 - \frac{C \cdot V_P^2}{k_m \cdot g^2}}$$

Substitute for C:

$$C = \varepsilon_0 \cdot \frac{A}{g}$$
$$f = f_{nom} \cdot \sqrt{1 - \frac{\varepsilon_0 \cdot A \cdot V_p^2}{k_m \cdot g^3}}$$

Beam-softening

• Resonance frequency decreases by

$$\sqrt{1-C_0\cdot V_P^2/(k_m\cdot g^2)}$$

- → resonance frequency may be tuned electrically!

$$f_o = \frac{1}{2\pi} \sqrt{\frac{k_r}{m_r}} = 1.03 \kappa \sqrt{\frac{E}{\rho}} \frac{h}{L_r^2} [1 - g(V_P)]^{1/2}, \qquad (12.2)$$

"free-free-beam"

- f-f-beam is suspended with 4 support-beams in widthdirection
 - Torsion-springs
 - Suspension points at nodes for beam "flexural mode"
- Support-dimension is a quarter-wavelength of f-fbeam resonance frequency
 - The impedance seen at the nodes is infinite preventing energy propagating along the beam to the anchor
 - Beam is free to vibrate as it was not anchored
 - Beneficial for reducing energy loss via anchors to substrate

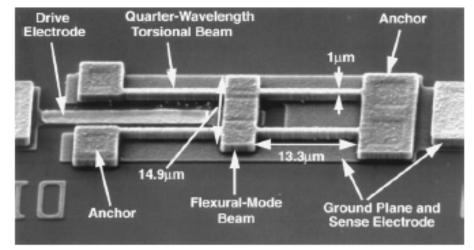
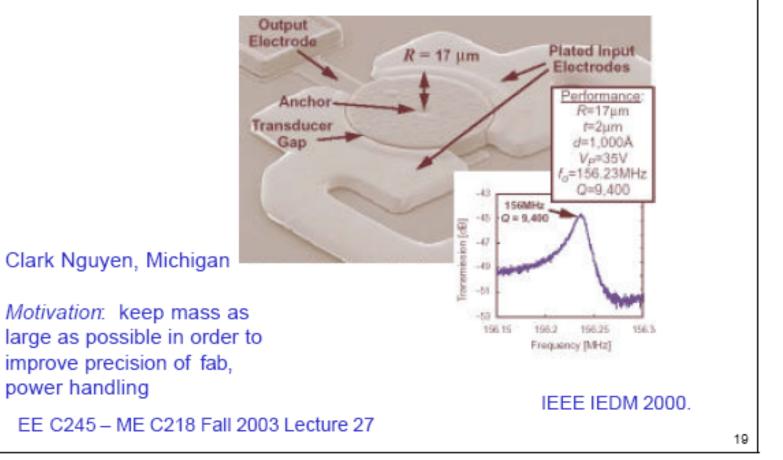


Fig. 29. SEM of free-free beam virtually levitated micromechanical resonator with relevant dimensions for $f_o = 71$ MHz.

Nguyen, 1999

Increasing the Resonant Frequency

option 2. spring rate $\rightarrow \infty$



Disk resonators

- Advantages of using disks compared to beams
 - Reduced air damping
 - Vacuum not needed to measure Q-factor
 - Higher stiffness
 - Higher frequency for given dimensions
 - Larger volume
 - Higher Q because more energy is stored
 - Less problems with thermal noise
- Periphery of the disk may have different motional patterns
 - Radial, wine-glass

Micromechanical filter: 3 * resonators

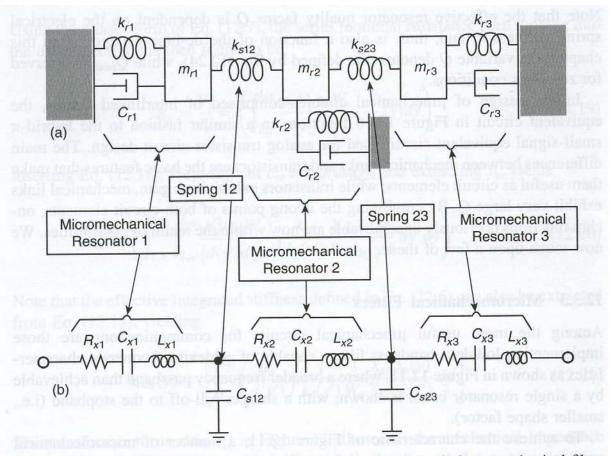
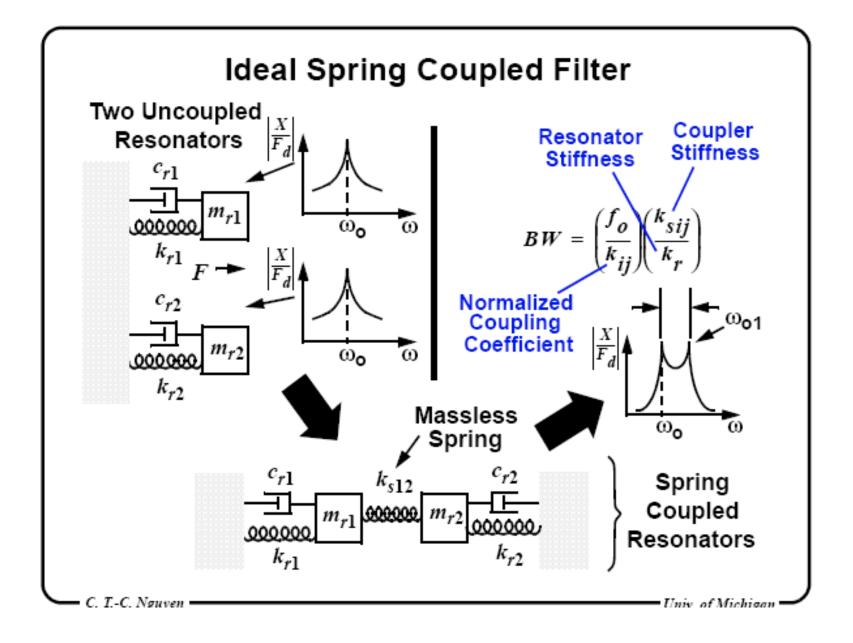
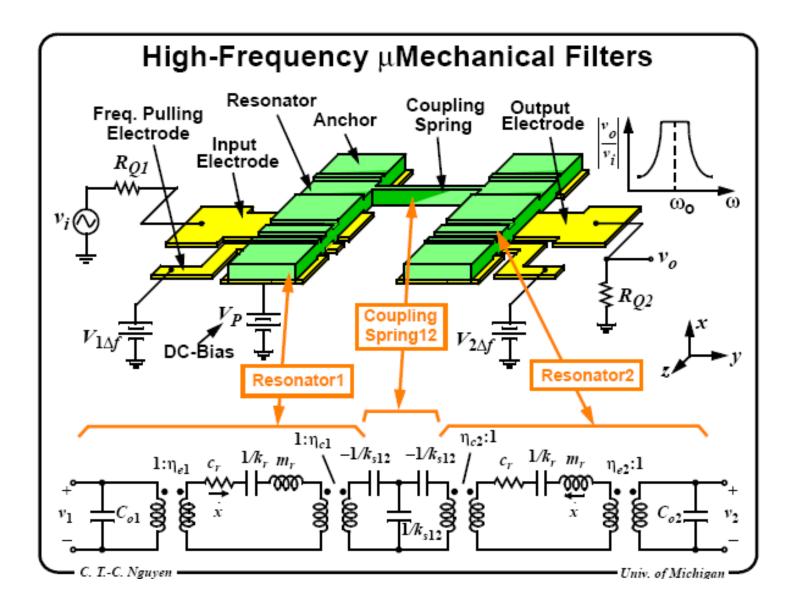


Figure 12.12. (a) Equivalent lumped-parameter mechanical circuit for a mechanical filter. (b) Corresponding equivalent *LCR* network.



2-resonator HF-VHF micromechanical filter



Design

At centre frequency fo and bandwidth B, spring constants must fulfill

$$B = \left(\frac{f_0}{k_{ij}}\right) \cdot \left(\frac{k_{sij}}{k_r}\right)$$

- k_{ij} = normalized coupling coefficient taken from filter cook books • Ratio $\left(\frac{k_{sij}}{k_r}\right)$ important, NOT absolute values
- Theoretical design procedure *
- (* can not be implemented)
 - Determine f_0 and k_r Choose k_{sij} for required B
 - I real life this procedure is modified

Design procedure B

- **B1.** Use coupling points on the resonator to determine filter bandwidth
 - B determined by the ratio

$$\frac{k_{s12}}{k_{rc}}$$

- k_{rc} is the value of k at the **coupling point!**
- k_{rc} position dependent, especially of the **speed** at the position
- k_{rc} can be selected by choosing a proper coupling point of resonator beam!
- The dynamic spring constant k_{rc} for a c-c beam is largest nearby the anchors
 - k_{rc} is larger for smaller speed of coupling point at resonance

Position of coupling beam

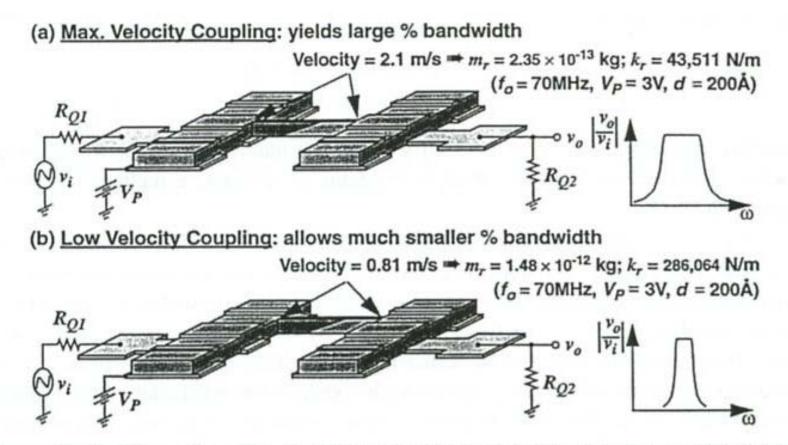


Figure 12.15. Filter schematics showing (a) maximum velocity coupling to yield a large percent bandwidth and (b) low-velocity coupling to yield a smaller percent bandwidth.

Mixer -filter

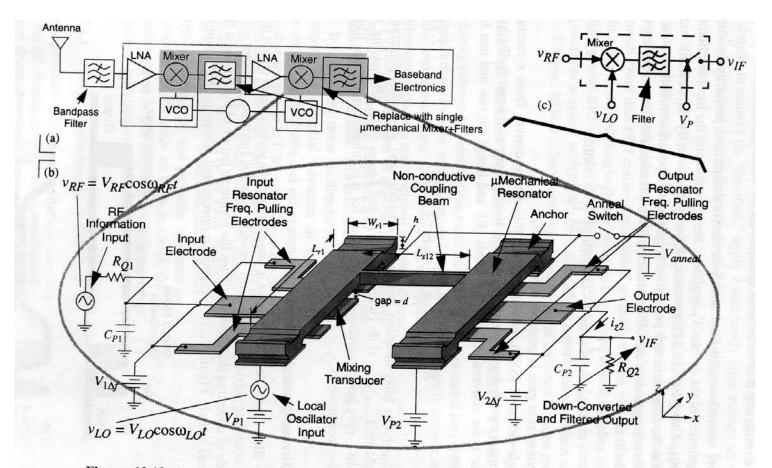


Figure 12.18. (a) Simplified block diagram of a wireless receiver, indicating (with shading) the components replaceable by mixer-filter devices. (b) Schematic diagram of the described μ mechanical mixer-filter, depicting the bias and excitation scheme needed for downconversion. (c) Equivalent block diagram of the mixer-filter scheme.

Passive components in RF circuits

• \rightarrow MEMS capacitors and inductors

- Relevant as replacements for traditional "off-chip" passive components
- Tuneability and programability are desired

• MEMS capacitors

- Simple, tunable capacitances
 - = varactor ("variable reactor")
- Programable capacitance banks with fixed C

MEMS inductors

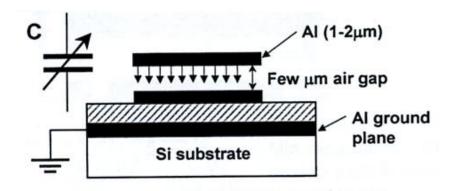
- Simple, fixed inductors
- Programable inductance banks with fixed L

Tunable RF MEMS capacitors

- Electrostatic actuation is a dominating mechanism for tuning
 - Low power consumption, simple
- Vertical electrostatic displacement
 - Tuning the gap (non-linear change) in parallel plate capacitor
 - 2-plate capacitance
 - 3-plate capacitance
 - Double air-gap capacitance
 - Other examples
- Horizontal (lateral) displacement
 - Tuning of area (linear change)
- Thermal tunable MEMS capacitance
- **Piezoelectric** actuator tunable capacitance
- Tuning by change of **dielectric** material

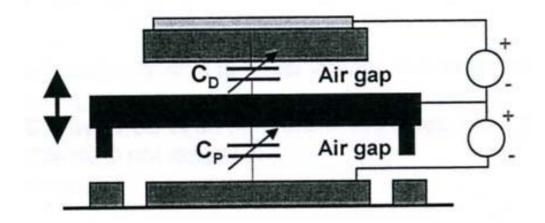
Two-plate tunable MEMS capacitance

- Young & Boser, Berkeley
- Gap-tuning
- One plate can move by electrostatic actuation
- Equilibrium between elastic and electrical forces



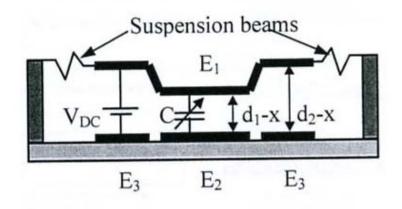
3-plate tunable MEMS capacitance

- TR can be increased by introducing a 3rd plate
 - A. Dec & K. Suyama: "Micromachined Electro-Mechanically Tunable Capacitors and Their Applications to RF IC's" 1998.
 Columbia University



Double air-gap capacitance

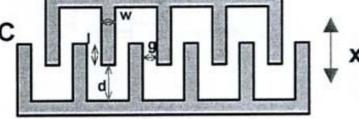
- J. Zou et al, 2000, Univ of Illinois
- Why double air-gap?
 - Increase TR
 - Eliminate pull-in effect
 - May deflect down to 1/3 d₂
 before pull-in
 - TR may increase significantly if 1/3 *d₂ > d₁
 - Eg. centre electrode can be fully deflected without pull-in!



Comb-like (inter-digital) tunable MEMS capacitors

Deflection: $x = V^2 (dC/dx) / 2k$

FSRM



Design and fabrication

(Rockwell Science Center):

Comb-like structure using single mask process

 Deep anisotropic silicon etching technique in ICP (inductively coupled plasma) reactor

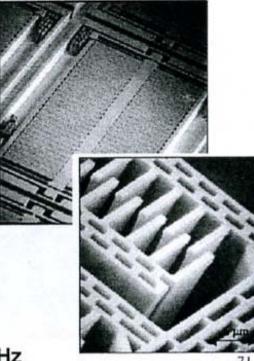
Very flexible design: large range of C and TR

Figures of merit:

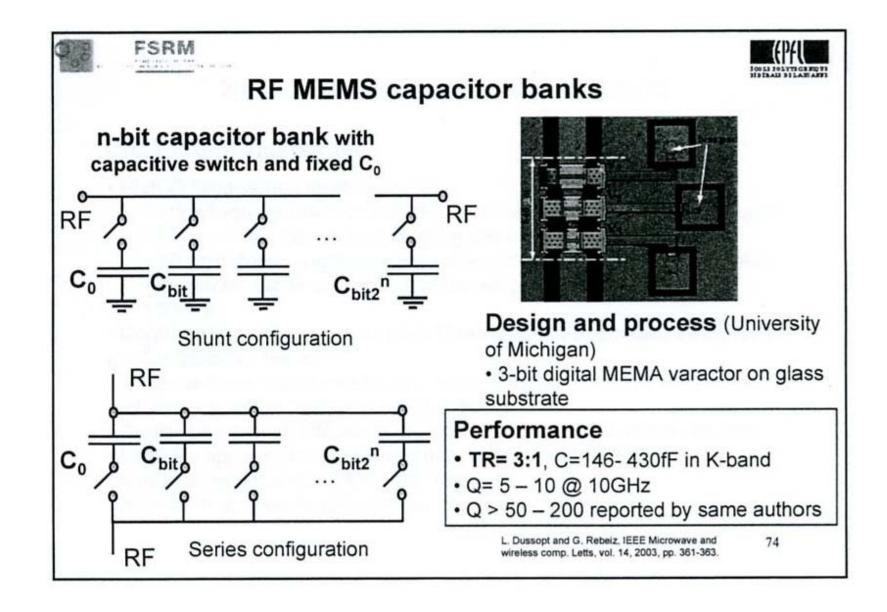
Recent results show: Q > 40-160 @ 400-1600MHz

J.J. Yao, S. Park and J. DeNatale, Solid-State Sensor and Actuator Workshop, Hilton Head Island, SC, 1998, pp. 124-127.

Idea: area-tuning capacitor



Ionescu, EPFL: J. J. Yao et al, Rockwell



Ionescu, EPFL

RF MEMS inductors

- Two-dimensional (planar) inductors
- Three-dimensional inductors, solenoids
- Only fixed-value inductor can be implemented
 No practical implementation of tunable inductors exist
- Variable inductance values: implemented as inductor bank
 - Many inductors with fixed, high Q-value
 - In combination with MEMS contact switches

Planar inductors, in general

- Implemented in a single plane
- One metal layer patterned by etching
- Inductor rest on a substrate covered by a dielectric
- **Loss** in inductor due to:
 - Finite metal conductivity
 - Loss in dielectric
 - Loss in substrate
- Area limitations for RF
- Total length of an inductor has to be significantly shorter than the wavelength
 - Gives then negligible phase shift of signal

metal	
dielectric	
substrate	

Different planar geometries

- Distance between lines is critical
- Circular spiral has a shorter length than a quadratic spiral
 - \rightarrow Lower R
 - Q is about 10% higher with same "diameter", do
- Higher Q achieved by increasing number of turns per area
 - Self resonance frequency decreases due to the increase in capacitance → limits the region of use

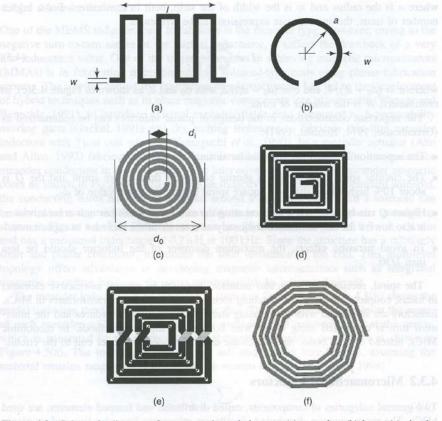


Figure 4.3 Schematic diagram of common planar inductors: (a) meander; (b) loop; (c) circular spiral; (d) square spiral; (e) symmetric spiral; (f) polygon spiral

General model for a planar inductor

Ls is low frequency inductance

Rs is series resistance

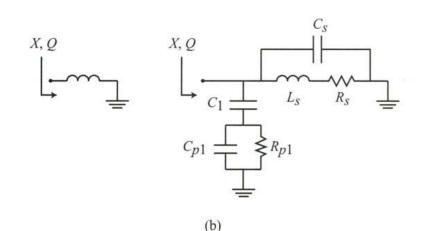
Cs is capacitance between windings

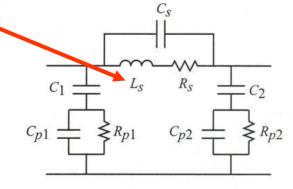
C1 is capacitance in oxide layer between inductor and substrate

Cp is capacitance to ground through substrate

Rp is "eddy current" loss in substrate

Figure 12.1. (a) The equivalent LRC model of a planar inductor. (b) A short-circuited inductor model typically used in S-parameter and Q measurements. C_{p1} and C_{p2} are often assumed identical and equal to C_p .





(a)

Various design parameters

- Structure
 2D or 3D, form
- Line spacing
- Line width
- Number of turns
- Magnetic core
- Metal thickness
- Sheet resistance
- Thickness of dielectrics
- Substrate resistivity

Summary: How to increase performance?

- Have thick metal layer with good conductivity
 - To reduce series resistance
- Use substrate etching

- Reduce substrate parasitic capacitance

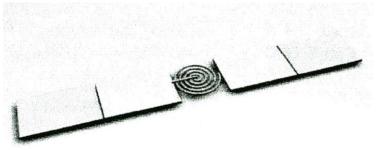
• Use **3-D** structures

- For vertical plane solenoids the L-value may increase

• Use of **core material**

Out of plane inductors

- Inductor can be elevated by "scratch actuators"
 - L. Fan et al, MEMS 1998
 - Elevated 250 µm over Si substrate
 - Resonance at 1.8 6.6 GHz after elevation of solenoid



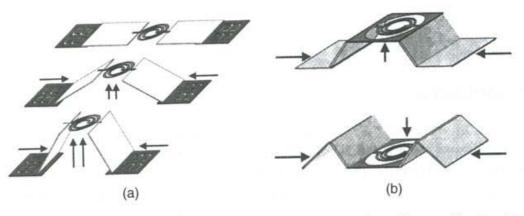


Figure 4.31 (a) Schematic diagram of the MESA micro-elevator by self-assembly structure; (b) the center platform can move upward or downward. Reproduced from L. Fan, R.T. Chen, A. Nepolsa and M.C. Wu, 1998, 'Universal MEMS platforms for passive RF components: suspended inductors and variable capacitors', in *Proceedings of 11th Annual International Workshop on MEMS '98*, IEEE, Washington, DC: 29–33, by permission of IEEE, © 1998 IEEE

Micromachining using self-assembly

Elevate inductor above substrate to reduce parasitic capacitance

Cr-Au layer over polylayer

Different residual **stress** in materials make the inductor "**curl**" above substrate

Anchor causes a significant parasitic capacitance

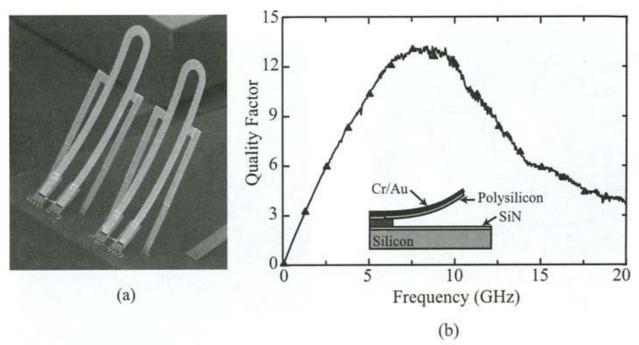
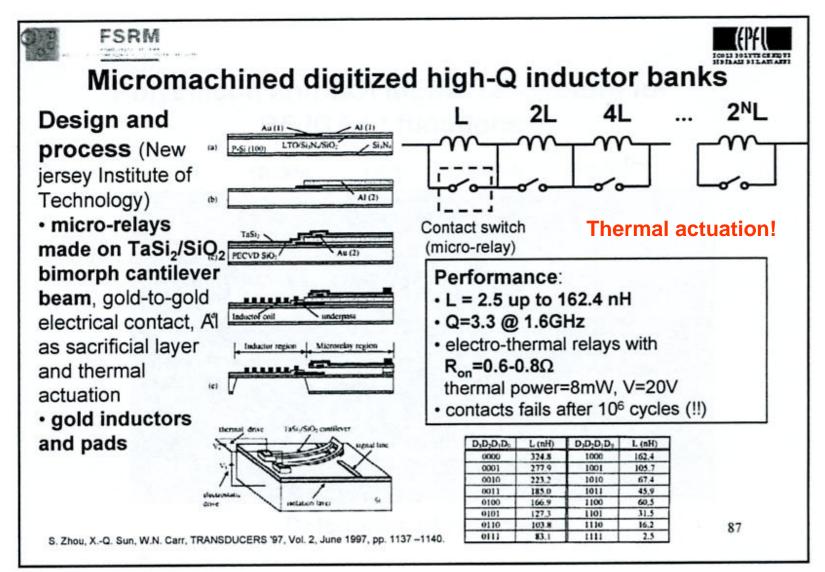


Figure 12.12. Picture (a) and measured Q (b) of a self-assembled 1.2-nH inductor [20] (Copyright IEEE).

Programmable inductor banks



Purpose of packaging

- For secure and reliable interaction with environment packaging is needed
- Package:
 - Is a mechanical support
 - Has signal connections to the physical world
 - Provides heat transport
 - Gives environmental protection
 - Makes **contact** with environment possible
 - Pressure sensor
 - Liquid system

Different packages used

- Important issues
 - Package size, form, number of pins
 - Package material
- Different package types
 - Ceramic packages
 - Metal packages
 - Polymer packages
- Package can be combined with a 1. level encapsulation
 - Die level encapsulation: "microcaps"
 - Interesting if MEMS does not need direct contact with liquids and gasses

Integration of IC and MEMS

- Separate MEMS- and IC-dies can be impractical and costly
 - Often the only possibility
 - Due to different technology requirements
 - + MEMS and CMOS may then be individually optimized
 - - Parasitic capacitances, impedances!
 - → One-chip solution desired! (monolithic integration)
- Technologies for monolithic integration
 - Pre-circuits (Pre-CMOS)
 - Mixed circuit- and micromechanics (Intermediate CMOS)
 - Post-circuits (Post-CMOS)

Pre-CMOS circuits

- Fabricate micromechanics first, then IC
- Benefits
 - May fabricate MEMS optimally
 - Only one passivation step needed after micromechanics processing
 - Upgrade each process module individually
- Drawbacks
 - Large topography variations present after MEMS (ex. of 9 μm)
 - CMOS photo resist spinning and patterning become more difficult
 - Especially for submicron circuits
 - CMOS and MEMS have different minimum geometries!
 - Must make the surface planar before CMOS processing
 - CMOS foundry processes do not allow "dirty" MEMS wafers into the fabrication line

Mixed circuit- and micromechanics

- IC and MEMS-processes integrated into one process
 - "MEMS in the middle"
- Drawbacks
 - Limitations on MEMS structures that can be fabricated
 - Many passivation layers needed
 - When switching between circuit and micromechanics process
 - Only custom CMOS-processes can be used
 - Total redesign of the whole process if one of the combined technologies ("modules") is changed

Post-CMOS circuits

- CMOS circuit processing performed **before** MEMS
 - Possibly the most promising procedure
 - Planarization not needed
 - May use advanced/standard IC foundries and succeeding micromechanical processing
 - Method gradually developed
- Drawbacks
 - Difficulties with CMOS Al-based metallization
 - Al can not withstand the high temperature steps needed for several micromechanical process steps
 - Especially those needed for high Q: f.ex. polySi deposition/annealing
 - Compromises must be done for one or both processes
 - Ex. MICS process: Tungsten ("wolfram") as CMOS metal
 - Ex. UoC Berkely: use SiGe as MEMS structure material

ASIMPS at CMU

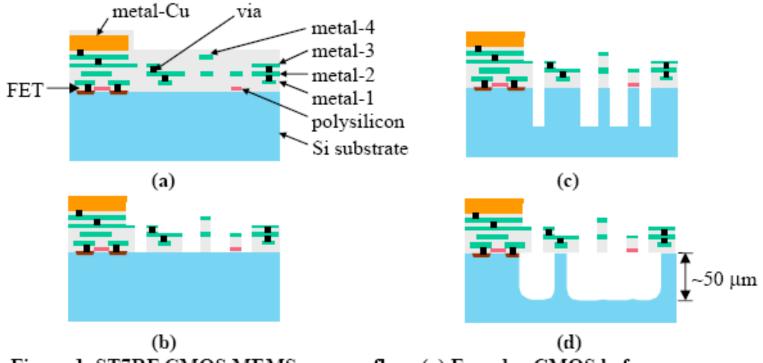
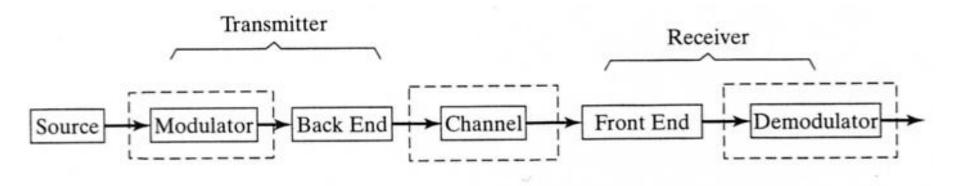


Figure 1. ST7RF CMOS MEMS process flow. (a) Foundry CMOS before micromachining; (b) CHF₃/O₂ reactive-ion etch of dielectric stack down to the silicon substrate; (c) Deep reactive-ion etch of Si substrate (nominal 35 μm deep); and (d) Si undercut (nominal 15 μm undercut and 50 μm deep).

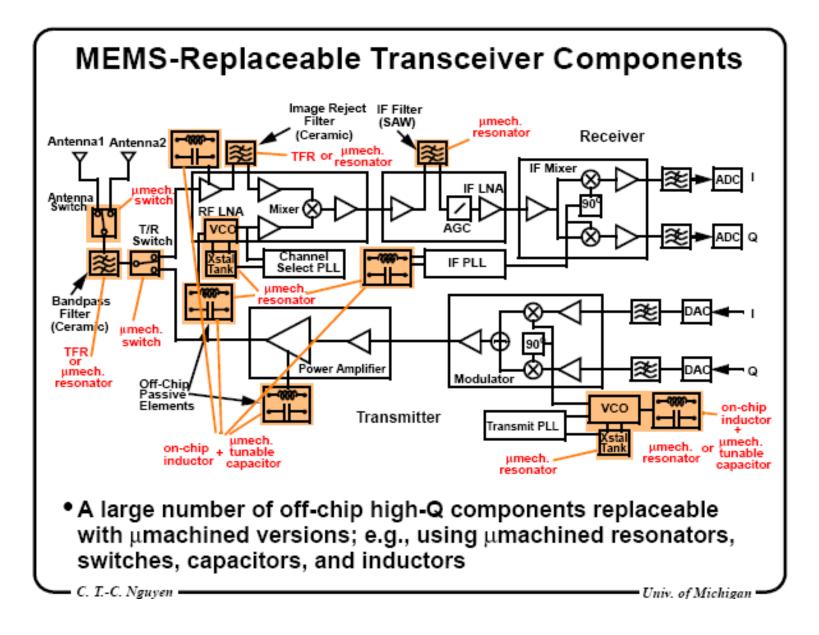
General communication system



Bit streams are modulated (coded) onto a carrier

Radio channel introduces noise, interference, disturbances

Receiver shapes the signal for demodulation



Itoh et al, fig 12.1

B. Special RF MEMS blocks

- Figure shows 3 basic blocks that are substituted by RF MEMS
 - B1. Switchable RF channel-select filter bank
 - B2. Switchable micromechanical frequency synthesizer
 - **B3.** Micromechanical mixer-filter block

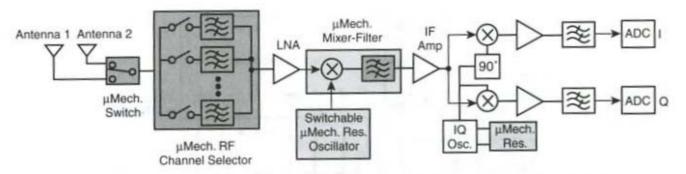
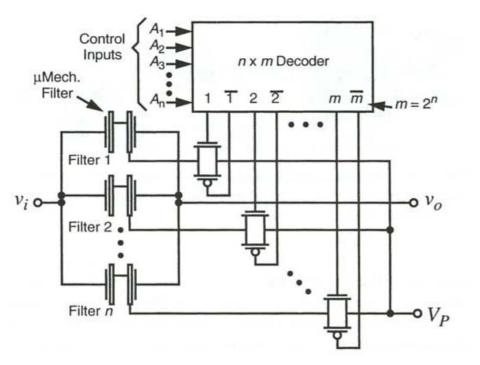


Figure 12.21. System block diagram for an RF channel-select receiver architecture utilizing large numbers of micromechanical resonators in banks to trade Q for power consumption. (On-chip µmechanics are shaded.)

B1. Switchable RF channel-select filter bank

- Idea
 - Use many, simple, nontunable filters with high Q
 - One for each channel, switched on command
 - A communication standard needs 100 1000 of filters
- Block diagram
 - Common input and output
 - Controlled by Vp from decoder
 - With no Vp the outputs are effectively "open-circuited"



Conclusion (source: Ionescu, EPFL)

- Central features
 - Micro mechanical processing!
- RF MEMS is a promising technology for communication applications
 - Miniaturisation of critical parts
 - Co-design of "electromechanical / IC" -components
 - Co-integration with more traditional IC technology
 - Increased RF performance
 - High performance components
 - High Q tunable passive components have been demonstrated
 - New functionality of RF circuits \rightarrow programmability
 - Reconfigurable units can be achieved
 - Low power applications
 - Great potential for low cost

Future prospects for RF MEMS

(source: lonescu, EPFL)

- Passive RF MEMS components will probably be the first units to reach market
- RF MEMS switches will be used in more specific applications (niches)
 - Capacitive switches for > 10 GHz
 - Still much effort is needed to reach acceptable reliability and effective packaging
- RF IC with only MEMS components
- Full circuit functionality (filtering og mixing) in one function block

Future prospects for RF MEMS, contd.

• Resonators are very promising!

- Can replace complete circuit functions
- The technology is CMOS compatible and relatively scalable
- Vision: Low effect radio with RF MEMS blocks

 "Improvements in reliability and packaging during the next years will determine the impact RF MEMS will have!"