# Lecture 8 – Group theory, Diffie-Hellman key exchange

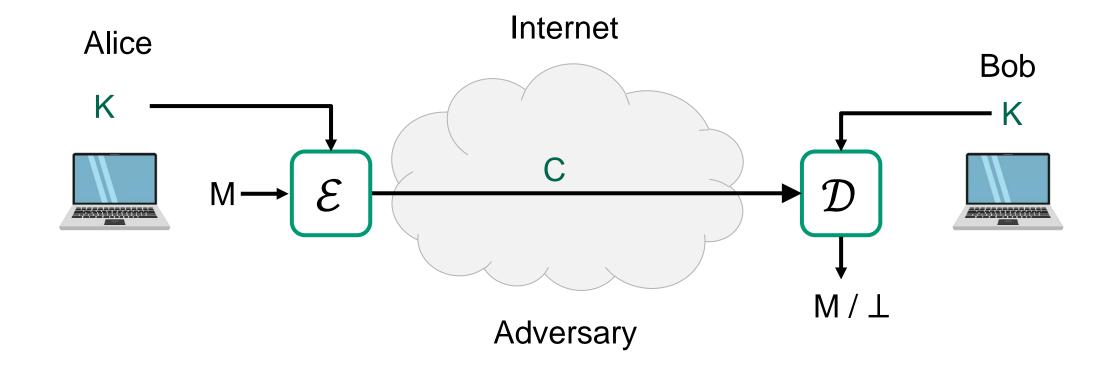
**TEK4500** 

18.10.2023

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### Creating secure channels: encryption schemes

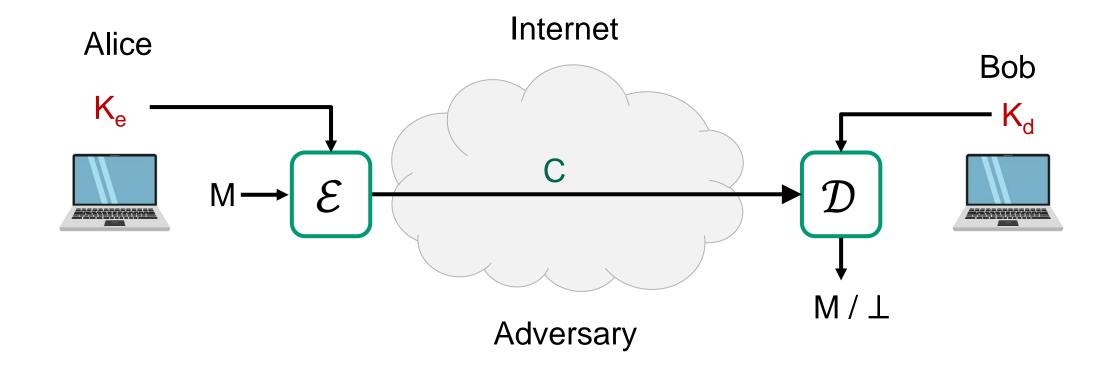


E: encryption algorithm (public)

**K**: encryption / decryption key (secret)

**D**: decryption algorithm (public)

### Creating secure channels: encryption schemes



E: encryption algorithm (public)

**1**: decryption algorithm (public)

**K**<sub>e</sub>: encryption key (public)

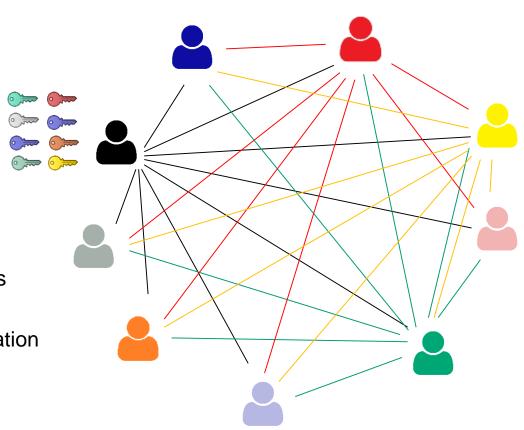
**K**<sub>d</sub>: decryption key (secret)

# **Basic goals of cryptography**

	Message privacy	Message integrity / authentication	
Symmetric keys	Symmetric encryption	Message authentication codes (MAC)	
Asymmetric keys	Asymmetric encryption (a.k.a. public-key encryption)	Digital signatures	(Key exchange)

### Symmetric key distribution problem

- One user needs to store N symmetric keys when communicating with N other users
- $N(N-1) = \mathcal{O}(N^2)$  keys stored in total
- Difficult to store and manage so many keys securely
- Partial solution: key distribution centers
  - One central authority hands out temporary keys
  - O(N) (long-term) keys needed (to the KDC)
  - Might be a feasible solution in a single organization
  - Single point of failure
  - What about the internet?





The public-key revolution

# Diffie-Hellman key exchange – idea



#### Diffie-Hellman key exchange

- Discovered in the 1970's
- Allows two parties to establish a shared secret without ever having met
- Diffie & Hellman paper also introduced:
  - Public-key encryption
  - Digital signatures



Ralph Merkle Whitfield Diffie Martin Hellman

#### New Directions in Cryptography

Invited Paper

Whitfield Diffie and Martin E. Hellman

Abstract Two kinds of contemporary developments in cryppaper suggests ways to solve these currently open problems. It also discusses how the theories of communication and computation are beginning to provide the tools to solve cryptographic problems of long standing.

#### 1 INTRODUCTION

We stand today on the brink of a revolution in cryptography. The development of cheap digital hardware has freed it from the design limitations of mechanical computing and brought the cost of high grade cryptographic devices down to where they can be used in such commercial applications as remote cash dispensers and computer terminals. In turn, such applications create a need for new types of cryptographic systems which minimize the necessity of secure key distribution channels and supply the equivalent of a written signature. At the same time, theoretical developments in information theory and computer science show promise of providing provably secure cryptosystems, changing this ancient art into a science.

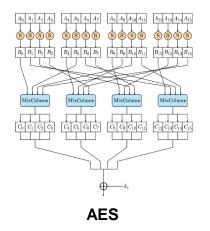
The development of computer controlled communication net-

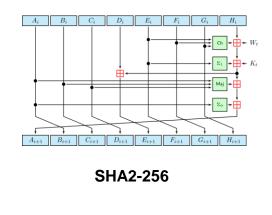
tography are examined. Widening applications of teleprocess- raphy to insure privacy, however, it currently necessary for the ing have given rise to a need for new types of cryptographic communicating parties to share a key which is known to no systems, which minimize the need for secure key distribution one else. This is done by sending the key in advance over some channels and supply the equivalent of a written signature. This secure channel such a private courier or registered mail. A private conversation between two people with no prior acquaintance is a common occurrence in business, however, and it is unrealistic to expect initial business contacts to be postponed long enough for keys to be transmitted by some physical means. The cost and delay imposed by this key distribution problem is a major barrier to the transfer of business communications to large teleprocessing networks.

> Section III proposes two approaches to transmitting keying information over public (i.e., insecure) channel without compromising the security of the system. In public key cryptosystem enciphering and deciphering are governed by distinct keys, E and D, such that computing D from E is computationally infeasible (e.g., requiring 10100 instructions). The enciphering key E can thus be publicly disclosed without compromising the deciphering key D. Each user of the network can, therefore, place his enciphering key in a public directory. This enables any user of the system to send a message to any other user enciphered in such a way that only the intended receiver is able to decipher it. As such, a public key cryptosystem is multiple access cipher. A private conversation can therefore be

### A different kind of primitives

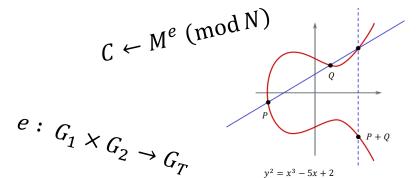
- Symmetric crypto boils down to a few primitives
  - PRF/PRPs + hash functions
  - Why are these considered secure?
    - Lots and lots of cryptanalysis (well-studied!)
    - · Artificial and man-made

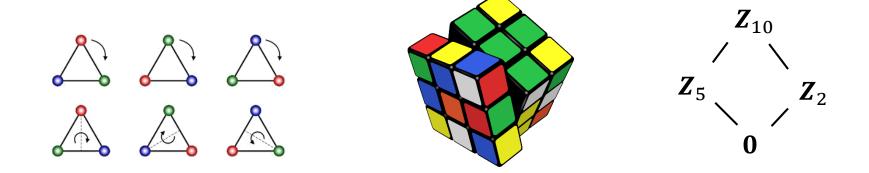




- Want asymmetric crypto to be based on a few well-studied primitives too
- Candidates come from a different place:
  - Hard mathematical problems
  - · Good candidates: discrete logarithm problem, factoring
    - · Much more algebraic structure

$$\mathbf{Z}_n^* \simeq \mathbf{Z}_{p_1}^* \times \mathbf{Z}_{p_2}^* \times \cdots \times \mathbf{Z}_{p_t}^*$$





**Group theory + number theory** 

#### **Preliminaries**

$$Z = {..., -2, -1, 0, 1, 2, 3, ...}$$

An integer p > 1 is **prime** if it's only divisible by 1 and p

$$R =$$
the real numbers

$$\mathbf{R}^* = \mathbf{R} \setminus \{0\}$$

(integers "mod 
$$n$$
")

(integers "mod 
$$n$$
")  $Z_n = \{0, 1, 2, ..., n-1\}$ 

(integers "mod 
$$p$$
")

$$\boldsymbol{Z}_{p} = \{0, 1, 2, \dots, p-1\}$$

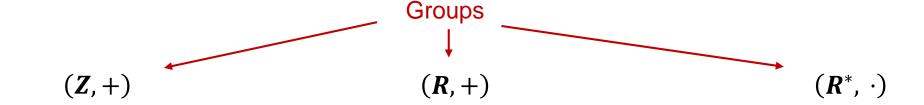
$$\boldsymbol{Z}_p^* = \boldsymbol{Z}_p \setminus \{0\}$$

#### **Examples:**

$$Z_{11} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$$

$$Z_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$$

# **Groups – motivation**



Associativity 
$$(1+2)+3=1+(2+3)$$
  $(\sqrt{2}+e)+\pi=\sqrt{2}+(e+\pi)$ 

$$\left(\sqrt{2} + e\right) + \pi = \sqrt{2} + (e + \pi)$$

$$(\sqrt{2} \cdot e) \cdot \pi = \sqrt{2} \cdot (e \cdot \pi)$$

$$6 + 0 = 6$$

$$\sqrt{2} + 0 = \sqrt{2}$$

$$\sqrt{2} \cdot 1 = \sqrt{2}$$

$$6 + (-6) = 0$$

$$\sqrt{2} + \left(-\sqrt{2}\right) = 0$$

$$\sqrt{2} \cdot \frac{1}{\sqrt{2}} = 1$$

### **Groups – definition**

**Definition:** A **group**  $(G, \circ)$  is a set G together with a binary operation  $\circ$  satisfying the following axioms

**G1**:  $(a \circ b) \circ c = a \circ (b \circ c)$  for all  $a, b, c \in G$ 

(associativity)

**G2**:  $\exists e \in G$  such that  $e \circ a = a \circ e = a$  for all  $a \in G$ 

(identity)

**G3**:  $\forall a \in G \text{ there exists } a^{-1} \in G \text{ such that } a \circ a^{-1} = a^{-1} \circ a = e$ 

(inverse)

A group is **abelian/commutative** if  $a \circ b = b \circ a$  for all  $a, b \in G$ 

The **order** of a group is the number of elements in G, denoted |G|

### **Examples**

**Definition:** A group (G,o) ...

**Q1**: 
$$(a \circ b) \circ c = a \circ (b \circ c)$$
 (associativity)

**G2**: 
$$\exists e \in G : e \circ a = a \circ e = a$$
 (identity)  
**G3**:  $\exists a^{-1} \in G : a \circ a^{-1} = a^{-1} \circ a = e$  (inverse)

**G**3: 
$$\exists a^{-1} \in G$$
:  $a \circ a^{-1} = a^{-1} \circ a = e$  (inverse)

#### Groups

$$(Z, +)$$
  $e = 0$  " $2^{-1}$ " =  $-2$ 

$$(\mathbf{R}, +)$$
  $e = 0$  " $\pi^{-1} = -\pi$   $(\mathbf{R}^*, \cdot)$   $e = 1$   $\pi^{-1} = 1/\pi$ 

$$(\mathbf{R}^*, \cdot)$$
  $e = 1$   $\pi^{-1} = 1/\pi$ 

$$(\mathbf{Z}_6, +_6)$$
  $e = 0$  " $2^{-1}$ "  $= 4 : 2 + 4 = 6 \equiv 0 \mod 6$ 

$$\left(\mathbf{Z}_{p}^{*}, \cdot_{p}\right)^{e=1}$$

$$"3^{-1}" = x: 3 \cdot x \equiv 1 \mod p$$

#### **Not groups**

$$(Z,\cdot)$$
 2<sup>-1</sup> =

$$(Z, \cdot)$$
  $2^{-1} = ?$   $(Z, -)$   $(1-2) - 3 \neq 1 - (2-3)$ 

$$(\mathbf{R}, \cdot) \qquad 0 \cdot x = 1?$$

$$(\boldsymbol{Z}_n, \cdot_n)$$
  $2x = 1 \pmod{6}$ ?

$$(\boldsymbol{Z}_p,\cdot_p)$$

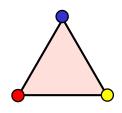
$$\begin{array}{c|cccc} (\mathbf{Z}_3, +_3) \\ +_3 & 0 & 1 & 2 \\ \hline 0 & 0 & 1 & 2 \\ \hline 1 & 1 & 2 & 0 \\ \hline 2 & 2 & 0 & 1 \\ \end{array}$$

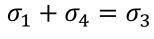
<b>(G</b> ,.∘ )				
0	e	а	b	С
e	e	а	b	С
а	а	b	С	е
b	b	С	e	а
С	С	e	а	b

$(\mathbf{Z}_4, +_4)$				
+4	0	1	2	3
0	0	1	2	3
1	1	2	3	0
2	2	3	0	1
3	3	0	1	2

$(G, \star)$				
*	e	а	b	С
e	e	а	b	С
а	а	e	С	b
b	b	С	e	а
С	С	b	а	e

# More groups



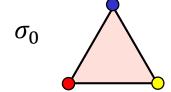


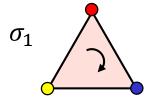


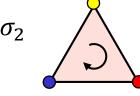
$$\sigma_4 + \sigma_1 = \sigma_5$$

$$\sigma_4 + \sigma_4 = \sigma_0$$

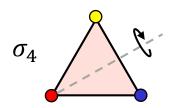
$$\sigma_1 + \sigma_2 = \sigma_0$$

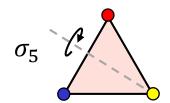






$$\sigma_3$$





$$S_3 = \{\sigma_0, \sigma_1, \dots, \sigma_5\}$$

$$(S_3, +)$$
 is a group

# **Group exponentation**

$$g^0 \stackrel{\text{def}}{=} e$$

$$g^n \stackrel{\text{def}}{=} g \circ g \circ \cdots \circ g$$

$$g^{-n} \stackrel{\mathrm{def}}{=} (g^{-1})^n$$

Fact: 
$$g^n \circ g^m = \underbrace{g \circ \cdots \circ g}^n \circ \underbrace{g \circ \cdots \circ g}^m = g^{n+m}$$

**Fact:** 
$$(g^n)^m = g^{nm} = (g^m)^n$$

$$(\mathbf{Z}, +)$$
: "3<sup>15</sup>" = 3 + 3 + 3 + ... + 3 = 15 · 3

#### **Multiplicative notation**

$$(G,\star): a \star b = ab$$
  
 $a \star a \star \cdots a = a^n$ 

just notation!

#### **Additive notation**

$$(G,\star): a \star b = a + b$$
  
 $a \star a \star \cdots a = n \cdot a$ 

# Cyclic groups

**Definition:** A group is **cyclic** if there is a  $g \in G$  such that

$$G = \{..., g^{-2}, g^{-1}, g^0, g^1, g^2, g^3, ...\}$$

g is called a **generator** for G and we write  $(G, \circ) = \langle g \rangle$ 

#### **Examples:**

$$(\mathbf{Z}, +) = \langle 1 \rangle = \langle -1 \rangle$$

$$(\mathbf{Z}_n, +_n) = \langle 1 \rangle$$

$$(\mathbf{Z}_{7}^{*},\cdot) = \langle 3 \rangle = \{3^{0}, 3^{1}, 3^{2}, 3^{3}, 3^{4}, 3^{5}\} = \{1, 3, 2, 6, 4, 5\}$$
  
 $= \langle 5 \rangle = \{5^{0}, 5^{1}, 5^{2}, 5^{3}, 5^{4}, 5^{5}\} = \{1, 5, 4, 6, 2, 3\}$   
 $\neq \langle 2 \rangle = \{2^{0}, 2^{1}, 2^{2}, 2^{3}, 2^{4}, 2^{5}\} = \{1, 2, 4, 1, 2, 4\} = \{1, 2, 4\}$ 

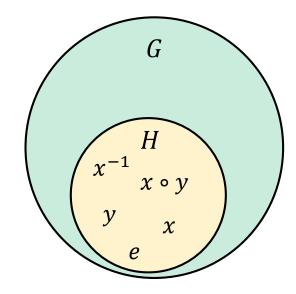
 $(\mathbf{Z}_p^*,\cdot)$  cyclic for all primes p

#### **Not cyclic groups:**

$$(R,+)$$
  $(R^*,\cdot)$ 

# **Subgroups**

**Definition:** A subset  $H \subseteq G$  is a **subgroup,** written H < G, if H is a group under the binary operation from G



#### **Examples:**

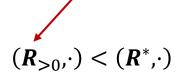
$$\{e\} < G$$
 (for all groups)

G < G (for all groups)

$$2\mathbf{Z} = \{..., -2, 0, 2, 4, 6, ...\} < (\mathbf{Z}, +)$$

$$3\mathbf{Z} = \{..., -3, 0, 3, 6, 9, ...\} < (\mathbf{Z}, +)$$

#### positive real numbers



$$(\{1,-1\},\cdot)<(\mathbf{R}^*,\cdot)$$

$$\langle 20 \rangle < \langle 10 \rangle < \langle 5 \rangle < (\mathbf{Z}_{40}, +)$$

$$\langle 5 \rangle = \{0, 5, 10, ..., 35\}$$

$$\langle 10 \rangle = \{0, 10, 20, 30\}$$

$$\langle 20 \rangle = \{0, 20\}$$

# Cyclic groups

**Theorem:** if  $(G, \circ)$  is a finite group, then for all  $g \in G$ :

$$g^{|G|} = e$$

#### **Proof (finite cyclic groups):**

$$|G| = |\langle g \rangle| = n$$

$$e \quad g^1 \quad g^2 \quad g^3 \quad \cdots \quad g^{n-1} \quad g^n \quad g^{n+1} \quad g^{n+2} \quad \cdots$$

$$g^3 g^{\underline{n}} \neq g^3 \quad g^4 = g \quad g^5 = g^2 \quad g^6 = g g^3 = e \quad e, j < n. \quad contradiction!$$

**Theorem:**  $g^i = g^{i \pmod{n}} = g^{i \pmod{|G|}}$ 

**Theorem (Lagrange's theorem):** if H < G then |H| divides |G|

# **Groups of prime order**

**Theorem (Lagrange's theorem):** if H < G then |H| divides |G|

Fact: any prime-order group is cyclic

**Fact:** any non-trivial element  $(\neq e)$  in a prime-order group is a generator

**Warning:**  $(\mathbf{Z}_p^*, \cdot)$  is *not* a prime-order group!  $|\mathbf{Z}_p^*| = p - 1$ 

Suppose p = 2q + 1, with q being prime; what are the possible sub-groups of  $(\mathbf{Z}_p^*, \cdot)$ ?

$$\left| \boldsymbol{Z}_{p}^{*} \right| = p - 1 = 2q$$

$$oldsymbol{Z}_p^* = egin{cases} \{1\}, \ \{1, -1\}, \ H, \ oldsymbol{Z}_p^* \end{cases} \quad |H| = q$$

**Example:** 
$$\mathbf{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$$
  $\{1\} < \mathbf{Z}_{11}^*$ 

$$11 = 2 \cdot 5 + 1 \qquad \{1, -1\} = \{1, 10\} < \mathbf{Z}_{11}^*$$

$$H = \langle 3 \rangle = \langle 4 \rangle = \langle 5 \rangle = \langle 9 \rangle = \{1, 3, 4, 5, 9\} < \mathbf{Z}_{11}^*$$

$$Z_{11}^* < Z_{11}^*$$

# Why is $(Z_p^*, \cdot)$ a group?

- $\mathbf{Z}_{p}^{*} = \{1, 2, ..., p-1\}$
- Associativity  $\checkmark$   $(a \cdot b) \cdot c = a \cdot (b \cdot c) \mod p$
- Identity  $1 \cdot a = a \cdot 1 = a \mod p$
- Inverses

Given  $a \in \mathbf{Z}_p^*$  can we always find  $a^{-1} \in \mathbf{Z}_p^*$ ?

Need to solve:

 $x \cdot a = 1 \mod p$ 

**Definition:** A group  $(G, \circ)$  ...

$$\begin{array}{ll} \textit{G1}\colon (a\circ b)\circ c=a\circ (b\circ c) & \text{(associativity)}\\ \textit{G2}\colon \exists e\in G\colon e\circ a=a\circ e=a & \text{(identity)}\\ \textit{G3}\colon \exists a^{-1}\in G\colon a\circ a^{-1}=a^{-1}\circ a=e & \text{(inverse)} \end{array}$$

How do we actually find  $a^{-1}$ ? Extended Euclidian Algorithm

Claim:

 $\exists m, n \in \mathbb{Z}$  such that  $ma + np = 1 \implies ma = 1 - np = 1 \mod p \implies a^{-1} = m \mod p \in \mathbb{Z}_p^*$ 

**Proof:** 

$$I = \{sa + tp \mid s, t \in \mathbf{Z}\}$$

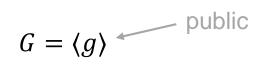
- I contains a *positive* integer
- I contains a smallest positive integer d = ma + np
- p = qd + r  $0 \le r < d$
- $r = p qd = p q(ma + np) = -qma + (1 qn)p \in I$
- 5) r = 0
- $p = qd \implies d = 1$
- 1 = ma + np



(since p is prime and  $d \le a < p$ )

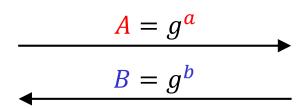
Q.E.D

#### **Diffie-Hellman**





$$a \stackrel{\$}{\leftarrow} \{1, \dots, |G|\}$$



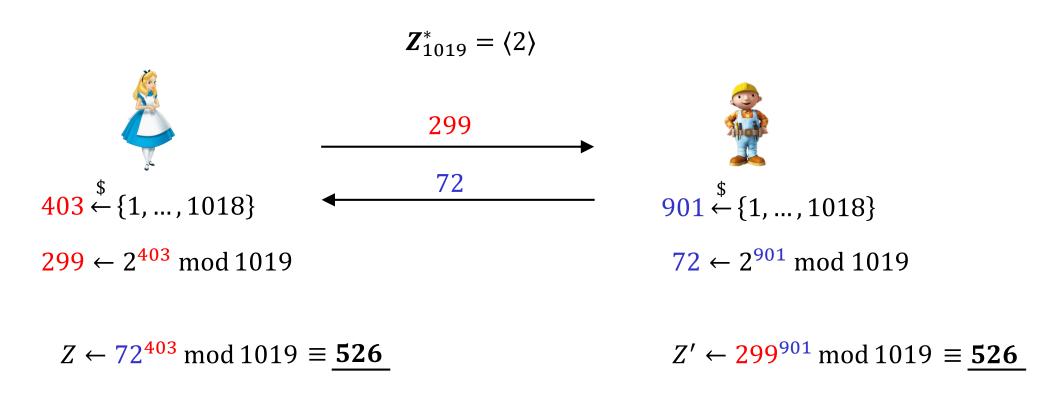
$$Z \leftarrow B^{\mathbf{a}} = (g^b)^{\mathbf{a}} = g^{\mathbf{a}b}$$

$$b \leftarrow \{1, \dots, |G|\}$$

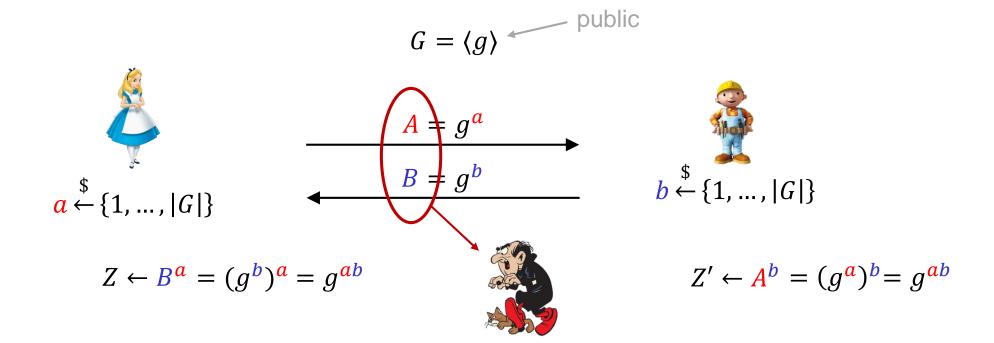
$$Z' \leftarrow A^b = (g^a)^b = \underline{g^{ab}}$$

Claim: Z = Z'

# Diffie-Hellman – example



#### **Diffie-Hellman**



#### **Security:**

- Must be hard to compute  $Z \leftarrow g^{ab}$  given g, A, B
- Must be hard to find a (or b) given g, A, B

**Doesn't work:**  $A \circ B = g^{a} \circ g^{b} = g^{a+b} \neq g^{ab}$ 

(DH assumption)

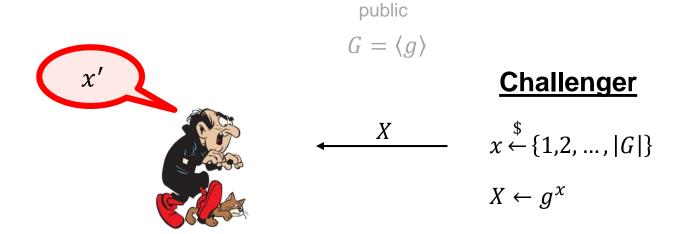
(DLOG assumption)

### Discrete logarithm (DLOG) problem

 $\operatorname{Exp}_{G,g}^{\operatorname{dlog}}(A)$ 

1. 
$$x \stackrel{\$}{\leftarrow} \{1,2,\ldots,|G|\}$$

- 2.  $X \leftarrow g^x$
- 3.  $x' \leftarrow A(X)$
- 4. return  $x' \stackrel{?}{=} x$



Adversary wins if x' = x

In other words:  $x' = \log_g X$ 

**Definition:** The **DLOG-advantage** of an adversary *A* is

$$\mathbf{Adv}_{G,g}^{\mathrm{dlog}}(A) = \Pr\left[\mathbf{Exp}_{G,g}^{\mathrm{dlog}}(A) \Rightarrow \mathrm{true}\right]$$

### Diffie-Hellman (DH) problem

#### $\mathbf{Exp}_{G,g}^{\mathrm{dh}}(A)$

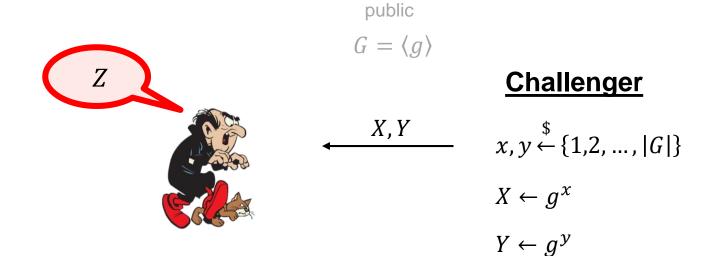
1.  $x, y \leftarrow \{1, 2, ..., |G|\}$ 

2.  $X \leftarrow g^x$ 

3.  $Y \leftarrow g^y$ 

4.  $Z \leftarrow A(X,Y)$ 

5. **return**  $Z \stackrel{?}{=} g^{xy}$ 



Adversary wins if  $Z = g^{xy}$ 

**Definition:** The **DH-advantage** of an adversary *A* is

$$\mathbf{Adv}_{G,g}^{\mathrm{dh}}(A) = \Pr[\mathbf{Exp}_{G,g}^{\mathrm{dh}}(A) \Rightarrow \mathrm{true}]$$

#### DLOG vs. DH

#### $\mathbf{Exp}_{G,g}^{\mathrm{dlog}}(A)$

- 1.  $x \leftarrow \{1, 2, ..., |G|\}$
- $2. \quad X \leftarrow g^x$
- 3.  $x' \leftarrow A(X)$
- 4. return  $x \stackrel{!}{=} x$

#### $\mathbf{Exp}^{\mathrm{dh}}_{G,g}(A)$

- 1.  $x, y \leftarrow \{1, 2, ..., |G|\}$
- 2.  $X \leftarrow g^x$
- 3.  $Y \leftarrow g^y$
- 4.  $Z \leftarrow A(X,Y)$
- 5. **return**  $Z \stackrel{?}{=} g^{xy}$

DLOG security <sup>?</sup> → DH security

DLOG security ← DH security



DLOG *insecurity* ⇒ DH *insecurity* 

### **Algorithms for solving DLOG**

- Generic algorithms: works for all (cyclic) groups
  - Brute-force
    - 1. Given g and  $X \in G$
    - 2. for i = 1, 2, ..., |G| check if  $g^i = X$
  - Are there better algorithms?

Group-specific algorithms: exploits algebraic features of given group

run time:  $O(|G|) = (2^n)$ , assuming  $|G| \approx 2^n$ 

# Solving DLOG: the baby-step giant-step algorithm

Given:  $X \leftarrow g^x$ 

 $n \leftarrow \left| \sqrt{|G|} \right| \qquad Y \leftarrow g^n$ 

Find: x

Look for i, j such that:

$$Xg^i = Y^j$$

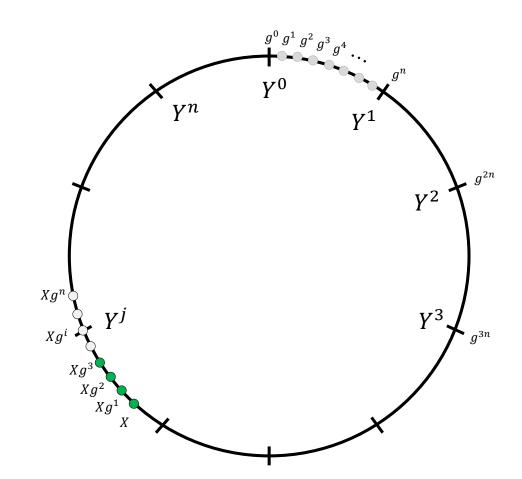
$$X = Y^j g^{-i}$$

$$X = g^{nj}g^{-i}$$

$$g^x = g^{nj}g^{-i}$$

$$g^x = g^{nj-i}$$

$$x = nj - i$$



$$O\left(\sqrt{|G|}\right)$$

$$Y^{0}, Y^{1}, \dots, Y^{n}$$

$$X g^0$$
 ,  $X g^1$  , ... ,  $X g^n$   $\tilde{\mathcal{O}}\left(\sqrt{|G|}\right)$ 

Time + memory:  $\tilde{\mathcal{O}}\left(\sqrt{|G|}\right)$ 

# Generic algorithms for solving DLOG

- Baby-step, giant-step: time  $\mathcal{O}\left(\sqrt{|G|}\right)$  memory  $\mathcal{O}\left(\sqrt{|G|}\right)$
- Pollard's rho: time  $\mathcal{O}\left(\sqrt{|G|}\right)$  memory  $\mathcal{O}(1)$
- Pohlig-Hellman: time  $\max_{p} \mathcal{O}\left(\sqrt{p}\right)$  memory  $\mathcal{O}(1)$   $\left(|G| = p_1^{e_1} p_2^{e_2} \cdots p_t^{e_t}\right)$

- Consequence: for DLOG to be hard  $\sqrt{|G|}$  must be large enough!
  - $|G| \approx 2^{128}$  only gives  $\sqrt{2^{128}} = 2^{64}$  security
  - $|G| \approx 2^{256}$  only gives  $\sqrt{2^{256}} = 2^{128}$  security
  - $|G| \approx 2^{512}$  only gives  $\sqrt{2^{512}} = 2^{256}$  security
  - etc...

• Nechaev'94 & Shoup'97: Solving DLOG requires  $\Omega\left(\sqrt{|G|}\right)$  time in *generic* groups

### Non-generic algorithms for DLOG

• Unfortunately,  $(\mathbf{Z}_{p}^{*},\cdot)$  is *not* a generic group!

- Much faster specific algorithms exist for solving DLOG in  $\mathbf{Z}_p^*$ 
  - Index-calculus
  - Elliptic-curve method
  - Special number-field sieve (SNFS)
  - General number-field sieve (GNFS)

exceptionally complicated algorithms, requiring very advanced mathematics!

- Current DLOG-solving record:  $|\mathbf{Z}_p^*| \approx 2^{795}$  using GNFS

(Heninger et al. '19)

Previous records: <a href="https://en.wikipedia.org/wiki/Discrete\_logarithm\_records">https://en.wikipedia.org/wiki/Discrete\_logarithm\_records</a>

•  $|\mathbf{Z}_p^*| \ge 2^{2048}$  typically required as a minimum today



 $oldsymbol{Z}_p^*$ 

Group where GNFS doesn't work

Next week: better alternatives to  $Z_p^*$ ?