

# Vector fields, the covariant derivative, and curvature

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## 1 Some definitions

If  $U \subset \mathbb{R}^m$  is an open set and  $h : U \rightarrow \mathbb{R}^n$  a smooth map then  $\partial_i h : U \rightarrow \mathbb{R}^n$  will denote the  $i$ th partial derivative of  $h$ . In other words, if  $(u^1, \dots, u^m)$  are the standard coordinates on  $\mathbb{R}^m$  then

$$\partial_i h = \frac{\partial h}{\partial u^i}.$$

Let  $S \subset \mathbb{R}^3$  be a regular surface and  $h : S \rightarrow \mathbb{R}^n$  a smooth map. The **differential** of  $h$  at a point  $p \in S$  is the unique linear map

$$d_p h : T_p S \rightarrow \mathbb{R}^n$$

such that for any smooth curve  $\gamma : (-\epsilon, \epsilon) \rightarrow S$  with  $\gamma(0) = p$  one has

$$d_p h(\dot{\gamma}(0)) = \left. \frac{d}{dt} \right|_0 h(\gamma(t)).$$

A map  $X : S \rightarrow \mathbb{R}^3$  is called a **vector field** if  $X(p) \in T_p S$  for all  $p \in S$ . A map  $N : S \rightarrow \mathbb{R}^3$  is called a **normal field** if  $N(p) \perp T_p S$  for all  $p \in S$ . If in addition  $\|N(p)\| = 1$  for all  $p$  then  $N$  is called a **unit normal field**. One often writes  $X_p$  instead of  $X(p)$ , and similarly for  $N$ .

For example, any local parametrization  $F : U \rightarrow S$  gives rise to **coordinate vector fields**  $X_1, X_2$  on  $F(U)$  satisfying

$$X_i \circ F = \partial_i F.$$

Thus, if  $u \in U$  and  $p = F(u)$  then  $X_i(p) = \partial_i F(u)$ . Since  $X_1(p), X_2(p)$  is a basis for  $T_p S$  for every  $p \in F(U)$ , we also get a smooth normal field

$$N = \frac{X_1 \times X_2}{\|X_1 \times X_2\|}$$

on  $F(U)$ .

## 2 Gauss curvature

Let  $S \subset \mathbb{R}^3$  be a regular surface. The **Gauss curvature**  $K : S \rightarrow \mathbb{R}$  is defined as follows. Given  $p \in S$ , choose a smooth unit normal field  $N$  defined in a neighbourhood  $W$  of  $p$  in  $S$ . We now look at the differential of  $N$  as a map  $W \rightarrow S^2$ . Because

$$T_{N(p)}S^2 = N(p)^\perp = T_pS,$$

the differential

$$dN_p : T_pS \rightarrow T_{N(p)}S^2$$

is in fact an endomorphism of  $T_pS$ . The Gauss curvature at  $p$  is defined to be the determinant of this endomorphism, i.e.

$$K(p) = \det(dN_p).$$

Then  $K(p)$  is independent of the choice of  $N$ , because

$$\det(d(-N)_p) = \det(-dN_p) = \det(dN_p).$$

The linear map

$$W_p = -dN_p : T_pS \rightarrow T_pS$$

is called the **Weingarten map**. Clearly,

$$\det(W_p) = \det(dN_p) = K(p).$$

The bilinear map

$$H_p : T_pS \times T_pS \rightarrow \mathbb{R}, \quad (u, v) \mapsto \langle W_p(u), v \rangle$$

is called the **second fundamental form**.

Our next goal is to describe the second fundamental form and the Gauss curvature in terms of a local parametrization  $F : U \rightarrow S$ , where  $U \subset \mathbb{R}^2$  is an open set. Let  $N$  be a smooth unit normal field on  $F(U)$ . We now look at the second order partial derivatives  $\partial_i \partial_j F$  of  $F$ . Whereas  $\partial_i F(u)$  lies in the tangent space  $T_{F(u)}S$  for all  $u \in U$ , this need not be the case for  $\partial_i \partial_j F(u)$ . To measure this, we introduce the real-valued functions

$$h_{ij} = \langle \partial_i \partial_j F, \tilde{N} \rangle$$

on  $U$ , where  $\tilde{N} = N \circ F$ . Since  $\partial_1 \partial_2 F = \partial_2 \partial_1 F$  we have

$$h_{12} = h_{21}.$$

**Proposition 2.1** *If  $u \in U$  and  $p = F(u)$  then*

$$h_{ij}(u) = \langle W_p(\partial_i F(u)), \partial_j F(u) \rangle.$$

*Proof.* Since  $\partial_j F(u)$  lies in the tangent space  $T_p S$  whereas  $\tilde{N}(u)$  is perpendicular to it, we have  $\langle \partial_j F, \tilde{N} \rangle = 0$ . Differentiating this equality we get

$$0 = \partial_i \langle \partial_j F, \tilde{N} \rangle = \langle \partial_i \partial_j F, \tilde{N} \rangle + \langle \partial_j F, \partial_i \tilde{N} \rangle,$$

hence

$$h_{ij} = -\langle \partial_j F, \partial_i \tilde{N} \rangle.$$

The chain rule gives

$$(\partial_i \tilde{N})(u) = \partial_i (N \circ F)(u) = dN_p(\partial_i F(u)) = -W_p(\partial_i F(u)),$$

from which the proposition follows.  $\square$

**Corollary 2.1** *The Weingarten map  $W_p : T_p S \rightarrow T_p S$  is self-adjoint, i.e. for all  $v, w \in T_p S$  one has*

$$\langle W_p(v), w \rangle = \langle v, W_p(w) \rangle.$$

*Proof.* Let  $p = F(u)$ . The corollary follows because  $h_{12} = h_{21}$  and  $(\partial_1 F(u), \partial_2 F(u))$  is a basis for  $T_p S$ .  $\square$

As an application of this, let  $\lambda_1, \lambda_2$  be the eigenvalues of  $W_p$ . Then

$$K(p) = \det(W_p) = \lambda_1 \lambda_2.$$

The components of the first and second fundamental forms make up two symmetric  $2 \times 2$  matrices  $G = (g_{ij})$  and  $H = (h_{ij})$ . We now express the Gauss curvature  $K$  of  $S$  in terms of the determinants of these matrices. Let

$$\tilde{K} = K \circ F.$$

**Theorem 2.1**  $\tilde{K} = \frac{\det(H)}{\det(G)}$ .

*Proof.* Let  $u \in U$ ,  $p = F(u)$ , and  $e_i = \partial_i F(u)$ . Then  $(e_1, e_2)$  is a basis for  $T_p S$ , and

$$g_{ij}(u) = \langle e_i, e_j \rangle.$$

Let  $A = (a_{ij})$  be the matrix of the Weingarten map  $W_p$  with respect to this basis, so that

$$W_p e_j = \sum_i a_{ij} e_i.$$

Then

$$h_{ij} = \langle W_p e_i, e_j \rangle = \left\langle \sum_k a_{ki} e_k, e_j \right\rangle = \sum_k g_{jk} a_{ki}.$$

We recognize the last sum as the  $(ji)$  entry of the matrix product  $GA$ . This means that the transpose of  $H$  is

$$H^T = GA,$$

hence

$$\det(H) = \det(H^T) = \det(G) \det(A).$$

Recalling that  $K(p) = \det(A)$  and  $\det(G) > 0$ , this proves the theorem.  $\square$

**Proposition 2.2** *Let  $S \subset \mathbb{R}^3$  be a regular surface. Suppose  $p \in S$  and  $r > 0$  is a constant such that*

- $\|x\| \leq r$  for all  $x \in S$ ,
- $\|p\| = r$ .

Then

$$K(p) \geq \frac{1}{r^2}.$$

*Proof.* Let  $N$  be a smooth unit normal field defined in a neighbourhood  $W$  of  $p$  in  $S$ . Let  $v \in T_p S$  and choose a smooth curve  $\gamma : (-\epsilon, \epsilon) \rightarrow W$  such that

$$\gamma(0) = p, \quad \gamma'(0) = v.$$

We consider the function

$$f(t) = \frac{1}{2} \|\gamma(t)\|^2.$$

The first two derivatives are

$$\begin{aligned} f'(t) &= \langle \gamma'(t), \gamma(t) \rangle, \\ f''(t) &= \langle \gamma''(t), \gamma(t) \rangle + \|\gamma'(t)\|^2. \end{aligned}$$

Because  $f$  has a maximum at  $t = 0$ , we have

- (i)  $0 = f'(0) = \langle v, p \rangle$ ,
- (ii)  $0 \geq f''(0) = \langle \gamma''(0), p \rangle + \|v\|^2$ .

Since (i) holds for all  $v \in T_p S$ , we have  $p \perp T_p S$ , so we may assume that  $N(p) = -p/r$ . Now observe that

$$0 = \langle \gamma'(t), N(\gamma(t)) \rangle$$

for all  $t$ , so

$$0 = \frac{d}{dt} \langle \gamma'(t), N(\gamma(t)) \rangle = \langle \gamma''(t), N(\gamma(t)) \rangle + \langle \gamma'(t), dN_{\gamma(t)}(\gamma'(t)) \rangle.$$

For  $t = 0$  we get

$$\langle v, W_p(v) \rangle = \langle \gamma''(0), N(p) \rangle = -\frac{1}{r} \langle \gamma''(0), p \rangle \geq \frac{1}{r} \|v\|^2,$$

where the inequality follows from (ii) above. If  $v$  is in fact an eigenvalue of  $W_p$ , say  $W_p(v) = \lambda v$ , then

$$\lambda \|v\|^2 = \langle v, W_p(v) \rangle \geq \frac{1}{r} \|v\|^2,$$

so  $\lambda \geq 1/r$ . Now let  $\lambda_1, \lambda_2$  be the eigenvalues of  $W_p$ . Then

$$K(p) = \lambda_1 \lambda_2 \geq \frac{1}{r^2}. \quad \square$$

**Corollary 2.2** *If  $S \subset \mathbb{R}^3$  is a compact, non-empty surface then there is a point  $p \in S$  such that  $K(p) > 0$ .*

*Proof.* Let  $p$  be a point on  $S$  where the function

$$S \rightarrow \mathbb{R}, \quad x \mapsto \|x\|^2$$

has a maximum, and let  $r = \|p\|$ . Since  $S$  is a surface, it cannot consist of the origin alone, hence  $r > 0$ . Therefore,

$$K(p) \geq \frac{1}{r^2} > 0. \quad \square$$

The following theorem describes a surface locally as the graph of a function.

**Theorem 2.2** Let  $S \subset \mathbb{R}^3$  be a regular surface,  $p \in S$ , and  $\xi_1, \xi_2, \xi_3$  an orthonormal basis for  $\mathbb{R}^3$  such that  $\xi_1, \xi_2 \in T_p S$ .

(i) There exists a local parametrization of  $S$  around  $p$  of the form

$$F(u_1, u_2) = p + u^1 \xi_1 + u^2 \xi_2 + f(u^1, u^2) \xi_3,$$

where  $f : U \rightarrow \mathbb{R}$  is a smooth function satisfying

$$f(0, 0) = 0; \quad \partial_i f(0, 0) = 0 \text{ for } i = 1, 2.$$

(ii) If  $F$  is any local parametrization as in (i) then the Gauss curvature of  $S$  at  $p$  agrees with the determinant of the Hessian matrix of  $f$  at the origin, i.e.

$$K(p) = \det(\text{Hess}_{(0,0)} f).$$

*Proof.* (i) Let the maps  $\pi, \alpha : \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be defined by

$$\pi\left(\sum_{i=1}^3 a^i \xi_i\right) := (a^1, a^2), \quad \alpha(x) := \pi(x - p)$$

for  $a^i \in \mathbb{R}$  and  $x \in \mathbb{R}^3$ . Let

$$\phi := \alpha|_S : S \rightarrow \mathbb{R}^2$$

be the restriction of  $\alpha$  to  $S$ . At any point  $x \in S$  the differential of  $\phi$  is the restriction of  $\pi$ , i.e.

$$d_x \phi(v) = \pi(v)$$

for  $v \in T_x S$ . Therefore,  $d_p \phi$  maps the basis  $\xi_1, \xi_2$  for  $T_p S$  to the basis  $(1, 0), (0, 1)$  for  $\mathbb{R}^2$ , so  $d_p \phi : T_p S \rightarrow \mathbb{R}^2$  is an isomorphism. By the inverse function theorem,  $\phi$  maps some neighbourhood  $W$  of  $p$  in  $S$  to a neighbourhood  $U$  of  $(0, 0)$  in  $\mathbb{R}^2$ . Let

$$F := \phi^{-1} : U \rightarrow W.$$

Because  $\alpha \circ F = \text{Id}_U$ , there is a smooth function  $f : U \rightarrow \mathbb{R}$  such that

$$F(u^1, u^2) = p + u^1 \xi_1 + u^2 \xi_2 + f(u^1, u^2) \xi_3.$$

Since  $F(0, 0) = p$  we have  $f(0, 0) = 0$ . The partial derivatives of  $F$  are

$$\partial_i F = \xi_i + \partial_i f \cdot \xi_3.$$

Because the vectors  $\xi_1, \xi_2$  and  $\partial_i F(0, 0)$  lie in the tangent space  $T_p S$  whereas  $\xi_3$  does not, we must have  $\partial_i f(0, 0) = 0$ .

(ii) Let  $G = (g_{ij})$  be the matrix of the first fundamental form. Since  $\partial_i F(0, 0) = \xi_i$  we see that  $G$  is the identity matrix. Choose a smooth normal field  $N$  defined in some neighbourhood of  $p$  in  $S$  such that  $N(p) = \xi_3$ , and let  $H = (h_{ij})$  be the matrix of the second fundamental form relative to  $N$ . The second order partial derivatives of  $F$  are

$$\partial_i \partial_j F = \partial_i \partial_j f \cdot \xi_3,$$

hence

$$h_{ij}(0, 0) = \langle \partial_i \partial_j F(0, 0), N(p) \rangle = \partial_i \partial_j f(0, 0).$$

Thus,  $H(0, 0)$  is the Hessian matrix of  $f$  at the origin, so

$$K(p) = \frac{\det(H(0, 0))}{\det(G(0, 0))} = \det(\text{Hess}_{(0,0)} f). \quad \square$$

If  $E$  is any affine plane in  $\mathbb{R}^3$  then  $\mathbb{R}^3 - E$  has two connected components. A subset  $A \subset \mathbb{R}^3$  is said to lie **completely on one side of  $E$**  if  $A$  is contained in one of the connected components of  $\mathbb{R}^3 - E$ . From the last theorem we obtain the following corollary.

**Corollary 2.3 (i)** *If  $K(p) > 0$  then  $p$  has a neighbourhood  $W$  in  $S$  such that  $W - \{p\}$  lies completely on one side of the affine tangent plane  $p + T_p S$ .*

(ii) *If  $K(p) < 0$  then any neighbourhood of  $p$  in  $S$  contains points from both sides of  $p + T_p S$ .  $\square$*

### 3 Vector fields

For any vector field  $X$  on  $S$  and smooth function  $h : S \rightarrow \mathbb{R}^n$ , the **directional derivative**

$$\partial_X h : S \rightarrow \mathbb{R}^n$$

is defined by

$$(\partial_X h)(p) := (d_p h)(X_p).$$

**Proposition 3.1** *If  $X$  is a smooth vector field on the surface  $S$  and  $h : S \rightarrow \mathbb{R}^n$  is smooth then the directional derivative  $\partial_X h$  is also smooth.*

*Proof.* Given  $p \in S$ , we can find a neighbourhood  $V \subset \mathbb{R}^3$  of  $p$  and smooth functions

$$\tilde{X} : V \rightarrow \mathbb{R}^3, \quad \tilde{h} : V \rightarrow \mathbb{R}^n$$

such that on  $S \cap V$  we have  $\tilde{X} = X$  and  $\tilde{h} = h$ . Let

$$\tilde{X} = (\tilde{X}^1, \tilde{X}^2, \tilde{X}^3)$$

be the components of  $\tilde{X}$ . For any point  $q \in S \cap V$  we have

$$\partial_X h(q) = d_q h(X_q) = d_q \tilde{h}(\tilde{X}_q) = \sum_i \tilde{X}^i(q) \cdot \partial_i \tilde{h}(q).$$

Since the functions  $\tilde{X}^i$  and  $\partial_i \tilde{h}$  are smooth, we conclude that  $\partial_X h$  is smooth on  $S \cap V$ .  $\square$

**Lemma 3.1** *Let  $S$  be a regular surface,  $X$  a vector field on  $S$ . For any smooth functions  $f : S \rightarrow \mathbb{R}$ , and  $g, h : S \rightarrow \mathbb{R}^n$  the following hold.*

(i)  $\partial_X(g + h) = \partial_X g + \partial_X h$ .

(ii)  $\partial_X(fh) = (\partial_X f)h + f\partial_X h$ .

(iii)  $\partial_{fX} h = f\partial_X h$ .

*Proof.* Parts (i) and (ii) are left as exercises for the reader. Part (iii) follows from the linearity of the differential  $d_p h$  at any point  $p \in S$ :

$$(\partial_{fX} h)(p) = d_p h(f(p)X(p)) = f(p) \cdot d_p h(X(p)) = (f\partial_X h)(p). \quad \square$$

**Lemma 3.2** *For any smooth map  $h : S \rightarrow \mathbb{R}^n$  and local parametrization  $(U, F, V)$  with coordinate vector fields  $X_1, X_2$  the following holds for any  $i, j$ .*

(i)  $(\partial_{X_i} h) \circ F = \partial_i(h \circ F)$ .

(ii)  $(\partial_{X_i} \partial_{X_j} h) \circ F = \partial_i \partial_j (h \circ F)$ .

(iii)  $(\partial_{X_i} X_j) \circ F = \partial_i \partial_j F$ .

*Proof.* (i) For  $u \in U$  and  $p = F(u)$  we have

$$(\partial_{X_i} h)(p) = d_p h(X_i(p)) = d_p h(\partial_i F(u)) = \partial_i(h \circ F)(u),$$

where the last equality follows from the chain rule.

(ii) Applying (i) twice we get

$$(\partial_{X_i} \partial_{X_j} h) \circ F = \partial_i((\partial_{X_j} h) \circ F) = \partial_i \partial_j (h \circ F).$$

(iii) Take  $h = X_j$  in (i).  $\square$

**Corollary 3.1**  $\partial_{X_i} X_j = \partial_{X_j} X_i$ .

*Proof.* This follows from part (iii) of the lemma because  $\partial_i \partial_j F = \partial_j \partial_i F$ .  $\square$

## 4 Lie brackets

Given smooth vector fields  $X, Y$  on a regular surface  $S \subset \mathbb{R}^3$ , the directional derivative  $\partial_X Y$  will in general not be a vector field on  $S$ . However, the **Lie bracket**

$$[X, Y] := \partial_X Y - \partial_Y X \quad (1)$$

turns out to be a vector field. This is a consequence of the following proposition, which tells us how to compute the Lie bracket in local coordinates.

**Proposition 4.1** *Let  $X, Y$  be smooth vector fields on a regular surface  $S$ . If  $X_1, X_2$  are coordinate vector fields on an open subset  $W$  of  $S$  and*

$$X|_W = \sum_i a^i X_i, \quad Y|_W = \sum_i b^i X_i, \quad (2)$$

for (smooth) real-valued functions  $a^i, b^j$  on  $W$  then

$$[X, Y]|_W = \sum_{ij} (a^i \partial_{X_i} b^j - b^i \partial_{X_i} a^j) X_j.$$

*Proof.* We calculate

$$(\partial_X Y)|_W = \sum_{ij} a^i \partial_{X_i} (b^j X_j) = \sum_{ij} ((a^i \partial_{X_i} b^j) X_j + a^i b^j \partial_{X_i} X_j).$$

Applying Corollary 3.1 to  $\partial_X Y - \partial_Y X$ , the terms involving directional derivatives of the coordinate vector fields cancel out, and we obtain the formula in the lemma.  $\square$

**Example** By Corollary 3.1, one has

$$[X_i, X_j] = 0$$

whenever  $X_1, X_2$  are coordinate vector fields on an open set in  $S$ .

**Proposition 4.2** *For any smooth vector fields  $X, Y$  on a regular surface  $S$  and smooth function  $f : S \rightarrow \mathbb{R}$  one has*

$$\partial_{[X, Y]} f = \partial_X \partial_Y f - \partial_Y \partial_X f. \quad (3)$$

*Proof.* In a neighbourhood of any point in  $S$  we can express  $X$  and  $Y$  in terms of coordinate vector fields as in (2). In that neighbourhood we then have

$$\partial_X \partial_Y f = \sum_{ij} a^i \partial_{X_i} (b^j \partial_{X_j} f) = \sum_{ij} (a^i \partial_{X_i} b^j \cdot \partial_{X_j} f + a^i b^j \partial_{X_i} \partial_{X_j} f).$$

By Lemma 3.2 (ii) we have  $\partial_{X_i} \partial_{X_j} f = \partial_{X_j} \partial_{X_i} f$ . Applying this to  $\partial_X \partial_Y f - \partial_Y \partial_X f$ , the terms involving second order directional derivatives cancel out. Comparing the resulting formula with the expression in Proposition 4.1 we obtain (3).  $\square$

**Proposition 4.3** *Let  $X, Y$  be smooth vector fields on a regular surface  $S$  and  $f : S \rightarrow \mathbb{R}$  a smooth function. Prove the following.*

(i)  $[fX, Y] = f[X, Y] - (\partial_Y f)X.$

(ii)  $[X, fY] = f[X, Y] + (\partial_X f)Y.$

*Proof.* This follows easily from Lemma 3.1.  $\square$

## 5 The covariant derivative

Let  $S \subset \mathbb{R}^3$  be a regular surface. For any  $p \in S$  let

$$\Pi_p : \mathbb{R}^3 \rightarrow T_p S$$

be the orthogonal projection. Given a function  $f : S \rightarrow \mathbb{R}^3$ , the **tangential part** of  $f$  is the vector field  $f^{\text{tan}}$  on  $S$  defined by

$$f^{\text{tan}}(p) := \Pi_p(f(p)).$$

**Proposition 5.1** *If  $f : S \rightarrow \mathbb{R}^3$  is smooth then the tangential part  $f^{\text{tan}}$  is also smooth.*

*Proof.* Given  $p \in S$ , we can find a smooth unit normal field  $N$  defined in a neighbourhood  $W$  of  $p$  in  $S$ . Then on  $W$  one has

$$f^{\text{tan}} = f - \langle f, N \rangle N,$$

proving that  $f^{\text{tan}}$  is smooth.  $\square$

If  $X, Y$  are smooth vector fields on  $S$  then the **covariant derivative**  $\nabla_X Y$  is the smooth vector field on  $S$  defined by

$$\nabla_X Y := (\partial_X Y)^{\text{tan}}.$$

One can also define the covariant derivative at a point: If  $p \in S$  and  $v \in T_p S$  then we define

$$\nabla_{p,v} Y := \Pi_p(d_p Y(v)).$$

If  $v = X_p$  we therefore have  $(\nabla_X Y)(p) = \nabla_{p,v} Y$ .

**Proposition 5.2** *For any smooth vector fields  $X, Y, Z$  on  $S$  and smooth function  $f : S \rightarrow \mathbb{R}$  one has*

- (i)  $\nabla_{X+Y} Z = \nabla_X Z + \nabla_Y Z$
- (ii)  $\nabla_{fX} Z = f \nabla_X Z$
- (iii)  $\nabla_X (Y + Z) = \nabla_X Y + \nabla_X Z$
- (iv)  $\nabla_X (fY) = (\partial_X f) \cdot Y + f \nabla_X Y$
- (v)  $\nabla_X \langle Y, Z \rangle = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle$

**Proposition 5.3** *For any smooth vector fields  $X, Y, Z$  on  $S$  one has*

$$\nabla_X Y - \nabla_Y X = [X, Y].$$

*Proof.* Take horizontal parts on both sides in Definition 1.  $\square$

**Proposition 5.4** *If  $X_1, X_2$  are coordinate vector fields on an open set in  $S$  then*

$$\nabla_{X_i} X_j = \nabla_{X_j} X_i.$$

*Proof.* This follows from Corollary 3.1 by taking horizontal parts.  $\square$

Let  $X_1, X_2$  be coordinate vector fields on an open subset  $W \subset S$ . Recall that  $X_1(p), X_2(p)$  is a basis for the tangent space  $T_p S$  for every  $p \in W$ . Any vector field  $X$  on  $W$  can therefore be expressed uniquely on the form

$$X = \sum_i a^i X_i$$

for some functions  $a^i : W \rightarrow \mathbb{R}$ . In view of Proposition 5.2, the covariant derivative on  $W$  is therefore completely determined by the collection of vector fields  $\nabla_{X_i} X_j$ . On the other hand,

$$\nabla_{X_i} X_j = \sum_k \Gamma_{ij}^k X_k$$

for some smooth functions  $\Gamma_{ij}^k : W \rightarrow \mathbb{R}$  called **Christoffel symbols**. Note that

$$\Gamma_{ij}^k = \Gamma_{ji}^k$$

by Proposition 5.4.

**Proposition 5.5** *Let  $S \subset \mathbb{R}^3$  be a regular surface with local parametrization  $(U, F, V)$  and corresponding coordinate vector fields  $X_i$ . Let  $N$  be a unit normal field on  $S \cap V$ . Then*

$$\partial_i \partial_j F = \sum_k \tilde{\Gamma}_{ij}^k \partial_k F + h_{ij} \tilde{N},$$

where

$$\tilde{\Gamma}_{ij}^k = \Gamma_{ij}^k \circ F, \quad \tilde{N} = N \circ F$$

and  $(h_{ij})$  are the components of the second fundamental form.

*Proof.* Let  $u \in U$  and  $p = F(u) \in S$ . Expressing  $\partial_{X_i} X_j$  in terms of its tangential and normal parts we get

$$\begin{aligned} \partial_i \partial_j F(u) &= (\partial_{X_i} X_j)(p) \\ &= (\nabla_{X_i} X_j)(p) + \langle \partial_i \partial_j F(u), N(p) \rangle N(p) \\ &= \sum_k \Gamma_{ij}^k(p) X_k(p) + h_{ij}(u) N(p) \\ &= \sum_k \tilde{\Gamma}_{ij}^k(u) \partial_k F(u) + h_{ij}(u) \tilde{N}(u). \quad \square \end{aligned}$$

For the purposes of this section we define the components of the first fundamental form by

$$g_{ij} = \langle X_i, X_j \rangle.$$

Let  $(g^{ij})$  be the inverse matrix of the  $2 \times 2$  matrix  $(g_{ij})$ , so that

$$\sum_j g_{ij} g^{jk} = \begin{cases} 1 & \text{if } i = k, \\ 0 & \text{else.} \end{cases}$$

**Proposition 5.6**  $\Gamma_{ij}^k = \frac{1}{2} \sum_{\ell} g^{k\ell} (\partial_{X_i} g_{j\ell} + \partial_{X_j} g_{i\ell} - \partial_{X_\ell} g_{ij})$ .

*Proof.* We calculate

$$\begin{aligned} \partial_{X_i} g_{jk} &= \partial_{X_i} \langle X_j, X_k \rangle \\ &= \langle \nabla_i X_j, X_k \rangle + \langle X_j, \nabla_i X_k \rangle \\ &= \langle \sum_m \Gamma_{ij}^m X_m, X_k \rangle + \langle X_j, \sum_m \Gamma_{ik}^m X_m \rangle \\ &= \sum_m (\Gamma_{ij}^m g_{mk} + \Gamma_{ik}^m g_{jm}). \end{aligned}$$

We now make cyclic permutations of the indices  $i, j, k$  to obtain three equations:

$$\begin{aligned} \partial_{X_i} g_{jk} &= \sum_m (\Gamma_{ij}^m g_{mk} + \Gamma_{ik}^m g_{jm}), \\ \partial_{X_j} g_{ki} &= \sum_m (\Gamma_{jk}^m g_{mi} + \Gamma_{ji}^m g_{km}), \\ \partial_{X_k} g_{ij} &= \sum_m (\Gamma_{ki}^m g_{mj} + \Gamma_{kj}^m g_{im}). \end{aligned}$$

Adding the first two equations and subtracting the last one we see that four terms cancel and we are left with

$$\partial_{X_i} g_{jk} + \partial_{X_j} g_{ki} - \partial_{X_k} g_{ij} = 2 \sum_m \Gamma_{ij}^m g_{mk},$$

which yields

$$\Gamma_{ij}^k = \sum_{\ell m} \Gamma_{ij}^m g^{k\ell} g_{\ell m} = \frac{1}{2} \sum_{\ell} g^{k\ell} (\partial_{X_i} g_{j\ell} + \partial_{X_j} g_{i\ell} - \partial_{X_\ell} g_{ij}). \quad \square$$

## 6 Some algebra

Let  $E_1, \dots, E_k, F$  be modules over a ring  $R$ . A map

$$T : E_1 \times \dots \times E_k \rightarrow F$$

is called  $R$ -**multilinear** (or **multilinear over  $R$** ) if it is linear in each variable separately, i.e. if for any  $a_i \in E_i$ ,  $i = 1, \dots, k$  and index  $j$  the map

$$E_j \rightarrow F, \quad b \mapsto T(a_1, \dots, a_{j-1}, b, a_{j+1}, \dots, a_k)$$

is  $R$ -linear.

For any regular surface  $S$ , the collection  $C^\infty(S)$  of all smooth functions  $S \rightarrow \mathbb{R}$  is a commutative ring where addition and multiplication are defined pointwise: If  $f, g \in C^\infty(S)$  and  $p \in S$  then

$$(f + g)(p) = f(p) + g(p), \quad (fg)(p) = f(p)g(p).$$

An example of a module over  $C^\infty(S)$  is the collection  $\mathfrak{X}(S)$  of all smooth vector fields on  $S$ , where addition of vector fields as well as multiplication of a vector field with a function are defined pointwise.

## 7 The Riemannian curvature tensor

As motivation, we first consider the case when  $S$  is an affine plane in  $\mathbb{R}^3$ . Then  $\nabla_X Y = \partial_X Y$  for any smooth vector fields  $X, Y$  on  $S$ . If  $Z$  is a third smooth vector field on  $S$  then by applying Proposition 4.2 to each component of  $Z$  we get

$$\nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z = \partial_X \partial_Y Z - \partial_Y \partial_X Z = \partial_{[X, Y]} Z = \nabla_{[X, Y]} Z.$$

For an arbitrary regular surface  $S$  in  $\mathbb{R}^3$ , the Riemannian curvature tensor associates to every triple  $X, Y, Z$  of smooth vector fields on  $S$  the smooth vector field

$$R(X, Y)Z := \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z.$$

Thus, if  $S$  is an affine plane then  $R = 0$ . We are going to show that the Riemannian curvature tensor is preserved by local isometries, hence it provides a measure of how much a given surface deviates from being locally isometric to a plane. We will also express the Gauss curvature  $K$  in terms of  $R$ , proving that Gauss curvature is also preserved by local isometries. (This is the famous *Theorema Egregium* of Gauss.)

**Proposition 7.1** *The map*

$$\mathfrak{X}(S) \times \mathfrak{X}(S) \times \mathfrak{X}(S) \rightarrow \mathfrak{X}(S), \quad (X, Y, Z) \mapsto R(X, Y)Z$$

*is multilinear over  $C^\infty(S)$ .*

*Proof.* This is a straightforward application of Propositions 4.3 and 5.2. Additivity in each variable is obvious. Now let  $f \in C^\infty(S)$ . Then

$$\begin{aligned} R(fX, Y)Z &= f\nabla_X \nabla_Y Z - \nabla_Y (f\nabla_X Z) - \nabla_{[fX, Y]} Z \\ &= f\nabla_X \nabla_Y Z - \partial_Y f \cdot \nabla_X Z - f\nabla_Y \nabla_X Z - f\nabla_{[X, Y]} Z + \partial_Y f \cdot \nabla_X Z \\ &= fR(X, Y)Z. \end{aligned}$$

Furthermore,

$$R(X, fY)Z = -R(fY, X)Z = -fR(Y, X)Z = fR(X, Y)Z.$$

The proof that  $R(X, Y)(fZ) = fR(X, Y)Z$  is left as an exercise.  $\square$

**Proposition 7.2** *For all smooth vector fields  $X, Y, Z, W$  on  $S$  one has*

$$\langle R(X, Y)Z, W \rangle = -\langle Z, R(X, Y)W \rangle.$$

*Proof.* We calculate

$$\begin{aligned} \partial_X \partial_Y \langle Z, W \rangle &= \partial_X (\langle \nabla_Y, W \rangle + \langle Z, \nabla_Y W \rangle) \\ &= \langle \nabla_X \nabla_Y Z, W \rangle + \langle \nabla_Y Z, \nabla_X W \rangle \\ &\quad + \langle \nabla_X Z, \nabla_Y W \rangle + \langle Z, \nabla_X \nabla_Y W \rangle. \end{aligned}$$

In the final expression, the sum of the second and third terms is symmetric in  $X$  and  $Y$ . If we make the same calculation with  $X$  and  $Y$  reversed and subtract the results, the terms involving first-order covariant derivatives therefore cancel out, and we obtain the following.

$$\begin{aligned} \partial_{[X, Y]} \langle Z, W \rangle &= \partial_X \partial_Y \langle Z, W \rangle - \partial_Y \partial_X \langle Z, W \rangle \\ &= \langle \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z, W \rangle + \langle Z, \nabla_X \nabla_Y W - \nabla_Y \nabla_X W \rangle. \end{aligned}$$

Combining this with

$$\partial_{[X, Y]} \langle Z, W \rangle = \langle \nabla_{[X, Y]} Z, W \rangle + \langle Z, \nabla_{[X, Y]} W \rangle$$

we obtain the proposition.  $\square$

The previous proposition makes it possible to define the Riemannian curvature  $R_p$  at any point  $p$  in  $S$ , as we now explain. For any finite-dimensional real vector space  $V$  equipped with a scalar product let  $\mathfrak{so}(V)$  denote the space of all skew-symmetric endomorphisms of  $V$ , i.e. linear maps  $A : V \rightarrow V$  such that

$$\langle Ax, y \rangle = -\langle x, Ay \rangle$$

for all  $x, y \in V$ .

**Proposition 7.3** *Let  $S$  be a regular surface. For each point  $p \in S$  there is a unique skew-symmetric bilinear map*

$$R_p : T_p S \times T_p S \rightarrow \mathfrak{so}(T_p(S))$$

such that for any smooth vector fields  $X, Y, Z$  defined in a neighbourhood of  $p$  in  $S$  one has

$$[R(X, Y)Z]_p = R_p(X_p, Y_p)Z_p.$$

*Proof.* The map  $R_p$  is unique because for every tangent vector  $v \in T_p S$  there exists a smooth vector field  $X$  defined in some neighbourhood of  $p$  in  $S$  such that  $X_p = v$ . To prove existence, let  $X_1, X_2$  be coordinate vector fields in some neighbourhood  $W$  of  $p$  in  $S$ . We consider three smooth vector fields on  $W$  given as

$$X = \sum_i a^i X_i, \quad Y = \sum_j b^j X_j, \quad Z = \sum_k c^k X_k,$$

where  $a^i, b^j, c^k$  are smooth functions on  $W$ . Then

$$R(X, Y)Z = \sum_{ijk} a^i b^j c^k R(X_i, X_j)X_k.$$

We can therefore define  $R_p$  in terms of the basis  $X_1(p), X_2(p)$  for  $T_p S$  by

$$R_p(X_i(p), X_j(p))X_k(p) := [R(X_i, X_j)X_k](p). \quad \square$$

Given coordinate vector fields  $X_1, X_2$  on an open subset  $W$  of a regular surface  $S$ , there are smooth, real-valued functions  $R_{ijk}^\ell$  on  $W$  such that

$$R(X_i, X_j)X_k = \sum_\ell R_{ijk}^\ell X_\ell.$$

These functions  $R_{ijk}^\ell$  are called the *components* of the curvature tensor.

**Proposition 7.4**  $R_{ijk}^\ell = \partial_{X_i} \Gamma_{jk}^\ell - \partial_{X_j} \Gamma_{ik}^\ell + \sum_m \left( \Gamma_{jk}^m \Gamma_{im}^\ell - \Gamma_{ik}^m \Gamma_{jm}^\ell \right).$

*Proof.* This is a straight-forward calculation:

$$\begin{aligned} \nabla_{X_i} \nabla_{X_j} X_k &= \nabla_{X_i} \sum_\ell \Gamma_{jk}^\ell X_\ell \\ &= \sum_\ell \left( \partial_{X_i} \Gamma_{jk}^\ell \cdot X_\ell + \Gamma_{jk}^\ell \nabla_{X_i} X_\ell \right) \\ &= \sum_\ell \partial_{X_i} \Gamma_{jk}^\ell \cdot X_\ell + \sum_{\ell m} \Gamma_{jk}^\ell \Gamma_{i\ell}^m X_m. \end{aligned}$$

Interchanging  $\ell$  and  $m$  in the last sum we obtain

$$\nabla_{X_i} \nabla_{X_j} X_k = \sum_{\ell} \left( \partial_{X_i} \Gamma_{jk}^{\ell} + \sum_m \Gamma_{jk}^m \Gamma_{im}^{\ell} \right) X_{\ell}.$$

Now recall that  $[X_i, X_j] = 0$ , hence

$$R(X_i, X_j) X_k = \nabla_{X_i} \nabla_{X_j} X_k - \nabla_{X_j} \nabla_{X_i} X_k.$$

Putting this together, we get the formula of the proposition.  $\square$

## 8 Theorema Egregium

Our next goal is to express the Gauss curvature of a regular surface in terms of the Riemannian curvature tensor. This will lead to a proof of Gauss's *Theorema Egregium* (remarkable theorem), which asserts that the Gauss curvature is preserved by local isometries.

Let  $S \subset \mathbb{R}^3$  be a regular surface. The **normal part** of a function  $f : S \rightarrow \mathbb{R}^3$  is the normal field  $f^{\text{nor}}$  on  $S$  defined by

$$f^{\text{nor}} := f - f^{\text{tan}}.$$

Given smooth vector fields  $X, Y$  on  $S$  we define the normal field

$$\alpha(X, Y) := (\partial_X Y)^{\text{nor}},$$

so that

$$\partial_X Y = \nabla_X Y + \alpha(X, Y)$$

is the decomposition of  $\partial_X Y$  into its tangential and normal parts.

**Proposition 8.1**  $\alpha(X, Y) = \alpha(Y, X)$ .

*Proof.* By definition of the Lie bracket we have

$$[X, Y] = \partial_X Y - \partial_Y X.$$

Because  $[X, Y]$  is a vector field, its normal part is zero, hence

$$0 = [X, Y]^{\text{nor}} = (\partial_X Y)^{\text{nor}} - (\partial_Y X)^{\text{nor}} = \alpha(X, Y) - \alpha(Y, X). \quad \square$$

Let  $\mathfrak{X}^{\perp}(S)$  be the set of all smooth normal fields on  $S$ , which is a module over the ring  $C^{\infty}(S)$  of smooth functions on  $S$ .

**Proposition 8.2** *The map*

$$\alpha : \mathfrak{X}(S) \times \mathfrak{X}(S) \rightarrow \mathfrak{X}(S)^\perp$$

*is bilinear over  $C^\infty(S)$ .*

*Proof.* Biadditivity of  $\alpha$  is obvious. For  $f \in C^\infty(S)$  we have

$$\alpha(fX, Y) = (\partial_{fX}Y)^{\text{nor}} = (f\partial_X Y)^{\text{nor}} = f\alpha(X, Y).$$

By symmetry of  $\alpha$  we also have  $\alpha(X, fY) = f\alpha(X, Y)$ .  $\square$

**Proposition 8.3** *Let  $S$  be a regular surface. For any point  $p \in S$  there is a unique symmetric bilinear map*

$$\alpha_p : T_p S \times T_p S \rightarrow (T_p S)^\perp$$

*such that if  $X, Y$  are smooth vector fields defined in some neighbourhood of  $p$  in  $S$  then*

$$[\alpha(X, Y)]_p = \alpha_p(X_p, Y_p).$$

*Proof.* This is proved in the same way as the corresponding statement for the Riemannian curvature tensor, see Proposition 7.3.  $\square$

**Proposition 8.4** *If  $N : S \rightarrow \mathbb{R}^3$  is a smooth unit normal field and  $p \in S$  then for all tangent vectors  $v, w \in T_p S$  one has*

$$\alpha_p(v, w) = II_p(v, w) \cdot N_p,$$

*where  $II_p$  is the second fundamental form relative to  $N$ .*

*Proof.* Choose smooth vector fields  $X, Y$  defined in some neighbourhood of  $p$  in  $S$  such that  $X_p = v$  and  $Y_p = w$ . Then

$$0 = \partial_X \langle Y, N \rangle = \langle \partial_X Y, N \rangle + \langle Y, \partial_X N \rangle.$$

Evaluating at  $p$  we get

$$\begin{aligned} \langle \alpha_p(v, w), N_p \rangle &= \langle \partial_X Y, N \rangle_p = -\langle Y, \partial_X N \rangle_p \\ &= -\langle w, d_p N(v) \rangle = \langle w, W_p(v) \rangle = II_p(v, w), \end{aligned}$$

where  $W_p$  is the Weingarten map.  $\square$

**Theorem 8.1 (Gauss equation)** For all smooth vector fields  $X, Y, Z, W$  on  $S$  one has

$$-\langle R(X, Y)Z, W \rangle = \langle \alpha(X, Z), \alpha(Y, W) \rangle - \langle \alpha(X, W), \alpha(Y, Z) \rangle.$$

*Proof.* We begin by calculating

$$\begin{aligned} \langle \partial_X \partial_Y Z, W \rangle &= \langle \partial_X (\nabla_Y Z + \alpha(Y, Z)), W \rangle \\ &= \langle \nabla_X \nabla_Y Z, W \rangle + \langle \partial_X \alpha(Y, Z), W \rangle. \end{aligned}$$

On the other hand,

$$0 = \partial_X \langle \alpha(Y, Z), W \rangle = \langle \partial_X \alpha(Y, Z), W \rangle + \langle \alpha(Y, Z), \partial_X W \rangle,$$

hence

$$\langle \partial_X \alpha(Y, Z), W \rangle = -\langle \alpha(Y, Z), \alpha(X, W) \rangle.$$

Altogether, we obtain

$$\langle \partial_X \partial_Y Z, W \rangle = \langle \nabla_X \nabla_Y Z, W \rangle - \langle \alpha(Y, Z), \alpha(X, W) \rangle.$$

Finally,

$$\begin{aligned} \langle \nabla_{[X, Y]} Z, W \rangle &= \langle \partial_X \partial_Y Z - \partial_Y \partial_X Z, W \rangle \\ &= \langle \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z, W \rangle \\ &\quad - \langle \alpha(Y, Z), \alpha(X, W) \rangle + \langle \alpha(X, Z), \alpha(Y, W) \rangle, \end{aligned}$$

from which the theorem follows.  $\square$

**Theorem 8.2** Let  $S \subset \mathbb{R}^3$  be a regular surface with Gauss curvature  $K$  and Riemannian curvature tensor  $R$ . Then for any  $p \in S$  and orthonormal basis  $v_1, v_2$  for  $T_p S$  one has

$$K(p) = -\langle R_p(v_1, v_2)v_1, v_2 \rangle.$$

*Proof.* Let  $(a_{ij})$  be the matrix of the Weingarten map  $W_p : T_p S \rightarrow T_p S$  with respect to the basis  $v_1, v_2$ . Then

$$a_{ij} = \langle v_i, W_p(v_j) \rangle = II(v_i, v_j).$$

By Proposition 8.4 we have

$$\alpha_p(v_i, v_j) = a_{ij} N_p.$$

Since  $\langle N_p, N_p \rangle = 1$ , the Gauss equation yields

$$-\langle R_p(v_1, v_2)v_1, v_2 \rangle = a_{11}a_{22} - a_{12}^2 = \det W_p = K(p). \quad \square$$

**Theorem 8.3 (Theorema Egregium)** *If  $\phi : S \rightarrow \bar{S}$  is an isometry between regular surfaces with Gauss curvatures  $K, \bar{K}$ , respectively, then*

$$K = \bar{K} \circ \phi.$$

*Proof.* Let  $p \in S$  and  $\bar{p} = \phi(p)$ . We must show that  $K(p) = \bar{K}(\bar{p})$ . Let  $F : U \rightarrow \mathbb{R}^3$  be a local parametrization of  $S$  around  $p$ . Then  $\bar{F} := \phi \circ F : U \rightarrow \mathbb{R}^3$  is a local parametrization of  $\bar{S}$ . Let  $g_{ij}, \Gamma_{ij}^k, R_{ijk}^\ell, X_i$  be the components of the first fundamental form, Christoffel symbols, components of the curvature tensor, and coordinate vector fields defined by  $F$ . Let  $\bar{g}_{ij}, \bar{\Gamma}_{ij}^k, \bar{R}_{ijk}^\ell$ , and  $\bar{X}_i$  be the corresponding quantities defined by  $\bar{F}$ .

Suppose  $p = F(u), u \in U$ . The chain rule yields

$$AX_i(p) = d_p\phi(\partial_i F(u)) = \partial_i(\phi \circ F)(u) = \partial_i \bar{F}(u) = \bar{X}_i(\bar{p}).$$

Because the differential  $A := d_p\phi$  is an isometry,

$$g_{ij}(p) = \langle X_i(p), X_j(p) \rangle = \langle AX_i(p), AX_j(p) \rangle = \langle \bar{X}_i(\bar{p}), \bar{X}_j(\bar{p}) \rangle = \bar{g}_{ij}(\bar{p}).$$

Proposition 5.6 then implies that  $\Gamma_{ij}^k(p) = \bar{\Gamma}_{ij}^k(\bar{p})$ , and Proposition 7.4 yields  $R_{ijk}^\ell(p) = \bar{R}_{ijk}^\ell(\bar{p})$ . Given tangent vectors  $v_1, v_2, v_3 \in T_p S$ , the equation

$$A(R_p(v_1, v_2)v_3) = \bar{R}_p(Av_1, Av_2)Av_3$$

therefore holds whenever each  $v_i$  is one of the basis vectors  $X_j(p)$ . By multilinearity of  $R_p$ , the same equation holds for all  $v_i$ . If  $v_1, v_2$  is an orthonormal basis for  $T_p S$ , then  $Av_1, Av_2$  is an orthonormal basis for  $T_{\bar{p}} \bar{S}$ , and by Theorem 8.2 we have

$$\begin{aligned} K(p) &= -\langle R_p(v_1, v_2)v_1, v_2 \rangle = -\langle A(R_p(v_1, v_2)v_1), Av_2 \rangle \\ &= -\langle R_p(Av_1, Av_2)Av_1, Av_2 \rangle = \bar{K}(\bar{p}). \quad \square \end{aligned}$$

## 9 Submanifolds of $\mathbb{R}^n$

For non-negative integers  $k, n$ , a subset  $M \subset \mathbb{R}^n$  is called a  **$k$ -dimensional submanifold** if for every point  $p \in M$  there is an open set  $U \subset \mathbb{R}^k$  and a smooth map  $F : U \rightarrow \mathbb{R}^n$  such that

- (i)  $F$  maps  $U$  homeomorphically onto a neighbourhood of  $p$  in  $M$ , and
- (ii) For any  $u \in U$  the derivative  $d_u F : \mathbb{R}^k \rightarrow \mathbb{R}^n$  is injective.

Such a map  $F$  is called a **local parametrization** of  $M$ , and the inverse map  $F(U) \rightarrow U$  is called a **chart** on  $M$ . By a **manifold** we will mean a submanifold of some Euclidean space  $\mathbb{R}^n$ . A 2-dimensional submanifold of  $\mathbb{R}^3$  is called a **regular surface**.

The notion of a smooth map between manifolds is defined just as for maps between regular surfaces. Tangent spaces, differentials of smooth maps, vector fields, and Lie brackets are also defined as before.

## 10 Differential forms

For  $\ell \geq 1$ , a **differential form** on  $M$  of degree  $\ell$  is a rule  $\phi$  that assigns to every point  $p \in M$  a multilinear alternating map

$$\phi_p : \underbrace{T_p M \times \cdots \times T_p M}_{\ell \text{ times}} \rightarrow \mathbb{R}.$$

By alternating we mean that for every permutation  $\sigma$  of the set  $\{1, \dots, \ell\}$  and all tangent vectors  $v_1, \dots, v_\ell \in T_p M$  one has

$$\phi_p(v_{\sigma(1)}, \dots, v_{\sigma(\ell)}) = \text{sgn}(\sigma) \phi_p(v_1, \dots, v_\ell),$$

where  $\text{sgn}(\sigma) = \pm 1$  is the sign of the permutation. By a differential form on  $M$  of degree 0 we simply mean a real-valued function on  $M$ . Differential forms of degree  $\ell$  are often called  $\ell$ -**forms**. An  $\ell$ -form  $\phi$  on  $M$  is **smooth** if for all smooth vector fields  $X_1, \dots, X_\ell$  on  $M$  the function

$$\phi(X_1, \dots, X_\ell) : M \rightarrow \mathbb{R}, \quad p \mapsto \phi_p((X_1)_p, \dots, (X_\ell)_p)$$

is smooth. The set  $\Omega^\ell(M)$  of all smooth  $\ell$ -forms on  $M$  is a module over the ring  $C^\infty(M)$  of smooth functions on  $M$ .

Note that a 1-form  $\alpha$  assigns to every  $p \in M$  a linear map  $\alpha_p : T_p M \rightarrow \mathbb{R}$ , whereas a 2-form  $\beta$  assigns to every  $p$  a bilinear skew-symmetric map

$$\beta_p : T_p M \times T_p M \rightarrow \mathbb{R}.$$

For any real vector space  $V$  let  $A_2(V)$  denote the real vector space of all bilinear skew-symmetric maps  $V \times V \rightarrow \mathbb{R}$ .

**Lemma 10.1** *If  $V$  has dimension 2 then  $A_2(V)$  has dimension 1.*

*Proof.* Let  $e_1, e_2$  be a basis for  $V$ , and  $f \in A_2(V)$ . Given elements  $v, w \in V$  represented as

$$v = v^1 e_1 + v^2 e_2, \quad w = w^1 e_1 + w^2 e_2,$$

where  $v^i, w^j \in \mathbb{R}$ , we have

$$f(v, w) = \sum_{ij} v^i w^j f(e_i, e_j) = (v^1 w^2 - v^2 w^1) f(e_1, e_2).$$

This shows that the map

$$A_2(V) \rightarrow \mathbb{R}, \quad f \mapsto f(e_1, e_2)$$

is injective. It is also surjective, because for any  $t \in \mathbb{R}$  the map

$$V \times V \rightarrow \mathbb{R}, \quad (v, w) \mapsto t(v^1 w^2 - v^2 w^1)$$

belongs to  $A_2(V)$ .  $\square$

**The wedge product**

$$\Omega^\ell(M) \times \Omega^m(M) \rightarrow \Omega^{\ell+m}(M), \quad (\phi, \psi) \mapsto \phi \wedge \psi$$

is a  $C^\infty(M)$ -bilinear map defined for all non-negative integers  $\ell, m$ , see [5, 3]. We define it here for  $\ell = m = 1$ . Given  $\phi, \psi \in \Omega^1(M)$  we define  $\phi \wedge \psi \in \Omega^2(M)$  by

$$(\phi \wedge \psi)_p(v, w) := \phi_p(v)\psi_p(w) - \phi_p(w)\psi_p(v)$$

for  $p \in M$  and  $v, w \in T_p M$ . For vector fields  $X, Y$  on  $M$  one then has

$$(\phi \wedge \psi)(X, Y) = \phi(X)\psi(Y) - \phi(Y)\psi(X).$$

## 11 The exterior derivative

**The exterior derivative**

$$d : \Omega^\ell(M) \rightarrow \Omega^{\ell+1}(M)$$

is a real-linear map defined for all  $\ell \geq 0$ , see [5, 3]. We define it here for  $\ell = 0, 1$ .

Given  $f \in \Omega^0(M) = C^\infty(M)$ , the 1-form  $df$  on  $M$  is defined by

$$(df)_p(v) := d_p f(v),$$

for  $p \in M$ ,  $v \in T_p M$ . Here,  $d_p f : T_p M \rightarrow \mathbb{R}$  is the differential of  $f$  at  $p$ . For any smooth vector field  $X$  on  $M$  we then have

$$(df)(X) = \partial_X f.$$

**Proposition 11.1** For any smooth 1-form  $\alpha$  on  $M$  there is a unique smooth 2-form  $d\alpha$  on  $M$  such that for all smooth vector fields  $X, Y$  on  $M$  one has

$$d\alpha(X, Y) = \partial_X(\alpha(Y)) - \partial_Y(\alpha(X)) - \alpha([X, Y]). \quad (4)$$

*Proof.* We claim that right hand side of Equation (4) defines a  $C^\infty(M)$ -bilinear map

$$B : \mathfrak{X}(M) \times \mathfrak{X}(M) \rightarrow C^\infty(M).$$

Given this, we can complete the proof of the proposition by arguing as in the proof of Proposition 7.3.

The map  $B$  is obviously biadditive. Now let  $f \in C^\infty(M)$ . Then

$$\begin{aligned} B(fX, Y) &= \partial_{fX}\alpha(Y) - \partial_Y\alpha(fX) - \alpha([fX, Y]) \\ &= f\partial_X\alpha(Y) - \partial_Y(f \cdot \alpha(X)) - \alpha(f[X, Y] - \partial_Y f \cdot X) \\ &= f\partial_X\alpha(Y) - \partial_Y f \cdot \alpha(X) - f\partial_Y\alpha(X) - f\alpha([X, Y]) + \partial_Y f \cdot \alpha(X) \\ &= f \cdot B(X, Y). \end{aligned}$$

Because  $B$  is skew-symmetric, we also have  $B(X, fY) = f \cdot B(X, Y)$ .  $\square$

**Proposition 11.2** For any  $f, g \in \Omega^0(M)$  one has

$$d(fdg) = df \wedge dg.$$

*Proof.* For all smooth vector fields  $X, Y$  on  $M$  one has

$$\begin{aligned} [d(fdg)](X, Y) &= \partial_X(f\partial_Yg) - \partial_Y(f\partial_Xg) - f\partial_{[X, Y]}g \\ &= \partial_X f \cdot \partial_Y g + f\partial_X\partial_Yg - \partial_Y f \cdot \partial_Xg - f\partial_Y\partial_Xg - f\partial_{[X, Y]}g \\ &= (df \wedge dg)(X, Y), \end{aligned}$$

where in the last equation we used Proposition 4.2, which holds on any manifold.  $\square$

Let  $x^1, \dots, x^k$  be standard coordinates on  $\mathbb{R}^k$ . The  $i$ th coordinate  $x^i$  is a smooth map  $\mathbb{R}^k \rightarrow \mathbb{R}$  whose differential  $dx^i \in \Omega^1(\mathbb{R}^k)$  is given by

$$(dx^i)_p(v) = v^i$$

for any tangent vector  $v = (v^1, \dots, v^k) \in T_p\mathbb{R}^k = \mathbb{R}^k$ . On an open subset  $U \subset \mathbb{R}^k$ , any smooth 1-form  $\alpha$  therefore has the form

$$\alpha = \sum_i f_i dx^i$$

for some  $f_i \in C^\infty(U)$ , and by Proposition 11.2 we have

$$d\alpha = \sum_i df_i \wedge dx^i.$$

## 12 Volume forms and orientations

Let  $S \subset \mathbb{R}^3$  be an oriented regular surface with smooth unit normal field  $N : S \rightarrow \mathbb{R}^3$ . The **(Riemannian) volume form** on  $S$  is the smooth 2-form  $\mu$  defined by

$$\mu_p(v, w) := \det(v, w, N_p) \quad (5)$$

for  $v, w \in T_p S$ .

**Lemma 12.1** *If  $\mu$  is the volume form of an oriented surface  $S$  then*

$$\mu_p(v, w) = \pm 1$$

*for any orthonormal basis  $(v, w)$  for  $T_p S$ .*

*Proof.* This holds because the  $3 \times 3$  matrix with columns  $v, w, N_p$  is orthogonal and therefore has determinant  $\pm 1$ .  $\square$

Conversely, any smooth 2-form  $\mu$  on  $S$  satisfying the conclusion of the lemma determines an orientation of  $S$  through the formula (5).

If  $S$  has volume form  $\mu$  then an ordered basis  $(v, w)$  for  $T_p S$  is called **positively oriented** if  $\mu_p(v, w) > 0$ ; otherwise it is called **negatively oriented**.

## 13 Frames

Let  $S \subset \mathbb{R}^3$  be a regular surface. A **frame** on an open subset  $V \subset S$  is a pair  $(E_1, E_2)$  of vector fields on  $V$  such that  $(E_1(p), E_2(p))$  is a basis for  $T_p S$  for every  $p \in V$ . The frame is **smooth** if each  $E_i$  is smooth. By a **local frame** on  $S$  we mean a frame on some open subset of  $S$ .

**Example** If  $F : U \rightarrow S$  is a local parametrization then the associated coordinate vector fields  $X_1, X_2$  form a smooth frame on  $F(U)$ .

A frame  $(E_1, E_2)$  on  $V \subset S$  is **orthonormal** if  $(E_1(p), E_2(p))$  is an orthonormal basis for  $T_p S$  for every  $p \in V$ . Note that applying the Gram-Schmidt process to an arbitrary frame produces an orthonormal frame. Hence, there is a smooth orthonormal frame on a neighbourhood of any point on  $S$ .

If  $S$  is oriented then a frame  $(E_1, E_2)$  on  $V \subset S$  is **positively oriented** if  $(E_1(p), E_2(p))$  is a positively oriented basis for  $T_p S$  for every  $p \in V$ ; otherwise the frame is **negatively oriented**.

## 14 Connection forms

Let  $S \subset \mathbb{R}^3$  be a regular surface. To any smooth frame  $(E_1, E_2)$  on an open subset  $V \subset S$  we can associate a  $2 \times 2$  matrix  $(\omega_i^j)$  of smooth 1-forms on  $V$  called **connection forms**. These are uniquely determined by the fact that

$$\nabla_X E_i = \sum_j \omega_i^j(X) \cdot E_j$$

for any vector field  $X$  on  $V$ .

**Lemma 14.1** *If the frame  $(E_1, E_2)$  is orthonormal then the matrix  $(\omega_i^j)$  is skew-symmetric, i.e.*

$$\omega_i^j = -\omega_j^i$$

for all  $i, j$ .

*Proof.* Because  $\langle E_i, E_j \rangle$  is a constant function on  $V$  we have

$$0 = \partial_X \langle E_i, E_j \rangle = \langle \nabla_X E_i, E_j \rangle + \langle E_i, \nabla_X E_j \rangle = \omega_i^j(X) + \omega_j^i(X). \quad \square$$

This means that the matrix  $(\omega_i^j)$  is completely determined by the element  $\omega_2^1$ , which we simply denote by  $\omega$  and refer to as the **connection form** of the frame. We then have

$$\begin{aligned} \nabla_X E_1 &= \omega_1^2(X) E_2 = -\omega(X) E_2, \\ \nabla_X E_2 &= \omega_2^1(X) E_1 = \omega(X) E_1 \end{aligned}$$

for any vector field  $X$  on  $V$ .

**Proposition 14.1** *Let  $S \subset \mathbb{R}^3$  be an oriented surface with Gauss curvature  $K$  and volume form  $\mu$ . Let  $(E_1, E_2)$  be a positively oriented, orthonormal frame on an open subset  $V \subset S$  and  $\omega$  the corresponding connection form. Then*

$$d\omega = K\mu.$$

*Proof.* For any smooth vector fields  $X, Y$  on  $V$  we have

$$\begin{aligned} d\omega(X, Y) &= \partial_X \omega(Y) - \partial_Y \omega(X) - \omega([X, Y]) \\ &= \partial_X \langle \nabla_Y E_2, E_1 \rangle - \partial_Y \langle \nabla_X E_2, E_1 \rangle - \langle \nabla_{[X, Y]} E_2, E_1 \rangle \\ &= \langle \nabla_X \nabla_Y E_2, E_1 \rangle + \langle \nabla_Y E_2, \nabla_X E_1 \rangle \\ &\quad - \langle \nabla_Y \nabla_X E_2, E_1 \rangle - \langle \nabla_X E_2, \nabla_Y E_1 \rangle - \langle \nabla_{[X, Y]} E_2, E_1 \rangle \\ &= \langle R(X, Y) E_2, E_1 \rangle. \end{aligned}$$

By Theorem 8.2 we therefore have

$$K = \langle R(E_1, E_2)E_2, E_1 \rangle = d\omega(E_1, E_2).$$

By Lemma 10.1 we can write  $d\omega = f\mu$  for some real-valued function  $f$  on  $V$ . Then  $f = d\omega(E_1, E_2) = K$ , and the proposition is proved.  $\square$

## 15 Line integrals

Let  $M$  be a manifold and  $\alpha \in \Omega^1(M)$ . For any smooth curve  $c : [a, b] \rightarrow M$  we define

$$\int_c \alpha := \int_a^b \alpha_{c(t)}(\dot{c}(t)) dt.$$

**Lemma 15.1** *Let  $\alpha$  be a smooth 1-form on  $M$  and  $c : [a, b] \rightarrow M$  a smooth curve. If  $\phi : [a', b'] \rightarrow [a, b]$  is a smooth function such that  $\phi(a') = a$  and  $\phi(b') = b$  then*

$$\int_c \alpha = \int_{c \circ \phi} \alpha.$$

*Proof.* Exercise.  $\square$

## 16 Surface integrals

Let  $M$  be a manifold. A curve  $c : I \rightarrow M$  is called **regular** if  $c$  is smooth and  $\dot{c}(t) \neq 0$  for all  $t \in I$ . A continuous, non-constant curve  $c : \mathbb{R} \rightarrow M$  is called **periodic** if there exists a positive real number  $\lambda$  such that

$$c(t + \lambda) = c(t)$$

for all  $t$ . The smallest such  $\lambda$  is then called the **period** of  $c$ .

**Example** The plane curve  $c(t) = (\cos t, \sin t)$  has period  $2\pi$ .

For given  $L > 0$ , curves  $c : \mathbb{R} \rightarrow M$  of period  $L$  are in one-to-one correspondence with maps  $f : S^1 \rightarrow M$  through the relation

$$c(t) = f(e^{2\pi it/L}).$$

Moreover,  $c$  is smooth if and only if  $f$  is smooth. If  $f$  is injective, or equivalently if  $c$  restricts to an injective map  $[0, L) \rightarrow M$ , then  $c$  is called **simple periodic**. In this case,  $f$  is a topological embedding. If in addition  $c$  is

regular then one can show that  $f$  is a diffeomorphism onto a submanifold of  $M$ , see [5, 3].

Now let  $S$  be a regular surface and  $c : I \rightarrow S$  a regular curve. By a **normal orientation** of  $c$  we mean a smooth map  $N : I \rightarrow S^2$  such that  $N(t) \in T_{c(t)}S$  and  $N(t) \perp \dot{c}(t)$  for all  $t$ . (In particular,  $N$  is a vector field on  $S$  along  $c$ .)

By a **smooth region** in  $S$  we mean a compact subset  $R \subset S$  which is the closure (in  $S$ ) of an open subset of  $S$  and whose boundary  $\partial R$  is the image of a simple periodic, regular curve  $c : \mathbb{R} \rightarrow S$ . In this case, the curve  $c$  has a canonical normal orientation  $N$  such that  $N(t)$  is inward-pointing with respect to  $R$  for every  $t$ . (One can show that  $R$  is a 2-manifold-with-boundary, and a precise definition of inward-pointing is then given in [5].) If in addition  $S$  is oriented, we say  $c$  is **positively oriented** with respect to  $R$  if  $(\dot{c}(t), N(t))$  is a positively oriented basis for  $T_{c(t)}S$  for every  $t$ . If  $c$  is positively oriented and has period  $L$  then for  $\omega \in \Omega^1(S)$  the integral

$$\int_{\partial R} \omega := \int_0^L \omega_{c(t)}(\dot{c}(t)) dt$$

is easily seen to be independent of the choice of  $c$ .

For a regular surface  $S$  (oriented or not) we refer to [1] for the definition of the surface integral  $\int_S f dA$  for integrable functions  $f : S \rightarrow \mathbb{R}$ . If  $S$  is oriented with volume form  $\mu$  then any 2-form  $\phi$  on  $S$  can be expressed as  $\phi = f\mu$  for a unique function  $f : S \rightarrow \mathbb{R}$ , and we define

$$\int_S \phi := \int_S f dA.$$

A definition of  $\int_S \phi$  which makes no reference to Riemannian metrics can be found in [5].

**Theorem 16.1 (Stokes)** *Let  $S$  be an oriented regular surface and  $R \subset S$  a smooth region. For any  $\omega \in \Omega^1(S)$  one then has*

$$\int_{\partial R} \omega = \int_R d\omega.$$

If  $S$  is the  $xy$ -plane with the standard orientation then  $\omega = f dx + g dy$  for some smooth functions  $f, g : \mathbb{R}^2 \rightarrow \mathbb{R}$  and

$$d\omega = \left( \frac{\partial g}{\partial x} - \frac{\partial f}{\partial y} \right) dx \wedge dy.$$

Stokes's theorem now says that

$$\int_{\partial R} (f dx + g dy) = \int_R \left( \frac{\partial g}{\partial x} - \frac{\partial f}{\partial y} \right) dx dy,$$

which is an instance of Green's theorem.

## 17 Winding numbers

In this section we will state the Hopf *Umlaufsatz*, or rotation index theorem, which will be used in the proof of the Gauss Bonnet theorem.

We will make use of the complex exponential function  $e^z$ . Recall that if  $z = x + iy$  for real numbers  $x, y$  then

$$e^z = e^x(\cos y + i \sin y).$$

**Lemma 17.1** *Let  $I \subset \mathbb{R}$  be an interval and  $f : I \rightarrow \mathbb{C} - \{0\}$  a continuously differentiable function.*

(i) *There exists a continuously differentiable function  $g : I \rightarrow \mathbb{C}$  such that  $f(t) = e^{g(t)}$  for all  $t \in I$ .*

(ii) *If  $g_1, g_2$  are two functions as in (i) then*

$$g_1 - g_2 = 2\pi i k$$

*for some constant  $k \in \mathbb{Z}$ .*

*Proof.* Choose  $t_0 \in I$  and a complex number  $a$  such that  $f(t_0) = e^a$ . To prove (ii), suppose  $f = e^g$ . Then

$$g(t_0) = a + 2\pi i k$$

for some integer  $k$ . Moreover,

$$\dot{f} = \dot{g}e^g = \dot{g}f,$$

so  $\dot{g} = \dot{f}/f$ . Therefore,

$$g(t) = g(t_0) + \int_{t_0}^t \dot{g} = a + 2\pi i k + \int_{t_0}^t \frac{\dot{f}}{f},$$

proving (ii).

To prove (i), define

$$g(t) := a + \int_{t_0}^t \frac{\dot{f}}{f}.$$

Then  $\dot{g} = \dot{f}/f$ . Writing  $h := fe^{-g}$  we have

$$\dot{h} = \dot{f}e^{-g} - f\dot{g}e^{-g} = 0,$$

hence  $h$  is constant. Because  $h(t_0) = 1$ , we have  $h \equiv 1$ , so  $f = e^g$ .  $\square$

Let  $c : \mathbb{R} \rightarrow \mathbb{C}$  be a continuously differentiable curve with period  $L$ , and  $z_0$  a complex number not in the image of  $c$ . The **winding number**  $W(c; z_0)$  of  $c$  with respect to  $z_0$  is defined as follows. By Lemma 17.1 we can find a continuously differentiable curve  $g : \mathbb{R} \rightarrow \mathbb{C}$  such that

$$c(t) = z_0 + e^{g(t)}$$

for all  $t$ . Then

$$g(t + L) = g(t) + 2\pi ik$$

for some constant integer  $k$ , and we define  $W(c; z_0) := k$ . Part (ii) of the lemma shows that this definition is independent of the choice of  $g$ .

Note that if  $c(t) = z_0 + r(t)e^{i\theta(t)}$  for real-valued functions  $r, \theta$  with  $r > 0$  then

$$W(c; z_0) = \frac{1}{2\pi}(\theta(L) - \theta(0)).$$

Let  $c : \mathbb{R} \rightarrow \mathbb{C}$  be a regular, periodic curve. The **rotation index**  $n_c$  of  $c$  (also called the **tangent winding number**) is the winding number of the derivative  $\dot{c} : \mathbb{R} \rightarrow \mathbb{C}$  with respect to the origin, i.e.

$$n_c := W(\dot{c}; 0).$$

If  $c$  is in fact simple periodic then one can show that its image  $C$  is a submanifold of  $\mathbb{R}^2$  diffeomorphic to  $S^1$ . The Jordan curve theorem then asserts that the complement  $\mathbb{R}^2 - C$  has exactly two connected components, and  $C$  is their common boundary. (A proof of the more general Jordan-Brouwer separation theorem can be found in [2, p.89].) Moreover, one component (the “inside”) is bounded, whereas the other one (the “outside”) is unbounded. We say  $c$  is **positively oriented** if it is positively oriented with respect to the closure  $R$  of the bounded component.

**Theorem 17.1 (Hopf)** *Any positively oriented, regular, simple periodic curve in the plane has rotation index 1.*

For the proof we refer to [1, 4].

## 18 Geodesic curvature

Let  $S$  be a regular surface and  $\gamma : I \rightarrow S$  a smooth curve of unit speed and with normal orientation  $N$ . Because

$$0 = \frac{d}{dt} \|\dot{\gamma}(t)\|^2 = 2 \left\langle \frac{\nabla}{dt} \dot{\gamma}(t), \dot{\gamma}(t) \right\rangle,$$

there is a unique smooth function  $\kappa_\gamma : I \rightarrow \mathbb{R}$ , the **geodesic curvature** of  $\gamma$ , such that

$$\frac{\nabla}{dt} \dot{\gamma}(t) = \kappa_\gamma(t) \cdot N(t)$$

for all  $t$ . Clearly,  $\kappa_\gamma \equiv 0$  if and only if  $\gamma$  is a geodesic.

The following lemma says that the geodesic curvature is invariant under reparametrization in a certain sense.

**Lemma 18.1** *Let  $I, J \subset \mathbb{R}$  be intervals. Let  $\gamma_1 : I \rightarrow S$  be a smooth curve of unit speed and with normal orientation  $N$ . Suppose  $\gamma_2 = \gamma_1 \circ \phi : J \rightarrow S$  is a reparametrization of  $\gamma_1$  of unit speed, where  $\phi : J \rightarrow I$  is smooth. Let  $\gamma_2$  have the normal orientation  $N_2(t) := N_1(\phi(t))$ . Then the geodesic curvatures of  $\gamma_1, \gamma_2$  are related by*

$$\kappa_{\gamma_2}(t) = \kappa_{\gamma_1}(\phi(t)).$$

*Proof.* It is easy to see that  $\phi(t) = \epsilon t + a$  for some constants  $\epsilon = \pm 1$ ,  $a \in \mathbb{R}$ , so that

$$\gamma_2(t) = \gamma_1(\epsilon t + a).$$

Hence,

$$\dot{\gamma}_2(t) = \epsilon \dot{\gamma}_1(\epsilon t + a), \quad \ddot{\gamma}_2(t) = \ddot{\gamma}_1(\epsilon t + a).$$

This yields

$$\kappa_{\gamma_2}(t) N_2(t) = \frac{\nabla}{dt} \dot{\gamma}_2(t) = \frac{\nabla}{ds} \Big|_{s=\phi(t)} \dot{\gamma}_1(s) = \kappa_{\gamma_1}(\phi(t)) N_1(\phi(t)),$$

from which the lemma follows.  $\square$

**Corollary 18.1** *Let  $S$  be a regular surface and  $R \subset S$  a smooth domain. There is a unique smooth function  $\kappa_g : \partial R \rightarrow \mathbb{R}$  with the following property. Let  $\gamma : (-\epsilon, \epsilon) \rightarrow S$  be a smooth curve of unit speed such that  $\gamma(t) \in \partial R$  for every  $t$ . If  $\gamma$  is given the inward-pointing normal orientation with respect to  $R$  then*

$$\kappa_g(\gamma(0)) = \kappa_\gamma(0).$$

*Proof.* Let  $\gamma_1, \gamma_2$  be smooth curves of unit speed taking values on  $\partial R$ , both defined in open intervals containing 0. Then  $\phi := \gamma_2^{-1} \circ \gamma_1$  is defined and smooth on a neighbourhood of 0. Now apply the lemma.  $\square$

Let  $R \subset S$  be a smooth region. Let  $\gamma : \mathbb{R} \rightarrow S$  be a smooth, simply periodic curve of unit speed and period  $L$  such that  $\partial R$  equals the trace of  $\gamma$ . By the corollary, the integral

$$\int_{\partial R} \kappa_g ds := \int_0^L \kappa_\gamma(t) dt$$

will not depend on the choice of  $\gamma$ .

## 19 The local Gauss-Bonnet theorem, I

**Theorem 19.1** *Let  $S$  be a regular surface with Gauss curvature  $K$ . Suppose  $R \subset S$  is a smooth region which is contained in a chart domain for  $S$ . Then*

$$\int_R K dA + \int_{\partial R} \kappa_g ds = 2\pi.$$

*Proof.* Let  $F : U \rightarrow S$  be a local parametrization with  $R \subset F(U)$ . Let  $X_1, X_2$  be the corresponding coordinate vector fields and  $(E_1, E_2)$  the orthonormal frame on  $F(U)$  obtained from  $(X_1, X_2)$  by the Gram-Schmidt process. We give  $F(U)$  the orientation for which  $F$  is orientation preserving. Combining Proposition 14.1 and Stokes's theorem we find that

$$\int_R K dA = \int_R d\omega = \int_{\partial R} \omega, \tag{6}$$

where  $\omega \in \Omega^1(F(U))$  is the connection form of the frame  $(E_1, E_2)$ . To compute the line integral, choose a smooth, simply periodic curve  $\gamma : \mathbb{R} \rightarrow S$  of unit speed whose trace equals  $\partial R$ . Let  $N : \mathbb{R} \rightarrow S^2$  be the inward-pointing normal orientation of  $\gamma$ . By replacing  $\gamma(t)$  by  $\gamma(-t)$  if necessary, we can arrange that  $\gamma$  is positively oriented.

We can write

$$\dot{\gamma}(t) = \sum_i \beta^i(t) E_i(\gamma(t))$$

where each  $\beta^i$  is a smooth function  $\mathbb{R} \rightarrow \mathbb{R}$ . Then  $\beta := (\beta^1, \beta^2)$  is a smooth curve in  $\mathbb{R}^2 - \{(0, 0)\}$ . By Lemma 17.1 there is a smooth function  $\theta : \mathbb{R} \rightarrow \mathbb{R}$  such that

$$\beta(t) = (\cos \theta(t), \sin \theta(t))$$

for all  $t$ . Then

$$\dot{\gamma}(t) = \cos \theta(t) E_1(\gamma(t)) + \sin \theta(t) E_2(\gamma(t)).$$

Furthermore the normal orientation of  $\gamma$  is

$$N(t) = -\sin \theta(t) E_1(\gamma(t)) + \cos \theta(t) E_2(\gamma(t)),$$

as one can verify by computing  $\mu_{\gamma(t)}(\dot{\gamma}(t), N(t)) = 1$ , where  $\mu$  is the volume form on  $S$ . Now,

$$\begin{aligned} \frac{\nabla}{dt} \dot{\gamma}(t) &= -\dot{\theta}(t) \sin \theta(t) E_1(\gamma(t)) + \cos \theta(t) \nabla_{\dot{\gamma}(t)} E_1 \\ &\quad + \dot{\theta}(t) \cos \theta(t) E_2(\gamma(t)) + \sin \theta(t) \nabla_{\dot{\gamma}(t)} E_2. \end{aligned}$$

Inserting

$$\begin{aligned} \nabla_{\dot{\gamma}(t)} E_1 &= -\omega_{\gamma(t)}(\dot{\gamma}(t)) E_2(\gamma(t)), \\ \nabla_{\dot{\gamma}(t)} E_2 &= \omega_{\gamma(t)}(\dot{\gamma}(t)) E_1(\gamma(t)), \end{aligned}$$

we get

$$\frac{\nabla}{dt} \dot{\gamma}(t) = \left( \dot{\theta}(t) - \omega_{\gamma(t)}(\dot{\gamma}(t)) \right) N(t).$$

Hence, the geodesic curvature of  $\gamma$  is

$$\kappa_{\gamma}(t) = \dot{\theta}(t) - \omega_{\gamma(t)}(\dot{\gamma}(t)). \quad (7)$$

If  $\gamma$  has period  $L$  then this yields

$$\int_{\partial R} \omega = \int_0^L \left( \dot{\theta}(t) - \kappa_{\gamma}(t) \right) dt = \theta(L) - \theta(0) - \int_{\partial R} \kappa_g ds.$$

Combining this with (6) we obtain

$$\int_R K dA + \int_{\partial R} \kappa_g ds = 2\pi W(\beta; 0).$$

It only remains to prove that the winding number  $W(\beta; 0) = 1$ . To this end we compare  $\gamma$  with the plane curve  $\alpha := F^{-1} \circ \gamma$ . Clearly,  $\alpha$  is regular and simple periodic. Let  $\alpha = (\alpha^1, \alpha^2)$ . Since  $\gamma = F \circ \alpha$ , the chain rule yields

$$\dot{\gamma}(t) = \sum_i \dot{\alpha}^i(t) \partial_i F(\alpha(t)) = \sum_i \dot{\alpha}^i(t) X_i(\gamma(t)).$$

For fixed  $t$ , and omitting  $t$  and  $\gamma$  from notation for a moment, we then have

$$\dot{\gamma} = \sum_i \dot{\alpha}^i X_i = \sum_i \beta^i E_i.$$

Recall that the Gram-Schmidt process transforms a basis by a triangular matrix with positive entries on the diagonal. In our case,

$$E_j = \sum_i c_j^i X_i,$$

where the matrix  $(c_j^i)$  satisfies  $c_i^i > 0$ , and  $c_j^i = 0$  for  $i > j$ . Therefore,

$$\dot{\gamma} = \sum_j \beta^j \sum_i c_j^i X_i = \sum_i \left( \sum_j c_j^i \beta^j \right) X_i.$$

This shows that

$$\dot{\alpha}^i = \sum_j c_j^i \beta^j.$$

Since the matrix  $(c_j^i)$  has only positive eigenvalues (namely  $c_i^i$ ), we see that  $\dot{\alpha}(t)$  is never a negative real multiple of  $\beta(t)$ . It is then a simple exercise to show that the curves  $\dot{\alpha}$  and  $\beta$  have the same winding number with respect to the origin. Thus,

$$W(\beta; 0) = W(\dot{\alpha}; 0) = n_\alpha = 1,$$

where the last equality is the theorem of Hopf. This completes the proof of the theorem.  $\square$

As an example, let  $S^2$  be the unit sphere in  $\mathbb{R}^3$ , and let  $R \subset S^2$  be the upper hemisphere. Since  $\partial R$  is a great circle, which can be parametrized by a geodesic, we have  $\kappa_g = 0$ . Moreover,  $K = 1$ , so the theorem says that

$$2\pi = \int_R K dA + \int_{\partial R} \kappa_g ds = \int_R 1 dA = \text{Area}(R) = \frac{1}{2} \text{Area}(S^2),$$

confirming that the area of  $S^2$  is  $4\pi$ .

## 20 The local Gauss-Bonnet theorem, II

Given a manifold  $M$ , a continuous curve  $\gamma : I \rightarrow M$  is called **piecewise regular** if for all  $a, b \in I$  with  $a < b$  there exists a non-negative integer  $r$  and a partition

$$a = a_0 < a_1 < \cdots < a_r = b$$

such that the restriction of  $\gamma$  to the subinterval  $[a_{i-1}, a_i]$  is a regular curve for  $i = 1, \dots, r$ .

Let  $S$  be a regular surface. By a **polygonal region** in  $S$  we mean a compact subset  $R \subset S$  such that the following hold.

- $R$  is the closure of an open subset of  $S$ .
- The boundary  $\partial R$  has finitely many components.
- Each component of  $\partial R$  is the image of a simple periodic, piecewise regular curve  $\mathbb{R} \rightarrow S$ .

A polygonal region  $R$  is called **simple** if  $R$  is contained in a chart domain for  $S$  and  $\partial R$  has exactly one boundary component.

Let  $R \subset S$  be a polygonal region and  $\gamma : \mathbb{R} \rightarrow S$  a simple periodic, piecewise regular curve of unit speed whose trace is a boundary component of  $R$ . If  $t_0 \in \mathbb{R}$  is a point where  $\gamma$  is not smooth then  $\gamma(t_0)$  is called a **vertex** of  $\partial R$ . A vertex  $\gamma(t_0)$  is called a **cusp** if the one-sided derivatives  $\dot{\gamma}(t_0^\pm)$  of  $\gamma$  at  $t_0$  satisfy

$$\dot{\gamma}(t_0^+) = -\dot{\gamma}(t_0^-);$$

otherwise  $\gamma(t_0)$  is called an **ordinary vertex**. If  $\theta \in [0, 2\pi]$  is the interior angle of  $\partial R$  at a vertex  $p$  then  $\epsilon := \pi - \theta \in [-\pi, \pi]$  is called the **jump angle** at  $p$ . If  $\gamma$  is smooth on a non-empty open interval  $(t_0, t_1)$  but not smooth at  $t_0$  or at  $t_1$  then the image of the closed interval  $[t_0, t_1]$  under  $\gamma$  is called an **edge** of  $\partial R$ .

Let  $J \subset \mathbb{R}$  be the largest open interval on which  $\gamma$  is smooth. Let  $V := \gamma(\mathbb{R} - J)$  be the set of vertices in  $\partial R$ , which is finite. For the restriction of  $\gamma$  to  $J$ , the inward normal orientation and geodesic curvature can be defined as before, and we obtain a smooth function

$$\kappa_g : \partial R - V \rightarrow \mathbb{R}$$

characterized as in Corollary 18.1.

**Theorem 20.1** *Let  $S$  be a regular surface with Gauss curvature  $K$ . Suppose  $R \subset S$  is a simple polygonal region with jump angles  $\epsilon_1, \dots, \epsilon_k$  at the vertices. Then*

$$\int_R K \, dA + \int_{\partial R} \kappa_g \, ds + \sum_{i=1}^k \epsilon_i = 2\pi.$$

*Idea of proof.* “Round off the corners” of  $\partial R$  to produce a smooth region  $R' \subset S$  to which Theorem 19.1 can be applied. Use Equation (7) to estimate the integral  $\int_{\partial R'} \kappa_g ds$ .  $\square$

A simple polygonal region  $R \subset S$  is called a **geodesic triangle** if  $R$  has exactly three vertices and each edge of  $\partial R$  can be parametrized by a geodesic.

**Theorem 20.2** *Let  $R \subset S$  be a geodesic triangle with interior angles  $\theta_i$ ,  $i = 1, 2, 3$ . Then*

$$\int_R K dA = \sum_{i=1}^3 \theta_i - \pi.$$

*Proof.* The jump angle at the  $i$ th vertex is  $\epsilon_i = \pi - \theta_i$ . Since  $\kappa_g = 0$ , Theorem 20.1 gives

$$\int_R K dA = 2\pi - \sum_{i=1}^3 (\pi - \theta_i) = \sum_{i=1}^3 \theta_i - \pi. \quad \square$$

If  $K$  is constant then the theorem says that

$$K \cdot \text{Area}(R) = \sum_{i=1}^3 \theta_i - \pi.$$

Note that the cases  $K = 0, 1, -1$  correspond to Euclidean, spherical, and hyperbolic triangles, respectively.

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