

# UNIVERSITY OF OSLO

Faculty of Mathematics and Natural Sciences

Examination in: MAT-INF3600 — Mathematical logic.

Day of examination: Monday, December 19, 2022.

Examination hours: 15:00 – 19:00.

This problem set consists of 7 pages.

Appendices: None.

Permitted aids: None.

Please make sure that your copy of the problem set is complete before you attempt to answer anything.

*The weights might be adjusted.*

## PART I

Let  $Q$  be a unary relation symbol, let  $S$  be a unary function symbol, let  $0$  be a constant symbol and let  $\mathcal{L}$  be the language  $\{0, S, Q\}$ . Furthermore, let

$$\Sigma_1 = \{ \forall x [Q(Sx) \rightarrow Q(x)], Q(S0) \}.$$

### Problem 1 (weight 10 %)

Give a full  $\Sigma_1$ -deduction of  $Q(0)$ . Name all the logical axioms and inference rules involved in the deduction.

————— Solution:

- |    |   |            |
|----|---|------------|
| 1. | $\forall x [Q(Sx) \rightarrow Q(x)] \rightarrow (Q(S0) \rightarrow Q(0))$ | (Q1)       |
| 2. | $\forall x [Q(Sx) \rightarrow Q(x)]$                                      | $\Sigma_1$ |
| 3. | $Q(S0) \rightarrow Q(0)$  | 1, 2, (PC) |
| 4. | $Q(S0)$   | $\Sigma_1$ |
| 5. | $Q(0)$  | 3, 4, (PC) |

### Problem 2 (weight 10 %)

Give a full  $\Sigma_1$ -deduction of  $0 = x \rightarrow Q(x)$ . Name all the logical axioms and inference rules involved in the deduction.

————— Solution:

*(Continued on page 2.)*

- $\vdots$   
 as above  
 $\vdots$
- |     |  |             |
|-----|--|-------------|
| 5.  | $Q(0)$   | 3, 4, (PC)  |
| 6.  | $y = x \rightarrow (Q(y) \rightarrow Q(x))$  | (E3)        |
| 7.  | $\top \rightarrow [y = x \rightarrow (Q(y) \rightarrow Q(x))]$   | 6, (PC)     |
| 8.  | $\top \rightarrow \forall y[y = x \rightarrow (Q(y) \rightarrow Q(x))]$  | 7, (QR)     |
| 9.  | $\forall y[y = x \rightarrow (Q(y) \rightarrow Q(x))]$   | 8, (PC)     |
| 10. | $\forall y[y = x \rightarrow (Q(y) \rightarrow Q(x))] \rightarrow [0 = x \rightarrow (Q(0) \rightarrow Q(x))]$ | (Q1)        |
| 11. | $0 = x \rightarrow (Q(0) \rightarrow Q(x))$  | 9, 10, (PC) |
| 12. | $0 = x \rightarrow Q(x)$   | 5, 11, (PC) |
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For any natural number  $n$ , we define the numeral  $\bar{n}$  by  $\bar{0} = 0$  and  $\overline{n+1} = S\bar{n}$ . Let

$$\Sigma_n = \{ \forall x[Q(Sx) \rightarrow Q(x)], Q(\bar{n}) \}.$$

**Problem 3** (weight 10 %)

Do we have  $\Sigma_{17} \models \Sigma_{16}$ ? Do we have  $\Sigma_{16} \models \Sigma_{17}$ ? Justify your answers.

\_\_\_\_\_ Solution:

Do we have  $\Sigma_{17} \models \Sigma_{16}$ ? YES. In order to justify our answer we have to argue that any model for  $\Sigma_{17}$  will also be a model for  $\Sigma_{16}$ . This will be true since  $\Sigma_{17} \models Q(\bar{16})$ , that is,  $Q(\bar{16})$  follows (logically) from  $\forall x[Q(Sx) \rightarrow Q(x)]$  and  $Q(\bar{17})$ .

Do we have  $\Sigma_{16} \models \Sigma_{17}$ ? NO. In order to justify our answer we have to argue that it is not true that any model for  $\Sigma_{16}$  also is a model for  $\Sigma_{17}$ . We give a structure that is a model for  $\Sigma_{16}$ , but not for  $\Sigma_{17}$ : Let  $\mathfrak{A}$  be the structure where the universe is the set of natural numbers  $\{0, 1, 2, \dots\}$ . Let  $0^{\mathfrak{A}} = 0$ , let  $S^{\mathfrak{A}}(x) = x + 1$  and let  $Q^{\mathfrak{A}} = \{0, 1, 2, 3, \dots, 16\}$ . Then we have  $\mathfrak{A} \models \Sigma_{16}$  and  $\mathfrak{A} \not\models \Sigma_{17}$ .

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Let  $\Sigma = \bigcup_{i \in \mathbb{N}} \Sigma_i$ .

**Problem 4** (weight 10 %)

Give an  $\mathcal{L}$ -sentence  $\phi$  such that  $\Sigma \not\models \phi$  and  $\Sigma \not\models \neg\phi$ . Prove that we indeed have  $\Sigma \not\models \phi$  and  $\Sigma \not\models \neg\phi$ .

\_\_\_\_\_ Solution:

(Continued on page 3.)

Let  $\phi \equiv \forall x[Q(x)]$ .

Let  $\mathfrak{A}$  be the  $\mathcal{L}$ -structure where the universe is the set of natural numbers  $\mathbb{N} = \{0, 1, 2, \dots\}$ . Let  $0^{\mathfrak{A}} = 0$ , let  $S^{\mathfrak{A}}(x) = x + 1$  and let  $Q^{\mathfrak{A}} = \mathbb{N}$ . Then we have  $\mathfrak{A} \models \Sigma$  and  $\mathfrak{A} \models \phi$ . By the Soundness Theorem, we have  $\Sigma \not\vdash \neg\phi$ .

Let  $\mathfrak{B}$  be the  $\mathcal{L}$ -structure where the universe  $\mathbb{N} \cup \{\omega\}$  (the natural numbers extended by an element  $\omega$ ). Let  $0^{\mathfrak{B}} = 0$ ; let  $S^{\mathfrak{B}}(\omega) = \omega$  and let  $S^{\mathfrak{B}}(x) = x + 1$  for any  $x \in \mathbb{N}$ ; furthermore, let  $Q^{\mathfrak{B}} = \mathbb{N}$ . Then we have  $\mathfrak{B} \models \Sigma$  and  $\mathfrak{B} \models \neg\phi$ . By the Soundness Theorem, we have  $\Sigma \not\vdash \phi$ .

## PART II

Let  $f$  be a binary function symbol, let  $c$  be a constant symbol and let  $\mathcal{L}$  be the language  $\{c, f\}$ . Let

- $\Gamma_1 = \{ \forall x[ x = c ], f(c, c) = c \}$
- $\Gamma_2 = \{ \forall x[ x = c ], \neg(f(c, c) = c) \}$
- $\Gamma_3 = \{ \forall x[ \neg(x = c) ], \neg(f(c, c) = c) \}$
- $\Gamma_4 = \{ \neg\forall x[ x = c ], f(c, c) = c \}$ .

### Problem 5 (weight 10 %)

What does it mean that a set of first-order formulas is consistent? (Give the definition.) Is  $\Gamma_1$  consistent? Is  $\Gamma_2$  consistent? Is  $\Gamma_3$  consistent? Is  $\Gamma_4$  consistent? Justify your answers.

————— Solution:

A set  $\Sigma$  of first-order formulas is consistent iff  $\Sigma \not\vdash \perp$ . By the Soundness and Completeness theorem,  $\Sigma$  has a model iff  $\Sigma \not\vdash \perp$ .

- $\Gamma_1 = \{ \forall x[ x = c ], f(c, c) = c \}$  is consistent. It is easy to see that the set has a model, in fact the set has one model up to isomorphism, the universe of that model contains exactly one element.
- $\Gamma_2 = \{ \forall x[ x = c ], \neg(f(c, c) = c) \}$  is not consistent. It is easy to see that  $\Gamma_2 \vdash \exists x[ \neg x = c ]$  (use the axiom (Q2), and then, by logical axioms,  $\Gamma_2 \vdash \neg\forall x[ x = c ]$ ). Thus,  $\Gamma_2 \vdash \perp$  (since we also have  $\Gamma_2 \vdash \forall x[ x = c ]$ ).
- $\Gamma_3 = \{ \forall x[ \neg(x = c) ], \neg(f(c, c) = c) \}$  is not consistent. The set is not consistent since the sentence  $\forall x[ \neg(x = c) ]$  does not have model (the sentence is false in any structure as some element of the universe has to be the interpretation of the constant symbol  $c$ ).
- $\Gamma_4 = \{ \neg\forall x[ x = c ], f(c, c) = c \}$  is consistent. It is easy to see that  $\Gamma_4$  has a model.

For any  $\mathcal{L}$ -term  $t$ , let  $(t)_y^x$  denote the term  $t$  where every occurrence of the variable  $x$  is replaced by the variable  $y$ . E.g.,  $(ffv_1cfv_2v_1)_{v_3}^{v_1}$  denotes the term  $ffv_3cfv_2v_3$  and  $(fcc)_{v_7}^{v_3}$  denotes the term  $fcc$ .

(Continued on page 4.)

**Theorem 1.** For any variables  $x, y$  and any  $\mathcal{L}$ -term  $t$ , we have

$$\vdash x = y \rightarrow t = (t)_y^x.$$

**Problem 6** (weight 10 %)

Prove Theorem 1. Use induction on the structure of the term  $t$ .

————— Solution:

*Case  $t$  is a variable.* The case splits into two subcases: (i)  $t$  is the variable  $x$  and (ii)  $t$  is a variable different from  $x$ . First we deal with case (i). We have  $t \equiv x$  and  $(t)_y^x \equiv y$ . Thus, we need to prove that

$$\vdash x = y \rightarrow x = y. \quad (1)$$

The propositional form of the formula  $\vdash x = y \rightarrow x = y$  is  $A \rightarrow A$ . Thus, (1) holds since  $A \rightarrow A$  is a tautology. Next we deal with case (ii). We have  $t \equiv z$  and  $(t)_y^x \equiv z$ . Thus, we need to prove that

$$\vdash x = y \rightarrow z = z. \quad (2)$$

(Note that  $z$  is different from  $x$ , but  $z$  might be  $y$ . Our proof works no matter if  $z$  is  $y$  or not.) Observe that  $z = z$  is an instance of (E1), and then one application of (PC) yields  $x = y \rightarrow z = z$ . This proves that (2) holds.

*Case  $t \equiv c$ .* We need to prove that

$$\vdash x = y \rightarrow c = c. \quad (3)$$

This case is similar to the case when  $t$  is a variable different from  $x$ . By using (E1) (and other logical axioms), we can deduce  $x = y \rightarrow c = c$ .

*Case  $t \equiv ft_1t_2$ .* We need to prove

$$\vdash x = y \rightarrow ft_1t_2 = (ft_1t_2)_y^x. \quad (4)$$

The induction hypothesis yields

$$\vdash x = y \rightarrow t_1 = (t_1)_y^x \quad \text{and} \quad \vdash x = y \rightarrow t_2 = (t_2)_y^x. \quad (5)$$

By (E2) and other logical axioms, we have

$$\vdash t_1 = (t_1)_y^x \wedge t_2 = (t_2)_y^x \rightarrow ft_1t_2 = f(t_1)_y^x(t_2)_y^x \quad (6)$$

By (5), (6) and (PC), we have

$$\vdash x = y \rightarrow ft_1t_2 = f(t_1)_y^x(t_2)_y^x$$

and thus (4) holds since  $(ft_1t_2)_y^x \equiv f(t_1)_y^x(t_2)_y^x$ .

**Problem 7** (weight 10 %)

Do we have  $\vdash t = (t)_y^x \rightarrow x = y$  (for any variables  $x, y$  and any  $\mathcal{L}$ -term  $t$ )? Do we have  $\vdash \neg(t = (t)_y^x \rightarrow x = y)$  (for any variables  $x, y$  and any  $\mathcal{L}$ -term  $t$ )? Justify your answers.

————— Solution:

Do we have  $\vdash t = (t)_y^x \rightarrow x = y$  (for any variables  $x, y$  and any  $\mathcal{L}$ -term  $t$ )? NO. By the Soundness Theorem, we have

$$\vdash t = (t)_y^x \rightarrow x = y \quad \Rightarrow \quad \models t = (t)_y^x \rightarrow x = y. \quad (7)$$

Let  $\mathfrak{A}$  be an  $\mathcal{L}$ -structure with at least two elements in the universe. Let  $t := c$  and let  $s$  be an assignment such that  $s(x) \neq s(y)$ . We have  $\mathfrak{A} \not\models c = c \rightarrow x = y [s]$ . Thus, we have  $\not\models c = c \rightarrow x = y$ . By (7), we have  $\not\vdash c = c \rightarrow x = y$ .

Do we have  $\vdash \neg(t = (t)_y^x \rightarrow x = y)$  (for any variables  $x, y$  and any  $\mathcal{L}$ -term  $t$ )? NO. Let  $\mathfrak{A}$  be any  $\mathcal{L}$ -structure. Let  $t := c$  and let  $s$  be an assignment such that  $s(x) = s(y)$ . We have  $\mathfrak{A} \models \neg(c = c \rightarrow x = y) [s]$ . Thus, we have  $\not\models c = c \rightarrow x = y$ . By (7), we have  $\not\vdash \neg(c = c \rightarrow x = y)$ .

**PART III**

Recall the language  $\mathcal{L}_{NT}$ , that is, the language  $\{0, S, +, \cdot, E, <\}$ , and its standard structure  $\mathfrak{N}$ . Some  $\mathcal{L}_{NT}$ -formulas contain bounded quantifiers, and some  $\mathcal{L}_{NT}$ -formulas are  $\Delta$ -formulas.

**Problem 8** (weight 5 %)

What is a bounded quantifier? What is a  $\Delta$ -formula?

Let  $\phi(x) := (\exists y)[y + y = x]$ , and let  $\psi(x) := \neg(\exists y)[y + y = x]$ .

**Problem 9** (weight 5 %)

Give a  $\Delta$ -formula  $\phi_0(x)$  such that  $\mathfrak{N} \models \phi_0(\bar{a})$  if and only if  $\mathfrak{N} \models \phi(\bar{a})$  (for any natural number  $a$ ). Give a  $\Delta$ -formula  $\psi_0(x)$  such that  $\mathfrak{N} \models \psi_0(\bar{a})$  if and only if  $\mathfrak{N} \models \psi(\bar{a})$  (for any natural number  $a$ ).

————— Solution:

$$\phi_0(x) := (\exists y < Sx)[y + y = x] \quad \text{and} \quad \psi_0(x) := (\forall y < Sx)[\neg(y + y = x)].$$

Let  $p_i$  denote the  $i$ 'th prime, that is,  $p_1 = 2$  and  $p_2 = 3$  and so on. We encode a nonempty finite sequence of natural numbers  $a_1, a_2, \dots, a_k$  as the single natural number  $\langle a_1, a_2, \dots, a_k \rangle$  where

$$\langle a_1, a_2, \dots, a_k \rangle = p_1^{a_1+1} \cdot p_2^{a_2+1} \cdot \dots \cdot p_k^{a_k+1}.$$

(Continued on page 6.)

The  $\Delta$ -formula  $IthElement(x_1, x_2, x_3)$  is known from Leary & Kristiansen's textbook. We have  $\mathfrak{N} \models IthElement(\bar{b}, \bar{i}, \bar{a})$  if and only if there exists a sequence of natural numbers  $a_1, a_2, \dots, a_k$  such that  $a = \langle a_1, a_2, \dots, a_k \rangle$  and  $a_i = b$ .

We define the sequence  $F_0, F_1, F_2, \dots$  of Fibonacci numbers by  $F_0 = F_1 = 1$  and  $F_{n+2} = F_n + F_{n+1}$ .

**Problem 10** (weight 10 %)

Give an  $\mathcal{L}_{NT}$ -formula  $\theta(x_1, x_2)$  such that  $\mathfrak{N} \models \theta(\bar{i}, \bar{m})$  if and only if  $F_i = m$ . Use the formula  $IthElement(x_1, x_2, x_3)$  to construct  $\theta(x_1, x_2)$ .

————— Solution:

We write  $IthE$  in place of  $IthElement$  due to the width of an A4 paper (which is 11.7 inches).

$$\begin{aligned} \theta(x_0, x_1) := & (\exists w) [ IthE(\bar{1}, \bar{1}, w) \wedge IthE(\bar{1}, \bar{2}, w) \\ & \wedge (\forall i < x_0)(\forall y)(\forall y')(\forall y'') [ ( IthE(y, i, w) \wedge IthE(y', i + \bar{1}, w) \wedge \\ & \quad IthE(y'', i + \bar{2}, w) ) \rightarrow y'' = y + y' ] \\ & \wedge IthE(x_1, x_0 + \bar{1}, w) ] \end{aligned}$$

*Explanation* : The formula states that there exists a number  $w$  that encodes the sequence

$$\langle F_0, F_1, F_2, \dots, F_{x_0}, F_{x_0+1} \rangle$$

and that  $x_1$  is the second but last element in the sequence encoded by  $w$ .

Recall the first-order theory  $N$  from Leary & Kristiansen's book ( $N$  is given by 11 nonlogical  $\mathcal{L}_{NT}$ -axioms).

**Problem 11** (weight 10 %)

Give an  $\mathcal{L}_{NT}$ -formula  $\eta(x_1, x_2)$  such that

- (1)  $N \vdash \eta(\bar{i}, \bar{m})$  if  $F_i = m$
- (2)  $N \vdash \neg\eta(\bar{i}, \bar{m})$  if  $F_i \neq m$

and explain why (1) and (2) hold.

————— Solution:

Let  $\eta(x_1, x_2)$  be of the form

$$\begin{aligned} \eta(x_0, x_1) := & (\exists w < t(x_0)) [ IthE(\bar{1}, \bar{1}, w) \wedge IthE(\bar{1}, \bar{2}, w) \\ & \wedge (\forall i < x_0)(\forall y < w)(\forall y' < w)(\forall y'' < w) [ ( IthE(y, i, w) \wedge IthE(y', i + \bar{1}, w) \wedge \\ & \quad IthE(y'', i + \bar{2}, w) ) \rightarrow y'' = y + y' ] \\ & \wedge IthE(x_1, x_0 + \bar{1}, w) ] \end{aligned}$$

(Continued on page 7.)

