

## Summary

- Lie algebras
  - subalgs, ideal, center
- Representation of Lie algs
- Universal enveloping algs

## • Lie algebra

$K$ : field ( $\mathbb{R}$  or  $\mathbb{C}$  for us)

A Lie alg. over  $K$  is:

- $K$ -vector space  $\mathfrak{g}$  (fin. dim for us)

- bilinear map  $\mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$ ,  $(X, Y) \mapsto [X, Y]$  s.t. "bracket"

$$[X, Y] = -[Y, X] \quad (\text{alternating})$$

$$[[X, Y], Z] + [[Y, Z], X] + [[Z, X], Y] = 0$$

(Jacobi identity)

Rem: Lie alg  $\equiv$  infinitesimal model of continuous grps (tomorrow)

## Examples

1)  $[X, Y] = 0$  for all  $X, Y$ . (commutative)

2)  $V = K$ -vector space,  $\mathfrak{g} = \text{End}(V)$  (as lin. sp.)

bracket  $[X, Y]v = XYv - YXv$ . ( $v \in V$ )

to emphasize this bracket we write  $\mathfrak{gl}(V) = \mathfrak{g}$ .

$\mathfrak{gl}_n(K) = \mathfrak{gl}(K^n)$  ( $= M_n(K)$  as lin. sp.)

2')  $\mathfrak{sl}(V) = \{X \in \mathfrak{gl}(V) : \text{Tr}(X) = 0\}$

$\mathfrak{sl}_n(K) = \mathfrak{sl}(K^n)$ .

3)  $\mathfrak{u}_n = \{X \in \mathfrak{gl}_n(\mathbb{C}) : X^* (= \overline{X}^t) = -X\}$  real Lie algs

$\mathfrak{su}_n = \{X \in \mathfrak{u}_n : \text{Tr} X = 0\} = \{X \in \mathfrak{sl}_n(\mathbb{C}) : X^* = -X\}$

Rem.  $\mathfrak{g}$  real Lie alg.  $\leadsto \mathfrak{g}_{\mathbb{C}} = \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C} = \left\{ \sum_{i=1}^k X_i \otimes \alpha_i : X_i \in \mathfrak{g}, \alpha_i \in \mathbb{C} \right\}$   
 $\mathfrak{g}_{\mathbb{C}}$  Lie alg.

Ex.  $(\mathfrak{su}_n)_{\mathbb{C}} \simeq \mathfrak{sl}_n(\mathbb{C})$ ,  $(\mathfrak{u}_n)_{\mathbb{C}} = \mathfrak{gl}_n(\mathbb{C})$ .

$\mathfrak{su}_2$  has basis  $\begin{bmatrix} \sqrt{3} & \\ & -\sqrt{3} \end{bmatrix}$ ,  $\begin{bmatrix} & 1 \\ -1 & \end{bmatrix}$ ,  $\begin{bmatrix} & \\ & i \end{bmatrix}$ .

(skew-Hermitian) Pauli matrices

these are basis of  $sl_2(\mathbb{C})$  :  $\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} = -\frac{1}{2} \left( \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} + \sqrt{-1} \begin{bmatrix} \sqrt{-1} & \\ & \sqrt{-1} \end{bmatrix} \right)$

homomorphism of Lie algs :  $f: \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$

linear map s.t.  $[f(X), f(Y)] = f([X, Y])$ .

Lie subalgebra of  $\mathfrak{g}$  : subspace  $\mathfrak{h} \subset \mathfrak{g}$  s.t.

$X, Y \in \mathfrak{h} \Rightarrow [X, Y] \in \mathfrak{h}$ .

ideal of  $\mathfrak{g}$  :  $\mathfrak{h} \subset \mathfrak{g}$  subspace,  $X \in \mathfrak{g}, Y \in \mathfrak{h} \Rightarrow [X, Y] \in \mathfrak{h}$ .

Examples.

1)  $sl_n(K) \subset \mathfrak{gl}_n(K) \supseteq KI_n$  (  $\text{Tr}([X, Y]) = 0$   
 $[X, I_n] = 0$  )  
 ideal. ideal.

$\Rightarrow \mathfrak{gl}_n(K) = sl_n(K) \oplus K$

2)  $\mathfrak{z}(\mathfrak{g}) = \{ X \in \mathfrak{g} : \forall Y \in \mathfrak{g} [X, Y] = 0 \}$

center of  $\mathfrak{g}$  : ideal.

$\mathfrak{g}$  is simple if 0 and  $\mathfrak{g}$  are the only ideals.

$sl_n K$ ,  $su_n$  simple

3)  $\mathfrak{g} = \left\{ \begin{bmatrix} a & b \\ 0 & -a \end{bmatrix} : a, b \in K \right\}$ .  $\left[ \begin{bmatrix} a & b \\ 0 & -a \end{bmatrix}, \begin{bmatrix} a' & b' \\ 0 & -a' \end{bmatrix} \right] = \begin{bmatrix} 0 & 2(ab' - ba') \\ 0 & 0 \end{bmatrix}$ .

$\mathfrak{h}_1 = \left\{ \begin{bmatrix} a & 0 \\ 0 & -a \end{bmatrix} : a \in K \right\}$  subalg but not ideal (Char  $K \neq 2$ )

$\mathfrak{h}_2 = \left\{ \begin{bmatrix} 0 & b \\ 0 & 0 \end{bmatrix} : b \in K \right\}$  ideal

4)  $so_n(K)$

Representation of Lie algebras

$\mathfrak{g}$  : Lie alg over  $K$ ,  $V$  : vec. sp. over  $K$  write  $\pi: \mathfrak{g} \rightarrow V$

Linear representation of  $\mathfrak{g}$  over  $V$  is given by :

linear map  $\pi: \mathfrak{g} \rightarrow \text{End}(V)$   $X \mapsto \pi_X$  s.t.

$$\pi_X \pi_Y v - \pi_Y \pi_X v = \pi([X, Y]) v \quad (v \in V)$$

i.e. Lie alg hom  $\mathfrak{g} \rightarrow \mathfrak{gl}(V)$

Rem.  $(\pi, V)$  rep of  $\mathfrak{g} \Leftrightarrow$  Lie alg. structure on

$\mathfrak{g} \oplus V$   $\supset \mathfrak{g}$  subalg  
 $\supset V$  ideal s.t.

$$[v, v'] = 0$$

$$[X \oplus v, X' \oplus v'] = [X, X'] \oplus (\pi_X v' - \pi_{X'} v)$$

Example: adjoint representation  $\text{ad}: \mathfrak{V} = \mathfrak{g}$ ,  $\text{ad}_X Y = [X, Y]$   
 Jacobi identity  $\Leftrightarrow [\text{ad}_X, \text{ad}_Y] = \text{ad}_{[X, Y]}$

center  $\mathfrak{z}(\mathfrak{g}) \ni X \Leftrightarrow \text{ad}_X = 0$ .

Fact: (Ado's theorem)  $\dim_K \mathfrak{g} < \infty \Rightarrow \exists$  faithful rep. of  $\mathfrak{g}$ . ( $X \neq 0 \Rightarrow \pi_X \neq 0$ ) (difficult)

Unitary representation:  $\mathfrak{g}$ : real Lie alg. (U.V.)

$V$ :  $\mathbb{C}$ -vec. sp. with Hermitian inn. prod. ( $\subset$  Hilbert sp.)

$\pi: \mathfrak{g} \curvearrowright V$  with  $(\pi_X v, v') + (v, \pi_X v') = 0$

Ex.  $\mathfrak{g} = \mathbb{R}$  (with zero bracket),

$V = \mathcal{S}(\mathbb{R}) = \{f: \mathbb{R} \rightarrow \mathbb{C} \text{ rapid decay } \forall m, n \sup_{x \in \mathbb{R}} |x^m \partial_x^n f(x)| < \infty\}$

$\pi_t f = t \partial_x f$   $(f_1, f_2) = \int f_1(x) \overline{f_2(x)} dx$

• Universal enveloping algebra

$\mathfrak{g}$ : Lie alg. over  $K$ .  $U(\mathfrak{g})$ : (unital)  $K$ -alg. with

• generators:  $X \in \mathfrak{g}$

• relations:  $X \cdot Y - Y \cdot X = [X, Y]$

computation in  $U(\mathfrak{g})$       computation in  $\mathfrak{g}$

Formally: tensor algebra  $T(\mathfrak{g}) = K \oplus \mathfrak{g} \oplus \mathfrak{g}^{\otimes 2} \oplus \dots$

with prod.  $(X_1 \otimes \dots \otimes X_m) \cdot (Y_1 \otimes \dots \otimes Y_n) = X_1 \otimes \dots \otimes X_m \otimes Y_1 \otimes \dots \otimes Y_n$

take bilateral ideal generated by  $X \otimes Y - Y \otimes X - [X, Y]$

$\leadsto U(\mathfrak{g})$ : quotient.

Rem.  $A$   $K$ -alg.  $\leadsto \mathfrak{gl}(A)$ :  $A$  as vec sp.  $[a, b] = ab - ba$ .

Lie alg hom  $\mathfrak{g} \rightarrow \mathfrak{gl}(A) \equiv$  alg hom  $U(\mathfrak{g}) \rightarrow A$ .

i.e. left adjoint functor of  $A \mapsto \mathfrak{gl}(A)$ .

Rem. representation of  $\mathfrak{g} \equiv U(\mathfrak{g})$ -module

$\pi: \mathfrak{g} \curvearrowright V \leadsto \pi: U(\mathfrak{g}) \curvearrowright V$  by

$\pi_{X_1 \dots X_m} v = \pi_{X_1} \dots \pi_{X_m} v$

Poincaré-Birkhoff-Witt theorem)  $(X_i)_{i=1}^N$  basis of  $\mathfrak{g}$

( $N$  can be infinite)  $\Rightarrow U(\mathfrak{g})$  has a basis

$$(X_1^{i_1} \cdots X_k^{i_k})_{k < \infty, k \leq N, i_j \geq 0}$$

Idea: any "noncomm. monomial"  $X_{m_1} X_{m_2} \cdots X_{m_n}$   
is a linear combination of above by induction  
on  $\sum m_i$  E.g.:

$$X_3 X_1 = X_1 X_3 + [X_3, X_1]$$

can be written as sum of  $(X_i)_i$

Rem.  $F_n = \langle Y_1, \dots, Y_m : m \leq n, Y_i \in \mathfrak{g} \rangle_{k\text{-span}}$

increasing seq. of subspaces,  $F_n F_{n'} \subset F_{n+n'}$

$U(\mathfrak{g}) = \bigcup_{n=0}^{\infty} F_n \Rightarrow U(\mathfrak{g})$  is a filtered alg

PBW  $\Rightarrow$  assoc. graded  $\bigoplus_{n=0}^{\infty} (F_n / F_{n-1}) \cong \text{Sym}(\mathfrak{g})$ ,  
symmetric alg.

$$4) \mathfrak{so}_n(K) = \{ X \in M_n(K) : X^t = -X, \text{Tr } X = 0 \}$$

$$[X, Y]^t = (XY - YX)^t = [Y^t, X^t] = [Y, X] = -[X, Y]$$

$\rightsquigarrow$  Lie subalg of  $\mathfrak{sl}_n(K)$

Rem  $\mathfrak{su}_2 \cong \mathfrak{so}_3(\mathbb{R})$

$\mathfrak{so}_3(\mathbb{R})$  has basis  $Y_1 = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, Y_2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}, Y_3 = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}$

compare with Pauli matrices & figure out rescaling factor