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# Lecture 13 – Quantum computers, Shor's algorithm, post-quantum cryptography

**TEK4500**

16.11.2022

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# Quantum computing – the starting point

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*International Journal of Theoretical Physics, Vol. 21, Nos. 6/7, 1982*



## **Simulating Physics with Computers**

**Richard P. Feynman**

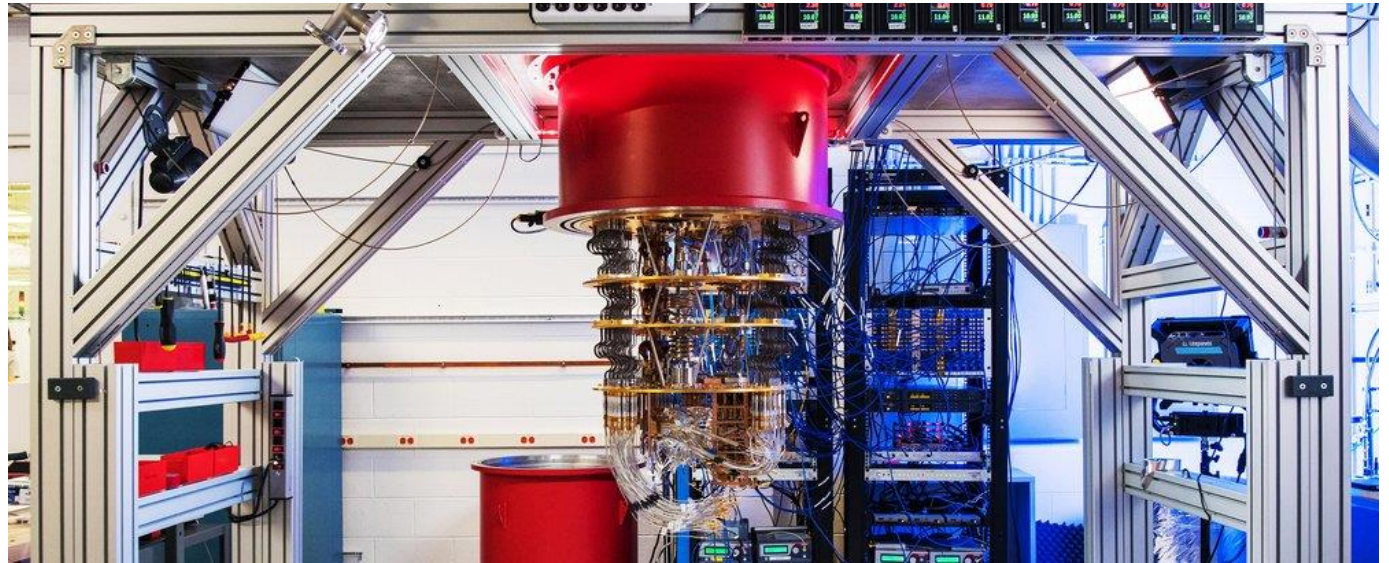
*Department of Physics, California Institute of Technology, Pasadena, California 91107*

*Received May 7, 1981*

# Elements of (quantum) computing

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- Three elements of all computations: data, operations, results
  
- Quantum computation
  - Data = **qubit**
  - Operation = **quantum gate**
  - Results = **measurements**



# Qubits

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- Classical bit:           ●           ●  
                              0           1

- Qubit:

Can be in a **superposition** of two basic states  $|0\rangle$  and  $|1\rangle$

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle \qquad \alpha, \beta \in \mathcal{C} \qquad |\alpha|^2 + |\beta|^2 = 1$$

But we can never observe  $\alpha$  and  $\beta$  directly!

Must **measure**  $|\psi\rangle$  to obtain its value  $\Rightarrow$  state *randomly* collapses to either  $|0\rangle$  or  $|1\rangle$

What's the probability of observing  $|0\rangle$  or  $|1\rangle$ ?

$$\Pr[\text{observe } |0\rangle] = |\alpha|^2$$

$$\Pr[\text{observe } |1\rangle] = |\beta|^2$$

# Multiple qubits

- 2-qubit system

$$|\psi\rangle = \alpha |00\rangle + \beta |01\rangle + \gamma |10\rangle + \delta |11\rangle$$

$$\alpha, \beta, \gamma, \delta \in \mathbb{C}$$

$$|\alpha|^2 + |\beta|^2 + |\gamma|^2 + |\delta|^2 = 1$$

- $N$ -qubit system:  $2^N$  basis states

$$|\psi\rangle = \sum_{i=0}^{2^N-1} \alpha_i |i\rangle$$

$$|\alpha_0|^2 + |\alpha_1|^2 + \dots + |\alpha_{2^N-1}|^2 = 1$$

$$0.8|001\rangle - 0.6i|101\rangle = \begin{pmatrix} 0 \\ 0.8 \\ 0 \\ 0 \\ -0.6i \\ 0 \\ 0 \end{pmatrix}$$

$$\Pr[\text{observe } |001\rangle] = |0.8|^2 = 0.64$$

$$\Pr[\text{observe } |101\rangle] = |-0.6i|^2 = \sqrt{(-0.6)^2}^2 = 0.36$$

- Representable by a  $2^N$  element vector:

$$|\psi\rangle = \begin{pmatrix} \alpha_0 \\ \alpha_1 \\ \vdots \\ \alpha_{2^N-1} \end{pmatrix}$$

$$|000\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|001\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|010\rangle = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|011\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|100\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

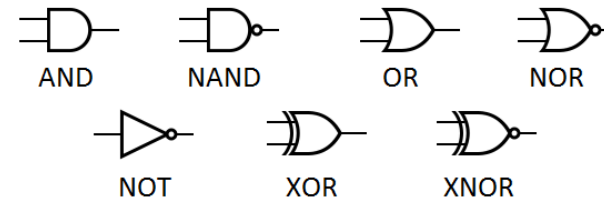
$$|101\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$$|110\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$|111\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

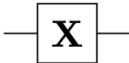


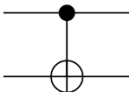
# Quantum computation – quantum gates

- Classic bits are transformed using logical gates



- Qubits are transformed using **quantum gates**

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \xrightarrow{G} |\psi'\rangle = \alpha'|0\rangle + \beta'|1\rangle$$

| Operator                  | Gate(s)  | Matrix   |
|---------------------------|--|--|
| Pauli-X (X)               |  $\oplus$ | $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$   |
| Pauli-Z (Z)               |           | $\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$  |
| Hadamard (H)              |         | $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$                               |
| Controlled Not (CNOT, CX) |         | $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$ |

# (Quantum) NOT-gate (or X gate)

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$$|0\rangle \xrightarrow{X} |1\rangle$$

$$|1\rangle \xrightarrow{X} |0\rangle$$

$$\alpha|0\rangle + \beta|1\rangle \xrightarrow{X} \beta|0\rangle + \alpha|1\rangle$$

**X gate:**

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

$$|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

$$X|0\rangle = X \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = |1\rangle$$

$$X|1\rangle = X \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = |0\rangle$$

$$X|\psi\rangle = X \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = ?$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \beta \\ \alpha \end{pmatrix}$$

# The Hadamard gate

$$|0\rangle \xrightarrow{H} \frac{1}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle$$

$$|1\rangle \xrightarrow{H} \frac{1}{\sqrt{2}}|0\rangle - \frac{1}{\sqrt{2}}|1\rangle$$

**H gate:**

$$H = \begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix}$$

$$\begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix}$$

$$\begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix}$$

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

$$\Pr[\text{measure } |\psi\rangle \Rightarrow |0\rangle] = |\alpha|^2$$

$$\Pr[\text{measure } |\psi\rangle \Rightarrow |1\rangle] = |\beta|^2$$

$$\Pr[\text{measure } H|0\rangle \Rightarrow |0\rangle] = \left| \frac{1}{\sqrt{2}} \right|^2 = 0.5$$

$$\Pr[\text{measure } H|1\rangle \Rightarrow |1\rangle] = \left| \frac{1}{\sqrt{2}} \right|^2 = 0.5$$

The Hadamard gate allows us to create random bits!



# Controlled-NOT gate (CNOT)

CNOT

$|00\rangle \mapsto |00\rangle$

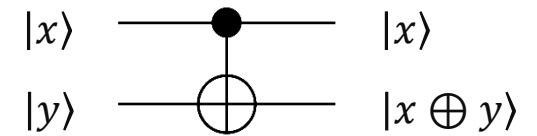
$|01\rangle \mapsto |01\rangle$

$|10\rangle \mapsto |11\rangle$

$|11\rangle \mapsto |10\rangle$

**CNOT gate:**

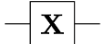

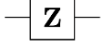

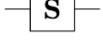
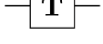
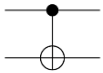
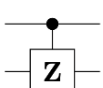



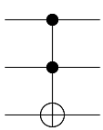
$$\text{CNOT} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{array}{c} |10\rangle \\ | \\ | \\ | \end{array} \begin{array}{c} \begin{pmatrix} 0 \\ 0 \\ \mathbf{1} \\ 0 \end{pmatrix} \\ \\ \\ \end{array} = \begin{array}{c} |11\rangle \\ | \\ | \\ | \end{array} \begin{array}{c} \begin{pmatrix} 0 \\ 0 \\ 0 \\ \mathbf{1} \end{pmatrix} \\ \\ \\ \end{array}$$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{array}{c} \alpha \\ \beta \\ \gamma \\ \delta \end{array} = \begin{array}{c} \alpha \\ \beta \\ \delta \\ \gamma \end{array}$$

# Many other gates...

| Operator                       | Gate(s)   | Matrix   |
|--------------------------------|---|--|
| Pauli-X (X)                    |  $\oplus$  | $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$   |
| Pauli-Y (Y)                    |    | $\begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$  |
| Pauli-Z (Z)                    |    | $\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$  |
| Hadamard (H)                   |    | $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$   |
| Phase (S, P)                   |    | $\begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}$   |
| $\pi/8$ (T)                    |    | $\begin{bmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{bmatrix}$  |
| Controlled Not (CNOT, CX)      |    | $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$   |
| Controlled Z (CZ)              |     | $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}$  |
| SWAP                           |   | $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$   |
| Universal for classical logic! |    | $\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$ |

# Quantum gates

- Turns out that all quantum gates can be described by matrices
  - In fact, very special matrices: unitary matrices
  - ... and *only* unitary matrices! (fact of nature)
- Quantum operations are *linear* and can be combined

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad \begin{array}{l} |0\rangle \mapsto |1\rangle \\ |1\rangle \mapsto |0\rangle \end{array}$$

$$|\psi_0\rangle \xrightarrow{Z} |\psi_1\rangle \xrightarrow{X} |\psi_2\rangle \xrightarrow{H} |\psi_3\rangle \xrightarrow{Z} |\psi_4\rangle$$

$$Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \begin{array}{l} |0\rangle \mapsto |0\rangle \\ |1\rangle \mapsto -|1\rangle \end{array}$$

$$ZH X Z |\psi_0\rangle = |\psi_4\rangle$$

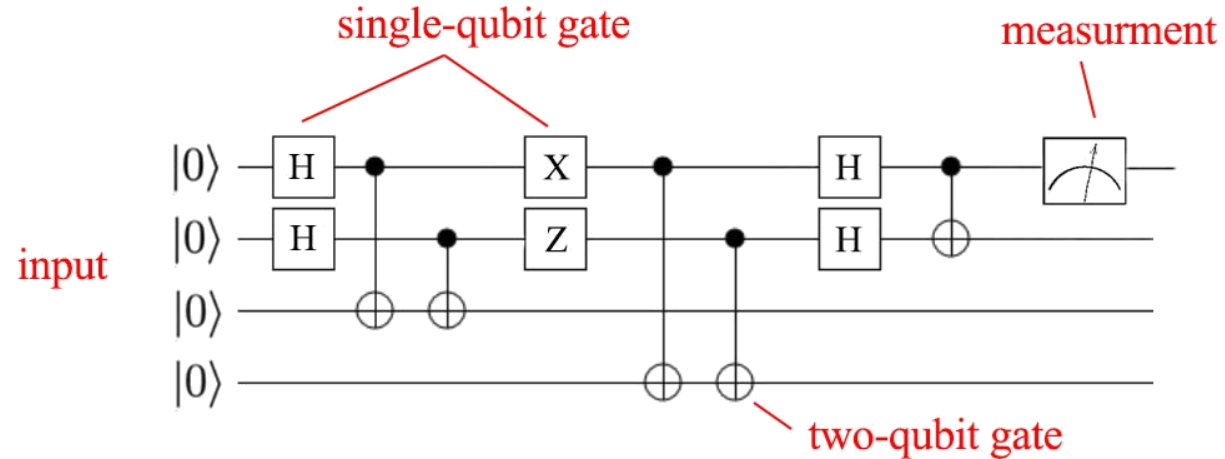
$$\begin{aligned} ZH X Z |0\rangle &= \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \begin{bmatrix} 1/\sqrt{2} & -1/\sqrt{2} \\ 1/\sqrt{2} & 1/\sqrt{2} \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} = \frac{1}{\sqrt{2}} |0\rangle + \frac{1}{\sqrt{2}} |1\rangle \end{aligned}$$

$$H = \begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix}$$

$$\begin{array}{l} |0\rangle \mapsto \frac{1}{\sqrt{2}} |0\rangle + \frac{1}{\sqrt{2}} |1\rangle \\ |1\rangle \mapsto \frac{1}{\sqrt{2}} |0\rangle - \frac{1}{\sqrt{2}} |1\rangle \end{array}$$

# Quantum computer

- A **quantum computer** consists of:
  - $N$  input qubits
  - a sequence of quantum gates
  - $N$  output qubits
  - result = measurement of final quantum state (output qubits)

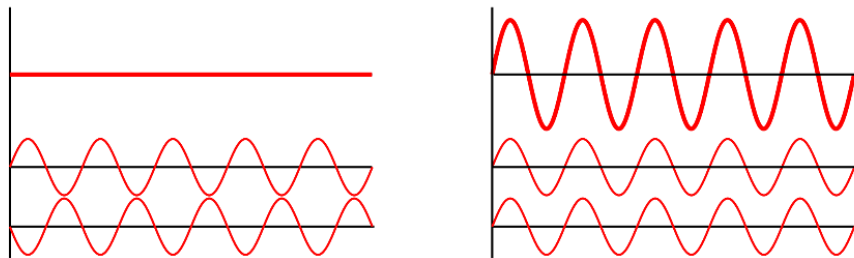


# What makes quantum computation special?

- **Warning:** a quantum computer does *not* simply "try out all solutions in parallel"
- The magic comes from allowing *complex* amplitudes (or even just negative reals)

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \quad \alpha, \beta \in \mathbb{C}$$

- **Quantum interference:** can *carefully* choreograph computations so wrong answers "cancel out" their amplitudes, while correct answers "combine"



- increases probability of measuring correct result
- only a few special problems allow this choreography



# Shor's algorithm

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## Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer\*

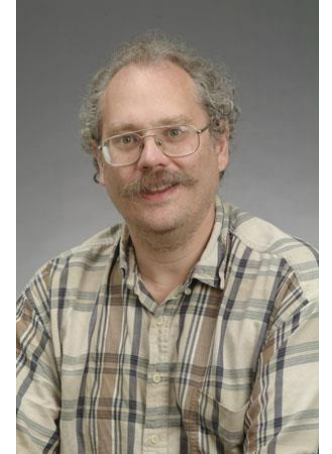
Peter W. Shor<sup>†</sup>

1994

### Abstract

A digital computer is generally believed to be an efficient universal computing device; that is, it is believed able to simulate any physical computing device with an increase in computation time by at most a polynomial factor. This may not be true when quantum mechanics is taken into consideration. This paper considers factoring integers and finding discrete logarithms, two problems which are generally thought to be hard on a classical computer and which have been used as the basis of several proposed cryptosystems. Efficient randomized algorithms are given for these two problems on a hypothetical quantum computer. These algorithms take a number of steps polynomial in the input size, e.g., the number of digits of the integer to be factored.

**Keywords:** algorithmic number theory, prime factorization, discrete logarithms, Church's thesis, quantum computers, foundations of quantum mechanics, spin systems, Fourier transforms



# First: something completely different

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2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...

2, 4, 8, 1, 2, 4, 8, 1, 2, 4, 8, 1, 2 ...

mod 15

2, 4, 8, 16, 11, 1, 2, 4, 8, 16, 11, 1, 2 ...

mod 21



sequences are periodic

# Factoring to order-finding

$$N = pq$$

$$a^1, a^2, a^3, \dots, a^r, a^1, a^2 \dots \pmod{N}$$

**order** of  $a$  = the smallest positive  $r$  such that  $a^r = 1 \pmod{N}$

**Fact:**  $r$  must divide  $(p-1)(q-1)$

**Proof:**

- $(p-1)(q-1) = sr + t$   $0 \leq t < r$
- $a^{(p-1)(q-1)} = a^{sr+t} = a^{sr} a^t = (a^r)^s a^t = 1 \cdot a^t = a^t = 1 \pmod{N} \implies t = 0$  (since  $r$  is the *smallest*)
- $(p-1)(q-1) = sr$  QED

**Euler's theorem:** for all  $a \in \mathbb{Z}_N^*$   
 $a^{\phi(N)} = a^{(p-1)(q-1)} = 1 \pmod{N}$

**Conclusion:** learn  $r \implies$  we learn a factor of  $(p-1)(q-1)$   
 repeat with a different  $a \implies$  learn another factor of  $(p-1)(q-1)$  (with high prob.)  
 eventually we can learn full  $(p-1)(q-1) \implies$  can find  $p$  and  $q$  (Problem set 9)



# Shor's algorithm

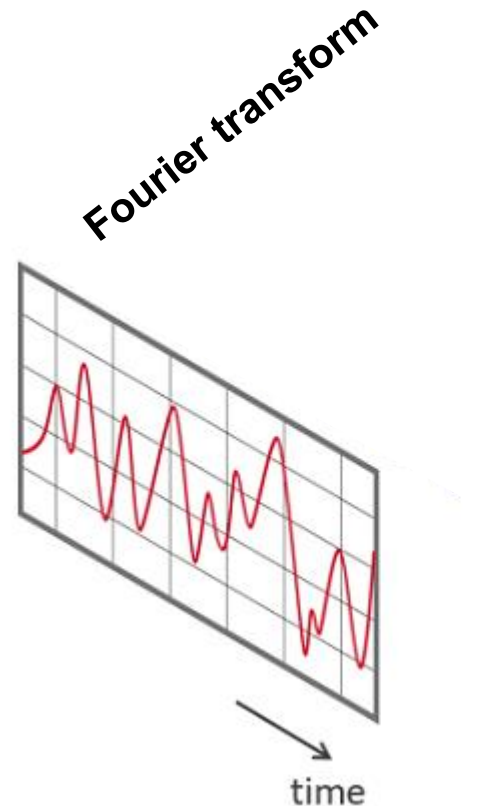
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Where the quantum magic happens!

| Shor's algorithm                              |                          |
|---|--------------------------|
| <b>Input:</b> $N = pq$                        |                          |
| <b>Output:</b> $p$ and $q$                    |                          |
| 1. $a \stackrel{\$}{\leftarrow} \mathbf{Z}_N$ |                          |
| 2. $r \leftarrow \text{Order}_N(a)$           | // but how to find $r$ ? |
| 3. use $r$ to find $\phi(N)$                  |                          |
| 4. compute $p$ and $q$ from $N$ and $\phi(N)$ |                          |

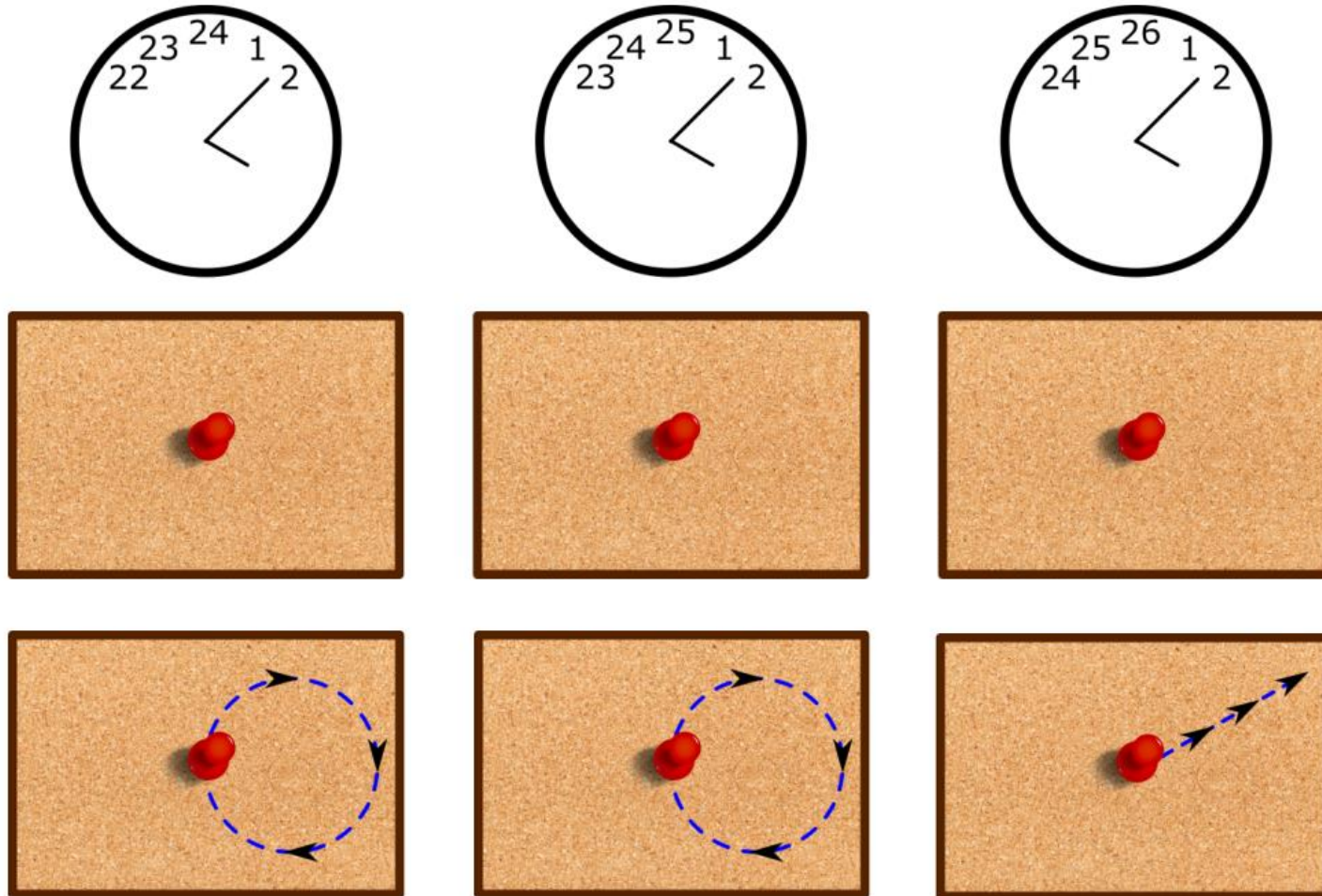
# Shor's algorithm

- To factor  $N$ : find order  $r$  of  $a$  in  $\mathbf{Z}_N^*$
- Problem:  $r$  can be very large
  - Classical solutions take exponential time
- **Note:** the function  $f(i) = a^i \bmod N$  is *periodic*:
$$f(i + kr) = a^{i+kr} = a^i \bmod N = f(i)$$
  - finding signal frequencies  $\Leftrightarrow$  finding signal period
- Key ingredient of Shor's algorithm:  
*quantum Fourier transform (QFT)*



# Fourier transform

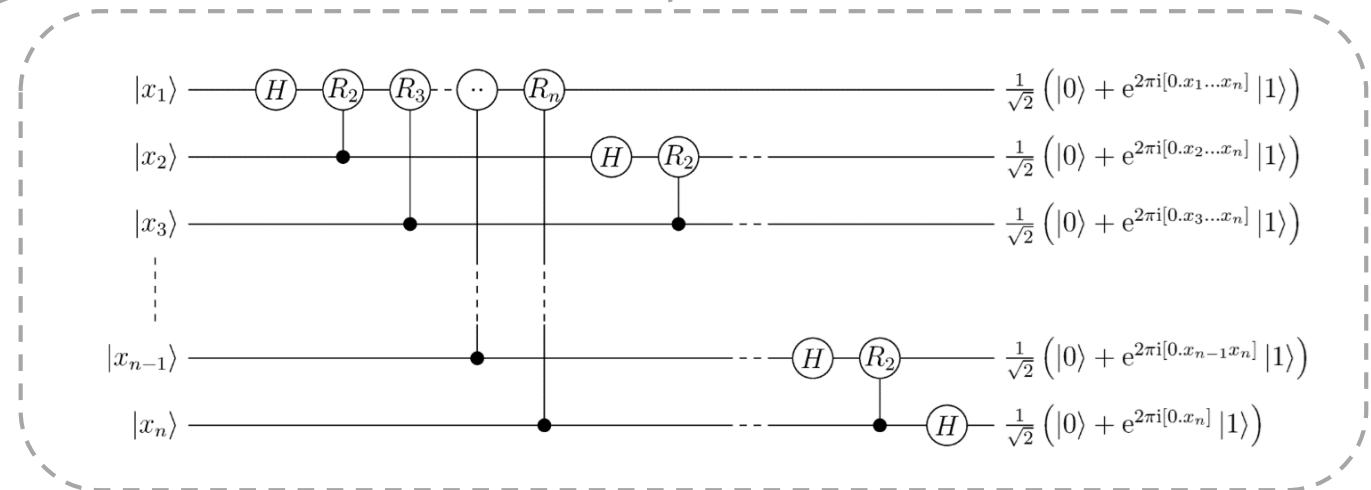
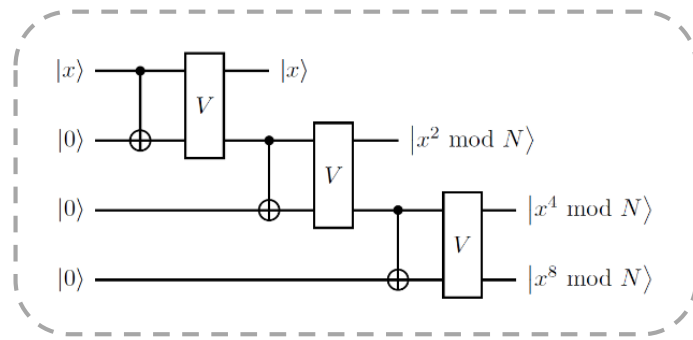
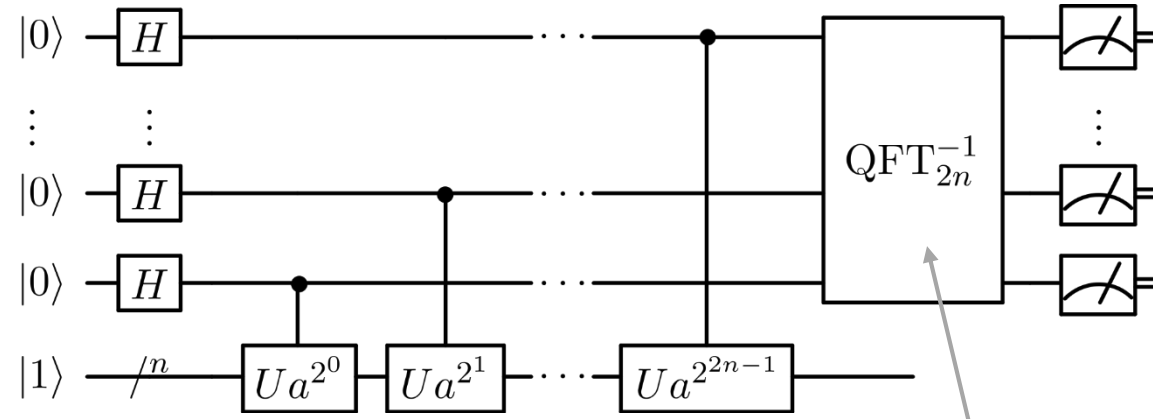
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Source: <https://www.scottaaronson.com/qclec.pdf>

More on the Fourier transform:  
(3Blue1Brown) <https://www.3blue1brown.com/lessons/fourier-transforms>  
(Veritasium) <https://youtu.be/nmgFG7PUHfo>

# Shor's algorithm



# Consequences of Shor's algorithm

- Cryptosystems broken by Shors' algorithm:

- RSA
- Diffie-Hellman
- Schnorr
- ElGamal
- ECDSA

*both  $\mathbb{Z}_p^*$  and  $E(\mathbb{F}_p)$*

- ...public-key crypto is dead

## Shor's algorithm

**Input:**  $N = pq$

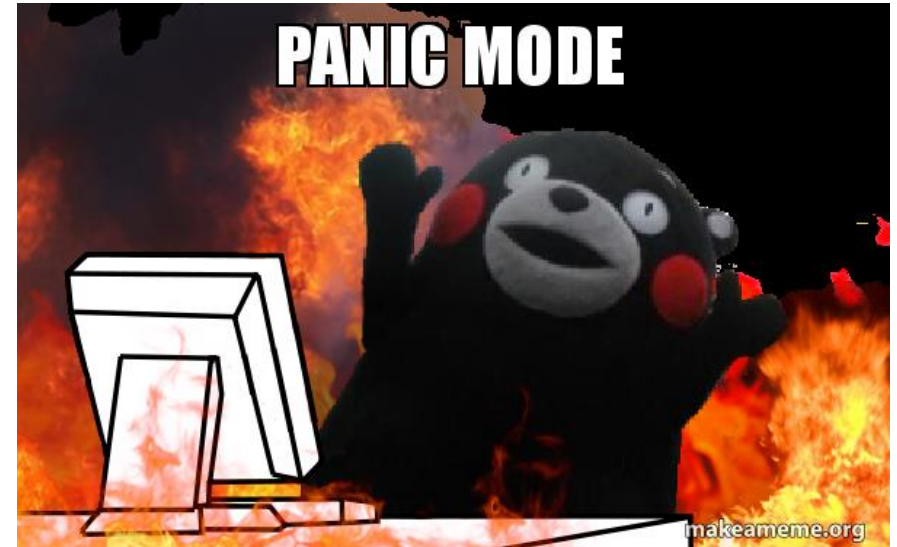
**Output:**  $p$  and  $q$

1.  $a \stackrel{\$}{\leftarrow} \mathbb{Z}_N$
2.  $r \leftarrow \text{Order}_N(a)$  // QFT++
3. use  $r$  to find  $\phi(N)$
4. compute  $p$  and  $q$  from  $N$  and  $\phi(N)$

# The quantum menace

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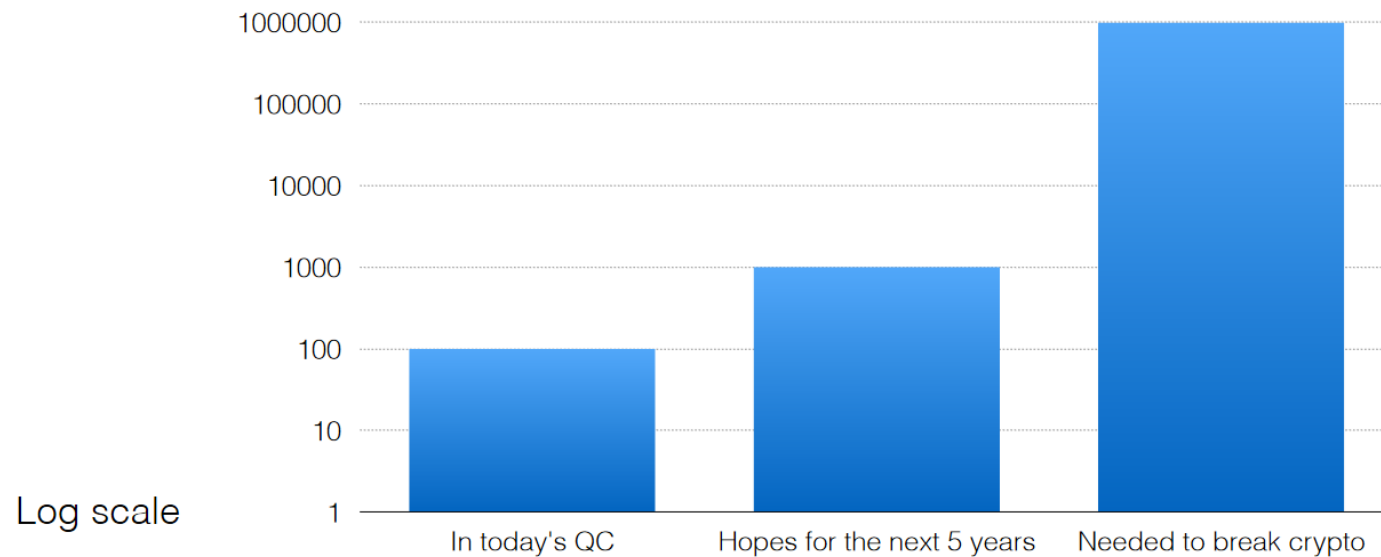
- How far away is a quantum computer?
  - Nobody knows
- Building a large-scale quantum computer is a huge engineering challenge
  - very susceptible to noise (decoherence)
  - requires quantum error correction (is it even possible?)
  - many *physical* qubits needed to simulate a single *logical* qubit
    - $\geq 1000$  logical qubits needed for Shor's algorithm
    - largest (known) quantum computers:
      - $\approx 53$  physical qubits ([Google; 2019](#)) (no error correction)
      - $\approx 65$  physical qubits ([IBM; 2020](#)) (no error correction)
      - $\approx 127$  physical qubits ([IBM; 2021](#)) (no error correction)
      - $\approx 433$  physical qubits ([IBM; 2022](#)) (no error correction)



# The quantum menace

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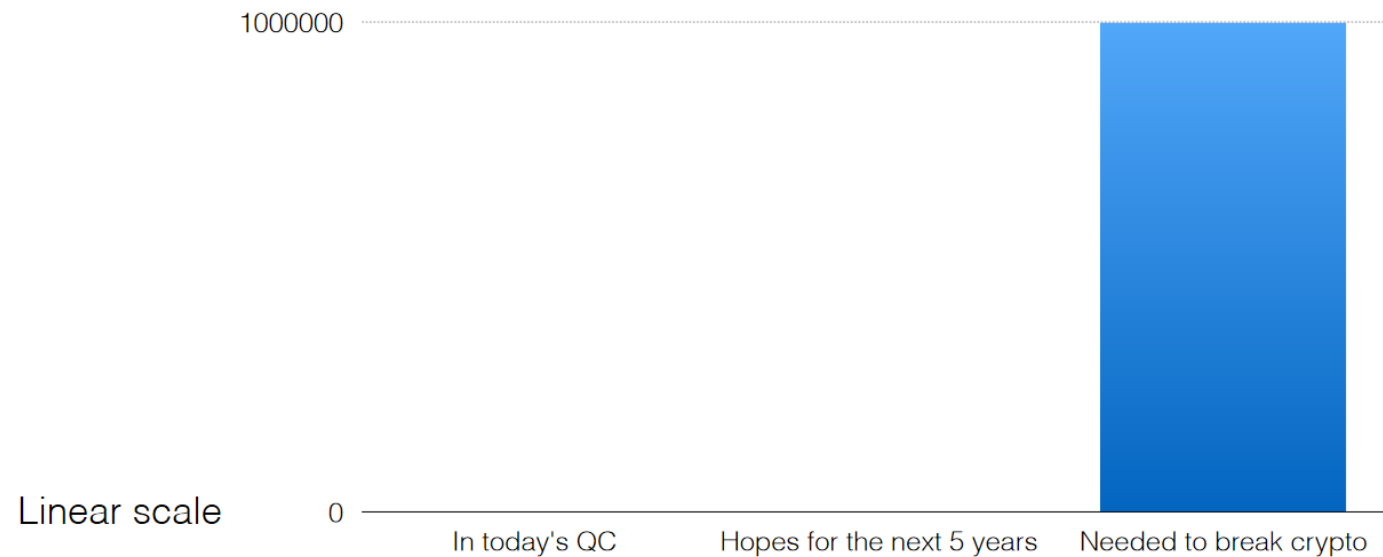
How many qubits in a quantum computer?



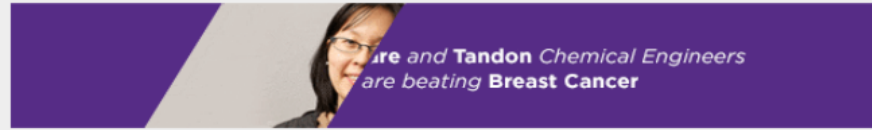
# The quantum menace

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How many qubits in a quantum computer?







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Computing

## NSA Says It “Must Act Now” Against the Quantum Computing Threat

The National Security Agency is worried that quantum computers will neutralize our best encryption – but doesn't yet know what to do about that problem.

by Tom Simonite February 3, 2016

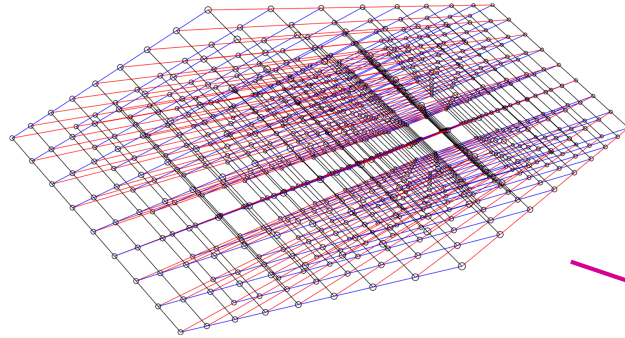
# Dealing with quantum computers

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- **Symmetric cryptography**
  - Grover's algorithm: solves  $\mathcal{O}(2^n)$  problems in  $\mathcal{O}(2^{n/2})$  quantum steps
  - Inherently serial + huge constants
  - AES-128 is most likely safe
- **Quantum cryptography**
  - Use quantum mechanics to build cryptography
- **Post-quantum cryptography**
  - Classical algorithms believed to withstand quantum attacks

# Post-quantum cryptography

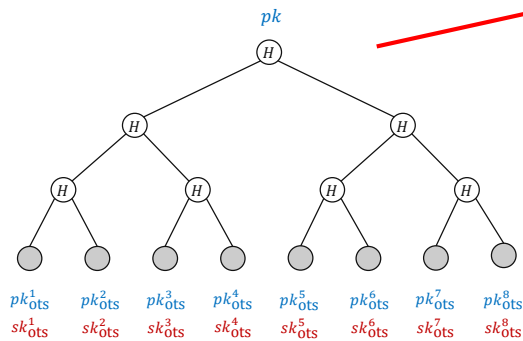
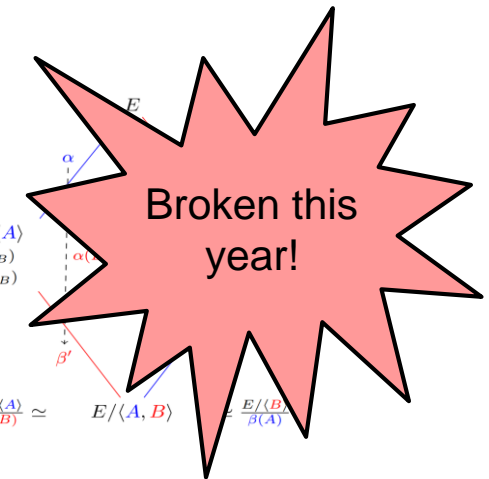
Lattice-based cryptography



Code-based encryption

$$c = Gx + e \in F_2^n$$

<https://eprint.iacr.org/2022/975>

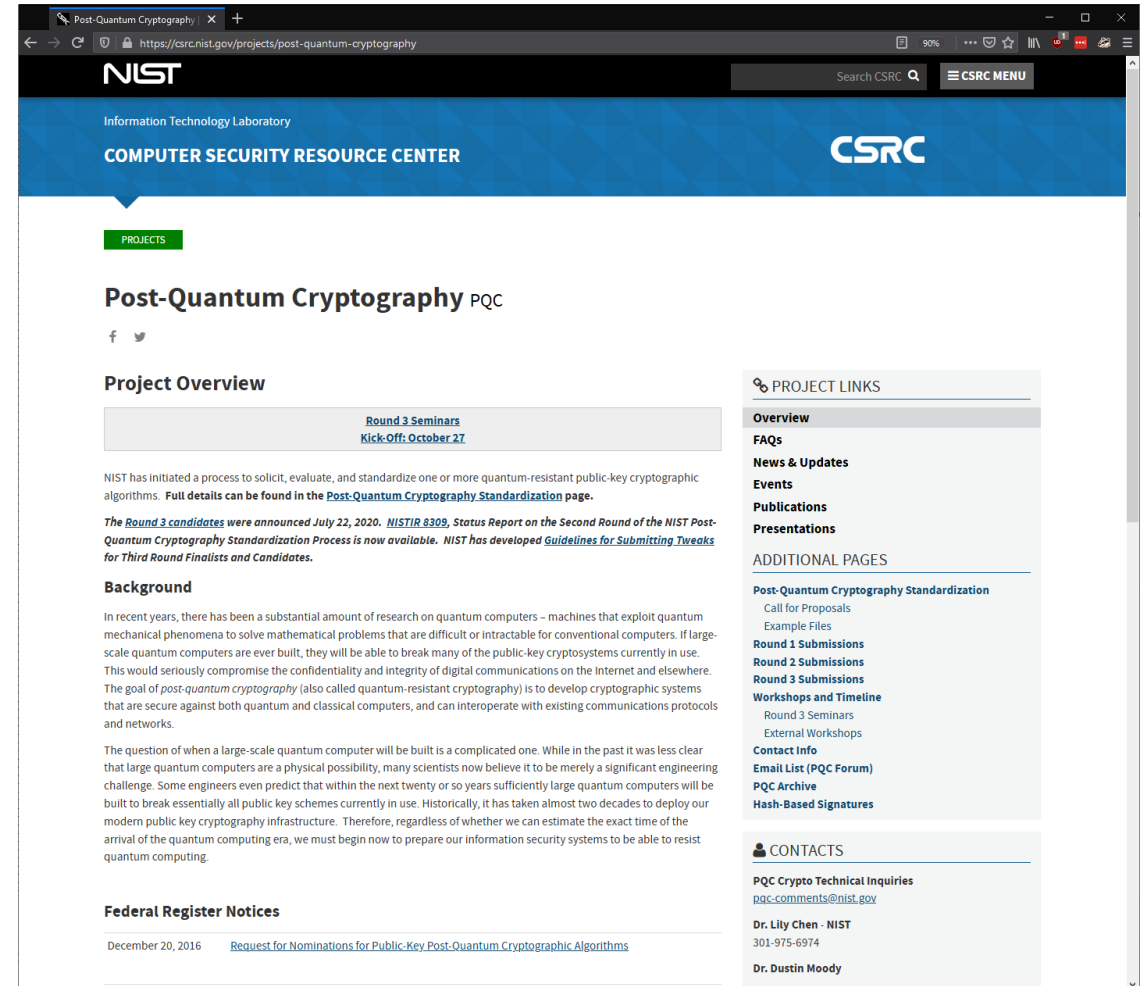


Hash-based signatures

Supersingular isogeny key exchange

# The NIST post-quantum competition

- Public competition to standardize post-quantum schemes
  - Public-key encryption
  - Digital signatures
- Started in 2017
  - Round 1: 69 submissions
  - Round 2: 26 candidates selected
  - Round 3: 15 candidates selected



The screenshot shows the NIST website page for the Post-Quantum Cryptography project. The page is titled "Post-Quantum Cryptography PQC" and is part of the "COMPUTER SECURITY RESOURCE CENTER" (CSRC). The page includes a "Project Overview" section with a link to "Round 3 Seminars" and "Kick-Off: October 27". Below this is a "Background" section discussing the challenges of quantum computing and the goal of developing quantum-resistant cryptographic systems. The page also features a "Federal Register Notices" section with a link to a "Request for Nominations for Public-Key Post-Quantum Cryptographic Algorithms" from December 20, 2016. On the right side, there are "PROJECT LINKS" and "CONTACTS" sections, including links to "Overview", "FAQs", "News & Updates", "Events", "Publications", "Presentations", "Additional Pages", "Post-Quantum Cryptography Standardization", "Call for Proposals", "Example Files", "Round 1 Submissions", "Round 2 Submissions", "Round 3 Submissions", "Workshops and Timeline", "Round 3 Seminars", "External Workshops", "Contact Info", "Email List (PQC Forum)", "PQC Archive", "Hash-Based Signatures", and "PQC Crypto Technical Inquiries".

SPHINCS+

Hash-based

# The NIST post-quantum competition

- Public competition to standardize post-quantum schemes
  - Public-key encryption
  - Digital signatures
- Started in 2017
  - Round 1: 69 submissions
  - Round 2: 26 candidates selected
  - Round 3: 15 candidates selected
- Winners:
  - CRYSTALS-KYBER (PKE)
  - CRYSTALS-DILITHIUM (Signature)
  - Falcon (Signature)
  - SPHINCS+ (Signature)

| Algorithm (public-key encryption) | Problem              |
|-----------------------------------|----------------------|
| Classic McEliece                  | Code-based           |
| <b>CRYSTALS-KYBER</b>             | <b>Lattice-based</b> |
| NTRU                              | Lattice-based        |
| SABER                             | Lattice-based        |
| BIKE                              | Code-based           |
| FrodoKEM                          | Lattice-based        |
| HQC                               | Code-based           |
| NTRU Prime                        | Lattice-based        |
| SIKE                              | Isogeny-based        |

| Algorithm (digital signatures) | Problem              |
|--------------------------------|----------------------|
| <b>CRYSTALS-DILITHIUM</b>      | <b>Lattice-based</b> |
| <b>Falcon</b>                  | <b>Lattice-based</b> |
| Rainbow                        | Multivariate-based   |
| GeMSS                          | Multivariate-based   |
| Picnic                         | ZKP                  |
| <b>SPHINCS+</b>                | <b>Hash-based</b>    |

# The NIST post-quantum competition

- Public competition to standardize post-quantum schemes
  - Public-key encryption
  - Digital signatures
- Started in 2017
  - Round 1: 69 submissions
  - Round 2: 26 candidates selected
  - Round 3: 15 candidates selected
  - Round 4: alternative candidates
- Winners:
  - CRYSTALS-KYBER (PKE)
  - CRYSTALS-DILITHIUM (Signature)
  - Falcon (Signature)
  - SPHINCS+ (Signature)

| Algorithm (public-key encryption) | Problem       |
|-----------------------------------|---------------|
| Classic McEliece                  | Code-based    |
| CRYSTALS-KYBER                    | Lattice-based |
| NTRU                              | Lattice-based |
| SABER                             | Lattice-based |
| BIKE                              | Code-based    |
| FrodoKEM                          | Lattice-based |
| HQC                               | Code-based    |
| NTRU Prime                        | Lattice-based |
| SIKE                              | Isogeny-based |

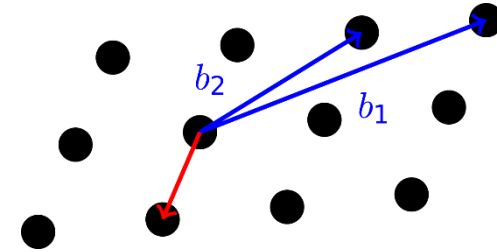
| Algorithm (digital signatures) | Problem            |
|--------------------------------|--------------------|
| CRYSTALS-DILITHIUM             | Lattice-based      |
| Falcon                         | Lattice-based      |
| Rainbow                        | Multivariate-based |
| GeMSS                          | Multivariate-based |
| Picnic                         | ZKP                |
| SPHINCS+                       | Hash-based         |

# Lattice-based cryptography

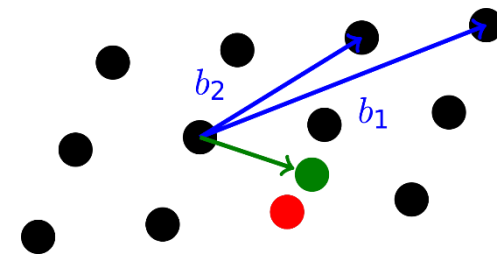
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- Very versatile computational problems
  - Public-key encryption
  - Digital signatures
  - Hash functions
  - Fully homomorphic encryption
  - Key exchange
- Leads to efficient and compact schemes
- Based on hardness of problems in algebraic number theory
  - Believed to be hard also for quantum computers

## Shortest vector problem



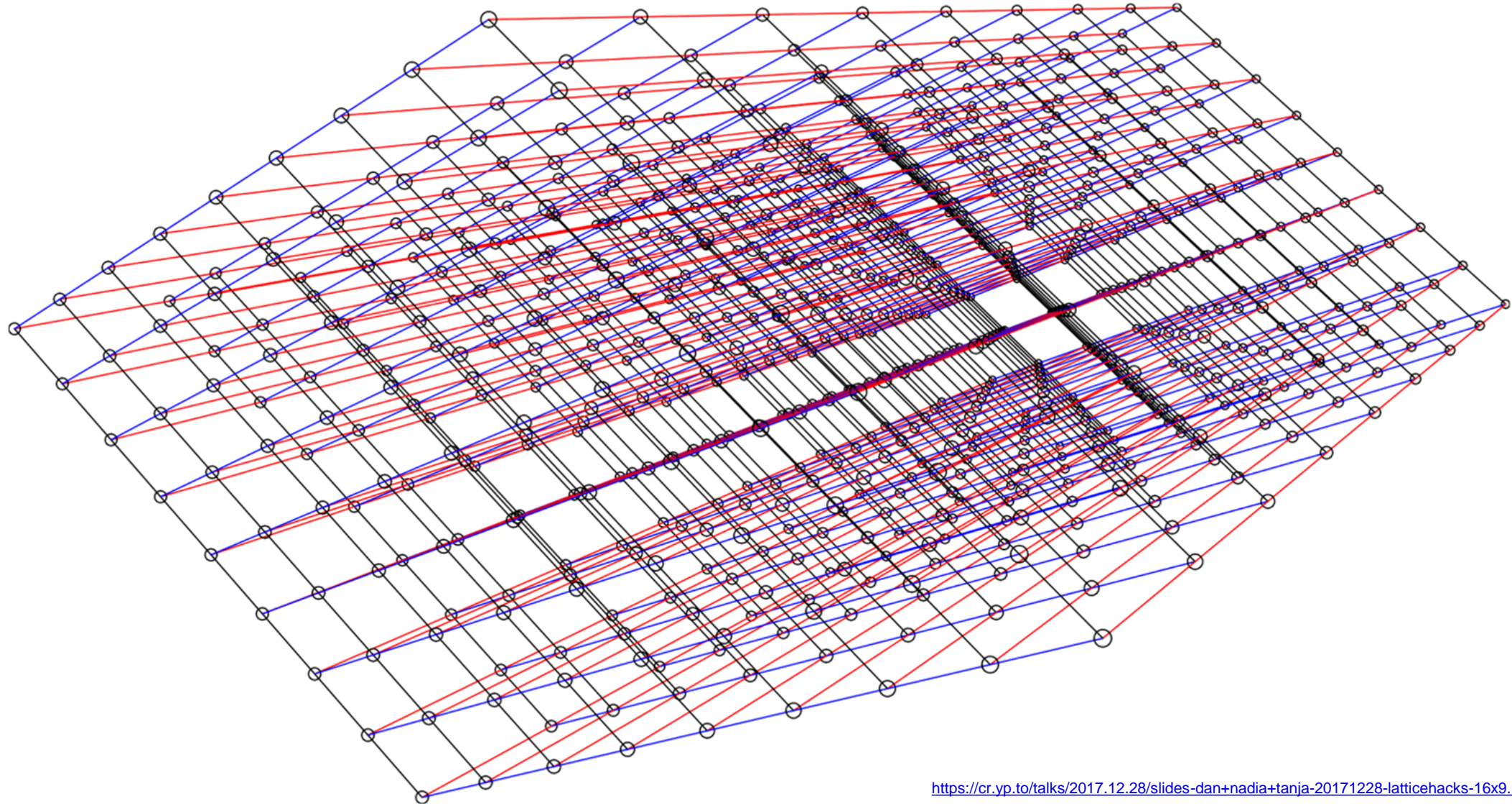
## Closest vector problem





# Lattice-based cryptography

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# Post-quantum cryptography

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- Want to learn more about post-quantum cryptography?
- Sign up for [TEK5550 - Advanced Topics in Cryptology](#) next spring!

# Next week

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- Summary lecture
  - If there's anything in particular you want me to repeat, let me know!
  
- Ask me anything session