

# INF5490 RF MEMS

## **LN01: Introduction. MEMS in RF**

Spring 2012, Oddvar Søråsen  
Department of informatics, UoO

# Today's lecture

- Background for the course INF5490/9490
- Course plan spring 2012
- Introduction
  - MEMS in general
  - RF systems
  - MEMS in RF systems

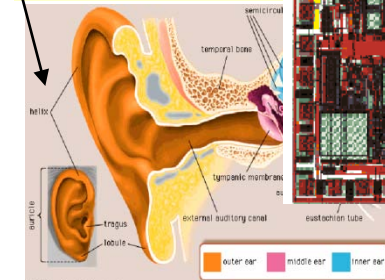
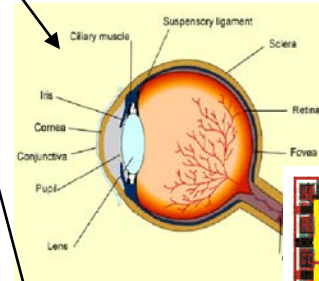
# INF5490 RF MEMS

- **MEMS** (Micro Electro **Mechanical** Systems)
  - Extending the VLSI design research activities of the NANO group
    - Basic NANO competence: Design of mico/nano- electronic systems: modeling, analysis and implementation of analog and digital VLSI circuits and systems
- Activity inspired by:
  - **National focus** on micro- and nano-technology
    - The Research Council of Norway
  - **MiNaLab** (Micro Nano Technology-lab), next-door
    - SINTEF lab
    - UiO lab

# Why MEMS in the Nano-group?

- New possibilities to implement **composite, integrated, miniaturized systems**
  - Electronic systems with MEMS give a new **degree of freedom** for designers
    - **A.** Sensors and actuators **Mechanical** components integrated in the systems: "eyes, ears, hands"
    - **B.** MEMS – components need **interfacing/driving** electronics!

MEMS



Electronics

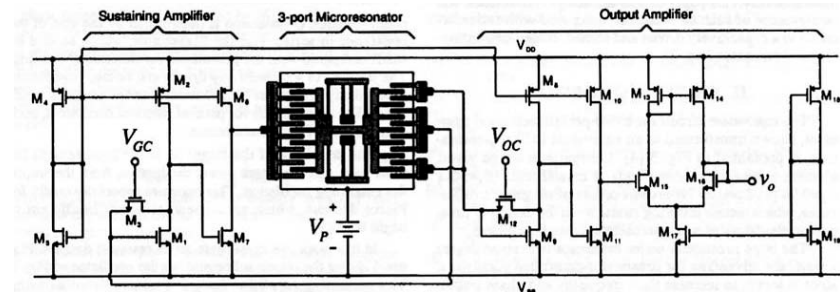
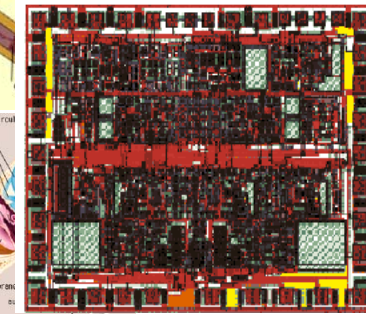
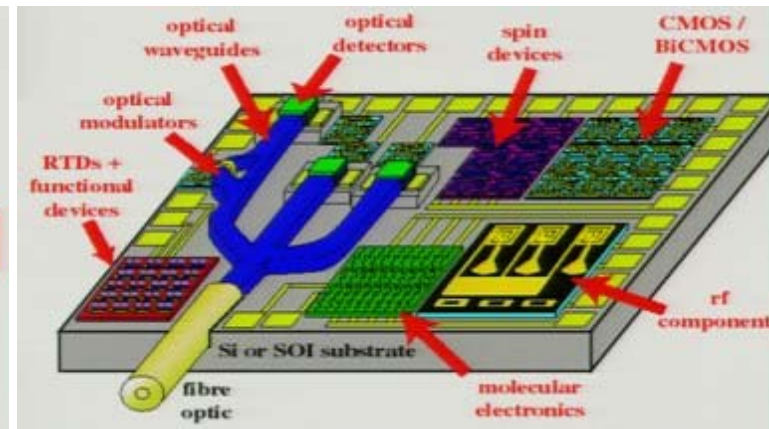
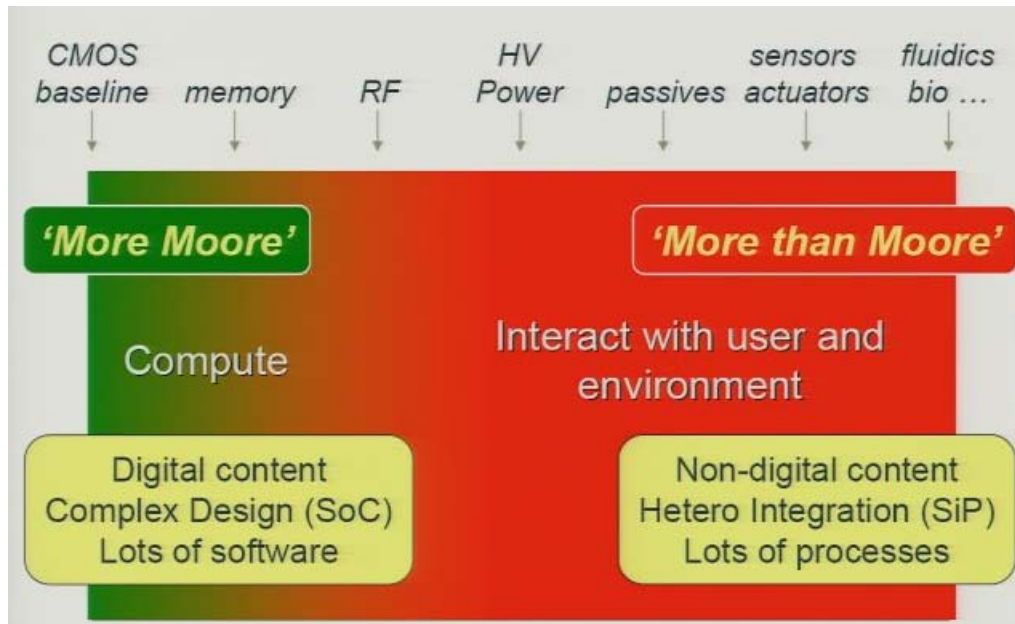
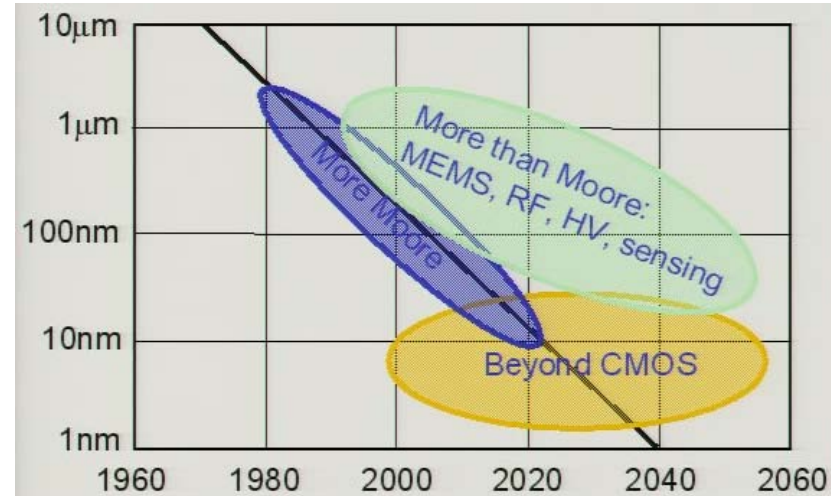


Fig. 4: Circuit schematic for the microresonator oscillator.

# Interfacing to the "real world"

- Enhancing "More Moore" by "non-classical" electronic components
- Achieve "Ambient Intelligence" by "More than Moore"



# Technology trends

Driven by Moore's Law

1970

1



Computational Infrastructure

- Stationary/backend
- Wired
- High end computing

1980

10



Mobile access devices

- Human interaction
- Portable
- Mostly wireless
- Battery

1980

2000

>100



Sensory swarm

- Miniature
- Wireless
- Autonomous/self-contained
- Controlling and sensing natural processes

2010

Beyond Moore

# Personal competence

## Background

- Physics
- → modeling and design of VLSI (ASIC)
- → system design
- → computer architecture/multiprocessors
  
- → **RF MEMS, CMOS-MEMS co-integration**
  
- Sabbatical at SINTEF MiNaLab 03/04
  
- Supervising students i relevant fields (Master, Ph.D.)
  
- Research activities

## Input

- Seminars
  - RF MEMS-seminar by A.M. Ionescu, EPFL, at KTH H04
    - Arr: FSRM, Swiss Foundation for Research in Microtechnology
  - RF MEMS tutorial: G.M. Rebeiz, UCSD, in Tønsberg H05
    - Arr: IMAPS Nordic Conference
  - Workshop on MEMS, IMEC, Leuven, H07
    - Arr: Europractice/STIMESI
  - Course on Cofabrication of MEMS and CMOS, IMEC, Leuven, H08
  - Tutorials and conference: Eurosensors XXIII, Lausanne, H09
  
- Visiting UC Berkeley and Carnegie Mellon University, H06
  - C.T.-C. Nguyen, G.K. Fedder ++
  
- Literature studies
  
- Using the simulation package CoventorWare

# Selecting a focus → RF MEMS

- MEMS is a broad field of research
  - A focus is needed
    - → RF MEMS
    - → Cofabrication of MEMS and CMOS
- ***”RF MEMS refers to the design and fabrication of dedicated MEMS for RF (integrated) circuits”***
  - 1a) Components **operate** micromechanical **and/or**
  - 1b) Components **fabricated** using micromachining
  - 2) Components are used in **RF systems**



# Course topic: RF MEMS

- Observe: **2 disciplines** involved: **RF** and **MEMS**
- **RF** – "Radio frequency"
  - High frequencies: MHz, GHz
  - Used in wireless transmission
  - Many characteristic, special properties related to **high frequency designs**
    - Course, Fall (Svein-Erik Hamran), **recommended!**
      - **INF5481 RF-circuits, theory and design**
    - However, basic/ "needed" RF topics for INF5490/9490 are covered in our course!

# Why RF MEMS in the NANO group?

- Challenging, promising and exciting field!
- Close connection to the basic NANO competence in circuit technology
- The course fits well into the NANO Master/PhD education
- Actual theme
  - Increasing interest internationally for using MEMS in RF systems
- Growing commercial attention
- Basis for establishing new enterprises
- Large market: **wireless communication**
  - Tele communication, mobile business
  - **Wireless Sensor Networks (WSNs)**
  - Distributed intelligence (observation, actuation)
  - Environmental surveillance, – sensor nodes
  - Ambient Intelligence: - units everywhere!
  - Patient surveillance, - medical implants
  - "Internet of things"

# International activity

- RF MEMS is in focus on leading international **conferences**
  - ISSCC, IEDM (Int. Electron Devices Meeting), Eurosensors
  - MEMS-conferences and journals
    - See NANO web-page!
- ***Europractice*** and ***CMP*** offer MPW (Multi Project Wafer)
- Increased industry attention and support of RF MEMS
  - Great potential
    - Miniaturization, increased performance, volume production
  - **BUT MEMS in general is not yet a big hit!**
    - **A few successes: airbag sensor, video projector**

# MEMS in RF-systems

- RF MEMS development started in the 90s
  - 1990: the first MEMS microwave **switch** better than GaAs (Hughes Res Lab)
  - 1995: RF MEMS switches from Rockwell Science & TI
  - From 1998: some **universities** do research in RF MEMS
    - Univ of Michigan, Univ of Calif Berkeley, Northeastern Univ, MIT, Columbia Univ, CMU (Carnegie Mellon), etc.
  - Some relevant **companies**:
    - Analog Devices, Motorola, Samsung, ST Microelectronics
  - **Research institutes**
    - Sandia, Fraunhofer, IMEC, LETI, SINTEF

# RF MEMS: where should I look for?

Top authors, books and web pages

RF MEMS

- Clark Nguyen

<http://www.eecs.berkeley.edu/~ctnguyen/>

RF MEMS

- Gabriel Rebeitz

RF MEMS: Theory, Design, and Technology, Wiley, 2003.



NEMS

- Michael Roukes

<http://nano.caltech.edu/>

Adrian M. Ionescu



#### Nanoscale Systems:

Fundamental & Device Nano-Physics, Bio/Medical Applications, Very-Large-Scale Integration

[Home](#)

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We are working to explore new physics at the nanoscale, and to apply this knowledge to realizing advanced tools for the biomedical and life sciences. Our group's efforts span from very systematic nanodevice engineering for practical applications, to biological investigations enabled by novel devices, to quantum measurements with nanosystems at ultralow temperatures.

Our efforts are part of the [Kavli Nanoscience Institute](#) (KNI) at Caltech. Through generous support from both the Gordon and Betty Moore Foundation and the Kavli Foundation, the Caltech "nanoscience community" has been able to assemble state-of-the-art nanofabrication facilities that now enable our work; these facilities include capabilities for 150mm wafer-scale patterning of complex nanodevices with dimensions down to the tens nanometer regime.

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# Information about course INF5490

- Course homepage:
  - <http://www.uio.no/studier/emner/matnat/ifi/INF5490/v12/>
  - Messages posted there! **CHECK regularly!**
- Weekly **lectures**: Oddvar Søråsen
  - Thursday 10:15 – 12, in OJD 3437 (seminarrom C)
  - Detailed lectureplan on web
    - Lecture notes will be posted on web before lecture (pdf)
- Language: English (if requested by someone from the audience, - else Norwegian)

# Group assignments

- **Class assignments:** Srinivasa Reddy Kuppi Reddi
  - "Felles gruppe" – consult web for weekly plan!
  - Tuesday 14:15 – 16 in Java 2423
    - First time 24/1
  - Present plan and topics for "obliger"
  - Present supporting literature
  - Work through week assignments
    - Posted a week before
  - Practical aspects
  - Questions, discussion



# Obliger

- **2 “obliger”** have to be done
  - Must hand in 2 reports at specified dates (see web)
    - **General guidelines available on web!**
  - **Approval** required for taking the exam!
  - Each group consists of 2 students that collaborate
- Topics: micromechanical resonators and filters
  - Simulations using **CoventorWare**
    - 3-dim modeling, FEM-analysis (Finite-Element-Method)
    - High-level modeling, ARCHITECT

# CoventorWare: features

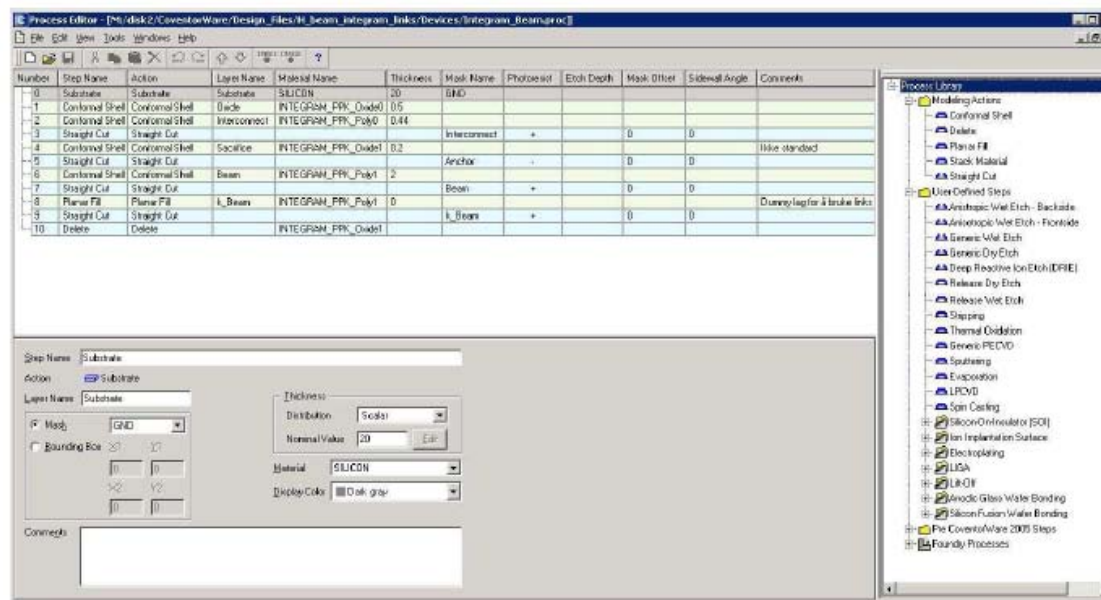
- “State-of-the-art” tool for FEM analysis
  - “Finite-Element-Method”
- **“Bottom-up” procedure:**
  - 1) Build a 3D -model
    - Multiple layers: structural and sacrificial layers
    - Etching pattern, remove sacrificial layer
  - 2) Meshing
    - Tetrahedral, “Manhattan bricks”
  - 3) Solvers
    - Electrical/ mechanical/ coupled
    - Iterate!

COVENTOR

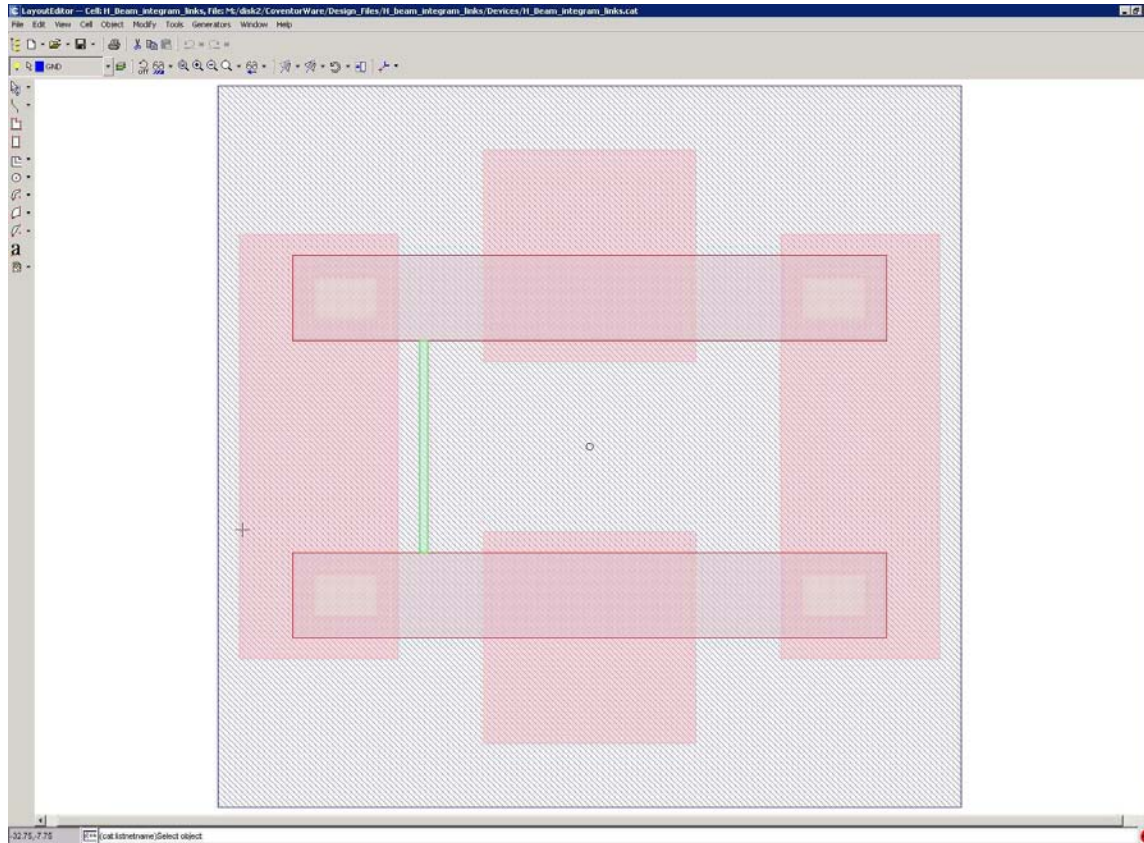
ACCELERATING  
MEMS Innovations™

# Process-description

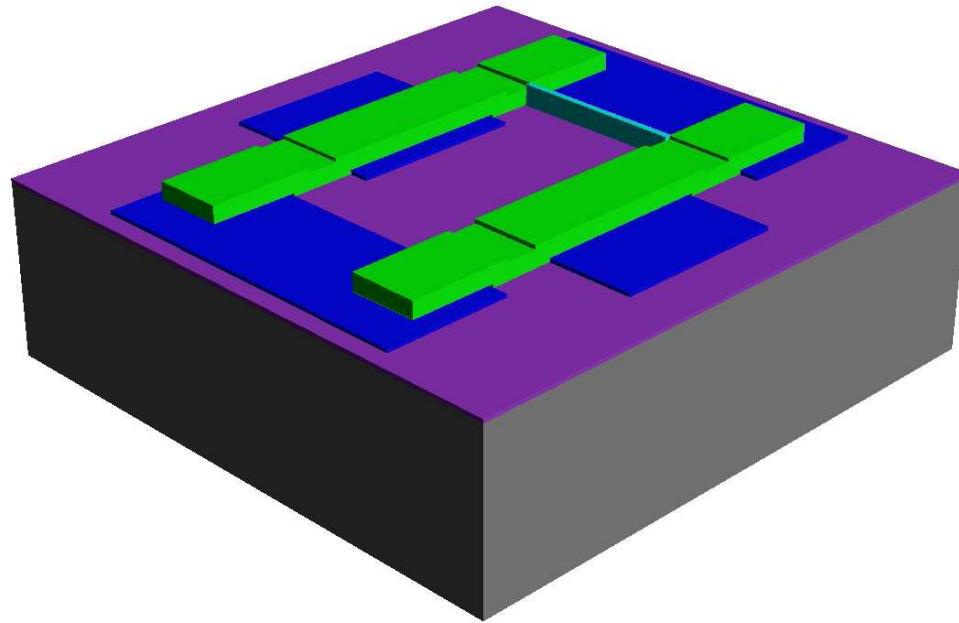
- Specify a **process file** compatible with the relevant “foundry” -process
  - Reduce complexity, idealization
  - Realistic: characteristic process features should be kept



# Layout

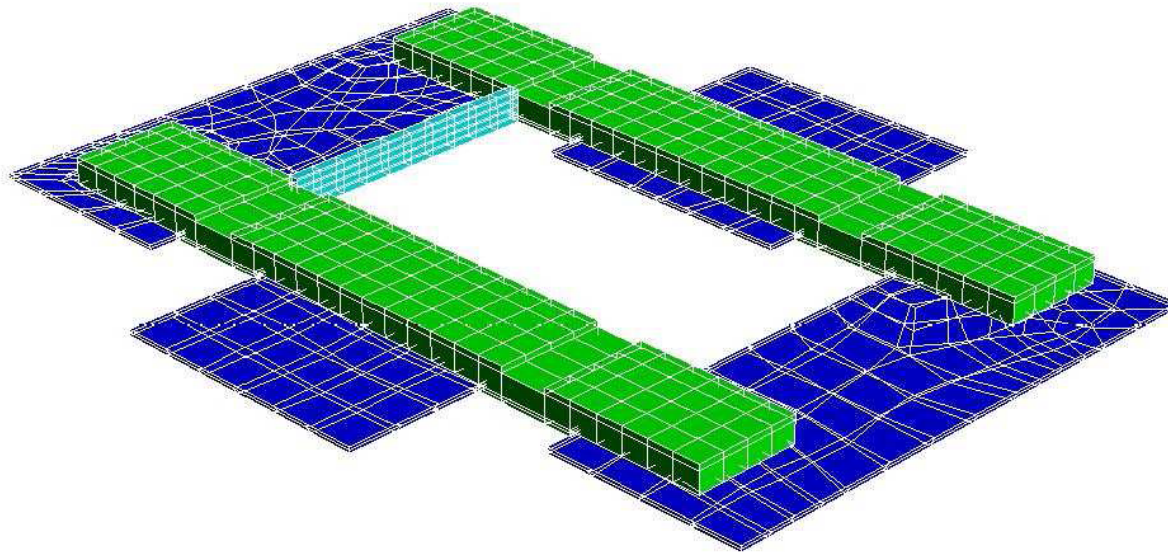


# Building a 3-D model

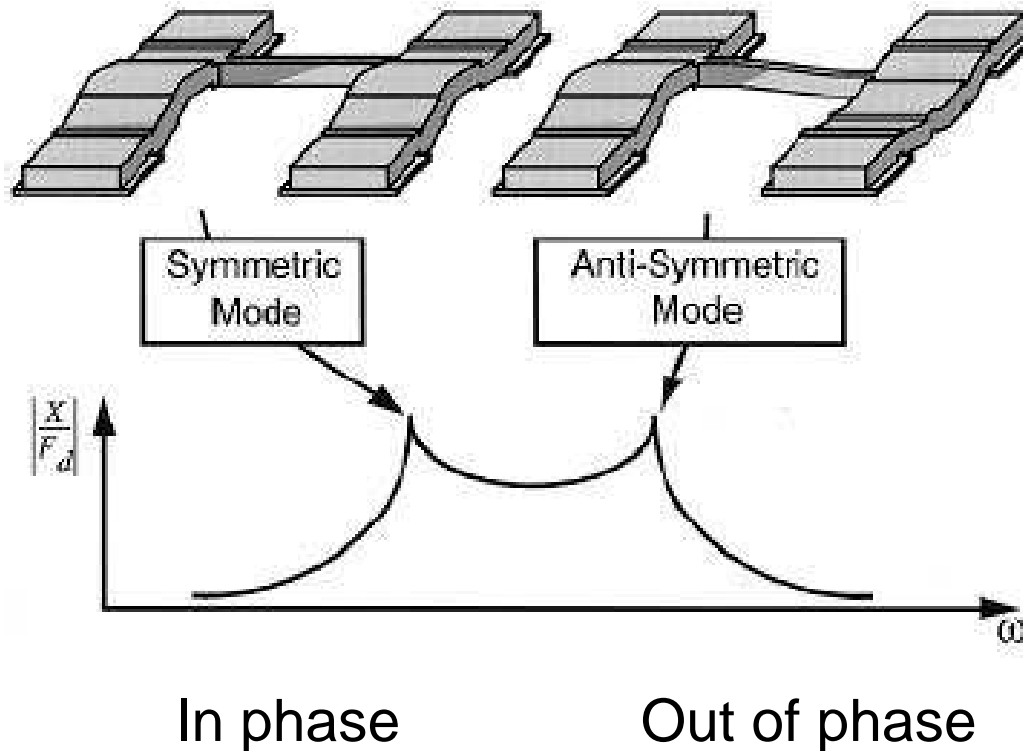


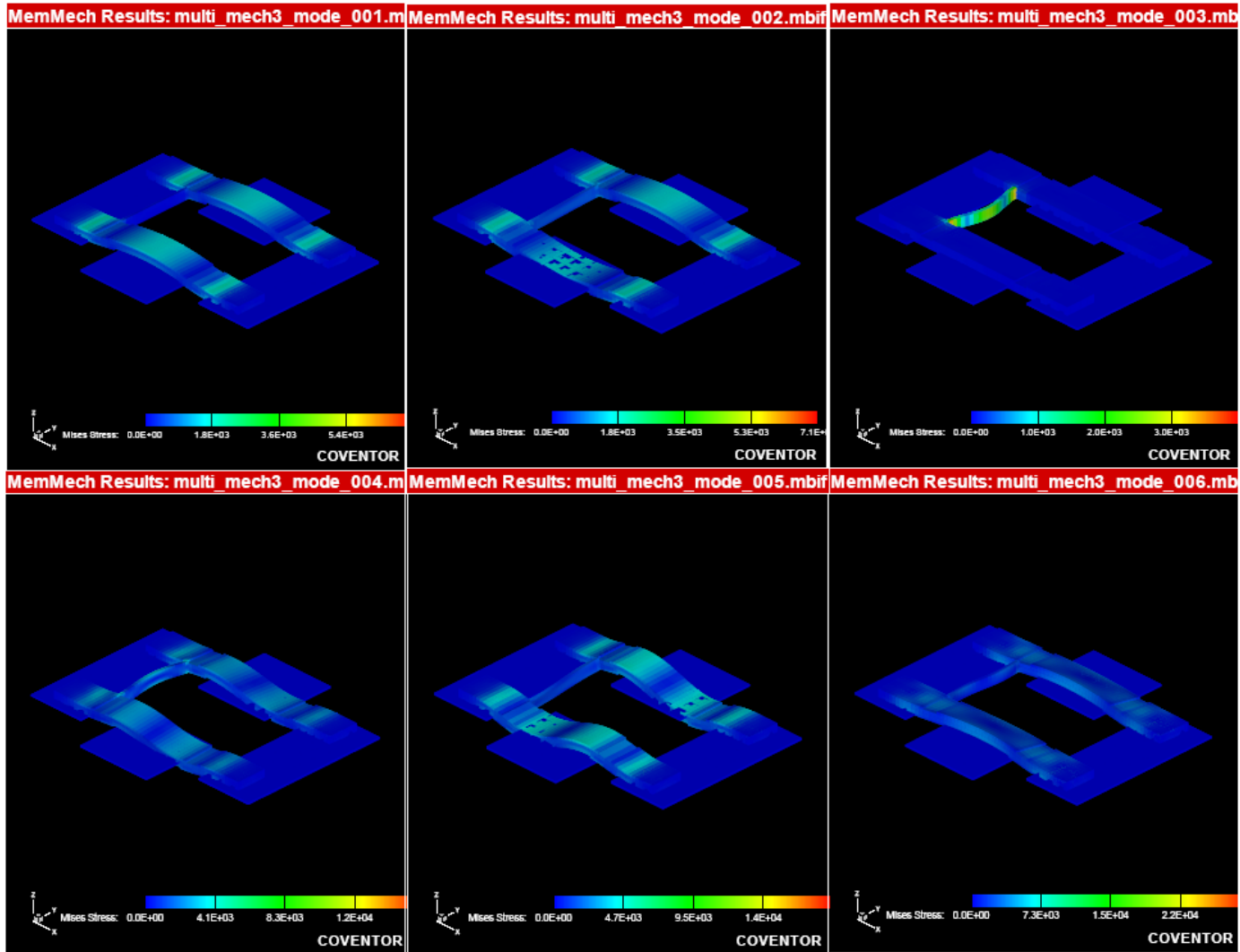
O-P Arhaug

# Meshed 3D -model for FEM analysis



# Filter-function: 2 identical resonators

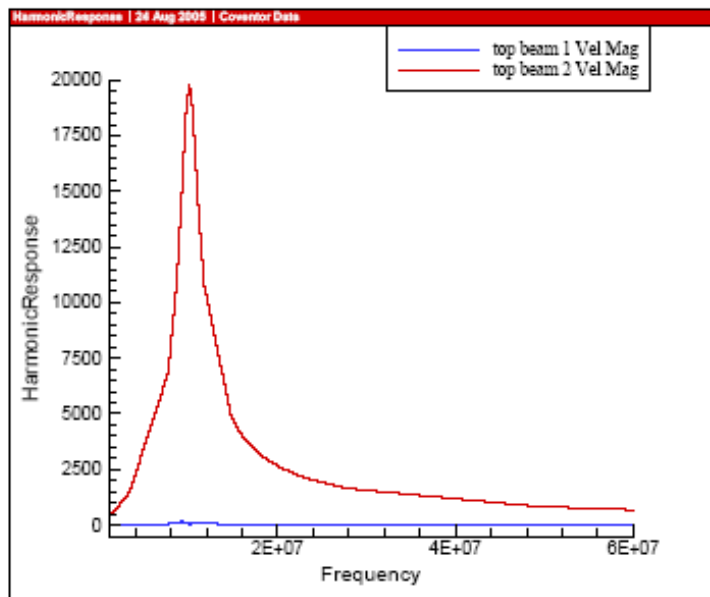




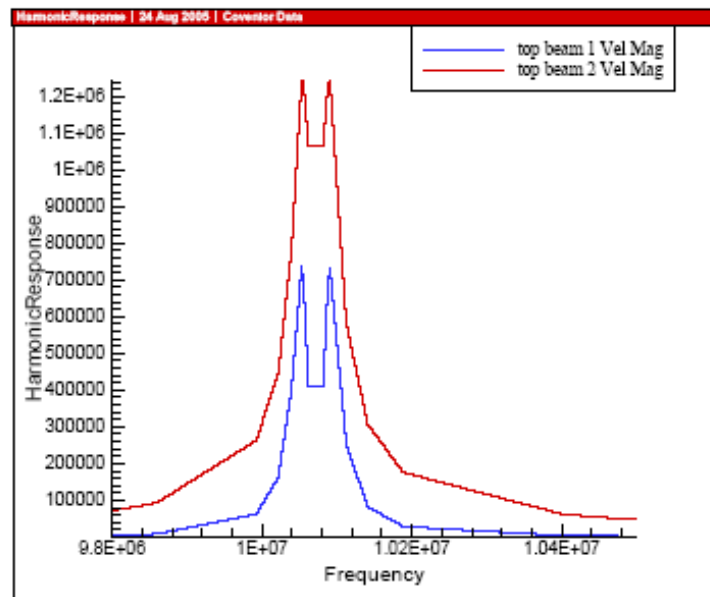
CoventorWare simulations for 6 resonating modes (O-P Arhaug)



# Harmonic response for specific dampings



(a) 0,1



(b) 0,001

# Exam

- Oral **exam** (45 min)
  - Option II: 3 hours written exam
    - Depending on the number of students
- Relevant exam questions will be posted on web later on
  - Lists for 2010/2011 questions are available now!

# Themes covered in the course

- RF MEMS is a **multi disciplinary** field
- **Main topics:**
  - *Introduction (1 week)*
  - *Micromachining (1 week)*
  - *Modeling (1 week)*
  - *RF circuit design (1 week)*
  - ***Typical RF MEMS circuit elements (8 weeks)***
    - *Operation principles, models/analysis and examples*
    - *Switches, phase shifters, resonators, filters, capacitors and inductors*
  - *Packaging (1 week)*
  - *RF system design (1 week)*
  - *Repetition (1 week)*

# Literature

- Text book
  - Vijay K. Varadan, K.J. Vinoy, K.A. Jose, "*RF MEMS and their applications*". John Wiley, 2003. ISBN 0-470-84308-X
  - Supplementary: Ville Kaajakari: "*Practical MEMS*", Small Gear Publishing, 2009. ISBN: 978-0-9822991-0-4
  - No single book does cover the course completely
- Lecture notes: **IMPORTANT!**
  - → Most of the syllabus is covered as lecture notes (ca. 1000)
  - Posted on web before lecture
- **INF9490 version: Additional curriculum (articles specified on web)!**
- Supporting literature:
  - Overview of literature given on the web course page, e.g.:
    - Gabriel M. Rebeiz, "*RF MEMS, Theory, Design, and Technology*". John Wiley, 2003. ISBN 0-471-20169-3
    - Stephen D. Senturia, "*Microsystem Design*", Kluwer Academic Publishers, 2001. ISBN 0-7923-7246-8

# Contact information

- Responsible lecturer
  - Oddvar Søråsen, room 5412, phone: 22 85 24 56
  - [oddvar@ifi.uio.no](mailto:oddvar@ifi.uio.no)
- Responsible for groups/obliger/CoventorWare:
  - Srinivasa Reddy Kuppi Reddi, room 5401, phone 22 84 01 36
  - [srinivar@ifi.uio.no](mailto:srinivar@ifi.uio.no)
- Contact person CoventorWare: support
  - Yngve Hafting, room 4406, phone: 22 85 16 91
  - [yngveha@ifi.uio.no](mailto:yngveha@ifi.uio.no)
- web pages
  - <http://www.uio.no/studier/emner/matnat/ifi/INF5490/v12/>

# Quality assurance

- Course assessor
  - Chief Scientist Geir Uri Jensen, SINTEF ICT, MiNaLab
- Quality assessment
  - The course coordinator is required to engage students in continuous **evaluation of the course**, offering the students an opportunity to provide continuous **feedback** on the quality of the course. Thus, the course coordinator can make **improvements** based on this feedback

*“Institutt for informatikk ønsker en kontinuerlig evaluering av både form og innhold i undervisningen.*

*Evalueringen skal gi studentene ved et emne mulighet til å komme med tilbakemeldinger underveis, slik at eventuelle forbedringer kan gjøres umiddelbart.*

*I tillegg skal underveisevalueringen hjelpe faglærer og instituttet til å fange opp god og mindre god undervisningspraksis og heve kvaliteten på emnet/undervisningen.*

*Emneansvarlig lærer utformer evalueringsopplegget i samråd med studentene som følger emnet og er ansvarlig for kunngjøring av tidspunkt og gjennomføring. Omfang og evalueringsmetode tilpasses hvert enkelt emne og avgjøres av faglærer.*

*Faglærer utfører eventuelle forbedringer og kommuniserer resultatet til studentene.”*

# Today's lecture

- Background for course INF5490
- Course plan spring 2012
- Introduction
  - MEMS in general: “- a flavour –”
  - RF-systems
  - MEMS in RF-systems

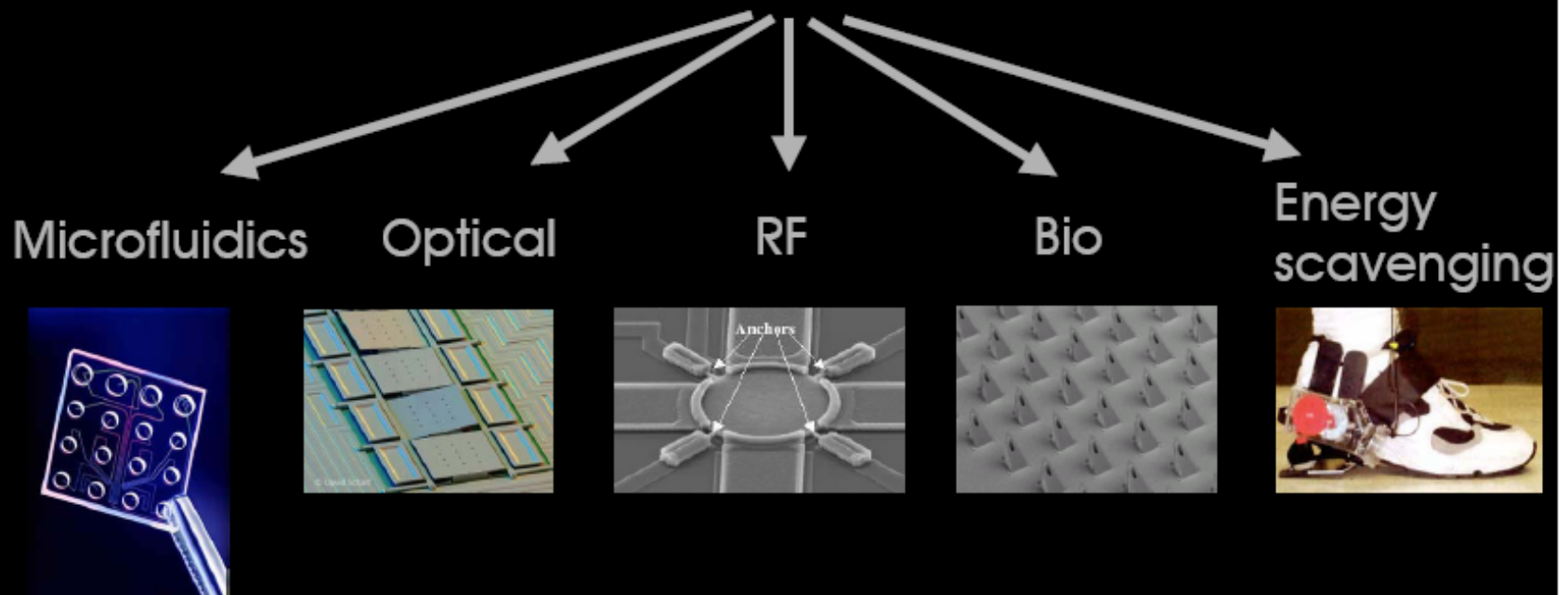
# The Technology is MEMS

- Designations:
  - MEMS – Micro Electro Mechanical Systems
  - “Microsystems”, “MST, Micro System Technology”
  - → “NEMS” (“nano”...), “MEM/NEM”
- **“Micromachining” is basic!**
  - Further developments of IC fabrication (Silicon)
  - Various MEMS processes available today
    - Often proprietary, specialized for a product
    - Restricted possibilities for “second sourcing” (different from CMOS)
    - Other materials can be involved: plastic and organic materials (polymeres)
- PhD course FYS 9230 Modeling and design of micro- and nanosystems (fall)
  - Given by researchers from SINTEF (recommended)
  - Some central topics are recapitulated in INF5490
- **MEMS is a promising technology for RF applications!**



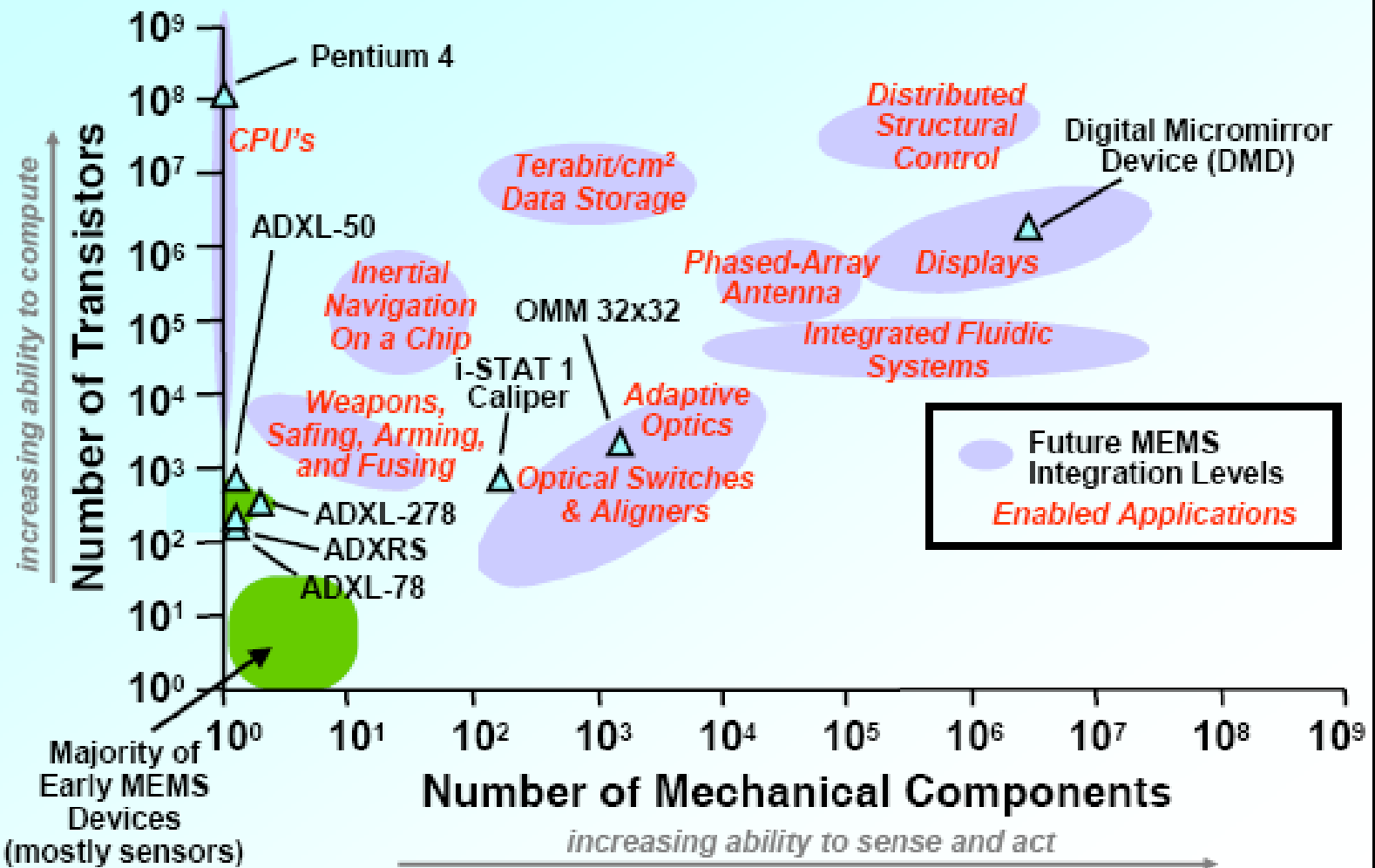
# MEMS as enabling technology

**MEMS = Micro-Electro-Mechanical Systems**



**Functionalities you cannot perform with pure electronic functions**

# Technology Trend and Roadmap for MEMS



# "Scaling" is fundamental

- Feature sizes measured in microns or less [Najafi, Michigan]

**80 mm**

**Gimballed, Spinning Macro-Gyroscope**

**MEMS Technology (for 80X size Reduction)**

**Signal Conditioning Circuits**

**1 mm**

**Vibrating Ring Gyroscope**

[Najafi, Michigan]

[C. Nguyen]

# MEMS in general

- 2 types of units: sensors and actuators
  - **Sensor:** (input)
    - "Feels"/ are influenced by environment
    - Movement is transformed to electrical signals
    - Many examples (pressure, acceleration)
      - The earliest applications (1980s)
  - **Actuator:** (output)
    - Movable structure controlled by electric circuit
    - Ex. Micro motor
    - Ex. Capacitor with movable plates

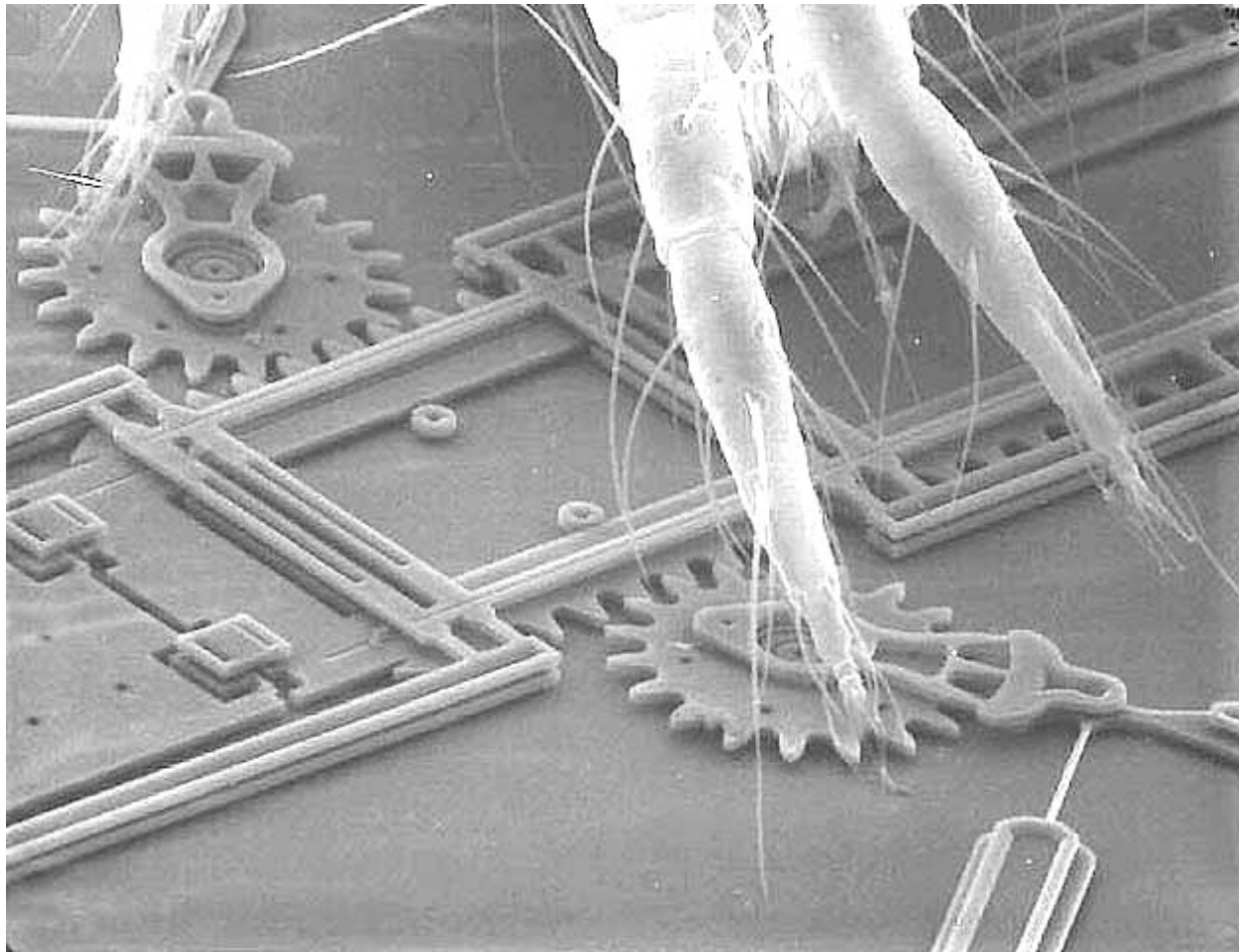
# Actuation mechanisms

- MEMS structures can be actuated (= “moved”) **laterally** or **vertically**
- Actuation mechanisms (more in future lectures)
  - **Electrostatic**
    - Capacitor-structures: +/- charges attracted
    - Simple, low energy levels, enough for RF applications
  - **Thermal**
  - **Magnetic**
  - **Piezoelectric**
    - Strain (= “tøyning”) produces an electric field, - and opposite!

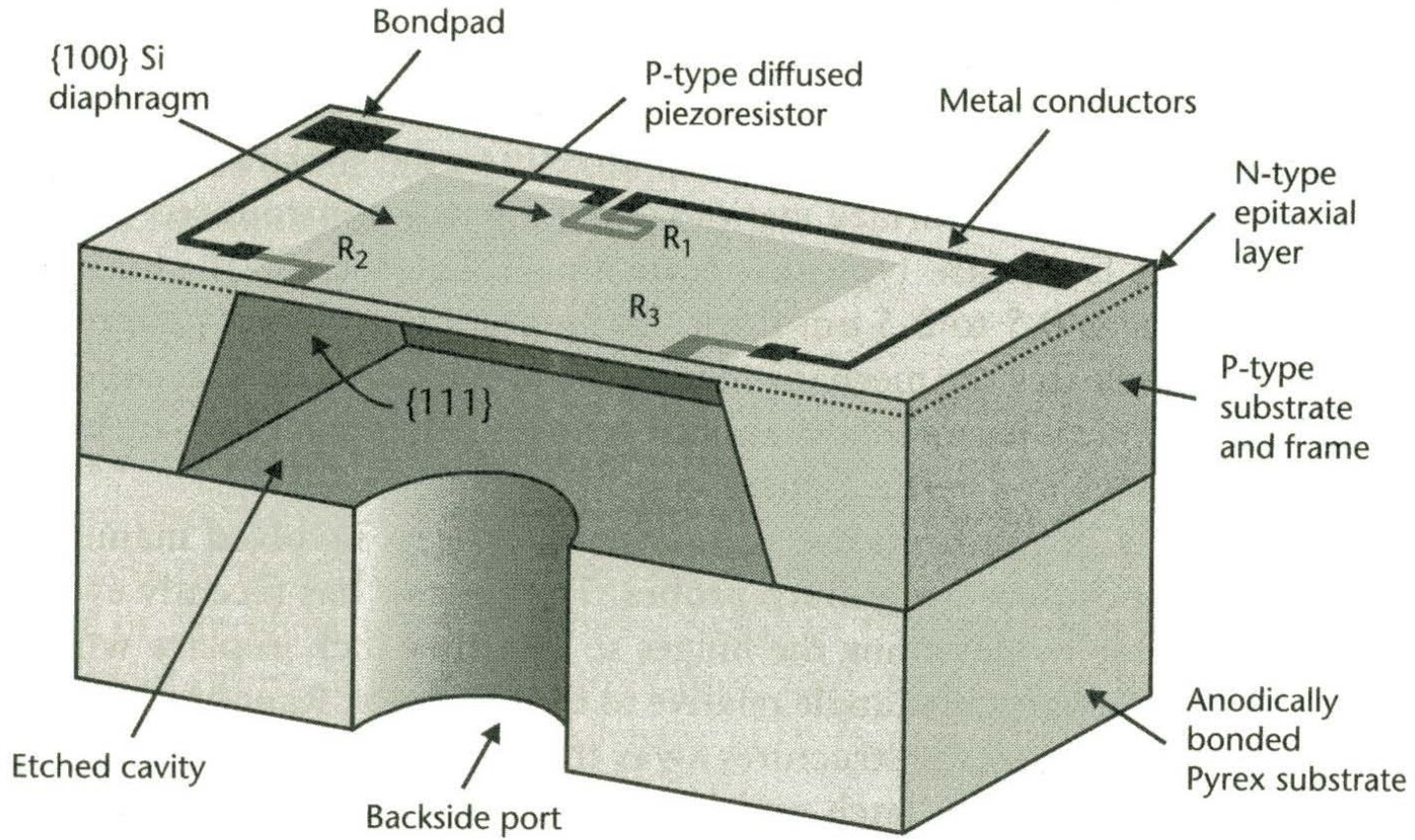
# Some applications of MEMS

- **Automotive industry**
  - Micro accelerometers
    - Airbag-sensors (InfineonSensoNor)
  - Tire pressure sensors
- **Oil industry**
  - Pressure sensor in oil wells and oil tubes
- **Navigation**
  - Gyroscope
- **Biomedical**
  - Micro fluidic, chemical analysis
  - Implants
- **Optics**
  - Micro mirrors for projector, micro lenses for mobile phones
- **Computer industry**
  - Ink printer-head
- **Wireless communication**
  - RF MEMS switches

# Micro motor fra Sandia

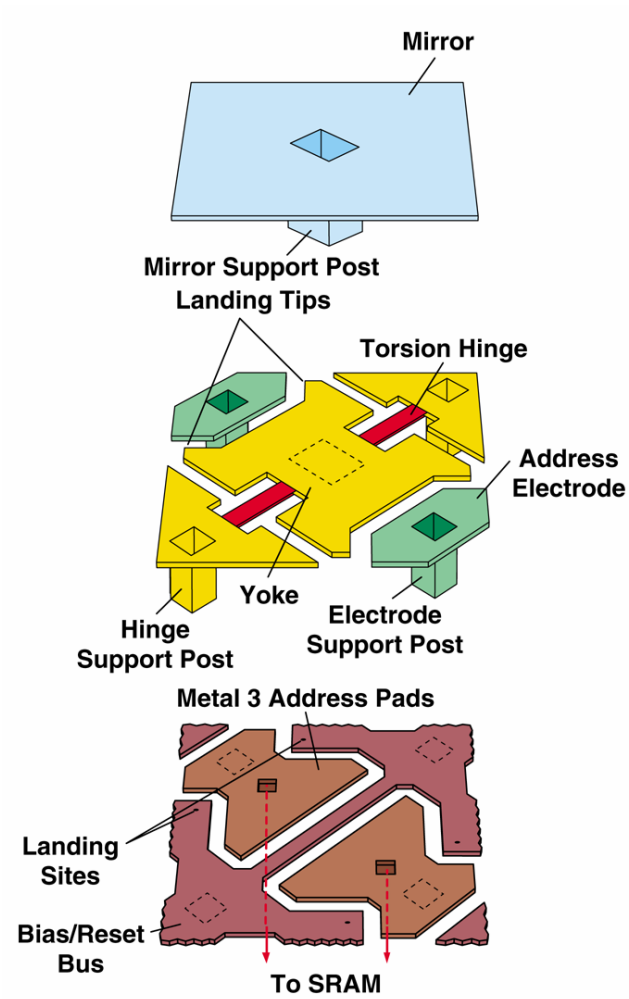


# Pressure sensor

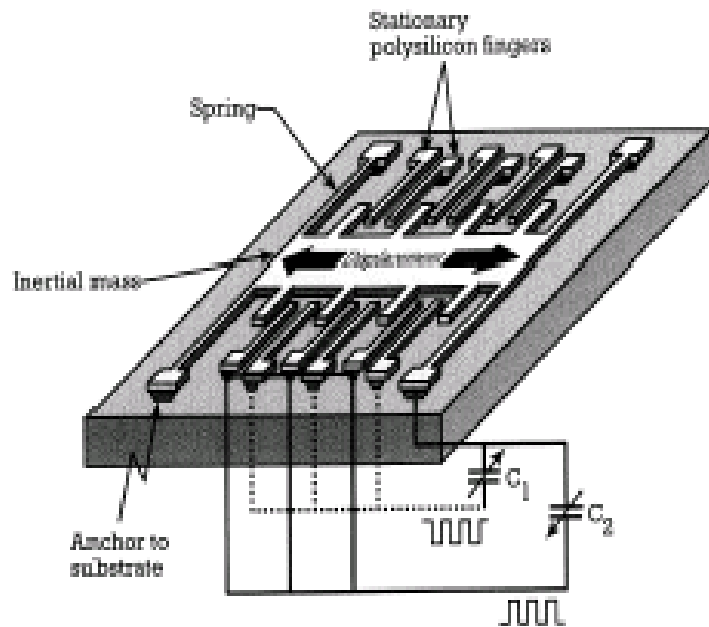




# Micro mirror

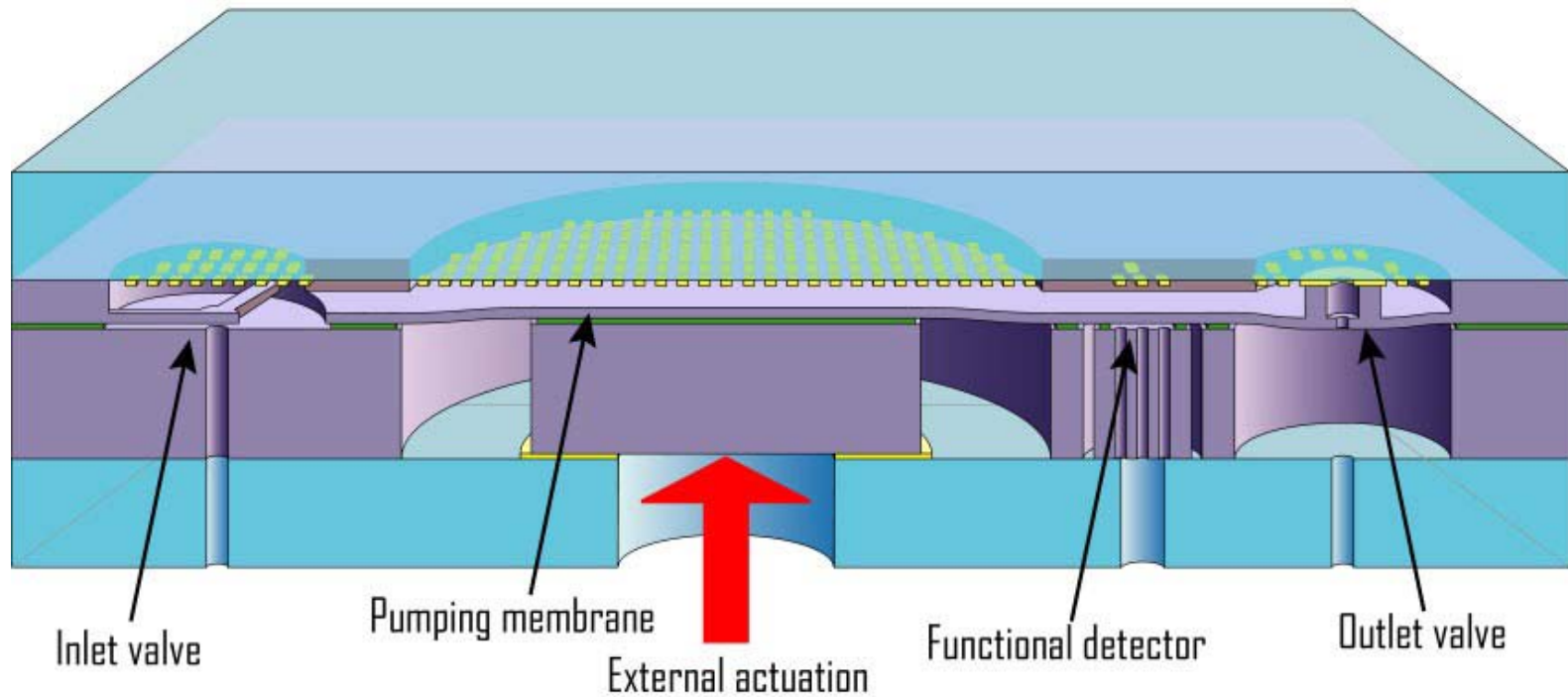


# A Capacitive Accelerometer

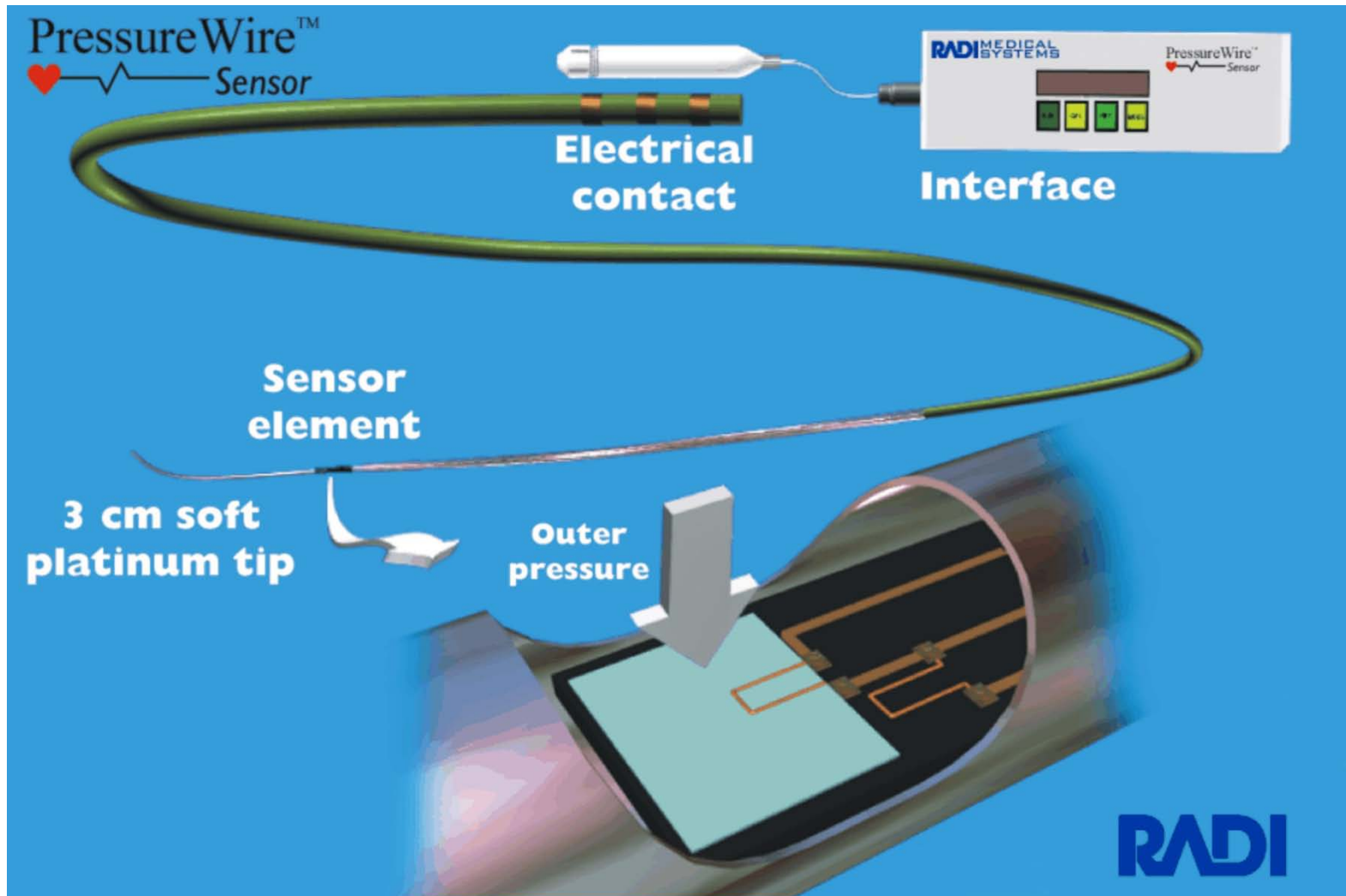


# Technology Analysis: Drug Delivery

## Debiotech Chip



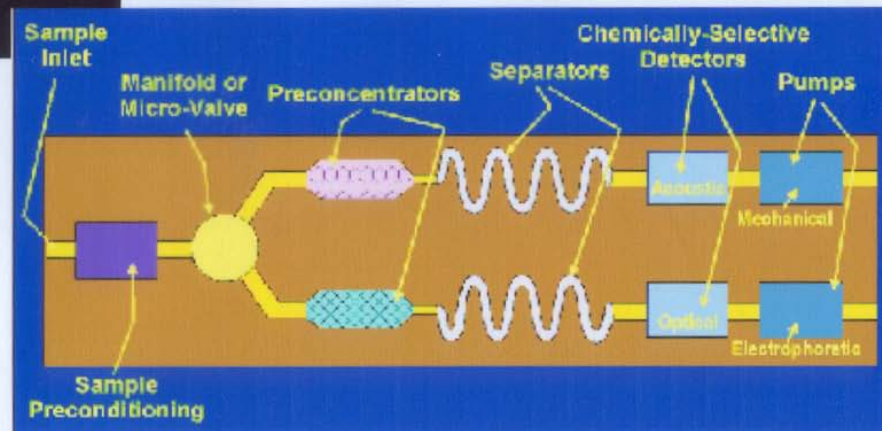
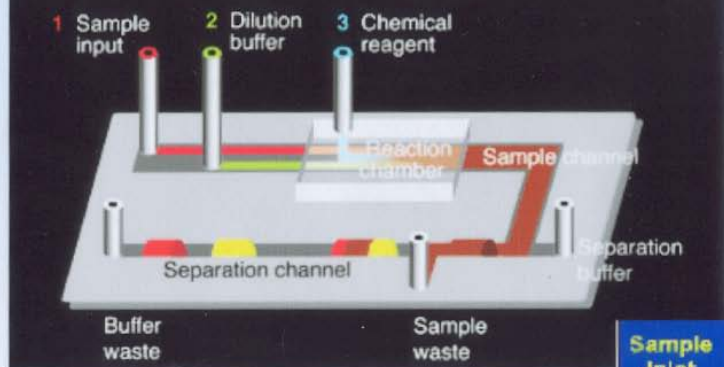
# Radi Catheter



# Biotechnology MEMS

## “Lab-on-a-Chip”

### Lab-on-a-chip concept for capillary electrophoresis



# iSTAT



- blood analysis  
glucose, urea, pH, blood gases,
- portable POC device
- analyser + disposable cartridges
- microfluidic channels
- micro-fabricated thin-film electrodes

# Today's lecture

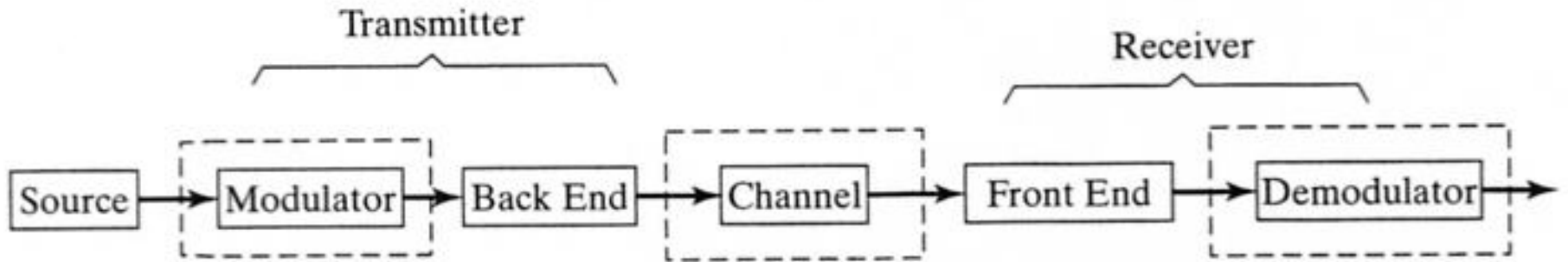
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# RF-systems in general

- Radio waves are used for transmitting/receiving
  - Electromagnetic waves (Maxwells equations)
- Basic component: radio **"transceiver"**
  - Transmitter + Receiver
  - Methods for transmission
    - TDMA (Time Division Multiplexing Access)
    - FDMA (Frequency D M A)
- Signal quality depends on
  - Position
  - Environment, reflection
    - "Multipath"
  - Noise (S/N-ratio, BER= bit error rate)



# General communication system



**Carrier** modulation to represent Bit flow

Radio channel introduces noise and interference

Receiver converts the signal before demodulation

→ **High performance components are required!**

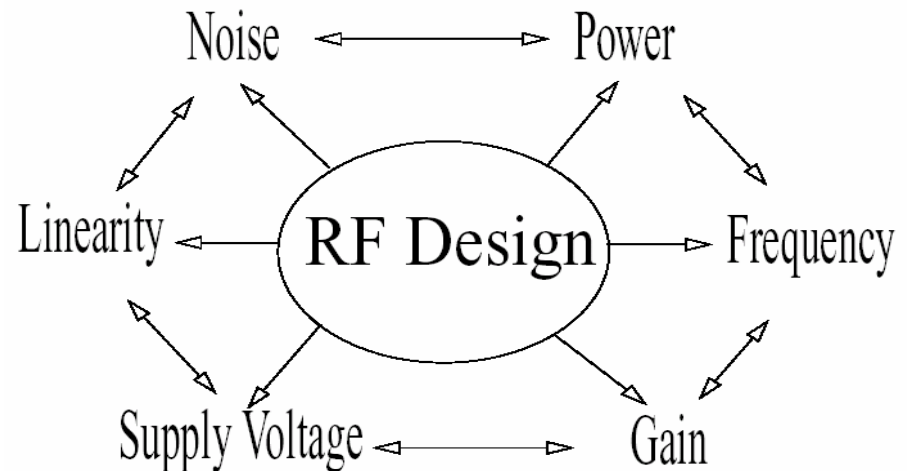
# RF-systems

- Efficiency/performance of RF-systems
  - Ability to transfer **power**
  - Simultaneously use of limited **bandwidth**
- The frequency resource is limited
  - "Sharp" RF-filtering needed to separate channels
  - The quality and performance of the RF components are critical to implement wireless communication systems
  - **→ RF MEMS can meet critical requirements!**

# RF design

- → **A major challenge for circuit designers!**
  - Many aspects have to be considered when doing RF design

**RF Design Hexagon**  
**Multi-objective approach**



Jerzy Dabrowski, CMOS RF Transceiver Design, 2004

- CMOS-technology is a strong candidate for implementing critical parts of a transceiver!
  - BUT is not able to fulfill all requirements of component performance

# Implications of RF vs. circuit technology

- Increased frequency:

- → shorter wavelength

- in vacuum:

$$\lambda \cdot f = c$$

- → signal variations in short physical distances

- voltage  $V$ , current  $I$  are not constant over the component dimension: → waves!

- → smaller component dimensions are desired

- small tolerance fabrication

- → micromachining

**Table 1-1 IEEE Frequency Spectrum**

<b>Frequency Band</b>	<b>Frequency</b>	<b>Wavelength</b>
ELF (Extreme Low Frequency)	30–300 Hz	10,000–1000 km
VF (Voice Frequency)	300–3000 Hz	1000–100 km
VLF (Very Low Frequency)	3–30 kHz	100–10 km
LF (Low Frequency)	30–300 kHz	10–1 km
MF (Medium Frequency)	300–3000 kHz	1–0.1 km
HF (High Frequency)	3–30 MHz	100–10 m
VHF (Very High Frequency)	30–300 MHz	10–1 m
UHF (Ultrahigh Frequency)	300–3000 MHz	100–10 cm
SHF (Superhigh Frequency)	3–30 GHz	10–1 cm
EHF (Extreme High Frequency)	30–300 GHz	1–0.1 cm
Decimillimeter	300–3000 GHz	1–0.1 mm
P Band	0.23–1 GHz	130–30 cm
L Band	1–2 GHz	30–15 cm
S Band	2–4 GHz	15–7.5 cm
C Band	4–8 GHz	7.5–3.75 cm
X Band	8–12.5 GHz	3.75–2.4 cm
Ku Band	12.5–18 GHz	2.4–1.67 cm
K Band	18–26.5 GHz	1.67–1.13 cm
Ka Band	26.5–40 GHz	1.13–0.75 cm
Millimeter wave	40–300 GHz	7.5–1 mm
Submillimeter wave	300–3000 GHz	1–0.1 mm

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# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters

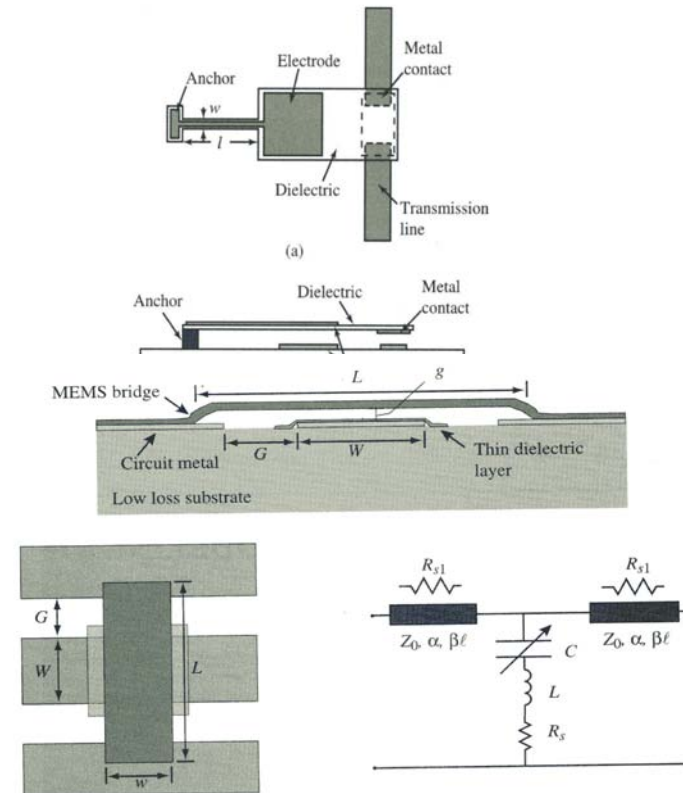
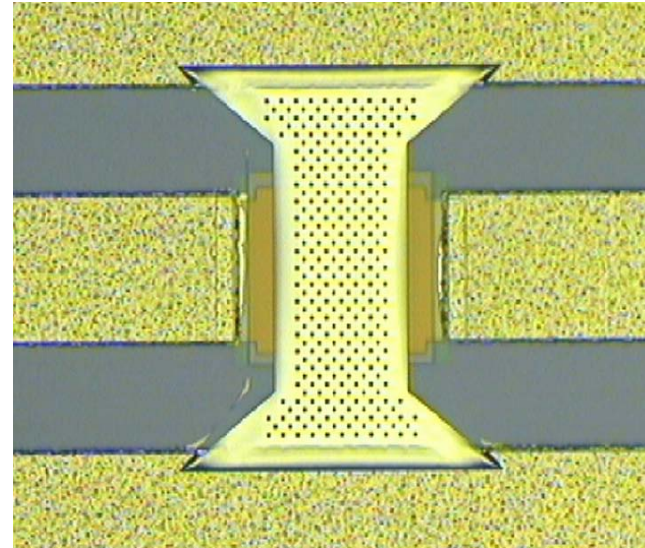


Figure 4.1. Illustration of a typical MEMS shunt switch shown in cross section and plan view. The equivalent circuit is also shown [6] (Copyright IEEE).

# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters



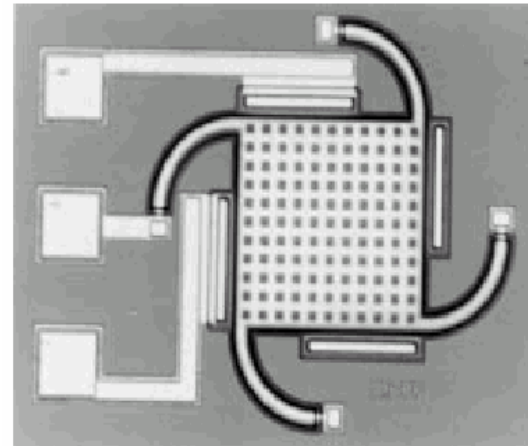
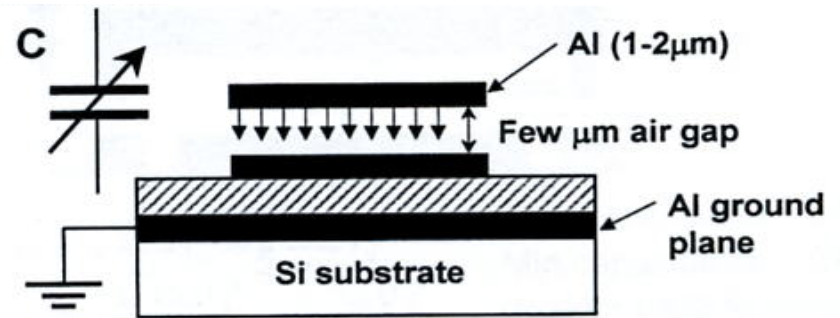


# Ex.: microwave switch

- An early application of RF MEMS
  - Much activity, many examples exist
  - Benefits
    - **Electrostatic** actuation is typically used: simple principle
      - El voltage → charge → attractive forces → mechanical movement
    - High signal linearity for signals passing the switch
    - Low DC "standby power"
    - Low loss ("insertion loss")
  - Challenges
    - Low switching speed (some  $\mu\text{s}$ )
    - Reliability of metal contacts (stiction, micro welding, wear-out)

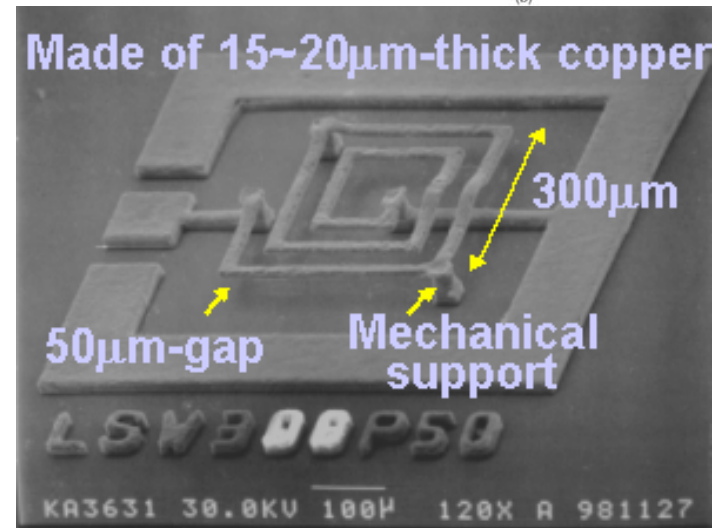
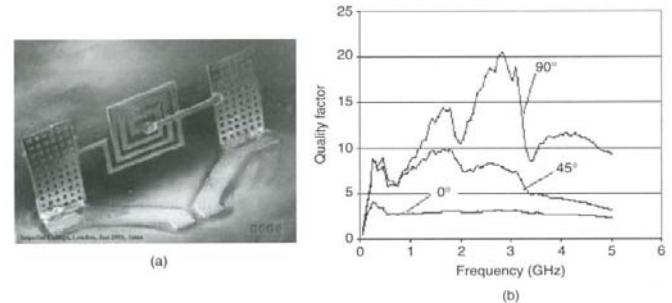
# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
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# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters



# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters
- MEMS also for:  
transmission lines and  
antennas

# Typical RF MEMS components

- Switches
- Variable capacitors
- Inductors
- Resonators
- Micromechanical filters
- Phase shifters
- **In INF5490: focus on real vibrating structures**
  - - Can be used to implement
      - **oscillators**
      - **filters**
      - **mixers**

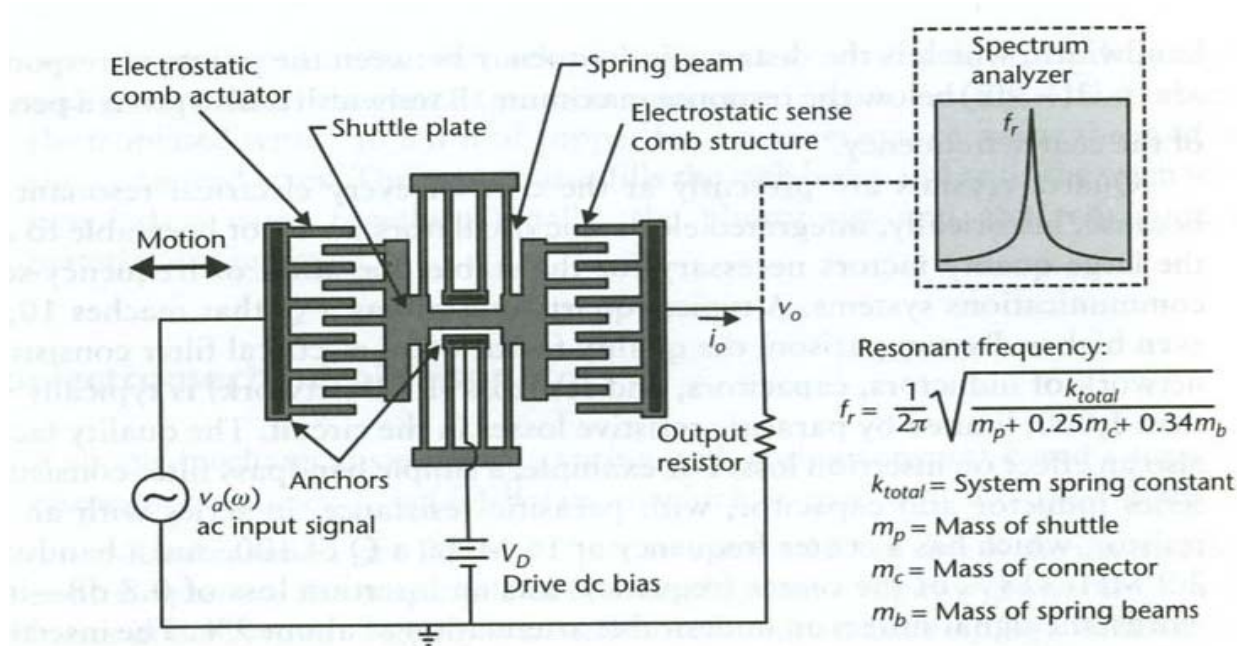
# Micro-Electro-Mechanical resonators

## High-Q with MEMS resonators: why?

- IC's cannot achieve Q's in the 1000's
  - transistors consume too much power to get Q
  - on-chip spiral inductors:  $Q \sim$  low 10's
  - off-chip inductors: Q's in the range of 100's
- **Vibrating mechanical resonances  $Q > 1000!$**
- Competitor: quartz crystal resonators (in wristwatches) have extremely high Q's  $\sim 10^4 - 10^6$

Source: Clark Nguyen, ESSDERC 2007.

# Comb-resonator



lateral (horizontal) movement

# Clamped-clamped beam resonator

First-order  
resonant frequency:

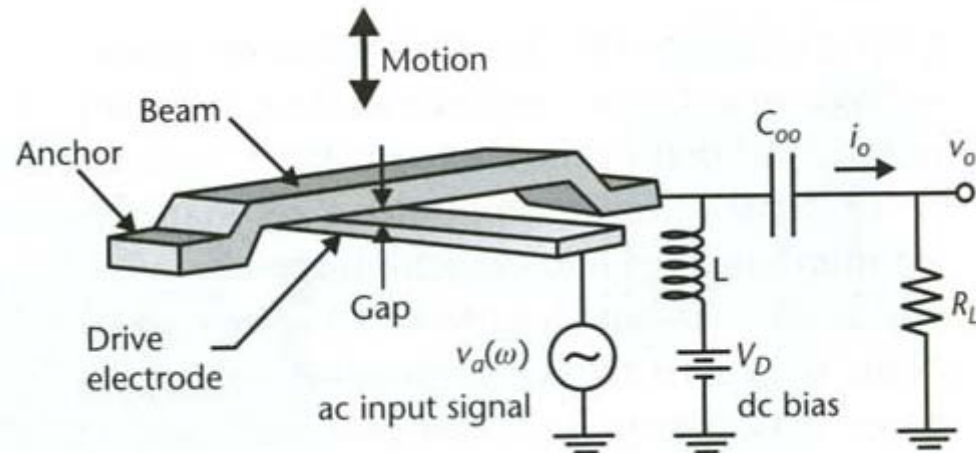
$$f_r = 1.03 \sqrt{\frac{E}{\rho}} \frac{t}{L^2}$$

$E$  = Young's modulus

$\rho$  = Density

$t$  = Beam thickness

$L$  = Beam length



Vertical movement



# Challenges in RF transceiver implementation of today

- Performance
- Miniaturization
- Reconfigurability

# Challenges in RF transceiver implementation of today

- **Performance**

- *Integrated microelectronic components* have **limited** RF performance
  - Technology: GaAs, bipolar Si, CMOS, PIN-diodes
  - ex. PIN-diode switch (inefficient), RF filter (difficult!)
- **Off-chip components in RF systems** are needed!
  - matching networks, filters
  - crystal oscillators, inductors, variable capacitors

- **Miniaturization**

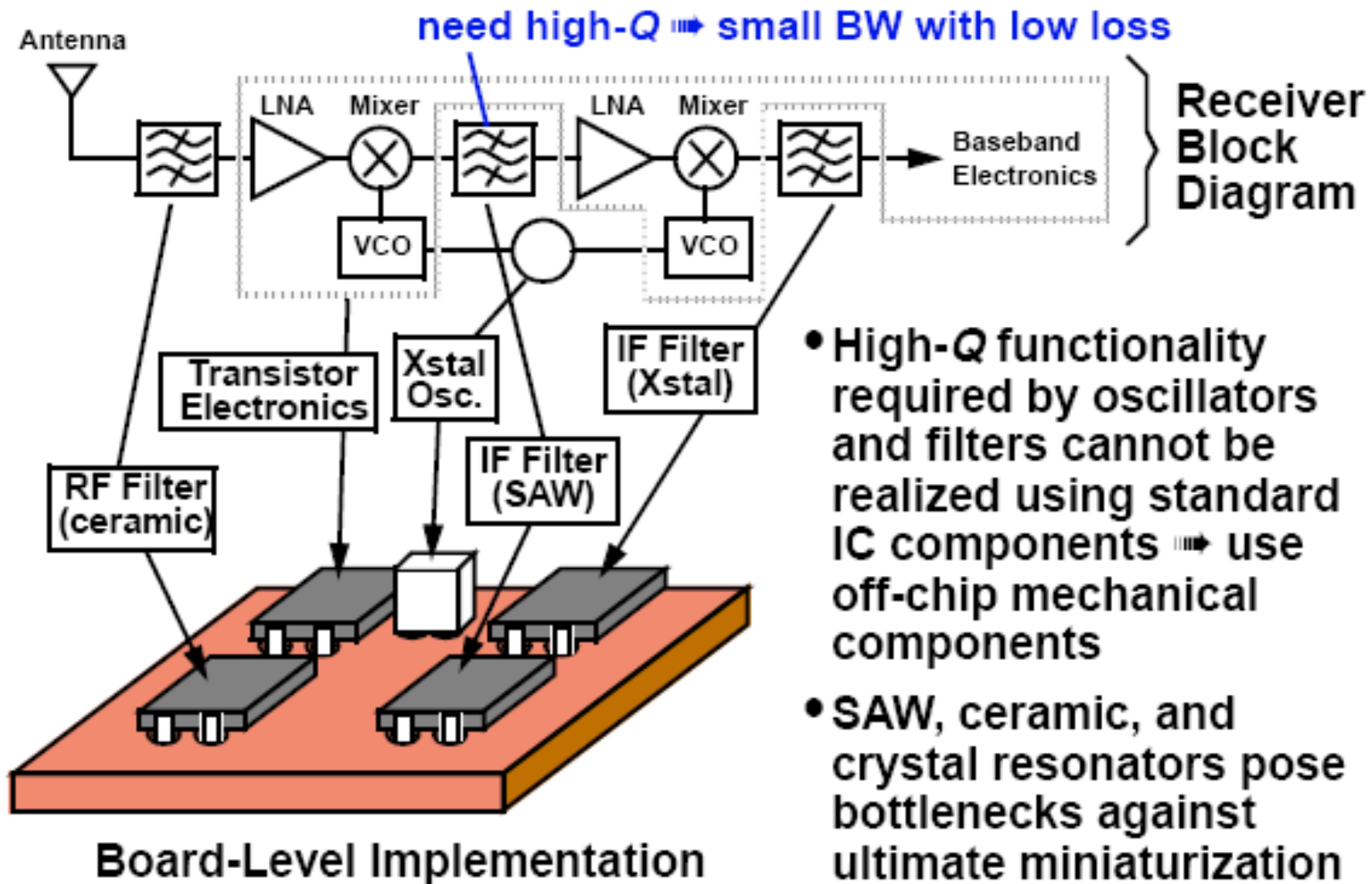
- **Discrete, off-chip** components hinder miniaturization
- PCB → uses up a large space

# Challenges in RF transceiver implementation of today

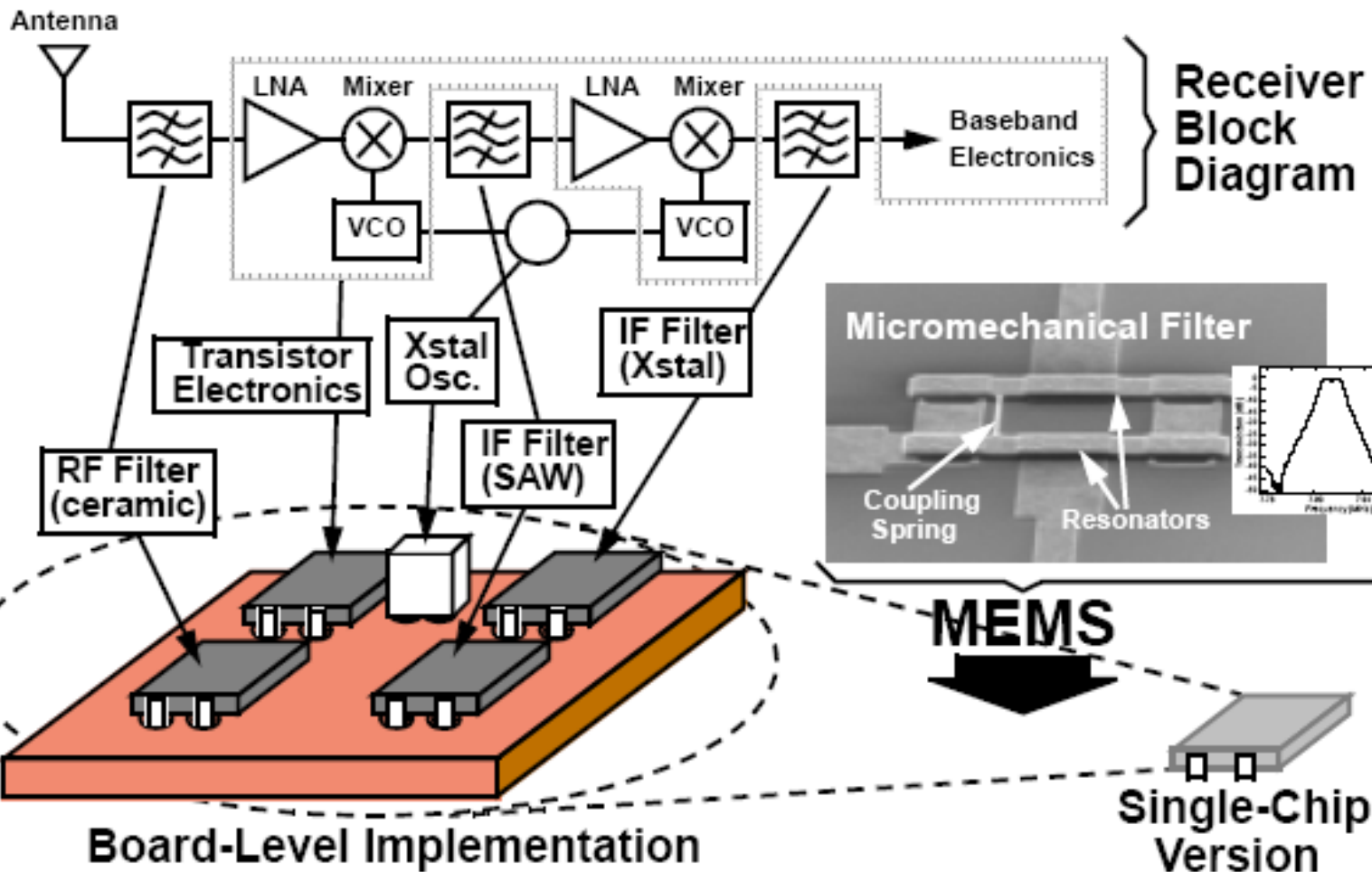
- **Reconfigurability**

- Increasing demands exist that one single RF transceiver shall cover various standards and channels
  - Programmability is desired
- **Reconfigurable "front-end"** for "sw defined radio"
  - RF MEMS may solve the problem!

# Miniaturization of Transceivers

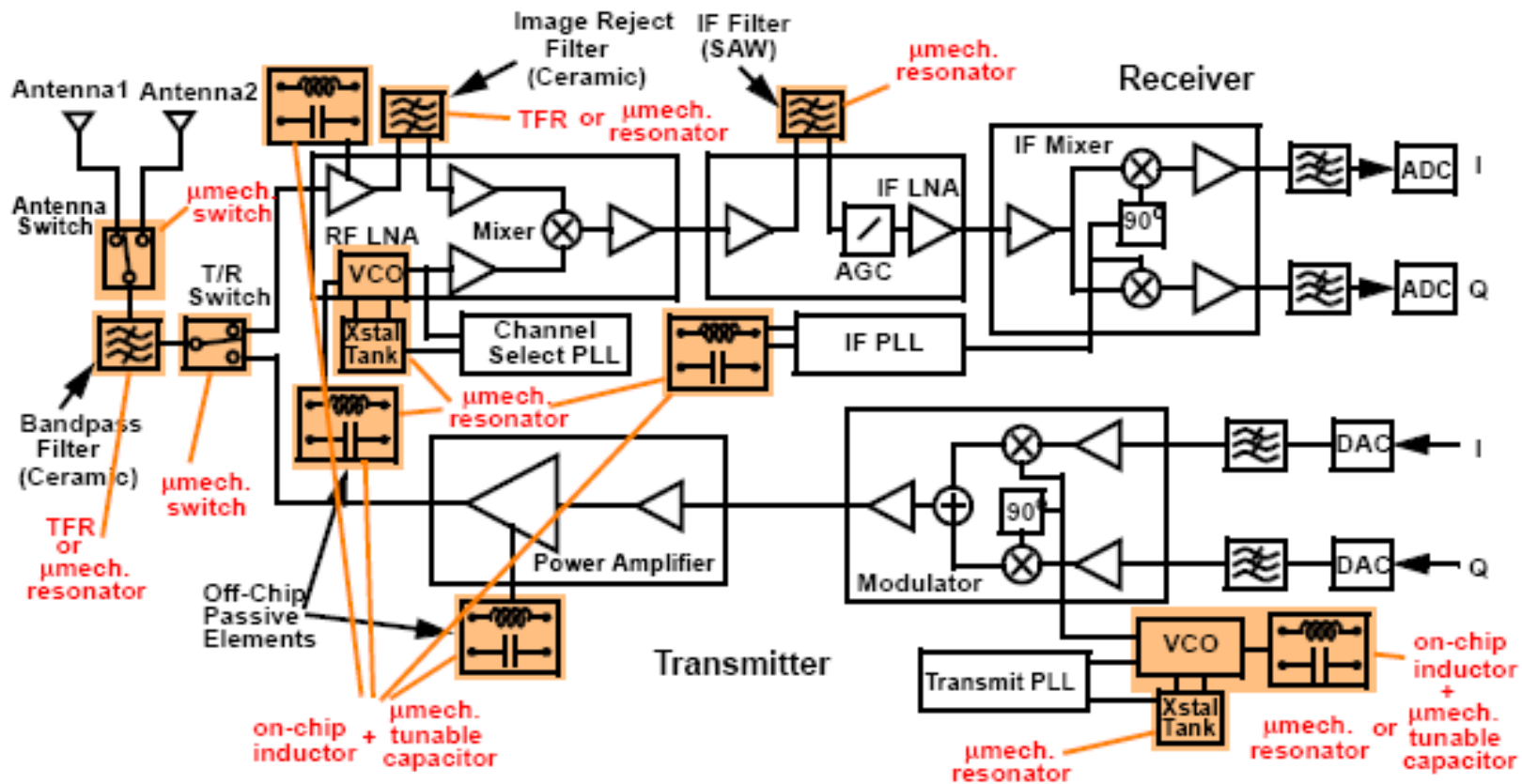


# Target Application: Integrated Transceivers



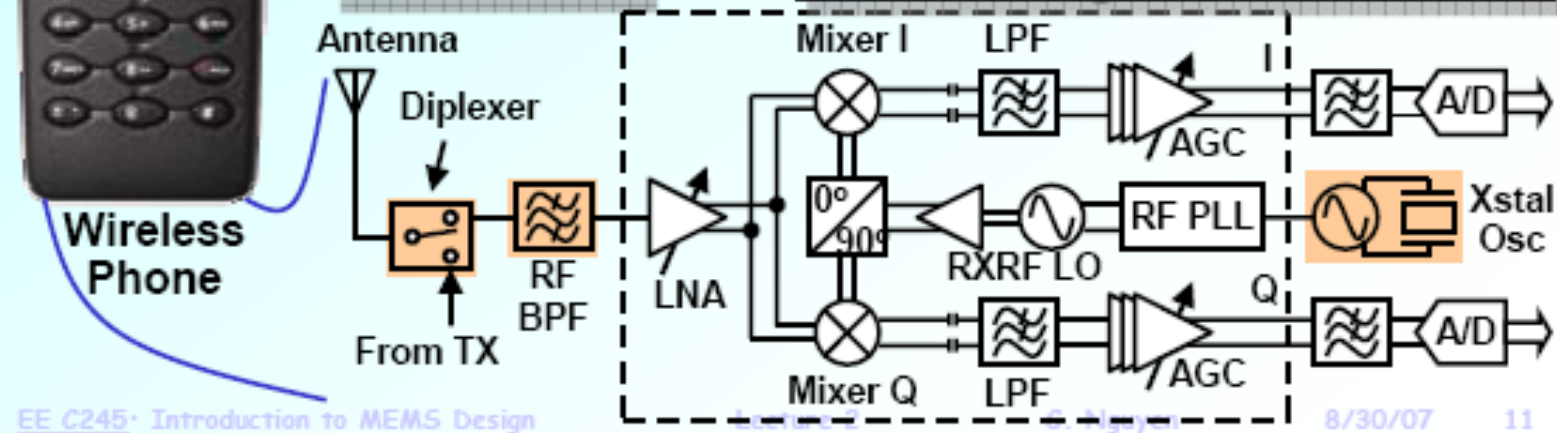
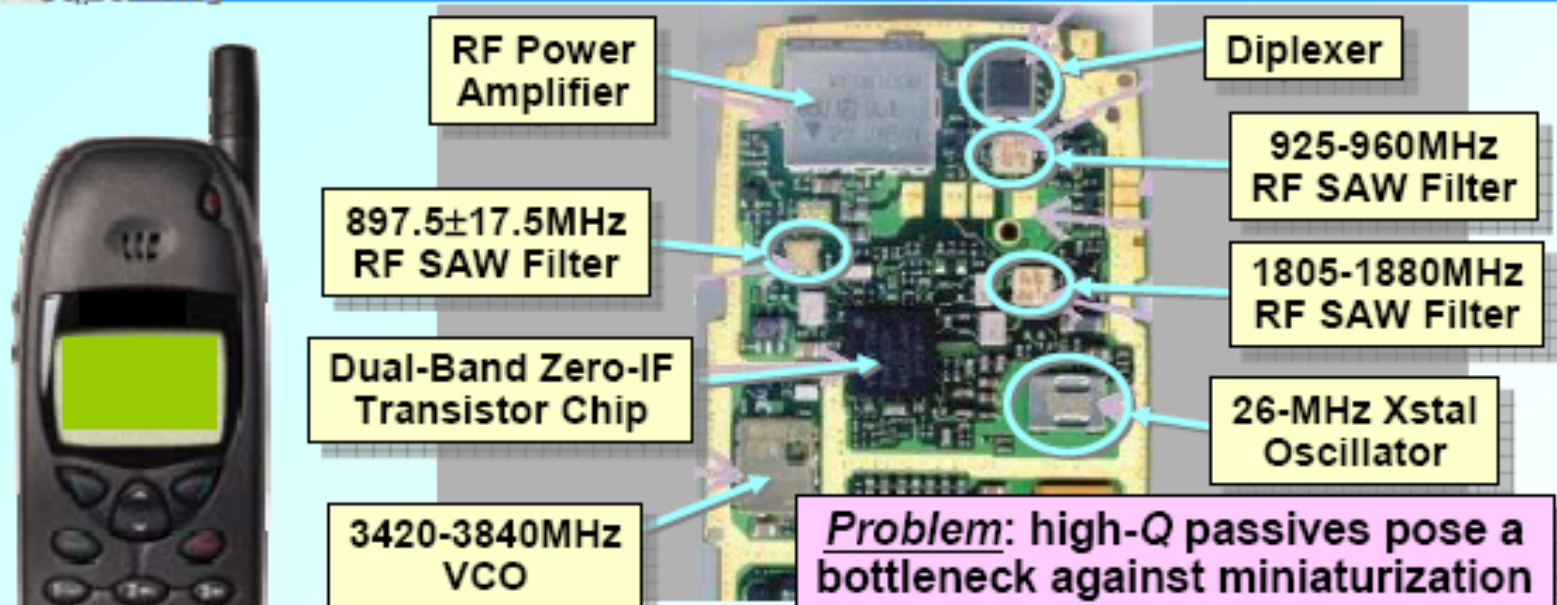
- Off-chip high-Q mechanical components present bottlenecks to miniaturization → replace them with  $\mu$ mechanical versions

# MEMS-Replaceable Transceiver Components



- A large number of off-chip high-Q components replaceable with  $\mu$ machined versions; e.g., using  $\mu$ machined resonators, switches, capacitors, and inductors

# Miniaturization of RF Front Ends



# Benefits of RF MEMS

- Performance
- Power consumption
- Cost
- Miniaturization



# Benefits of RF MEMS

- Higher **performance**
  - Increased selectivity: sharp filters
  - Increased Q-factor: **stable** "tank" frequency
  - Reduced loss
  - Higher isolation, reduced cross talk
  - Reduced signal distortion
  - Larger bandwidth
- Lower **power consumption**
- **Reduced cost**
  - Batch processing
- Circuit and system **miniaturization**
  - System integration ( $\mu$ electronics + MEMS)
    - Packaging: Multi-chip module
    - Monolithic integration: SoC (System-on-Chip)