# Lecture 12 - Quantum computers, Shor's algorithm, post-quantum cryptography 

TEK4500
10.11.2021

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## MIT

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## Computing

## NSA Says It "Must Act Now"

Against the Quantum Computing
Threat
The National Security Agency is worried that quantum
computers will neutralize our best encryption - but doesn't yet know what to do about that problem.
by Tom Simonite February 3,2016

## Quantum computing - the starting point

International Journal of Theoretical Physics, Vol. 21, Nos. 6/7, 1982


## Simulating Physics with Computers

Richard P. Feynman

Department of Physics, California Institute of Technology, Pasadena, California 91107
Received May 7, 1981

## Elements of (quantum) computing

- Three elements of all computations: data, operations, results
- Quantum computation
- Data = qubit
- Operation = quantum gate
- Results = measurements



## Qubits

- Classical bit:

- Qubit:

Can be in a superposition of two basic states $|0\rangle$ and $|1\rangle$

$$
|\psi\rangle=\alpha|0\rangle+\beta|1\rangle \quad \alpha, \beta \in \boldsymbol{C} \quad|\alpha|^{2}+|\beta|^{2}=1
$$

But we can never observe $\alpha$ and $\beta$ directly!

Must measure $|\psi\rangle$ to obtain its value $\Rightarrow$ state randomly collapses to either $|0\rangle$ or $|1\rangle$

What's the probability of observing $|0\rangle$ or $|1\rangle$ ?

$$
\begin{aligned}
& \operatorname{Pr}[\text { measure }|\psi\rangle \Rightarrow|0\rangle]=|\alpha|^{2} \\
& \operatorname{Pr}[\text { measure }|\psi\rangle \Rightarrow|1\rangle]=|\beta|^{2}
\end{aligned}
$$

## Quantum states - multiple qubits

- A quantum computer consists of multiple qubits

$$
\left|\psi_{0} \psi_{1}\right\rangle=\alpha|00\rangle+\beta|01\rangle+\gamma|10\rangle+\delta|11\rangle
$$

$$
\begin{gathered}
\alpha, \beta, \gamma, \delta \in \boldsymbol{C} \\
|\alpha|^{2}+|\beta|^{2}+|\gamma|^{2}+|\delta|^{2}=1
\end{gathered}
$$

- $N$-qubit system: $2^{N}$ basis states

$$
|\boldsymbol{\psi}\rangle=\left|b_{0} b_{1} \cdots b_{N-1}\right\rangle=\sum_{i=0}^{2^{N}-1} \alpha_{i}|i\rangle \quad\left|\alpha_{0}\right|^{2}+\left|\alpha_{1}\right|^{2}+\cdots+\left|\alpha_{2-1}\right|^{2}=1
$$



- Representable by a $2^{N}$ element vector

$$
|\boldsymbol{\psi}\rangle=\left(\begin{array}{c}
\alpha_{0} \\
\alpha_{1} \\
\vdots \\
\alpha_{N-1}
\end{array}\right)
$$



## Quantum computation - quantum gates

- Classic bits are transformed using logical gates

- Qubits are transformed using quantum gates

$$
|\psi\rangle=\alpha|0\rangle+\beta|1\rangle \mapsto\left|\psi^{\prime}\right\rangle=\alpha^{\prime}|0\rangle+\beta^{\prime}|1\rangle
$$

| Operator | Gate(s) | Matrix |
| :--- | :---: | :---: |
| Pauli-X (X) | $-\mathbf{X}$ | $\left[\begin{array}{ll}0 & 1 \\ 1 & 0\end{array}\right]$ |
| Pauli-Z (Z) | $-\mathbf{Z}$ | $\left[\begin{array}{cc}1 & 0 \\ 0 & -1\end{array}\right]$ |
| Hadamard (H) | $-\mathbf{H}$ | $\frac{1}{\sqrt{2}}\left[\begin{array}{cc}1 & 1 \\ 1 & -1\end{array}\right]$ |
| Controlled Not <br> (CNOT, CX) | - | $\left[\begin{array}{ccc}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0\end{array}\right.$ |
|  | - |  |

## (Quantum) NOT-gate (or X gate)

$|0\rangle \stackrel{X}{\mapsto}|1\rangle$
|1) $\stackrel{X}{\mapsto}|0\rangle$

$$
|\psi\rangle=\alpha|0\rangle+\beta|1\rangle
$$

$$
\alpha|0\rangle+\beta|1\rangle \stackrel{X}{\mapsto} \beta|0\rangle+\alpha|1\rangle
$$

## X gate:

$$
\boldsymbol{X}=\left[\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right]
$$

$$
\begin{aligned}
&|\psi\rangle=\binom{\alpha}{\beta}|0\rangle=\binom{1}{0} \\
&|1\rangle=\binom{0}{1}
\end{aligned}
$$

$$
\boldsymbol{X}|0\rangle=\boldsymbol{X}\binom{1}{0}=\binom{0}{1}
$$

$$
\left[\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right]\binom{1}{0}=\binom{0}{1}
$$

$$
\left[\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right]\binom{0}{1}=\binom{1}{0}
$$

$$
\left[\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right]\binom{\alpha}{\beta}=\binom{\beta}{\alpha}
$$

## The Hadamard gate

$|0\rangle \stackrel{H}{\mapsto} \frac{|0\rangle+|1\rangle}{\sqrt{2}}$
|1) $\stackrel{H}{\mapsto} \frac{|0\rangle-|1\rangle}{\sqrt{2}}$

## H gate:

$$
\boldsymbol{H}=\left[\begin{array}{cc}
1 / \sqrt{2} & 1 / \sqrt{2} \\
1 / \sqrt{2} & -1 / \sqrt{2}
\end{array}\right]
$$

$$
\left[\begin{array}{cc}
1 / \sqrt{2} & 1 / \sqrt{2} \\
1 / \sqrt{2} & -1 / \sqrt{2}
\end{array}\right]\binom{1}{0}=\binom{1 / \sqrt{2}}{1 / \sqrt{2}}
$$

$$
|\psi\rangle=\alpha|0\rangle+\beta|1\rangle
$$

$$
\operatorname{Pr}[\text { measure }|\psi\rangle=|0\rangle]=|\alpha|^{2}
$$

$$
\operatorname{Pr}[\text { measure }|\psi\rangle=|1\rangle]=|\beta|^{2}
$$

$$
\begin{aligned}
& \operatorname{Pr}[\text { measure } \boldsymbol{H}|0\rangle \Rightarrow|0\rangle]=\left|\frac{1}{\sqrt{2}}\right|^{2}=0.5 \\
& \operatorname{Pr}[\text { measure } \boldsymbol{H}|1\rangle \Rightarrow|1\rangle]=\left|\frac{1}{\sqrt{2}}\right|^{2}=0.5
\end{aligned}
$$

The Hadamard gate allows us to create random bits!

$$
\left[\begin{array}{cc}
1 / \sqrt{2} & 1 / \sqrt{2} \\
1 / \sqrt{2} & -1 / \sqrt{2}
\end{array}\right]\binom{0}{1}=\binom{1 / \sqrt{2}}{-1 / \sqrt{2}}
$$

## Controlled-NOT gate (CNOT)

CNOT
$|00\rangle \mapsto|00\rangle$
$|01\rangle \mapsto|01\rangle$
$|10\rangle \mapsto|11\rangle$
$|11\rangle \mapsto|10\rangle$

## CNOT gate:

$$
\mathbf{C N O T}=\left[\begin{array}{llll}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 0 & 1 \\
0 & 0 & 1 & 0
\end{array}\right]
$$



$$
\begin{aligned}
& |10\rangle \quad|11\rangle \\
& {\left[\begin{array}{llll}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 0 & 1 \\
0 & 0 & 1 & 0
\end{array}\right]\left(\begin{array}{l}
0 \\
0 \\
1 \\
0
\end{array}\right)=\left(\begin{array}{l}
0 \\
0 \\
0 \\
1
\end{array}\right)} \\
& {\left[\begin{array}{llll}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 0 & 1 \\
0 & 0 & 1 & 0
\end{array}\right]\left(\begin{array}{l}
\alpha \\
\beta \\
\gamma \\
\delta
\end{array}\right)=\left(\begin{array}{l}
\alpha \\
\beta \\
\delta \\
\gamma
\end{array}\right)}
\end{aligned}
$$

## Many other gates...



## Quantum gates

- Turns out that all quantum gates can be described by matrices
- In fact, very special matrices: unitary matrices
- ... and only unitary matrices! (fact of nature)
|0 $\stackrel{X}{\stackrel{X}{\mid}}|1\rangle$
|1) $\stackrel{X}{\stackrel{X}{\mapsto}}|0\rangle$

$$
X=\left[\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right]
$$

- Quantum operations are linear and can be combined

$$
\left|\psi_{0}\right\rangle \stackrel{Z}{\mapsto}\left|\psi_{1}\right\rangle \stackrel{X}{\mapsto}\left|\psi_{2}\right\rangle \stackrel{H}{\mapsto}\left|\psi_{3}\right\rangle \stackrel{Z}{\mapsto}\left|\psi_{4}\right\rangle
$$

$\begin{array}{ll}|0\rangle \stackrel{Z}{\mapsto}|0\rangle \\ |1\rangle \stackrel{Z}{\mapsto}-|1\rangle\end{array} \quad Z=\left[\begin{array}{rr}1 & 0 \\ 0 & -1\end{array}\right]$

$$
\begin{aligned}
\boldsymbol{Z} \boldsymbol{H} \boldsymbol{Z} \boldsymbol{Z}\left|\psi_{0}\right\rangle & =\left|\psi_{4}\right\rangle \\
\boldsymbol{Z} \boldsymbol{H} \boldsymbol{X} \boldsymbol{Z}|0\rangle & =\left[\begin{array}{rr}
1 & 0 \\
0 & -1
\end{array}\right]\left[\begin{array}{rr}
1 / \sqrt{2} & 1 / \sqrt{2} \\
1 / \sqrt{2} & -1 / \sqrt{2}
\end{array}\right]\left[\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right]\left[\begin{array}{cc}
1 & 0 \\
0 & -1
\end{array}\right]\binom{1}{0} \\
& =\left[\begin{array}{rr}
1 / \sqrt{2} & -1 / \sqrt{2} \\
1 / \sqrt{2} & 1 / \sqrt{2}
\end{array}\right]\binom{1}{0}=\binom{1 / \sqrt{2}}{1 / \sqrt{2}}
\end{aligned}
$$

$|0\rangle \stackrel{H}{\mapsto} \frac{|0\rangle+|1\rangle}{\sqrt{2}}$
$|1\rangle \stackrel{H}{\mapsto} \frac{|0\rangle-|1\rangle}{\sqrt{2}}$

## Quantum computer

- A quantum computer consists of:
- $\quad N$ input qubits
- a sequence of quantum gates
- $\quad N$ output qubits
- result = measurement of final quantum state (output qubits)



## What makes quantum computation special?

- Warning: a quantum computer does not simply "try out all solutions in parallel"
- The magic comes from allowing complex amplitudes (or even just negative reals)

$$
|\psi\rangle=\alpha|0\rangle+\beta|1\rangle \quad \alpha, \beta \in \boldsymbol{C}
$$

- Quantum interference: can carefully choreograph computations so wrong answers "cancel out" their amplitudes, while correct answers "combine"

- increases probability of measuring correct result
- only a few special problems allow this choreography

| "THE TALK" |
| :--- |
| BY SCOTT AARONSON \& ZACH WEINERSMITH |



## Example (interference)

$$
G=\left[\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\
0 & 0 & \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}}
\end{array}\right]
$$

$$
\begin{aligned}
& G|10\rangle \quad \frac{1}{\sqrt{2}}|10\rangle+\frac{1}{\sqrt{2}}|11\rangle \\
& { }_{G}|11\rangle \quad \frac{1}{\sqrt{2}}|10\rangle-\frac{1}{\sqrt{2}}|11\rangle \\
& G\left(\frac{1}{\sqrt{2}}|10\rangle-\frac{1}{\sqrt{2}}|11\rangle\right)=\frac{1}{\sqrt{2}}(G|10\rangle-G|11\rangle) \\
& {\left[\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\
0 & 0 & \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}}
\end{array}\right]\left(\begin{array}{l}
0 \\
0 \\
0
\end{array}\right)=\left(\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\
0 & 0 & \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}}
\end{array}\right]\left(\begin{array}{l}
0 \\
\frac{1}{\sqrt{2}} \\
0 \\
1 \\
1
\end{array}\right)=\left(\begin{array}{c}
0 \\
0 \\
\frac{1}{\sqrt{2}} \\
\frac{1}{\sqrt{2}}
\end{array}\right)} \\
& =\frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}|10\rangle+\frac{1}{\sqrt{2}}|11\rangle-\frac{1}{\sqrt{2}}|10\rangle+\frac{1}{\sqrt{2}}|11\rangle\right) \\
& =|11\rangle
\end{aligned}
$$

## Shor's algorithm

Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer*

Peter W. Shor ${ }^{\dagger}$

Abstract


A digital computer is generally believed to be an efficient universal computing device; that is, it is believed able to simulate any physical computing device with an increase in computation time by at most a polynomial factor. This may not be true when quantum mechanics is taken into consideration. This paper considers factoring integers and finding discrete logarithms, two problems which are generally thought to be hard on a classical computer and which have been used as the basis of several proposed cryptosystems. Efficient randomized algorithms are given for these two problems on a hypothetical quantum computer. These algorithms take a number of steps polynomial in the input size, e.g., the number of digits of the integer to be factored.

Keywords: algorithmic number theory, prime factorization, discrete logarithms, Church's thesis, quantum computers, foundations of quantum mechanics, spin systems, Fourier transforms

First: something completely different
$2,4,8,16,32,64,128,256,512,1024, \ldots$


## Factoring to order-finding

$$
N=p q
$$

$$
\underbrace{a^{1}, a^{2}, a^{3}, \ldots, a^{r}, a^{1}, a^{2} \ldots \quad(\bmod N)}_{\text {order of } a=\text { the smallest positive } r \text { such that } a^{r}=1(\bmod N)} \quad|\langle a\rangle|=r
$$

Fact: $r$ must divide $(p-1)(q-1)$

$$
\begin{aligned}
& \text { Euler's theorem: for all } a \in \mathbf{Z}_{N}^{*} \\
& \qquad a^{\phi(N)}=a^{(p-1)(q-1)}=1(\bmod N)
\end{aligned}
$$

Proof:

- $(p-1)(q-1)=s r+t$

$$
0 \leq t<r
$$

- $a^{(p-1)(q-1)}=a^{s r+t}=a^{s r} a^{t}=\left(a^{r}\right)^{s} a^{t}=1 \cdot a^{t}=1 \bmod N \Rightarrow t=0$
(since $r$ is the smallest)
- $(p-1)(q-1)=s r$

Conclusion: learn $r \Rightarrow$ we learn a factor of $(p-1)(q-1)$
repeat with a different $a \Rightarrow$ learn another factor of $(p-1)(q-1)$
(with high prob.)
eventually we can learn full $(p-1)(q-1) \Rightarrow$ can find $p$ and $q$
(Problem set 10)

## Shor's algorithm

Where the quantum magic happens!


## Shor's algorithm

- To factor $N$ : find order of $a$ in $\boldsymbol{Z}_{N}^{*}$
- Problem: $r$ can be very large
- Classical solutions take exponential time
- Note: the function $f(i)=a^{i} \bmod N$ is periodic:

$$
f(i+k r)=a^{i+k r}=a^{i} \bmod N=f(i)
$$

- finding signal frequencies $\Leftrightarrow$ finding signal period
- Key ingredient of Shor's algorithm:
quantum Fourier transform (QFT)



## Shor's algorithm



## Consequences of Shor's algorithm

- Cryptosystems broken by Shors' algorithm:
- RSA
- Diffie-Hellman
- Schnorr
- ElGamal

$$
\operatorname{both}_{z_{p}^{*}} \text { and } E\left(\beta_{p}\right)
$$

- ECDSA
- ...public-key crypto is dead

| Shor's algorithm |
| :--- |
| Input: $N=p q$ |
| Output: $p$ and $q$ |
|  |
| 1. $\quad a \stackrel{\$}{\leftarrow} \boldsymbol{Z}_{N}$ |
| 2. |
| 3. |
| use $r$ to find $\phi(N) \quad / /$ QFT++ |
| 4. |
| compute $p$ and $q$ from $N$ and $\phi(N)$ |

## The quantum menace

- How far away is a quantum computer?
- Nobody knows
- many physical qubits needed to simulate a single logical qubit

- $\approx 1000$ physical qubits needed for 1 logical qubit
- $\approx 1000$ logical qubits needed for Shor's algorithm
- largest (known) quantum computers:
$\approx 65$ physical qubits (IBM; 2020)
$\approx 53$ physical qubits (Google; 2019)


## (no error correction)

(no error correction; demonstrated quantum supremacy)

## The quantum menace

## How many qubits in a quantum computer?



## The quantum menace

## How many qubits in a quantum computer?



## Dealing with quantum computers

- Symmetric cryptography
- Grover's algorithm: solves $\mathcal{O}\left(2^{n}\right)$ problems in $\mathcal{O}\left(2^{n / 2}\right)$ quantum steps
- Solution: double key-lengths (128 $\rightarrow 256$ )
- Quantum cryptography
- Use quantum mechanics to build cryptography
- Post-quantum cryptography
- Classical algorithms believed to withstand quantum attacks


## Post-quantum cryptography

Lattice-based cryptography


Hash-based signatures
Supersingular isogeny key exchange

## The NIST post-quantum competition

- Public competition to standardize post-quantum schemes
- Public-key encryption
- Digital signatures
- Started in 2017
- Round 1: 69 submissions
- Round 2: 26 candidates selected
- Round 3: 15 candidates selected (current)
- Winner(s) expected in about a year

| Algorithm (public-key encryption) | Problem |
| :--- | :--- |
| Classic McEliece | Code-based |
| CRYSTALS-KYBER | Lattice-based |
| NTRU | Lattice-based |
| SABER | Lattice-based |
| BIKE | Code-based |
| FrodoKEM | Lattice-based |
| HQC | Code-based |
| NTRU Prime | Lattice-based |
| SIKE | Isogeny-based |
|  |  |
| Algorithm (digital signatures) | Problem |
| CRYSTALS-DILITHIUM | Lattice-based |
| FALCON | Lattice-based |
| Rainbow | Multivariate-based |
| GeMSS | Multivariate-based |
| Picnic | ZKP |
| SPHINCS+ | Hash-based |

## Learn more about post-quantum cryptography?

- Want to learn more about post-quantum cryptography?
- Sign up for TEK5550 - Advanced Topics in Cryptology next spring!


## Next week

- Guest lecture
- Martin Strand, PhD, Norwegian Defence Research Establishment (FFI)
- Topic: blind signatures and anonymous authentication

