

3.5)

- ⑤  $f: X \rightarrow [0, \infty)$  cont. There exists compact  $K_\varepsilon$  s.t.  $f(x) < \varepsilon$  for  $x \notin K_\varepsilon$ .  
 Show  $f$  has a max point.  
 See solution to last week's exercises.

- ⑥  $f: X \rightarrow \mathbb{R}$ ,  $X$  compact. Show if  $f(x) > 0$  for all  $x \in X$ , there exists  $a > 0$  s.t.  $f(x) > a$  for all  $x$ .  
 See solution to last week's exercises.

- ⑦  $f: X \rightarrow Y$ ,  $K \subseteq Y$  compact. Show  $f^{-1}(K)$  closed, not necessarily compact.  
 See solution to last week's exercises.

- ⑧ Let  $A$  be a totally bounded subset of  $X$ .  
 Show that  $A$  is bounded, i.e. find an  $M > 0$  s.t.  
 $d(a, b) \leq M$  for all  $a, b \in A$ .

As  $A$  is totally bounded, we can choose  $\varepsilon = 1$ , and  
 find points  $a_1, \dots, a_n$  s.t.  $\bigcup_{i=1}^n B(a_i, 1) \supseteq A$ .

$$\text{Choose } M = \max_{i,j} \{d(a_i, a_j)\} + 2.$$

Then, for any  $a, b \in A$ , we have

$a \in B(a_k, 1), b \in B(a_l, 1)$ , for some  $k, l$ .

$$\text{so } d(a, b) \leq d(a, a_k) + d(a_k, a_l) + d(a_l, b)$$

$$< 1 + d(a_k, a_l) + 1$$

$$\leq 1 + \max_{i,j} \{d(a_i, a_j)\} + 1$$

$$= M.$$

We have shown  $d(a, b) \leq M$  for all  $a, b \in A$ , so

$A$  is bounded.

Imagine:



$$d(a_i, a_j) < 2.$$

⑧ cont.

Any infinite set with the discrete metric is not totally bounded by exer, 3.5.1. But  $d(a, b) \leq 1$  for  $a, b$  in the set, so it is bounded. Hence bounded but not totally bounded.

⑩  $\{K_n\}$  is a sequence of non-empty, compact subsets of  $X$   
s.t.  $K_n \supseteq K_{n+1}$ . Will prove  $\bigcap_{n \in \mathbb{N}} K_n$  is non-empty.

Let us create a sequence. Let  $x_1$  be any point in  $K_1$ ,  
 $x_2$  any point in  $K_2$  etc. So  $x_n \in K_n$ .

Then, as  $K_n \subseteq K_1$  for all  $n$ ,  $\{x_n\}$  is a sequence contained in  $K_1$ . As  $K_1$  is compact, there must exist a convergent subsequence  $\{y_k\} = \{x_{n_k}\}$  converging to a  $y \in K_1$ .

But now we have that  $\{y_k\} \cap K_2$  is a subsequence of  $\{y_k\}$ , and must converge to  $y$  as well. As  $K_2$  is closed, we have  $y \in K_2$ . In general:

$\{y_k\} \cap K_n$  is a subsequence of  $\{y_k\}$  (only a finite number that is contained in  $K_n$ , which converges to  $y$ . (max  $n-1$ ) elements are removed from  $\{y_k\}$ ).

As  $K_n$  is closed, we have  $y \in K_n$  as well.

Now, as  $y \in K_n$  for all  $n$ , we have  $y \in \bigcap_{n \in \mathbb{N}} K_n$ , so it is not empty.

Trivia: The same is not true if we just assume  $K_n$  closed and bounded.

E.g. if we have  $\mathbb{N}$  with the discrete metric, we can let

$K_n = [n, \infty)$ , which is closed and bounded, but

$$\bigcap_{n \in \mathbb{N}} K_n = \emptyset.$$

## ⑫ Let's go Theorem-hunting!

By Prop 3.3.10, a function  $f$  is continuous if and only if, whenever  $F$  is closed,  $f^{-1}(F)$  is closed.

So to prove that  $f^{-1}$  is continuous, we can prove that if  $F$  closed in  $X$ , then  $f(F)$  is closed in  $Y$ .

By Prop 3.5.8, a closed subset of a compact set is compact.

So as  $X$  is compact, any closed  $F \subseteq X$  must also be compact.

By Prop. 3.5.9, if  $f: X \rightarrow Y$  is continuous, we have that

$f(K)$  must be compact for any compact  $K$ .

Lastly, by Prop. 3.5.4, any compact set is closed.

So: If  $F$  is a closed set in  $X$ ,  $F$  must be compact (3.5.8).

Therefore  $f(F)$  is compact (3.5.9), i.e. closed (3.5.4).

And as  $f(F)$  is closed for all  $F$  closed,  $f^{-1}$  must be continuous, by 3.3.10. QED

(14) First, a quick proof:

Let  $\{x_n\}$  and  $\{y_n\}$  be sequences converging to  $x$  and  $y$  resp.  
Then  $d(x_n, y_n) \rightarrow d(x, y)$ .

Pf: Choose any  $\epsilon > 0$ . As  $x_n \rightarrow x$ , we can find  $N_1 \in \mathbb{N}$

s.t.  $d(x_n, x) < \frac{\epsilon}{2}$  when  $n \geq N_1$ . As  $y_n \rightarrow y$ , we can find  $N_2 \in \mathbb{N}$

s.t.  $d(y_n, y) < \frac{\epsilon}{2}$  when  $n \geq N_2$ . Let  $N = \max\{N_1, N_2\}$ .

Then:  $d(x, y) \leq d(x, x_n) + d(x_n, y_n) + d(y_n, y)$

$$\Rightarrow d(x, y) - d(x_n, y_n) < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon.$$

$$d(x_n, y_n) \leq d(x_n, x) + d(x, y) + d(y, y_n)$$

$$\Rightarrow d(x_n, y_n) - d(x, y) < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon.$$

So:  $|d(x_n, y_n) - d(x, y)| < \epsilon$  whenever  $n \geq N$

$$\Rightarrow d(x_n, y_n) \rightarrow d(x, y).$$

a) Now, let  $x_n$  be any sequence converging to an  $x \in X$ .

As  $f$  is continuous,  $f(x_n)$  converges to  $f(x)$ , by Prop 3.2.5.

We have  $g(x_n) = d(x_n, f(x_n))$ , which converges to  $d(x, f(x))$  by .

So by Prop 3.2.5,  $g$  is continuous at  $x$ . This works for all  $x$ , so  $g$  is continuous.

Now, by the Extreme Value Theorem, we have that  $g$  must have a minimum point, as  $X$  is compact.

b) Let  $c$  be the minimum point for  $g$ , i.e.  $g(c) \leq g(x)$  for all  $x \in X$ .

Assume  $c \neq f(c)$ . Then we have  $g(f(c)) = d(f(c), f(f(c))) < d(c, f(c)) = g(c)$ , impossible. So must have  $f(c) = c$ , and we have a fixed point.

To show it's unique: Assume we have two fixed points,  $c \neq d$ .

Then  $d(c, d) > d(f(c), f(d)) = d(c, d)$ , impossible. So must have  $c = d$ , unique fixed point.

3.6

①

$[0,1]$  is closed and bounded in  $\mathbb{R}$ , and is therefore compact.

As  $[0,1]$  is compact, it has the Open Covering Property, so we can find a finite subset  $I_1, \dots, I_n$  that contains  $[0,1]$ . □

② This exercise is the same as 3.5.11, but we can come up with a shorter proof now!

Let  $\mathcal{F} = \{K_n\}_{n=1}^{\infty}$ . Then  $\mathcal{F}$  has the finite intersection property over  $K_i$ , as  $K_1 \cap F_1 \cap \dots \cap F_n = K_1 \cap K_{i_1} \cap K_{i_2} \cap \dots \cap K_{i_n}$

property over  $K_i$ , as  $K_1 \cap F_1 \cap \dots \cap F_n = K_1 \cap K_{i_1} \cap K_{i_2} \cap \dots \cap K_{i_n}$

$$= K_N \text{ where } N = \max\{i_1, \dots, i_n\}, \\ \neq \emptyset.$$

As  $K_i$  is compact, we have from 3.6.5 that

$$K_i \cap \bigcap_{F \in \mathcal{F}} F = K_i \cap \bigcap_{n \in \mathbb{N}} K_n = \bigcap_{n \in \mathbb{N}} K_n \neq \emptyset. \quad \square$$

③

Choose any open covering of  $f(K)$ ,  $\mathcal{U} = \{U_i\}_i$ ,  $\bigcup U_i \supseteq f(K)$ .

As  $f^{-1}(f(K)) \supseteq K$  and  $f^{-1}\left(\bigcup U_i\right) = \bigcup f^{-1}(U_i)$ , we have that

$K \subseteq \bigcup_i f^{-1}(U_i)$ , and as  $f$  is continuous,  $f^{-1}(U_i)$  is open.

So this is an open covering of  $K$ . As  $K$  is compact, there exists a finite sub-covering  $U_1, \dots, U_n$  s.t.

$$K \subseteq f^{-1}(U_1) \cup f^{-1}(U_2) \cup \dots \cup f^{-1}(U_n).$$

Now, for any  $y \in f(K)$ , there exists an  $x \in K$  s.t.  $f(x) = y$ .

Then we have  $x \in f^{-1}(U_j)$  for some  $1 \leq j \leq n$ , i.e.  $f(x) = y \in U_j$ .

So  $U_1 \cup U_2 \cup \dots \cup U_n \supseteq f(K)$ , and we have a finite sub-covering of  $\mathcal{U}$ .

Therefore,  $f(K)$  is compact. □

④ Let  $\mathcal{U} = \{U_i\}$  be any open covering of  $K_1 \cup K_2 \cup \dots \cup K_n$ .

As  $K_i$  is compact and  $K_i \subseteq K_1 \cup \dots \cup K_n \subseteq \bigcup_i U_i$ , there exists a finite subcovering  $U'_1, U'_2, \dots, U'_{m_i}$  with  $K_i \subseteq U'_1 \cup U'_2 \cup \dots \cup U'_{m_i}$ .

The same is true for  $K_2, K_3, \dots, K_n$ , so in general, for  $i=1, \dots, n$ , we have  $K_i \subseteq U'_1 \cup U'_2 \cup \dots \cup U'_{m_i}$ .

We can now look at the collection  $\mathcal{U}' = \{U'_j : i=1, 2, \dots, n, j=1, 2, \dots, m_i\}$ .

This collection is finite, and we have

$$K_i \subseteq \bigcup_{u \in \mathcal{U}'} u \text{ for all } i, \text{ so } K_1 \cup \dots \cup K_n \subseteq \bigcup_{u \in \mathcal{U}'} u.$$

This is therefore a finite subcovering, and  $K_1 \cup \dots \cup K_n$  is compact. □

□

4.11

- ① Will start by assuming that  $f(x)=x^2$  is uniformly continuous, and get a contradiction.

Given an  $\epsilon > 0$ , we assume there exists a  $\delta > 0$  s.t.  $|f(x)-f(y)| < \epsilon$  whenever  $|x-y| < \delta$ , for all  $x, y \in \mathbb{R}$ .

$$\text{Now, let } x = \frac{\epsilon}{\delta}, \quad y = \frac{\epsilon}{\delta} + \frac{\delta}{2}.$$

Then  $|x-y| = \left| -\frac{\delta}{2} \right| < \delta$ , so we should have  $|f(x)-f(y)| < \epsilon$ .

$$\text{But } |f(x)-f(y)| = |x^2-y^2| = |x+y||x-y|$$

$$= \left| \frac{2\epsilon}{\delta} + \frac{\delta}{2} \right| \left| \frac{\delta}{2} \right| = \epsilon + \frac{\delta^2}{4} > \epsilon, \text{ a contradiction.}$$

What you really do here:

$$\text{Let } y = x + \frac{\delta}{2} \text{ s.t. } |x-y| < \delta.$$

Then try to solve  $|f(x)-f(y)| > \epsilon$ .

$x = \frac{\epsilon}{\delta}$  is just a nice choice that solves this.

So  $f(x)=x^2$  cannot be uniformly continuous.

- ② Will start by assuming that  $f(x)=\frac{1}{x}$  is uniformly continuous, and get a contradiction.

Given an  $\epsilon > 0$ , we assume there exists a  $\delta > 0$  s.t.

$|f(x)-f(y)| < \epsilon$  whenever  $|x-y| < \delta$ , for all  $x, y \in \mathbb{R}$ .

Could start with an  $x, y = x + \frac{\delta}{2}$ , but will be easier to work with if we assume  $x < 1, y = x(1+\delta)$ . Then  $|x-y| = |x|\delta < \delta$ ,

$$\text{and } \left| \frac{1}{x} - \frac{1}{y} \right| = \frac{1}{x} - \frac{1}{x(1+\delta)} = \frac{1+\delta-1}{x(1+\delta)} = \frac{\delta}{x(1+\delta)},$$

$$\text{Want to find an } x \text{ s.t. } \left| \frac{1}{x} - \frac{1}{y} \right| = \frac{\delta}{x(1+\delta)} \geq \epsilon \Rightarrow x \leq \frac{\delta}{\epsilon(1+\delta)},$$

So we choose  $x = \min\{0.9, \frac{\delta}{\epsilon(1+\delta)}\}$  (we needed  $x < 1$ ), and

$y = x + \delta x$ . Then  $|x-y| = |x|\delta = x\delta < \delta$ , and

$$\left| \frac{1}{x} - \frac{1}{y} \right| \geq \left| \frac{1}{\frac{\delta}{\epsilon(1+\delta)}} - \frac{1}{\frac{\delta}{\epsilon(1+\delta)}(1+\delta)} \right| = \left| \frac{\epsilon(1+\delta)}{\delta} - \frac{\epsilon}{\delta} \right| = \left| \frac{\epsilon\delta}{\delta} \right| = \epsilon,$$

a contradiction. So  $f(x)=\frac{1}{x}$  cannot be uniformly continuous.

③ We want to show that given an  $\varepsilon > 0$ , we can find a  $\delta > 0$

s.t.  $d_y(f(x), f(y)) < \varepsilon$  whenever  $d_x(x, y) < \delta$ , for all  $f \in \mathcal{F}$ .

As all  $f \in \mathcal{F}$  are Lipschitz-continuous with the same Lipschitz-constant

$K$ , we have that  $d_y(f(x), f(y)) \leq K \cdot d_x(x, y) < K\delta$  whenever

$d_x(x, y) < \delta$ . So by choosing  $\delta = \frac{\varepsilon}{K}$  we have that

$$d_y(f(x), f(y)) \leq K\delta = K \frac{\varepsilon}{K} = \varepsilon \text{ whenever } d_x(x, y) < \delta, \text{ for all } f \in \mathcal{F},$$

and therefore  $\mathcal{F}$  is equicontinuous.

④ If  $f'$  is bounded, we have an  $M \in \mathbb{R}$  s.t.  $|f'(x)| \leq M$  for all  $x \in \mathbb{R}$ .

For a given  $x, y \in \mathbb{R}$ , the Mean Value Thm tells us that there exists

$$\text{a } c \in (x, y) \text{ (or possibly } (y, x)), \text{ s.t. } |f'(c)| = \frac{|f(x) - f(y)|}{|x - y|}.$$

And as  $|f'(c)| \leq M$ , we have  $\frac{|f(x) - f(y)|}{|x - y|} \leq M$  for all  $x, y \in \mathbb{R}$ .

$$\text{So } |f(x) - f(y)| \leq M|x - y| \Rightarrow d_{\mathbb{R}}(f(x), f(y)) \leq M|x - y| \text{ whenever } d_{\mathbb{R}}(x, y).$$

Now, given an  $\varepsilon > 0$ , choose  $\delta = \frac{\varepsilon}{M}$ , and we have

$$d_{\mathbb{R}}(f(x), f(y)) \leq M|x - y| = M \frac{\varepsilon}{M} = \varepsilon \text{ whenever } d_{\mathbb{R}}(x, y) < \delta,$$

so  $f$  is uniformly continuous.