# Lecture 11 – Public-key encryption, IND-CPA/CCA, ElGamal, RSA

**TEK4500** 

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# **Basic goals of cryptography**

	Message privacy	Message integrity / authentication	
Symmetric keys	Symmetric encryption	Message authentication codes (MAC)	
Asymmetric keys	Asymmetric encryption (a.k.a. public-key encryption)	Digital signatures	(Key exchange)

# **Public-key encryption**



# **Public-key encryption – syntax**

A public-key encryption scheme is a tuple  $\Sigma = (KeyGen, Enc, Dec)$  of algorithms

 $KeyGen: \{\} \rightarrow \mathcal{SK} \times \mathcal{PK}$ 

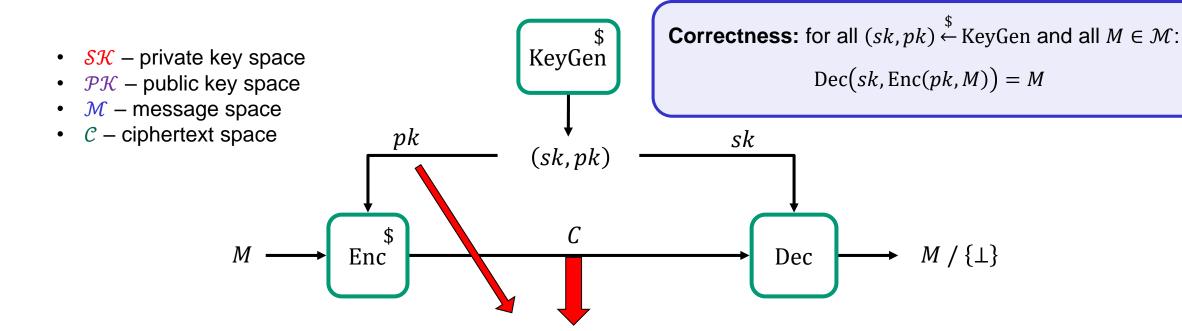
 $(sk, pk) \stackrel{\$}{\leftarrow} \text{KeyGen}$ 

 $Enc: \mathcal{PK} \times \mathcal{M} \to \mathcal{C}$ 

 $\operatorname{Enc}(pk, M) = \operatorname{Enc}_{pk}(M) = C$ 

 $Dec: \mathcal{SK} \times \mathcal{C} \to \mathcal{M} \cup \{\bot\}$ 

 $\operatorname{Dec}(sk, C) = \operatorname{Dec}_{sk}(C) = M/\bot$ 



Adversary

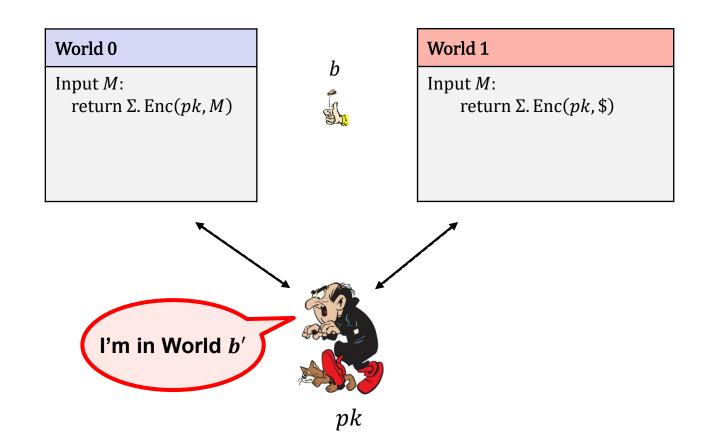
# Public-key encryption – security: IND-CPA

# $\mathbf{Exp}_{\Sigma}^{\mathrm{ind-cpa}}(A)$ 1. $b \leftarrow \{0,1\}$

- 2.  $(sk, pk) \stackrel{\mathfrak{s}}{\leftarrow} \Sigma$ . KeyGen
- 3.  $b' \leftarrow A^{\mathcal{E}(\cdot)}(pk)$
- 4. return  $b' \stackrel{?}{=} b$

### $\mathcal{E}(M)$

- 1.  $R \leftarrow \{0,1\}^{|M|}$
- 2.  $C_0 \leftarrow \Sigma.\operatorname{Enc}(pk, M)$
- 3.  $C_1 \leftarrow \Sigma. \operatorname{Enc}(pk R)$
- 4. return  $C_b$

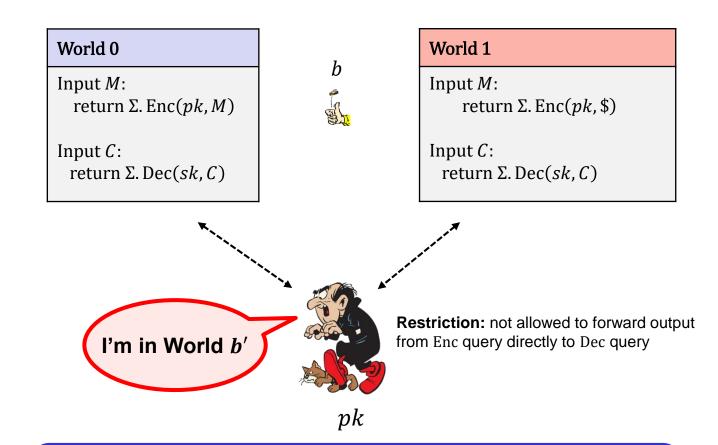


**Definition:** The **IND-CPA advantage** of A is

$$\mathbf{Adv}_{\Sigma}^{\mathrm{ind-cpa}}(A) \stackrel{\mathrm{def}}{=} |2 \cdot \Pr[b' = b] - 1|$$

# Public-key encryption – security: IND-CCA

## $\mathbf{Exp}_{\Sigma}^{\mathrm{ind-cca}}(A)$ $b \stackrel{\$}{\leftarrow} \{0,1\}$ Ciphertexts ← [] // bookkeeping $(sk, pk) \stackrel{\$}{\leftarrow} \Sigma$ . KeyGen $b' \leftarrow A^{\mathcal{E}(\cdot),\mathcal{D}(\cdot)}(pk)$ return $b' \stackrel{?}{=} b$ $\mathcal{E}(M)$ $R \stackrel{\$}{\leftarrow} \{0,1\}^{|M|}$ $C_0 \leftarrow \Sigma$ . Enc(pk, M) $C_1 \leftarrow \Sigma$ . Enc(pk R) Ciphertexts.add( $C_h$ ) return $C_h$ $\mathcal{D}(C)$ **if** $C \in Ciphertexts$ : return ⊥ **return** $\Sigma$ . Dec(sk, C)



**Definition:** The **IND-CCA advantage** of A is

$$\mathbf{Adv}_{\Sigma}^{\mathrm{ind-cca}}(A) \stackrel{\mathrm{def}}{=} |2 \cdot \Pr[b' = b] - 1|$$

# Diffie-Hellman key exchange

Discovered in the 1970's

- Diffie & Hellman paper also introduced the idea of:
  - Public-key encryption
    - 1984: ElGamal encryption scheme
  - Digital signatures



Ralph Merkle Whitfield Diffie Martin Hellman

#### New Directions in Cryptography

Invited Paper

Whitfield Diffie and Martin E. Hellman

Abstract Two kinds of contemporary developments in cryppaper suggests ways to solve these currently open problems. It also discusses how the theories of communication and compuproblems of long standing.

#### 1 INTRODUCTION

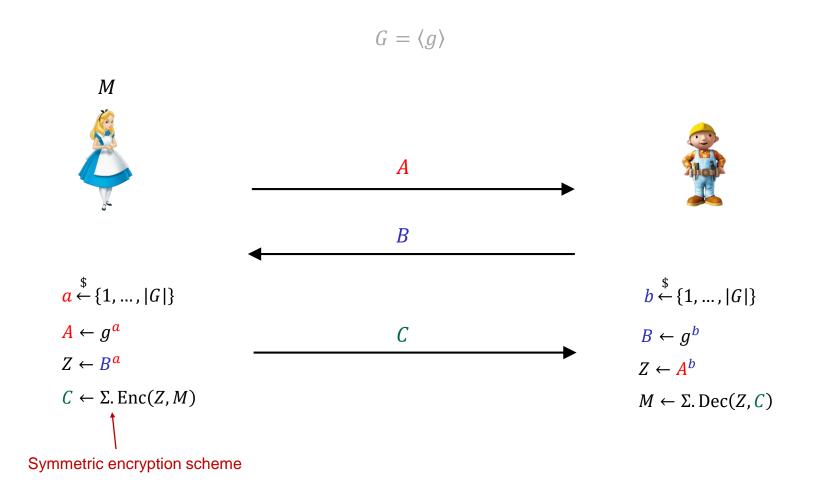
We stand today on the brink of a revolution in cryptography. The development of cheap digital hardware has freed it from the design limitations of mechanical computing and brought the cost of high grade cryptographic devices down to where they can be used in such commercial applications as remote cash dispensers and computer terminals. In turn, such applications create a need for new types of cryptographic systems which minimize the necessity of secure key distribution channels and supply the equivalent of a written signature. At the same time, theoretical developments in information theory and computer science show promise of providing provably secure cryptosystems, changing this ancient art into a science.

The development of computer controlled communication net-

tography are examined. Widening applications of teleprocess- raphy to insure privacy, however, it currently necessary for the ing have given rise to a need for new types of cryptographic communicating parties to share a key which is known to no systems, which minimize the need for secure key distribution one else. This is done by sending the key in advance over some channels and supply the equivalent of a written signature. This secure channel such a private courier or registered mail. A private conversation between two people with no prior acquaintance is a common occurrence in business, however, and it is tation are beginning to provide the tools to solve cryptographic unrealistic to expect initial business contacts to be postponed long enough for keys to be transmitted by some physical means. The cost and delay imposed by this key distribution problem is a major barrier to the transfer of business communications to large teleprocessing networks.

> Section III proposes two approaches to transmitting keying information over public (i.e., insecure) channel without compromising the security of the system. In public key cryptosystem enciphering and deciphering are governed by distinct keys, E and D, such that computing D from E is computationally infeasible (e.g., requiring 10100 instructions). The enciphering key E can thus be publicly disclosed without compromising the deciphering key D. Each user of the network can, therefore, place his enciphering key in a public directory. This enables any user of the system to send a message to any other user enciphered in such a way that only the intended receiver is able to decipher it. As such, a public key cryptosystem is multiple access cipher. A private conversation can therefore be

# **Public-key encryption: ElGamal**



# **Public-key encryption: ElGamal**

 $H:G\to\{0,1\}^k$ 

 $G = \langle g \rangle, B$ 

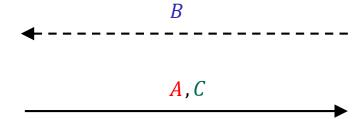
KeyGen

1.  $sk = b \stackrel{\$}{\leftarrow} \{1, \dots, |G|\}$ 

2.  $pk = B \leftarrow g^b$ 

3. return (k, pk)





### $\operatorname{Enc}(pk, M)$

1. 
$$a \stackrel{\$}{\leftarrow} \{1, ..., |G|\}$$

2. 
$$A \leftarrow g^a$$

3. 
$$Z \leftarrow B^a$$

4. 
$$C \leftarrow \Sigma$$
. Enc $(Z, M)$ 

5. return (A, C)

### EIGamal IND-CPA security:

- DLOG + DH must be hard
- Σ must be IND-CPA secure
- Is this enough?

### Dec(sk, C)

- 1.  $Z \leftarrow A^b$
- 2.  $M \leftarrow \Sigma. \operatorname{Dec}(Z, C)$
- 3. **return** *M*

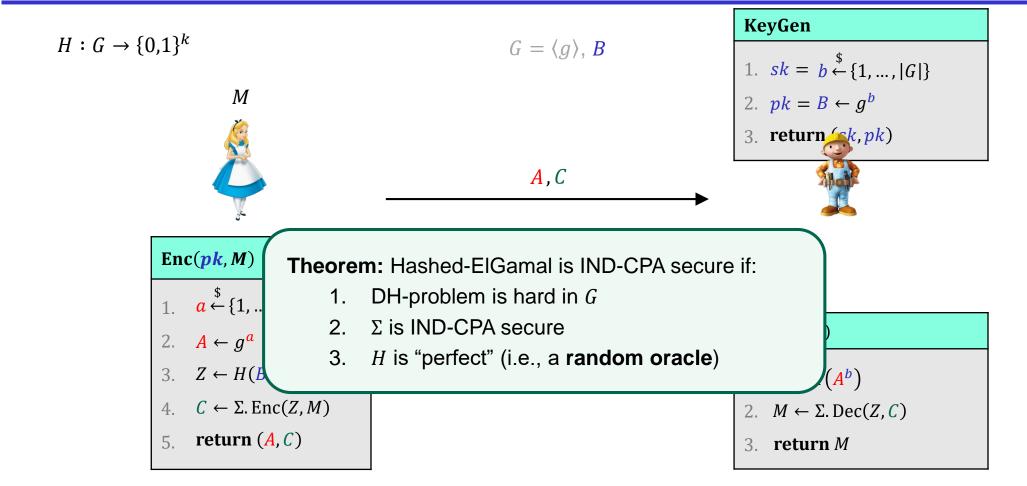
 $\Sigma$ . Enc :  $G \times \mathcal{M} \to \mathcal{C}$ 

Actually want  $\Sigma$ . Enc:  $\{0,1\}^k \times \mathcal{M} \to \mathcal{C}$ 

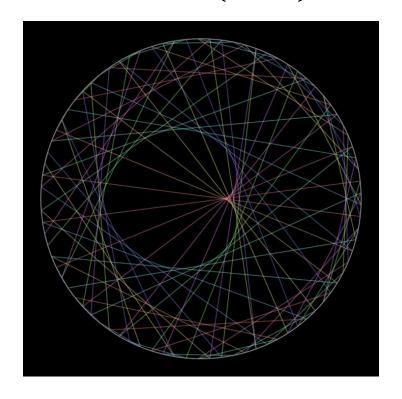
No: Σ only guarantees security if the key Z is
 independent and uniformly distributed in the group G

...but  $Z = g^{ab}$  isn't uniformly distributed in G!

# Public-key encryption: Hashed-ElGamal



$$C = M^e \pmod{n}$$



**RSA** encryption

### **RSA**

- Designed by Rivest, Shamir and Adleman in 1977
- Used both for public-key encryption and digital signatures

### A Method for Obtaining Digital Signatures and Public-Key Cryptosystems

R.L. Rivest, A. Shamir, and L. Adleman\*

#### Abstract

An encryption method is presented with the novel property that publicly revealing an encryption key does not thereby reveal the corresponding decryption key. This has two important consequences:

- Couriers or other secure means are not needed to transmit keys, since a
  message can be enciphered using an encryption key publicly revealed by
  the intended recipient. Only he can decipher the message, since only he
  knows the corresponding decryption key.
- 2. A message can be "signed" using a privately held decryption key. Anyone can verify this signature using the corresponding publicly revealed encryption key. Signatures cannot be forged, and a signer cannot later deny the validity of his signature. This has obvious applications in "electronic

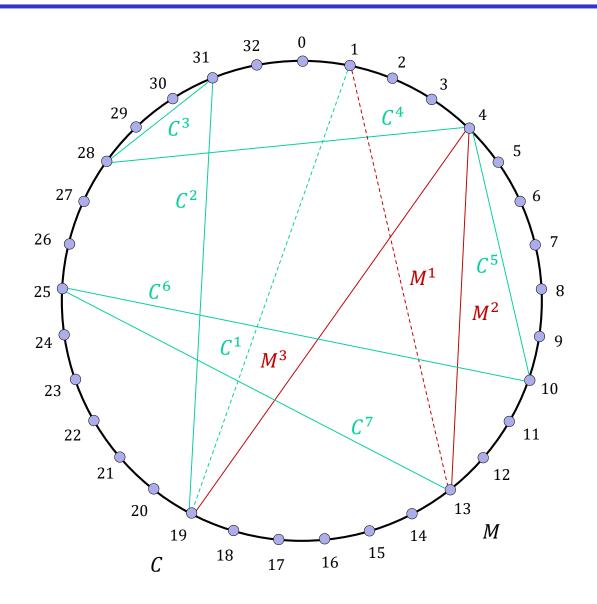


Adi Shamir

Ron Rivest

Leonard Adleman

# **RSA** encryption



$$e = 3$$

$$d = 7$$

$$M^e \mod 33 = 13^3 \mod 33 = 19$$

$$C^d \mod 33 = 19^7 \mod 33 = 13$$

# The group $(\mathbf{Z}_n^*, \cdot)$

$$Z_p = \{0, 1, \dots, p-1\}$$

 $(\mathbf{Z}_p,\cdot)$  is *not* a group!

$$\mathbf{Z}_{p}^{*} = \{1, \dots, p-1\}$$

 $(\mathbf{Z}_p^*,\cdot)$  is a group!

$$Z_n = \{0, 1, ..., n-1\}$$

 $(\mathbf{Z}_n, \cdot)$  is *not* a group!

$$\mathbf{Z}_n^* \neq \{1, \dots, n-1\}$$

 $(\mathbf{Z}_n^+,\cdot)$  is also not a group!

$oldsymbol{Z}_n^*= ext{invertible}$ elements in $oldsymbol{Z}_n$	theorem =	$\{a \in \mathbf{Z}_n \mid \gcd(a,n) = 1\}$
$(\mathbf{Z}_{n}^{*},\cdot)$ is a group!		

**Proof:** let  $d = \gcd(a, n)$ 

Not invertible	Invertible					
2, 4, 5, 6, 8	1, 3, 7, 9					

$$Z_{10}^{+} = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$
 $2 \cdot 1 = 2 \mod 10$ 
 $2 \cdot 2 = 4 \mod 10$ 
 $2 \cdot 3 = 6 \mod 10$ 
 $2 \cdot 4 = 8 \mod 10$ 
 $2 \cdot 5 = 0 \mod 10$ 
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 $3$ 

- a invertible  $\Rightarrow \exists b \in \mathbf{Z}_n$  such that  $ab = 1 \mod n \Rightarrow \exists k : ab = 1 + kn \Rightarrow ab kn = 1 \Rightarrow d(a'b kn') = 1 \Rightarrow d = 1$
- $d=1 \implies \text{Claim}$ :  $\exists s, t \in \mathbb{Z}$  such that  $sa+tn=d=1 \implies sa=1-tn \implies sa=1 \mod n \implies a$  is invertible

# Euler's $\phi(n)$ function

• 
$$\phi(n) \stackrel{\text{def}}{=} |\mathbf{Z}_n^*| = |\{a \in \mathbf{Z}_n \mid \gcd(a, n) = 1\}|$$

• 
$$\phi(p) = p - 1$$

• 
$$\phi(\mathbf{p} \cdot \mathbf{q}) = (\mathbf{p} - 1) \cdot (\mathbf{q} - 1)$$

$$p \cdot q$$

- Note:  $\phi(n) \approx n 2\sqrt{n} \approx n$ 
  - almost all integers are invertible for large p, q

$$\phi(pq) = pq - \text{#numbers with gcd}(a, pq) \neq 1$$

$$= pq - q - p + 1$$

$$= (p-1) \cdot (q-1)$$

n	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
$\phi(n)$	1	2	2	4	2	6	4	6	4	10	4	12	6	8	8	16	6	18	8	12	10	22

### **Textbook RSA**

$$\mathcal{PK}$$
  $\mathcal{M}$   $\mathcal{C}$ 

RSA. Enc: 
$$\mathbf{Z}^+ \times \mathbf{Z}_{\phi(n)}^* \times \mathbf{Z}_n^* \to \mathbf{Z}_n^*$$

RSA. Dec: 
$$\mathbf{Z}^+ \times \mathbf{Z}_{\phi(n)}^* \times \mathbf{Z}_n^* \to \mathbf{Z}_n^*$$

$$\mathcal{SK}$$

$$\mathbf{Enc}(pk = (n, e), M \in \mathbf{Z}_n^*)$$

- 1.  $C \leftarrow M^e \mod n$
- 2. **return** *C*





Common choices of e: 3 17 65 537

11<sub>2</sub> 10001<sub>2</sub> 1 0000 0000 0000 0001<sub>2</sub>

### KeyGen

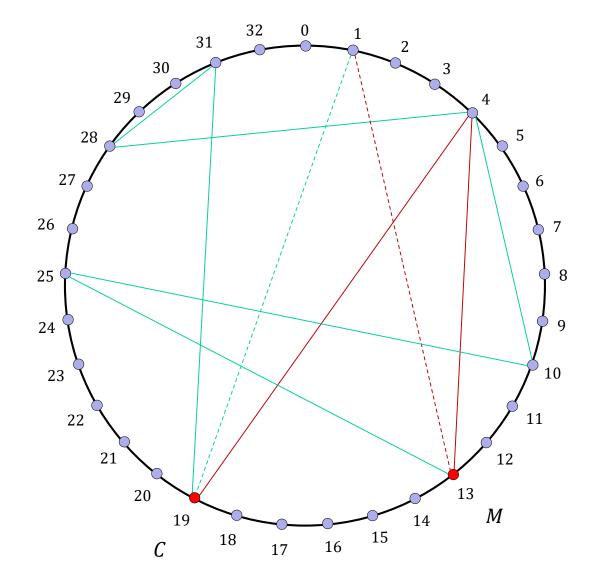
- 1.  $p, q \leftarrow$  two random prime numbers
- 2.  $n \leftarrow p \cdot q$
- 3.  $\phi(n) = (p-1)(q-1)$
- 4. **choose** e such that  $gcd(e, \phi(n)) = 1$
- 5. **compute** d such that  $ed = 1 \mod \phi(n)$
- 6.  $sk \leftarrow (n, d)$   $pk \leftarrow (n, e)$
- 7. **return** (sk, pk)

### $\mathbf{Dec}(sk=(n,d),C\in \mathbf{Z}_n^*)$

- 1.  $M \leftarrow C^d \mod n$
- 2. return M

# **RSA** example

```
Z_{33}^{*}
  n = 33 = 3 \cdot 11
  \phi(n) = (3-1)(11-1) = 20
            // need to find d = e^{-1} \mod \phi(n)
  e = 3
  3 \cdot 7 = 21 = 1 \operatorname{mod} 20
  d = 7
  M = 13
  C \leftarrow 13^3 = 2197 = 19 \mod 33
  C^7 = 19^7 = 893871739 = 13 \mod 33
```



### **Euler's Theorem**

**Theorem:** if  $(G, \circ)$  is a finite group, then for all  $g \in G$ :

$$g^{|G|} = e$$

•  $(\mathbf{Z}_{p}^{*},\cdot)$ :  $|\mathbf{Z}_{p}^{*}|=p-1$ 

**Fermat's theorem:** if p is prime, then for all  $a \neq 0 \pmod{p}$ :

$$a^{p-1} \equiv 1 \pmod{p}$$

•  $(\boldsymbol{Z}_n^*,\cdot)$ :  $|\boldsymbol{Z}_n^*| = \phi(n)$ 

**Euler's theorem:** for all positive integers n, if gcd(a, n) = 1 then

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

### **Textbook RSA – correctness**

RSA. 
$$Dec(sk, RSA. Enc(pk, M)) = M$$

**Euler's theorem:** for all  $a \in \mathbb{Z}_n^*$ 

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

$$C^d = M^{ed} = M^{1 \bmod \phi(n)} = M^{1+\phi(n)\cdot \ell} = M^1 \cdot M^{\phi(n)\cdot \ell} = M \cdot 1 \bmod n$$

**Fact:** RSA also works for  $M \in \mathbf{Z}_n$ 

#### KeyGen

- 1.  $p, q \leftarrow \text{two random prime numbers}$
- 2.  $n \leftarrow p \cdot q$
- 3.  $\phi(n) = (p-1)(q-1)$
- 4. **choose** *e* such that  $gcd(e, \phi(n)) = 1$
- 5. **compute** d such that  $ed = 1 \mod \phi(n)$
- 6.  $sk \leftarrow (n, d)$   $pk \leftarrow (n, e)$
- 7. return (sk, pk)

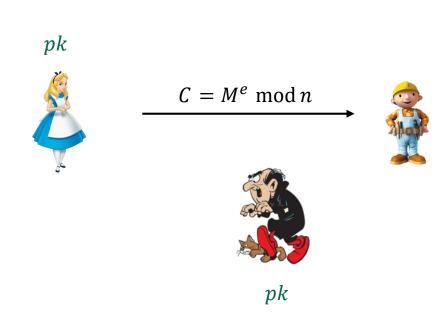
#### $\mathbf{Enc}(pk = (n, e), M \in \mathbf{Z}_n^*)$

- 1.  $C \leftarrow M^e \mod n$
- 2. **return** *C*

### $\mathbf{Dec}(sk = (n, d), C \in \mathbf{Z}_n^*)$

- 1.  $M \leftarrow C^d \mod n$
- 2. return M

# Textbook RSA – security



equivalent!

$$pq$$

$$pk = (n, e)$$

$$sk = d = e^{-1} \mod \phi(n)$$

$$(p-1)(q-1)$$

### **Security:**

- Must be hard to compute M given pk,  $M^e \mod n$
- Must be hard to find d given n, e
- Must be hard to find  $\phi(n)$  given n, e
- Must be hard to find p, q given n

(RSA assumption)

(Factoring assumption)

# How hard is factoring?

- Factoring only known way to break RSA assumption in practice
- Naïve Factor(n): Very inefficient:  $n \approx 2^k \implies \pi(n) \approx \frac{2^k}{\ln 2^k} \approx \frac{2^k}{k}$ 
  - 3 divides n? return  $3 \cdot Factor(n/3)$
  - 5 divides n? return 5 · Factor(n/5)
  - 7 divides n? return 7 · Factor(n/7):
  - $|\sqrt{n}|$  divides n? return  $|\sqrt{n}|$  · Factor $(n/[\sqrt{n}])$
  - return *n*

Time to factor  $n \approx 2^k$ 

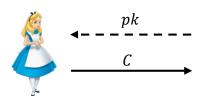
$$\mathcal{O}\left(e^{1.93 \frac{\mathbf{k}}{1}^{1/3} (\log \frac{\mathbf{k}}{2})^{2/3} + c}\right)$$

- Much faster algorithms known
  - Quadratic sieve
  - Rational sieve
  - General number field sieve
  - Current record: 829 bits

# Textbook RSA – security

- Textbook RSA is not IND-CPA secure!
  - Deterministic
  - Malleable
  - Many other attacks as well\*

- Textbook RSA is not an encryption scheme!
- $\operatorname{Enc}(pk = (n, e), M \in \mathbf{Z}_n^*)$
- 1.  $C \leftarrow M^e \mod n$
- 2. return C



#### KeyGen

- 1.  $p, q \leftarrow$  two random prime numbers
- 2.  $n \leftarrow p \cdot q$
- 3.  $\phi(n) = (p-1)(q-1)$
- 4. **choose** *e* such that  $gcd(e, \phi(n)) = 1$
- 5. **compute** d such that  $ed = 1 \mod \phi(n)$
- 6.  $sk \leftarrow (n,d)$   $pk \leftarrow (n,e)$
- 7. return (sk, pk)

- So what is it?
  - Answer: a one-way trapdoor permutation

$$f: \mathbf{Z}_n^* \to \mathbf{Z}_n^*$$

$$f:M\mapsto M^e$$

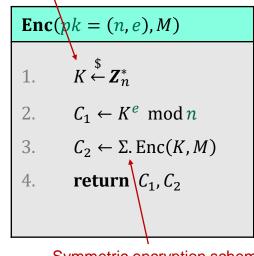
#### $\mathbf{Dec}(sk=(n,d), C \in \mathbf{Z}_n^*)$

- 1.  $M \leftarrow C^d \mod n$
- 2. return M

<sup>\*</sup> https://crypto.stackexchange.com/questions/20085/which-attacks-are-possible-against-raw-textbook-rsa

# **Shoup's RSA variant**

#### Different each time

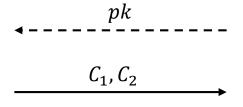


Symmetric encryption scheme

$$\Sigma$$
. Enc:  $\mathbf{Z}_n^* \times \mathcal{M} \to \mathcal{C}$ 

Actually want:  $\Sigma$ . Enc :  $\{0,1\}^k \times \mathcal{M} \to \mathcal{C}$ 





$$H: \mathbf{Z}_n^* \to \{0,1\}^k$$

### KeyGen

- 1.  $(sk, pk) \leftarrow RSA. KeyGen$
- 2. return (sk, pk)

### $\mathbf{Dec}(sk = d, C = (C_1, C_2))$

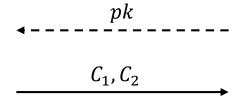
- 1.  $K \leftarrow C_1^d \mod n$
- 2.  $M \leftarrow \Sigma. \operatorname{Dec}(K, C_2)$
- 3. **return** *M*

# **Shoup's RSA variant**

### $\mathbf{Enc}(pk = (n, e), M)$

- 1.  $R \leftarrow \boldsymbol{Z}_n^*$
- 2.  $C_1 \leftarrow R^e \mod n$
- 3.  $K \leftarrow H(R)$
- 4.  $C_2 \leftarrow \Sigma. \operatorname{Enc}(K, M)$
- 5. **return**  $C_1$ ,  $C_2$





$$H: \mathbf{Z}_n^* \to \{0,1\}^k$$

### KeyGen

- 1.  $(sk, pk) \leftarrow RSA$ . KeyGen
- 2. return (sk, pk)

### $\mathbf{Dec}(sk = d, C = (C_1, C_2))$

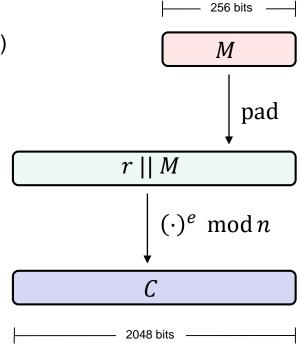
- 1.  $R \leftarrow C_1^d \mod n$
- 2.  $K \leftarrow H(R)$
- 3.  $M \leftarrow \Sigma. \operatorname{Dec}(K, C_2)$
- 4. return M

**Theorem:** Shoup-RSA is IND-CPA (IND-CCA) secure if:

- 1. RSA-problem is hard in  $oldsymbol{Z}_n^*$
- 2.  $\Sigma$  is IND-CPA (IND-CCA) secure
- 3. *H* is "perfect" (i.e., a random oracle)

# **RSA** in practice I

- Textbook RSA is deterministic ⇒ cannot be IND-CPA/IND-CCA secure
- How to achieve IND-CPA/IND-CCA?
  - Pad message with random data before applying RSA function
  - PKCS#1v1.5 (no existing security proof; many impl. attacks)
  - RSA-OAEP (complicated security proof; first proof buggy)
- RSA encryption not used much in practice anymore
  - Mostly key transport of (short) symmetric key
  - Lacks forward secrecy!
- RSA digital signatures still very common
  - Covered next week



# **RSA** in practice II

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### Mining Your Ps and Qs: Detection of Widespread Weak Keys in Network Devices

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Ron was wrong, Whit is right

#### Abstract

RSA and DSA can fail catastrophically when used with malfunctioning random number generators, but the extent to which these problems arise in practice has never been comprehensively studied at Internet scale. We perform the largest ever network survey of TLS and SSH servers and present evidence that vulnerable keys are surprisingly widespread. We find that 0.75% of TLS certificates share keys due to insufficient entropy during key generation, and we suspect that another 1.70% come from the same faulty implementations and may be susceptible to compromise. Even more alarmingly, we are able to obtain RSA private keys for 0.50% of TLS hosts and 0.03% of SSH hosts, because their public keys shared nontrivial common factors due to entropy problems, and DSA private keys for 1.03% of SSH hosts, because of insufficient

expect that today's widely used operating systems and server software generate random numbers securely. It his paper, we test that proposition empirically by xamining the public keys in use on the Internet.

The first component of our study is the most comprehensive Internet-wide survey to date of two of the most important cryptographic protocols, TLS and SSH (Section 3.1). By scanning the public IPv4 address space, we collected 5.8 million unique TLS certificates from 12.8 million hosts and 6.2 million unique SSH host keys from 10.2 million hosts. This is 67% more TLS hosts than the latest released EFF SSL Observatory dataset [18]. Our techniques take less than 24 hours to scan the entire address space for listening hosts and less than 96 hours to retrieve keys from them. The results give us a macroscopic perspective of the universe of keys.

Abstract. We performed a sanity check of public keys collected on the web. Our main goal was to test the validity of the assumption that different random choices are made each time keys are generated. We found that the vast majority of public keys work as intended. A more disconcerting finding is that two out of every one thousand RSA moduli that we collected offer no security. Our conclusion is that the validity of the assumption is questionable and that generating keys in the real world for "multiple-secrets" cryptosystems such as RSA is significantly riskier than for "single-secret" ones such as ElGamal or (EC)DSA which are based on Diffie-Hellman. Keywords: Sanity check, RSA, 99.8% security, ElGamal, DSA, ECDSA, (batch) factoring, discrete logarithm, Euclidean algorithm, seeding random number generators, K<sub>9</sub>.

#### 1 Introduction

Multiple RSA modulus n

sharing a common prime

Various studies have been conducted to assess the state of the current public key infrastructure, with a focus on X.509 certificates (cf. [4]). Key generation standards for RSA (cf. [24]) have been analysed and found to be satisfactory in [20]. In [13] and [28] (and the references therein) several problems have been identified that are mostly related to the way certificates

https://factorable.net/weakkeys12.extended.pdf

https://eprint.iacr.org/2012/064

## **Summary**

Public-key encryption security goals: IND-CPA and IND-CCA

- ElGamal
  - Public-key encryption from Diffie-Hellman key exchange + symmetric encryption scheme
  - Hashed-ElGamal: hash DH key to obtain a symmetric key K

- RSA
  - Textbook-RSA: not a public-key encryption scheme directly (not IND-CPA secure!)
  - Shoup's RSA: encrypt random number with Textbook-RSA and derive symmetric key from hash function
    - IND-CPA / IND-CCA secure (depending on symmetric scheme)
    - · Not much used in practice
    - In practice: pad message before encrypting with Textbook-RSA (PKCS#1v1.5, RSA-OAEP)
  - Must be hard: RSA-problem and factoring problem