

Summary

- Understanding non-uniqueness of selfadjoint extensions
- Friedrichs ext. thm.

Non-uniqueness of s.a. exts.

$T: \mathcal{H} \rightarrow \mathcal{H}$ closed symm. op.

We want to understand

- selfadj. exts.; if not unique find param. for all exts.
- in general; classify closed symm. exts. $T \subset T'$

$$\mathcal{K}_+ = \text{Ker}(\sqrt{-1} - T^*), \quad \mathcal{K}_- = \text{Ker}(-\sqrt{-1} - T^*)$$

$$(\text{so } n_{\pm}(T) = \dim \mathcal{K}_{\pm}, \quad T \text{ s.a.} \Leftrightarrow n_{\pm}(T) = 0)$$

$$\text{Lem. } \mathcal{D}(T^*) = \mathcal{D}(T) \oplus \mathcal{K}_+ \oplus \mathcal{K}_-$$

right hand side is orth. for new inn. prod

$$(u, v)' = (u, v) + (T^*u, T^*v)$$

$$(\mathcal{D}(T^*) \hookrightarrow \mathcal{G}_{T^*}, u \mapsto u \oplus T^*u.)$$

Proof. Step 1. $\mathcal{D}(T) \perp \mathcal{K}_+$ for $(u, v)'$

$$u \in \mathcal{D}(T), \quad v \in \mathcal{K}_+ \quad (\Rightarrow T^*v = \sqrt{-1}v.)$$

$$(u, v)' = (u, v) + \overbrace{(Tu, \sqrt{-1}v)}^{\substack{\uparrow T \subset T^* \\ \downarrow T \subset T^*}}$$

$$= (u, v) - \sqrt{-1}(u, T^*v) = (u, v) - (u, v) = 0$$

Step 2 other orth. similar.

Step 3. $\mathcal{D}(T), \mathcal{K}_\pm$ span $\mathcal{D}(T^*)$

\therefore Take $u \in \mathcal{D}(T^*)$ orth. to $\mathcal{D}(T), \mathcal{K}_\pm$.

(want $u = 0$)

$v \in \mathcal{D}(T)$. $(u, v)' = 0$ means

$$(u, v) = -(T^*u, Tv) = -(T^*T^*u, v)$$

$\mathcal{D}(T) \subset \mathcal{H}$ dense $\Rightarrow u = -T^*T^*u \Leftrightarrow (T^*)^2 + 1)u = 0$

$$(T^*)^2 + 1 = (T^* + \sqrt{-1})(T^* - \sqrt{-1}) \Rightarrow (T^* - \sqrt{-1})u \in \mathcal{K}_-$$

We have $(T^* - \sqrt{-1})u = 0$

$$\therefore v \in \mathcal{K}_- \Rightarrow (v, (T^* - \sqrt{-1})u)$$

$$= \underbrace{(Tv, u)}_{-\sqrt{-1}v} + \underbrace{\sqrt{-1}(v, u)}_{= -(T^*v, T^*u)} = -\sqrt{-1}(v, u)' = 0$$

$u \perp v$ for $(,)'$

So $(T^* - \sqrt{-1})u = 0 \Rightarrow u \in \mathcal{K}_+ \Rightarrow u = 0$. \square

$u \perp \mathcal{K}_+$

Thm. $\left\{ \begin{array}{l} \text{closed} \\ \text{Symm. ext of } T \end{array} \right\} \xrightarrow{1:1} \left\{ \text{part. isom. } A: \mathcal{K}_+ \rightarrow \mathcal{K}_- \right\}$

$v \in \text{Ran}(A^*A)$

$$\mathcal{D}(T_A) = \left\{ u + v + Av \right\} \leftarrow A$$

$\mathcal{D}(T) \quad \mathcal{K}_+ \quad \mathcal{K}_-$

$$T_A(u + v + Av) = Tu + \sqrt{-1}v - \sqrt{-1}Av.$$

$$n_\pm(T_A) = n_\pm(T) - \text{rk}(A)$$

Step 1 $T' \subset T^*$

$\therefore u \in \mathcal{D}(T') \Rightarrow \forall v \in \mathcal{D}(T) \quad (T'u, v) = (u, Tv)$

so $v \mapsto (u, Tv)$ bdd on $\mathcal{D}(T)$.

i.e. $u \in \mathcal{D}(T^*)$

Step 2 Write $\mathcal{D}(T') = \mathcal{D}(T) \oplus \mathcal{K}'$ for $\mathcal{K}' \subset \mathcal{K}_+ \oplus \mathcal{K}_-$

$u \in \mathcal{K}'$ write $u = u_+ + u_-$ for $u_\pm \in \mathcal{K}_\pm$

then $\|u_+\| = \|u_-\|$.

Sult.

\therefore We know $(T'u, u) = (u, T'u)$

$(T' \text{ symm.})$

$$\text{LHS} = \sqrt{-1} (u_+ - u_-, u)$$

$$\text{RHS} = -\sqrt{-1} (u, u_+ - u_-)$$

$$\Rightarrow \sqrt{-1} ((u_+, u_+) + (u_+, u_-) - (u_-, u_+) - (u_-, u_-))$$

$$= -\sqrt{-1} ((u_+, u_+) - (u_+, u_-) + (u_-, u_+) - (u_-, u_-))$$

$$\Rightarrow (u_+, u_+) = (u_-, u_-)$$

Step 4. $\mathcal{X}_0 = \{ u_+ \in \mathcal{X}_+ \exists u_- \in \mathcal{X}_- \text{ s.t. } u_+ + u_- \in \mathcal{X} \}$

def. A by $\mathcal{X}_0 \ni u_+ \mapsto u_-$ as above.

$$\mathcal{X}_+ \ominus \mathcal{X}_0 \ni u_+ \mapsto 0.$$

Well def part. iso by Step 3

Step 5. $T'(u + v + Av) = Tu + \sqrt{-1}v = \sqrt{-1}Av$
 $\mathcal{X}_+ \quad \mathcal{X}_- \quad , \quad T' \subset T^*$

Step 6 $n_{\pm}(T') = n_{\pm}(T) - \text{rk}(A).$

$$\therefore n_+(T) = \dim \text{Ran}(\sqrt{-1} + T)^\perp \quad (04.24)$$

$$(\sqrt{-1} + T')(u + v + Av) = (\sqrt{-1} + T)u + 2\sqrt{-1}v$$

$\text{ran } A^*A$

So compl. is smaller by $\text{ran } A^*A$.
 $\dim = \text{rk } A.$

Cor. T closed symm. has a self adj. ext

$$\iff n_+(T) = n_-(T).$$

If this happens $T \subset T'$ so $\begin{matrix} 1:1 \\ \leftarrow \rightarrow \end{matrix}$ unitary
 $A: \mathcal{X}_+ \rightarrow \mathcal{X}_-$

Ex. $T(\lambda) : L^2([0, 1]) \rightarrow L^2([0, 1])$, $u \mapsto u'$

$$\mathcal{D}(T(\lambda)) = \{ u \in L^2, u' \in L^2, u(1) = \lambda u(0) \}$$

for $|\lambda| = 1$ extends T w/ $\mathcal{D}(T) = \{ \dots u(0) = 0 = u(1) \}$

Friedrichs ext. th'm

$T : H \rightarrow H$ is bdd from below by α if
(symm.) $(Tu, u) \geq \alpha \|u\|^2$ for $u \in \mathcal{D}(T)$.

(we write $T \geq \alpha$)

Th'm $T : H \rightarrow H$ symm. $T \geq \alpha$ for some $\alpha \in \mathbb{R}$
 $\Rightarrow \exists$ s.a. $T' \supset T$ s.t. $T' \geq \alpha$.

Proof. Step 1 we may assume $\alpha = 1$

\therefore rep. T by $T + (1 - \alpha)$

Step 2. New inn. prod. $(u, v)' = (Tu, v)$
on $\mathcal{D}(T)$ is "bigger" than orig.
($\|u\|' \geq \|u\|$)

Step 3. $\mathcal{X} =$ closure of $\mathcal{D}(T)$ for $\|\cdot\|'$.

$T' = T^* |_{\mathcal{X} \cap \mathcal{D}(T^*)} \geq 1$

\therefore ok on dense subsp. $\mathcal{D}(T)$.

Step 4 T' is self adj.

\therefore def $A : H \rightarrow H$ bdd by $A = J J^*$

with $J : \mathcal{X} \rightarrow H$ closure of incl.

($\|J\| \leq 1$ from $\|u\| \leq \|u\|'$)

J has dense img $\Rightarrow A$ is inj. bdd. pos.

$\Rightarrow A^{-1}$ is self adj. (closed by 04.16

$\text{nt}(A^{-1}) = 0$ directly $\text{Ran}((+J) \cdot (-I + A^{-1})^* \perp = 0)$

□