

## Part II of the course

Surfaces & Riemannian geometry

\* Topological classification of surfaces (next 3 lectures)

\* "Homogeneous" geom. str on surfaces

- Euclidean
- Hyperbolic
- Elliptic

\* Non-homogeneous geom str on surfaces (Riemannian geometry)

looks euclidean on some part, hyperbolic somewhere else & also parts that looks elliptic. Measured by the curvature §5.5, and lines = geodesics §5.6.

Today \* Define what we mean by a surface

\* Introduce tools from differential geometry to study them

Def A surface is a

2-dimensional topological manifold

= a Hausdorff topological space s.t. every point

has a nbhd which is homeomorphic to  $\mathbb{R}^2$

"locally homeomorphic to  $\mathbb{R}^2$ "

Recall  $X$  Hausdorff: For any 2 pts  $x \neq y \in X$

$\exists$  nbhds  $U \ni x, V \ni y$  s.t.  $U \cap V = \emptyset$



$f: X \rightarrow Y$  homeomorphism  $\hat{=}$  continuous bijection

w/ continuous inverse.

### Ex of surfaces

- $\mathbb{R}^2$ ,  $S^2$  (sphere),  $T^2 = S^1 \times S^1$  (torus),  $P^2 = S^2 /_{x \sim -x}$  (real projective plane)



- Open subsets of other surfaces, e.g.  $\mathbb{H} \cup \mathbb{D} \subset \mathbb{R}^2$
- Complex curves = zero set of cplx analytic

funs  $f(z, w) = \mathbb{C}^2 \rightarrow \mathbb{C}$  where  $\frac{\partial f}{\partial z} \neq 0$  or  $\frac{\partial f}{\partial w} \neq 0$

Has cplx dim 1 = real dim 2.

Aim Classify all surfaces up to homeomorphism

"topological classification"

Recall  $X, Y$  "same" topological space if

$D \approx \emptyset \quad \exists \text{ homeo } f: X \rightarrow Y. \text{ Then write } X \approx Y.$

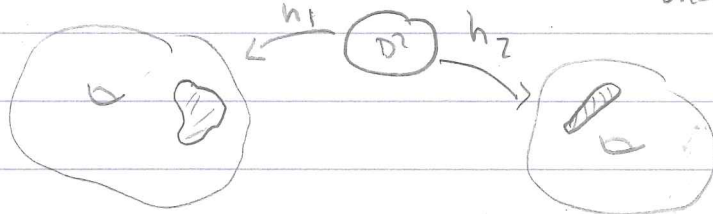
Note Enough to classify connected surfaces  
(since a given surface is a disjoint union of its connected comp.)

For this classification, we will use the

### Connected sum

Given 2 surfaces  $M_1, M_2$ , let

$h_i: D^2 \rightarrow M_i, i=1,2$ , be embeddings of the closed 2-disk  
homeos onto their image

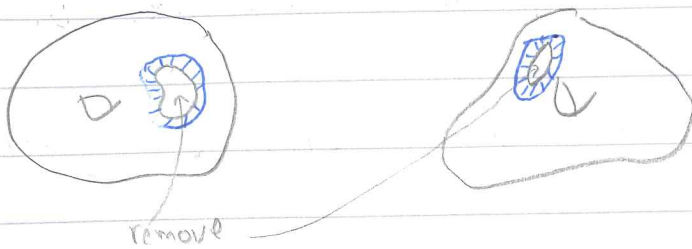


The connected sum  $M_1 \# M_2$  of  $M_1$  &  $M_2$  is

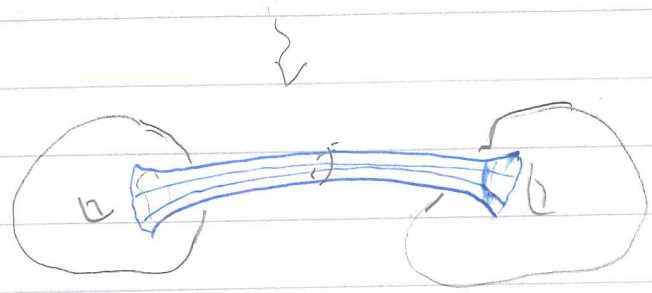
the surface

$$M_1 \# M_2 = (M_1 - h_1(\text{int } D^2)) \cup_f (M_2 - h_2(\text{int } D^2))$$

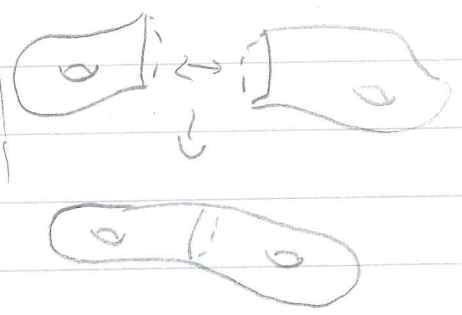
where  $f = h_2 \circ h_1^{-1} |_{h_1(S^1)}: h_1(S^1) \rightarrow h_2(S^1)$



blue area  
 $= S^1 \times [0, \epsilon]$ .  
 Use this to glue



Homeomorphic picture



Remark Does not depend on choice of  $h_1, h_2$  in  $\text{dim } 2$ .

Lma i)  $M \# S^2 \approx M$

ii)  $M_1 \# M_2 \approx M_2 \# M_1$

iii)  $M_1 \# (M_2 \# M_3) \approx (M_1 \# M_2) \# M_3$

$\Rightarrow \#$  makes  
 {surfaces}  
 into monoid

Q Draw pictures of i) - iii) to convince yourself this is true

Def  $S(m, n) = \underbrace{T^2 \# T^2 \# \dots \# T^2}_m \# \underbrace{P^2 \# \dots \# P^2}_n$

$S(0, 0) = S^2$

Our goal is to prove the following;



cpct w/o bdy

$$F(11) = 3$$

Thm 3.1.14 Any closed, connected surface  $M$

is homeomorphic to a surface of type  $S(m,n)$

where  $n=0,1$  or  $2$ . Moreover,  $m$  and  $n$  are then

uniquely determined by  $M$ .

To prove this, we will use the theory of combinatorial surfaces

(§ 3, next week) + differential geometry

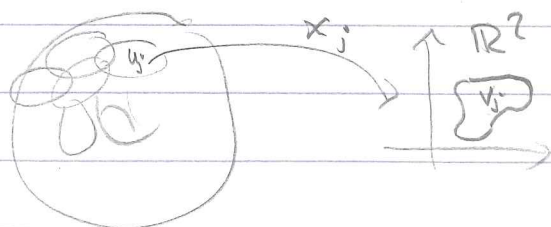
↳ to view our surfaces as

Differentiable manifolds (§4.1)

Surface  $M$  locally homeo to  $\mathbb{R}^2 \Rightarrow$

$M$  has an open covering  $\{U_j\}_{j \in J}$  + homeos

$x_j: U_j \rightarrow x_j(U_j) \subset \mathbb{R}^2$  where  $x_j(U_j) = V_j$  open in  $\mathbb{R}^2$



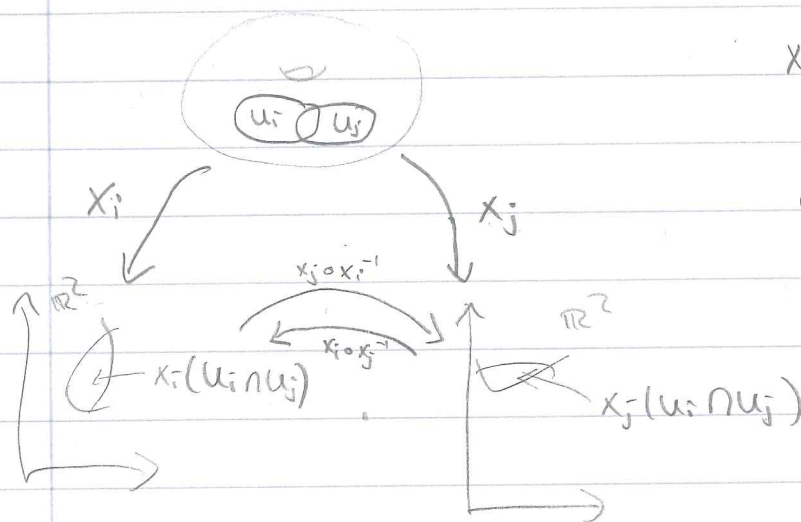
Def  $(U_j, x_j)$  (local) chart on  $M$

$(V_j, x_j^{-1})$  (local) parametrization / coordinate patch

$\{(U_j, x_j)\}_{j \in J}$  atlas

$\{(V_j, x_j^{-1})\}_{j \in J}$  -||-

$\{(U_j, x_j)\}_{j \in J}$  differentiable atlas for  $M$  if  
 for all charts  $U_i, U_j$  s.t.  $U_i \cap U_j \neq \emptyset$ , the  
coordinate transformations  $x_i \circ x_j^{-1} : x_j(U_i \cap U_j) \rightarrow x_i(U_i \cap U_j)$



$$x_j \circ x_i^{-1} : x_i(U_i \cap U_j) \rightarrow x_j(U_i \cap U_j)$$

are differentiable

$\leadsto$  differentiable structure on  $M$

Def A surface (manifold) w/ a choice of  
 differentiable structure is called a differentiable  
 surface (mfd)

Remark \* Will assume  $x_i \circ x_j^{-1} \in C^\infty \leadsto$  smooth surfaces

\* 2 diffable structures are equivalent  $\Leftrightarrow$

their defining atlases  $\{(U_i, x_i)\}$  &  $\{(V_j, y_j)\}$  satisfies

that all compositions  $y_j \circ x_i^{-1}$  &  $x_i \circ y_j^{-1}$  are diffable  
 (when defined)

$$F(\mathbb{R}^n) = \mathcal{U}$$

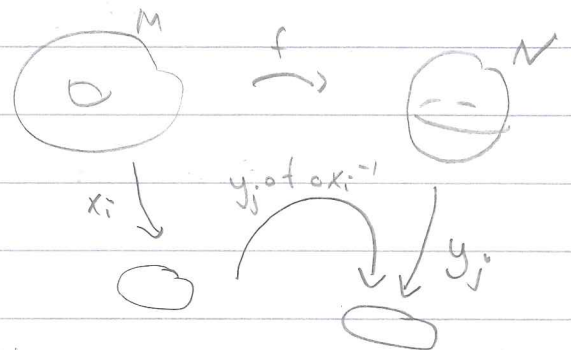
$\Leftrightarrow \{(U_i, x_i)\} \cup \{(V_j, y_j)\}$  diffeable atlas

Def If  $M, N$  smooth surfaces wr atlases

$\{(U_i, x_i)\}$  and  $\{(V_j, y_j)\}$ , resp. Then a map

$f: M \rightarrow N$  is differentiable / smooth if all

maps  $y_j \circ x_i^{-1}$  are  
(whenever they are defined)



Rmk If  $N = \mathbb{R}^n$ , take trivial atlas  $\leadsto$  for  $x_i^{-1}$  should be differentiable

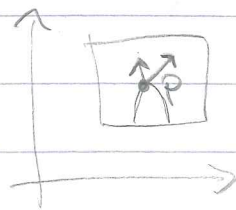
Def  $f: M \rightarrow N$  is a diffeomorphism if smooth homeo,  
" in category of smooth mfd's

and  $M \simeq N$  are then diffeomorphic.

## Derivatives

Must first define tangent planes of surfaces (§S.1)

In  $\mathbb{R}^2$



Tangent plane at  $p = T_p \mathbb{R}^2$   
 $= \{ \text{tangent vectors of curves through } p \}$   
 $= \{ \gamma'(0) \mid \gamma \subset \mathbb{R}^2 \text{ curve wr } \gamma(0) = p \}$

$\cong$  lin space  $\mathbb{R}^2$  by translating  $p$  to  $0$ .

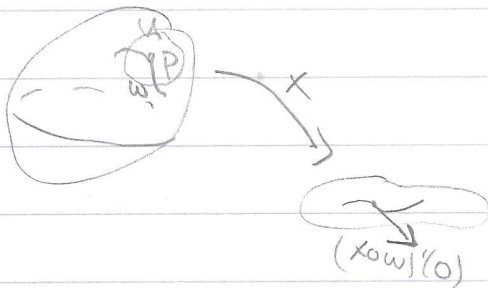
$\Rightarrow$  tangent vectors to  $S$  at  $p$  should be linear

approximations of curves on  $S$  :

Let  $p \in S$ ,  $\Omega_p(S) = \{ \omega: J \rightarrow S; J \text{ open interval containing } 0, \omega(0) = p \}$

Let  $x: U \rightarrow \mathbb{R}^2$  local chart around  $p$

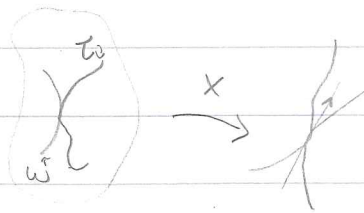
$\Rightarrow x \circ \omega$  curve at  $x(p) \in \mathbb{R}^2 \Rightarrow (x \circ \omega)'(0) \in T_p \mathbb{R}^2$



Define equivalence rel

$\sim$  on  $\Omega_p(S)$  by

$$\omega \sim \tau \Leftrightarrow (x \circ \omega)'(0) = (x \circ \tau)'(0)$$



Let  $T_p S = \Omega_p(S) / \sim$ . This is well-def, and in

fact a vsp, the tangent plane of  $S$  at  $p$  :

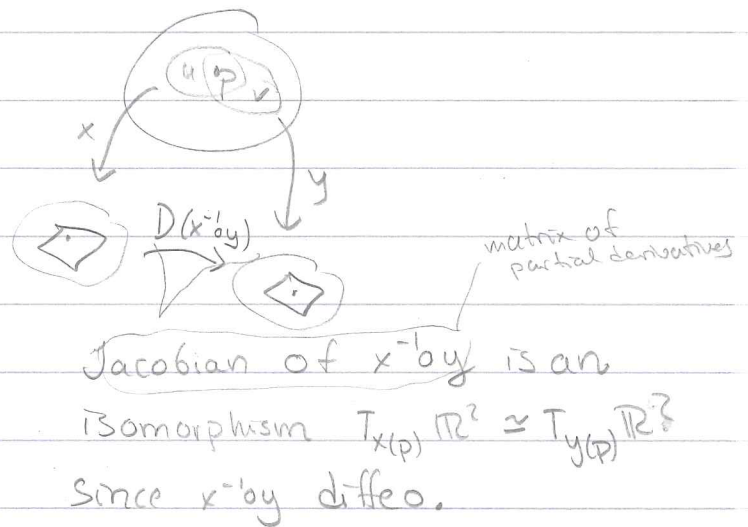
Lma 5.1.1 (i)  $\sim$  does not depend on the choice  
of local chart  $(x, U)$



(2)  $\bar{w} \mapsto (x \circ w)'(0)$  induces a bijection  $T_p S \cong \mathbb{R}^2$   
↑  
equivalence class

(3) The bijection in (2) defines a vsp str on  $T_p S$  which is indep. of chart  $x$ .

Pf (1), (3) follows from

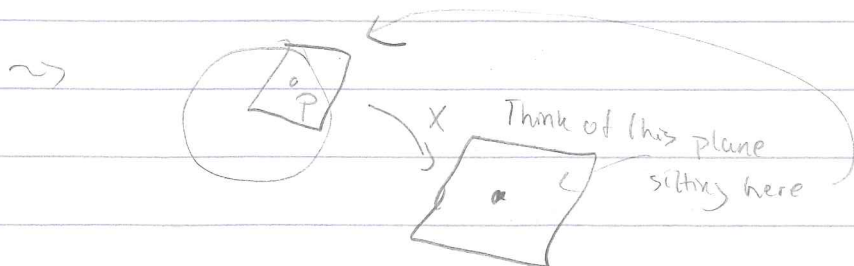


(2) Injective by def

Surj: If  $v \in \mathbb{R}^2$ , let  $w(t) = x^{-1}(x(p) + tv)$

def for  $t$  so small so that in  $x(U)$

Clearly  $(x \circ w)'(0) = v$ . [and this is the iso]  $\square$



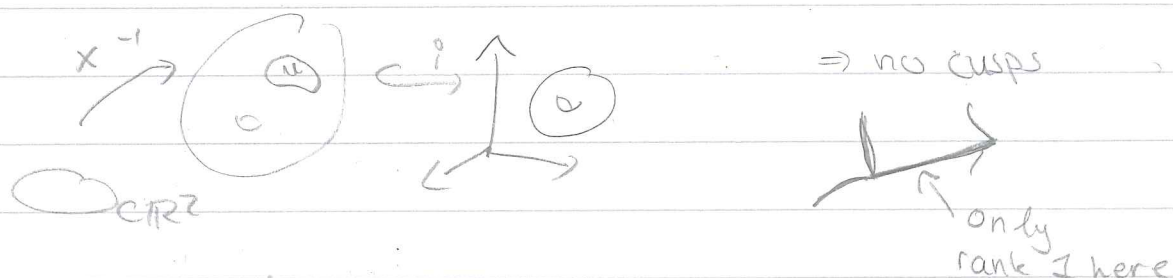
Ok, since for surfaces in  $\mathbb{R}^3$ , this is what we get.

Def 5.1.3 Let  $S \subset \mathbb{R}^3$  be a smooth surface,

let  $i = S \hookrightarrow \mathbb{R}^3$  be the inclusion map. Then  $S$

is a regular surface if for every local chart  $x$

of  $S$  the Jacobian of  $\circ x^{-1}$  has rank 2 at every pt



Notation From now on  $S \subset \mathbb{R}^3 \Rightarrow S$  regular

Rmk Can show  $S$  regular  $\Leftrightarrow$  locally on the

form  $\{(x, y, z) \in \mathbb{R}^3 : F(x, y, z) = 0\}$  for some

smooth  $F: \mathbb{R}^3 \rightarrow \mathbb{R}$  w/  $\nabla F \neq 0$  along zero-set.

Ex  $S^2 = \{ \underbrace{x^2 + y^2 + z^2 - 1}_{=0} = 0 \} \Rightarrow \nabla F = (2x, 2y, 2z)$

$$T^2 = \{ (\sqrt{x^2 + y^2} - z)^2 + z^2 - 1 = 0 \}$$




Q Draw this

F(11):6

Ex Graphs of smooth fcn  $f(u,v)$  defined on

open subsets  $\mathcal{O} \subset \mathbb{R}^2$  param. by  $\underline{x}(u,v) = (u,v, f(u,v))$   
↑  
param, not chart

Ex  $S^2$  w/  $\mathcal{O} = D^2 \subset \mathbb{R}^2$  open unit disk,  $f = \pm \sqrt{1-u^2-v^2}$

→ + rotation  ← not equator rotate →  + more rotations   
Tangent planes

If  $S \subset \mathbb{R}^3$  then  $T_p S \cong$  plane in  $\mathbb{R}^3$  containing

$p$  & all tangent lines to curves on  $S$  through  $p$

Q why?

$\cong$  lin subspace of  $\mathbb{R}^3$  via translation taking  $p$  to  $0$

Rmk If  $S = \{F(x,y,z) = 0\}$  then  $T_p S$  is determined

by the normal  $\nabla F(x,y,z)$  at  $p$ .

Inverse function thm  $\Rightarrow$

Prp 5.1.9 A regular surface  $S \subset \mathbb{R}^3$  coincides w/

a graph of a smooth fcn in a nbhd of every pt.

↑  
possibly after rotation / translate