

MAT 4800

17 October 2023

Riemann - Hurwitz theorem: $X$  &  $Y$  be compact R.S.Let  $f: X \rightarrow Y$  be a non-constant holomorphic map of degree  $N \geq 1$ .Let  $e_x$  ( $x \in X$ ) be the multiplicity of  $f$  at  $x$ . [ So for all  $x$ , except a finite number of  $x$ , then  $e_x = 1$ . ]

$$\Rightarrow \chi(X) = N \cdot \chi(Y) - \sum_{x \in X} (e_x - 1)$$

(The sum is 0 for most  $x$ , except critical points where  $e_x > 1$ ).

Example: There is no non-constant holomorphic map  $f: X \rightarrow Y$  if  $\text{genus}(X) < \text{genus of}(Y)$ .

$$\chi(X) = 2 - 2g(X)$$

$$\chi(Y) = 2 - 2g(Y)$$

$$\chi(X) = N \chi(Y) - \sum_{x \in X} (e_x - 1)$$

$$2 - 2g(X) = N(2 - 2g(Y)) - \sum_{x \in X} (e_x - 1)$$

$$2 + \sum_{x \in X} (e_x - 1) + 2Ng(Y) = 2N + 2g(X)$$

But  $0 \leq \sum_{x \in X} (e_x - 1) = 2N + 2g(X) - 2 - 2Ng(Y)$

$1 + Ng(Y) > 1 + g(X)$   
if  $g(Y) > g(X)$ ,  $\square$

Example 2: If  $g(X) > 1$ , then every non-constant holomorphic map

$$f: X \rightarrow X$$

is an automorphism (i.e.  $\deg(f) = 1$ ).

$$2 + 2Ng(X) \leq 2N + 2g(X)$$

$$\Rightarrow 2g(X)(N-1) \leq 2(N-1)$$

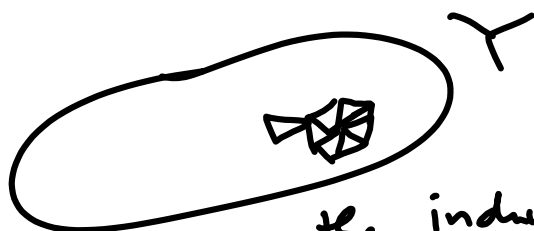
Since  $g(X) > 1$  &  $N \geq 1$ , the above is possible iff  $N = 1$ .  $\square$

Proof of RH:

Special case:  
points

if  $f$  has no critical

So preimage of any point has exactly  $N$  points.  $\Rightarrow f$  is a covering map  
 We triangulate  $X$  by small triangles so that  $f^{-1}(\Delta) =$  disjoint union of  $N$  "triangles" & give a triangulation for  $X$ .



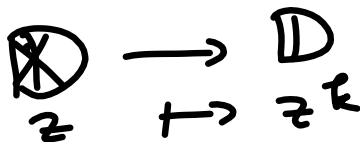
So roughly speaking for  $X$  has the induced triangulation  $N$  times parts,  $N$  times edges,  $N$  times surfaces.

$$\Rightarrow \chi(X) = N \chi(Y).$$

What if we have a critical point:

Let's look at what happens

to



D

topologically



$$\Rightarrow \chi(D) = 4 - 4 + 1 = 1$$

Check with this case: 1 critical point 0, where  $e_0 = k$ . For other  $x$ 's:  $e_x = 1$

RH is satisfied in this case.

$$\chi(D) = k \chi(D) - \sum_{x \in D} (e_x - 1)$$

$$\begin{array}{ccc} \parallel & \parallel & \\ \uparrow & k & (k-1) \end{array}$$

Use the above 2 special cases & the additivity of Euler characteristic to conclude.

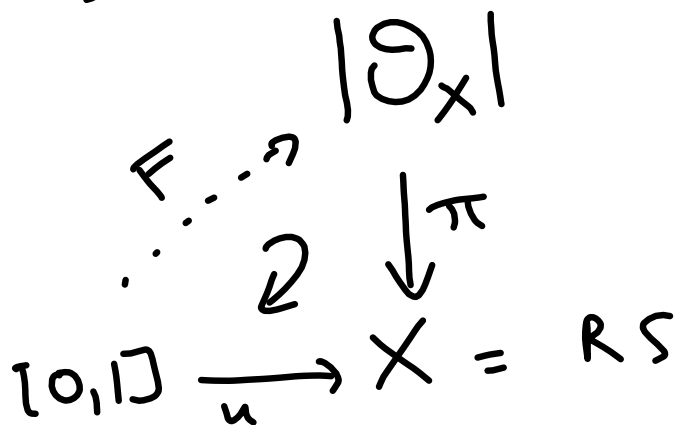
$X \setminus f^{-1}(\text{critical values}) \rightarrow Y \setminus \text{critical values}$   
is a covering map, so we can use the first special case.

$$\chi(X \setminus f^{-1}(\text{critical values})) = N \chi(Y \setminus \text{critical values})$$

By additivity:

$$\chi(X \setminus f^{-1}(\text{critical values})) = \chi(X) - \# f^{-1}(\text{critical values})$$

## § 7. Holomorphic continuation.



if there is a lift  $F$  then  
 $F$  is called a <sup>holomorphic</sup> continuation of the  
 germ  $F(0)$  along the curve  $u[0,1]$ .

If  $F(0) = F(1)$ , then the germ  
 $\underline{F(0)}$  at  $u(0) = u(1)$  can be extended to  
 $\downarrow$   
 a holomorphic map in  
 a neighborhood of the  
 curve  $u[0,1]$ .  
 convergent series

Example: Consider the germ  $\sqrt{z}$   
 near  $z_0 = 1$ .

We cannot extend it to a neighborhood  
 of the unit circle. What should we do?  
 What we can do is this:

Let  $\Upsilon$  be the connected component of  $|D_X|$  which contains  $\sqrt{z}$  near  $z_0 = 1$ .

Then we try to lift  $\sqrt{z}$  to  $\Upsilon$  along  $\text{the unit circle}$ . (So the lift above  $i(1)$  will be  $-1$ .)

What about  $\sqrt[3]{z}$ ?

Remark: This  $\Upsilon$  is the maximal analytic continuation of  $(X, \pi, f_z)$   
 (Meaning: any lift of  $(X, \pi, f_z)$  is a germ at  $z$  a subspace of  $\Upsilon$ .)

§ 8. Holomorphic continuation of algebraic functions.

like  $\sqrt{z}$ ,  $\sqrt[3]{z-1}$ ,  $\sqrt{z^3-1}$   
 $y = \sqrt{z^3-1}$   
 $\Rightarrow y^2 = z^3-1$   
 $\downarrow$   
 elliptic curve.

In this section, we kind of looking at "minimal" lifting of holomorphic germs.

Thm 8.9: Let  $P(T) = T^n + c_1 T^{n-1} + \dots + c_n \in \mathcal{M}(X)[T]$ .

(Meaning  $c_1, \dots, c_n$  are meromorphic functions on  $X$ .)

Then  $\exists$  a maximal RS  $\Upsilon \ni$  a meromorphic function  $f \in \mathcal{M}(\Upsilon)$ ,

& an  $n$ -sheeted map  
 $\pi: Y \rightarrow X$

so that  $\neq$   
 $(\pi^* P)(f) = 0.$

$(\pi^* P)(T) \in \mathcal{M}(Y) [T]$

defined by:

$$\pi^* P(Y, T) = T^n + c_1(\pi(Y))T^{n-1} + c_2(\pi(Y))T^{n-2} + \dots + c_n(\pi(Y)).$$

$\pi^* c(Y) = c(\pi(Y))$  is the pullback of  $c(X)$ .

$$\begin{array}{ccc} Y & \xrightarrow{\pi} & X & \xrightarrow{c} & \mathbb{C} \\ & & \searrow & \swarrow & \\ & & & & \mathbb{C} \end{array}$$

$\pi^* c$

Example: Want to define  $\sqrt{x^3 - x}$ .

Define a new variable  $T$  so that

$$T^2 - (x^3 - x) = 0.$$

Then  $P(T, x) = T^2 - (x^3 - x).$

If  $Y$  is from Thm 8.9, then  $f$  "defines"  $\sqrt{x^3 - x}$ .

Thm 8.9 is stronger than inverse function theorem in 2 aspects: 1. it is global  
2. it treats also critical points.

Example 2: if  $p(T)$  is not a polynomial, may be not exist. ( $p(T) = e^T - z$ .)

Proof of Thm 8.9:

① Define discriminant  
 $X \supseteq \Delta = \{x \in X : P(T) = 0 \text{ has multiple roots in } T\}$

Example:  $P(T) = T^2 - (x^3 - x)$ .  
what is  $\Delta$ ?  $T^2 - c$  has multiple

roots iff  $c = 0$ .

$\Delta = \{x \in \mathbb{C} : x^3 - x = 0\}$ .

$|\mathcal{D}_x| \supseteq Y' = \{ \varphi \in \pi^{-1}(X \setminus \Delta) : P(\varphi) = 0 \}$

$\pi: |\mathcal{D}_x| \rightarrow X$

Claim:  $\pi: Y' \rightarrow X$  is a covering map of degree  $n$ .

An element of  $|\mathcal{D}_x|$  is a pair  $\{(x, \varphi) : \varphi \in \mathcal{D}_x\}$



$P$  is of degree  $n$  &  $x \notin \Delta$  :  
 $\Rightarrow \exists$  exactly  $n$  diffe distinct roots  
 called  $y_1, \dots, y_n$  of  $P(T, x) = 0$ .  
 (proof is similar to how we show  
 existence of logarithm for nowhere zero  
 holomorphic maps on a simply connected domain.  
 Then  $\pi: Y' \rightarrow X \setminus \Delta$  is  $n$ -sheeted  
 covering map.  
 Define  $f'$  to be  $f': Y' \rightarrow \mathbb{C}$   
 $f'(y') := \varphi(\pi(y'))$ .

( Basically  
 $y' = (x, \varphi) \Rightarrow f'(y') = \varphi(x)$  )  
 So  $(\pi^* P)(f') = 0$  on  $Y'$ .  
 What to do with  $x_0 \in \Delta$ ?  
 Choose a small neighborhood  $D$  of  $x_0$   
 in  $X$ .



If  $D$  is very small, then  
 $Y' \supseteq \pi^{-1}(D \setminus z_0)$  is a disjoint union of

$$\coprod_{i \in I} U_i, \quad |I| < \infty$$

so that  $\pi: U_i \rightarrow D^*$  is  
 a covering map of degree  $< n$ .

$\Rightarrow$  it must be biholomorphic to  
 a map  $Y' \supseteq \mathbb{D}_z^* \rightarrow \mathbb{D}^* \subseteq X$   
 $\quad \quad \quad \uparrow \quad \quad \quad \uparrow$   
 $\quad \quad \quad \mathbb{C} \quad \quad \quad \mathbb{C}^k$   
 for  $k \geq 1$ .

Now  $Y = Y'$  unioned with  
 the centers of the above  $\mathbb{D}^* \subseteq Y'$ .

$\Rightarrow Y$  becomes a RS also.

and  $\pi$  extends to a branched  
 covering map  
 $\pi: Y \rightarrow X$  of degree  $n$

.  $\square$

In our case, more explicit description:

$$X = \mathbb{C}$$

$\mathcal{P}(T, \alpha)$  in  $\mathbb{C}[x][T]$

Quiver:

$$Y = \{ (x, y) \in \mathbb{C}^2 : P(y, x) = 0 \}$$

Example: or a blowup. A smooth curve model if  $\frac{P(y, x) = 0}{2}$  is not smooth.

$$P(T, z) = T^3 - (z^2 + 1)T - zT + z^5 + 3z^3$$

$$Y' = \{ (x, y) \in \mathbb{C}^2 : P(y, x) = 0 \}$$

smooth / so don't need blowup  $\uparrow$   $x \notin \Delta$   $\downarrow$  discriminant

$$Y = \{ (x, y) \in \mathbb{C}^2 : P(y, x) = 0 \}$$

$\pi: Y \rightarrow X$  is simply  $(x, y) \rightarrow x$

What is the relation between critical points of  $\pi$  & the discriminant  $\Delta$ ?

Answer:  $\Delta = \pi(\text{critical points of } \pi)$

$\Rightarrow$  Critical points  $\subseteq \pi^{-1}(\Delta)$  = critical values of  $\pi$ .

Revisit example:

$$Y = \{ (x, y) \in \mathbb{C}^2 : y^2 = x^3 - 1 \}$$

$\pi: Y \rightarrow X$   
 $(x, y) \mapsto x$

What are critical points?

$$\text{Discriminant } \Delta = \{x \in \mathbb{C} : x^3 - 1 = 0\}$$

$$\begin{aligned} \Rightarrow \text{Critical points} &\subseteq \pi^{-1}(\Delta) \\ &= \{(0, \zeta_1), (0, \zeta_2), (0, 1)\} \\ &\quad \zeta_1^3 = \zeta_2^3 = 1 \end{aligned}$$

We can easily check that each of the above 3 points are actually critical points.

Example:

$$Y = \{(x, y) \in \mathbb{C}^2 :$$

$$y^2 - xy + x = 0\}$$

is  $Y$  a RS?

$$\nabla f = \{(2y - x, -y + 1)\}$$

Yes!

$$\pi: Y \rightarrow X$$

$$(x, y) \mapsto x$$

Critical points of  $\pi$ ?

$$\begin{aligned} \Delta &= \text{discriminant} \\ &= \{ z \in \mathbb{C} : y^2 - zy + z = 0 \\ &\quad \text{has multiple roots in } y \} \\ y^2 + ay + b &= 0 \quad \text{has multiple} \\ \text{roots iff} \quad &a^2 = 4b. \\ a = -x, \quad b = x &\Rightarrow x^2 = 4x \Rightarrow \\ &x = 0 \text{ or } x = 4. \\ \Delta &= \{ 0, 4 \}. \\ \pi^{-1}(\Delta) &= \{ (0, 0), (4, 2) \}. \end{aligned}$$

HW: Show that  $\pi^{-1}(\Delta)$  is actually the critical points of  $\pi$ .

Example:

$$Y = \{ (x, y) \in \mathbb{C}^2 : y^3 - y = x^2 \}$$

$$\begin{aligned} \pi: Y &\rightarrow X \\ (x, y) &\mapsto x \end{aligned}$$

What is the discriminant?  
What are the critical points of  $\pi$ ?