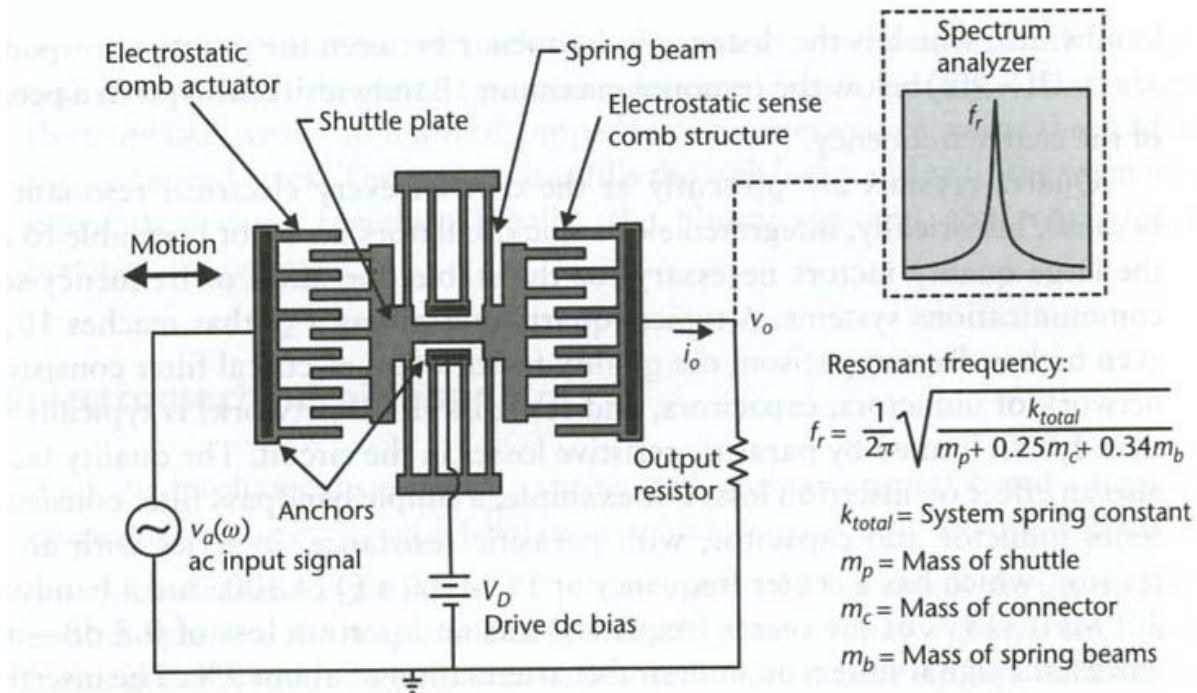


# Vibrating MEMS resonators

- Vibrating resonators can be **scaled** down to micrometer lengths
- Reduced dimensions give mass reduction and increased spring constant → **increased resonance frequency**
- Vibrating MEMS resonators can give **high Q-factor**
  - Reasons for **Q degradation** for MEMS resonators
    - Energy loss to substrate via anchors (structure dependent)
    - Air/gas damping (environment dependent)
    - Intrinsic friction (material dependent)
    - Small dimensions (low stored energy compared to energy loss)

# Comb-resonator

- Fixed comb + movable, suspended comb
- Using folded springs, compact layout
- Total capacitance between combs can be varied
- Applied voltage (+ or -) generates electrostatic force between left anchor comb and "shuttle"-comb. Plate pulled left laterally controlled by drive voltage

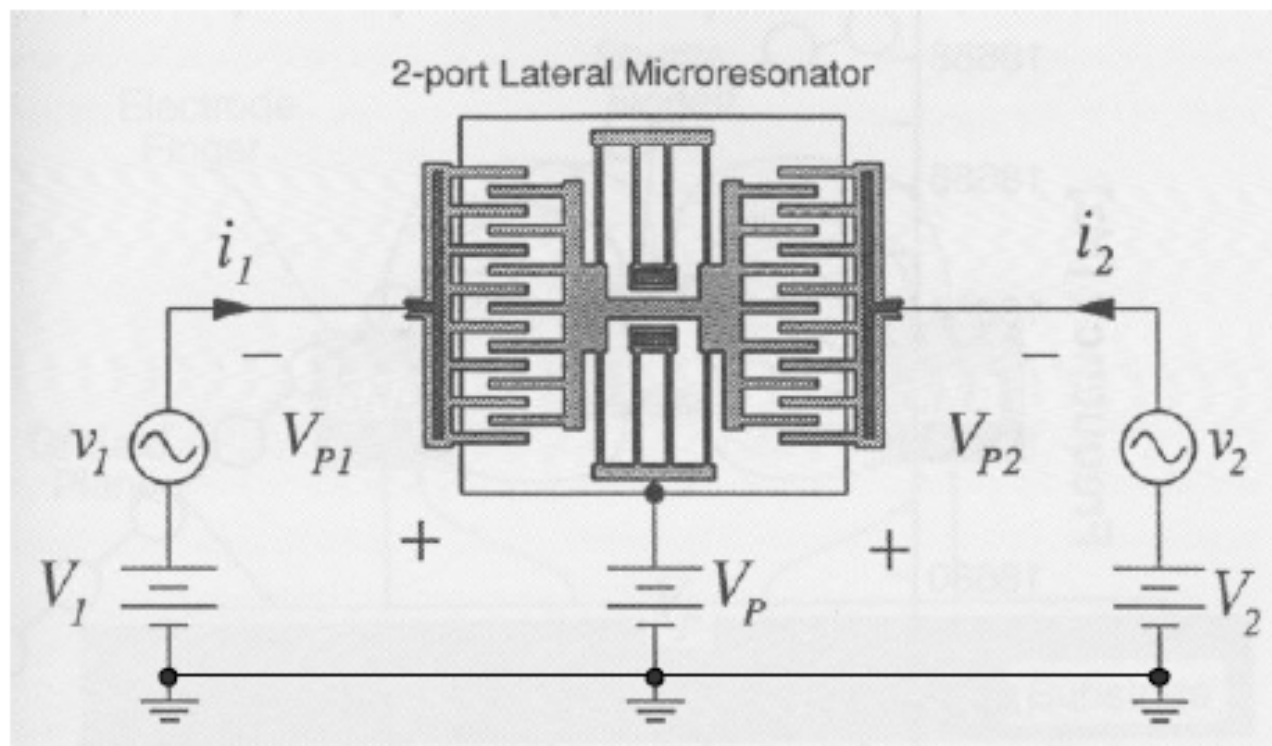


**Figure 7.9** Illustration of a micromachined folded-beam comb-drive resonator. The left comb drive actuates the device at a variable frequency  $\omega$ . The right capacitive-sense-comb structure measures the corresponding displacement by turning the varying capacitance into a current, which generates a voltage across the output resistor. There is a peak in displacement, current, and output voltage at the resonant frequency.

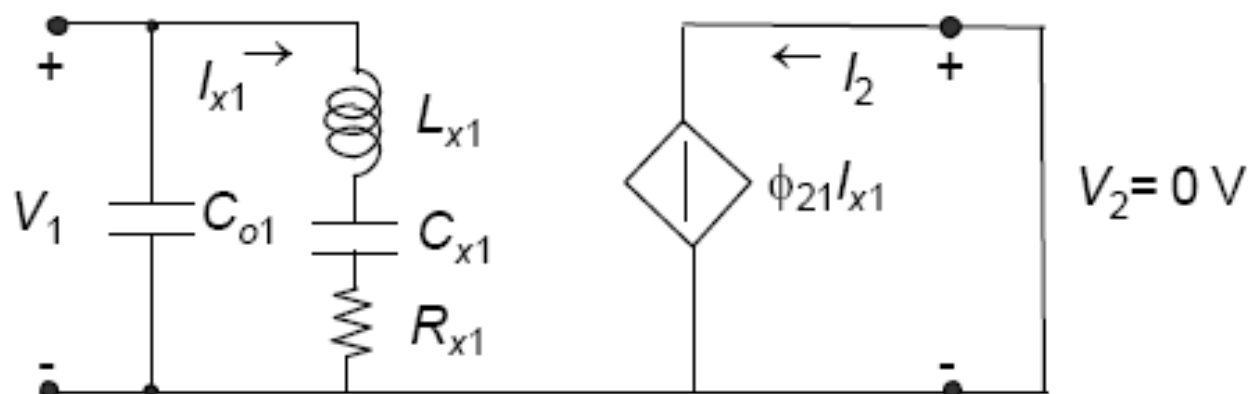
# Comb-resonator, summary

- Summary of modeling:
- Force:  $F_e = \frac{1}{2} \frac{dC}{dx} V^2$  (force is always attractive)
  - Input signal  $V_a \cdot \cos(\omega t)$
  - $F_e \sim V_a^2 \cdot \frac{1}{2} [1 + \cos(2\omega t)]$
  - Driving force is 2x input frequency + DC: NOT DESIRABLE
- Add DC bias,  $V_d$ 
  - $F_e \sim V_d^2 + 2 V_d \cdot V_a \cdot \cos \omega t$  + negligible term ( $2\omega t$ )
  - Linear AC force-component  $\sim V_d \cdot V_a$ , has same frequency as  $V_a$ :  $\omega$ . Is emphasized!
- $C$  increases when finger-overlap increases
  - $\epsilon \cdot A/d$  ( $A = \text{comb thickness} \cdot \text{overlap-length}$ )
- $dC/dx = \text{constant}$  for a given design (linear change,  $C$  is proportional to length variation)

## The Lateral Resonator as a “Two-Port”

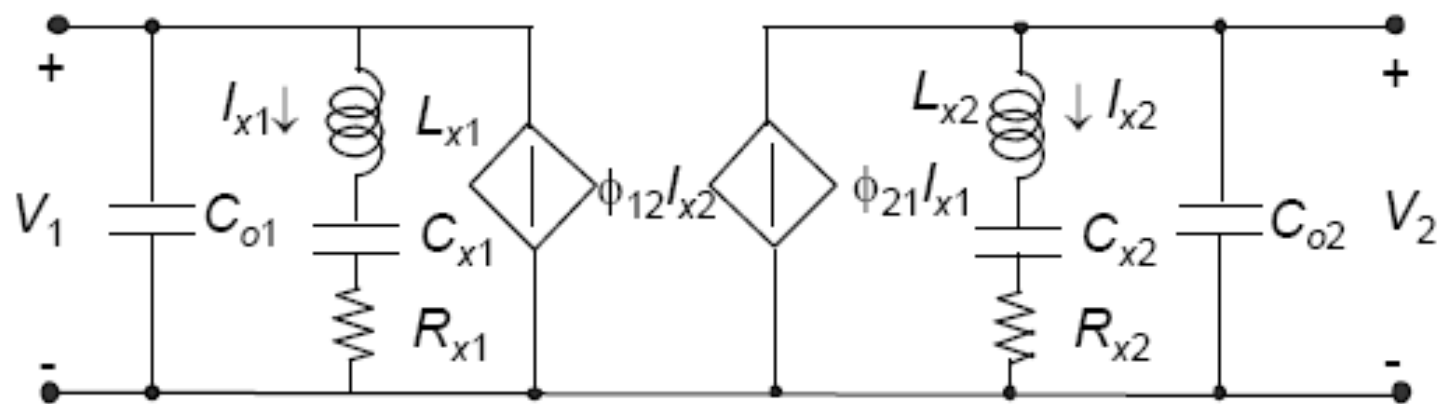


**J. Two-Port Equivalent Circuit ( $v_2 = 0$ )**



K.

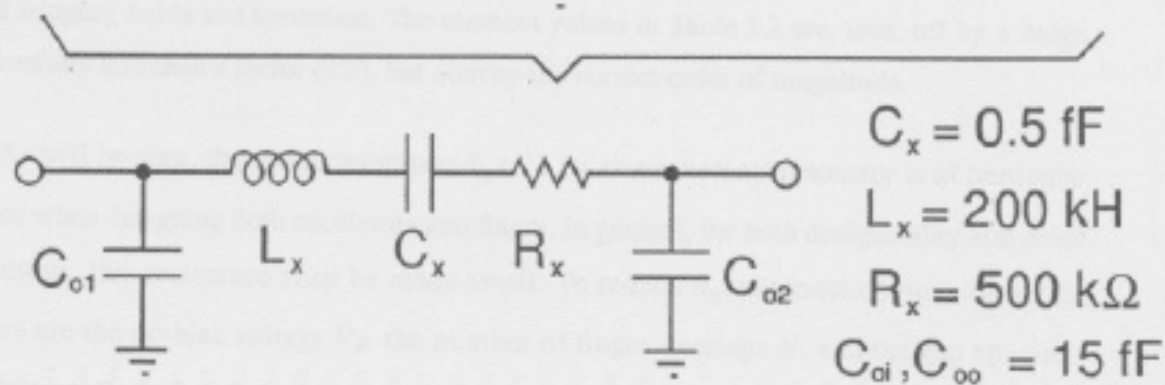
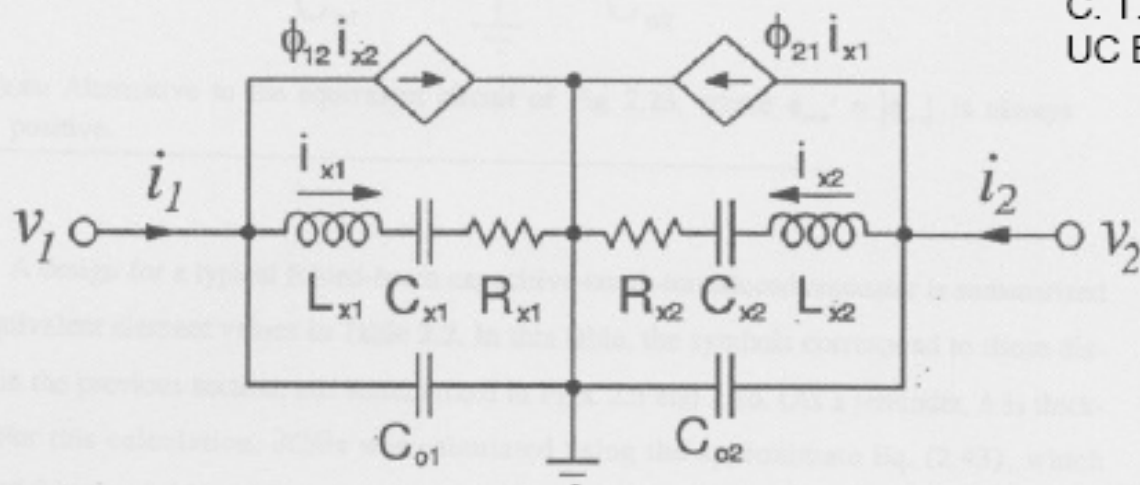
## Complete Two-Port Model



Symmetry implies that modeling can be done from port 2, with port 1 shorted  $\rightarrow$  superimpose the two models

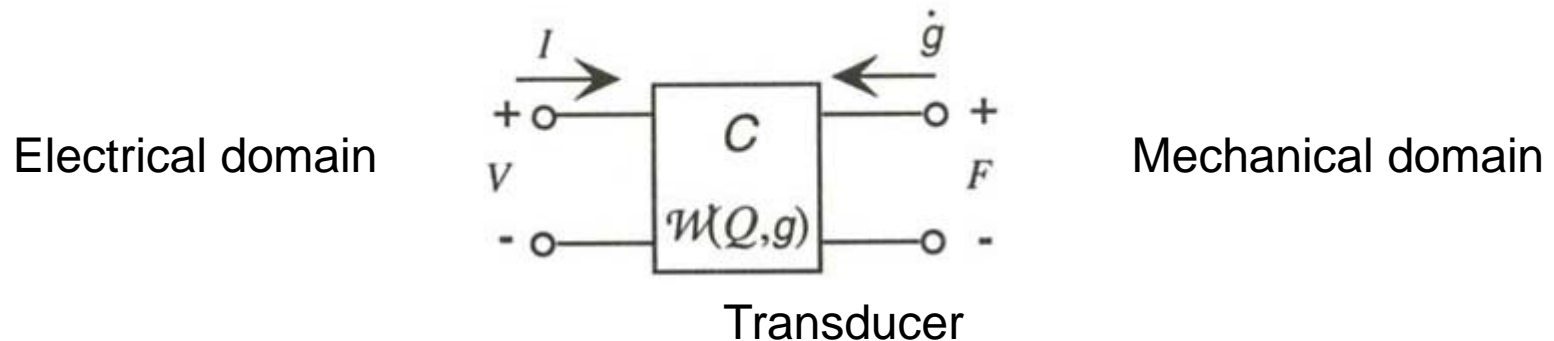
## Equivalent Circuit for Symmetrical Resonator ( $\phi_{21} = \phi_{12} = 1$ )

C. T.-C. Nguyen, Ph.D.,  
UC Berkeley, 1994



# Conversion between energy domains

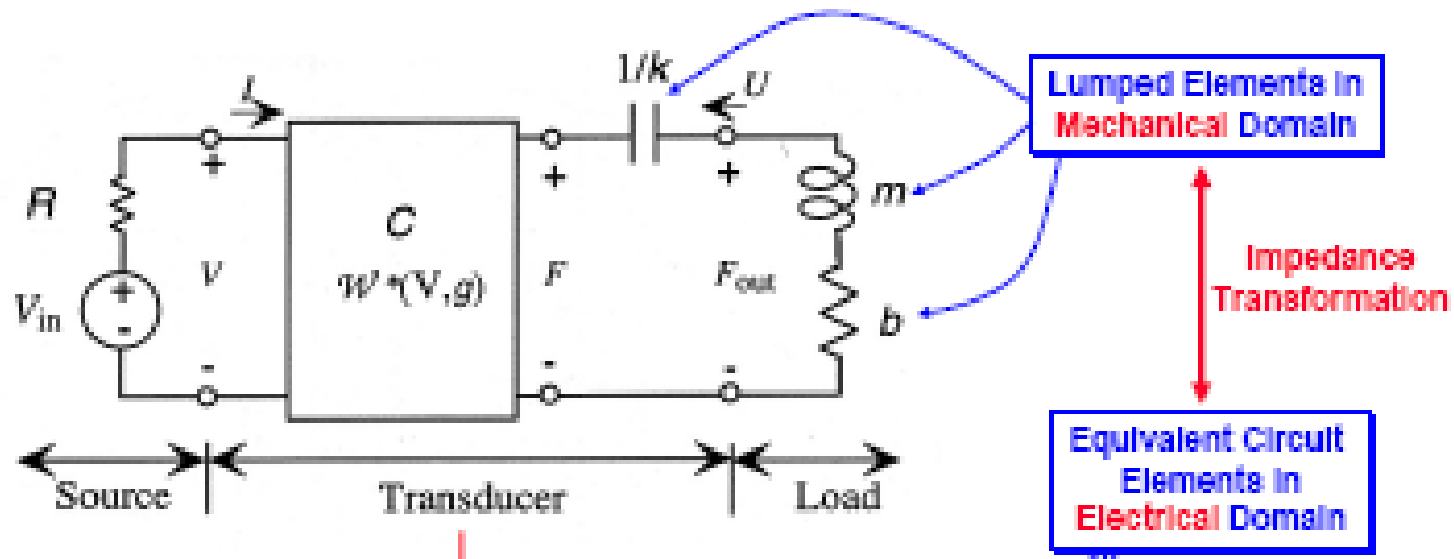
- Both vertical and lateral resonator structures may be described by a **generalized non-linear capacitance,  $C$ , interconnecting** energy-domains



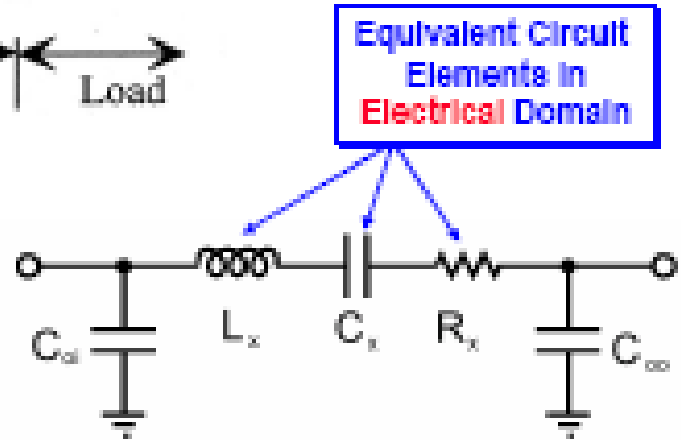
Interconnecting where there is **no energy loss**



## Lumped Element Model (Senturia's Book)

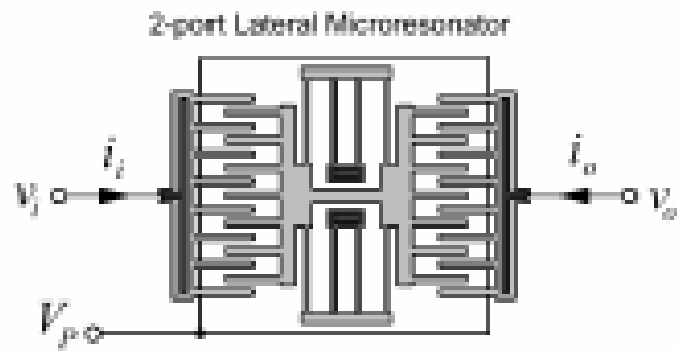


- 1. Linearize 2-port capacitor
  - 2. Impedance transformation
- (See HW problem for details)

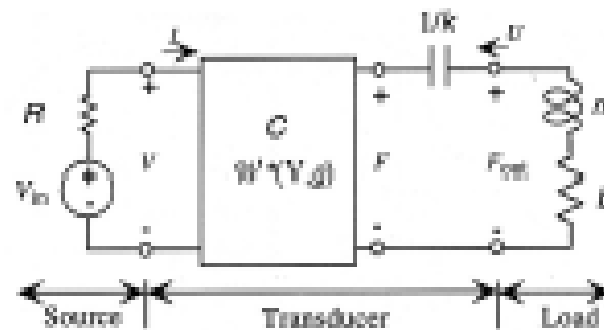


# Linearized Transducers

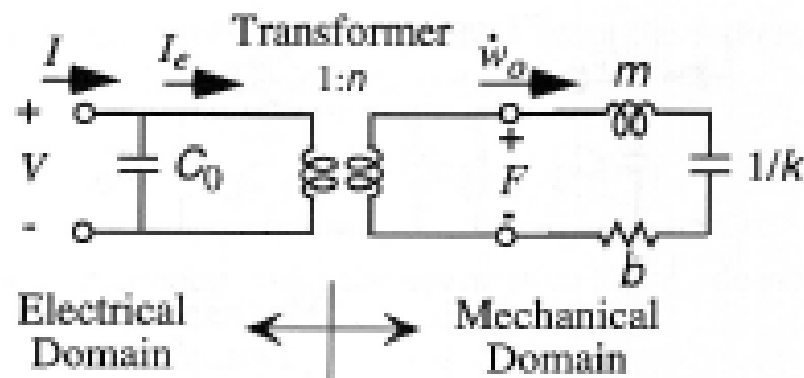
Physical Circuit



Equivalent Circuit (Nonlinear)



Linearized Equivalent Circuit



## Similarly for relationship between FLOWS:

### Linearization – Small Signal Analysis

Relations between “Efforts”

$$F = \frac{\partial W^*}{\partial x} = \frac{1}{2} V^2 \frac{\partial C}{\partial x}$$

$$F = F_{dc} + f \cdot \sin(\omega t)$$

$$V = V_{dc} + v \cdot \sin(\omega t)$$

$$F_{dc} + f \cdot \sin(\omega t) = \frac{1}{2} (V_{dc} + v \cdot \sin(\omega t))^2 \frac{\partial C}{\partial x}$$

$$= \frac{1}{2} \left( (V_{dc})^2 + 2 \cdot V_{dc} \cdot v \cdot \sin(\omega t) \right) \frac{\partial C}{\partial x}$$

$$f = V_{dc} \cdot \frac{\partial C}{\partial x} \cdot v \quad \leftarrow \text{AC terms}$$

Relations between “Flows”

$$Q = V \cdot C$$

$$I = V \cdot \frac{\partial C}{\partial t} = V \cdot \frac{\partial C}{\partial X} \cdot \frac{\partial X}{\partial t} = V \cdot \frac{\partial C}{\partial X} \cdot \dot{X}$$

$$I = I_{dc} + i \cdot \sin(\omega t)$$

$$X = X_{dc} - x \cdot \sin(\omega t)$$

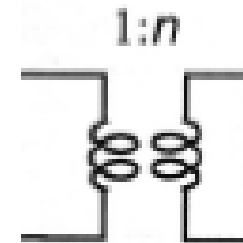
Negative sign due to definition of flow direction

$$i = -V_{dc} \frac{\partial C}{\partial x} \dot{x}$$

Linearized capacitive transducer is a Transformer

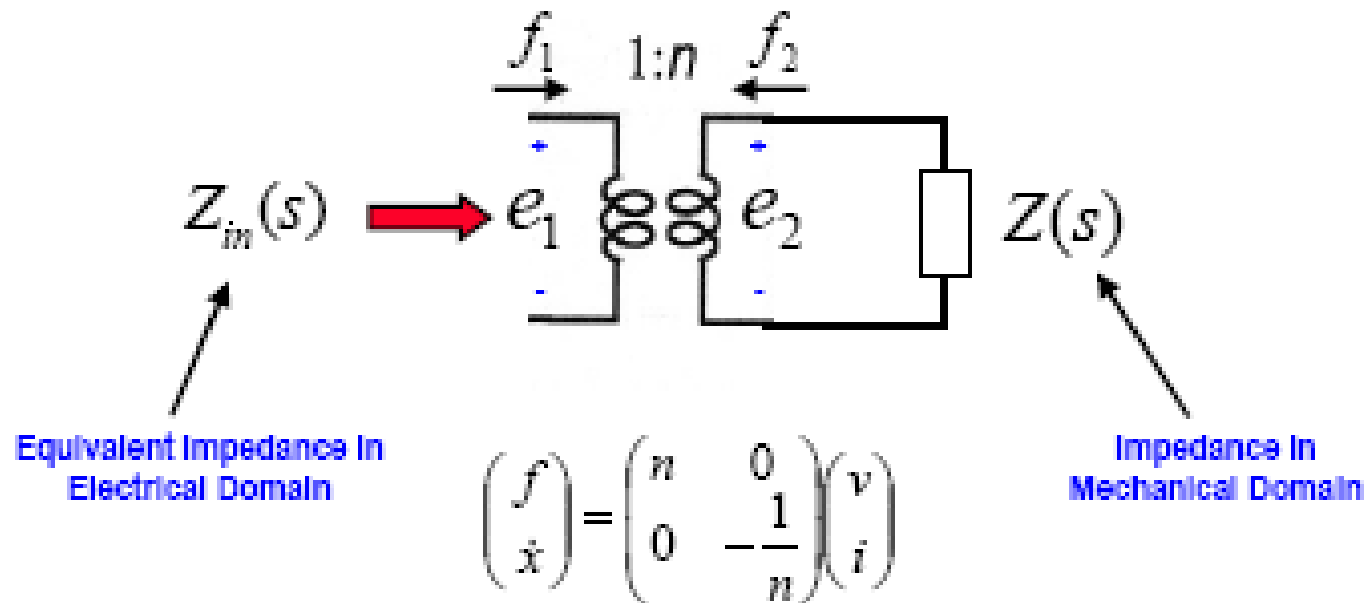
$$\begin{pmatrix} f \\ \dot{x} \end{pmatrix} = \begin{pmatrix} n & 0 \\ 0 & -\frac{1}{n} \end{pmatrix} \begin{pmatrix} v \\ i \end{pmatrix}$$

Turn Ratio:  $n = V_{dc} \frac{\partial C}{\partial x}$



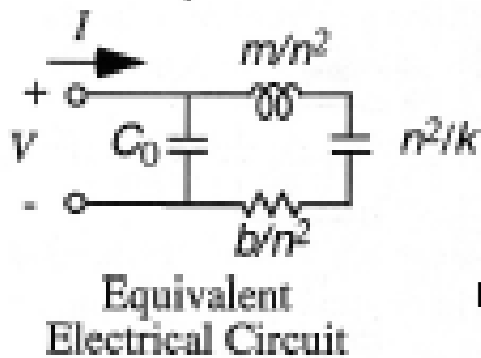
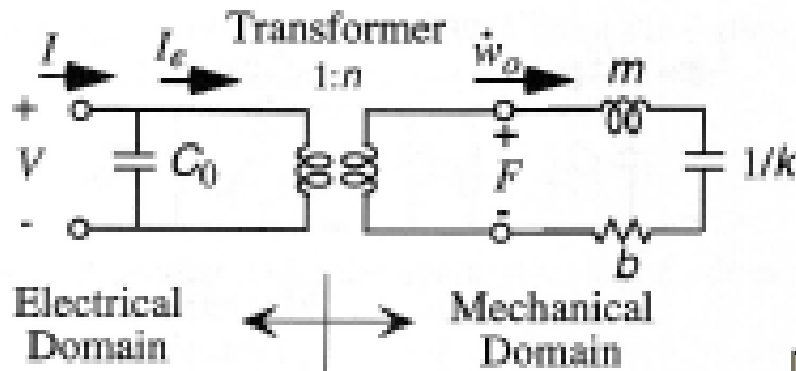
flow (electrical domain) = - const. \* flow (mechanical domain)

## Impedance Transformation



$$Z_{in}(s) = \frac{1}{n^2} Z(s)$$

# Small Signal Equivalent Circuit of Microresonators



Unit of  $n^2/k$  is Farad

$$n = V_{dc} \frac{\partial C}{\partial x}$$

$n$  = Electromagnetic coupling coefficient



# Beam resonator

- How to obtain a higher resonance frequency than that which is possible with the comb-structure?
  - Mass should be reduced more → **beam resonator**
- Beam resonator benefits
  - Smaller dimensions
  - Higher resonance frequency
  - Simple
  - Many frequency references on a single chip
  - Frequency variation versus temperature is more linear over a broader temperature range
  - Integration with electronics possible → lower cost

# Beam-resonator, contd.

- Electrode under beam, electrostatic actuation
- Plate attracted for both positive and negative wave. Actuated with double frequency
  - → Need a polarization voltage,  $V_d$ , between beam and actuation electrode
  - As for "lateral shuttle": When  $V_d$  is combined with ac-signal, then beam oscillates with same frequency as ac signal
  - At resonance the amplitude is maximum

First-order resonant frequency:

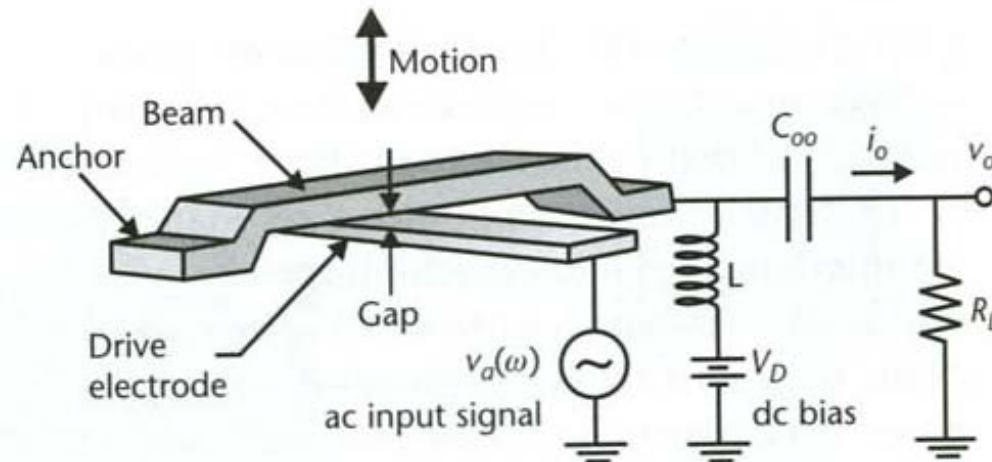
$$f_r = 1.03 \sqrt{\frac{E}{\rho}} \frac{t}{L^2}$$

$E$  = Young's modulus

$\rho$  = Density

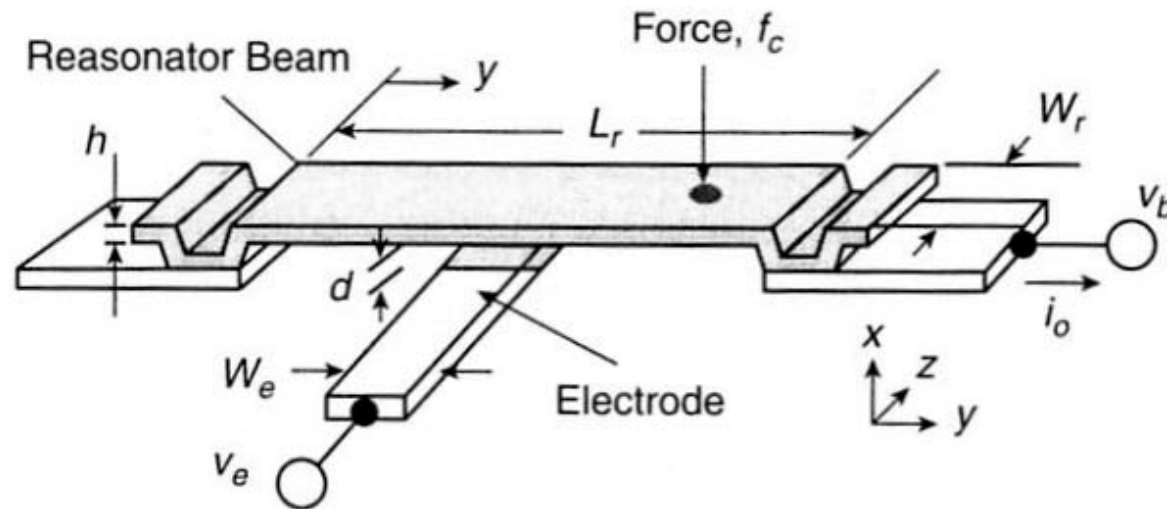
$t$  = Beam thickness

$L$  = Beam length



**Figure 7.10** Illustration of a beam resonator and a typical circuit to measure the signal. The beam is clamped on both ends by anchors to the substrate. The capacitance between the resonant beam and the drive electrode varies with the deflection.

# Clamped-clamped beam



**Figure 12.4.** Perspective-view schematic of a clamped-clamped beam  $\mu$ mechanical resonator in a general bias and excitation configuration.



Then

$$F_d = \left( \frac{1}{2} V_P^2 - V_P V_i \cos \omega_i t + \frac{1}{2} \frac{V_i^2}{2} + \frac{1}{2} \frac{V_i^2}{2} \cos 2\omega_i t \right) \frac{\partial C}{\partial x}$$

**E.**

$$F_d = \underbrace{\frac{\partial C}{\partial x} \left( \frac{V_P^2}{2} + \frac{V_i^2}{4} \right)}_{\text{Off-resonance DC force}} - \underbrace{V_P \frac{\partial C}{\partial x} V_i \cos \omega_i t + \frac{\partial C}{\partial x} \frac{V_i^2}{4} \cos 2\omega_i t}_{\text{Force driven by the input frequency, amplified by } V_P}$$

Off-resonance DC force  
Static bending of beam

Force driven by the input frequency,  
amplified by  $V_P$

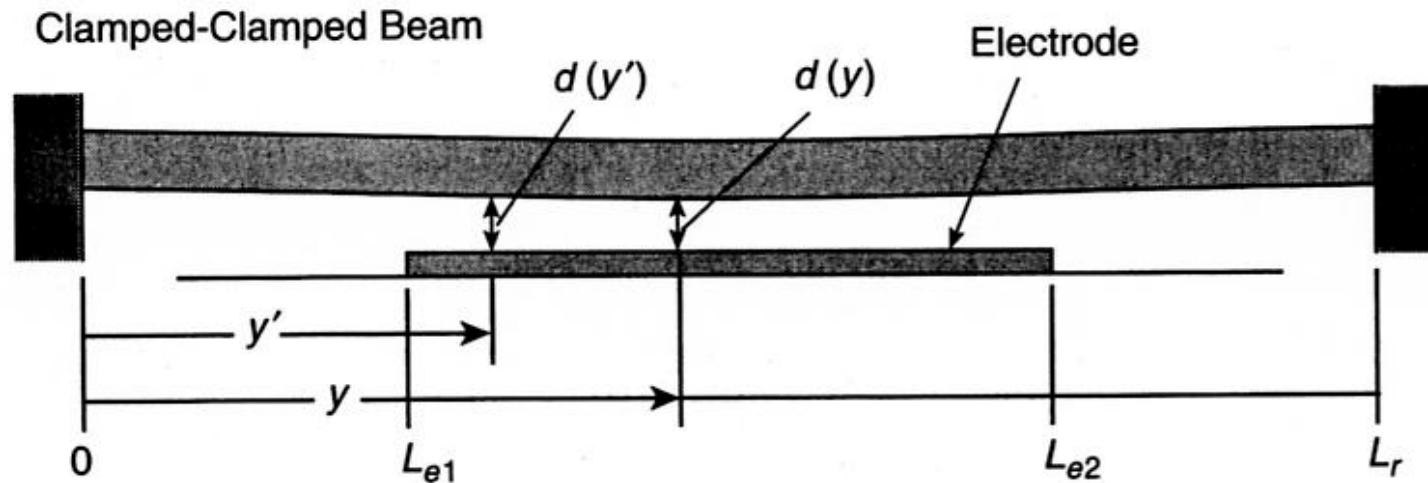
$$\frac{\partial C}{\partial x} \frac{V_i^2}{4} \cos 2\omega_i t$$

This term can drive the beam into  
vibrations at

$$2\omega_i = \omega_0, \text{ and } \omega_i = \frac{\omega_0}{2}$$

The term can usually be neglected

# Topology



**Figure 12.9.** Resonator cross-sectional schematic for frequency-pulling and impedance analysis.

# Simplification (De Los Santos):

Assume that the beam is flat over the electrode

Potential energy  $U_1 = \frac{1}{2} C V_P^2$

Work being done to move the beam a distance  $g$   
AGAINST the force due to the electrical  
beam stiffness  $k_e$   
(The spring stiffness is now considered to be  
CONSTANT in each point  $y'$ )

$$U_2 = \int_0^g k_e \cdot x \cdot dx = \frac{1}{2} k_e \cdot g^2$$

The energies can be set equal

$$\frac{1}{2} k_e \cdot g^2 = \frac{1}{2} C \cdot V_P^2$$

Simplified expression for the electrical  
beam stiffness

$$k_e = \frac{C \cdot V_P^2}{g^2}$$

## Simplified expression for frequency

$$\begin{aligned} f &= \frac{1}{2\pi} \sqrt{\frac{k_m - k_e}{m_r}} = \frac{1}{2\pi} \sqrt{\frac{k_m}{m_r} \left(1 - \frac{k_e}{k_m}\right)} \\ &= \frac{1}{2\pi} \sqrt{\frac{k_m}{m_r}} \cdot \sqrt{1 - \frac{k_e}{k_m}} = f_{nom} \cdot \sqrt{1 - \frac{C \cdot V_P^2}{k_m \cdot g^2}} \end{aligned}$$

Substitute for C:  $C = \varepsilon_0 \cdot \frac{A}{g}$

$$f = f_{nom} \cdot \sqrt{1 - \frac{\varepsilon_0 \cdot A \cdot V_P^2}{k_m \cdot g^3}}$$

# Beam-softening

- Resonance frequency decreases by

$$\sqrt{1 - C_0 \cdot V_P^2 / (k_m \cdot g^2)}$$

– → resonance frequency may be tuned electrically!

$$f_o = \frac{1}{2\pi} \sqrt{\frac{k_r}{m_r}} = 1.03\kappa \sqrt{\frac{E h}{\rho L_r^2}} [1 - g(V_P)]^{1/2}, \quad (12.2)$$

# ”free-free-beam”

- f-f-beam is suspended with 4 support-beams in width-direction
  - **Torsion-springs**
  - Suspension points at **nodes** for beam ”flexural mode”
- Support-dimension is a **quarter-wavelength** of f-f-beam resonance frequency
  - The impedance seen at the nodes is infinite preventing energy propagating along the beam to the anchor
  - Beam is free to vibrate as it was not anchored
  - Beneficial for reducing energy loss via anchors to substrate

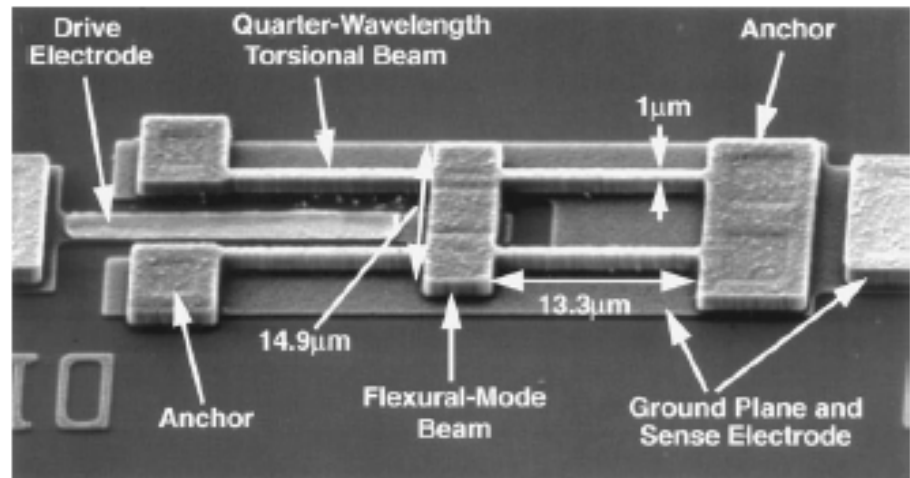
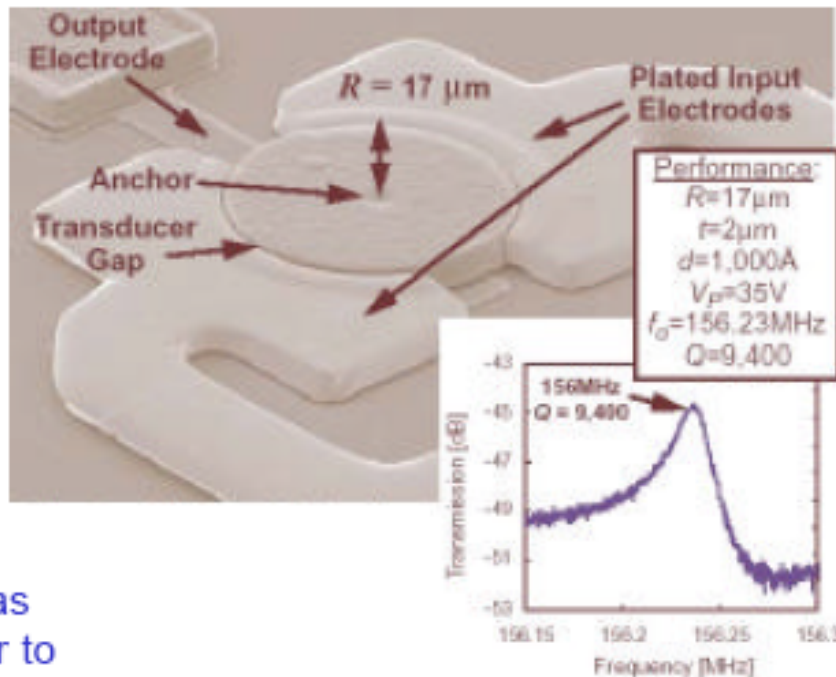


Fig. 29. SEM of free-free beam virtually levitated micromechanical resonator with relevant dimensions for  $f_o = 71$  MHz.

Nguyen, 1999

# Increasing the Resonant Frequency

option 2. spring rate  $\rightarrow \infty$



Clark Nguyen, Michigan

*Motivation:* keep mass as large as possible in order to improve precision of fab, power handling

IEEE IEDM 2000.

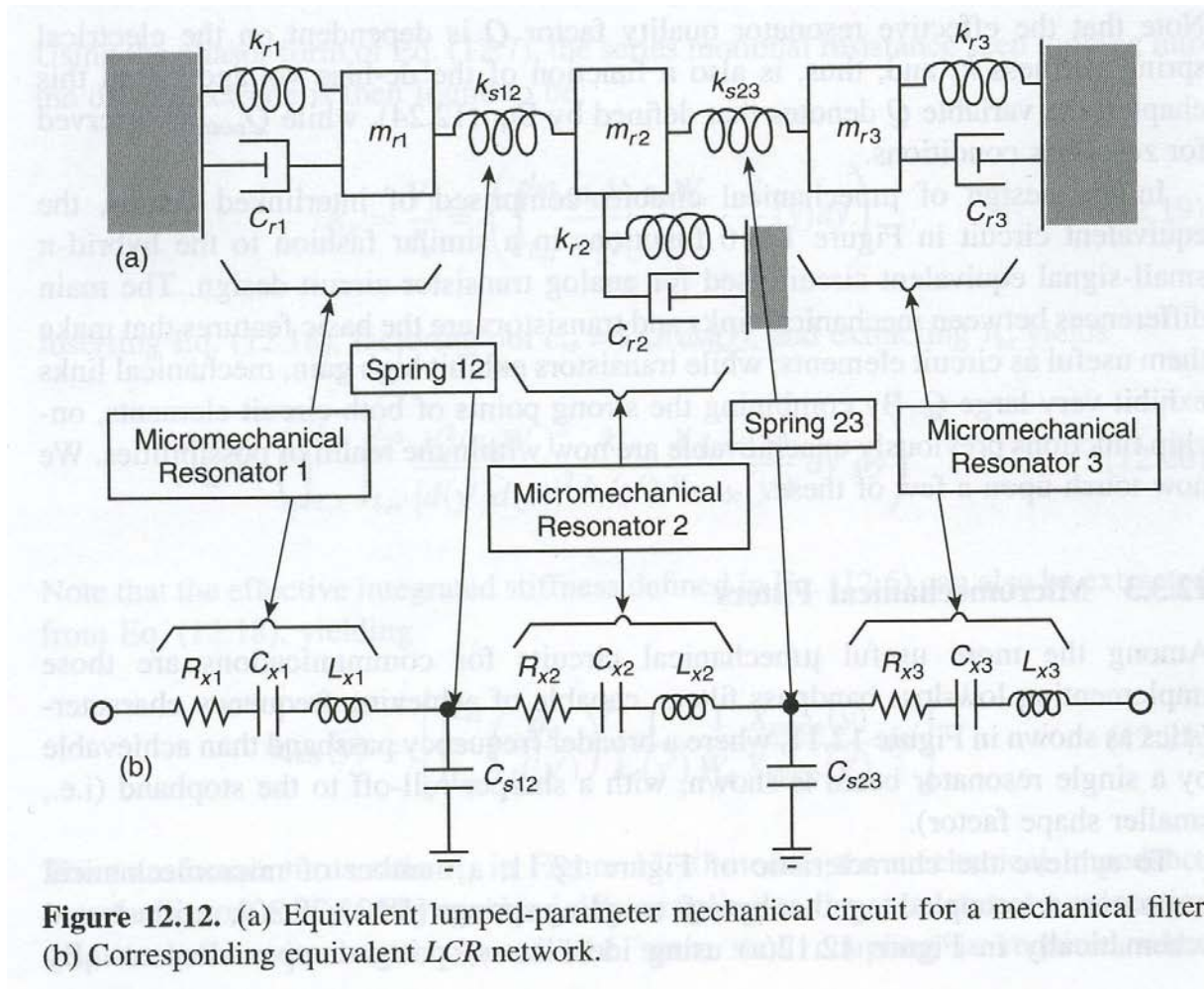
EE C245 – ME C218 Fall 2003 Lecture 27

# Disk resonators

- Advantages of using disks compared to beams
  - Reduced air damping
    - Vacuum not needed to measure Q-factor
  - Higher stiffness
    - Higher frequency for given dimensions
  - Larger volume
    - Higher Q because more energy is stored
    - Less problems with thermal noise
- Periphery of the disk may have different motional patterns
  - Radial (“breathing”), wine-glass (“standing waves”)



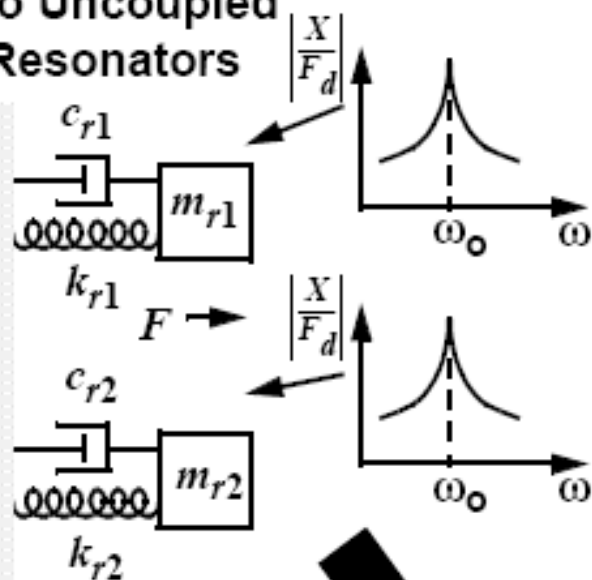
# Micromechanical filter: 3 \* resonators



**Figure 12.12.** (a) Equivalent lumped-parameter mechanical circuit for a mechanical filter. (b) Corresponding equivalent LCR network.

# Ideal Spring Coupled Filter

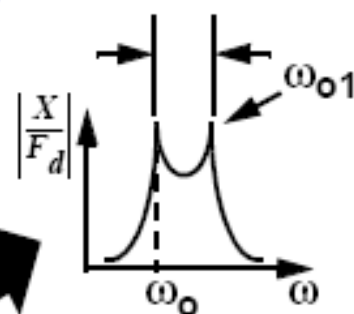
Two Uncoupled Resonators



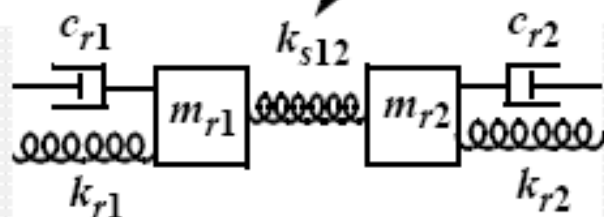
Resonator Stiffness  
Coupler Stiffness

$$BW = \left( \frac{f_o}{k_{ij}} \right) \left( \frac{k_{sij}}{k_r} \right)$$

Normalized Coupling Coefficient

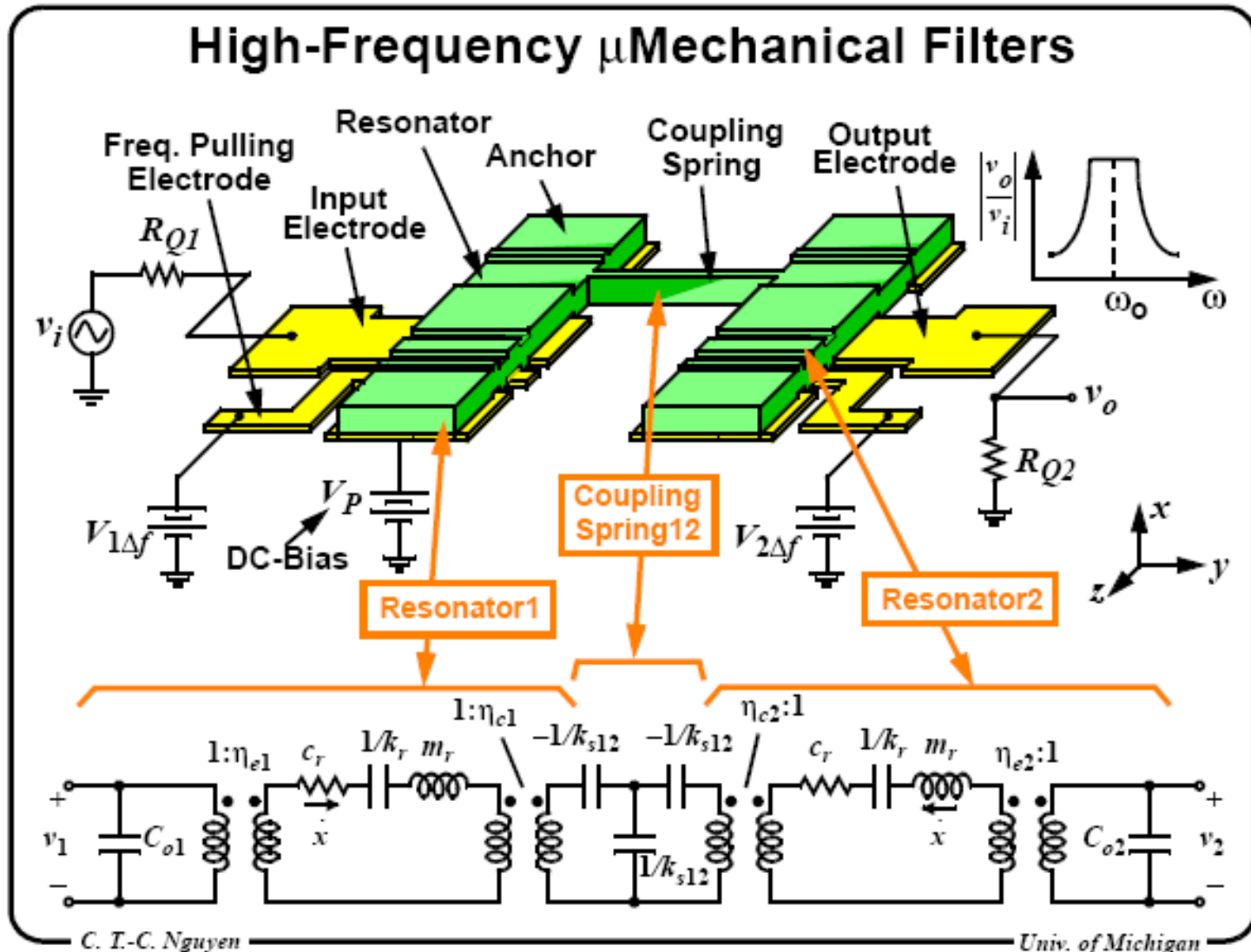


Massless Spring



Spring Coupled Resonators

# 2-resonator HF-VHF micromechanical filter



# Design

- At centre frequency  $f_0$  and bandwidth  $B$ , spring constants must fulfill

$$B = \left( \frac{f_0}{k_{ij}} \right) \cdot \left( \frac{k_{sij}}{k_r} \right)$$

- $k_{ij}$  = normalized coupling coefficient taken from filter cook books

- **Ratio**  $\left( \frac{k_{sij}}{k_r} \right)$  important, NOT absolute values

- **Theoretical** design procedure \*

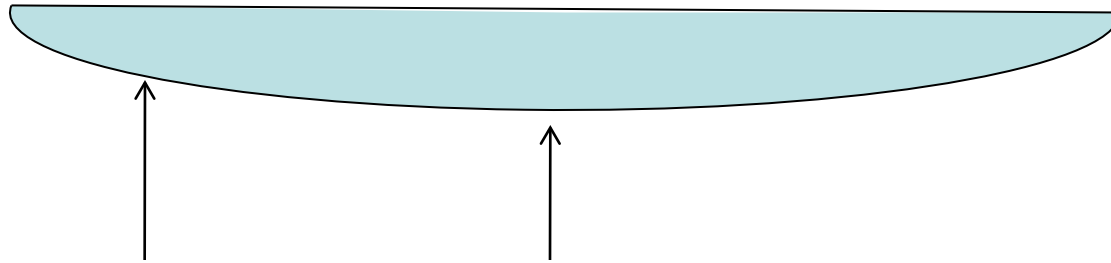
- (\* can not be implemented)

– Determine  $f_0$  and  $k_r$  Choose  $k_{sij}$  for required  $B$

– In real life this procedure is **modified**

# Design procedure B

- **B1.** Use **coupling points** on the resonator to determine filter bandwidth
  - B determined by the ratio  $\frac{k_{s12}}{k_{rc}}$ 
    - $k_{rc}$  is the value of k at the **coupling point!**
    - $k_{rc}$  position dependent, especially of the **speed** at the position
    - $k_{rc}$  **can be selected by choosing a proper coupling point of the resonator beam!**
- The dynamic spring constant  $k_{rc}$  for a c-c beam is largest nearby the anchors
  - $k_{rc}$  **is larger for smaller speed of coupling point at resonance**



Smaller speed

Max. speed

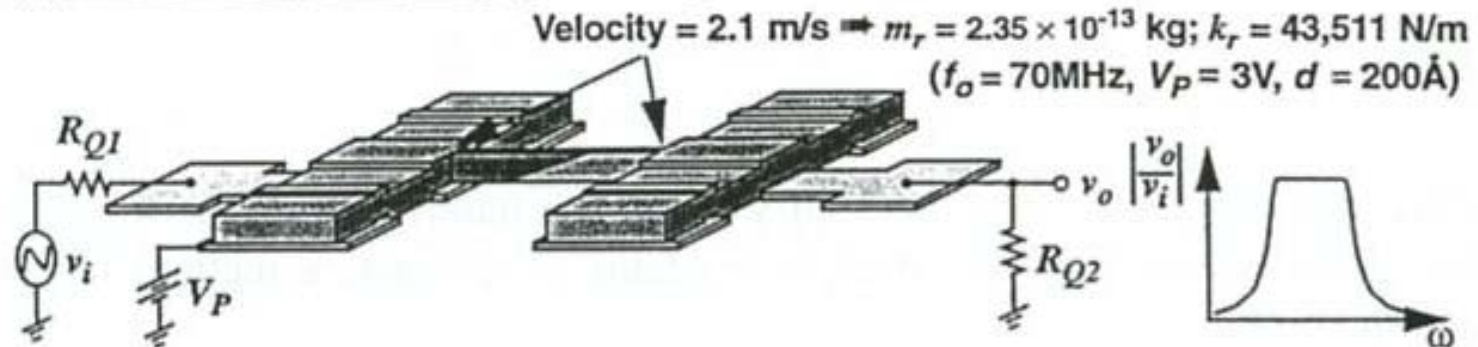
$$\omega_0 = \text{const} = \sqrt{\frac{k_{eff}}{m_{eff}}}$$

$$m_{eff} = \frac{KE}{\frac{1}{2}v^2}$$

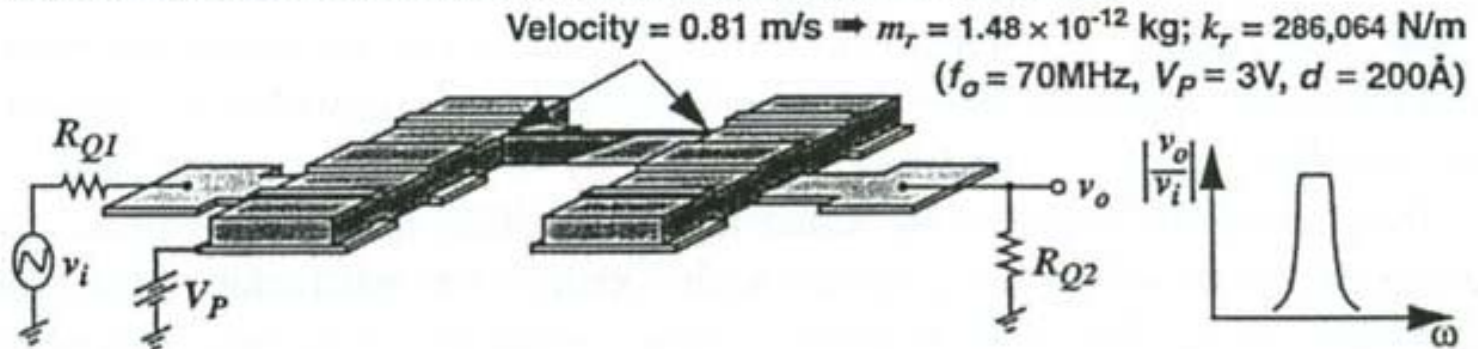
Smaller speed  $\rightarrow$  eff. mass higher  
 $\rightarrow$  eff. spring stiffness higher

# Position of coupling beam

(a) Max. Velocity Coupling: yields large % bandwidth

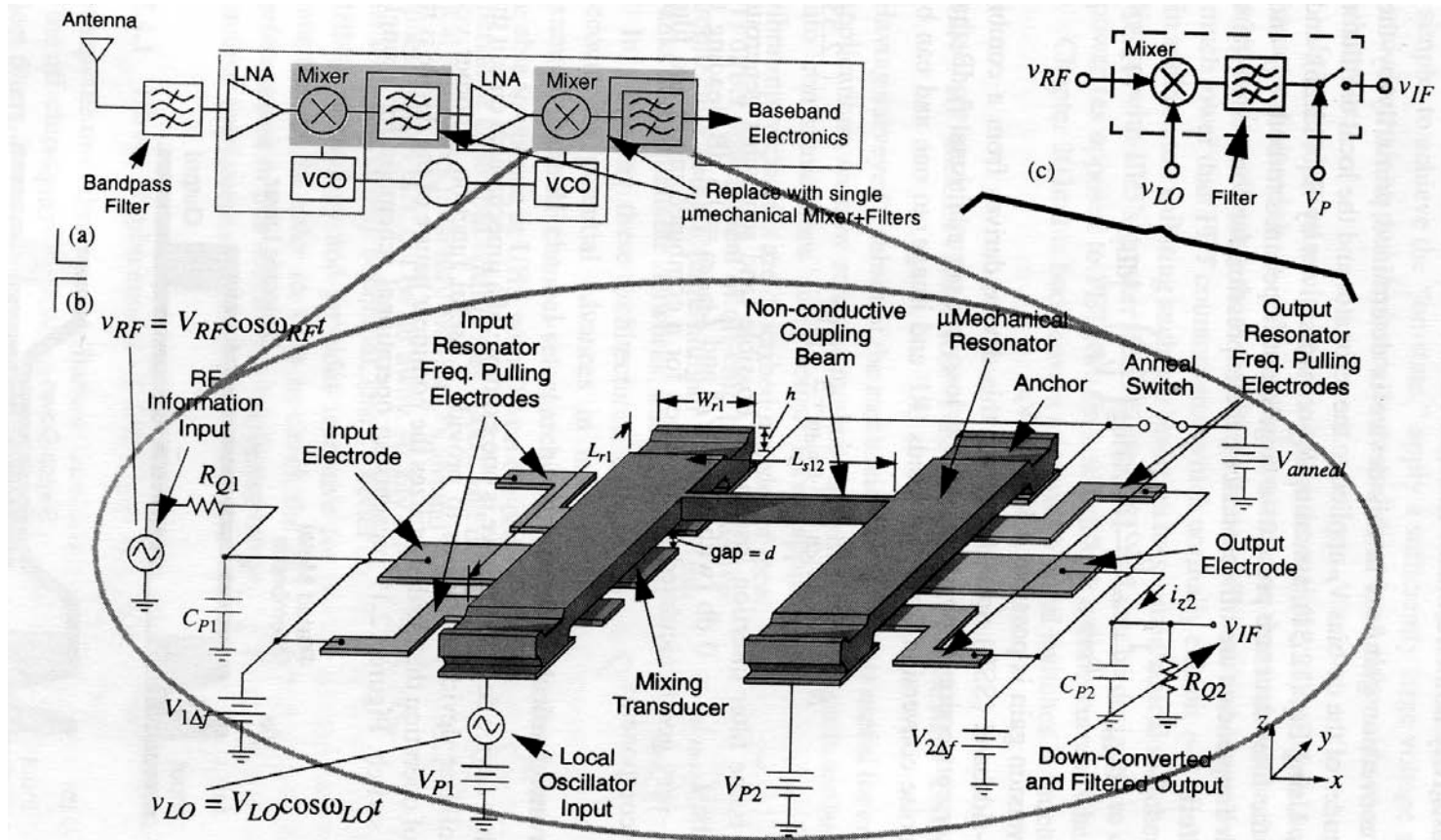


(b) Low Velocity Coupling: allows much smaller % bandwidth



**Figure 12.15.** Filter schematics showing (a) maximum velocity coupling to yield a large percent bandwidth and (b) low-velocity coupling to yield a smaller percent bandwidth.

# Mixer -filter



**Figure 12.18.** (a) Simplified block diagram of a wireless receiver, indicating (with shading) the components replaceable by mixer-filter devices. (b) Schematic diagram of the described  $\mu$ mechanical mixer-filter, depicting the bias and excitation scheme needed for downconversion. (c) Equivalent block diagram of the mixer-filter scheme.



# Passive components in RF circuits

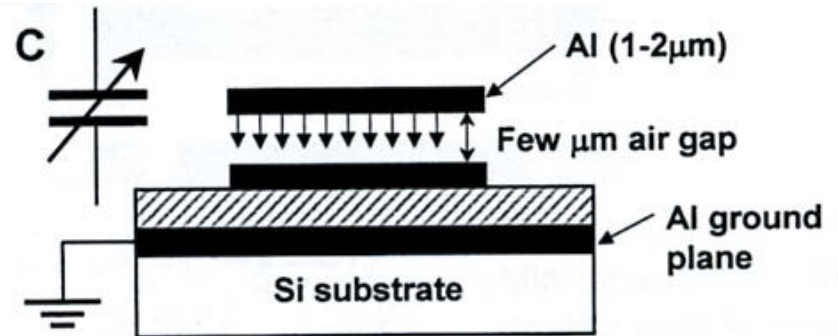
- → **MEMS capacitors and inductors**
  - Relevant as **replacements** for traditional "off-chip" passive components
  - Tuneability and programability are desired!
- **MEMS capacitors**
  - Simple, **tunable** capacitances
    - = **varactor** ("variable reactor")
  - Programmable capacitance banks with fixed C
- **MEMS inductors**
  - Simple, **fixed** inductors
  - Programmable inductance banks with fixed L

# Tunable RF MEMS capacitors

- **Electrostatic actuation** is a dominating mechanism for tuning
  - Low power consumption, simple
- **Vertical** electrostatic displacement
  - Tuning the gap (**non-linear** change) in parallel plate capacitor
    - 2-plate capacitance
    - 3-plate capacitance
    - Double air-gap capacitance
    - Other examples
- **Horizontal** (lateral) displacement
  - Tuning of area (**linear** change)
- **Thermal** tunable MEMS capacitance
- **Piezoelectric** actuator tunable capacitance
- Tuning by change of **dielectric** material

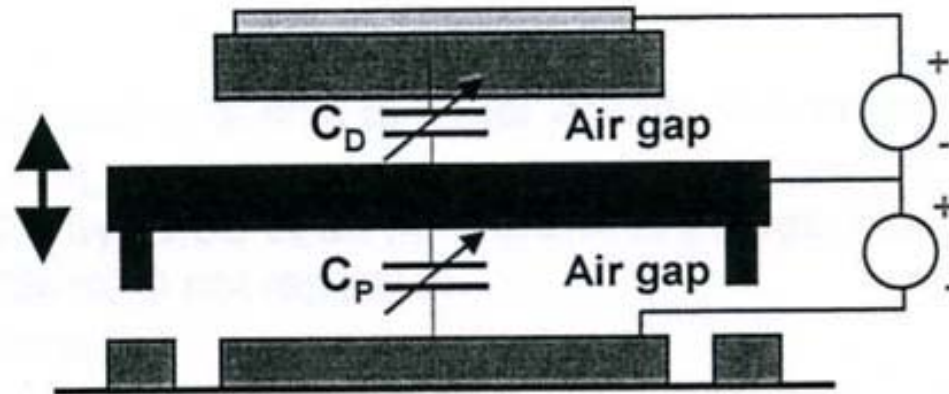
# Two-plate tunable MEMS capacitance

- Young & Boser, Berkeley
- Gap-tuning
- One plate can move by electrostatic actuation
- Equilibrium between elastic and electrical forces



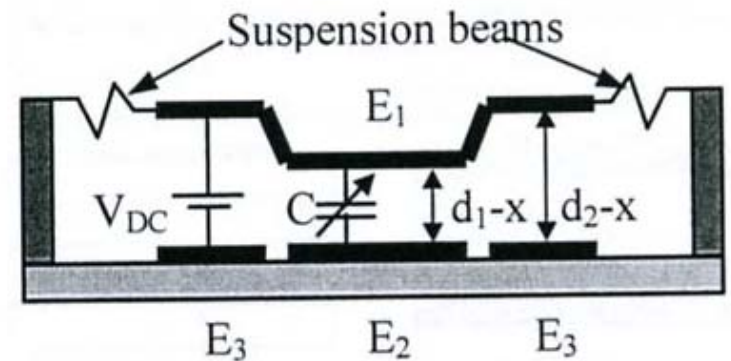
# 3-plate tunable MEMS capacitance

- TR can be increased by introducing a 3rd plate
  - A. Dec & K. Suyama: "Micromachined Electro-Mechanically Tunable Capacitors and Their Applications to RF IC's" 1998.  
**Columbia University**



# Double air-gap capacitance

- J. Zou et al, 2000, Univ of Illinois
- Why double air-gap?
  - Increase TR
    - Eliminate pull-in effect
  - May deflect down to  $1/3 d_2$  before pull-in
  - TR may increase significantly if  $1/3 * d_2 > d_1$ 
    - Eg. centre electrode can be fully deflected without pull-in!





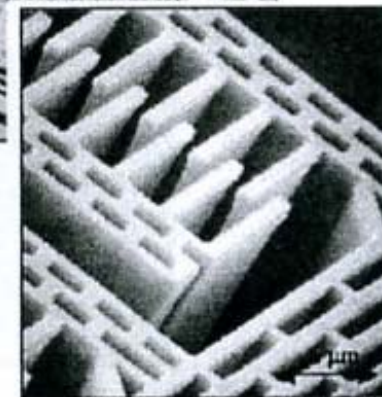
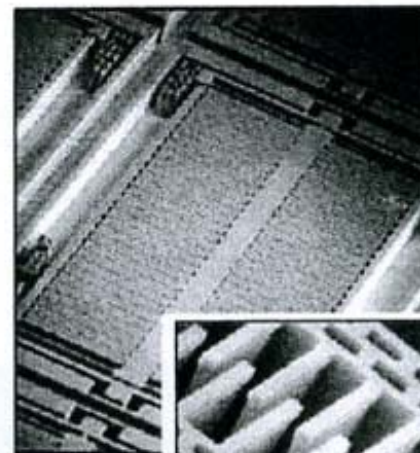
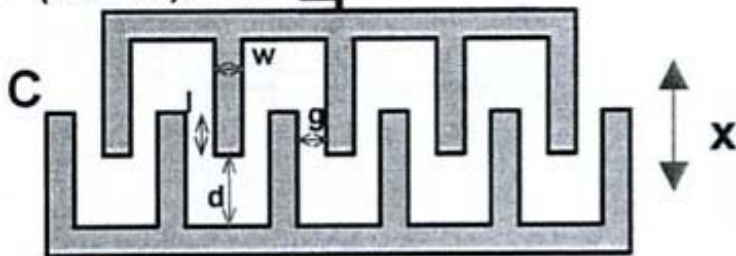
# Comb-like (inter-digital) tunable MEMS capacitors

Deflection:

$$x = V^2 (dC/dx) / 2k$$



Idea: area-tuning capacitor



71

## Design and fabrication

(Rockwell Science Center):

- Comb-like structure using single mask process
- Deep anisotropic silicon etching technique in ICP (inductively coupled plasma) reactor
- **Very flexible design: large range of C and TR**

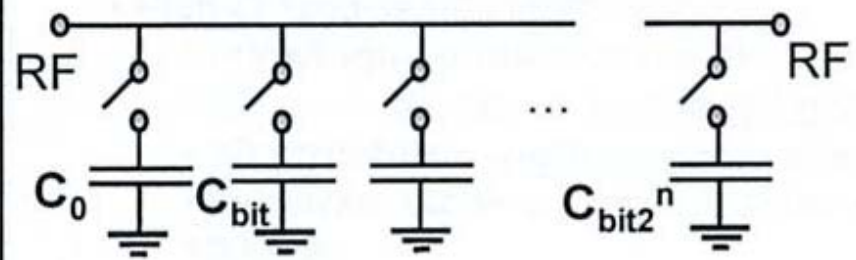
## Figures of merit:

- TR= 200%  $C_{max}=3.2\text{pF}$ ,  $C_{min}=6.44\text{pF}$ ,  $V=5\text{V}$
- Recent results show:  $Q > 40-160 @ 400-1600\text{MHz}$

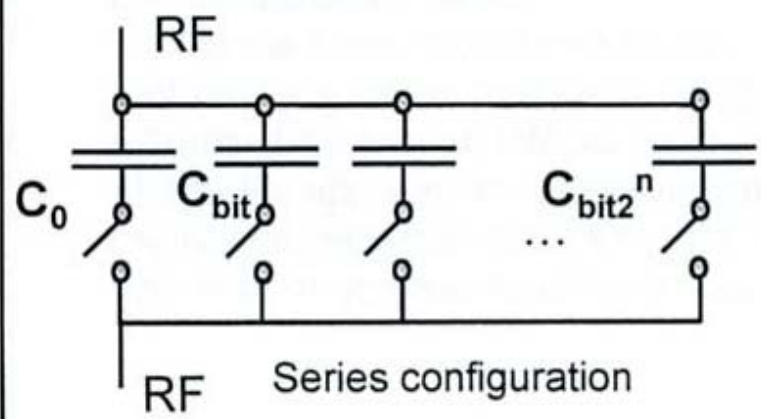


# RF MEMS capacitor banks

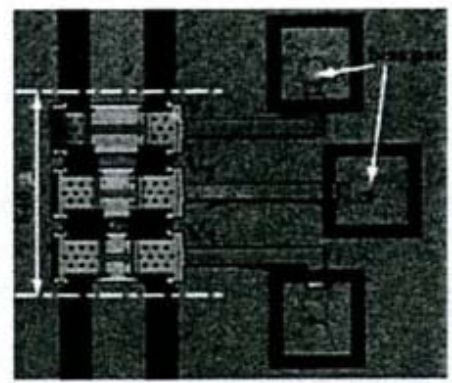
### n-bit capacitor bank with capacitive switch and fixed $C_0$



Shunt configuration



Series configuration



### Design and process (University of Michigan)

- 3-bit digital MEMA varactor on glass substrate

### Performance

- TR= 3:1, C=146- 430fF in K-band
- Q= 5 – 10 @ 10GHz
- Q > 50 – 200 reported by same authors

L. Dussopt and G. Rebeiz, IEEE Microwave and wireless comp. Letts, vol. 14, 2003, pp. 361-363.

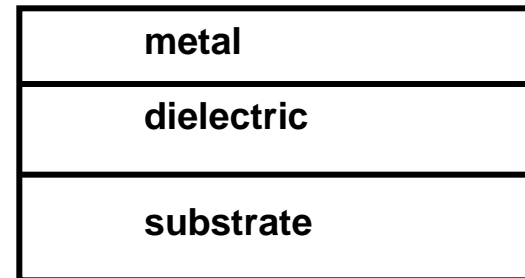
# RF MEMS inductors

- Two-dimensional (**planar**) inductors
- Three-dimensional inductors, **solenoids**
- Only **fixed-value** inductor can be implemented
  - No practical implementation of tunable inductors exist
- Variable inductance values: implemented as **inductor bank**
  - Many inductors with fixed, high Q-value
  - In combination with MEMS contact switches



# Planar inductors, in general

- Implemented in a single plane
- One **metal layer** patterned by etching
- Inductor rest on a **substrate** covered by a **dielectric**
- **Loss** in inductor due to:
  - Finite metal conductivity
  - Loss in dielectric
  - Loss in substrate
- Area limitations for RF
  - Total length of an inductor has to be significantly shorter than the wavelength
    - Gives then negligible phase shift of signal



# Different planar geometries

- Spiral or meander
  - Distance between lines is critical
- Circular spiral has a shorter length than a quadratic spiral
  - $\rightarrow$  Lower R
  - Q is about 10% higher with same "diameter",  $d_0$
- Higher inductance achieved by increasing the number of turns
  - Self resonance frequency decreases due to the increase in capacitance  $\rightarrow$  limits the region of use

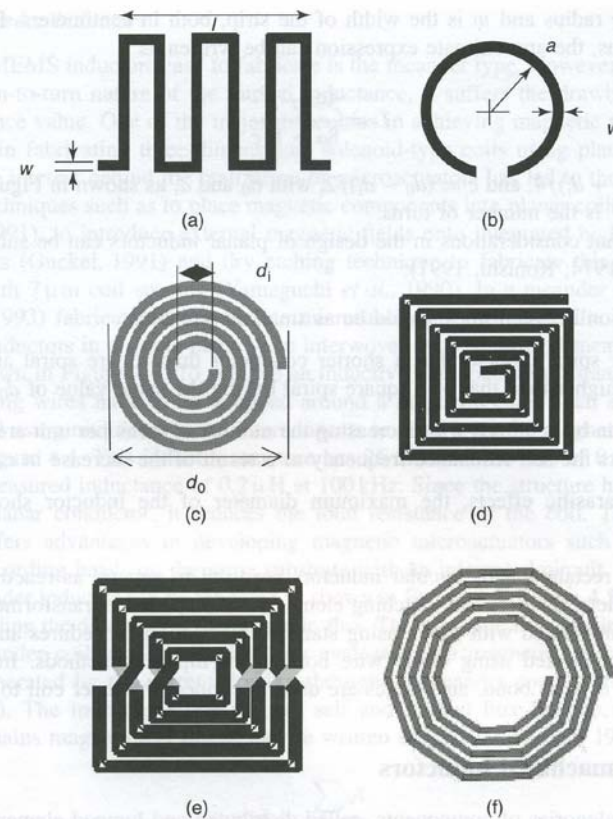


Figure 4.3 Schematic diagram of common planar inductors: (a) meander; (b) loop; (c) circular spiral; (d) square spiral; (e) symmetric spiral; (f) polygon spiral

# General model for a planar inductor

$L_s$  is low frequency inductance

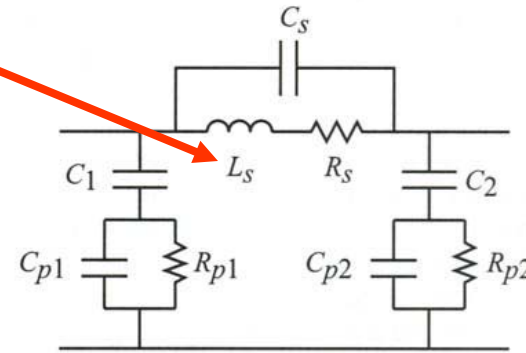
$R_s$  is series resistance

$C_s$  is capacitance between windings

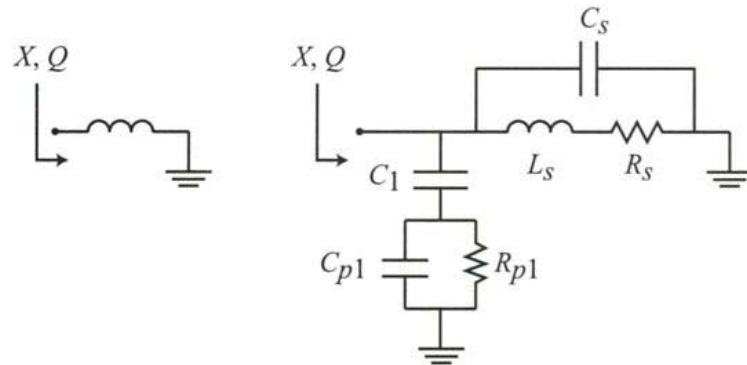
$C_1$  is capacitance in oxide layer between inductor and substrate

$C_p$  is capacitance to ground through substrate

$R_p$  is "eddy current" loss in substrate



(a)



(b)

**Figure 12.1.** (a) The equivalent LRC model of a planar inductor. (b) A short-circuited inductor model typically used in  $S$ -parameter and  $Q$  measurements.  $C_{p1}$  and  $C_{p2}$  are often assumed identical and equal to  $C_p$ .

# Various design parameters

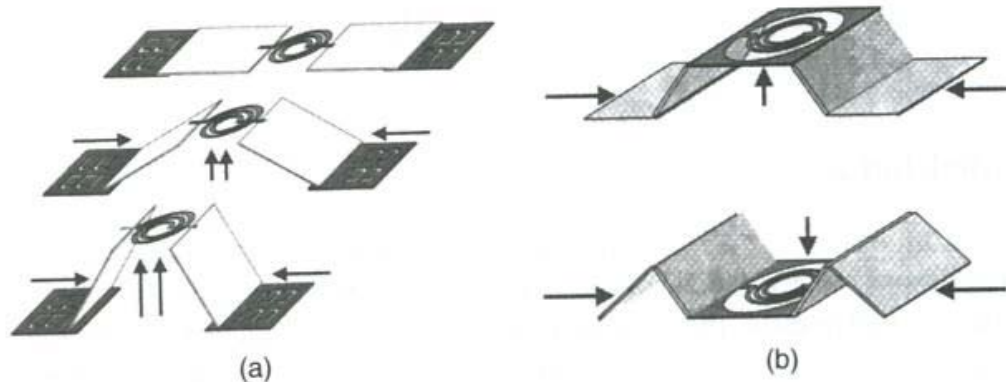
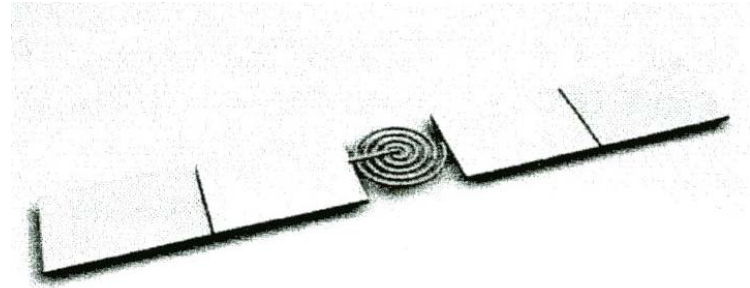
- Structure
  - 2D or 3D, form
- Line spacing
- Line width
- Number of turns
- Magnetic core
- Metal thickness and type
- Sheet resistance
- Thickness of dielectrics
- Substrate resistivity

# Summary: How to increase performance?

- Have **thick metal layer** with good conductivity
  - To reduce series resistance
- Use **substrate etching**
  - Reduce substrate parasitic capacitance
- Use **3-D** structures
  - For vertical plane solenoids the L-value may increase
- Use of **core material**

# Out of plane inductors

- Inductor can be elevated by "scratch actuators"
  - L. Fan et al, MEMS 1998
  - Elevated 250  $\mu\text{m}$  over Si substrate
  - Resonance at 1.8 – 6.6 GHz after elevation of solenoid



**Figure 4.31** (a) Schematic diagram of the MESA micro-elevator by self-assembly structure; (b) the center platform can move upward or downward. Reproduced from L. Fan, R.T. Chen, A. Nepolsa and M.C. Wu, 1998, 'Universal MEMS platforms for passive RF components: suspended inductors and variable capacitors', in *Proceedings of 11th Annual International Workshop on MEMS '98*, IEEE, Washington, DC: 29–33, by permission of IEEE, © 1998 IEEE

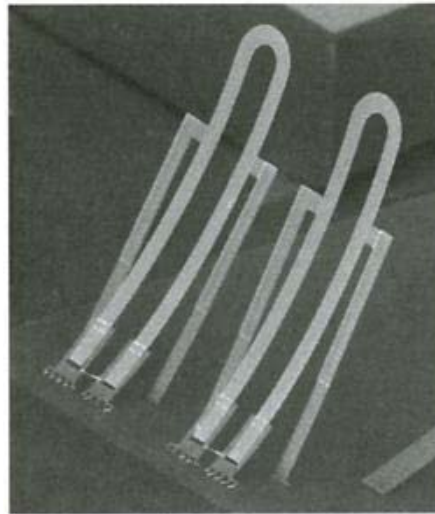
# Micromachining using self-assembly

Elevate inductor above substrate to reduce parasitic capacitance

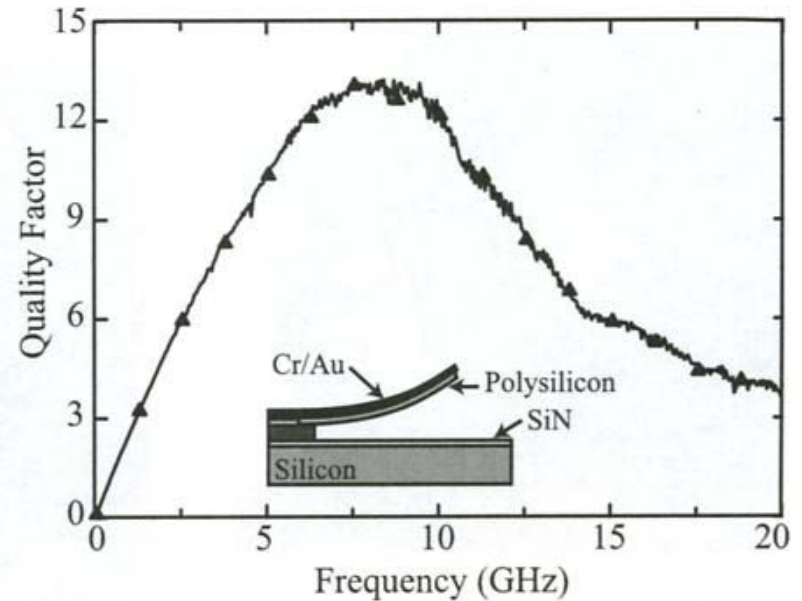
Cr-Au layer over poly-layer

Different residual **stress** in materials make the inductor **"curl"** above substrate

Anchor causes a significant parasitic capacitance



(a)



(b)

**Figure 12.12.** Picture (a) and measured  $Q$  (b) of a self-assembled 1.2-nH inductor [20] (Copyright IEEE).

# Programmable inductor banks

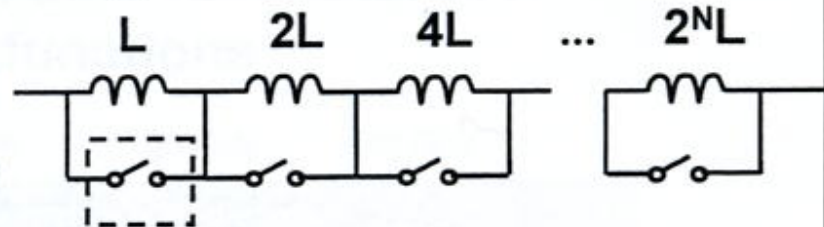
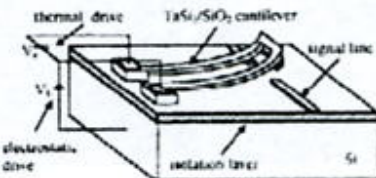
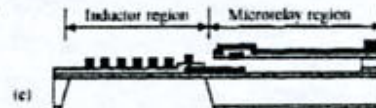
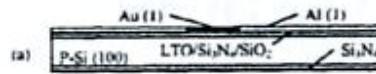


## Micromachined digitized high-Q inductor banks

### Design and process

(New jersey Institute of Technology)

- micro-relays made on  $TaSi_2/SiO_2$  bimorph cantilever beam, gold-to-gold electrical contact, Al as sacrificial layer and thermal actuation
- gold inductors and pads



Contact switch (micro-relay)

Thermal actuation!

### Performance:

- $L = 2.5$  up to  $162.4$  nH
- $Q=3.3$  @  $1.6$ GHz
- electro-thermal relays with  $R_{on}=0.6-0.8\Omega$
- thermal power= $8$ mW,  $V=20$ V
- contacts fails after  $10^6$  cycles (!!)

$D_1 D_2 D_3 D_4$	L (nH)	$D_1 D_2 D_3 D_4$	L (nH)
0000	374.8	1000	162.4
0001	277.9	1001	105.7
0010	223.2	1010	67.4
0011	185.0	1011	45.9
0100	166.9	1100	60.5
0101	127.3	1101	31.5
0110	103.8	1110	16.2
0111	83.1	1111	2.5



# Purpose of packaging

- For **secure** and **reliable** interaction with environment **packaging** is needed
- Package:
  - Is a mechanical **support**
  - Has **signal connections** to the physical world
  - Provides **heat transport**
  - Gives environmental **protection**
  - Makes **contact** with environment possible
    - Pressure sensor
    - Liquid system

# Different packages used

- Important issues
  - Package size, form, number of pins
  - Package material
- Different package types
  - **Ceramic packages**
  - **Metal packages**
  - **Polymer packages**
- Package can be combined with a 1. level encapsulation
  - Die level encapsulation: "[microcaps](#)"
  - Interesting if MEMS does not need direct contact with liquids and gasses

# Integration of IC and MEMS

- Separate MEMS- and IC-dies can be impractical and costly
  - Often the only possibility
    - Due to different technology requirements
  - + MEMS and CMOS may then be individually **optimized**
  - - Parasitic capacitances, impedances!
  - → **One-chip solution desired! (monolithic integration)**
- Technologies for monolithic integration
  - **Pre-circuits (Pre-CMOS)**
  - **Mixed circuit- and micromechanics (Intermediate CMOS)**
  - **Post-circuits (Post-CMOS)**

# Pre-CMOS circuits

- Fabricate micromechanics first, - then IC
- Benefits
  - May fabricate MEMS optimally
  - Only one passivation step needed after micromechanics processing
  - Upgrade each process module individually
- Drawbacks
  - Large topography variations present after MEMS (ex. of 9  $\mu\text{m}$ )
  - CMOS photo resist spinning and patterning become more difficult
    - Especially for submicron circuits
    - CMOS and MEMS have different minimum geometries!
  - Must make the surface planar before CMOS processing
  - CMOS foundry processes do not allow "dirty" MEMS wafers into the fabrication line

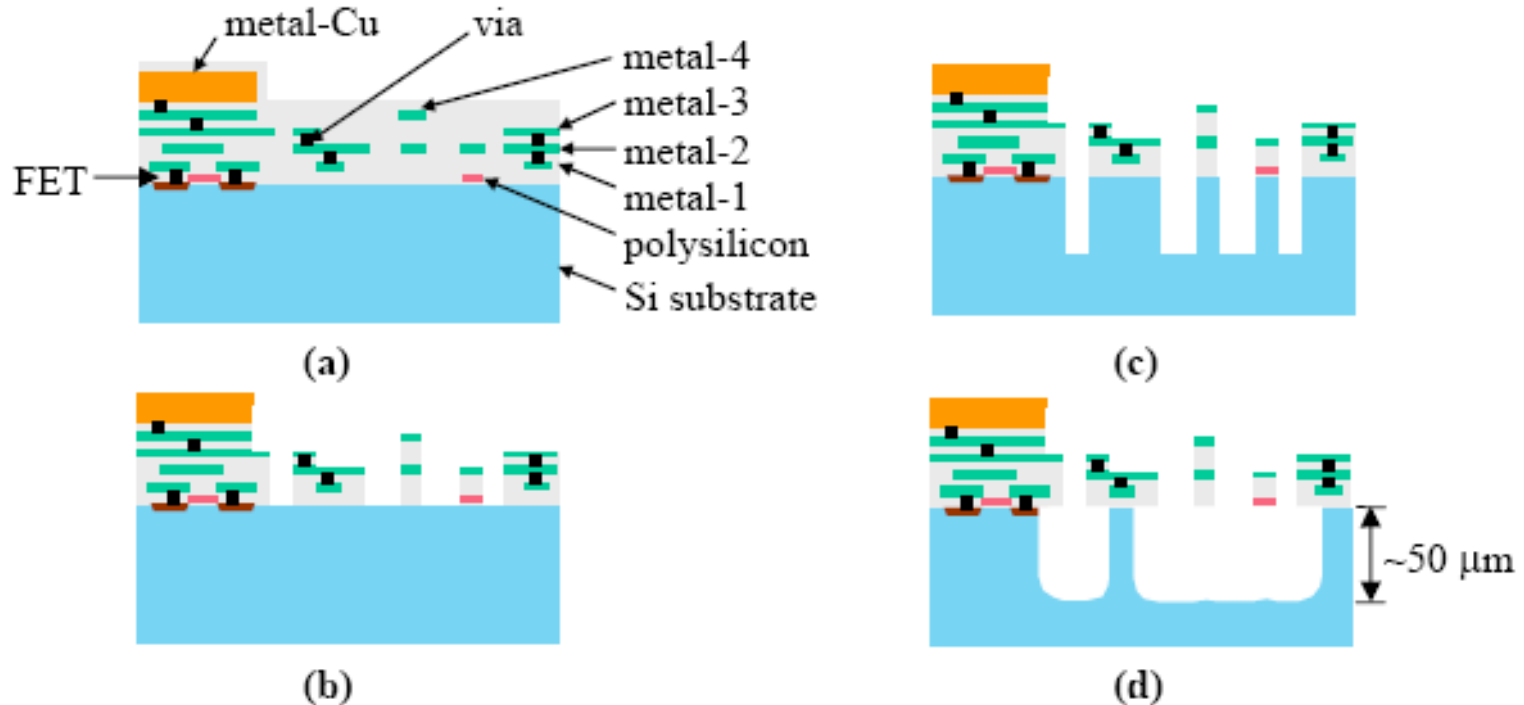
# Mixed circuit- and micromechanics

- IC and MEMS-processes integrated into one process
  - "MEMS in the middle"
- Drawbacks
  - Limitations on MEMS structures that can be fabricated
  - Many passivation layers needed
    - When switching between circuit and micromechanics process
  - Only custom CMOS-processes can be used
  - Total redesign of the whole process if one of the combined technologies ("modules") is changed

# Post-CMOS circuits

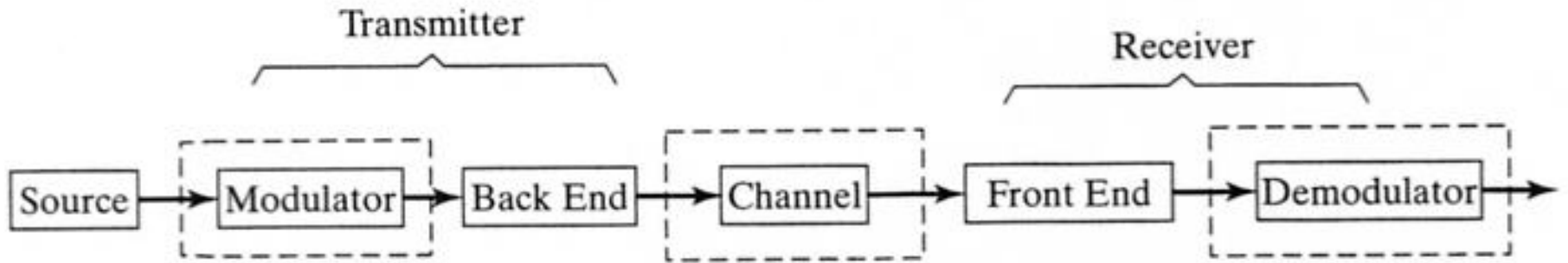
- CMOS circuit processing performed **before** MEMS
  - Possibly the most promising procedure
  - Planarization not needed
  - May use advanced/standard IC foundries and succeeding micromechanical processing
  - Method gradually developed
- Drawbacks
  - **Difficulties with CMOS Al-based metallization**
    - Al can not withstand the **high temperature steps** needed for several micromechanical process steps
      - Especially those needed for high Q: f.ex. polySi deposition/annealing
  - Compromises must be done for one or both processes
    - Ex. MICS process: **Tungsten** (“wolfram”) as CMOS metal
    - Ex. UoC Berkely: use **SiGe** as MEMS structure material

# ASIMPS at CMU



**Figure 1. ST7RF CMOS MEMS process flow. (a) Foundry CMOS before micromachining; (b)  $\text{CHF}_3/\text{O}_2$  reactive-ion etch of dielectric stack down to the silicon substrate; (c) Deep reactive-ion etch of Si substrate (nominal 35  $\mu\text{m}$  deep); and (d) Si undercut (nominal 15  $\mu\text{m}$  undercut and 50  $\mu\text{m}$  deep).**

# General communication system



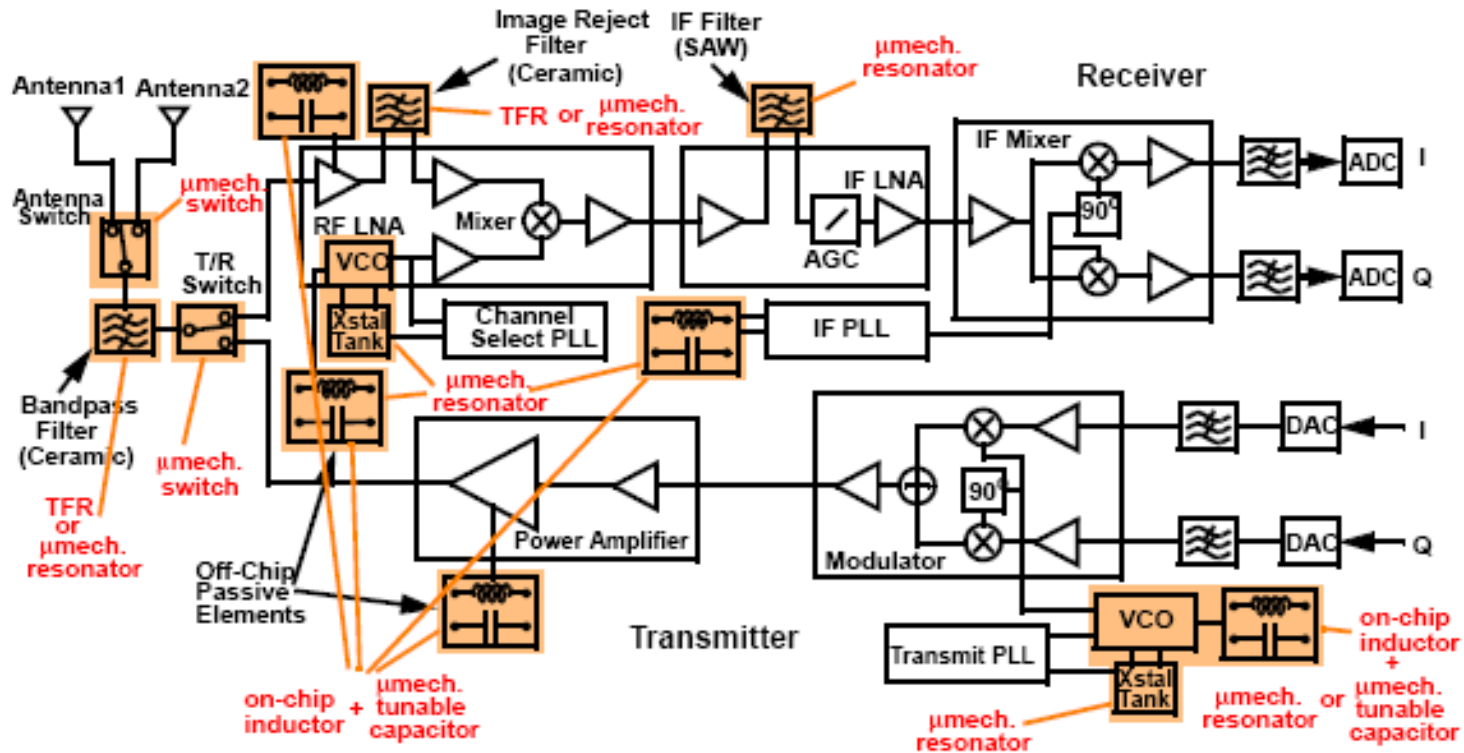
Bit streams are modulated (coded) onto a **carrier**

Radio channel introduces noise, interference, disturbances

Receiver shapes the signal for demodulation



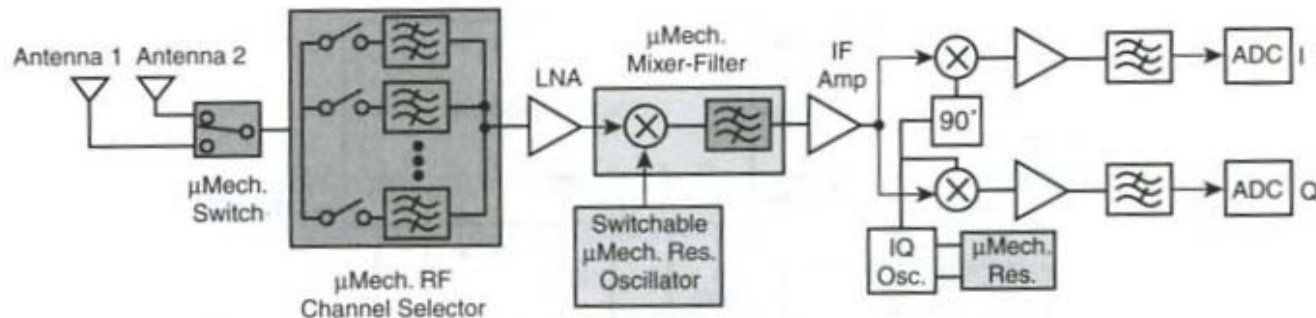
# MEMS-Replaceable Transceiver Components



- A large number of off-chip high-Q components replaceable with  $\mu$ machined versions; e.g., using  $\mu$ machined resonators, switches, capacitors, and inductors

## B. Special RF MEMS blocks

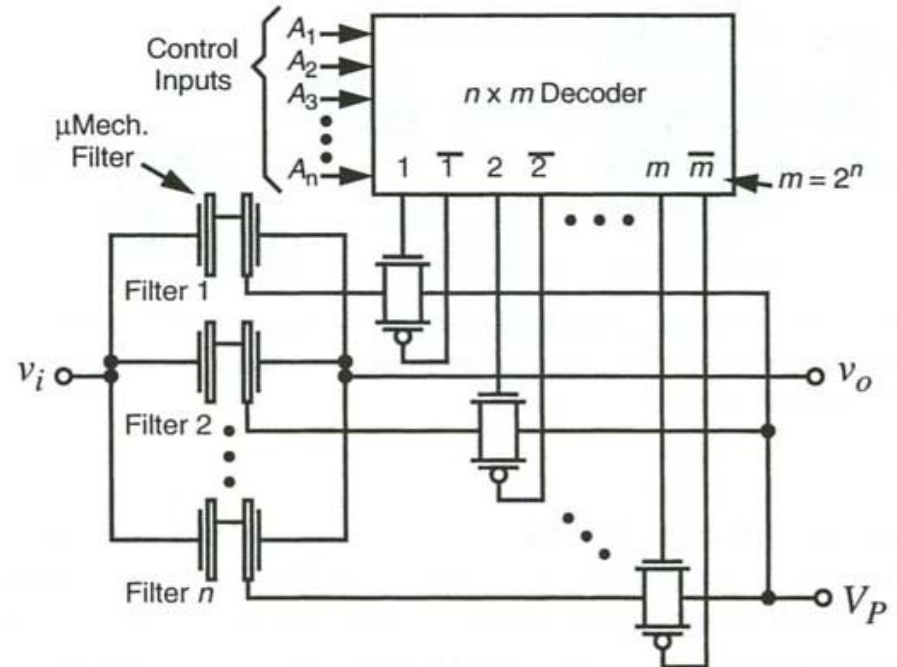
- Figure shows 3 basic blocks that are substituted by RF MEMS
  - **B1.** Switchable RF **channel-select filter bank**
  - **B2.** Switchable micromechanical **frequency synthesizer**
  - **B3.** Micromechanical **mixer-filter** block



**Figure 12.21.** System block diagram for an RF channel-select receiver architecture utilizing large numbers of micromechanical resonators in banks to trade  $Q$  for power consumption. (On-chip  $\mu$ mechanics are shaded.)

# B1. Switchable RF channel-select filter bank

- Idea
  - Use **many, simple**, non-tunable filters with high Q
  - One for each channel, - switched on command
  - A communication standard needs 100 – 1000 of filters
- Block diagram
  - Common input and output
  - Controlled by  $V_p$  from decoder
    - With no  $V_p$  the outputs are effectively "open-circuited"



# Conclusion (source: Ionescu, EPFL)

- Central features
  - **Micro mechanical processing!**
- RF MEMS is a promising technology for **communication applications**
  - Miniaturisation of critical parts
    - **Co-design** of "electromechanical / IC" -components
    - **Co-integration** with more traditional IC technology
  - Increased RF performance
    - High performance components
    - High Q tunable passive components have been demonstrated
  - New functionality of RF circuits → programmability
    - Reconfigurable units can be achieved
  - Low power applications
  - Great potential for low cost

# Future prospects for RF MEMS

(source: Ionescu, EPFL)

- **Passive** RF MEMS components will probably be the first units to reach market
- RF MEMS **switches** will be used in more specific applications (niches)
  - Capacitive switches for  $> 10$  GHz
  - Still much effort is needed to reach acceptable reliability and effective packaging
- RF IC with **only MEMS components (?)**
- Full circuit functionality (**filtering og mixing**) in **one** function block!

# Future prospects for RF MEMS, contd.

- **Resonators are very promising!**
  - Can replace complete circuit functions
  - The technology is CMOS compatible and relatively scalable
- ***Vision: Low effect radio with RF MEMS blocks***
- **”Improvements in reliability and packaging during the next years will determine the impact RF MEMS will have!”**