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# Lecture 13 – Quantum computers, Shor's algorithm, post-quantum cryptography

TEK4500

22.11.2023

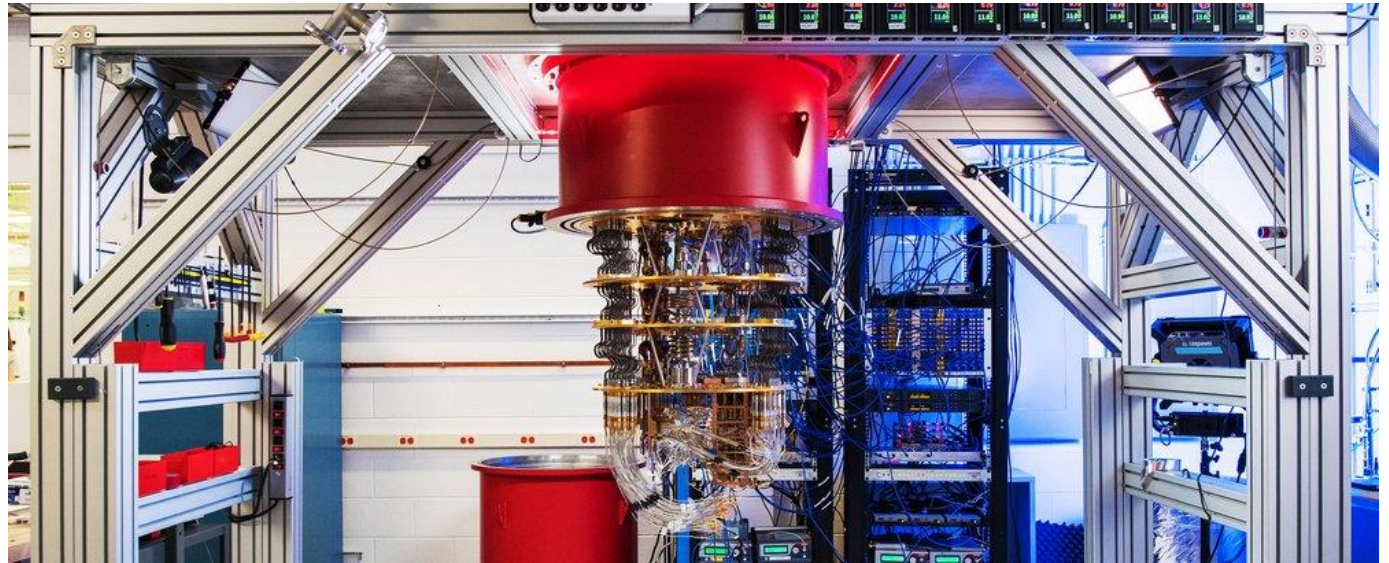
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# Elements of (quantum) computing

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- Three elements of all computations: data, operations, results
  
- Quantum computation
  - Data = **qubit**
  - Operation = **quantum gate**
  - Results = **measurements**



# Qubits

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- Classical bit:           ●           ●  
                                  0           1

- Qubit:

Can be in a **superposition** of two basic states  $|0\rangle$  and  $|1\rangle$

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle \qquad \alpha, \beta \in \mathcal{C} \qquad |\alpha|^2 + |\beta|^2 = 1$$

But we can never observe  $\alpha$  and  $\beta$  directly!

Must **measure**  $|\psi\rangle$  to obtain its value  $\Rightarrow$  state *randomly* collapses to either  $|0\rangle$  or  $|1\rangle$

What's the probability of observing  $|0\rangle$  or  $|1\rangle$ ?

$$\Pr[\text{observe } |0\rangle] = |\alpha|^2$$

$$\Pr[\text{observe } |1\rangle] = |\beta|^2$$

# Multiple qubits

- 2-qubit system

$$|\psi\rangle = \alpha |00\rangle + \beta |01\rangle + \gamma |10\rangle + \delta |11\rangle$$

$$\alpha, \beta, \gamma, \delta \in \mathbb{C}$$

$$|\alpha|^2 + |\beta|^2 + |\gamma|^2 + |\delta|^2 = 1$$

- $N$ -qubit system:  $2^N$  basis states

$$|\psi\rangle = \alpha_0 |0 \dots 00\rangle + \alpha_1 |0 \dots 01\rangle + \alpha_2 |0 \dots 10\rangle + \dots + \alpha_{2^N-1} |1 \dots 11\rangle$$

$$|\alpha_0|^2 + |\alpha_1|^2 + \dots + |\alpha_{2^N-1}|^2 = 1$$

- Representable by a  $2^N$  element vector:

$$0.8|001\rangle - 0.6i|101\rangle = \begin{pmatrix} 0 \\ 0.8 \\ 0 \\ 0 \\ 0 \\ 0 \\ -0.6i \\ 0 \\ 0 \end{pmatrix}$$

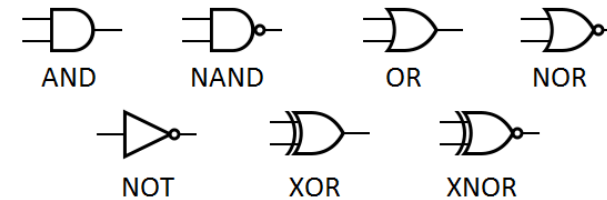
$$\text{Pr}[\text{observe } |001\rangle] = |0.8|^2 = 0.64$$

$$\text{Pr}[\text{observe } |101\rangle] = |-0.6i|^2 = \sqrt{(-0.6)^2}^2 = 0.36$$

$$|\psi\rangle = \begin{pmatrix} \alpha_0 \\ \alpha_1 \\ \vdots \\ \alpha_{2^N-1} \end{pmatrix} \quad |000\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad |001\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad |010\rangle = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad |011\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad |100\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad |101\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad |110\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad |111\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

# Quantum computation – quantum gates

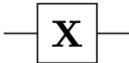


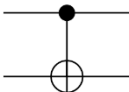
- Classic bits are transformed using logical gates



- Qubits are transformed using **quantum gates**

$$|\psi\rangle \xrightarrow{G} |\psi'\rangle$$

$$\alpha|0\rangle + \beta|1\rangle \xrightarrow{G} \alpha'|0\rangle + \beta'|1\rangle$$

Operator	Gate(s)	Matrix
Pauli-X (X)	 $\oplus$	$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$
Pauli-Z (Z)		$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$
Hadamard (H)		$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$
Controlled Not (CNOT, CX)		$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$

# (Quantum) NOT-gate (or X gate)

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$$|0\rangle \xrightarrow{X} |1\rangle$$

$$|1\rangle \xrightarrow{X} |0\rangle$$

$$\alpha|0\rangle + \beta|1\rangle \xrightarrow{X} \beta|0\rangle + \alpha|1\rangle$$

**X gate:**

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

$$|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

$$X|0\rangle = X \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = |1\rangle$$

$$X|1\rangle = X \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = |0\rangle$$

$$X|\psi\rangle = X \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = ?$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \beta \\ \alpha \end{pmatrix}$$

# The Hadamard gate

$$|0\rangle \xrightarrow{H} \frac{1}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle$$

$$|1\rangle \xrightarrow{H} \frac{1}{\sqrt{2}}|0\rangle - \frac{1}{\sqrt{2}}|1\rangle$$

**H gate:**

$$H = \begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix}$$

$$\begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix}$$

$$\begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix}$$

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

$$\Pr[\text{measure } |\psi\rangle \Rightarrow |0\rangle] = |\alpha|^2$$

$$\Pr[\text{measure } |\psi\rangle \Rightarrow |1\rangle] = |\beta|^2$$

$$\Pr[\text{measure } H|1\rangle \Rightarrow |0\rangle] = \left| \frac{1}{\sqrt{2}} \right|^2 = 0.5$$

$$\Pr[\text{measure } H|1\rangle \Rightarrow |1\rangle] = \left| \frac{-1}{\sqrt{2}} \right|^2 = 0.5$$

The Hadamard gate allows us to create random bits!

# Controlled-NOT gate (CNOT)

CNOT

$$|00\rangle \mapsto |00\rangle$$

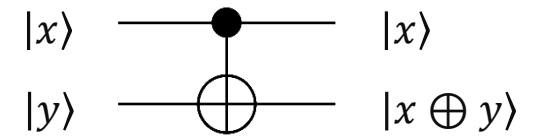
$$|01\rangle \mapsto |01\rangle$$

$$|10\rangle \mapsto |11\rangle$$

$$|11\rangle \mapsto |10\rangle$$

CNOT gate:

$$\text{CNOT} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

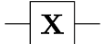

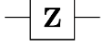

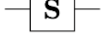
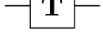
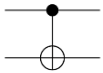
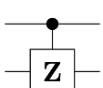



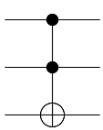


$$\begin{array}{cccc} & & |10\rangle & |11\rangle \\ & & | & | \\ \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} & \begin{pmatrix} 0 \\ 0 \\ \color{red}{1} \\ 0 \end{pmatrix} & = & \begin{pmatrix} 0 \\ 0 \\ 0 \\ \color{red}{1} \end{pmatrix} \end{array}$$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{pmatrix} \alpha \\ \beta \\ \gamma \\ \delta \end{pmatrix} = \begin{pmatrix} \alpha \\ \beta \\ \delta \\ \gamma \end{pmatrix}$$



# Many other gates...

Operator	Gate(s)	Matrix
Pauli-X (X)	 $\oplus$	$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$
Pauli-Y (Y)		$\begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$
Pauli-Z (Z)		$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$
Hadamard (H)		$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$
Phase (S, P)		$\begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}$
$\pi/8$ (T)		$\begin{bmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{bmatrix}$
Controlled Not (CNOT, CX)		$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$
Controlled Z (CZ)	 	$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}$
SWAP	 	$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$
Universal for classical logic!		$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$

# Quantum gates

- Turns out that all quantum gates can be described by matrices
  - In fact, very special matrices: unitary matrices
  - ... and *only* unitary matrices! (fact of nature)
- Quantum operations are *linear* and can be combined

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad \begin{array}{l} |0\rangle \mapsto |1\rangle \\ |1\rangle \mapsto |0\rangle \end{array}$$

$$|\psi_0\rangle \xrightarrow{Z} |\psi_1\rangle \xrightarrow{X} |\psi_2\rangle \xrightarrow{H} |\psi_3\rangle \xrightarrow{Z} |\psi_4\rangle$$

$$Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \begin{array}{l} |0\rangle \mapsto |0\rangle \\ |1\rangle \mapsto -|1\rangle \end{array}$$

$$ZH X Z |\psi_0\rangle = |\psi_4\rangle$$

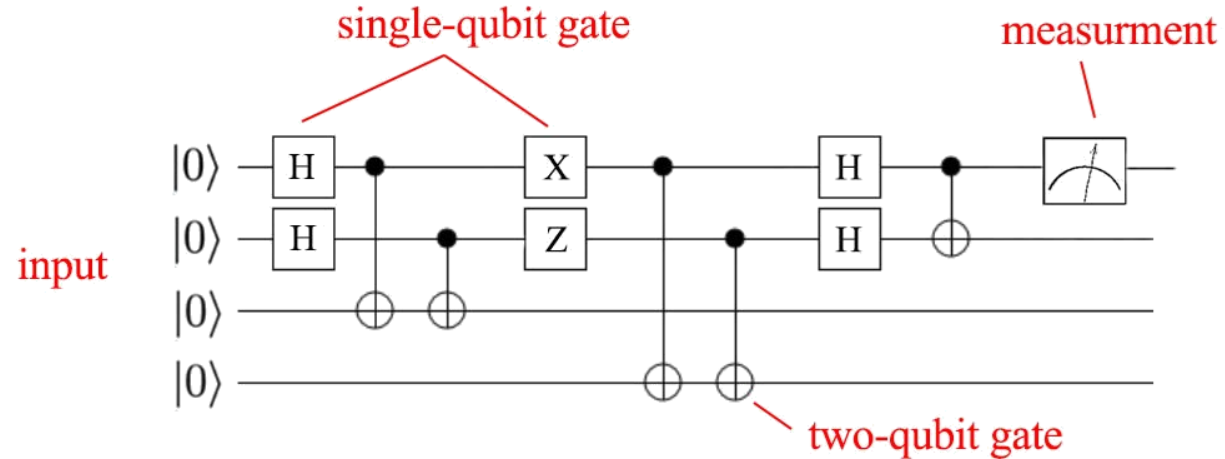
$$\begin{aligned} ZH X Z |0\rangle &= \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \begin{bmatrix} 1/\sqrt{2} & -1/\sqrt{2} \\ 1/\sqrt{2} & 1/\sqrt{2} \end{bmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} = \frac{1}{\sqrt{2}} |0\rangle + \frac{1}{\sqrt{2}} |1\rangle \end{aligned}$$

$$H = \begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix}$$

$$\begin{array}{l} |0\rangle \mapsto \frac{1}{\sqrt{2}} |0\rangle + \frac{1}{\sqrt{2}} |1\rangle \\ |1\rangle \mapsto \frac{1}{\sqrt{2}} |0\rangle - \frac{1}{\sqrt{2}} |1\rangle \end{array}$$

# Quantum computer

- A **quantum computer** consists of:
  - $N$  input qubits
  - a sequence of quantum gates
  - $N$  output qubits
  - result = measurement of final quantum state (output qubits)

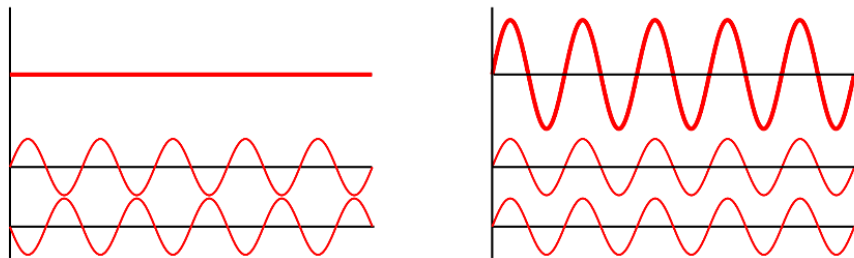


# What makes quantum computation special?

- **Warning:** a quantum computer does *not* simply "try out all solutions in parallel"
- The magic comes from allowing *complex* amplitudes (or even just negative reals)

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \quad \alpha, \beta \in \mathbb{C}$$

- **Quantum interference:** can *carefully* choreograph computations so wrong answers "cancel out" their amplitudes, while correct answers "combine"



- increases probability of measuring correct result
- only a few special problems allow this choreography



# Shor's algorithm

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## Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer\*

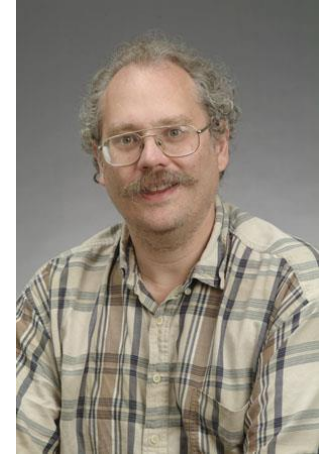
Peter W. Shor<sup>†</sup>

1994

### Abstract

A digital computer is generally believed to be an efficient universal computing device; that is, it is believed able to simulate any physical computing device with an increase in computation time by at most a polynomial factor. This may not be true when quantum mechanics is taken into consideration. This paper considers factoring integers and finding discrete logarithms, two problems which are generally thought to be hard on a classical computer and which have been used as the basis of several proposed cryptosystems. Efficient randomized algorithms are given for these two problems on a hypothetical quantum computer. These algorithms take a number of steps polynomial in the input size, e.g., the number of digits of the integer to be factored.

**Keywords:** algorithmic number theory, prime factorization, discrete logarithms, Church's thesis, quantum computers, foundations of quantum mechanics, spin systems, Fourier transforms



# First: something completely different

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2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...

2, 4, 8, 1, 2, 4, 8, 1, 2, 4, 8, 1, 2 ...

mod 15

2, 4, 8, 16, 11, 1, 2, 4, 8, 16, 11, 1, 2 ...

mod 21



sequences are periodic

# Factoring to order-finding

$$N = pq$$

$$a^1, a^2, a^3, \dots, a^r, a^1, a^2 \dots \pmod{N}$$

**order** of  $a$  = the smallest positive  $r$  such that  $a^r = 1 \pmod{N}$

**Fact:**  $r$  must divide  $(p-1)(q-1) = \phi(N)$

**Proof:**

- $(p-1)(q-1) = sr + t$   $0 \leq t < r$
- $a^{(p-1)(q-1)} = a^{sr+t} = a^{sr} a^t = (a^r)^s a^t = 1 \cdot a^t = a^t = 1 \pmod{N} \implies t = 0$  (since  $r$  is the *smallest*)
- $(p-1)(q-1) = sr$  QED

**Euler's theorem:** for all  $a \in \mathbb{Z}_N^*$   
 $a^{\phi(N)} = a^{(p-1)(q-1)} = 1 \pmod{N}$

**Conclusion:** learn  $r \implies$  we learn a factor of  $(p-1)(q-1)$   
 repeat with a different  $a \implies$  learn another factor of  $(p-1)(q-1)$  (with high prob.)  
 eventually we can learn full  $\phi(N) = (p-1)(q-1) \implies$  can find  $p$  and  $q$  (Problem set 9)

# Shor's algorithm

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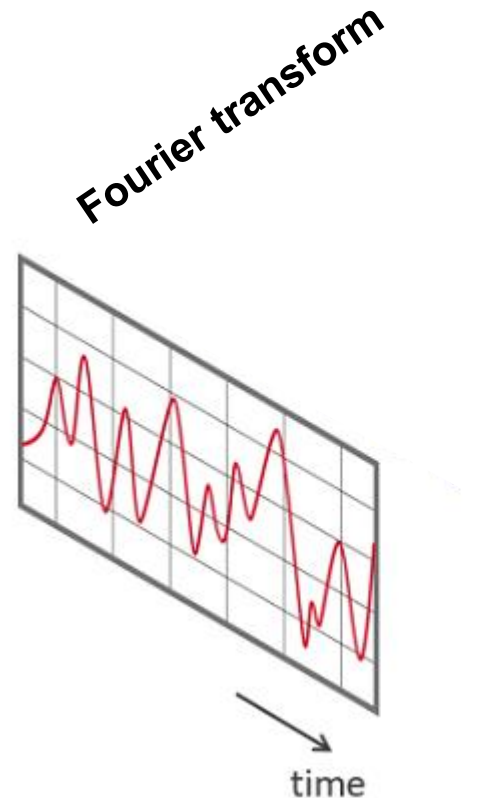
Where the quantum magic happens!

Shor's algorithm	
<b>Input:</b> $N = pq$	
<b>Output:</b> $p$ and $q$	
1.	<b>repeat until</b> $\phi(N)$ is factored:
2.	$a \xleftarrow{\$} \mathbf{Z}_N$
3.	$r \leftarrow \text{Order}_N(a)$ // but how to find $r$ ?
4.	use $r$ to find factor of $\phi(N)$
5.	compute $p$ and $q$ from $N$ and $\phi(N)$



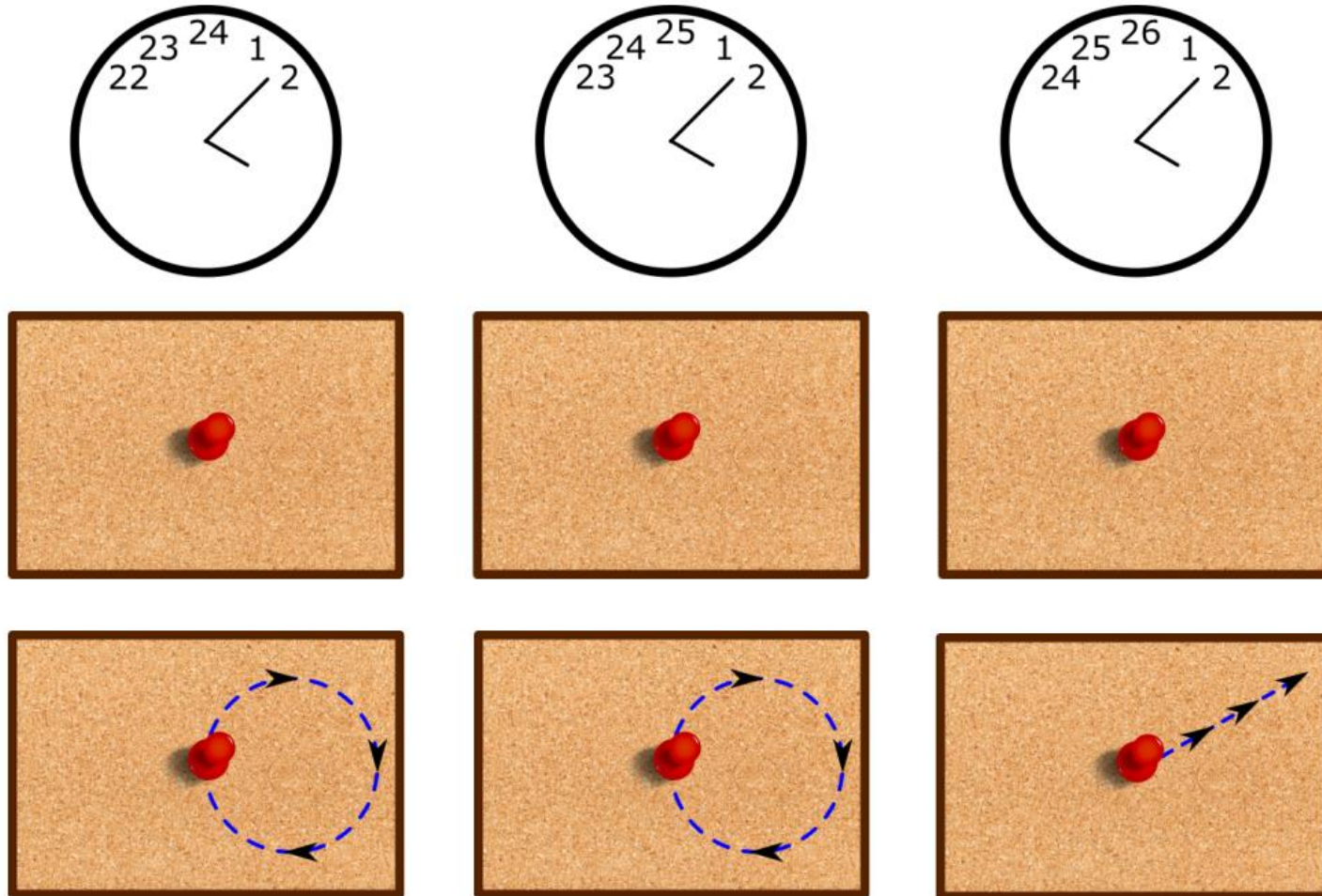
# Shor's algorithm

- To factor  $N$ : find order  $r$  of  $a$  in  $\mathbf{Z}_N^*$
- Problem:  $r$  can be very large
  - Classical solutions take exponential time
- **Note:** the function  $f(i) = a^i \bmod N$  is *periodic*:
$$f(i + kr) = a^{i+kr} = a^i \bmod N = f(i)$$
  - finding signal frequencies  $\Leftrightarrow$  finding signal period
- Key ingredient of Shor's algorithm:
$$\text{quantum Fourier transform (QFT)}$$



# Fourier transform

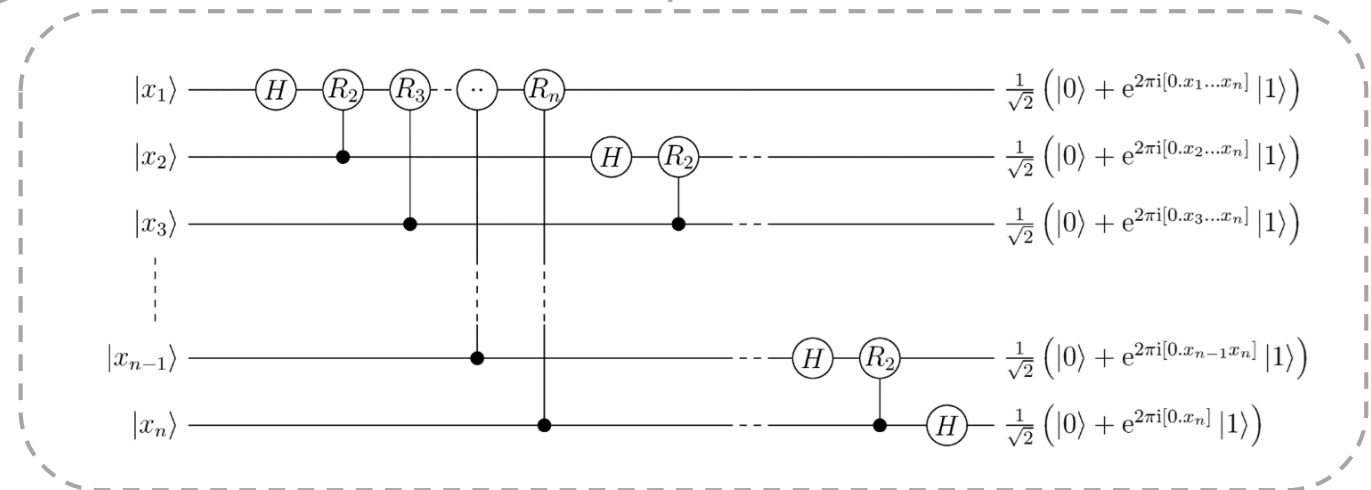
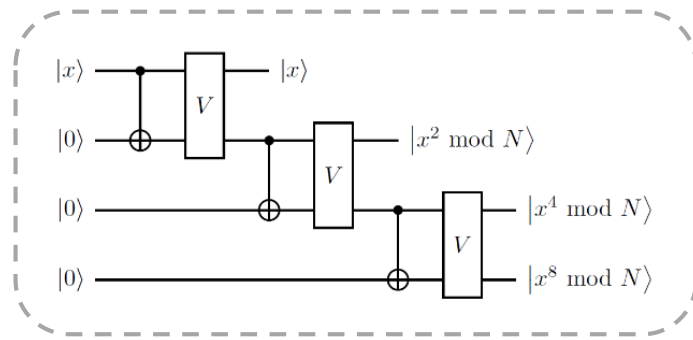
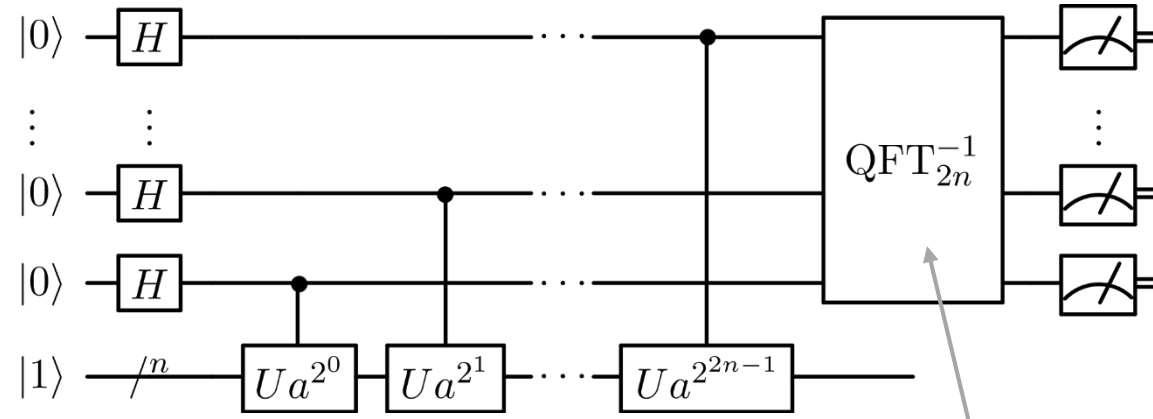
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Source: <https://www.scottaaronson.com/qclec.pdf>

More on the Fourier transform:  
(3Blue1Brown) <https://www.3blue1brown.com/lessons/fourier-transforms>  
(Veritasium) <https://youtu.be/nmgFG7PUHfo>

# Shor's algorithm



# Consequences of Shor's algorithm

- Cryptosystems broken by Shors' algorithm:
  - RSA
  - Diffie-Hellman
  - Schnorr
  - ElGamal
  - ECDSA
- ...public-key crypto is dead

*both  $\mathbf{Z}_p^*$  and  $E(\mathbf{F}_p)$*

## Shor's algorithm

**Input:**  $N = pq$

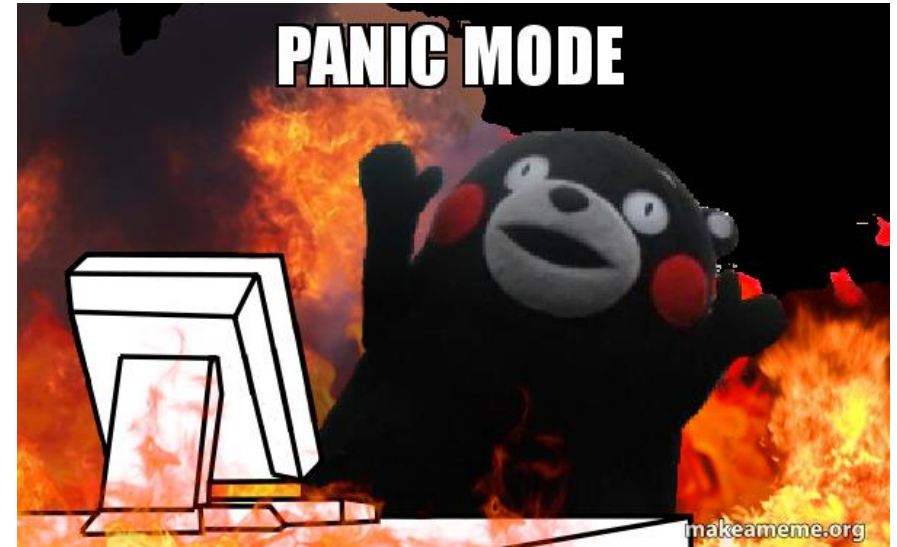
**Output:**  $p$  and  $q$

1. **repeat until**  $\phi(N)$  is factored:
2.      $a \leftarrow \mathbf{Z}_N$
3.      $r \leftarrow \text{Order}_N(a)$
4.     use  $r$  to find factor of  $\phi(N)$
5.     compute  $p$  and  $q$  from  $N$  and  $\phi(N)$

# The quantum menace

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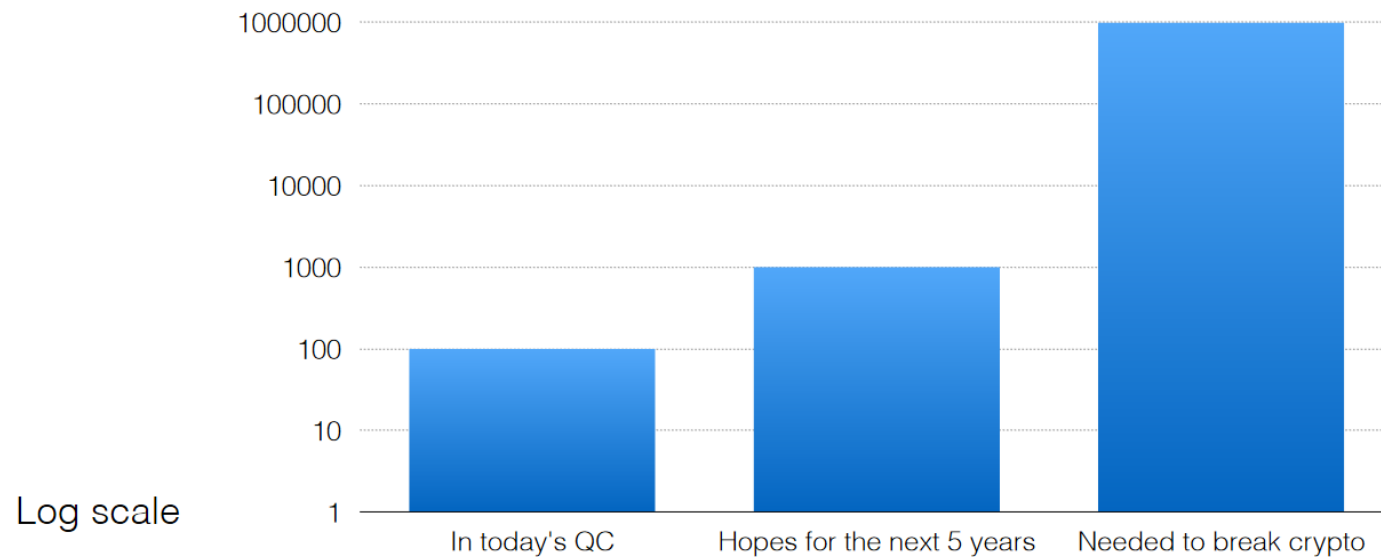
- How far away is a quantum computer?
  - Nobody knows
- Building a large-scale quantum computer is a huge engineering challenge
  - very susceptible to noise (decoherence)
  - requires quantum error correction (is it even possible?)
  - many *physical* qubits needed to simulate a single *logical* qubit
    - $\geq 1000$  logical qubits needed for Shor's algorithm
    - largest (known) quantum computers:
      - $\approx 53$  physical qubits ([Google; 2019](#)) (no error correction)
      - $\approx 65$  physical qubits ([IBM; 2020](#)) (no error correction)
      - $\approx 127$  physical qubits ([IBM; 2021](#)) (no error correction)
      - $\approx 433$  physical qubits ([IBM; 2022](#)) (no error correction)



# The quantum menace

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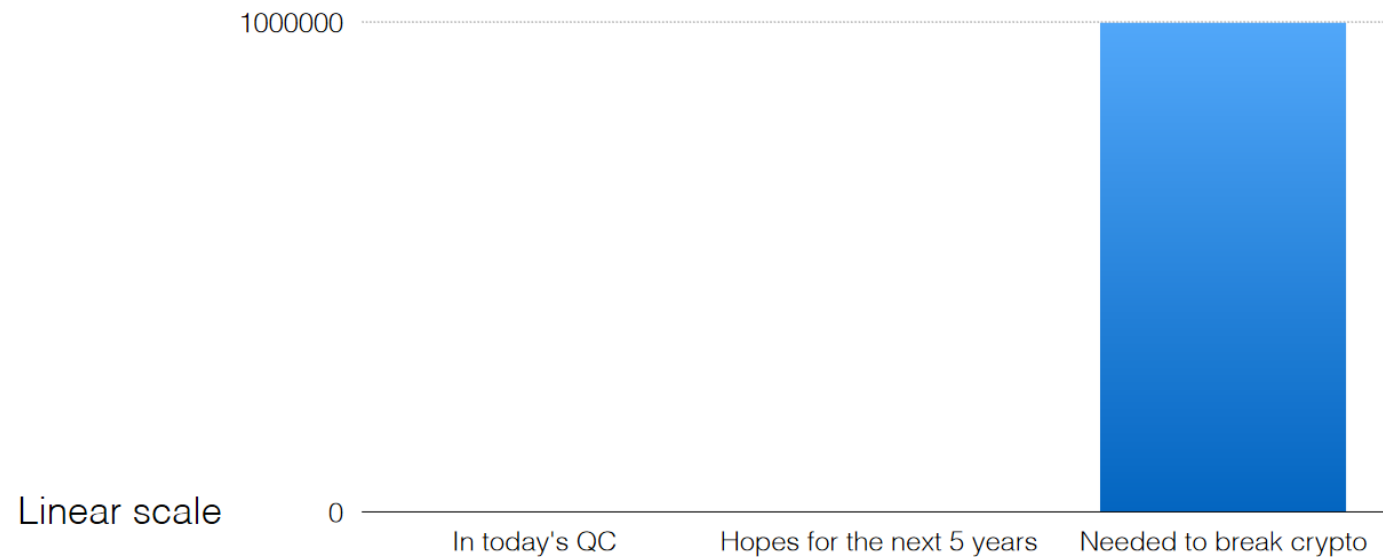
How many qubits in a quantum computer?



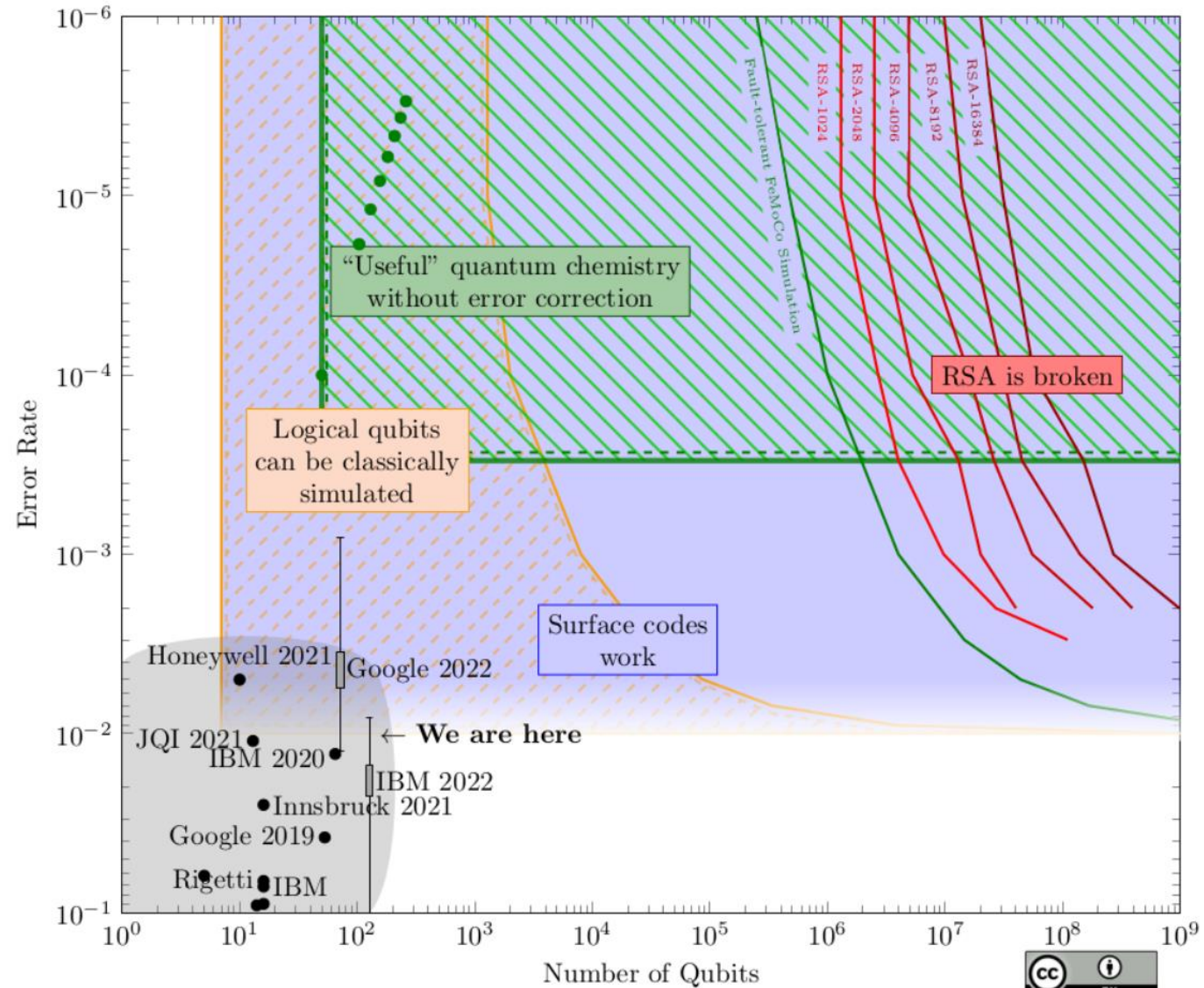
# The quantum menace

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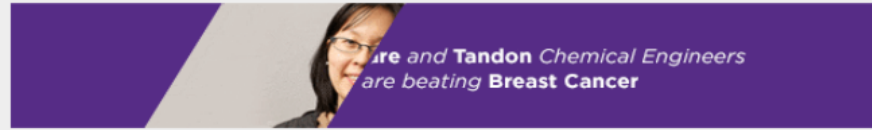
How many qubits in a quantum computer?



# The quantum menace







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Computing

## **NSA Says It “Must Act Now” Against the Quantum Computing Threat**

The National Security Agency is worried that quantum computers will neutralize our best encryption – but doesn't yet know what to do about that problem.

by Tom Simonite February 3, 2016

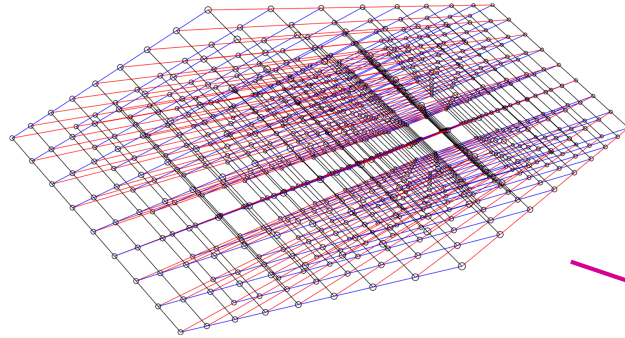
# Dealing with quantum computers

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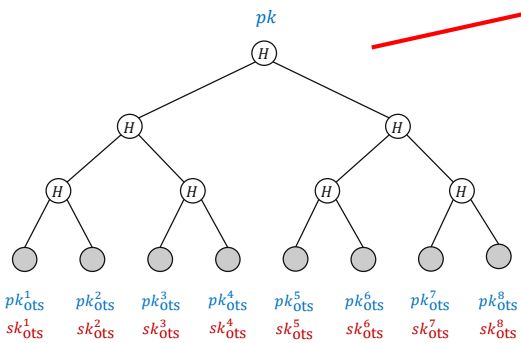
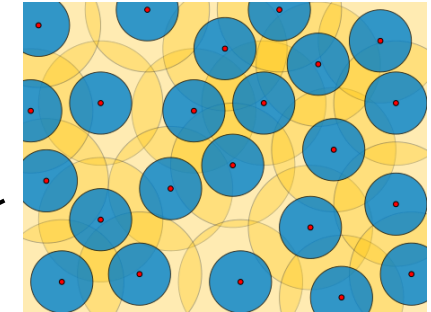
- **Symmetric cryptography**
  - Grover's algorithm: solves  $\mathcal{O}(2^n)$  problems in  $\mathcal{O}(2^{n/2})$  quantum steps
  - Inherently serial + huge constants
  - AES-128 is most likely safe; using AES-256 removes any doubts
- **Quantum cryptography**
  - Use quantum mechanics to build cryptography
  - Requires specialized equipment
  - Only used for key distribution; does not solve authentication problem
- **Quantum-resistant cryptography (a.k.a. post-quantum cryptography)**
  - Classical (asymmetric) algorithms believed to withstand quantum attacks

# Post-quantum cryptography

Lattice-based cryptography

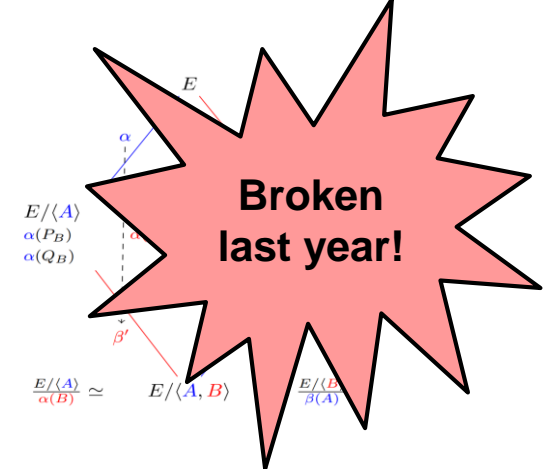


Code-based encryption



Hash-based signatures

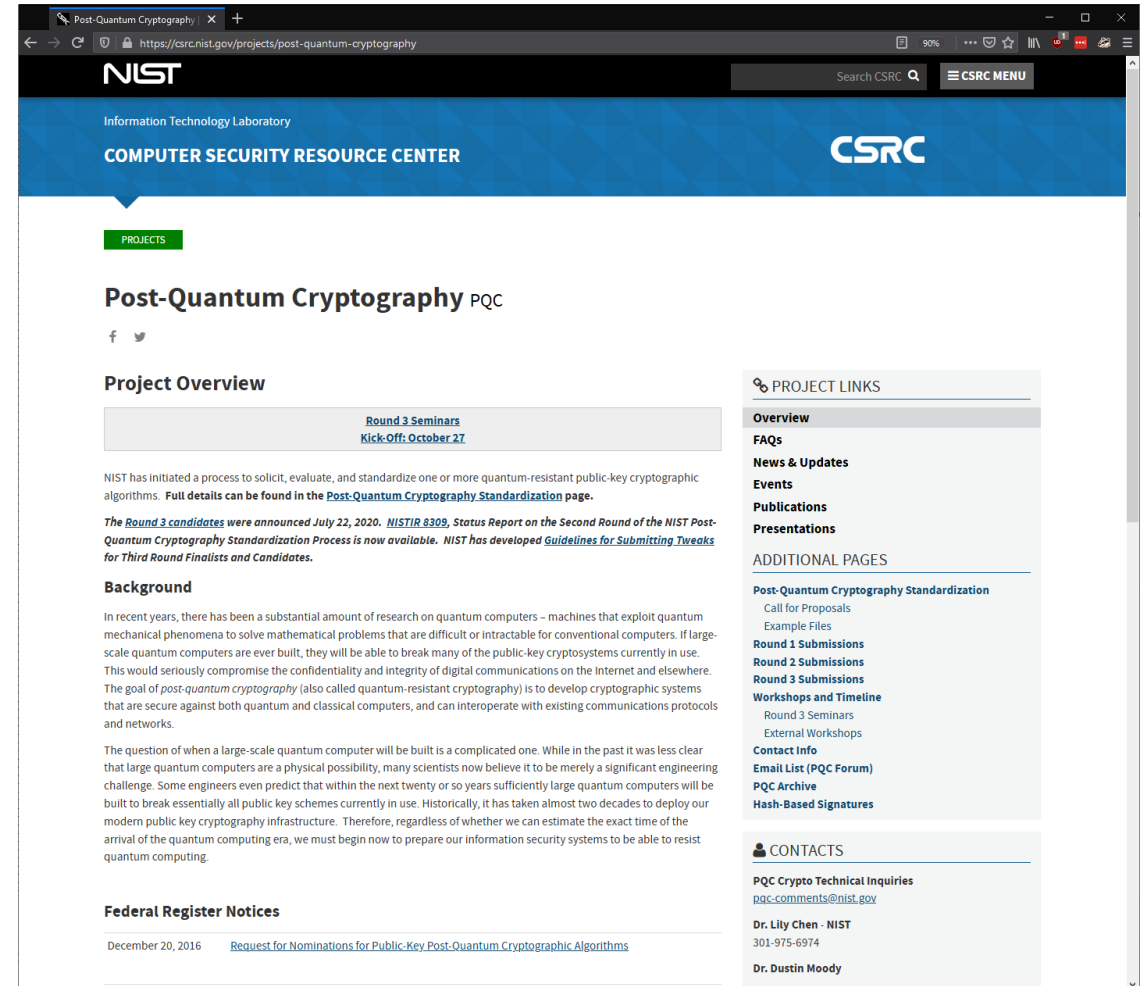
<https://eprint.iacr.org/2022/975>



Supersingular isogeny key exchange

# The NIST post-quantum competition

- Public competition to standardize post-quantum schemes
  - Public-key encryption
  - Digital signatures
- Started in 2017
  - Round 1: 69 submissions
  - Round 2: 26 candidates selected
  - Round 3: 15 candidates selected



The screenshot shows the NIST website page for the Post-Quantum Cryptography project. The page is titled "Post-Quantum Cryptography PQC" and is part of the "COMPUTER SECURITY RESOURCE CENTER" (CSRC). The page includes a "Project Overview" section with a "Round 3 Seminars Kick-Off: October 27" link. Below this, there is a "Background" section discussing the challenges of quantum computing and the goal of developing quantum-resistant cryptographic systems. A "Federal Register Notices" section at the bottom mentions a "Request for Nominations for Public-Key Post-Quantum Cryptographic Algorithms" from December 20, 2016. On the right side, there are "PROJECT LINKS" and "CONTACTS" sections, including links to "Overview", "FAQs", "News & Updates", "Events", "Publications", "Presentations", and "Additional Pages".

SPHINCS+

Hash-based

# The NIST post-quantum competition

- Public competition to standardize post-quantum schemes
  - Public-key encryption
  - Digital signatures
- Started in 2017
  - Round 1: 69 submissions
  - Round 2: 26 candidates selected
  - Round 3: 15 candidates selected
- Winners:
  - CRYSTALS-KYBER (PKE)
  - CRYSTALS-DILITHIUM (Signature)
  - Falcon (Signature)
  - SPHINCS+ (Signature)

Algorithm (public-key encryption)	Problem
Classic McEliece	Code-based
CRYSTALS-KYBER	Lattice-based
NTRU	Lattice-based
SABER	Lattice-based
BIKE	Code-based
FrodoKEM	Lattice-based
HQC	Code-based
NTRU Prime	Lattice-based
SIKE	Isogeny-based

Algorithm (digital signatures)	Problem
CRYSTALS-DILITHIUM	Lattice-based
Falcon	Lattice-based
Rainbow	Multivariate-based
GeMSS	Multivariate-based
Picnic	ZKP
SPHINCS+	Hash-based

# The NIST post-quantum competition

- Public competition to standardize post-quantum schemes
  - Public-key encryption
  - Digital signatures
- Started in 2017
  - Round 1: 69 submissions
  - Round 2: 26 candidates selected
  - Round 3: 15 candidates selected
  - Round 4: alternative candidates
- Winners:
  - CRYSTALS-KYBER (PKE)
  - CRYSTALS-DILITHIUM (Signature)
  - Falcon (Signature)
  - SPHINCS+ (Signature)

Algorithm (public-key encryption)	Problem
Classic McEliece	Code-based
CRYSTALS-KYBER	Lattice-based
NTRU	Lattice-based
SABER	Lattice-based
BIKE	Code-based
FrodoKEM	Lattice-based
HQC	Code-based
NTRU Prime	Lattice-based
SIKE	Isogeny-based

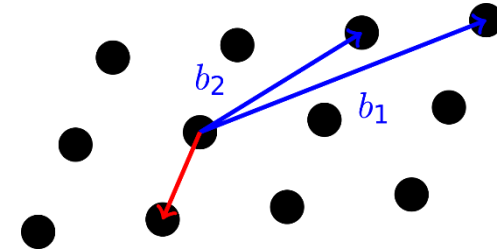
Algorithm (digital signatures)	Problem
CRYSTALS-DILITHIUM	Lattice-based
Falcon	Lattice-based
Rainbow	Multivariate-based
GeMSS	Multivariate-based
Picnic	ZKP
SPHINCS+	Hash-based

# Lattice-based cryptography

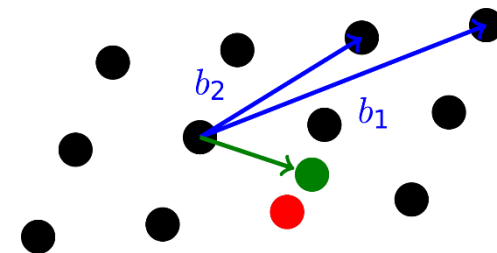
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- Very versatile computational problems
  - Public-key encryption
  - Digital signatures
  - Hash functions
  - Fully homomorphic encryption
  - Key exchange
- Leads to efficient and compact schemes
- Based on hardness of problems in algebraic number theory
  - Believed to be hard also for quantum computers

## Shortest vector problem

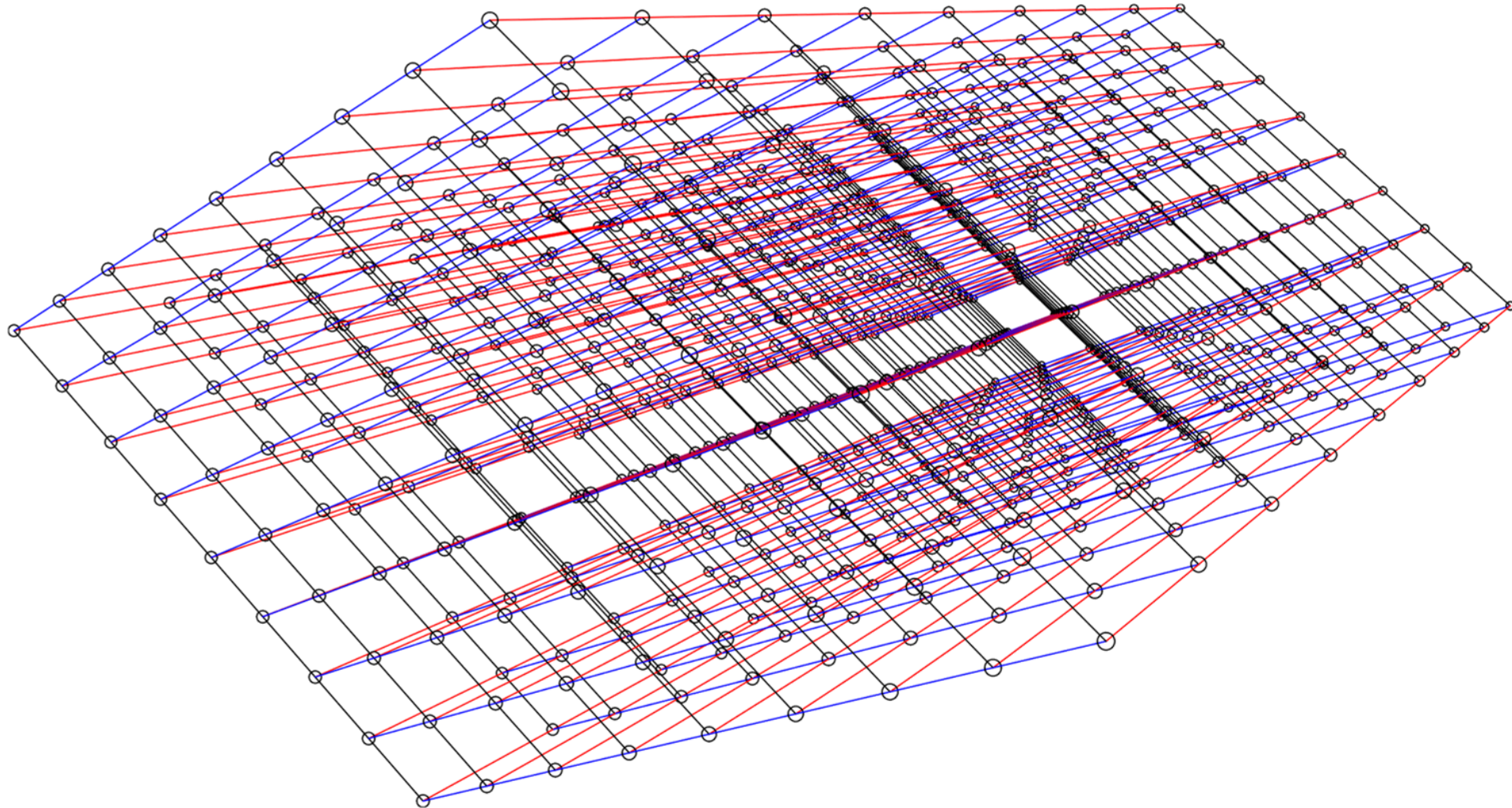


## Closest vector problem



# Lattice-based cryptography

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# Next week – guest lecture!

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# Post-quantum cryptography

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- Want to learn more about post-quantum cryptography?
- Sign up for [TEK5550 - Advanced Topics in Cryptology](#) next spring!