
Lecture 2 – Block ciphers, PRFs/PRPs, DES, AES

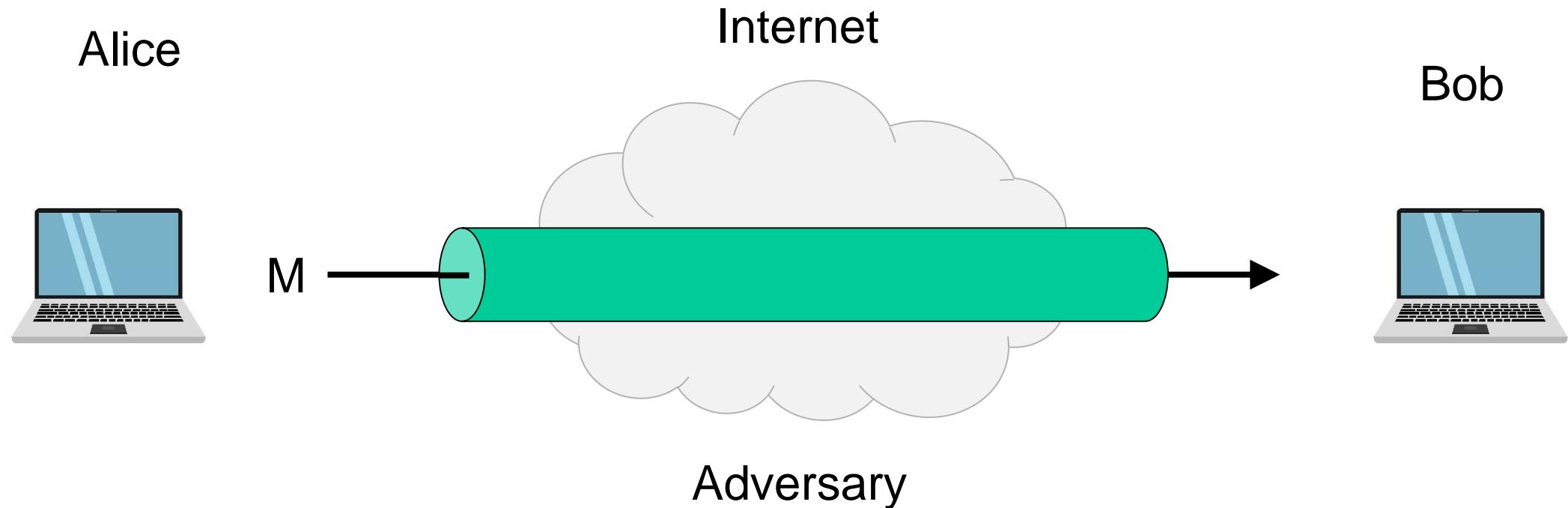
TEK4500

01.09.2020

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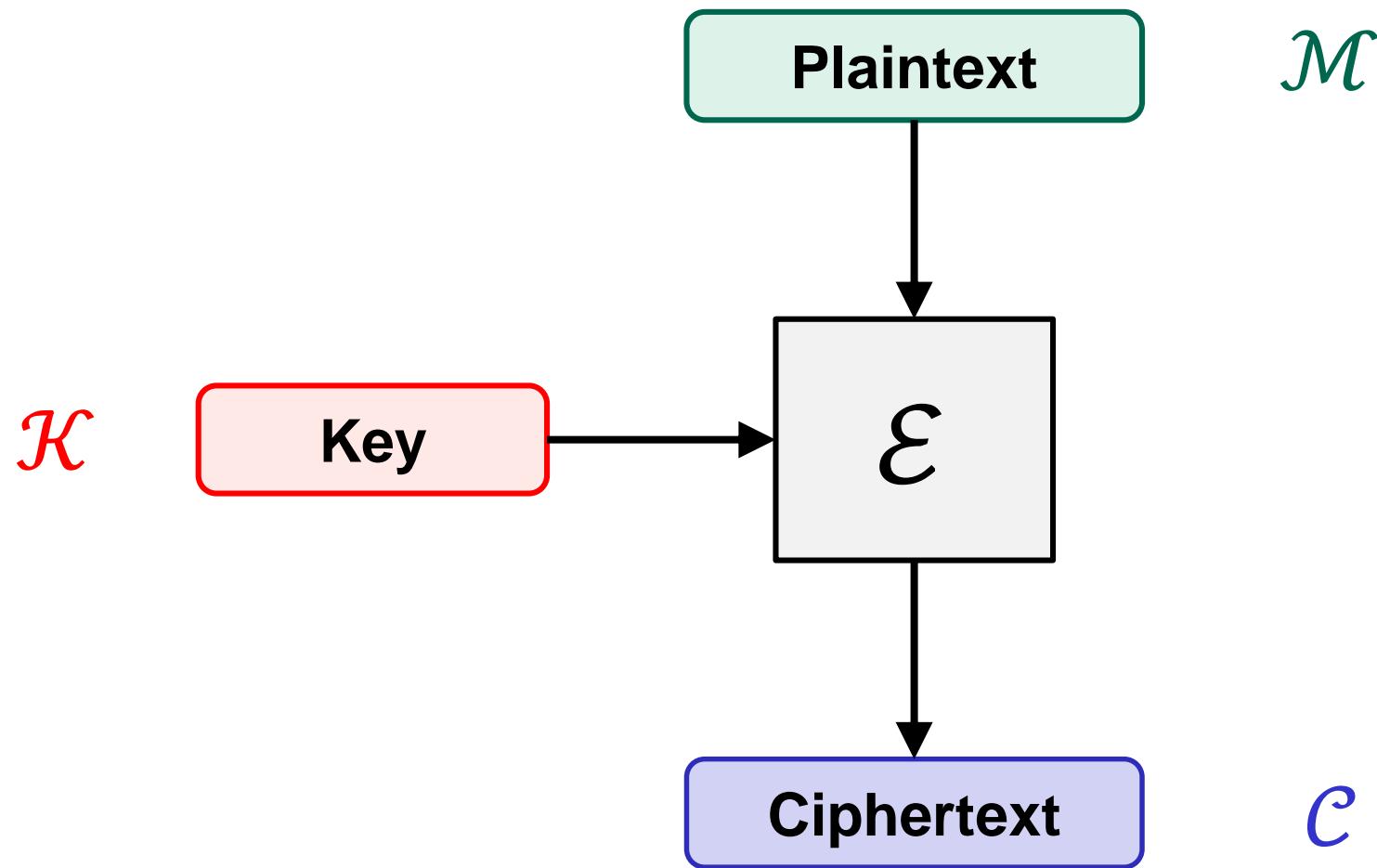
Ideal solution: secure channels



Security goals:

- **Data privacy:** adversary should not be able to read message M ✓
- **Data integrity:** adversary should not be able to modify message M ✓
- **Data authenticity:** message M really originated from Alice ✓

Encryption schemes



Block ciphers

$k = 80, 128, 192, 256$

$n = 64, 128, 256$

$\{0,1\}^k$

Key

Plaintext

$\{0,1\}^n$

128

\mathcal{E}

128

Ciphertext

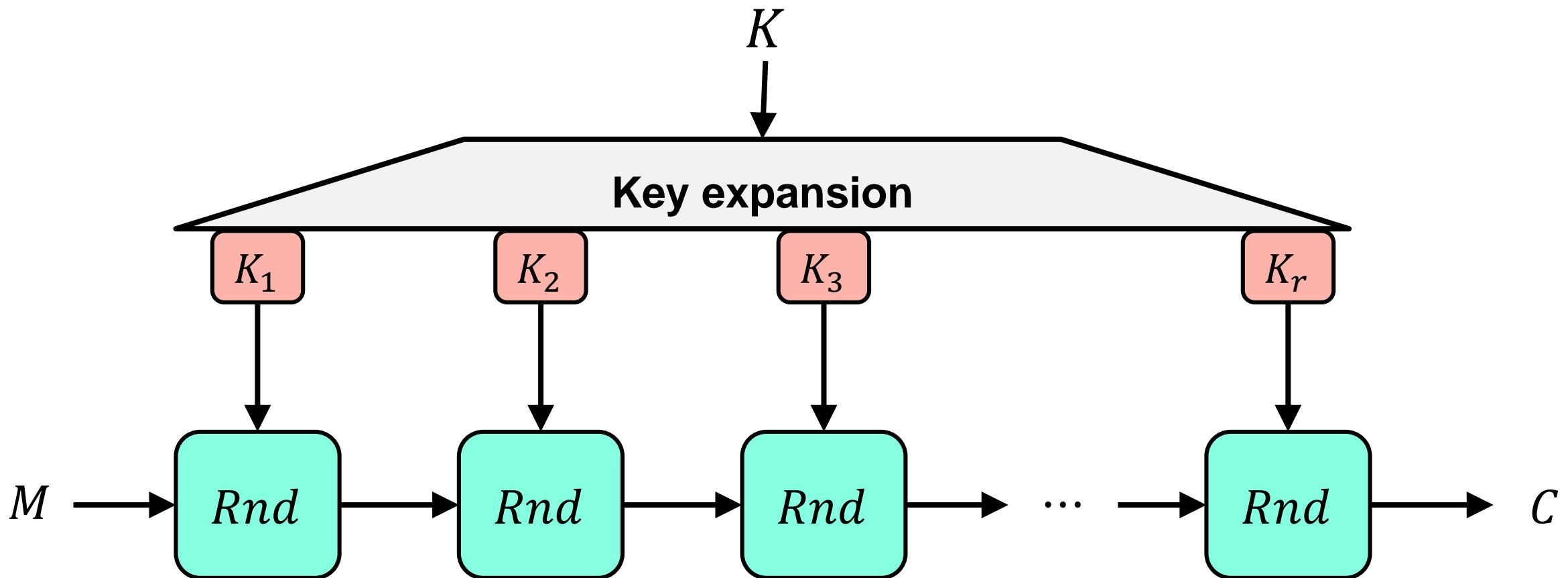
$\{0,1\}^n$

Examples:

DES: $k = 56, n = 64$

AES: $k = 128, 192, 256, n = 128$

Block ciphers



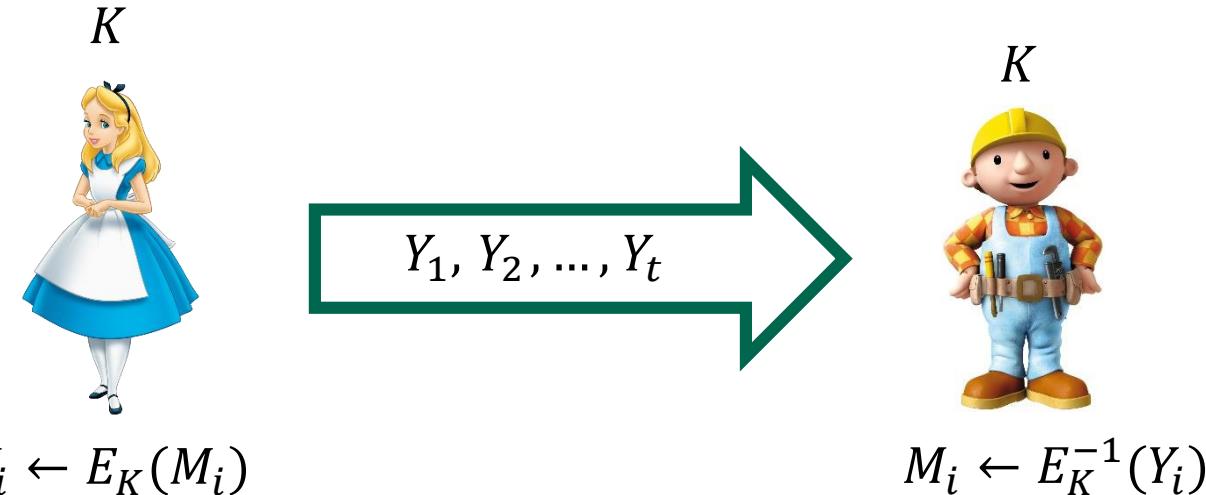
$Rnd(K_i, M)$ is called a **round function**

DES: $r = 16$

AES-128/192/256: $r = 10/12/14$

Block cipher applications (1)

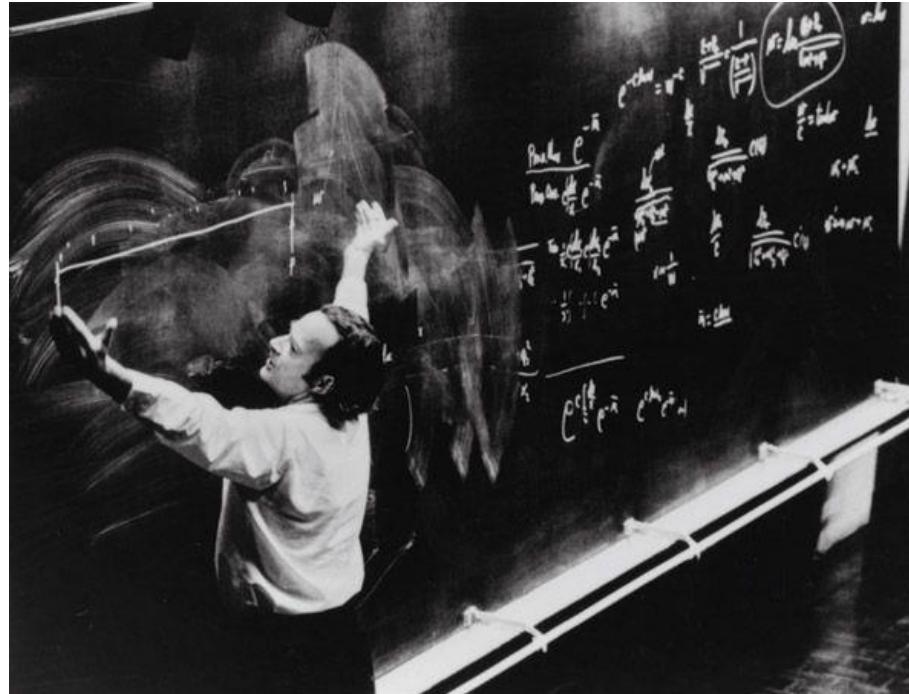
- Encryption of messages of 128 bits (block length)



- **However:** we usually want to encrypt messages of *arbitrary* length!
 - Splitting the message into multiple 128 bit blocks (like above) is **not secure!**
 - Need to use them in a proper **mode-of-operation** (covered later in the course)
- Correct viewpoint: block ciphers are **not** encryption schemes!
 - Block ciphers are **primitives** used to construct other things

Block cipher applications (2)

- The “work horse” of crypto
- Can be used to build:
 - Encryption of arbitrary length messages (including stream ciphers)
 - Message authentication codes
 - Authenticated encryption
 - Hash functions
 - (Cryptographically secure) pseudorandom generators
 - Key derivation functions

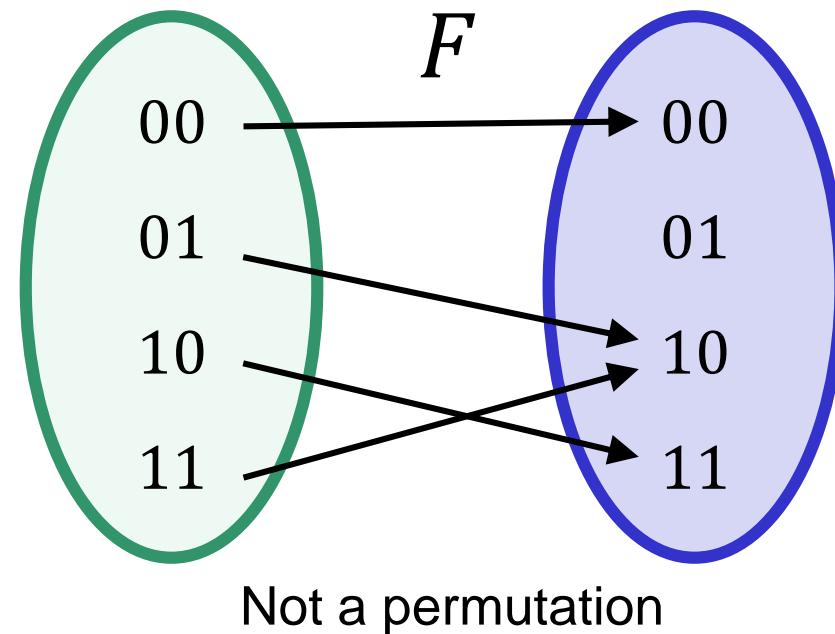
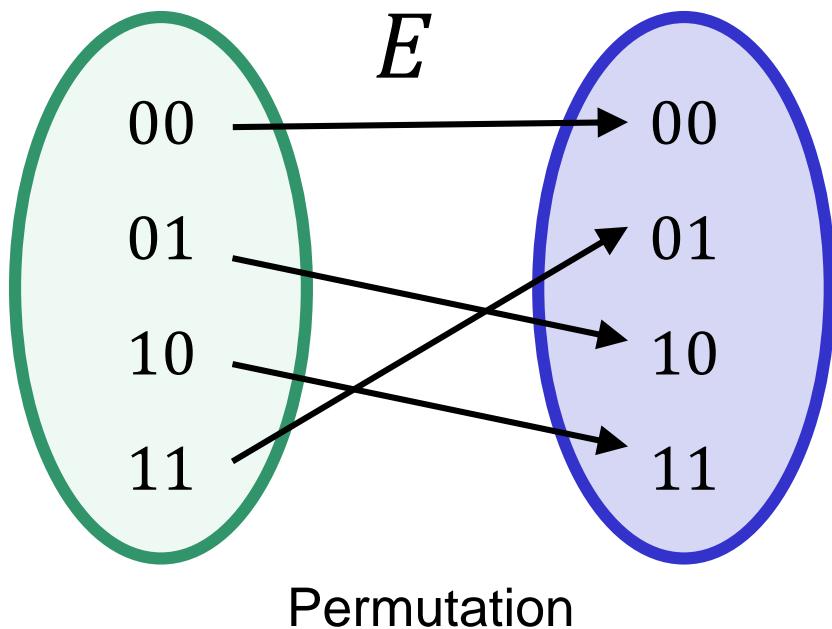


DEFINING BLOCK CIPHERS

Permutations

Definition: A function $E : \{0,1\}^n \rightarrow \{0,1\}^n$ is a **permutation** if there exists an inverse function E^{-1} such that

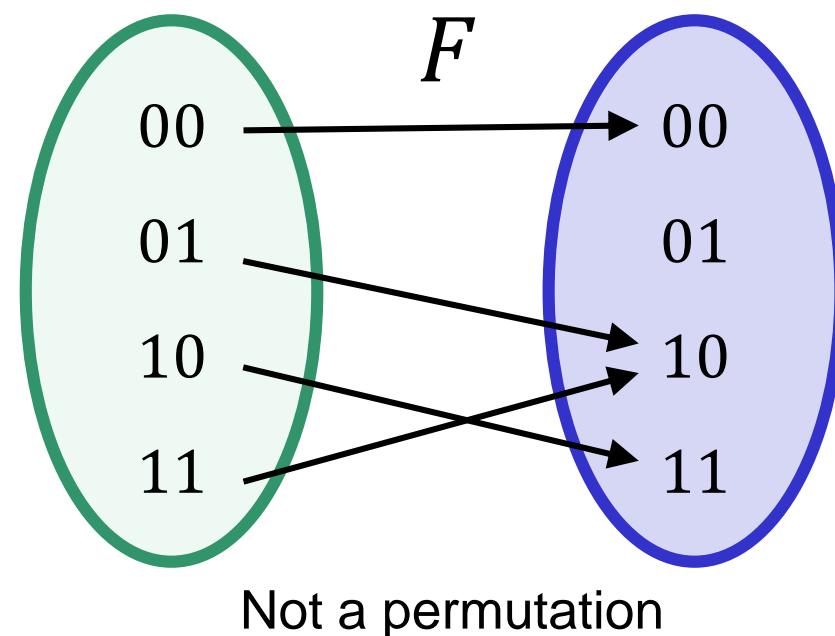
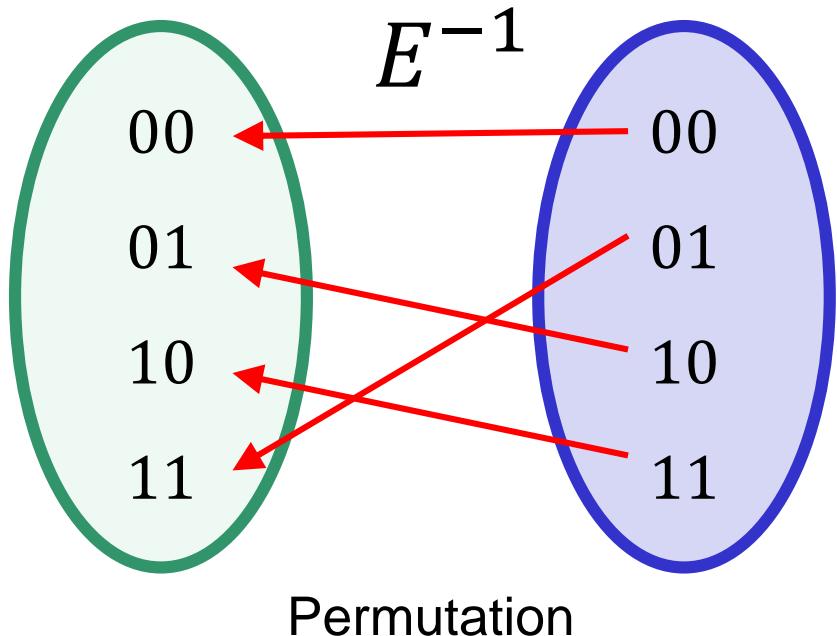
$$E^{-1}(E(X)) = X$$



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Block cipher security

- Which security properties should a block cipher satisfy?
 - I.e., what should the **security definition** of a block cipher look like?
- Some suggestions:
 - **P1:** Should be hard to obtain K from $Y \leftarrow E_K(X)$ for secret K
 - **P2:** Should be hard to obtain K from Y_1, Y_2, \dots where $Y_i \leftarrow E_K(X_i)$
 - **P3:** Should be hard to obtain X from $Y \leftarrow E_K(X)$
 - **P4:** Should be hard to obtain *any* X_i from Y_1, Y_2, \dots where $Y_i \leftarrow E_K(X_i)$
 - **P5:** Should be hard to learn *any* bit of X from $Y \leftarrow E_K(X)$
 - **P6:** Should be hard to detect *repetitions* among X_1, X_2, \dots from Y_1, Y_2, \dots
 - **P7:** ...

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 - **P7:** ...

Not good enough!

Impossible!

Pseudorandom functions (PRFs) and permutations (PRP)

Definition: A pseudorandom function (PRF) is a function

$$F : \{0,1\}^k \times \{0,1\}^{in} \rightarrow \{0,1\}^{out}$$

- k, in, out are called the **key-length**, **input length** and **output length**
- Think of a PRF as a *family* of functions
 - For each $K \in \{0,1\}^k$ we get a function $F_K : \{0,1\}^{in} \rightarrow \{0,1\}^{out}$ defined by $F_K(X) = F(K, X)$

PRP = block cipher!

also: all PRPs are PRFs
(but not the other way around)

Definition: A pseudorandom permutation (PRP) is a function

$$E : \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$$

such that the function $E_K : \{0,1\}^n \rightarrow \{0,1\}^n$ defined by $E_K(X) = E(K, X)$ is a *permutation* for all $K \in \{0,1\}^k$

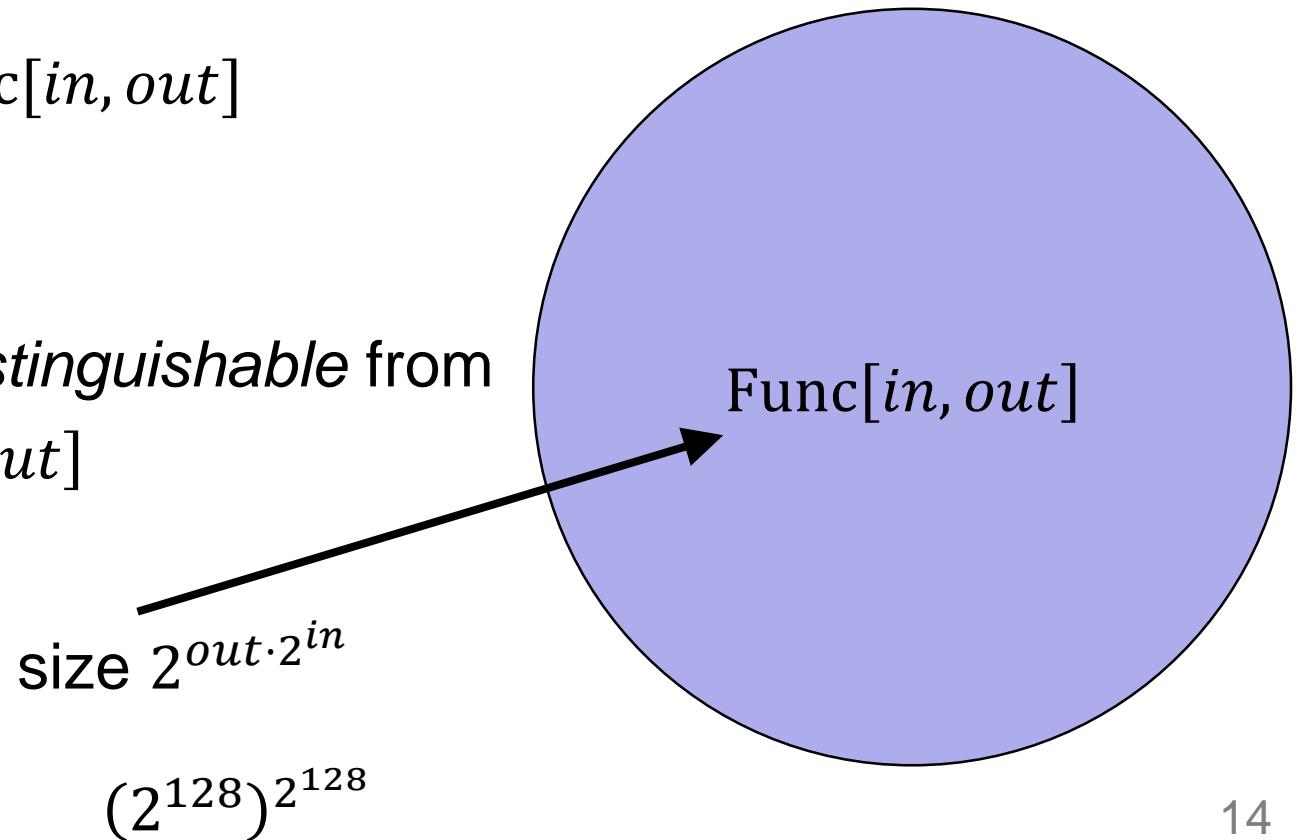
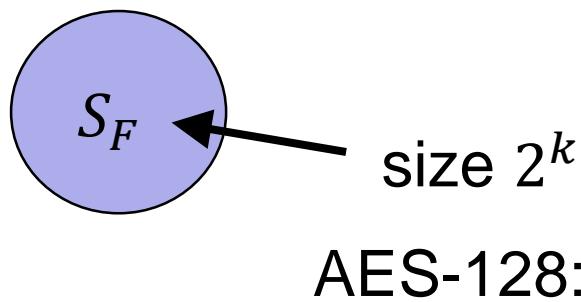
Secure PRFs

- Let $F : \{0,1\}^k \times \{0,1\}^{in} \rightarrow \{0,1\}^{out}$

$\text{Func}[in, out]$: the set of *all* functions from $\{0,1\}^{in}$ to $\{0,1\}^{out}$

$$S_F = \{ F_K \mid K \in \{0,1\}^k \} \subseteq \text{Func}[in, out]$$

- Intuition: F is **secure** if
a random function in S_F is *indistinguishable* from
a random function in $\text{Func}[in, out]$



Random functions

- Let $\tilde{F} \in \text{Func}[in, out]$

X	$\tilde{F}(X)$
000 ... 000	101 ... 111
000 ... 001	001 ... 001
000 ... 010	111 ... 100
000 ... 011	101 ... 000
:	:
111 ... 111	100 ... 010

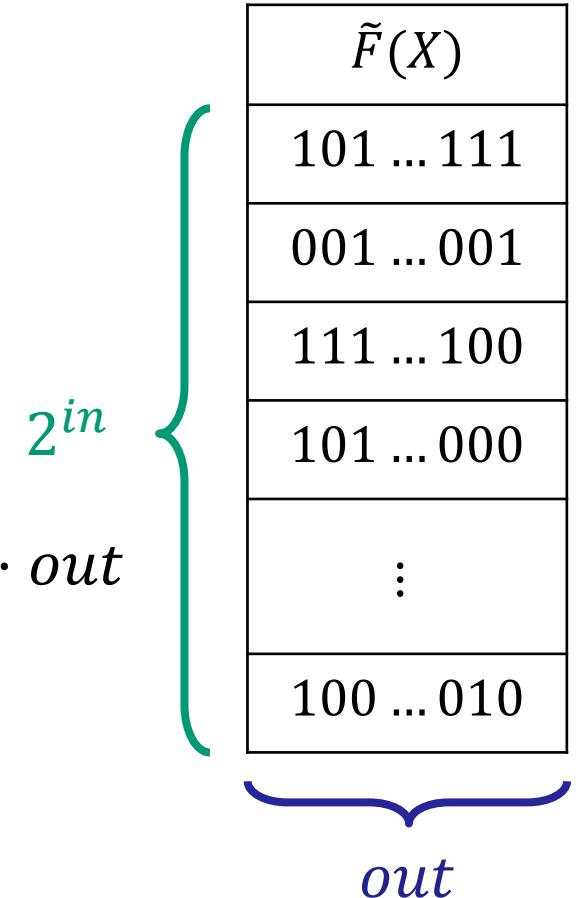
2^{in} {

out

Random functions

- Let $\tilde{F} \in \text{Func}[in, out]$
- Bits needed to specify one function $\tilde{F} : 2^{in} \cdot out$
- Each bit string of length $2^{in} \cdot out$ specifies a unique function \Rightarrow

$$\begin{aligned} |\text{Func}[in, out]| &= \text{the number of bitstrings of length } 2^{in} \cdot out \\ &= 2^{(2^{in} \cdot out)} \end{aligned}$$



Random functions – alternate view

\tilde{F}

```
T ← []
LookUp( $X \in \{0,1\}^{in}$ )
-----
if  $T[X]$  undefined then:
     $T[X] \xleftarrow{\$} \{0,1\}^{out}$ 
return  $T[X]$ 
```

PRF
security

\approx

F

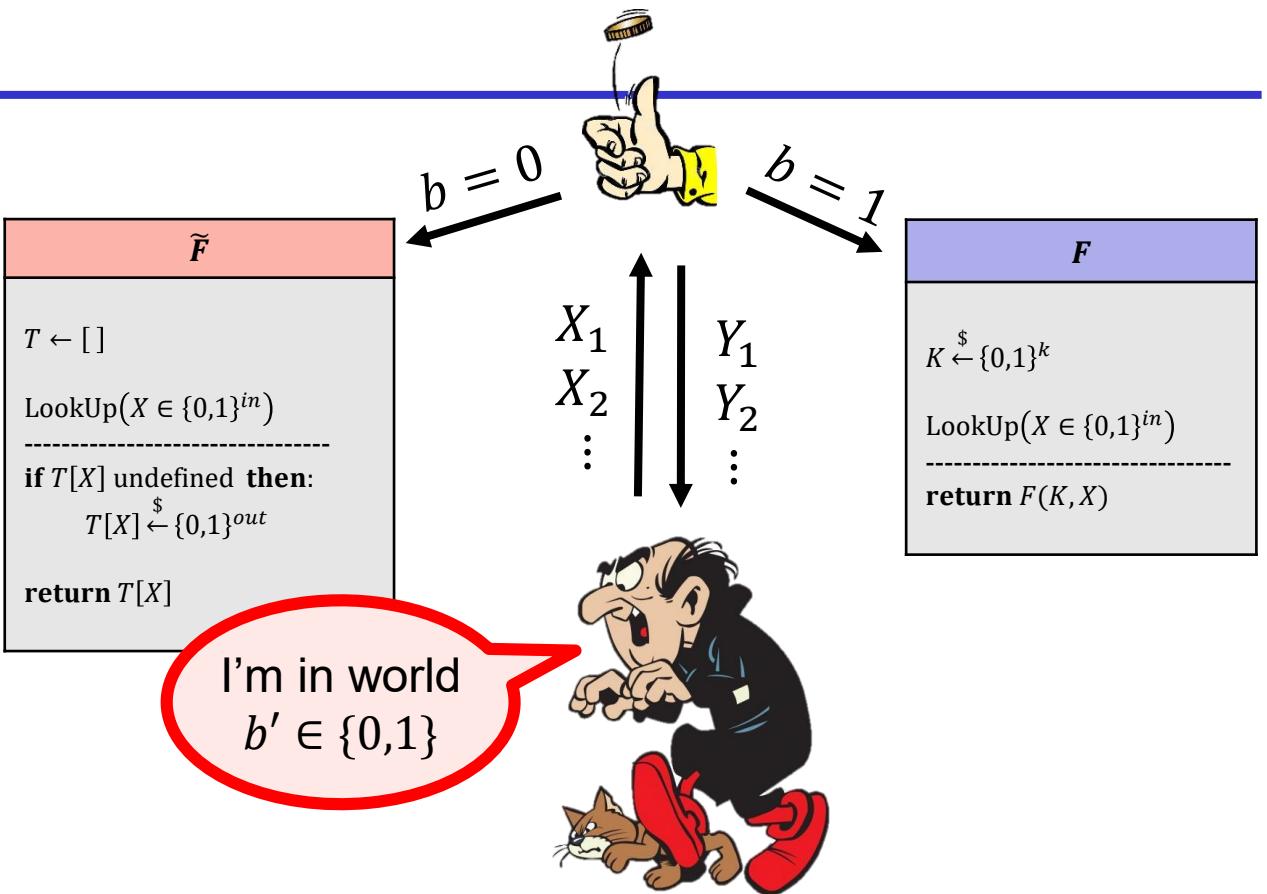
```
K \xleftarrow{\$} \{0,1\}^k
LookUp( $X \in \{0,1\}^{in}$ )
-----
return  $F(K, X)$ 
```

PRF security definition

$\text{Exp}_F^{\text{prf}}(A)$



1. $b \xleftarrow{\$} \{0,1\}$
2. $F_0 \xleftarrow{\$} \text{Func}[in, out]$
3. $K \xleftarrow{\$} \{0,1\}^k$
4. $F_1 \leftarrow F_K$
5. $b' \leftarrow A^{F_b}(\cdot)$
6. **return** $b' \stackrel{?}{=} b$

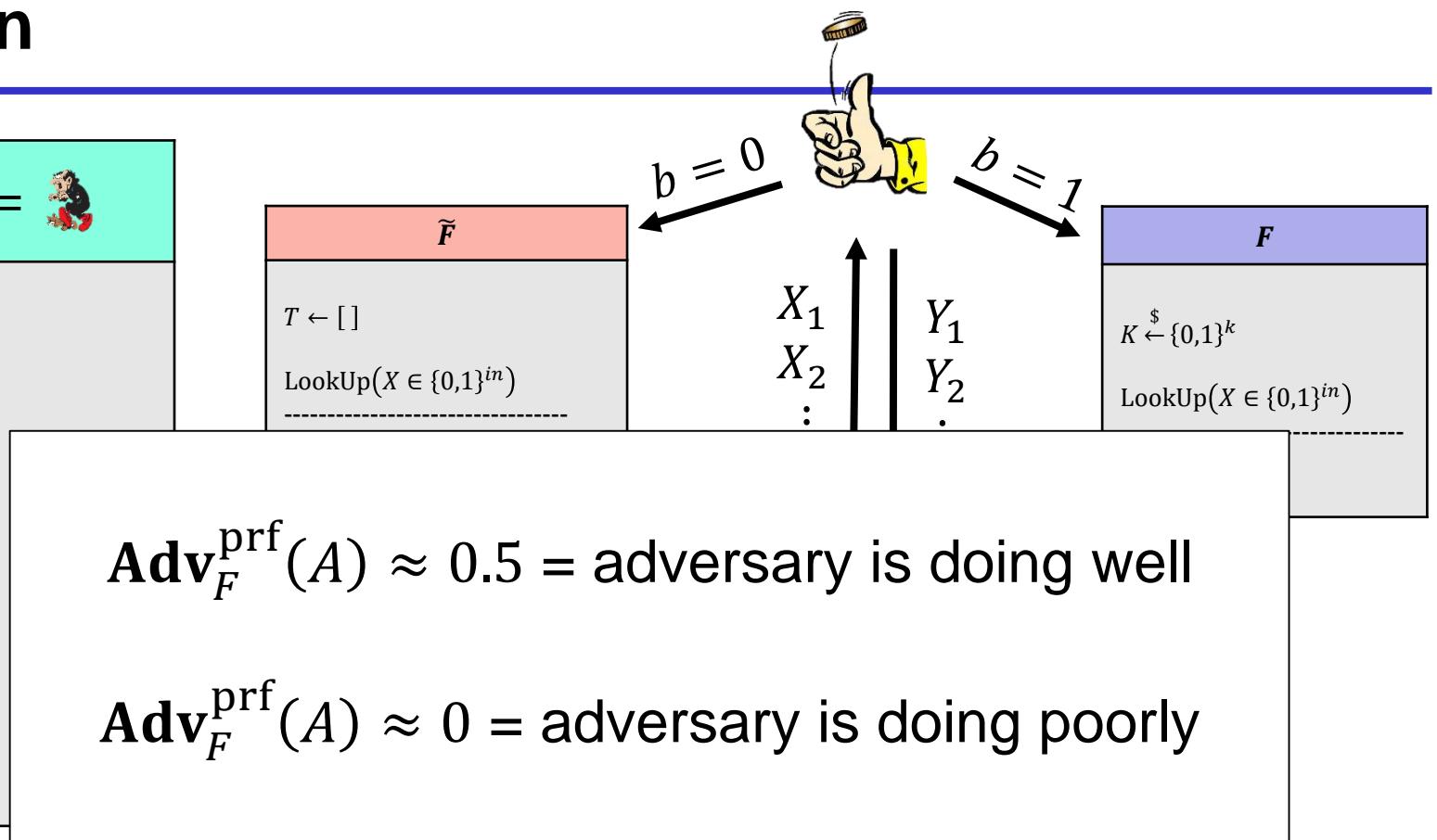


Definition: The **PRF-advantage** of an adversary A is

$$\text{Adv}_F^{\text{prf}}(A) = |\Pr[A \text{ wins in PRF experiment}] - 1/2|$$

PRF security definition

$\text{Exp}_F^{\text{prf}}(A)$	$A = \text{Monkey}$
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Intuitive idea: F is a **secure** PRF if $\text{Adv}_F^{\text{prf}}(A)$ is “*small*” for all “*practical*” A

Definition: The **PRF-advantage** of an adversary A is

$$\text{Adv}_F^{\text{prf}}(A) = \left| \Pr \left[\text{Exp}_F^{\text{prf}}(A) \Rightarrow \text{true} \right] - 1/2 \right|$$

Understanding "advantage"

- F is a **secure** PRF if $\mathbf{Adv}_F^{\text{prf}}(A)$ is "*small*" for *all* adversaries A that use a "*practical*" amount of resources
- Advantage depends on the adversary's:
 - strategy
 - available resources: running time, number of oracle calls (calls to \tilde{F} / F), memory...
- What does *small* and *practical* mean?
 - **Example:** **80-bit** security:
$$\mathbf{Adv}_F^{\text{prf}}(A) \leq \frac{q}{2^{80}}$$
for all A that makes at most q oracle calls
 - **Example:** a PRF is insecure if we can come up with an adversary having good advantage and not using too many resources

Example

- Let $F : \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}^n$ be defined by $F(K, X) = K \oplus X$

- Claim:** F is not a secure PRF

A

- Choose $X_0 \neq X_1 \in \{0,1\}^n$ arbitrarily
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Exp _{F} ^{prf}(A)

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$$\begin{aligned}
 \Pr[\text{Exp}_F^{\text{prf}}(A) \Rightarrow \text{true}] &= \Pr[b' = b] = \Pr[b' = 1 \mid b = 1] \cdot \Pr[b = 1] + \Pr[b' = 0 \mid b = 0] \cdot \Pr[b = 0] \\
 &= \Pr[b' = 1 \mid b = 1] \cdot 1/2 + \Pr[b' = 0 \mid b = 0] \cdot 1/2 \\
 &= \Pr[Y_0 \oplus Y_1 = X_0 \oplus X_1 \mid b = 1] \cdot 1/2 + \Pr[b' = 0 \mid b = 0] \cdot 1/2 \\
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$$\Pr[\text{Exp}_F^{\text{prf}}(A) \Rightarrow \text{true}] = \Pr[b' = b] = 1 - 2^{-n+1}$$

$$\text{Adv}_F^{\text{prf}}(A) = \left| \Pr[\text{Exp}_F^{\text{prf}}(A) \Rightarrow \text{true}] - 1/2 \right| = |1 - 2^{-n+1} - 1/2| = 1/2 - 2^{-n+1} \approx 1/2$$

PRP security definition

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PRP security definition

$\text{Exp}_F^{\text{prf prp}}(A)$

1. $b \xleftarrow{\$} \{0,1\}$
2. $F_0 \xleftarrow{\$} \text{Func}[in, out] \quad \text{Perm}[n]$
3. $K \xleftarrow{\$} \{0,1\}^k$
4. $F_1 \leftarrow F_K$
5. $b' \leftarrow A^{F_b(\cdot)}$
6. **return** $b' \stackrel{?}{=} b$

\widetilde{F}

$T \leftarrow []$

$\text{LookUp}(X \in \{0,1\}^{in})$

if $T[X]$ undefined **then**:

$T[X] \xleftarrow{\$} \{0,1\}^{out} \setminus T.\text{values}$

return $T[X]$

Definition: The **PRP-advantage** of an adversary A is

$$\text{Adv}_F^{\text{prp}}(A) = |\Pr[\text{Exp}_F^{\text{prp}}(A) \Rightarrow \text{true}] - 1/2|$$

Block cipher security

- Which security properties should a block cipher satisfy?
 - I.e., what should the **security definition** of a block cipher look like?

E is PRF/PRP secure $\Rightarrow E$ has properties P1 – P5

- **P1:** Should be hard to obtain K from $Y \leftarrow E_K(X)$ for secret K
- **P2:** Should be hard to obtain K from Y_1, Y_2, \dots where $Y_i \leftarrow E_K(X_i)$
- **P3:** Should be hard to obtain X from $Y \leftarrow E_K(X)$
- **P4:** Should be hard to obtain *any* X_i from Y_1, Y_2, \dots where $Y_i \leftarrow E_K(X_i)$
- **P5:** Should be hard to learn *any* bit of X from $Y \leftarrow E_K(X)$
- **P6:** Should be hard to detect *repetitions* among X_1, X_2, \dots from Y_1, Y_2, \dots
- **P7:** ...

Logic 101

$$A \Rightarrow B$$

is equivalent to:

$$\bar{A} \Leftarrow \bar{B}$$

Not good enough!

Impossible!

E is **not** PRF/PRP secure $\Leftarrow E$ has does **not** have properties P1 – P5

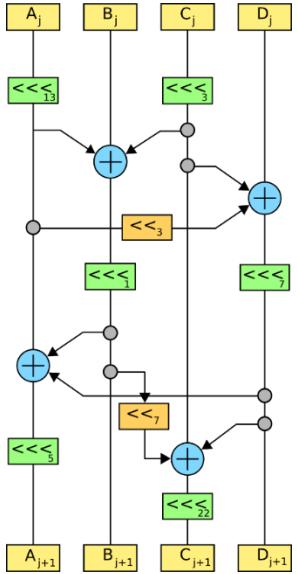
PRP security \Rightarrow PRF security

Theorem: (PRP/PRF Switching Lemma)

A secure PRP $E : \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$ is also a secure PRF.

In particular, for all A making at most q oracle queries:

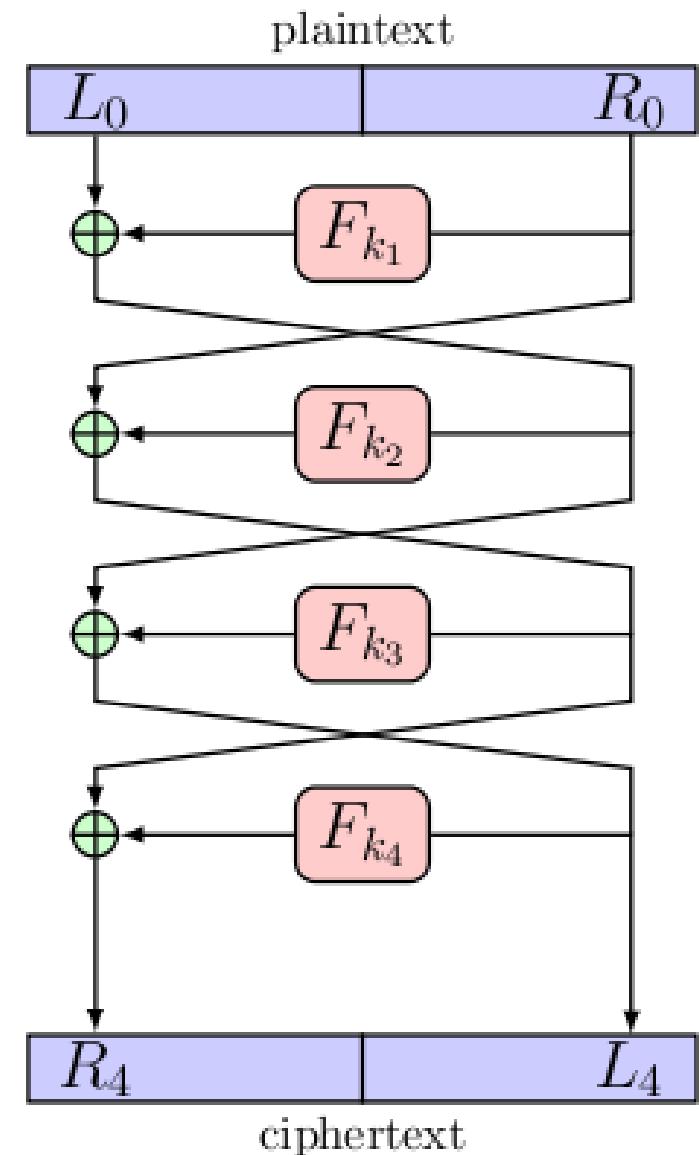
$$\mathbf{Adv}_E^{\text{prf}}(A) \leq \mathbf{Adv}_E^{\text{prp}}(A) + \frac{2q^2}{2^n}$$



CONSTRUCTING BLOCK CIPHERS

PRPs from PRFs – the Feistel construction

- Let $F : \{0,1\}^k \times \{0,1\}^{n/2} \rightarrow \{0,1\}^{n/2}$ be a **PRF**
 - not a *permutation!*
- Function $E(K, X) = \text{Feistel}_F^{(4)}(K, X)$ is a **PRP**
 - Called a **Feistel network/construction**
 - $E : \{0,1\}^{4k} \times \{0,1\}^n \rightarrow \{0,1\}^n$
- More or less DES:
 $\text{DES} \approx \text{Feistel}_F^{(16)} : \{0,1\}^{56} \times \{0,1\}^{64} \rightarrow \{0,1\}^{64}$
(56-bit key is expanded to 16 48-bit roundkeys)

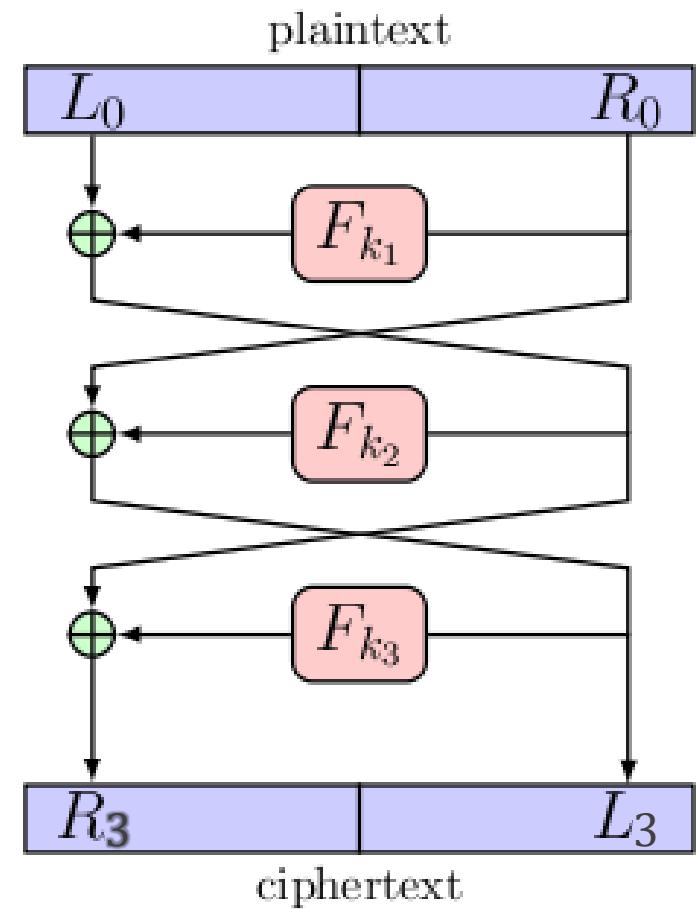


Feistel network security – theory

Theorem: (Luby & Rackoff '86)

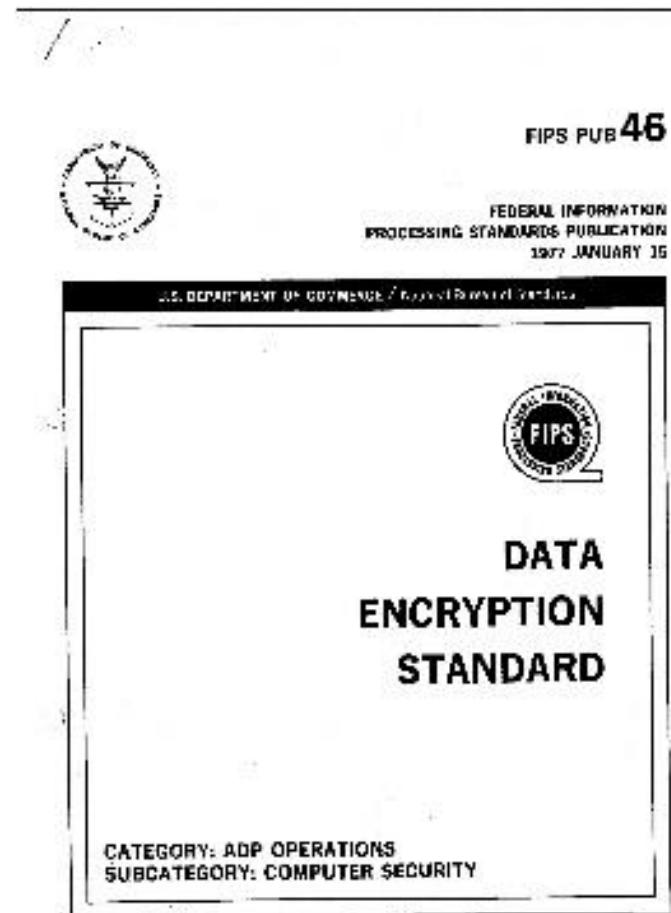
If $F : \{0,1\}^k \times \{0,1\}^{n/2} \rightarrow \{0,1\}^{n/2}$ is a **secure** PRF

\Rightarrow 3-round Feistel $E : \{0,1\}^{3k} \times \{0,1\}^n \rightarrow \{0,1\}^n$
is a **secure** PRP



Data Encryption Standard (DES)

- 1972 – NIST calls for a block cipher standard
 - 1974 – Horst Feistel at IBM designs *Lucifer*
 - Key-length: 128 bits; block-length: 128 bits
 - Lucifer evolves into *DES*
 - Input from the NSA
 - Key-length: 56 bits; block-length: 64 bits
 - #Rounds: 16
 - 1976 – Lucifer (now DES) is standardized
 - Widely implemented
-
- 1997 – Broken by exhaustive search
 - 2001 – Replaced by AES

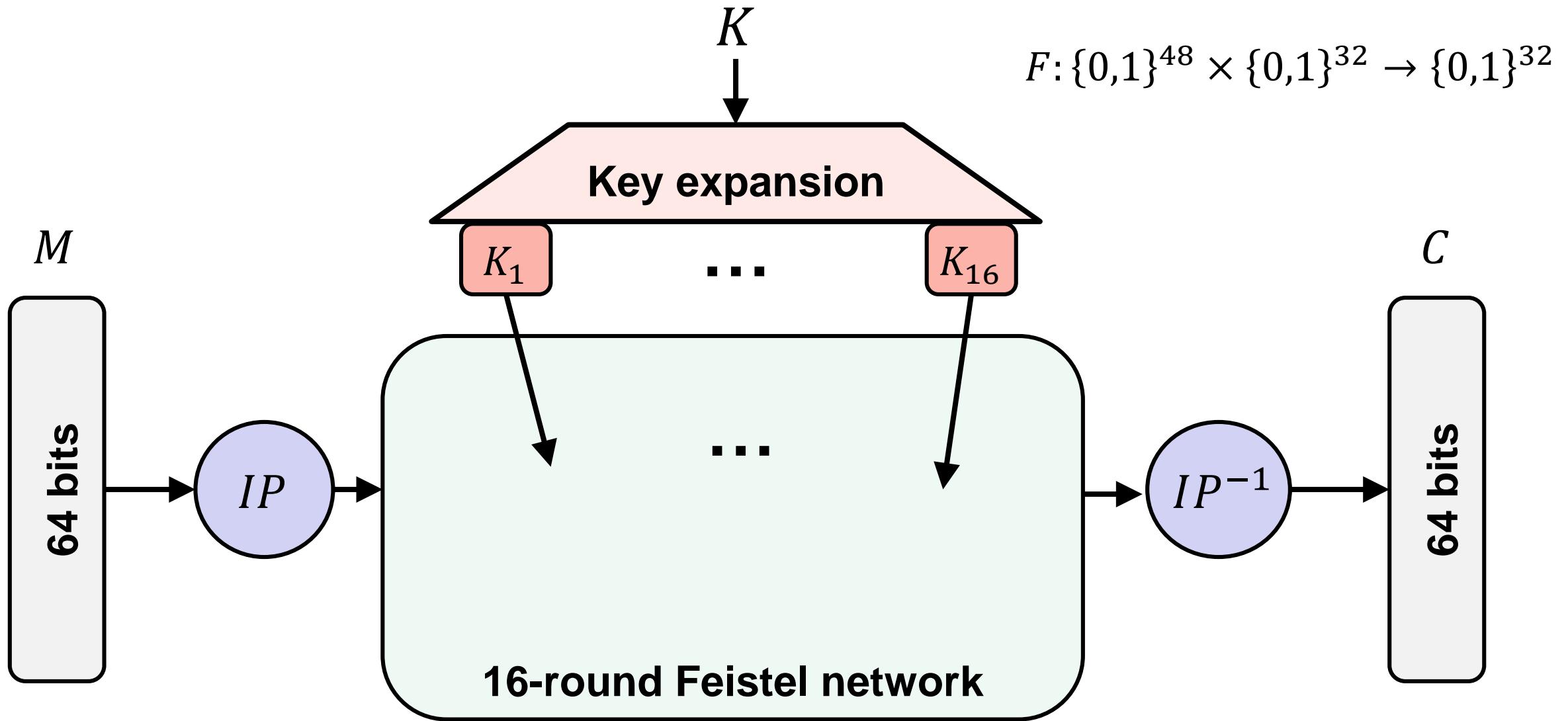


Principles for designing block ciphers

C. Shannon, “Communication Theory of Secrecy Systems”(1949):

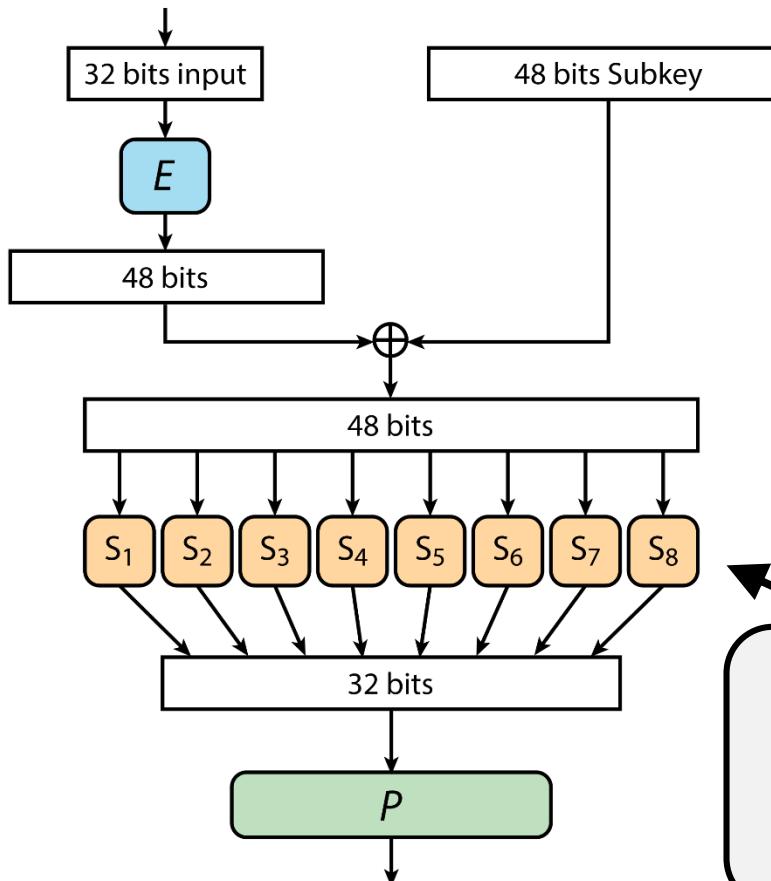
- **Diffusion:** plaintext spread over large parts of the ciphertext
- **Confusion:** a complex relation between plaintext, key and ciphertext

DES



DES round function

$F(K_i, X)$



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S-box: function $\{0,1\}^6 \rightarrow \{0,1\}^4$,
Implemented as a look-up table

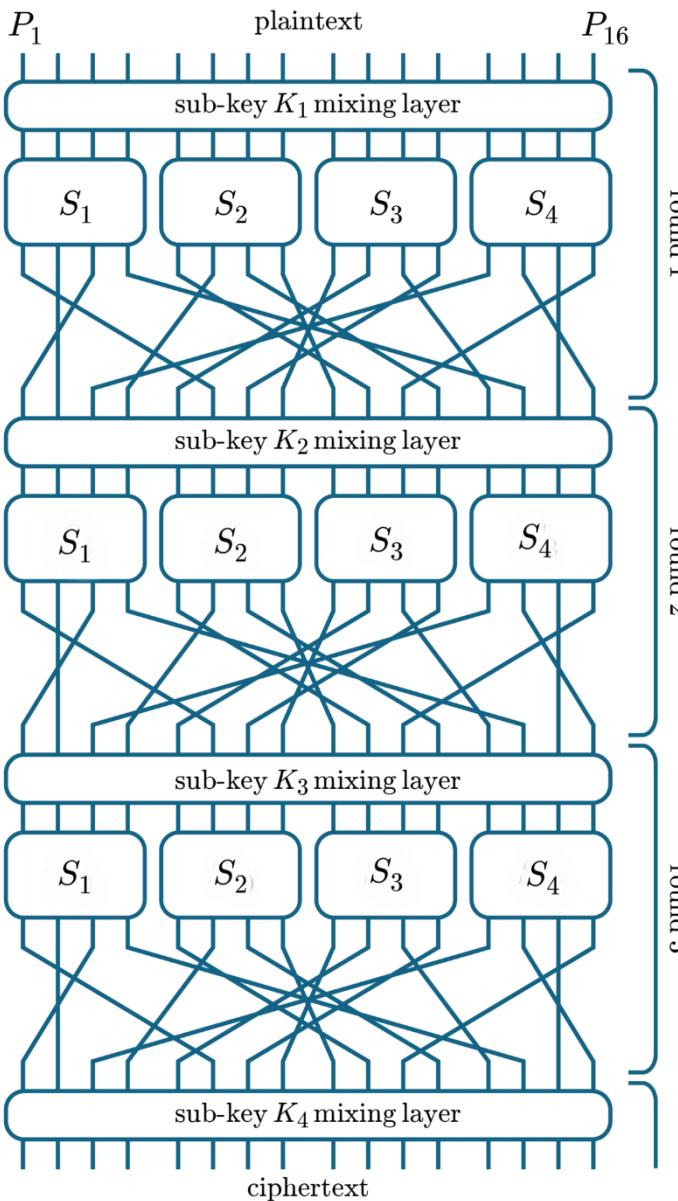
ciphertext

DES properties

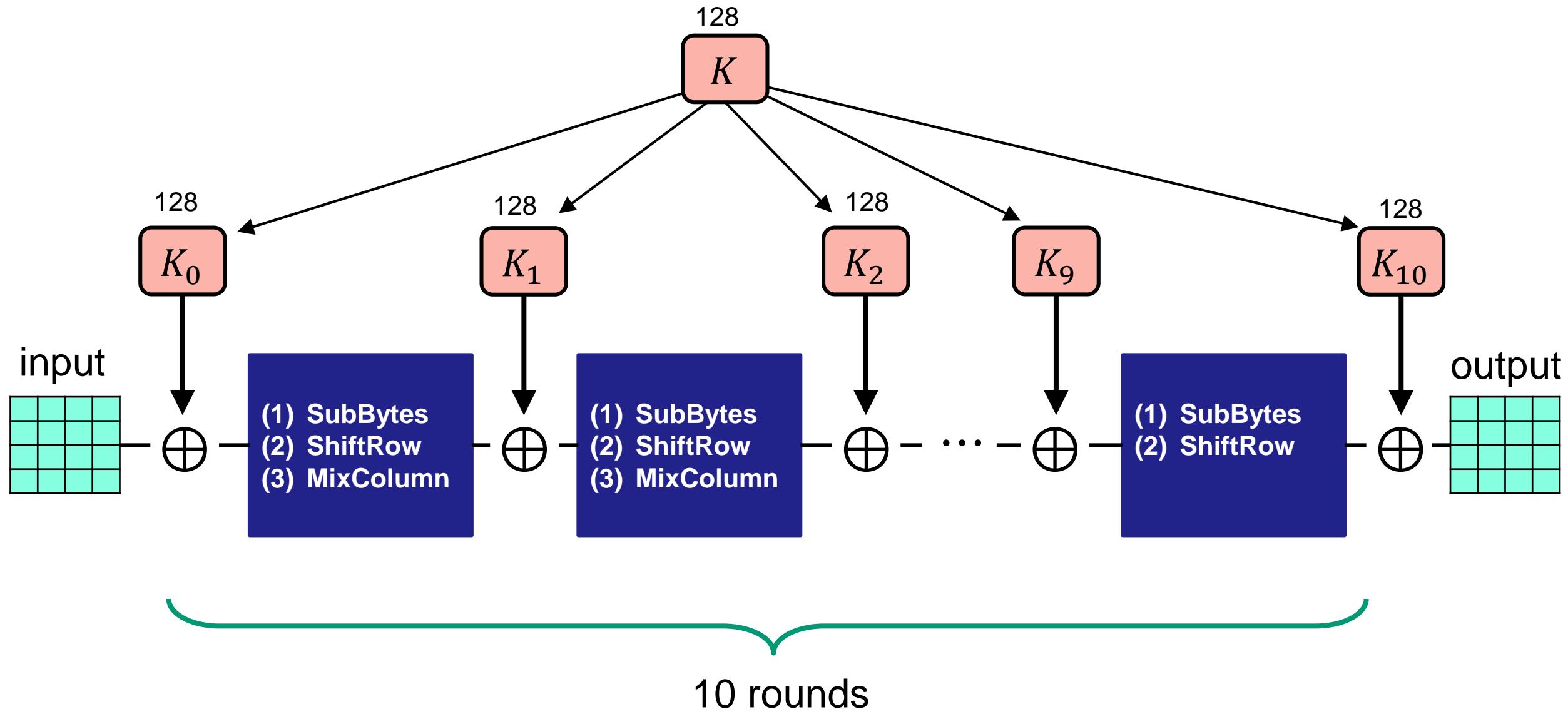
- Easy to implement in hardware
- Not as efficient in software
- Many design decisions still unclear
 - Design criteria classified for many years
 - Controversy around NSA influence
 - Initial S-boxes were changed
 - Switching to 56-bit keys (from 128 bits) probably to allow NSA to decrypt
- **Not secure** since key space and block length too small \Rightarrow replacement needed

ADVANCED ENCRYPTION STANDARD

Substitution-permutation networks

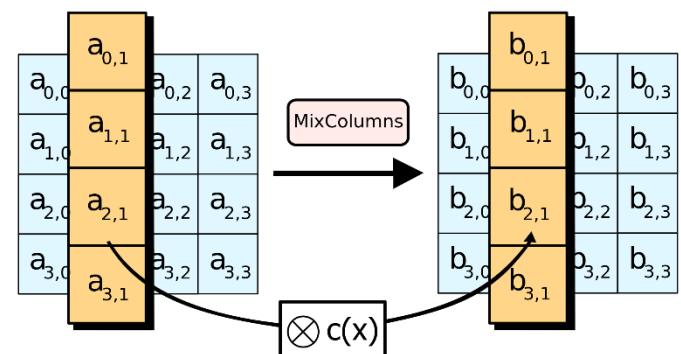
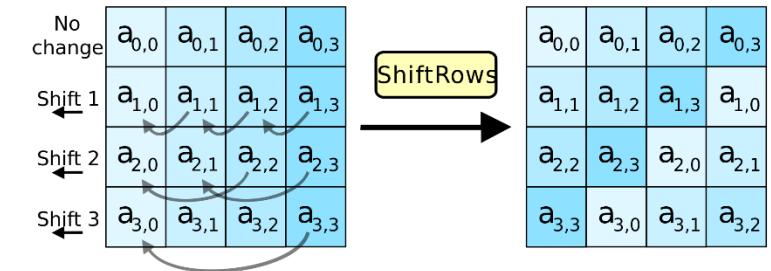
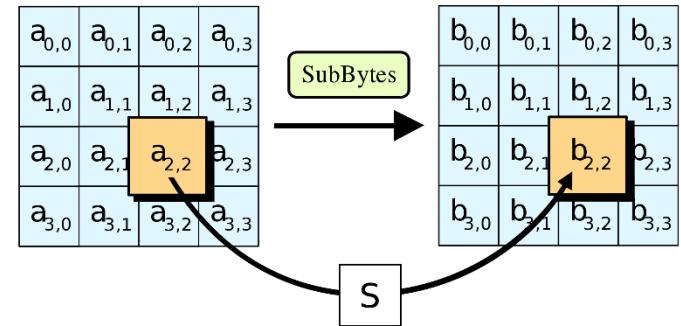


AES-128



AES round function

- (1) SubBytes
- (2) ShiftRow
- (3) MixColumn



AES round

$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$a_{0,3}$
$a_{1,0}$	$a_{1,1}$	$a_{1,2}$	$a_{1,3}$
$a_{2,0}$	$a_{2,1}$	$a_{2,2}$	$a_{2,3}$
$a_{3,0}$	$a_{3,1}$	$a_{3,2}$	$a_{3,3}$

SubBytes	$b_{i,j} = S[a_{i,j}]$
ShiftRows	$\begin{bmatrix} c_{0,j} \\ c_{1,j} \\ c_{2,j} \\ c_{3,j} \end{bmatrix} = \begin{bmatrix} b_{0,j} \\ b_{1,j-1} \\ b_{2,j-2} \\ b_{3,j-3} \end{bmatrix}$
MixColumns	$\begin{bmatrix} d_{0,j} \\ d_{1,j} \\ d_{2,j} \\ d_{3,j} \end{bmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} c_{0,j} \\ c_{1,j} \\ c_{2,j} \\ c_{3,j} \end{bmatrix}$
AddRoundKey	$\begin{bmatrix} e_{0,j} \\ e_{1,j} \\ e_{2,j} \\ e_{3,j} \end{bmatrix} = \begin{bmatrix} d_{0,j} \\ d_{1,j} \\ d_{2,j} \\ d_{3,j} \end{bmatrix} \oplus \begin{bmatrix} k_{0,j} \\ k_{1,j} \\ k_{2,j} \\ k_{3,j} \end{bmatrix}$

$$\begin{aligned}
\begin{bmatrix} e_{0,j} \\ e_{1,j} \\ e_{2,j} \\ e_{3,j} \end{bmatrix} &= \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} S[a_{0,j}] \\ S[a_{1,j-1}] \\ S[a_{2,j-2}] \\ S[a_{3,j-3}] \end{bmatrix} \oplus \begin{bmatrix} k_{0,j} \\ k_{1,j} \\ k_{2,j} \\ k_{3,j} \end{bmatrix} \\
&= \left(\begin{bmatrix} 02 \\ 01 \\ 01 \\ 03 \end{bmatrix} \cdot S[a_{0,j}] \right) \oplus \left(\begin{bmatrix} 03 \\ 02 \\ 01 \\ 01 \end{bmatrix} \cdot S[a_{1,j-1}] \right) \\
&\quad \oplus \left(\begin{bmatrix} 01 \\ 03 \\ 02 \\ 01 \end{bmatrix} \cdot S[a_{2,j-2}] \right) \oplus \left(\begin{bmatrix} 01 \\ 01 \\ 03 \\ 02 \end{bmatrix} \cdot S[a_{3,j-3}] \right) \oplus \begin{bmatrix} k_{0,j} \\ k_{1,j} \\ k_{2,j} \\ k_{3,j} \end{bmatrix}
\end{aligned}$$

$T_0[x] = \left(\begin{bmatrix} 02 \\ 01 \\ 01 \\ 03 \end{bmatrix} \cdot S[x] \right)$	$T_1[x] = \left(\begin{bmatrix} 03 \\ 02 \\ 01 \\ 01 \end{bmatrix} \cdot S[x] \right)$	$T_2[x] = \left(\begin{bmatrix} 01 \\ 03 \\ 02 \\ 01 \end{bmatrix} \cdot S[x] \right)$	$T_3[x] = \left(\begin{bmatrix} 01 \\ 01 \\ 03 \\ 02 \end{bmatrix} \cdot S[x] \right)$
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AES round

$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$a_{0,3}$
$a_{1,0}$	$a_{1,1}$	$a_{1,2}$	$a_{1,3}$
$a_{2,0}$	$a_{2,1}$	$a_{2,2}$	$a_{2,3}$
$a_{3,0}$	$a_{3,1}$	$a_{3,2}$	$a_{3,3}$

SubBytes	$b_{i,j} = S[a_{i,j}]$
ShiftRows	$\begin{bmatrix} c_{0,j} \\ c_{1,j} \\ c_{2,j} \\ c_{3,j} \end{bmatrix} = \begin{bmatrix} b_{0,j} \\ b_{1,j-1} \\ b_{2,j-2} \\ b_{3,j-3} \end{bmatrix}$
MixColumns	$\begin{bmatrix} d_{0,j} \\ d_{1,j} \\ d_{2,j} \\ d_{3,j} \end{bmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} c_{0,j} \\ c_{1,j} \\ c_{2,j} \\ c_{3,j} \end{bmatrix}$
AddRoundKey	$\begin{bmatrix} e_{0,j} \\ e_{1,j} \\ e_{2,j} \\ e_{3,j} \end{bmatrix} = \begin{bmatrix} d_{0,j} \\ d_{1,j} \\ d_{2,j} \\ d_{3,j} \end{bmatrix} \oplus \begin{bmatrix} k_{0,j} \\ k_{1,j} \\ k_{2,j} \\ k_{3,j} \end{bmatrix}$

$$\begin{bmatrix} e_{0,j} \\ e_{1,j} \\ e_{2,j} \\ e_{3,j} \end{bmatrix} = T_0[a_{0,j}] \oplus T_1[a_{1,j-1}]$$

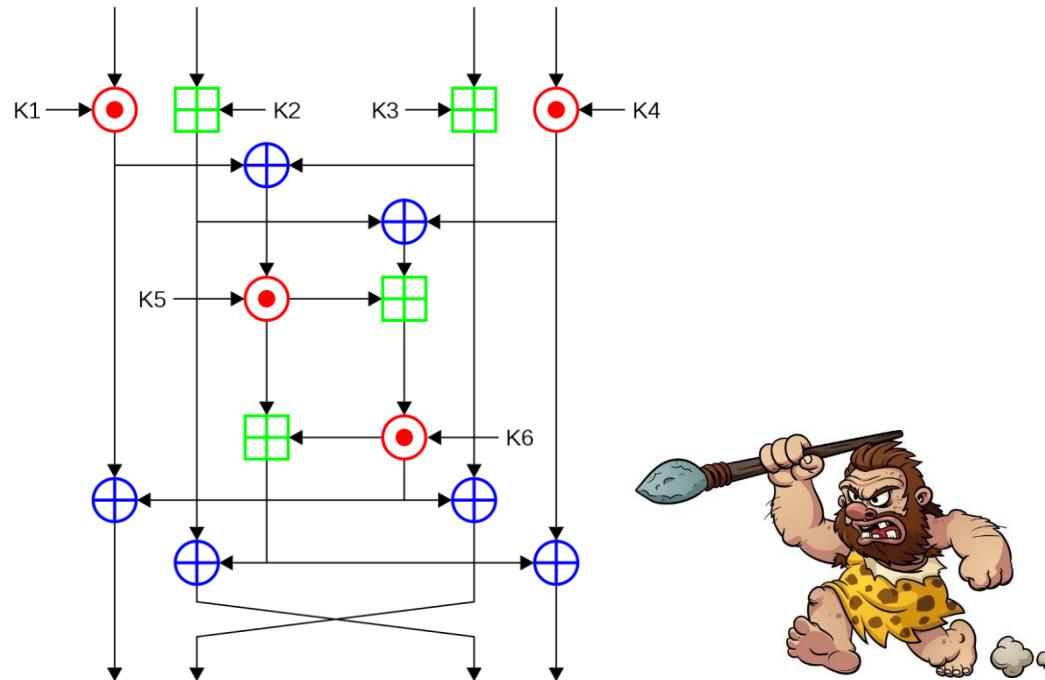
$$\oplus T_2[a_{2,j-2}] \oplus T_3[a_{3,j-3}] \oplus \begin{bmatrix} k_{0,j} \\ k_{1,j} \\ k_{2,j} \\ k_{3,j} \end{bmatrix}$$

$T_0[x] = \left(\begin{bmatrix} 02 \\ 01 \\ 01 \\ 03 \end{bmatrix} \cdot S[x] \right)$	$T_1[x] = \left(\begin{bmatrix} 03 \\ 02 \\ 01 \\ 01 \end{bmatrix} \cdot S[x] \right)$	$T_2[x] = \left(\begin{bmatrix} 01 \\ 03 \\ 02 \\ 01 \end{bmatrix} \cdot S[x] \right)$	$T_3[x] = \left(\begin{bmatrix} 01 \\ 01 \\ 03 \\ 02 \end{bmatrix} \cdot S[x] \right)$
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AES performance

- AES is reasonably efficient in software
 - T-table implementation very fast (but not secure!)
 - Hard to implement fast and constant-time
- Intel introduced dedicated AES instructions into their CPUs (AES-NI):
 - **aesenc, aesenclast**: do one round of AES in one cycle
 - **aeskeygenassist**: do AES key expansion
 - **aesdec, aesdeclast**: do one round of AES decryption in one cycle
 - **aesimc**: do AES inverse MixColumns
- Now standard in all modern CPUs

	Throughput
AES-128 (in software)	265 MB/s
AES-128 (w/AES-NI)	3.45 GB/s



ATTACKING BLOCK CIPHERS

Attacks on block ciphers

- Brute force attacks: search through every possible key in key space
 - Generic: works for all block ciphers
 - Not practical for large key spaces
- Advanced attacks: try to exploit the concrete details of the block cipher
 - Differential cryptanalysis ('90, but known by the designers of DES + NSA since mid '70)
 - Linear cryptanalysis ('92)
 - AES designed to resist both
- Implementation attacks: vulnerabilities due to implementation characteristics
 - Power draw
 - Timing
 - Cache misses

Summary

- Block ciphers are very important **primitives** (building blocks) – but they are not encryption schemes!
- Correct abstraction: block ciphers = PRPs
- Right security notion for PRFs/PRPs:
indistinguishability from random function/permuation
- Concrete block cipher designs: DES and AES