

# INF5490 RF MEMS

## **LN11: RF MEMS capacitors**

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# Today's lecture

- Passive components in RF circuits
  - Capacitors, C
  - Inductors, L
- Tunable **RF MEMS capacitors**
  - **Vertical** tunable capacitors
  - **Lateral** tunable capacitors
  - **Thermal** tunable MEMS capacitance
  - **Piezoelectric** actuator tunable capacitors
  - Tuning by changing of **dielectric** material
- RF MEMS **capacitance banks**

# Passive components in RF circuits

- → **MEMS capacitors and inductors**
  - Relevant as **replacements** for traditional "off-chip" passive components
  - Tuneability and programability are desired
- **MEMS capacitors**
  - Discrete, **tunable** capacitances
    - = **varactor** ("variable reactor")
  - Programmable capacitance banks with **fixed** C's
- **MEMS inductors (LN12)**
  - Discrete, **fixed value** inductors
  - Programmable inductance banks with **fixed** L's

# Use of tunable capacitors

- **VCO** = "Voltage controlled oscillator"
  - Value of C determines the frequency
  - C is tuned by voltage
  - VCO has strict requirements on
    - Stability
    - Low phase noise
    - Wide frequency range
- Tunable filters
- Tunable networks for impedance matching
- Phase shifters

# MEMS compete with commercial semiconductor technologies

- Discrete **Si** and **GaAs varactors** exist
  - → 30 GHz
  - Ex.  $Q = 30-60$  for 0.5-5 GHz (SiGe)
  - MEMS varactors not mature enough to replace GaAs varactors, especially for frequencies below 5 GHz
- MEMS varactors have not developed as fast as MEMS switches
  - But: is the RF MEMS component closest to **commercial applications**
  - Already, many replacements using MEMS have been demonstrated, DC → 100 GHz

# Typical characteristics for MEMS varactors

- + Potential for **high Q-values**
  - High Q-value (>100) over a wide frequency band
    - Ex.  $Q = 100 - 400$  for mm-frequencies
- + Simple, compared to alternative technologies
- + Capable of sustaining large RF voltages
- + Low cost fabrication
  - On glass, ceramic, high-resistivity Si-substrate
  - Ex. "low-cost" 3 – 60 GHz tunable networks and filters
- + More reliable
- + Simple and low-cost packaging

# Why high Q-values?

- Q-factor characterizes loss due to power dissipation in elements
- Q should be as high as possible to reduce Insertion loss
- Quality factor fundamentals (definition)

$$Q = 2\pi \frac{\text{maximum instantaneous energy stored in circuit}}{\text{energy dissipated per cycle}}$$

Characterize power loss due to dissipation mechanisms in reactive elements.

$$Q_{\text{Tuned Circuit}} = \frac{f_0}{B}$$

$$Q_{\text{Capacitor}} = \frac{\omega_0 C}{G}$$

$$Q_{\text{Inductor}} = \frac{\omega_0 L}{R}$$

Unloaded : Q (intrinsic)

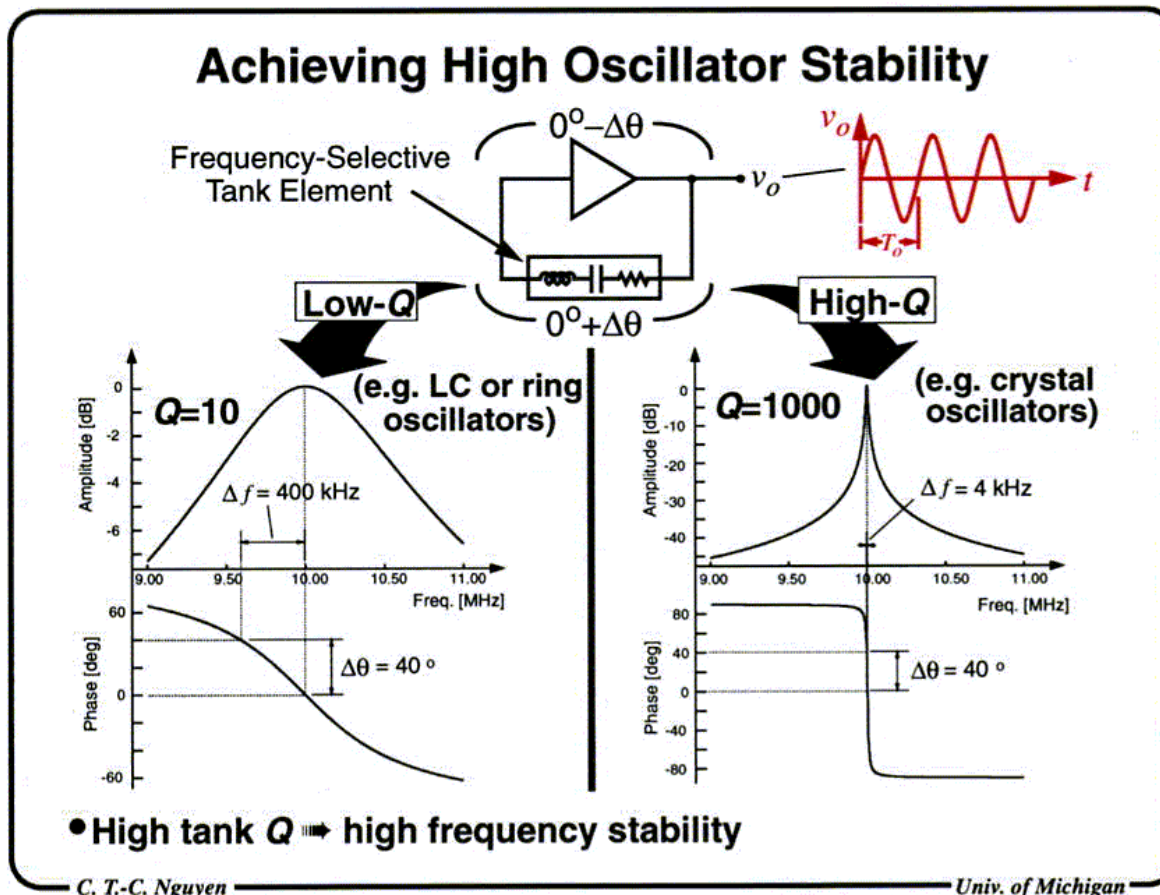
Losses due to external load :  $Q_L$

→ Insertion loss at resonance:

$$IL(\text{dB}) = 20 \log \left( 1 + \frac{Q_L}{Q} \right)$$

# Relation between Q-factor and oscillator stability

- **Q-factor is critical for RF circuit performance!**





# Equivalent circuit for capacitor

- At high frequency  
→ inductance
  - has a characteristic self resonance frequency
  - Inductance should be as low as possible so the self resonance frequency is much higher than the frequency used in normal operation

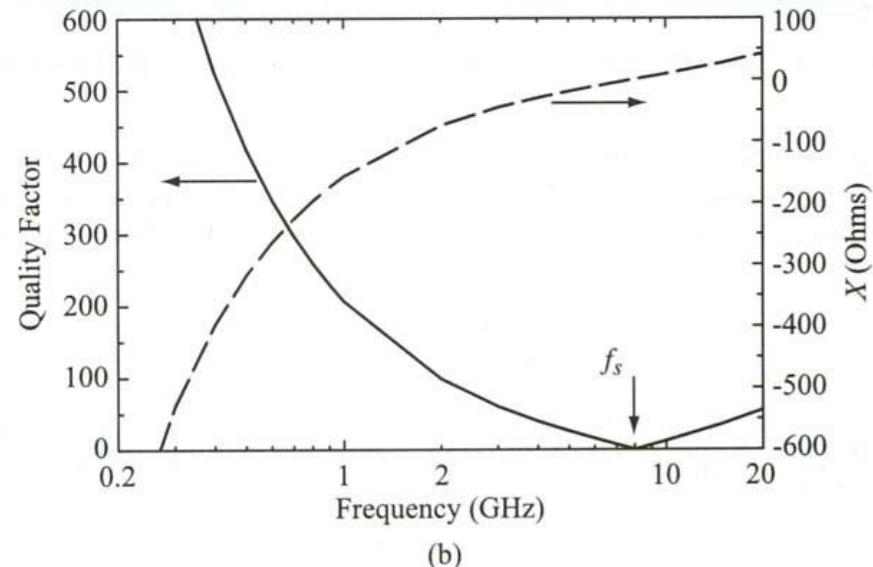
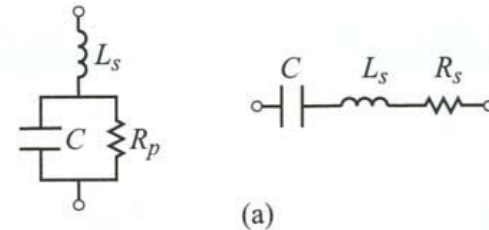
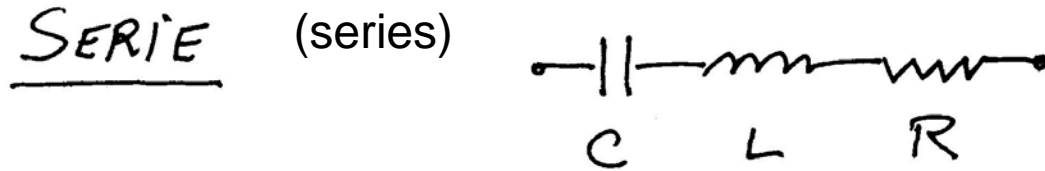


Figure 11.1. (a) Capacitor models. (b) Calculated reactance and  $Q$  of a 1-pF capacitor with  $L_s = 0.4$  nH and  $R_s = 0.83 \Omega$  ( $f_s = 8$  GHz).

## Calculations I:



$$Z = R + j\left(\omega L - \frac{1}{\omega C}\right)$$

Selvresonans  $\therefore \operatorname{Im}(z) = 0$   
(Self resonance)

$$\omega_0 = \frac{1}{\sqrt{LC}}$$

Under selvresonans  
(Below self resonance)

$$\omega^2 \ll \frac{1}{LC}$$

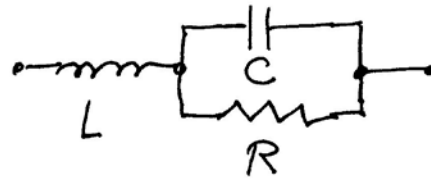
$$\omega L \ll \frac{1}{\omega C}$$

$$Q = \frac{|\operatorname{Im}(z)|}{\operatorname{Re}(z)} \approx \frac{\frac{1}{\omega C}}{R} = \frac{1}{\omega C R}$$

## Calculations II

PARALLELL

(parallel)



$$Z = sL + (C \parallel R) = sL + \frac{\frac{1}{sC} \cdot R}{\frac{1}{sC} + R} = sL + \frac{1}{sC + \frac{1}{R}}$$

$$z = sL + \frac{1}{sC} + t$$

$$t = \frac{1}{sC + \frac{1}{R}} - \frac{1}{sC} = \frac{-\frac{1}{R}}{s^2 C^2 + sC \frac{1}{R}} = \frac{-1}{s^2 C^2 R + sC}$$

$$t = \frac{1}{\omega^2 C^2 R - j\omega C} \approx \frac{1}{\omega^2 C^2 R}$$

↑ Dominerende ved lave frekvenser (dominating term at low frequencies)

$$Z = j(\omega L - \frac{1}{\omega C}) + t = j(\omega L - \frac{1}{\omega C}) + \frac{1}{\omega^2 C^2 R}$$

$$Q = \frac{|\operatorname{Im}(Z)|}{\operatorname{Re}(Z)} = \frac{\frac{1}{\omega C}}{\frac{1}{\omega^2 C^2 R}} = \frac{\omega^2 C^2 R}{\omega C} = \underline{\underline{\omega C R}}$$

# Impedance and Q-factor for a discrete capacitor

Q-factor given for  $\omega L \ll 1/\omega C$

$$\begin{aligned} Z &= R_s + j\left(\omega L_s - \frac{1}{\omega C}\right) && \text{for a series model} \\ &\simeq \frac{1}{\omega^2 C^2 R_p} + j\left(\omega L_s - \frac{1}{\omega C}\right) && \text{for a parallel model} \end{aligned} \quad (11.2)$$

The capacitor quality factor,  $Q$ , is derived to be

$$\begin{aligned} Q &= \frac{|\text{Im}(Z)|}{\text{Re}(Z)} = \frac{1}{\omega C R_s} && \text{for a series model} \\ &= \omega C R_p && \text{for a parallel model} \end{aligned} \quad (11.3)$$

# Challenges for RF MEMS capacitors

- → Obtain required **Tuning Ratio (TR)**
  - Definition TR:  $C_{\max}/C_{\min}$
  - Should be  $> 2$
- ÷ **Tuning ratio** is generally **low**
  - TR = 1.2 – 2.5
  - For semiconductor varactors: TR = 4 – 6
- ÷ MEMS is sensitive to various **noise effects**
  - C value can change due to low spring constant, k
  - Low k is desired for 3 – 5 V applications
  - A low k-value is a challenge due to
    - Acceleration, RF power self actuation, noise effects

# Parallel plate capacitor

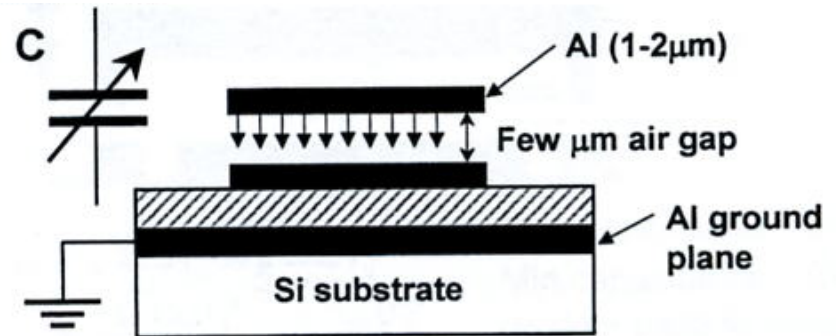
- Basic equations
  - $Q = V C$ ,  $I = C \, dV/dt$
  - $C = \epsilon A / g$
- NB!  $C$  generally tuned by 3 parameters
  - $g$ , gap
  - $A$ , area
  - $\epsilon$ , dielectric constant

# Tunable RF MEMS capacitors

- **Electrostatic actuation** is a dominating mechanism for tuning
  - Low power consumption, simple
- **Vertical** electrostatic displacement
  - Tuning the gap (**non-linear** change) in parallel plate capacitor
    - 2-plate capacitance
    - 3-plate capacitance
    - Double air-gap capacitance
    - Other examples
- **Horizontal** (lateral) displacement
  - Tuning of area (**linear** change)
- **Thermally** tunable MEMS capacitance
- **Piezoelectric** actuator tunable capacitance
- Tuning by change of **dielectric** material between plates

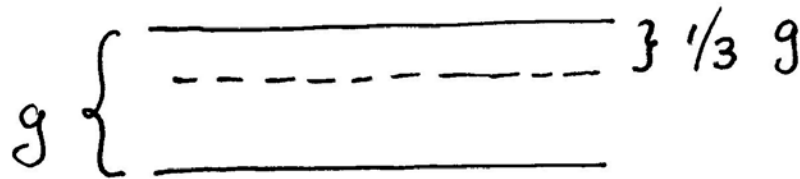
# Two-plate tunable MEMS capacitance

- Young & Boser, Berkeley
- Gap-tuning
- One plate can move by electrostatic actuation
- Equilibrium between elastic and electrical forces





# Calculation of TR for 2-plate capacitance



$$C_{min} = \epsilon \frac{A}{g}$$

$$C_{max} = \epsilon \frac{A}{\frac{2}{3}g} = 1.5 C_{min}$$

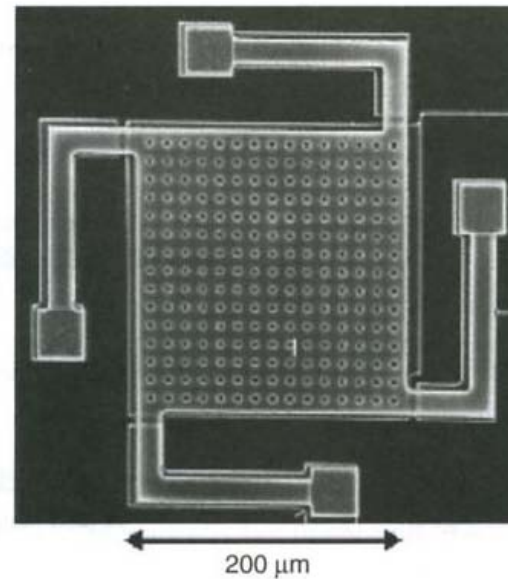
$$C_{max} = 150\% \cdot C_{min} \Rightarrow TR = 150\%$$

Theoretical TR = 150%. Limited by the pull-in effect

# Young & Bover, Berkeley

- Etching a hole in capacitance plate
  - For decreased squeezed-film damping
  - Positive for "release"-step in the process

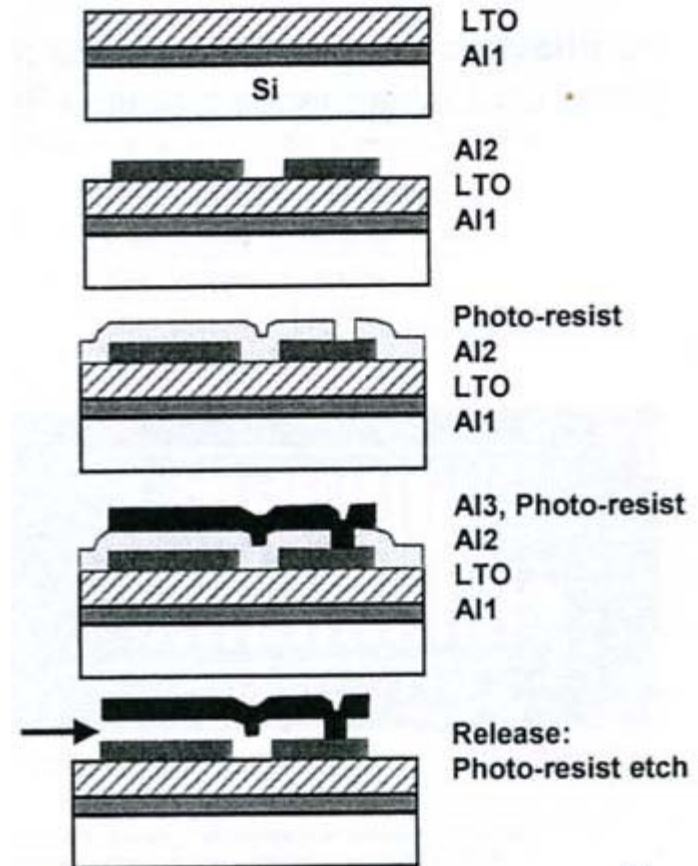
- Theoretical tuning range: 50% (limited by pull-in effect)
- Practical tuning range (demonstrated):  
TR=16%,  $C_{\max}=2.46\text{pF}$ ,  $C_{\min}=2.11\text{pF}$ ,  $V_A=5\text{V}$
- RF performance:  $Q=62$  @ 1GHz



**Figure 4.33** Top view of a micromachined variable capacitor. Reproduced from D.J. Young and B.E. Bover, 1996, 'A micromachined variable capacitor for monolithic low-noise VCOs', in *Proceedings of the International Conference on Solid-state sensors and Actuators*, IEEE, Washington, DC: 86–89, by permission of IEEE, © 1996 IEEE

# Implementation

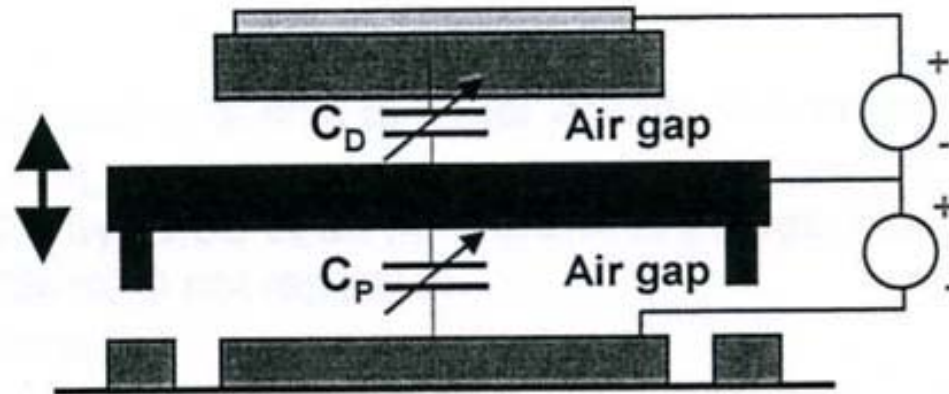
- Typical features for a Berkeley implementation →
- Surface micromachining
  - 2 metal layers + Al gnd-plane



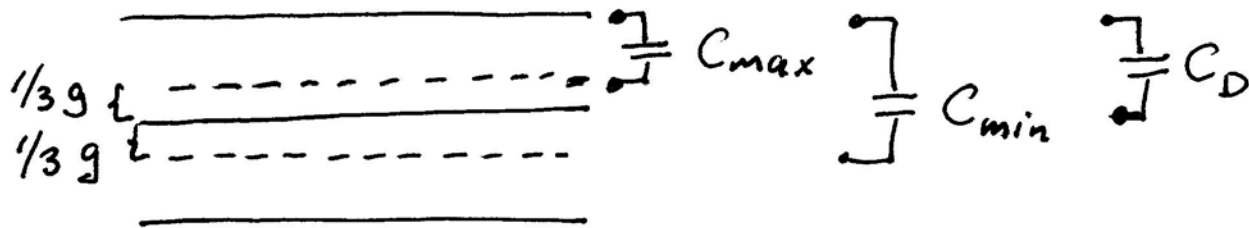
LTO = Low temperature oxide

# 3-plate tunable MEMS capacitance

- TR can be increased by introducing a 3rd plate
  - A. Dec & K. Suyama: "Micromachined Electro-Mechanically Tunable Capacitors and Their Applications to RF IC's" 1998.  
**Columbia University**



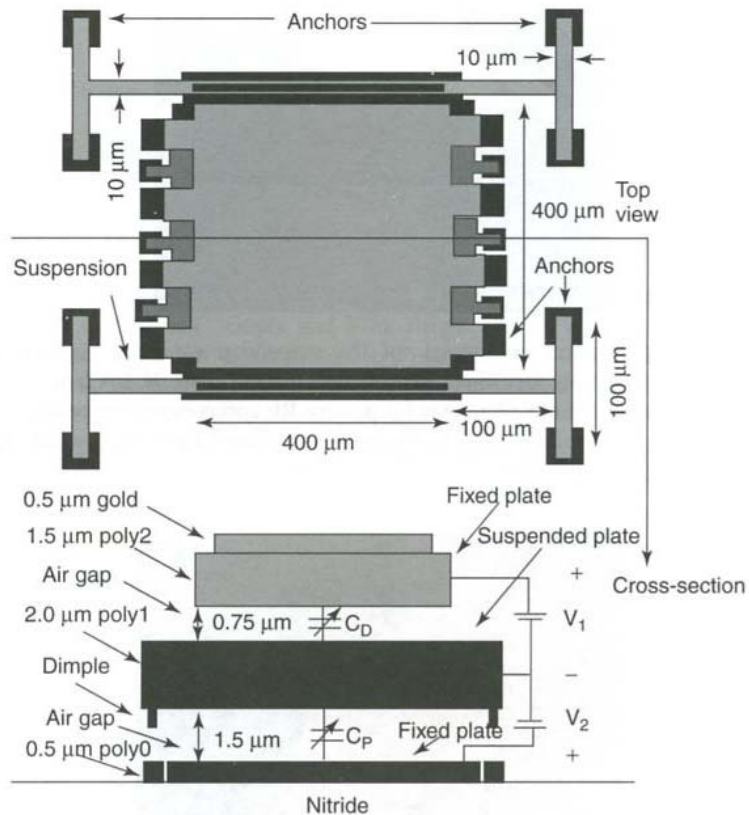
# Calculating TR for 3-plate



$$C_{max} = \frac{3}{2} C_D \quad C_{min} = \epsilon \frac{A}{\frac{4}{3}g} = \frac{3}{4} C_D$$

$$TR = \frac{C_{max}}{C_{min}} = \frac{\frac{3}{2} C_D}{\frac{3}{4} C_D} = 2 = 200\%$$

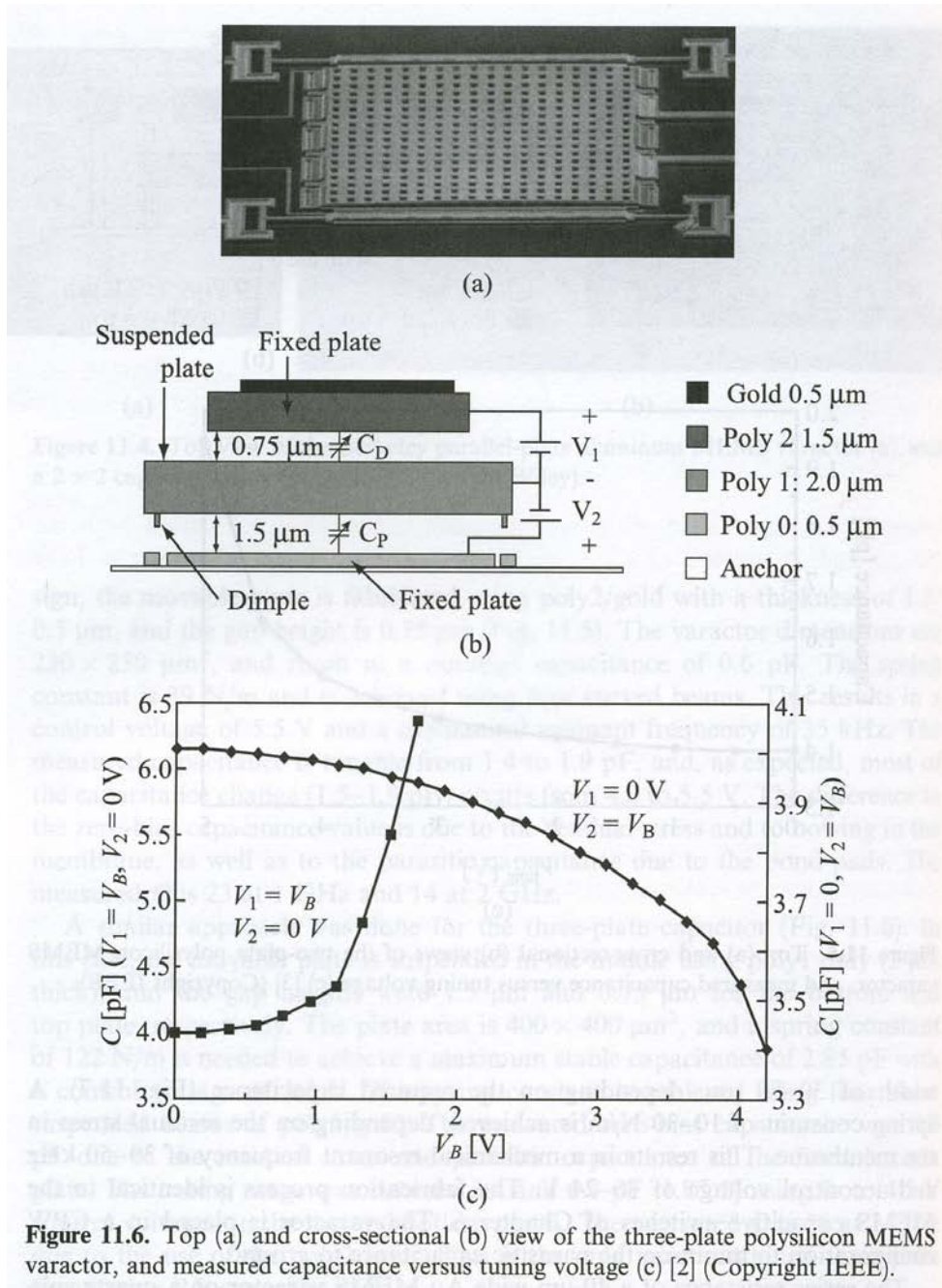
TR = 200%, e.g.: can be tuned 100%



**Figure 4.35** Top and cross-sectional views of three-plate varactor. Reproduced from A. Dec and K. Suyama, 1998b, 'Micromachined electromechanically tunable capacitors and their applications to RF IC's', *IEEE Transactions on Microwave Theory and Techniques* 46(12): 2587–2596, by permission of IEEE, © 1998 IEEE

**Demonstrated values,  
Dec & Suyama:**

- **Theoretical tuning range: 100%**
- **Practical tuning range:**  
TR=87%,  $C_{\min}=3.4\text{pF}$ ,  $C_{\max}=6.4\text{pF}$ ,  $V\sim 4\text{V}$
- **RF performance:  $Q = 15.4 @ 1\text{GHz}$ ,  $7.1 @ 2\text{GHz}$**



C is between upper plates

# Dec & Suyama, contd.

- Process
  - Standard 3-layer poly surface micromachining (MUMP's) with HF etching and "supercritical drying"
  - **Poly** often used as parallel plate due to superior mechanical properties instead of Al (in spite of Al having better conductivity)

TABLE I  
SUMMARY OF SELECTED MUMP'S PROCESS PARAMETERS

| <i>Layer</i> | <i>Thickness</i>  | <i>Sheet Resistance</i> |
|--------------|-------------------|-------------------------|
| Poly0        | 0.5 $\mu\text{m}$ | 30 $\Omega/\text{sq}$   |
| Poly1        | 2.0 $\mu\text{m}$ | 10 $\Omega/\text{sq}$   |
| Poly2        | 1.5 $\mu\text{m}$ | 20 $\Omega/\text{sq}$   |
| Gold         | 0.5 $\mu\text{m}$ | 0.06 $\Omega/\text{sq}$ |



# Dec & Suyama, ex.2

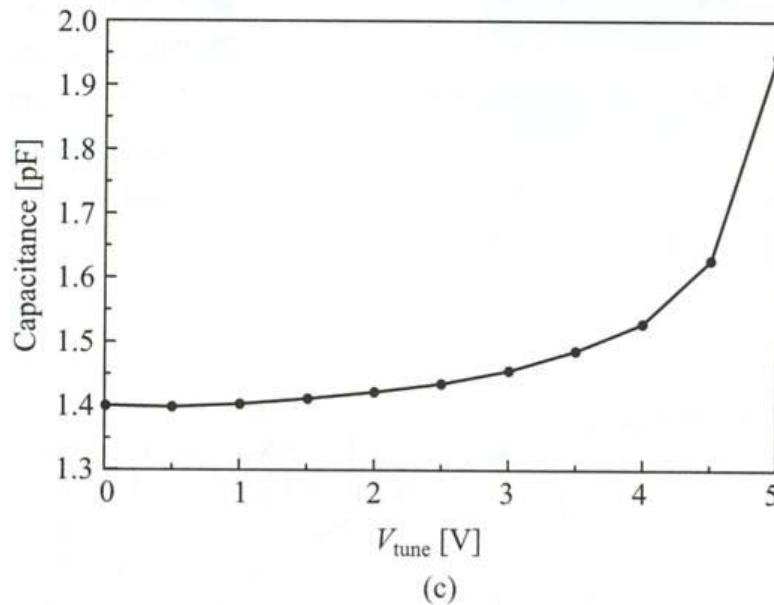
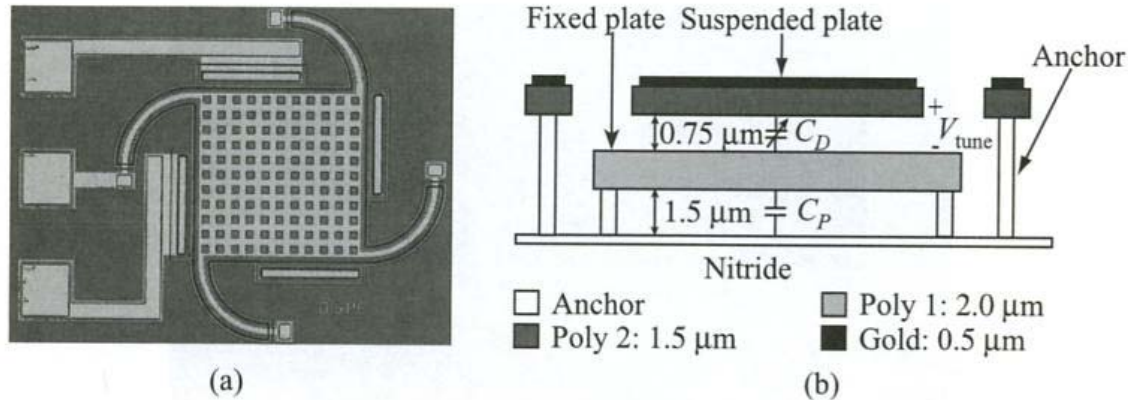
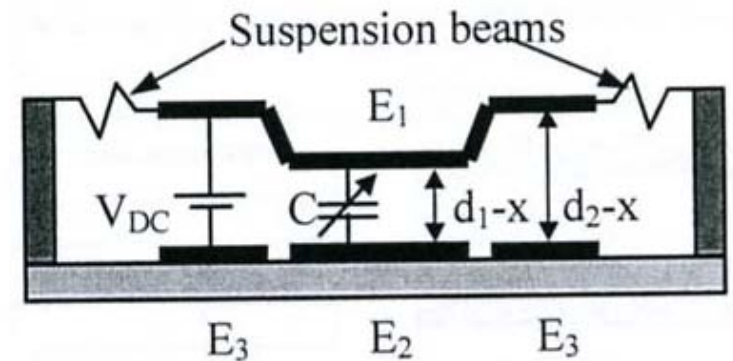


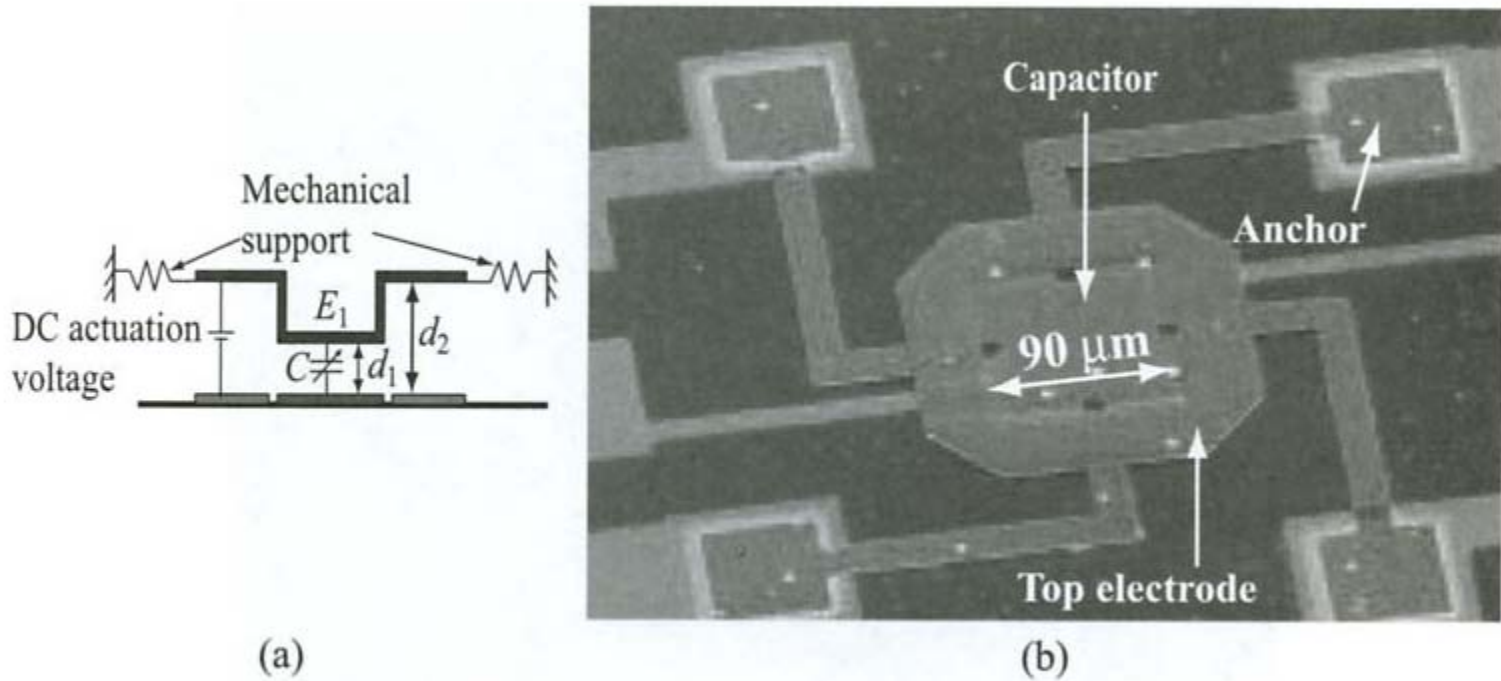
Figure 11.5. Top (a) and cross-sectional (b) views of the two-plate polysilicon MEMS varactor, and measured capacitance versus tuning voltage (c) [3] (Copyright IEEE).

# Double air-gap capacitance

- J. Zou et al, 2000, Univ of Illinois
- Why double air-gap?
  - Increase TR
    - Eliminate pull-in effect
  - May deflect down to  $1/3 d_2$  before pull-in
  - TR may increase significantly if  $1/3 * d_2 > d_1$ 
    - Eg. centre electrode can be fully deflected without pull-in!



# Univ of Illinois, contd.

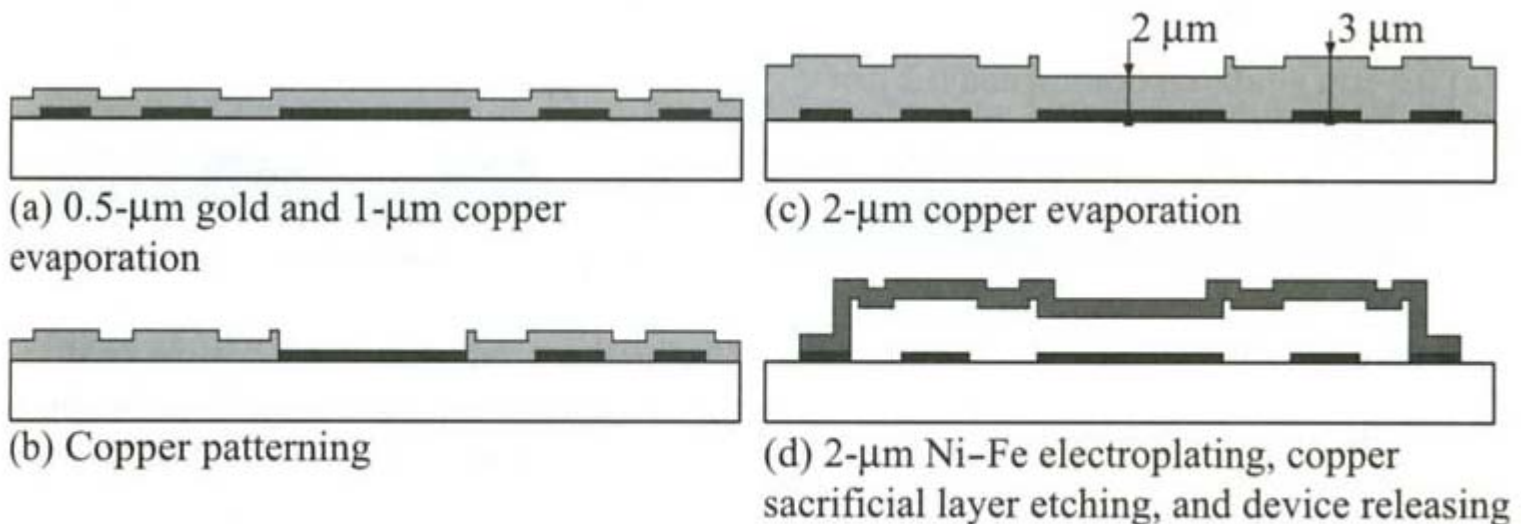


**Figure 11.12.** Cross-sectional (a) and top (b) view of the University of Illinois wide-tuning-range varactor [11] (Copyright Wiley).

# Univ of Illinois, contd.

## Simplified fabrication process

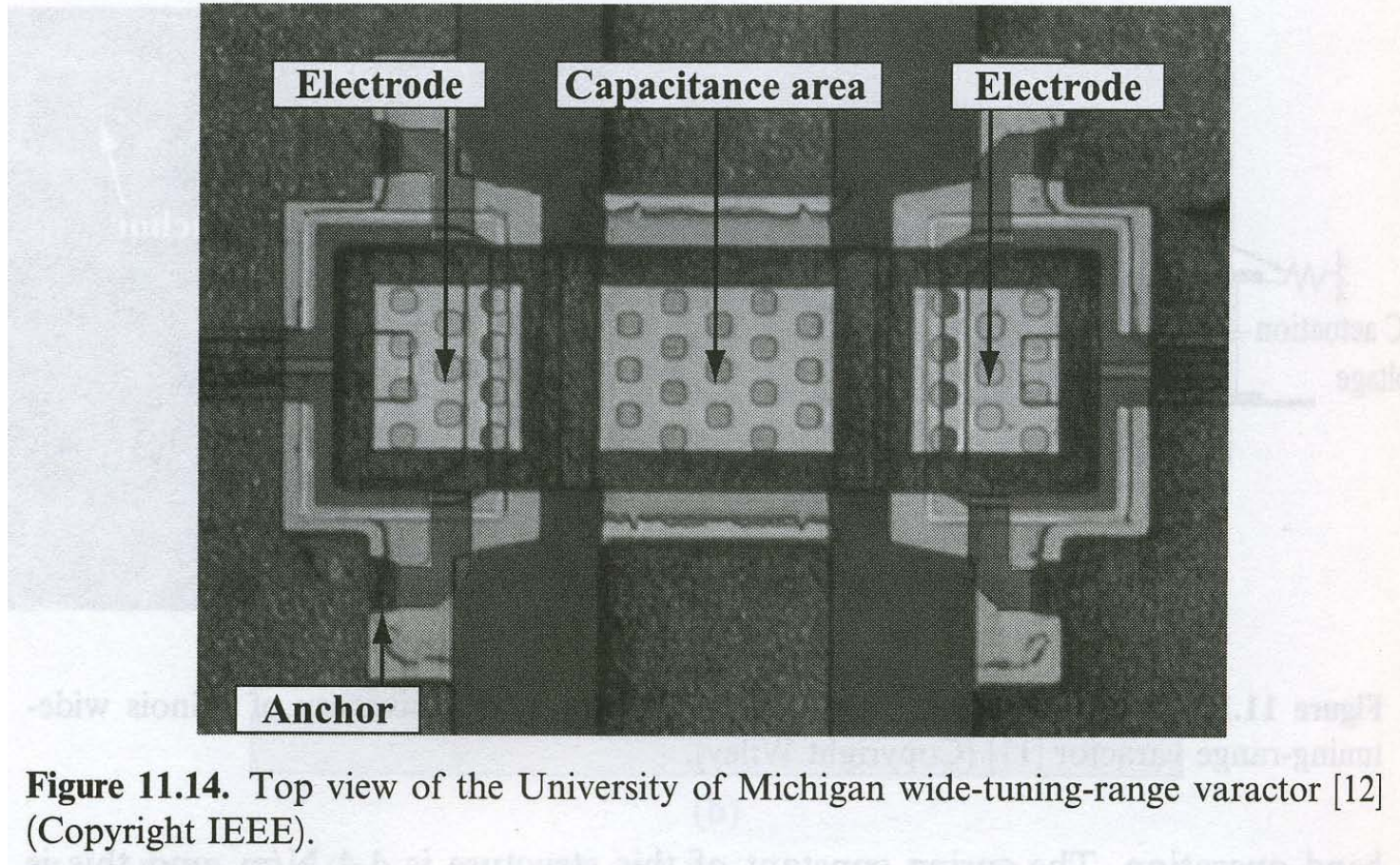
- Cu as sacrificial layer
- Metals: gold & permalloy (Ni-Fe)
- Air-gap:  $d_1 = 2 \mu\text{m}$ ,  $d_3 = 3 \mu\text{m}$



**Figure 11.13.** Fabrication process of the University of Illinois wide-tuning-range varactor [11] (Copyright Wiley).



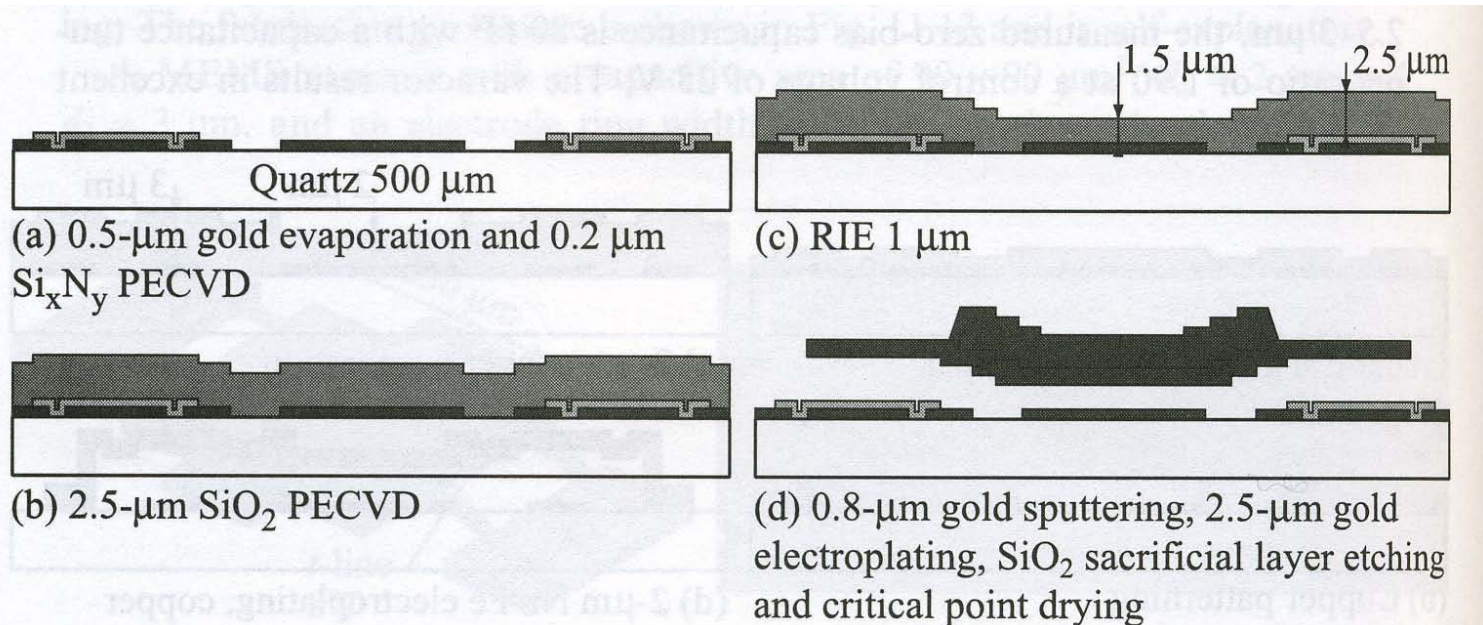
# Ex. from Univ of Michigan



**Figure 11.14.** Top view of the University of Michigan wide-tuning-range varactor [12] (Copyright IEEE).

# Ex. from Univ of Michigan, contd.

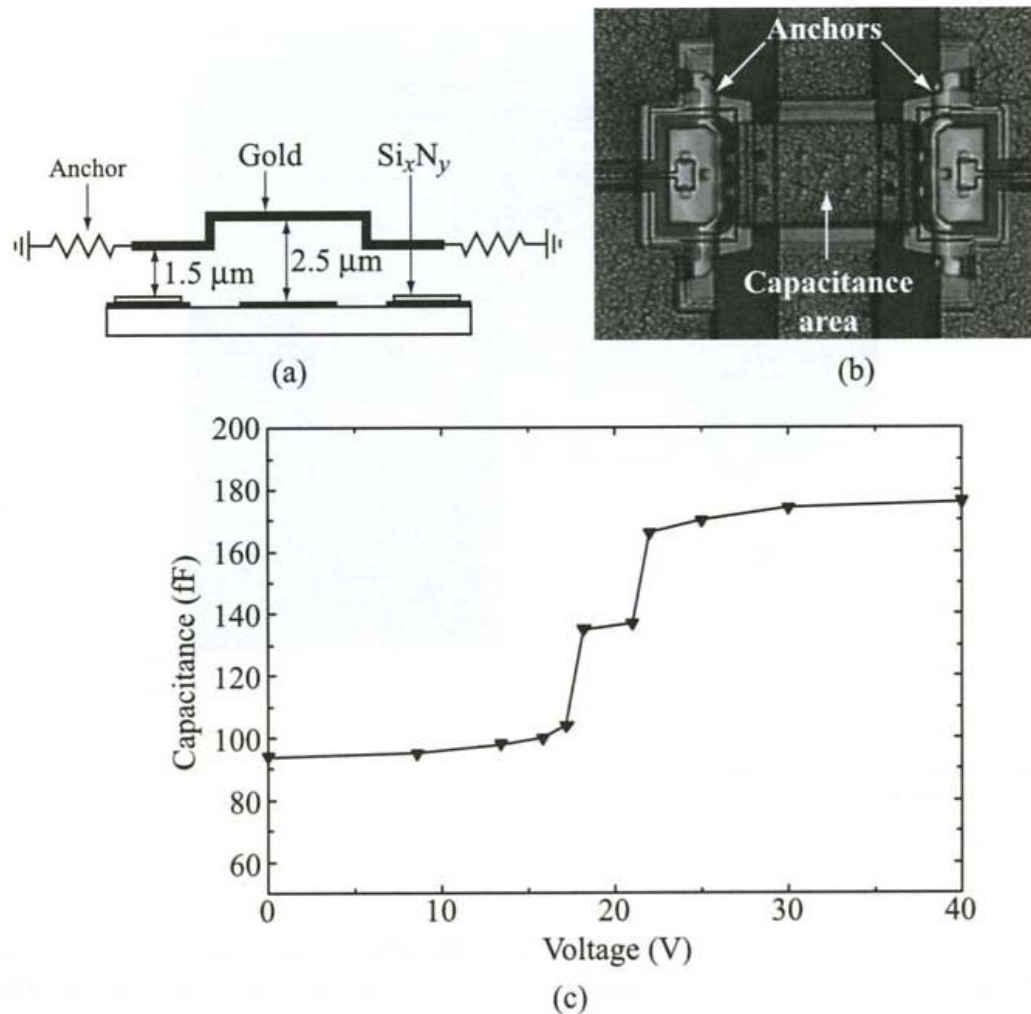
- Implemented on quartz substrate
- SiO<sub>2</sub> sacrificial layer partly etched → 2-steps Au membrane
- Q = 120 @ 34 GHz



**Figure 11.15.** Fabrication process of the University of Michigan wide-tuning-range varactor [12] (Copyright IEEE).



# Univ of Michigan, discrete 2-valued

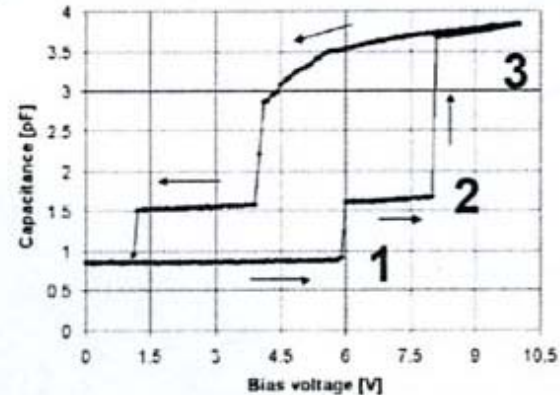
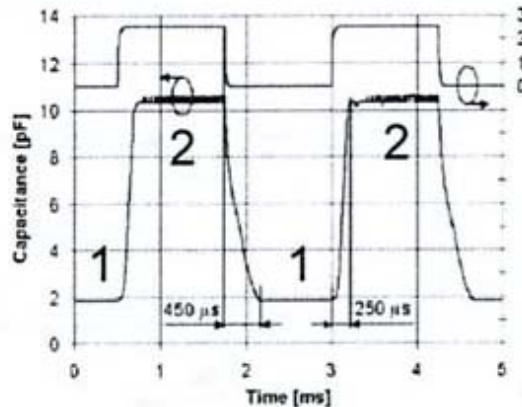


**Figure 11.29.** Cross section (a), top view (b), and measured capacitance (c) of the Michigan discrete two-value MEMS varactor [12] (Copyright IEEE).

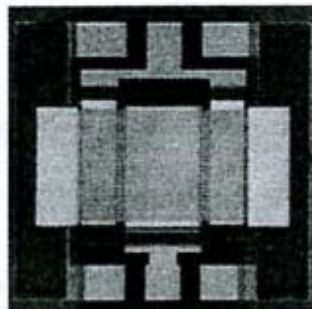


# Multi-state MEMS capacitors with multi-airgap

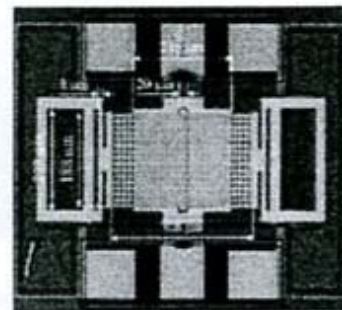
- capacitive-switch like design:  $t_{up}$  and  $t_{down}$  depend on  $k$  & voltage



2-state MEMS capacitor



3-state MEMS capacitor

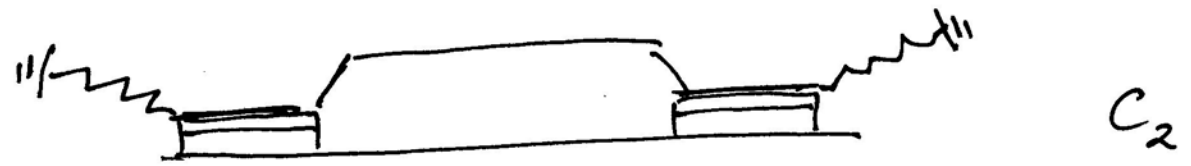
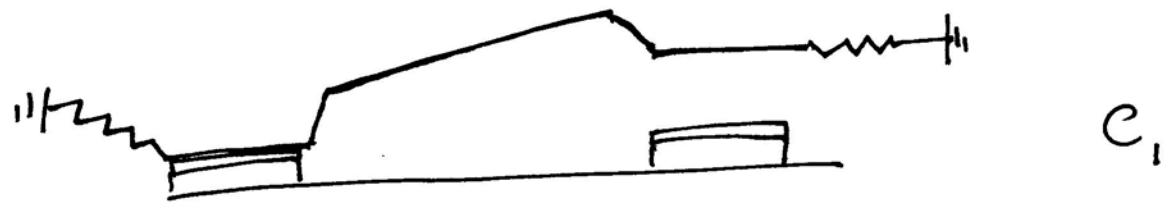
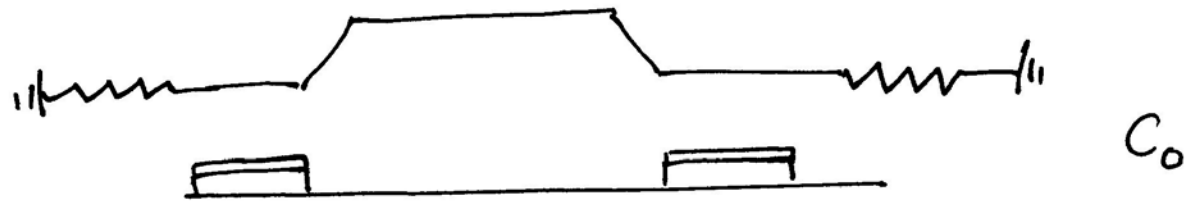


H Nieminen, V Ermolov, K Nybergh, S Silanto and T Ryhanen, J. Micromech. Microeng. 12 (2002) pp. 1-10.

Different segments with multi-gap. May be tuned to 2 or 3 levels. Hystereses properties



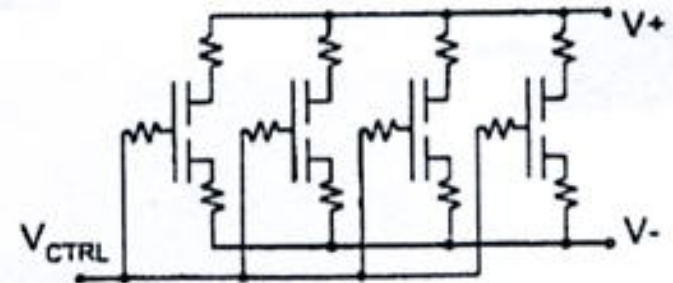
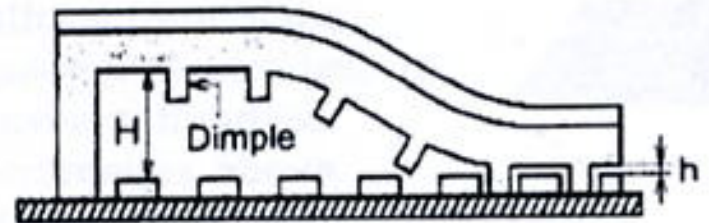
# Working principle:



# "Zipper" capacitor

- Ex. zipper cantilever capacitor
- Design and fabrication at **Columbia University**
  - Long, thin beam deflected gradually from one edge
  - Small capacitances added in parallel

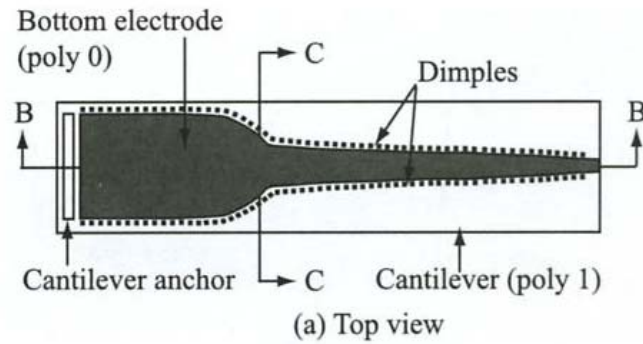
## Zipper-action catilever



### Performance:

- TR: 46% with voltage~35V
- Q=6.5 @1.5GHz
- a CMOS VCO with this capacitor exhibited TR of 4.8% with center frequency of 1.5GHz and phase noise of  $-131\text{dBc/Hz}$  @ 600kHz offset

# Ex. from MIT



Softest at the tip

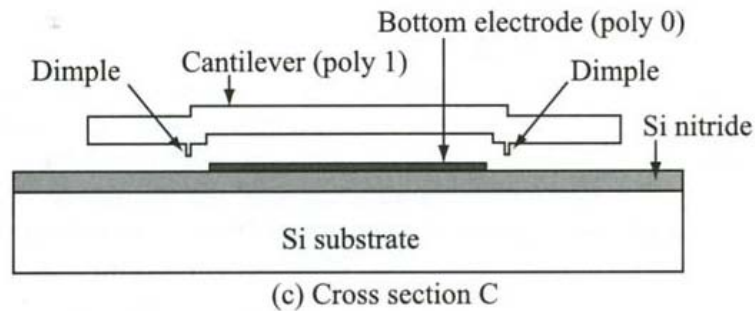
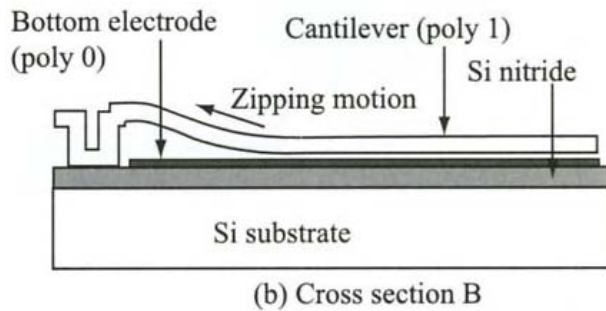
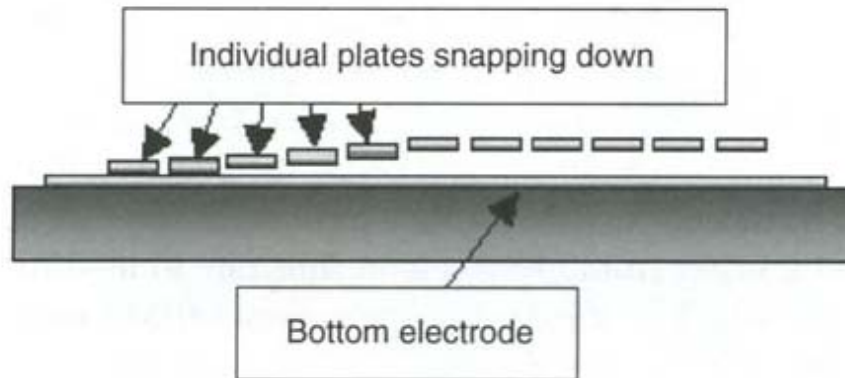


Figure 11.9. Top (a) and cross-sectional (b,c) view of the MIT zipper varactor [8].

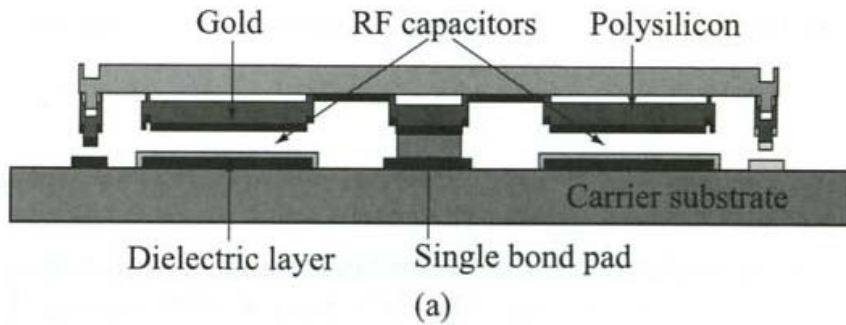
# Univ of Colorado, Boulder

- Digitally controlled individual capacitances
- Has individual plates that may be actuated sequentially

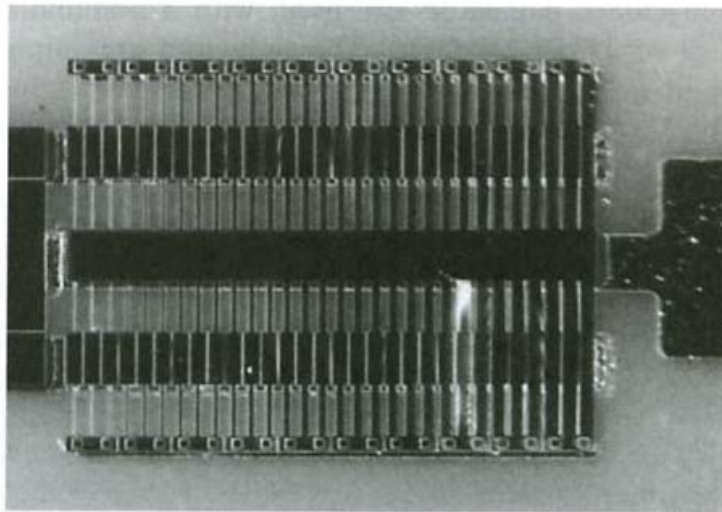


**Figure 4.38** Schematic diagram of the capacitor plate arrangement. Reproduced from N. Hoivik, M.A. Michalicek, Y.C. Lee, K.C. Gupta and V.M. Bright, 2001, 'Digitally controllable variable high- $Q$  MEMS capacitor for RF applications', in *Proceedings of IEEE MTT-S Symposium, May 2001, Volume 3*, IEEE, Washington, DC: 2115–2118, by permission of IEEE, © 2001 IEEE

# Univ of Colorado, Boulder



Each "plate" coupled with **different width** of beam, eg. different spring constant (= softness) for each part



Standard MUMP's process (poly-Si and gold), alumina-substrate

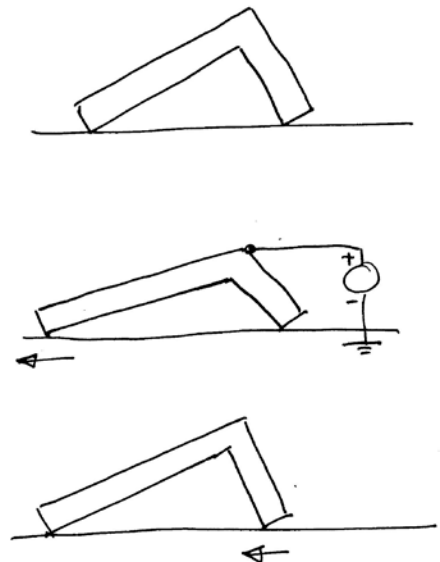
Electrostatic actuation  $V = 30$  V  
 $TR = 4 : 1$   
 $Q = 140 @ 750$  MHz

**Figure 11.30.** Cross section (a) and top view (b) of the Colorado RF MEMS varactor. The variable capacitors are the dark rectangles on both sides of the center conductor [23] (Copyright IEEE).

# Elevated platform capacitance

- L. Fan et al, 1998
  - One of the electrodes may be elevated to several hundred micrometers above the substrate
    - 250  $\mu\text{m}$  elevation, TR 2400%
    - $\div$  Fine tuning difficult
- Uses actuators pushing the structure together
  - "Scratch drive actuator"
  - Must implement hinges

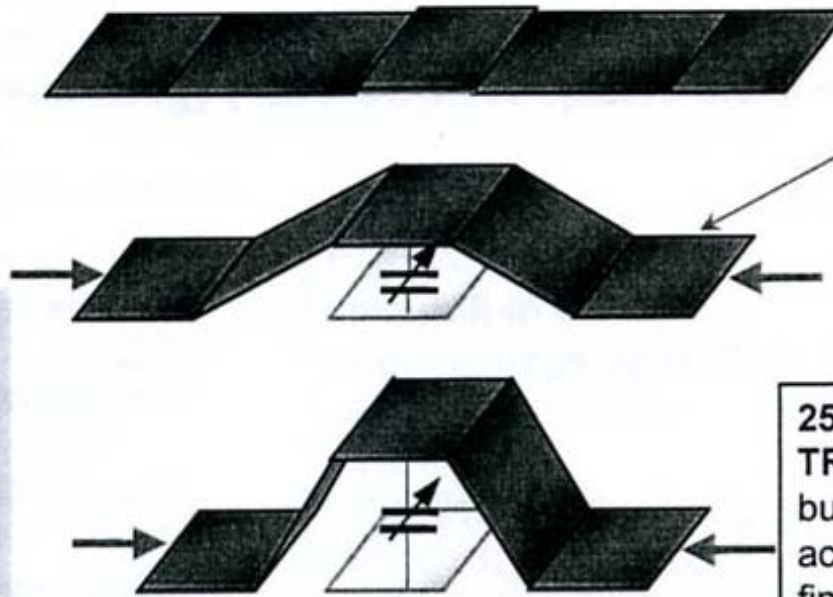
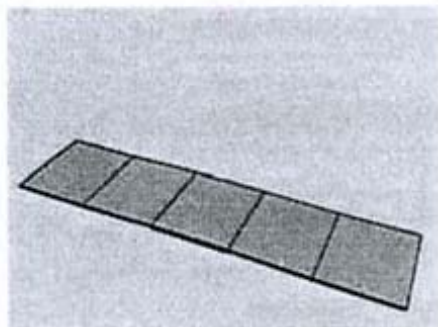
SCRATCH DRIVE ACTUATOR





# Elevated platform MEMS capacitor

**Idea:** raise a conductive platform (one of the capacitor electrodes) up to several hundred micrometers above substrate (silicon) surface



Scratch drive actuator (SDA) arrays

250 $\mu$ m elevation (!!)  
TR: 2400%  
but very difficult to achieve fine tuning

L. Fan, R. T. Chen, A. Nespola, 11th Annual International Workshop on Micro Electro Mechanical Systems (MEMS '98), 1998.

# Self actuation

- Design parallel plate capacitances to handle RF power
  - AC applied over the RF MEMS capacitance
    - RF frequency does not modulate C-value
  - BUT, **RMS-value** of RF-signal will influence C and could induce pull-in by **self actuation**
- Capacitances for gap-tuning has limited RF power handling capability due to small electrode gap
  - Decrease distance → RF breakdown can occur



# Lateral tunable capacitors

- **Horizontal** displacement
  - C can be tuned by changing the **area**,  $C = \epsilon A / g$
  - + No theoretical limit for TR
  - + Pull-in effect avoided
  - ÷ Photolithography determines precision of dimensions
  - ÷ More complicated suspension structures?
    - Make sure that the movable structure is suspended!
- **Comb structure** is common



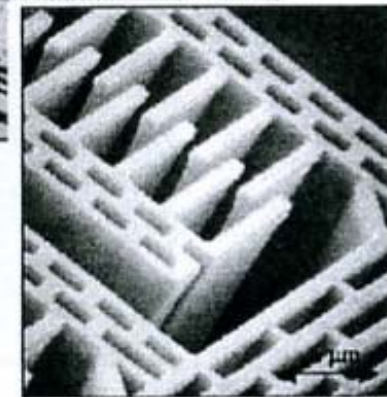
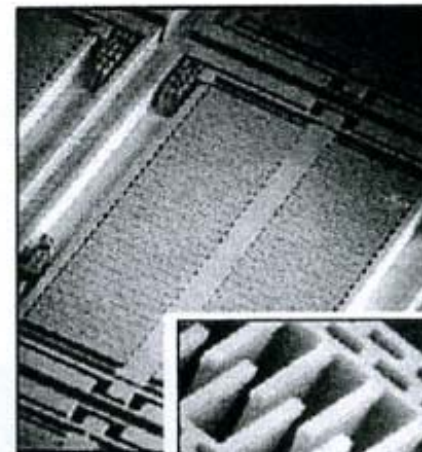
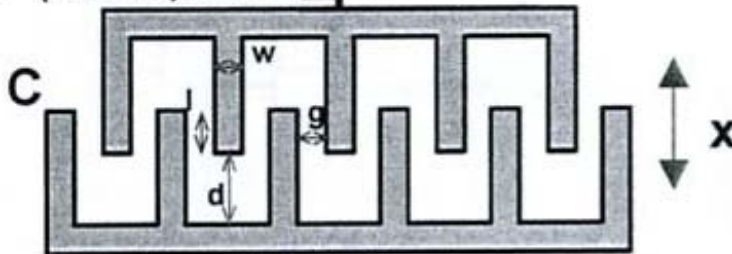
# Comb-like (inter-digital) tunable MEMS capacitors

Deflection:

$$x = V^2 (dC/dx) / 2k$$



Idea: area-tuning capacitor



71

## Design and fabrication

(Rockwell Science Center):

- Comb-like structure using single mask process
- Deep anisotropic silicon etching technique in ICP (inductively coupled plasma) reactor
- **Very flexible design: large range of C and TR**

## Figures of merit:

- TR= 200%  $C_{max}=3.2pF$ ,  $C_{min}=6.44pF$ ,  $V=5V$
- Recent results show:  $Q > 40-160 @ 400-1600MHz$

# Simple comb structure

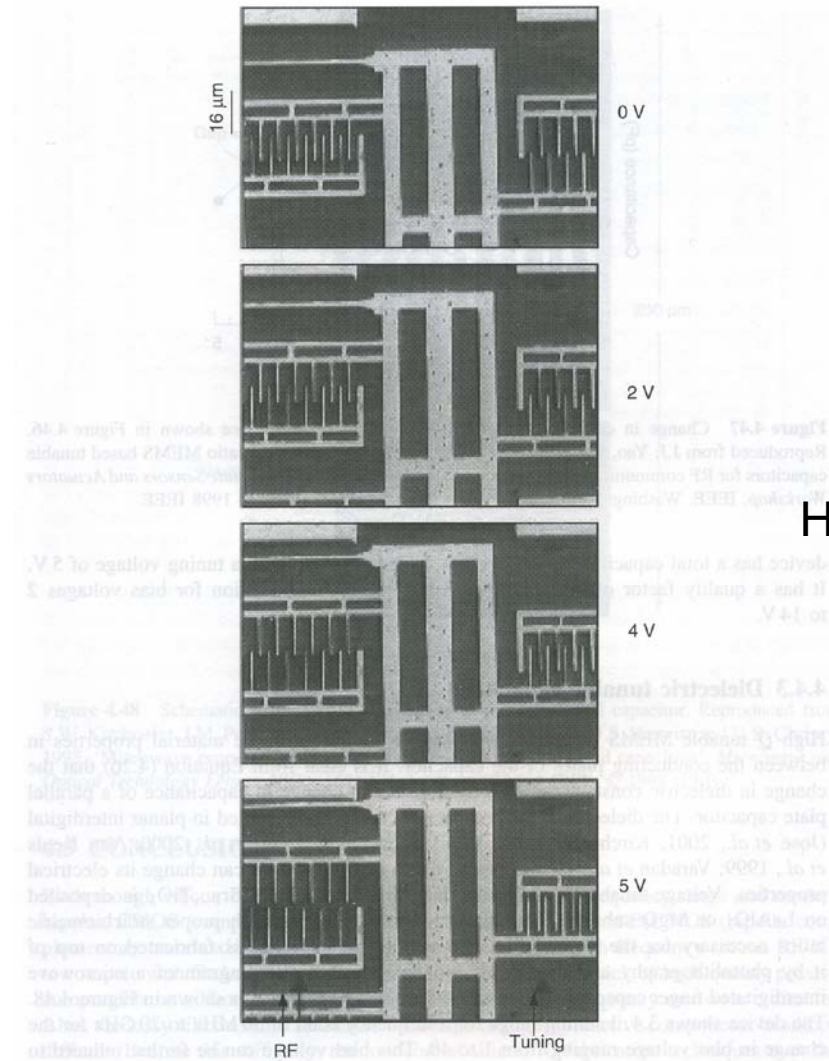
- Ex. from **Rockwell Science Center** →
  - Inter-digital tunable MEMS capacitance
  - One set of combs is stationary, the other set can be moved
  - Gap is not changing
  - Length of comb and finger length limit tuning range
  - Can be tuned by an electrostatic micro motor or by applying different actuation voltages

# Rockwell Science Center, forts.

Ex. of tuning

VS = RF

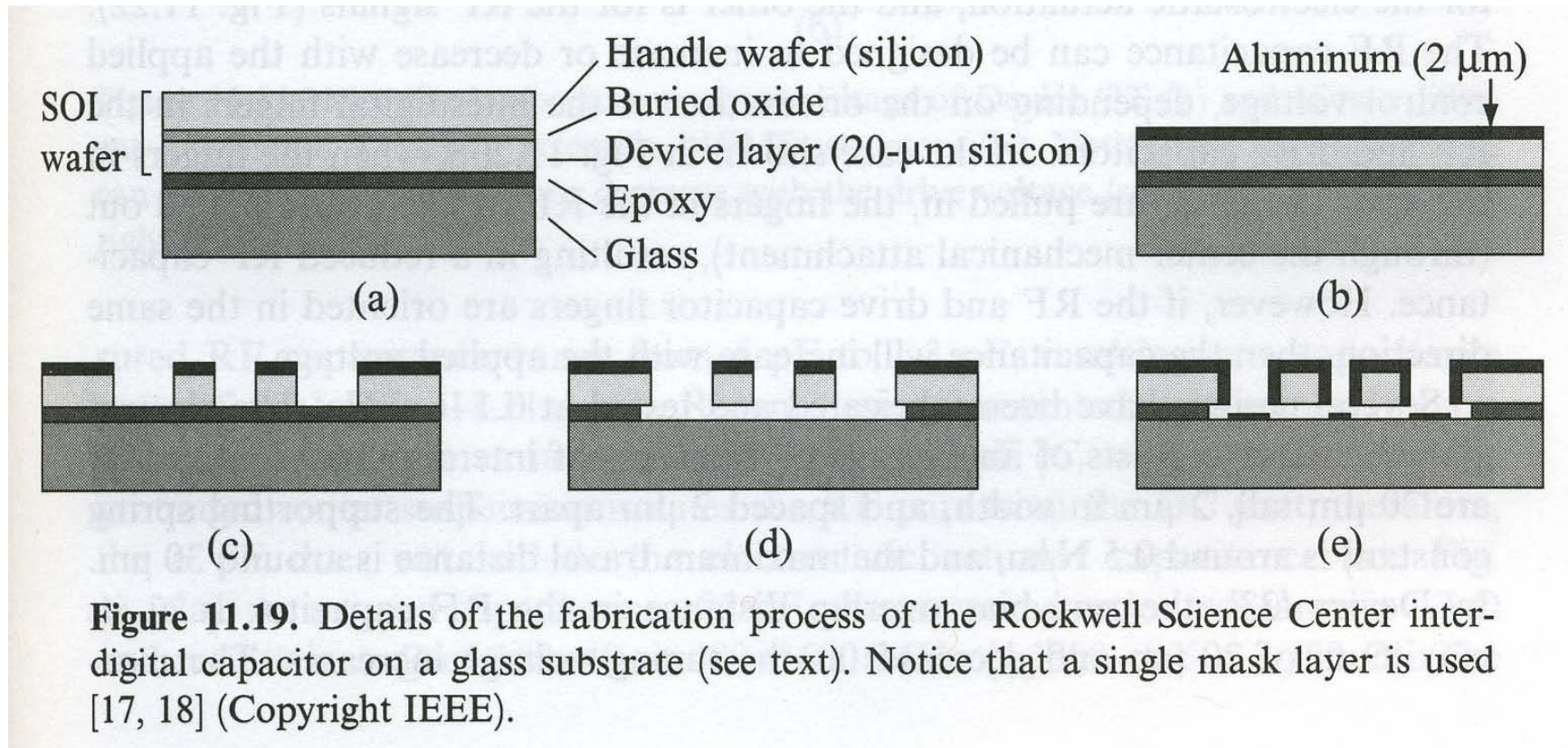
HS = tuning



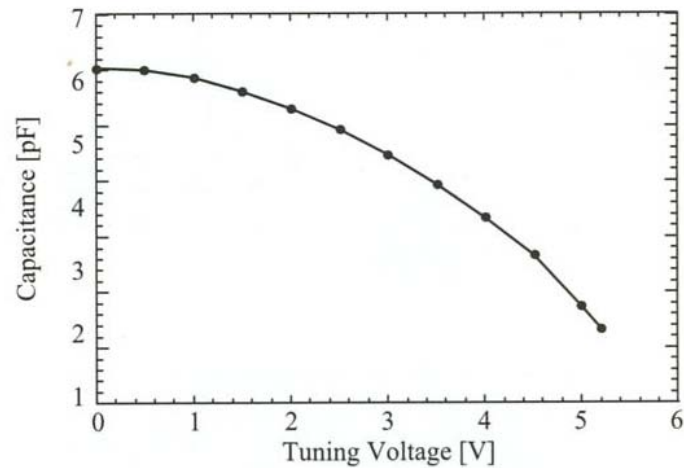
**Figure 4.46** Series of images showing a MEMS tunable capacitor with a tuning voltage of 0 to 5-V. Reproduced from J.J. Yao, S. Park and J. DeNatale, 1998, 'High tuning ratio MEMS based tunable capacitors for RF communications applications' in *Proceedings of solid-state sensors and Actuators Workshop*, IEEE, Washington, DC: 124–127, by permission of IEEE, © 1998 IEEE



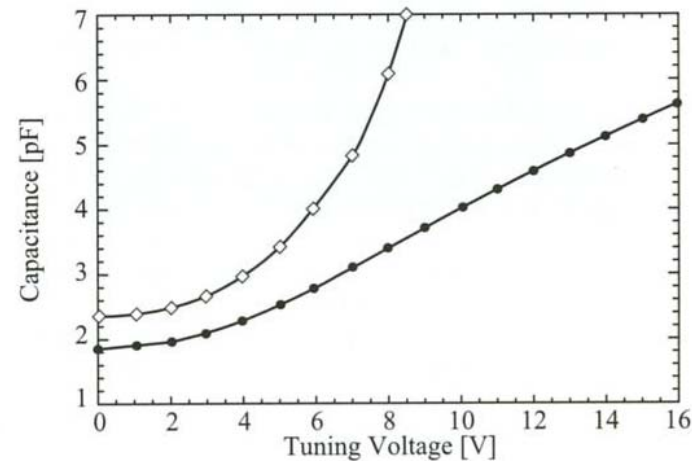
# Rockwell Science Center, contd.



# Rockwell Science Center, contd.



(a)



(b)

**Figure 11.21.** Measured capacitance versus voltage of Device '33' (a) and of two different interdigital Rockwell Scientific MEMS varactors (b). Notice that the capacitance can be designed to increase or decrease with the drive voltage (see text) [17, 18] (Copyright IEEE).

# Thermal tunable parallel-plate MEMS capacitance

- Use **hot** and **cold** arms
  - A high resistivity arm will be hotter and deform more
    - Differential thermal expansion
- Challenges with this technology
  - **Power dissipation**
  - **Low speed**
  - But removes the pull-in limitation!

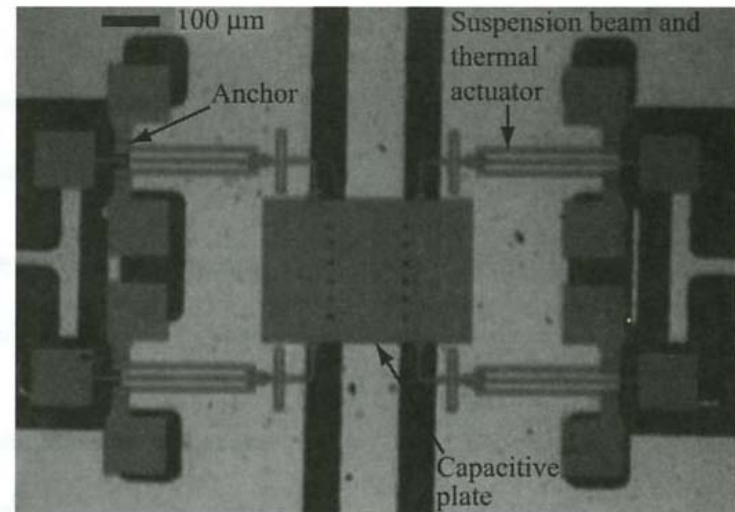


Figure 11.16. Top view of the University of Colorado thermally actuated MEMS varactor in a CPW circuit [13] (Copyright IEEE).

# Univ of Colorado

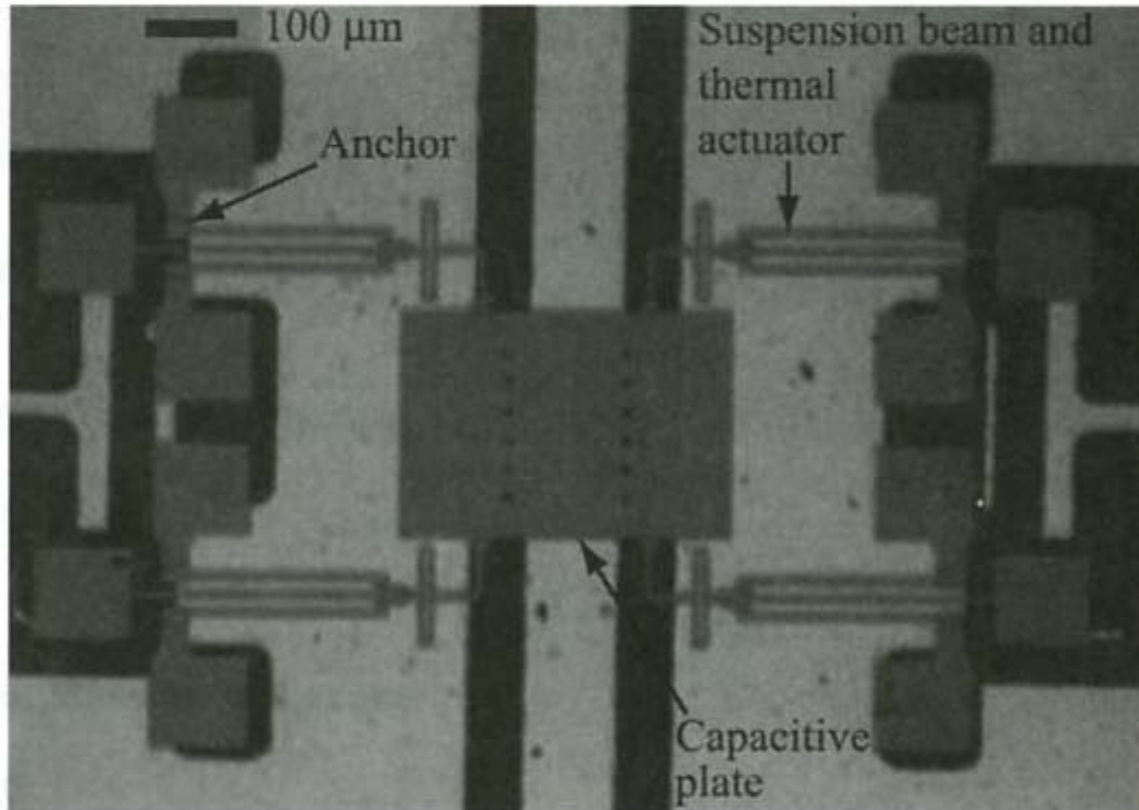


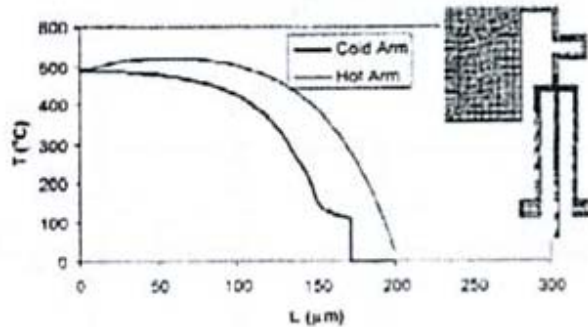
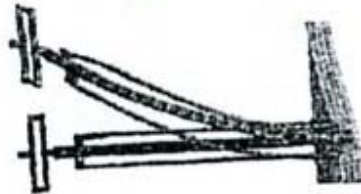
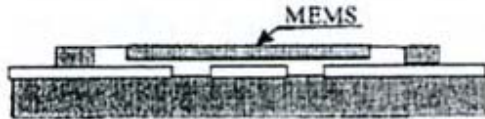
Figure 11.16. Top view of the University of Colorado thermally actuated MEMS varactor in a CPW circuit [13] (Copyright IEEE).

Z. Feng et al, Univ of Colorado: **Design and Modeling of RF MEMS Tunable Capacitors Using Electro-thermal Actuators**

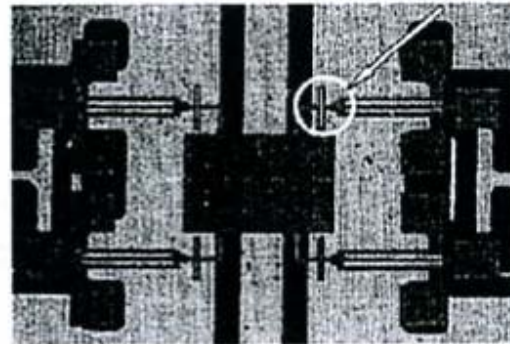




# Thermally tunable parallel-plate MEMS capacitor



- capacitor designed for millimeter-wave (up to 40GHz) applications using standard MUMP's process (poly-Si and gold) and transfer of the device on ceramics substrate
- **actuation is electro-thermal by 4 vertical electrothermal actuators (hot & cold arms)**

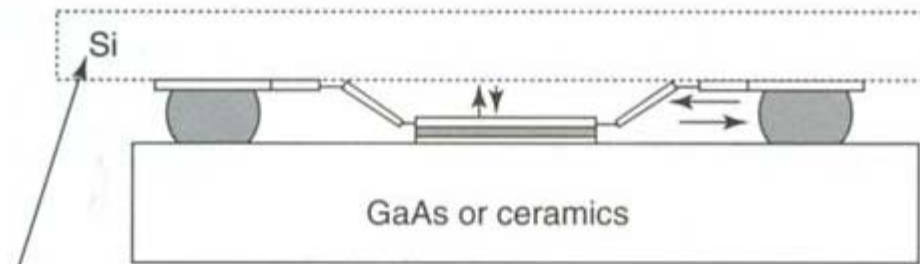


**Performance:**  
 TR=2.7:1  
 Q=300 @  
 C=0.1pF, 10GHz

Z. Feng, W. Zhang, B. Su, K.F. Harsh, K.C. Gupta, V. Bright, Y.C. Lee, Microwave Symposium Digest, 1999 IEEE MTT-S International, Volume: 4, June 1999, pp. 1507 -1510.

Temperature gradient causes a vertical displacement

# Electro-thermal tuning



Silicon is conductive and should be removed after flip-chip assembly to enhance  $Q$

**Figure 4.39** Flip-chip assembly of silicon-based MEMS. Reproduced from K.F. Harsh, B. Su, W. Zhang, V.M. Bright and Y.C. Lee, 2000, 'The realization and design considerations of flip-chip integrated MEMS tunable capacitor', *Sensors and Actuators A: Physical* **80**: 108–118, with permission from Elsevier Science, © 2000 Elsevier Science

# Variable capacitors in CMOS-MEMS

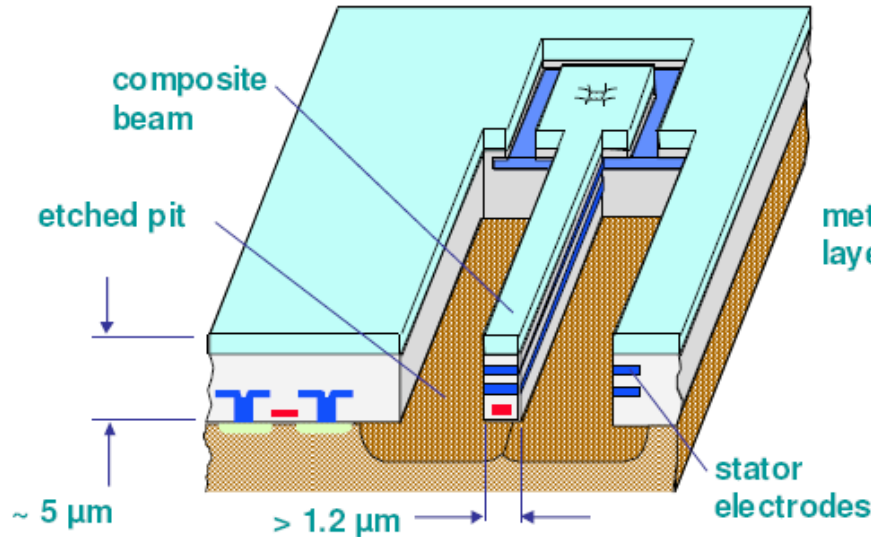


## ASIMPS: CMOS-MEMS Process

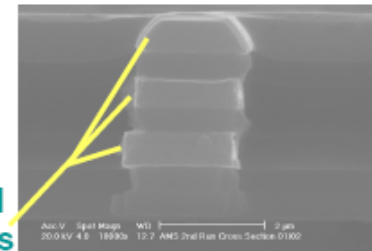


CNRS - INPG - UJF

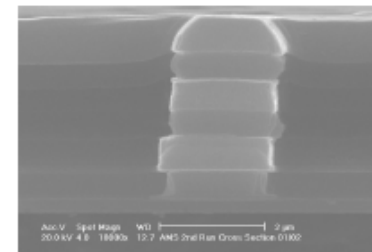
- Microstructures made from conventional CMOS followed by two maskless post-CMOS process steps



metal layers



M1-2-3 with field oxide

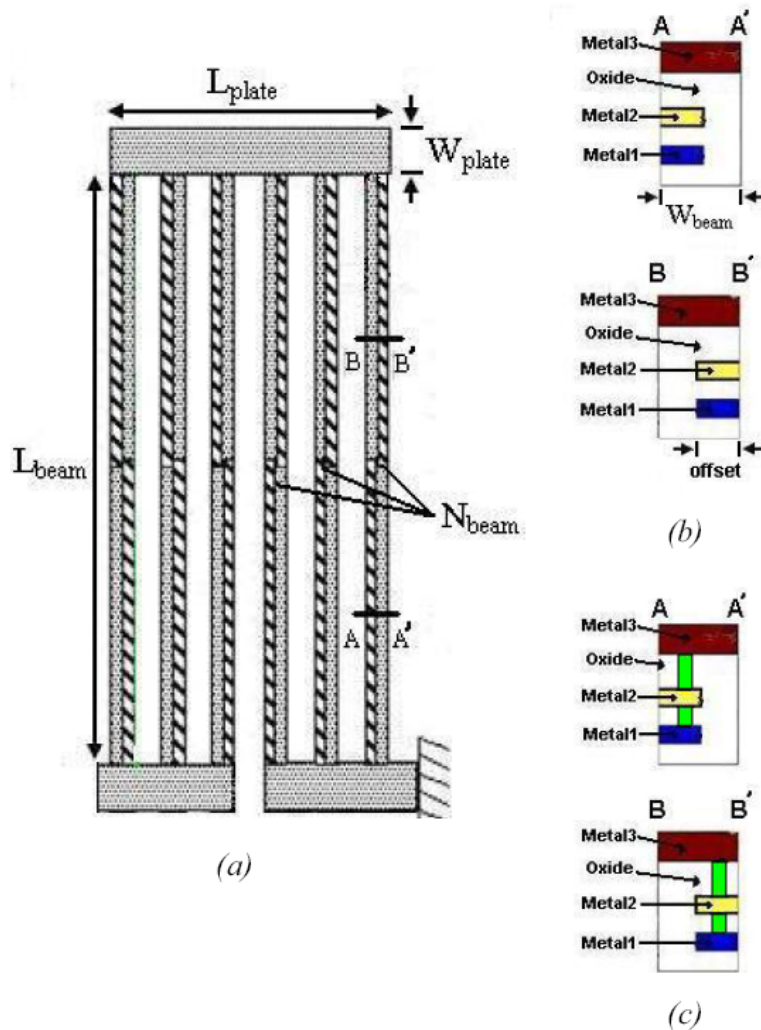


M1-2-3 w/o field oxide

### ■ Potential Applications

- Inertial sensors, RF MEMS, infrared sensors, flow and force sensors, ... with on-chip detection and conditioning

G. Fedder *et al.*, Sensors & Actuators A, v.57, no.2, 1996

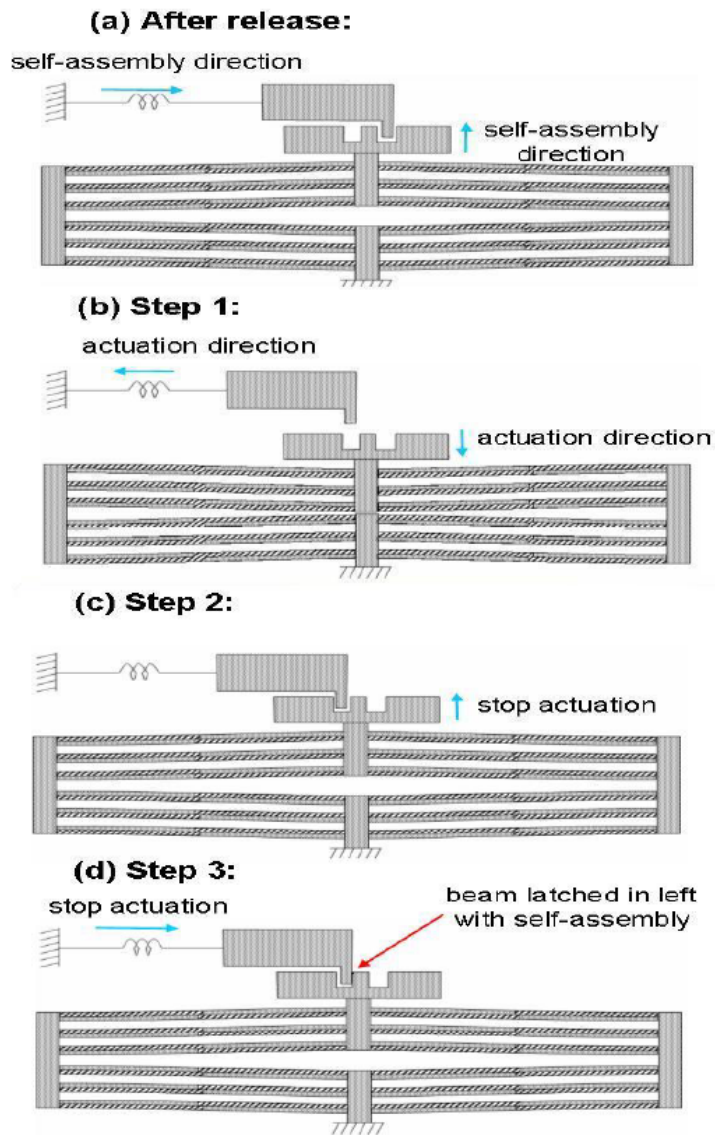


**Figure 1.** (a) Layout and design parameters for a folded-flexure micromover, (b) Cross section of the micromover beams at two positions, showing the embedded metal offset, (c) Cross section of the micromover beams with vias between metal layers.

## CMOS-MEMS:

Lateral displacement due to different stress gradients in metal and Dielectrics

The effect can be changed by rising the temperature →



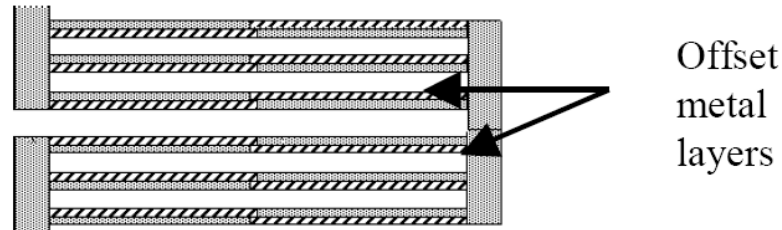
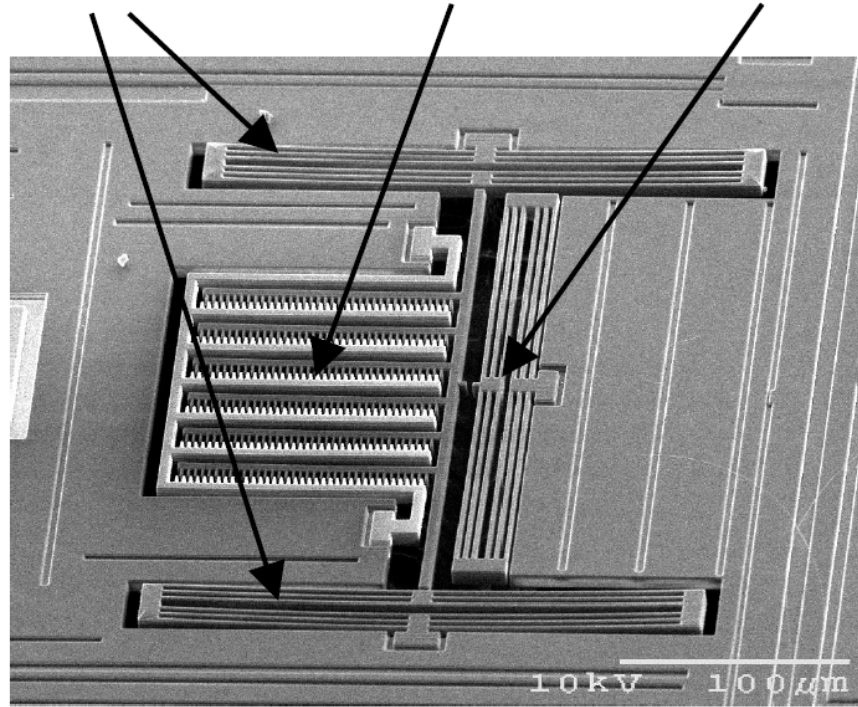
Different thermal expansion coefficients (TCEs) for Al and dielectrics causes movement upon heating

(Master thesis NANO 09: Bård E. Nordbø)

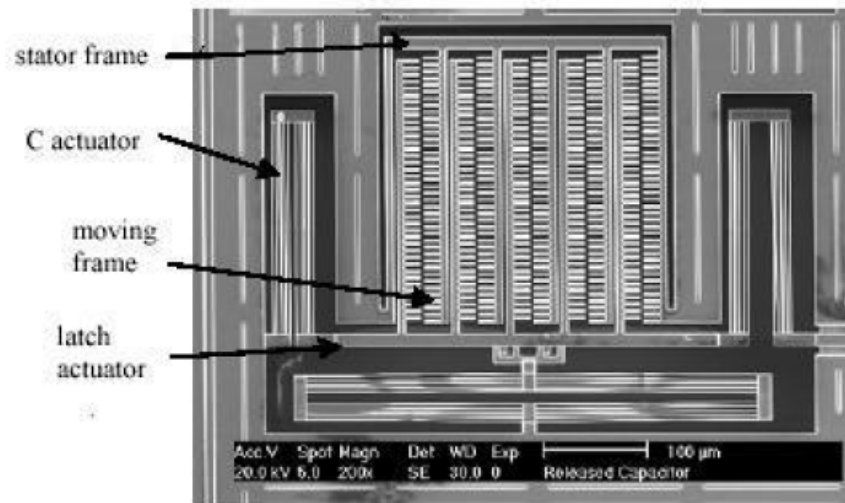
*Figure 5. Sequential steps for lateral latch mechanism.*



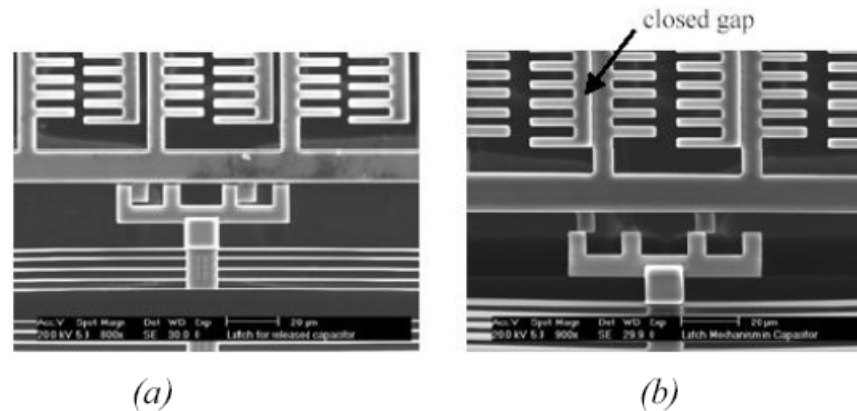
Full-size actuators    Fingers    Latch mechanism



**Figure 2.** (a) SEM of a released capacitor in the TSMC 0.35  $\mu\text{m}$  CMOS process with full-size actuators. (b) half-size actuator layout.



*Figure 6. An unengaged comb-finger tunable capacitor.*



*Figure 7. Capacitor latching. (a) A disengaged comb finger latch state, (b) A different latch state, where the comb truss beams contact mechanically.*

# Vertical curling upon post-CMOS release

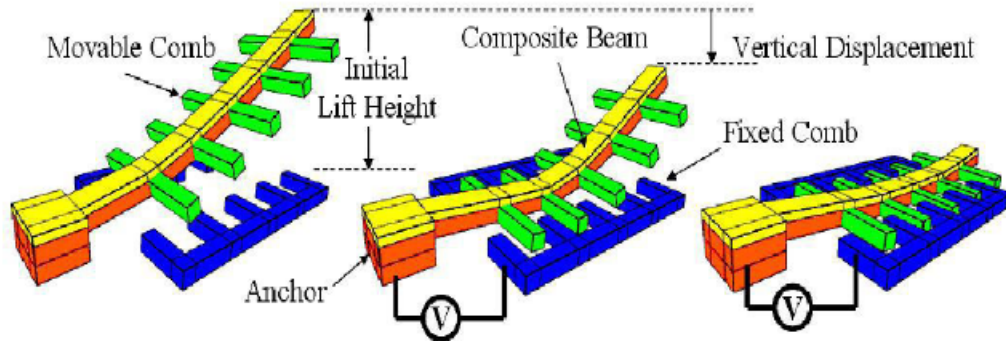


Figure 1. The resonator at different driving states. (a) Initial state. (b) Bias voltage state. (c) Critical bias voltage state.

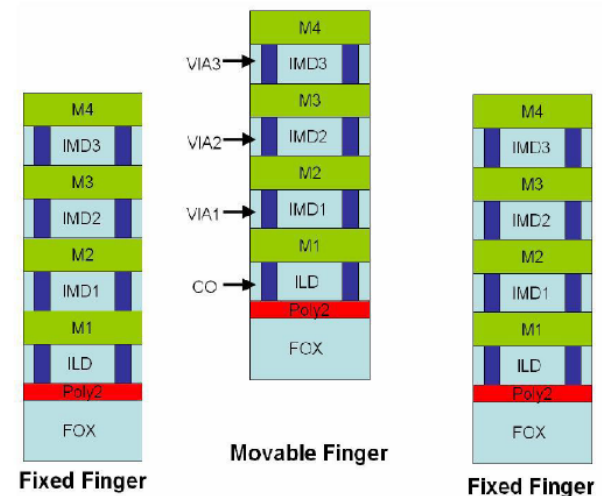


Figure 3. Cross-section of the movable and fixed comb.

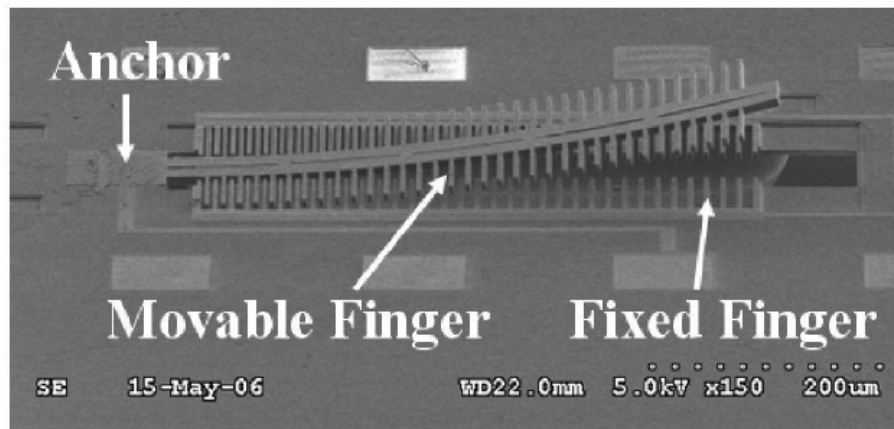


Figure 4. Fabricated resonator device.

**The effect can be used for making variable C!**  
(Master thesis NANO 09)

National Chung Hsing Univ,  
Taiwan, Dai et a

Master thesis NANO 09:  
Kristian G. Kjelgård



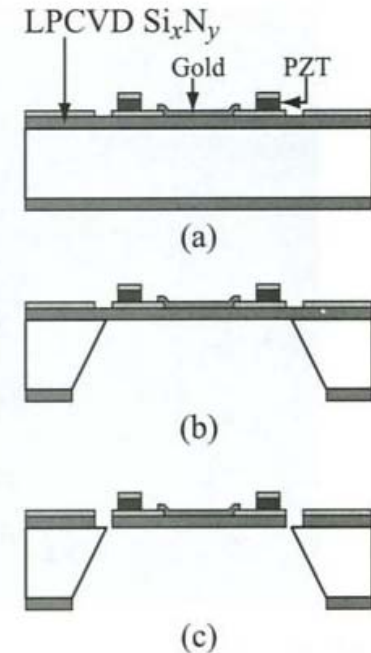
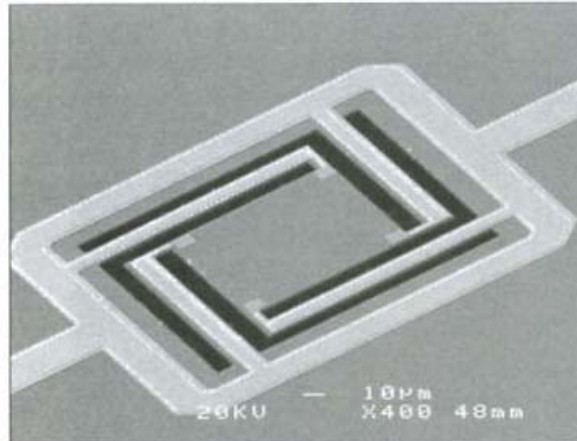
# Piezoelectric tuning

A bias voltage causes the capacitor plate to move vertically

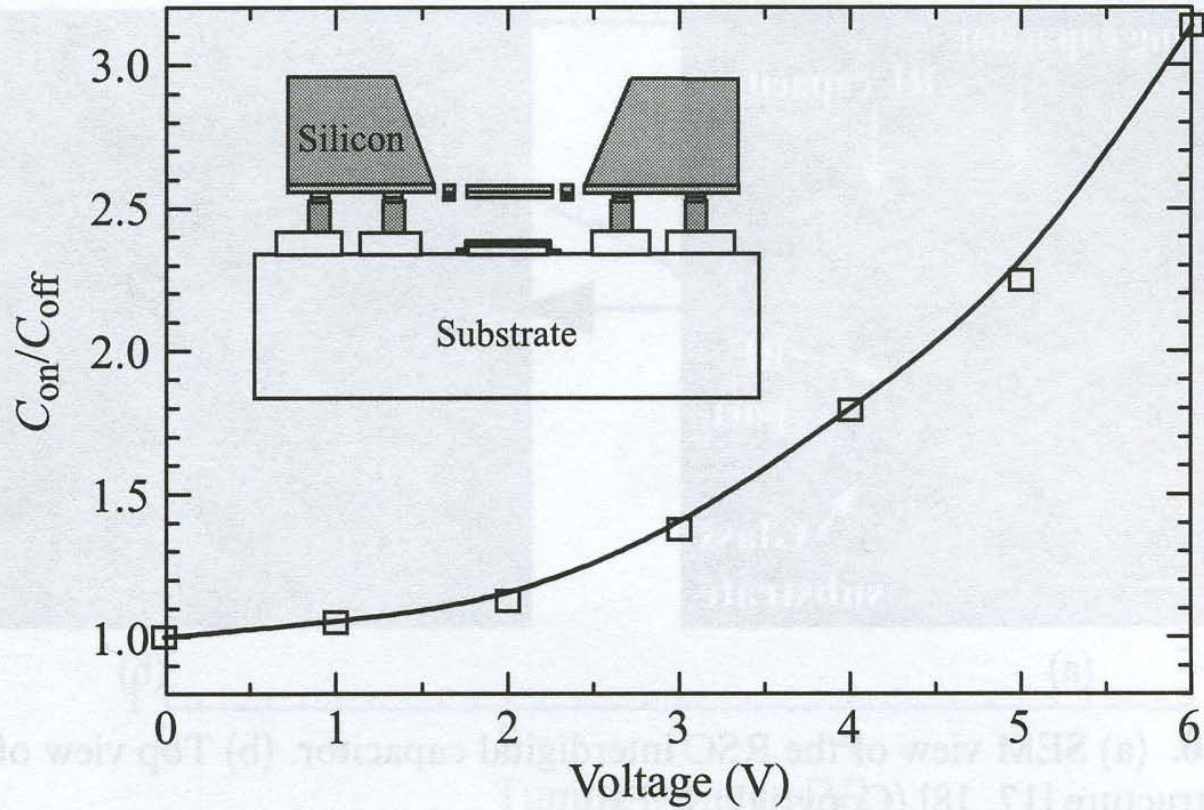
+ Low drive-voltage

+ Linear tuning of capacitance

Two of the beam lengths increase



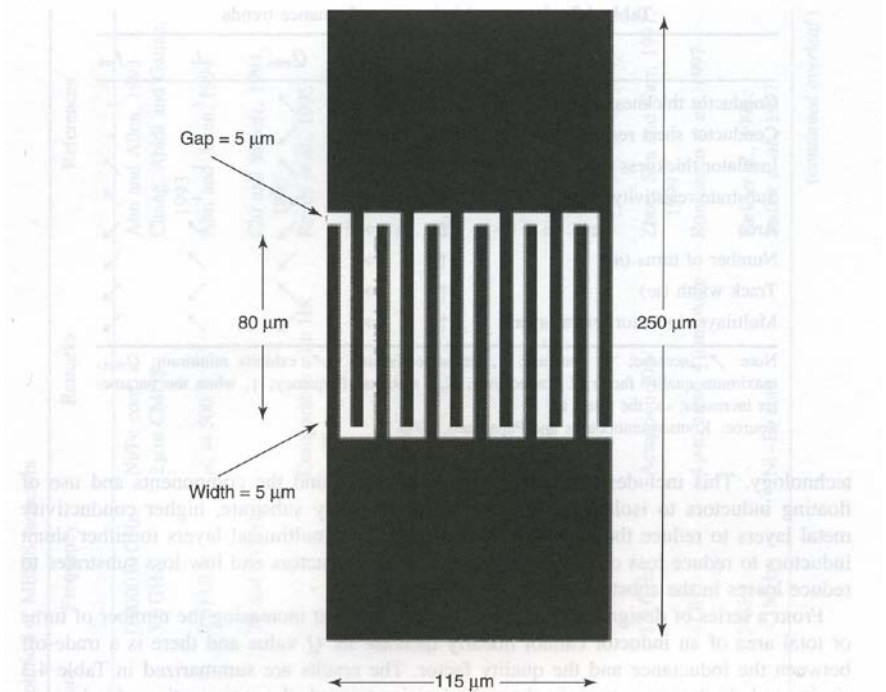
**Figure 11.17.** Top view and fabrication process of the LG-Electronics piezoelectric varactor [15] (Copyright IEEE).



**Figure 11.18.** Measured capacitance ratio of the LG-Electronics piezoelectric varactor [15] (Copyright IEEE).

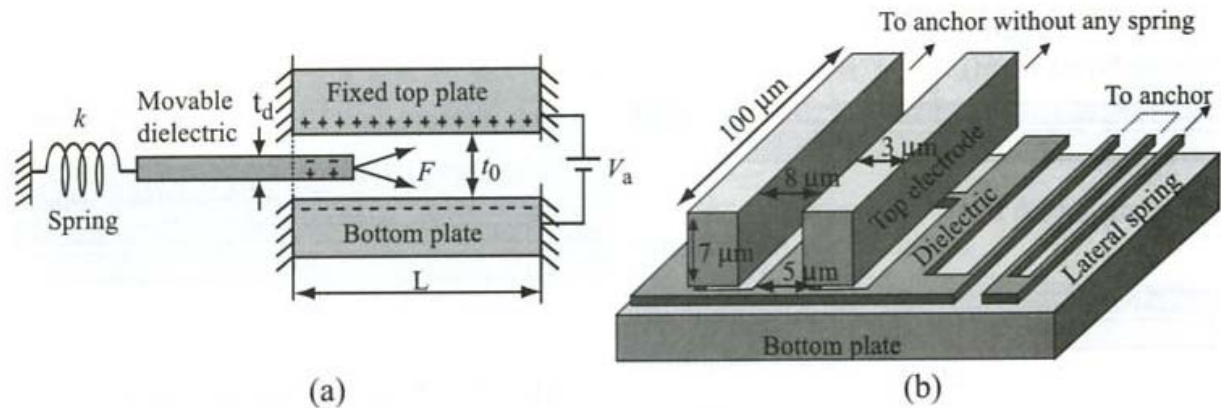
# Dielectric tunable capacitances

- Change the material properties between plates
  - DC bias voltage can change electrical properties
    - Dielectric layer
    - Dielectric constant,  $\epsilon$
  - Ferro-electric thin-films, Var  
fig. 4.48 →



**Figure 4.48** Schematic diagram and dimensions of the interdigital capacitor. Reproduced from S.W. Kirchoefer, J.M. Pond, A.C. Carter, W. Change, K.K. Agarwal, J.S. Horwitz and D.B. Chrisey, 1998, 'Microwave properties of  $\text{Sr}_{0.5}\text{Ba}_{0.5}\text{TiO}_3$  thin film interdigitated capacitors', *Microwave and Optical Technology Letters* **18**(3): 168–171, by permission of IEEE, © 1998 IEEE

# University of Michigan



Movable dielectric membrane between fixed plates, - **masking** the effective area

Principle: both top and bottom are rigid

Tuning by a **movable** dielectric membrane (high- $k = \epsilon$ ) that is electrostatically actuated

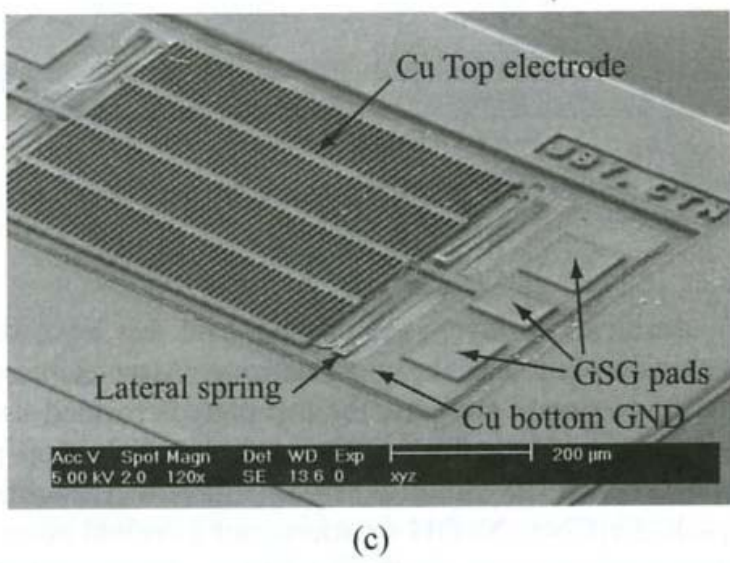


Figure 11.23. The UoM interdigital capacitor with a movable dielectric layer (a,b), and SEM picture of the fabricated device (c) [19] (Copyright IEEE).

# Univ of Michigan, contd.

- Performance parameters
  - IC compatible technology ( $<200\text{ }^{\circ}\text{C}$ ), post CMOS
  - Electroplated metal + surface micromachining
  - Movable dielectric Nitride membrane
  - No pull-in effect
  - Low actuation voltage  $< 10\text{ V}$  with  $k = 0.187\text{ N/m}$
  - TR = 40%
  - $Q = 218$  @ 1 GHz for  $C = 1.14\text{ pF}$  design (maybe the highest Q published!)



# Univ of Michigan, contd.

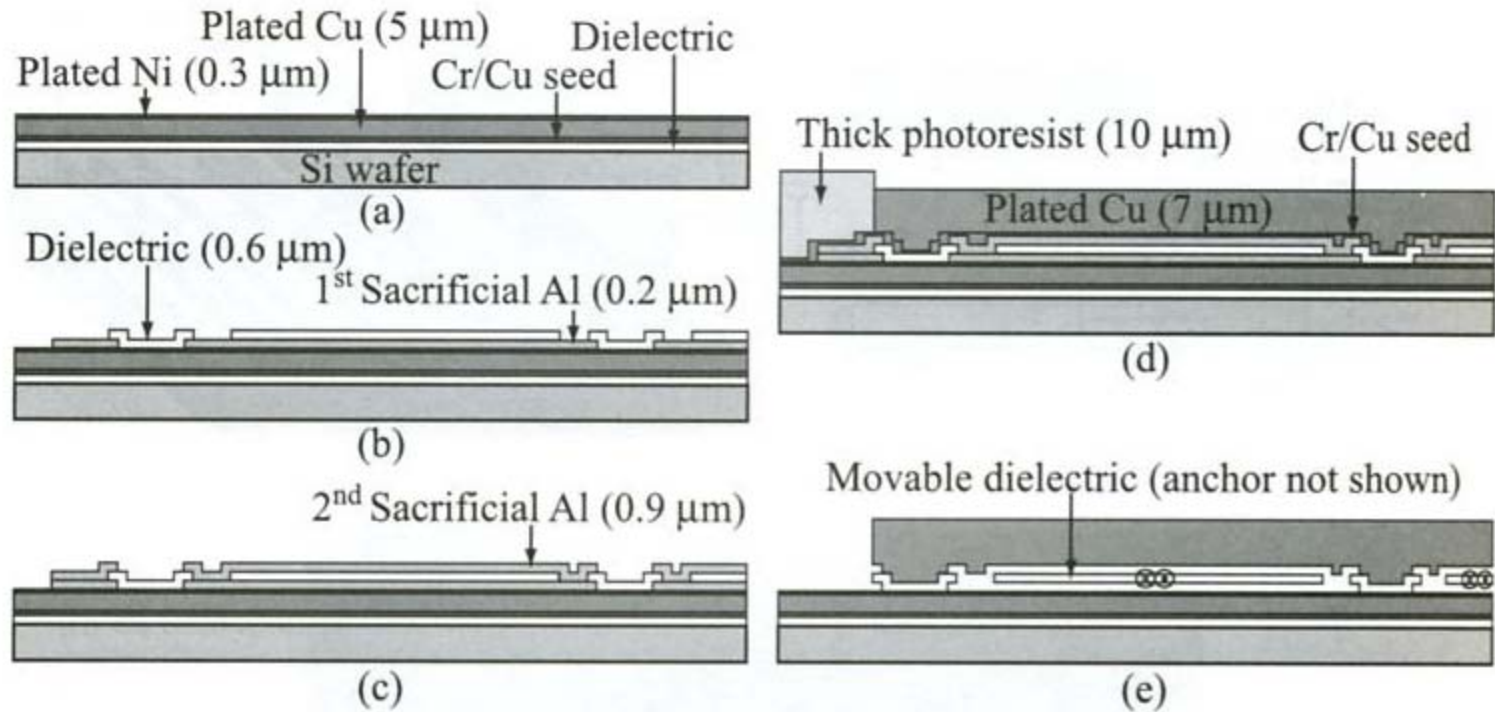


Figure 11.24. Fabrication process of the UoM interdigital capacitor [19] (Copyright IEEE).

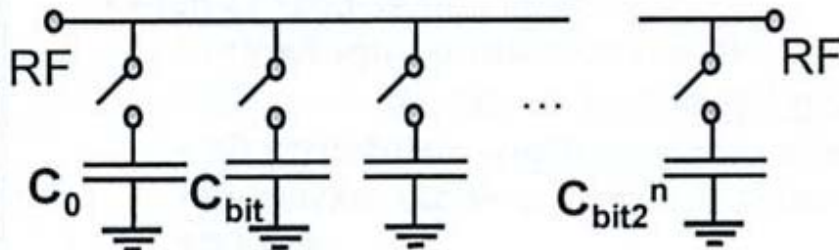
# RF MEMS capacitance banks

- Use of programmable **capacitance banks**
  - Use an "array" of **fixed** capacitances
  - Connect to the desired C-value
  - MEMS switches used for connecting
  - Can be programmed using a digital signal
  - Both series and shunt configurations are possible

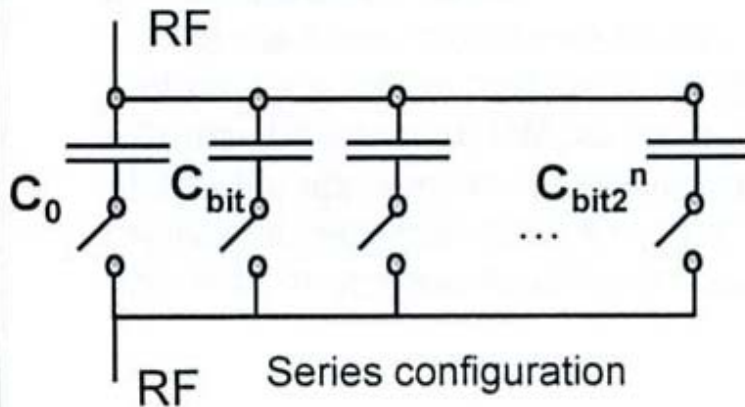


# RF MEMS capacitor banks

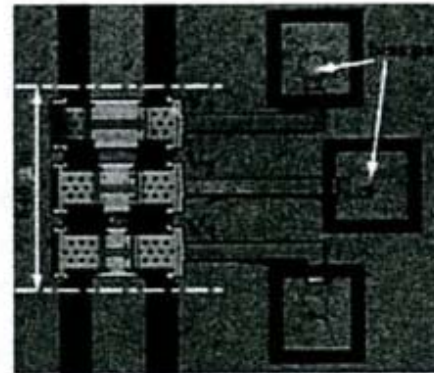
n-bit capacitor bank with capacitive switch and fixed  $C_0$



Shunt configuration



Series configuration



**Design and process** (University of Michigan)

- 3-bit digital MEMA varactor on glass substrate

## Performance

- TR= 3:1, C=146- 430fF in K-band
- Q= 5 – 10 @ 10GHz
- Q > 50 – 200 reported by same authors

L. Dussopt and G. Rebeiz, IEEE Microwave and wireless comp. Letts, vol. 14, 2003, pp. 361-363.



# Eliminate influence of thermal stress

- One example
  - H. Nieminen et al: "Design of a Temperature-Stable RF MEMS Capacitor, J MMSyst, vol 13, no 5, 2004:
  - Design capacitance into a **frame-structure** →
  - Use frame to compensate the thermal induced stress
  - Anchor the capacitance in such a way that when the frame is **deformed**, minimal stress is induced on the capacitance itself
    - Ex. corners displace very little
    - **Anchor the capacitance at the corners!**

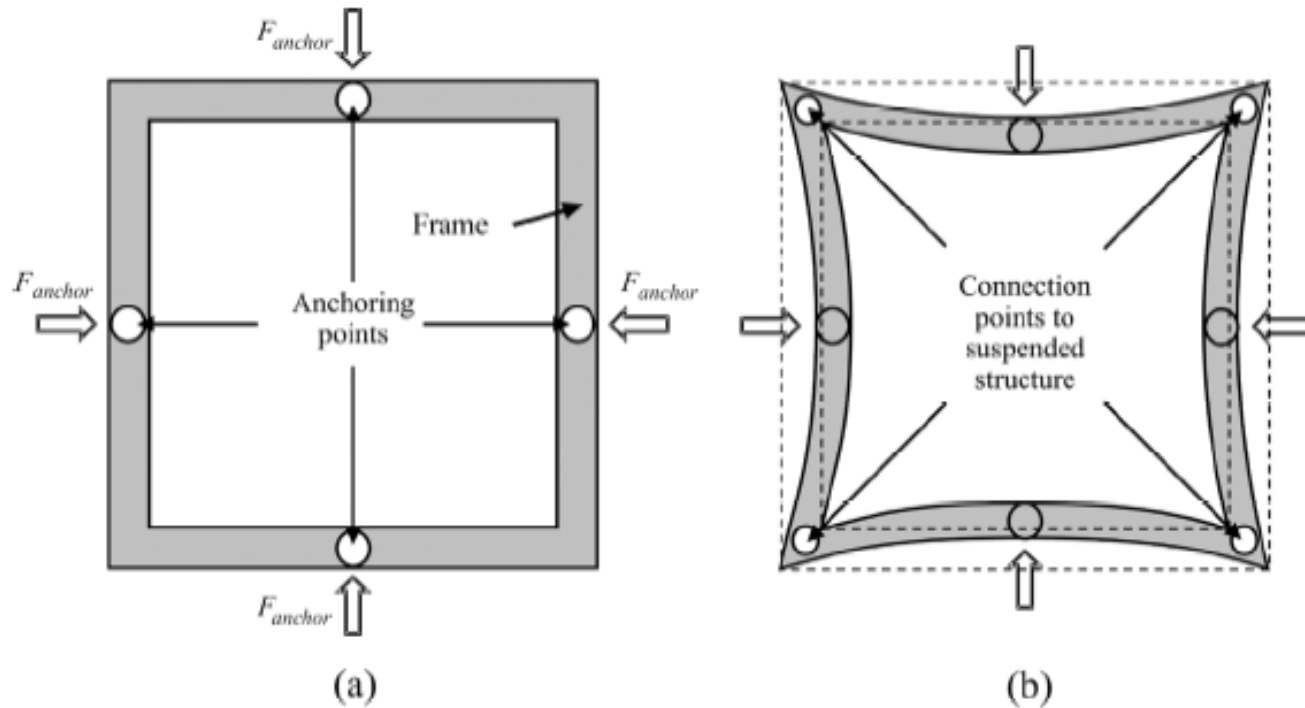


Fig. 2. The steps to create geometrical compensation of a suspended structure against external stress for arbitrary geometry are as follows. (a) Create frame geometry. Designate anchoring points. The force ( $F_{anchor}$ ) that simulates the effect of external stress is exerted on these anchoring points. The force is directed to the geometrical center of the frame. (b) The frame bends due to the force. However, if the anchoring points and the geometry of the frame are selected properly, there are points in the frame that do not move or move very slightly. These are points where the frame is connected to the suspended structure.

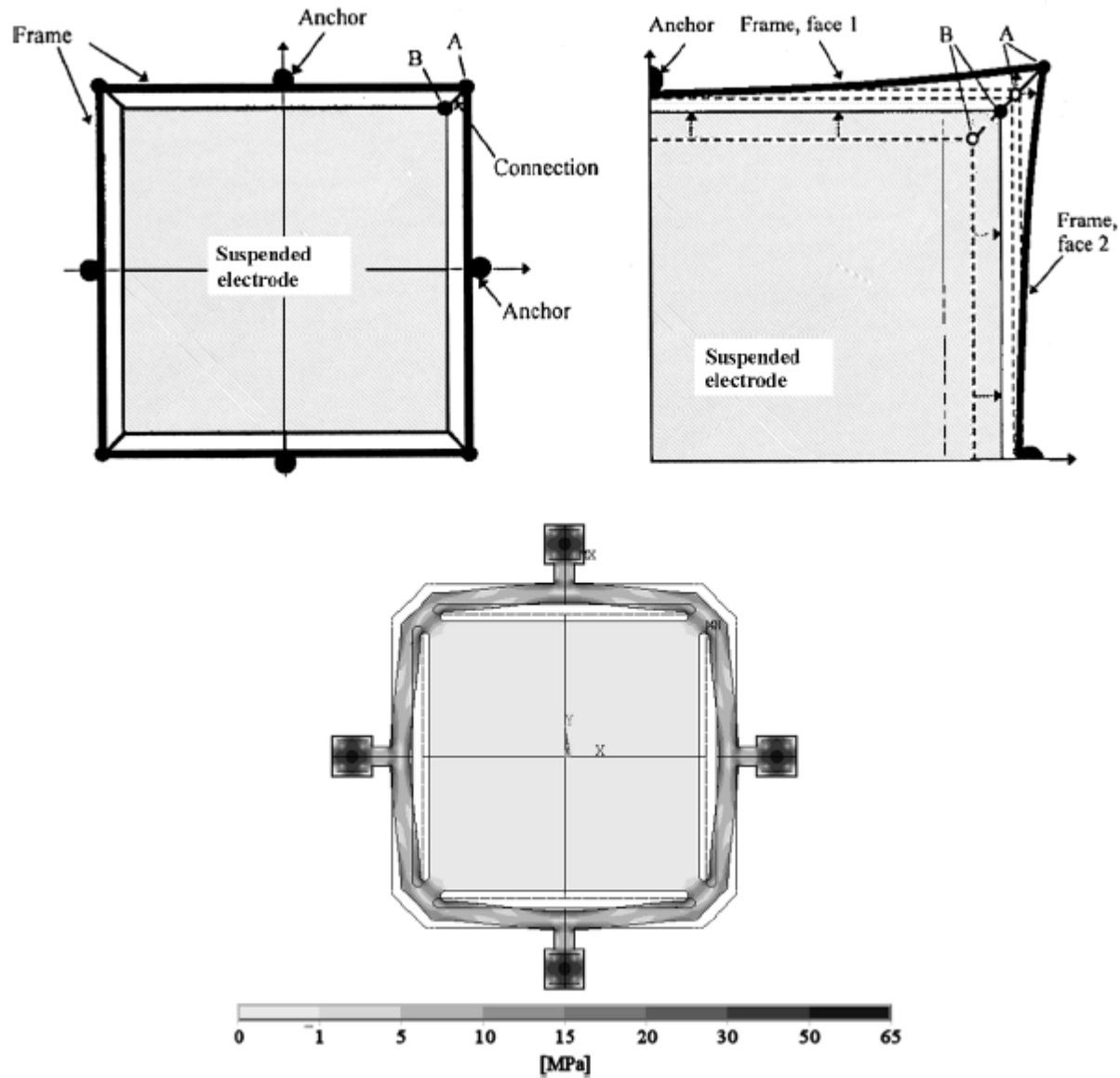


Fig. 3. FEM analysis of the temperature-compensated capacitor. Simulation is done with ANSYS. The temperature change is  $-50\text{ }^{\circ}\text{C}$ . The substrate is silicon. The suspended electrode, the frame and the anchors are  $4\text{-}\mu\text{m}$ -thick gold. The scale at the bottom of the figure shows stress. Displacements in the figure are exaggerated.